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Journal:	<i>Journal of Materials Chemistry B</i>
Manuscript ID	TB-ART-11-2015-002310.R1
Article Type:	Paper
Date Submitted by the Author:	15-Dec-2015
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# Biodegradable PEI modified complex micelles as gene carriers with tunable gene transfection efficiency for ECs

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## Abstract

In recent years, gene therapy has evoked an increasing interest in clinical treatments of coronary diseases because it is a potential strategy to realize rapid endothelialization of artificial vascular grafts. The balance of high transfection efficiency and low cytotoxicity of nonviral gene carriers becomes an important issue to be solved. In this study, we aim to establish a gene delivery system offering an elegant way to tune the transfection activity and cytotoxicity. Biodegradable complex micelles were prepared from polyethylenimine-*b*-poly(lactide-*co*-3(S)-methyl-morpholine-2,5-dione)-*b*-polyethylenimine (PEI-*b*-PLMD-*b*-PEI) and methoxy-poly(ethylene glycol)-*b*-poly(lactide-*co*-3(S)-methyl-morpholine-2,5-dione) (mPEG-*b*-PLMD) copolymers by co-assembling method. Then ZNF580 gene plasmid (pDNA) was encapsulated into the complex micelles. The hydrodynamic size and zeta potential of these complex micelles and micelles/pDNA complexes were feasible to cellular uptake and gene transfection. As expected, the transfection efficiency and cytotoxicity of these micelles/pDNA complexes could be conveniently tuned by changing the mass ratio of mPEG to PEI (3/1, 2/2 and 0/4) in mixed mPEG/PEI shell. The transfection efficiency increased as mass ratio of mPEG/PEI decreased from 3/1 to 0/4, while the cytotoxicity showed an opposite tendency. Moreover, ZNF580 protein expression determined by Western blot analysis and the migration of transfected endothelial cells (ECs) by wound healing assay were consistent with the result of transfection efficiency. All these results indicated that the co-assembled complex

micelles could act as suitable gene carriers with tunable gene transfection efficiency and cytotoxicity, which should have great potential for the transfection of vascular ECs.

**Key words:** gene carrier, polyethylenimine, complex micelles, transfection, endothelial cells

## 1. Introduction

Rapid *in situ* endothelialization is a crucial first step to prevent the formation of thrombosis and neointimal hyperplasia of vascular prostheses after cardiovascular treatments.<sup>1</sup> Nowadays, gene therapy plays a crucial role in rapid endothelialization of artificial vascular grafts.<sup>2</sup> The transfection of genes into endothelial cells (ECs) has been reported as an efficient method to create an endothelium layer over the surface of scaffolds.<sup>3</sup> Vascular endothelial growth factor (VEGF) is a widely used growth factor to promote angiogenesis and to enhance revascularisation and re-endothelialization.<sup>4</sup> Besides VEGF, ZNF580 gene as a human C2H2-zinc finger protein gene can promote the expression of VEGF to enhance the proliferation and migration of ECs.<sup>5-8</sup> Furthermore, compared with VEGF, ZNF580 gene can inhibit the proliferation of smooth muscle cells (SMCs). It is beneficial for addressing the competition growth of SMCs to ECs, otherwise the overgrowth of SMCs might lead to failure in forming complete endothelium.

The gene transfection efficiency and cytotoxicity of gene delivery systems usually depend on gene carriers.<sup>9</sup> In recent years, nonviral carriers have been considered an attractive alternative to viral carriers in gene delivery systems for their

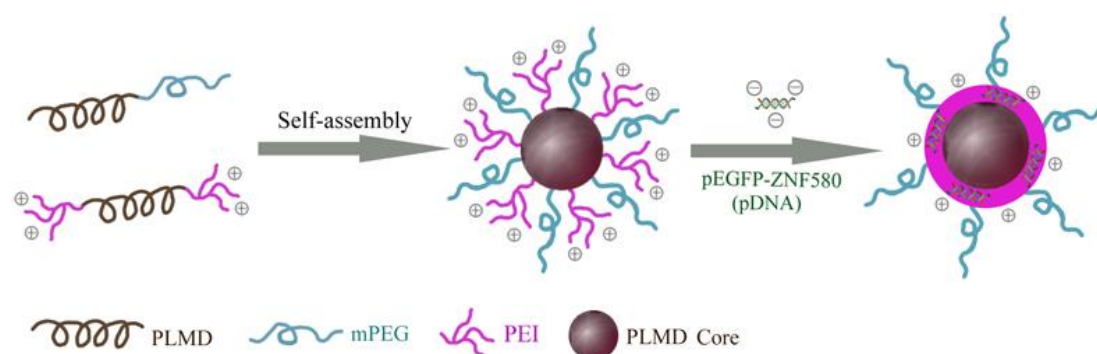
relative safety, low pathogenic and immunogenic properties.<sup>10-23</sup> Among various kinds of nonviral gene carrier materials, polyethylenimine (PEI), offering the gold standard of gene transfection, is one of the most successful cationic polymers for gene delivery both *in vitro* and *in vivo*.<sup>9, 10</sup> However, high molecular weight PEI is reported to be cytotoxic due to its high cationic charge density<sup>24</sup> and could cause self-aggregation and adherence on the cell surface, which results in significant necrosis.<sup>25</sup> Thus low or no cytotoxicity should be taken into account in the design of novel gene delivery systems for practical application. For this purpose, one of the most extensively investigated attempts to shield the cationic charge of PEI is to introduce the neutral hydrophilic poly(ethylene glycol) (PEG) into gene delivery systems. By virtue of the properties of hydrophilicity, electrical neutrality and counteracting protein absorption,<sup>26-29</sup> PEG block can indeed reduce the cytotoxicity and prolong the circulation time of these nanocarriers,<sup>30, 31</sup> but at the same time may interfere with DNA complexation, leading to poor transfection efficiency.<sup>23, 32</sup>

Polymeric micelles have been developed by self-assembling from amphiphilic block copolymers and used especially in drug and gene delivery systems.<sup>33, 34</sup> In our previous studies, we have prepared several biodegradable gene carriers by self-assembling from triblock amphiphilic copolymers,<sup>6, 7, 35, 36</sup> whereby the proliferation and migration of ECs were significantly improved by the delivery of ZNF580 gene using these carriers. It should be noted, nevertheless, that the synthesis of these triblock copolymers requires rigorous reactive conditions and complicated multi-step processes, furthermore the mass ratio of PEI and PEG in gene carriers is

difficult to precisely control. Thus it is necessary to simplify the reactive conditions and synthetic processes of the triblock copolymers for gene carriers. In parallel with the efforts to obtain multifunctional polymers for self-assembly through complicated polymerization techniques, an alternative strategy of cooperative self-assembling of several block polymers into the complex polymeric micelles offers a convenient preparation process of multifunctional gene carriers.<sup>37</sup> This strategy provides us an elegant way to tune various physical properties and biological functions of carriers easily *via* changing the ratios of different shell-forming block copolymers.<sup>38,39</sup> It is of great interest to know whether it is possible to prepare the gene carrier systems with tunable gene transfection efficiency for ECs by cooperative self-assembling of several block copolymers.

The purpose of the present study is to develop a gene delivery system with the simplicity of tuning transfection efficiency and cytotoxicity simultaneously by co-assembling of two kinds of biodegradable polymers. PEI ( $M_w = 10$  kDa) was used to be grafted onto biodegradable poly(lactide-*co*-3(S)-methyl-morpholine-2,5-dione) (PLMD) backbone. 3(S)-Methyl-morpholine-2,5-dione (MMD) is a kind of monomer of cyclo depsipeptides, which have been used to synthesize biodegradable polydepsipeptides as drug or gene delivery matrices with alternating amido and ester groups.<sup>40-43</sup> The hydrolysis of polydepsipeptide segments produces L-amino acid that buffers the pH of the degradation microenvironment. Thus, it could reduce the inflammation resulted from the acidic environment. Moreover, the degradation products including L-amino acids can be properly metabolized by living tissues.<sup>44, 45</sup>

In addition, this gene carrier with a hydrophobic PLMD core could enhance the stabilization of micelles compared with polyplexes assembled of homo-PEI or PEG-*b*-PEI and DNA.<sup>46, 47</sup> The co-micellization of the PEI modified copolymer (PEI-*b*-PLMD-*b*-PEI) and methoxy-poly(ethylene glycol)-*b*-poly(lactide-*co*-3(S)-methyl-morpholine-2,5-dione) (mPEG-*b*-PLMD) could form a complex micelle with a biodegradable PLMD core and a mixed mPEG/PEI shell of different mass ratios (Scheme 1). Then, ZNF580 gene plasmid (plasmid fused to green fluorescence protein, pEGFP-ZNF580, pDNA), which has the ability of enhancing the transfection of vascular ECs, was condensed with PEI located at the interface between PLMD core and mPEG shell. The properties of the complex micelles and micelles/pDNA complexes were characterized by diffraction light scattering. Furthermore, the trends of transfection efficiency and cytotoxicity of these micelles/pDNA complexes were investigated by simply changing the mass ratio of mPEG to PEI in mixed shell. Moreover, Western blot analysis and wound healing assay were also performed to confirm the transfection efficiency and migration ability of the transfected ECs. Our study developed a simple way to tune the transfection efficiency and cytotoxicity of gene carriers in order to achieve an easy adjustment for gene delivery system.



**Scheme 1.** Self-assembly of complex micelles and condensation of pDNA.

## 2. Materials and methods

### 2.1. Materials

L-Alanine (food grade) and chloroacetyl chloride were supplied by Aladdin Reagent Co., Ltd. (Shanghai, China). Poly(ethylene glycol) monomethyl ether (mPEG,  $M_w = 5$  kDa and polydispersity index (PDI) = 1.05) was purchased from Aldrich and dried in vacuum for 24 h before use. Polyethylenimine (branched PEI,  $M_w = 10$  kDa), 4-dimethylamino pyridine (DMAP), N-hydroxy succinimide (NHS), 1-ethyl-3-(3-dimethylaminopropyl)-carbodiimide hydrochloride (EDC) and stannous octoate ( $\text{Sn}(\text{Oct})_2$ ) were purchased from Sigma-Aldrich (Beijing, China) and used without further purification. L-Lactide (LLA) was obtained from Foryou Medical Device Co., Ltd. (Huizhou, China). 1,8-Octanediol, succinic anhydride, triethylamine ( $\text{Et}_3\text{N}$ ), 1,4-dioxane and toluene were purchased from Institute of Guangfu Fine Chemical Research (Tianjin, China) and they were dried by reflux over  $\text{CaH}_2$  and distilled just before use.

BCA protein assay kit was purchased from Solarbio Science and Technology Co., Ltd. (Beijing, China). 3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT), rabbit anti-human ZNF580 polyclonal antibody and goat antirabbit IgG were purchased from Abcam (HK) Ltd. (Hong Kong, China). The pDNA was preserved by department of physiology and pathophysiology, logistics university of Chinese People's Armed Police Force. Other chemicals were analytically pure and purchased from Jiangtian Chemicals (Tianjin, China).



## 2.2. Synthesis of block copolymer mPEG-*b*-PLMD

MMD was synthesized according to the literature.<sup>48</sup> The crude product was recrystallized from absolute acetonitrile to obtain colorless crystal with 43% yield, m.p. 139-142 °C. Block copolymer mPEG-*b*-PLMD was synthesized by ring-opening polymerization (ROP) of MMD and LLA initiated by the hydroxyl group of mPEG with Sn(Oct)<sub>2</sub> as a catalyst. Typically, 2.0 g (0.40 mmol) of mPEG was introduced into a Schlenk under nitrogen atmosphere. Then 0.40 g (3.1 mmol) of MMD, 3.4 g (23.7 mmol) of LLA, and 400 μL of 0.25 mol L<sup>-1</sup> Sn(Oct)<sub>2</sub> solution in dry toluene were added successively, and submitted to vacuum/nitrogen cycles. The Schlenk was finally sealed under dry nitrogen and reacted at 150 °C for 24 h. Then the polymer was dissolved in chloroform and precipitated from n-hexane/chloroform (6/1; V/V) for three times, and dried at room temperature for 24 h under vacuum. The yield was 86.5%.

## 2.3. Synthesis of block copolymer PEI-*b*-PLMD-*b*-PEI

### 2.3.1. Synthesis of polymer PLMD

PLMD was synthesized by ROP of MMD and LLA using 1,8-octanediol as an initiator and Sn(Oct)<sub>2</sub> as a catalyst. Briefly, 0.070 g (0.50 mmol) of 1,8-octanediol, 0.50 g (3.8 mmol) of MMD and 4.50 g (31.3 mmol) of LLA were weighed into a Schlenk equipped with a magnetic stirring bar and submitted to vacuum/nitrogen cycles. The Schlenk was sealed and maintained at 150 °C for 24 h. The product was purified by three times precipitating into n-hexane/chloroform (6/1; V/V) from

chloroform solution and dried at room temperature for 24 h under vacuum. The yield was 91.1%.

### 2.3.2. Synthesis of HOOC-PLMD-COOH

Carboxyl-terminated PLMD (HOOC-PLMD-COOH) was synthesized as previously reported.<sup>49</sup> Briefly, PLMD (3.10 g, 0.3 mmol), succinic anhydride (0.60 g, 6.1 mmol), Et<sub>3</sub>N (250  $\mu$ L) and DMAP (0.80 g, 6.1 mmol) were dissolved into 25 mL dry 1,4-dioxane in a round bottom flask. The reaction was performed at 25 °C under a dry nitrogen atmosphere for 24 h. Crude product was obtained by precipitation into cold ethanol and then it was redissolved in chloroform following by washes with hydrochloric acid aqueous solution (10% in v/v), saturated sodium bicarbonate solution, and saturated sodium chloride solution for three times each step. The aqueous phase was abandoned and the organic phase was dried over anhydrous sodium sulphate. The final product of HOOC-PLMD-COOH was obtained by precipitating into cold n-hexane and dried under vacuum at room temperature for 24 h (Yield: 66.1%).

### 2.3.3. Synthesis of block copolymer PEI-*b*-PLMD-*b*-PEI

HOOC-PLMD-COOH (0.50 g, 0.05 mmol), EDC (0.10 g, 0.5 mmol), and NHS (0.06 g, 0.5 mmol) were first dissolved in 10 mL of DMSO and reacted at room temperature for 1 h, then PEI (1.10 g, 0.10 mmol in 2 mL DMSO) was added. The reaction continued at room temperature with stirring for 24 h, and then the whole solution was dialyzed (MWCO = 14 kDa) against distilled water for 2 days to remove

the organic solvent and unreacted stuff. Finally, the product in dialysis bag was lyophilized to obtain the triblock copolymer PEI-*b*-PLMD-*b*-PEI (Yield: 64.2%).

#### 2.4. Characterization of block copolymers

$^1\text{H}$  nuclear magnetic resonance ( $^1\text{H}$  NMR) spectroscopy was used to characterize the polymer structures on an ECA-500 400 MHz spectrometer in deuteriochloroform ( $\text{CDCl}_3$ ) at 25 °C. Gel permeation chromatography (GPC) was performed to measure the number-average molecular weight ( $M_n$ ), weight-average molecular weight ( $M_w$ ) and PDI at 25 °C by a Waters 1525 chromatograph equipped with a Waters 2414 refractive index detector. N,N-Dimethylformamide (DMF) was used as the eluent at a flow rate of 1.0 mL min $^{-1}$  and polystyrene as standards.

#### 2.5. Preparation of complex micelles with varying ratios of mPEG to PEI

The block copolymers of mPEG-*b*-PLMD and PEI-*b*-PLMD-*b*-PEI were separately dissolved in DMF to obtain two polymer solutions with the concentration of 5.0 mg mL $^{-1}$ , respectively. Subsequently, different volumes of the two kind solutions were mixed to prepare a series of solutions with different mass ratios of mPEG-*b*-PLMD and PEI-*b*-PLMD-*b*-PEI (3/1, 2/2, 1/3, and 0/4, respectively). Then 2.0 mL of the mixed polymer solution was dropped into 10 mL of phosphate buffer solution (PBS, pH = 7.4) and dialyzed against PBS for 2 days (MWCO = 3.5 kDa) to obtain the complex micelle solution (0.75 mg mL $^{-1}$ ).

#### 2.6. Formation of the micelles/pDNA complexes

pDNA solution (0.10 mg mL $^{-1}$ ) was dropwisely added into micelle solutions under stirring to prepare micelles/pDNA complexes at different N/P molar ratios (1, 3,

5, 10, 15 and 20). The complexes were incubated for 0.5 h before characterization and further use.

## **2.7. Physicochemical characterization of complex micelles and micelles/pDNA complexes**

The hydrodynamic size and structures of the complex micelles and micelles/pDNA complexes were characterized by a combination of dynamic light scattering (DLS) and static light scattering (SLS) measurements. DLS and SLS measurements were performed at 636 nm by using a laser light scattering spectrometer (BI-200SM) equipped with a digital correlator (BI-9000AT). Zeta potential of complex micelles and micelles/pDNA complexes were measured by DLS (Malvern Zetasizer NanoZS4700) instrument equipped with vertically polarized light at 633 nm argon-ion laser.

## **2.8. Biological characterization of micelles/pDNA complexes**

### **2.8.1. Cell line and cell culture**

The human endothelial cell hybridoma line EA.hy926 cells purchased from American Type Culture Collection were cultured in high glucose DMEM supplemented 10% FBS in an incubator at 37 °C with 5% CO<sub>2</sub>. The cells were cultured to confluence with medium exchanges every other day.

### **2.8.2. Agarose gel electrophoresis**

Agarose gel electrophoresis was performed to assess the pDNA condensation ability of complex micelles. The micelles/pDNA complexes with N/P molar ratios ranging from 0 to 15 were prepared. Then they were loaded onto the agarose gel

(0.8%) containing  $0.5 \mu\text{g mL}^{-1}$  ethidium bromide in  $1\times\text{TAE}$  buffer and electrophoresed at 100 V for 30 min. UV illuminator was used to indicate the retarded location of the pDNA.

### 2.8.3. MTT assay

The cytotoxicity of complex micelles and micelles/pDNA complexes was evaluated by MTT assay. Briefly, EA.hy926 cells were firstly seeded in 96-well plate ( $1\times 10^4$  cell/well) and cultured for 24 h until 50-60% confluence. Next, the cells were starved with serum-free medium for 12 h. Micelles and micelles/pDNA complexes with different micelle concentrations at N/P molar ratio of 15 were prepared and added into the cells in fresh growth medium containing 10% FBS. PEI 10 kDa was used as a control group. After 24 h,  $20 \mu\text{L}$  of MTT solution ( $5 \text{ mg mL}^{-1}$ ) was added into each well for another 4 h. Then, the medium was removed carefully and  $150 \mu\text{L}$  of dimethylsulfoxide was added to each well to solve the formed formazan crystals. The 96-well plate was oscillated in low speed on volatility instrument for 10 min, and optical density (*OD*) was measured by a microplate reader (Bio-Rad, IMARKTM) at a wavelength of 490 nm. The relative cell viability (%) was calculated using the following formula: (*OD*<sub>490'</sub>: the absorbance value of experimental wells minus zero wells, *avg(OD*<sub>490C'</sub>): the average absorbance value of corrected control wells), at least three parallels were performed for each sample.

$$\text{Relative cell viability} = \frac{OD_{490'}}{avg(OD_{490C'})} \times 100\%$$

### 2.8.4. *In vitro* transfection of ECs

EA.hy926 cells were seeded in 24-well plate at a density of  $1 \times 10^5$  cell/well and cultured for 24 h until 50-60% confluence. Before transfection, cells were incubated with serum-free medium for 12 h. Micelles/pDNA complexes with N/P molar ratio of 15 and concentration of  $30 \mu\text{g mL}^{-1}$  ( $1 \mu\text{g pDNA}$  per well) were added into each well. After 4 h, the medium was changed with fresh growth medium (10% FBS in DMEM). Then, cells were further incubated to obtain considerable efficiency of gene transfection, the expression of green fluorescence protein (GFP) in cells was observed under an inverted fluorescent microscope at 12 h and 24 h.

#### **2.8.5. Western blot assay**

To determine the expression of ZNF580, Western blot analysis was performed as reported previously.<sup>50</sup> Cells were washed twice with  $0.1 \text{ mol L}^{-1}$  PBS (pH = 7.4) and then lysed in RIPA lysis buffer. The concentration of the lysate was determined by a BCA protein assay kit. Cell lysates containing  $50 \mu\text{g}$  of protein were subjected to SDS-PAGE by 15% polyacrylamide resolving gels. After electrophoresis, proteins were transferred onto polyvinylidene fluoride (PVDF) membranes. Proteins were first incubated with rabbit anti-ZNF580 polyclonal antibody and then horseradish peroxidase conjugated goat anti-rabbit IgG to assess the protein loading level. Next, they were incubated with enhanced chemiluminescence reagent and exposed to film. The blots were analyzed using Image J 2.1.  $\beta$ -Actin was used as a control.

#### **2.8.6. Wound healing assay**

The migration capability of EA.hy926 cells treated by micelles/pDNA complexes was assessed using the wound healing assay.<sup>51</sup> Briefly, EA.hy926 cells

were transfected with micelles/pDNA complexes at the N/P molar ratio of 15. After 48 h, the transfected cells growing on 6-well dish plates to 100% confluent monolayer were scratched to form “wound” using a sterile 200  $\mu$ L pipet tip. Cellular debris was removed by washing with D-hanks buffer (pH = 7.4). The images were recorded at 0, 6 and 12 h after scratch using an inverted microscope; the migration area was calculated using Image J 2.1 based on the images after 12 h. The wounded area was calculated by the following formula: wounded area = length  $\times$  width, the percentage of migration area was calculated by the following formula: migration area (%) = (wounded area – non-recovered area)/wounded area.<sup>52</sup>

## 2.9. Statistical analysis

Each experiment was repeated three times, and all data were expressed as the mean  $\pm$  SD (standard deviation). Statistical analysis was performed using analysis of variance (ANOVA), and P values  $<$  0.05 were considered statistically significant.

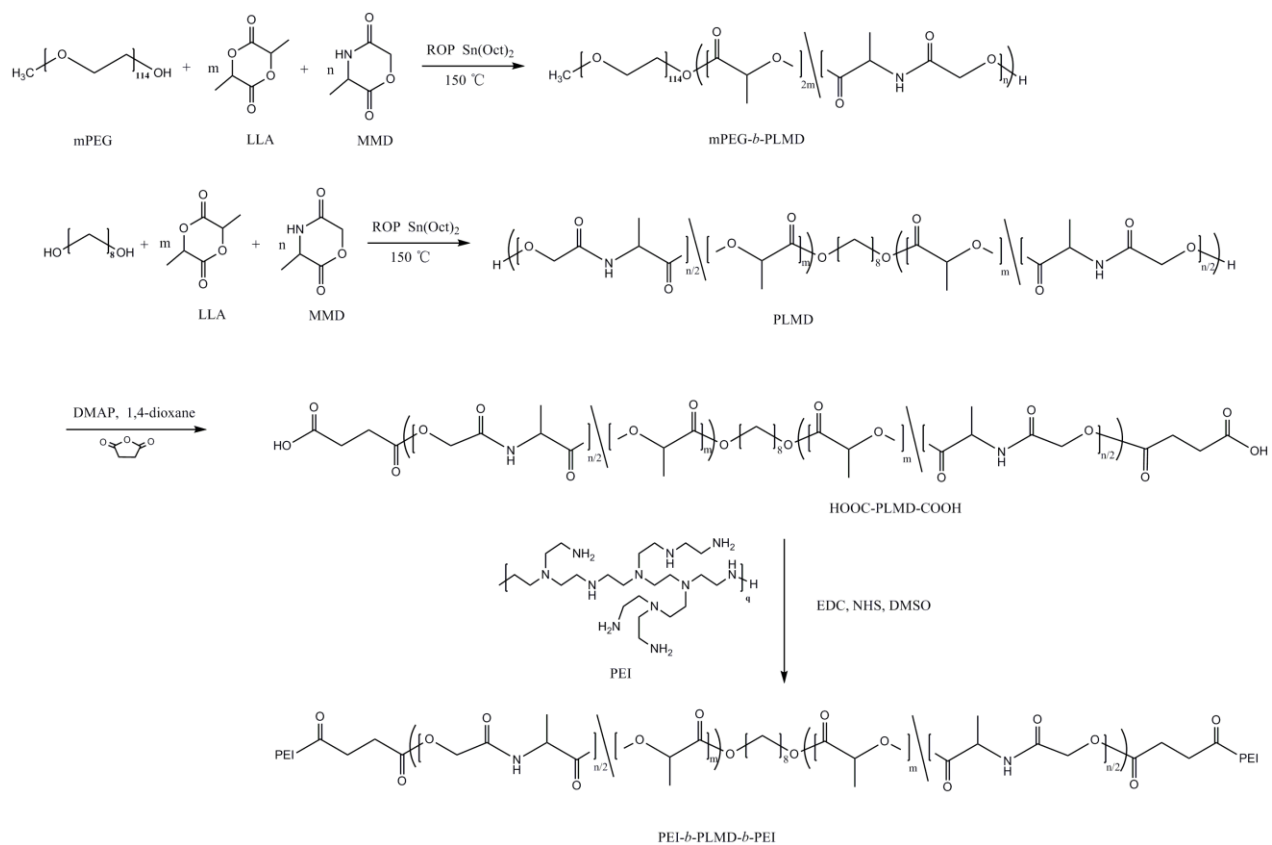
## 3. Results

### 3.1. Synthesis and characterization of mPEG-*b*-PLMD and PEI-*b*-PLMD-*b*-PEI copolymers

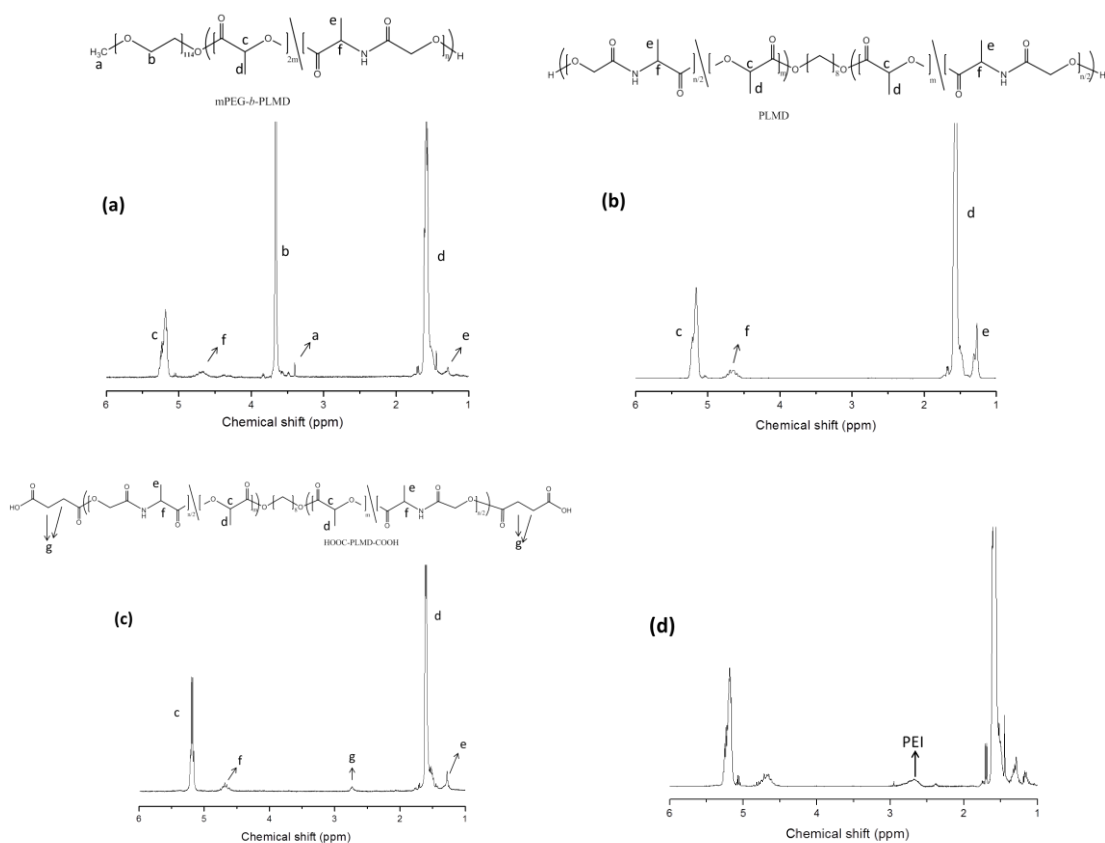
The synthetic routes of mPEG-*b*-PLMD and PEI-*b*-PLMD-*b*-PEI copolymers are illustrated in Scheme 2. Amphiphilic copolymer of mPEG-*b*-PLMD was synthesized by ROP of LLA and MMD initiated by the hydroxyl group of mPEG with Sn(Oct)<sub>2</sub> as a catalyst. The  $M_n$  of mPEG-*b*-PLMD was confirmed by <sup>1</sup>H NMR analysis through the calculation of the integral intensity at 3.65 ppm (CH<sub>2</sub>CH<sub>2</sub>O in mPEG), 5.18 ppm (OCHCH<sub>3</sub>CO in LLA residue), and 4.70 ppm (COCHCH<sub>3</sub>NH in MMD residue)

based on the known molecular weight of mPEG. The  $M_n$  of mPEG-*b*-PLMD was calculated to be 15 kDa, and the content of LLA in PLMD block was 84.2% calculated from the integral area of the peaks mentioned above. Amphiphilic copolymer of PEI-*b*-PLMD-*b*-PEI was synthesized through three steps. Firstly, dihydroxyl copolymer HO-PLMD-OH was synthesized by ROP of LLA and MMD using 1,8-octanediol as an initiator. The obtained terminal hydroxyl polymer PLMD was then reacted with succinic anhydride to form the terminal carboxyl functional polymer HOOC-PLMD-COOH. Finally, PEI was grafted onto HOOC-PLMD-COOH through the amidation reaction, which was activated by NHS and EDC. The structures of intermediate products of the three steps were characterized by  $^1\text{H}$  NMR analysis (Figure 1b-d), where the signal at 2.73 ppm was assigned to the terminal group ( $\text{COCH}_2\text{CH}_2\text{COOH}$  in HOOC-PLMD-COOH) and the broad peak at 2.65 ppm ( $\text{CH}_2\text{CH}_2$  in PEI) indicated the successful connection of PEI and PLMD.



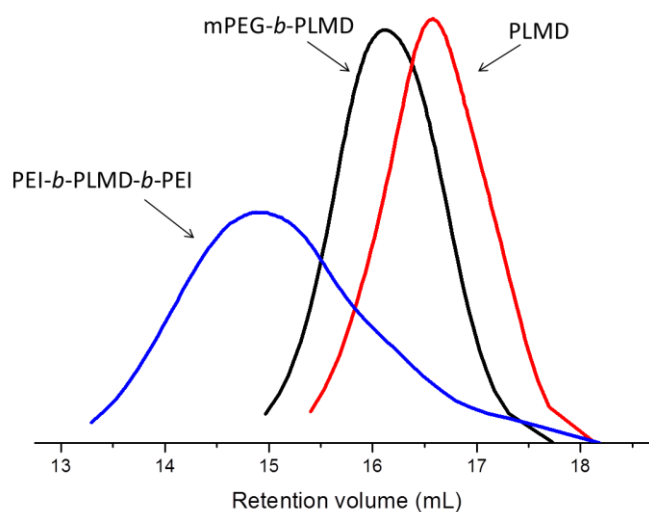


**Scheme 2.** Synthesis of block copolymers of mPEG-*b*-PLMD and PEI-*b*-PLMD-*b*-PEI.



**Figure 1.**  $^1\text{H}$  NMR spectra of polymer mPEG-*b*-PLMD (a), PLMD (b), HOOC-PLMD-COOH (c) and PEI-*b*-PLMD-*b*-PEI (d).

Furthermore, the molecular weight and PDI of mPEG-*b*-PLMD, PLMD and PEI-*b*-PLMD-*b*-PEI were determined by means of GPC. All of the three polymers exhibited monomodal molecular weight distribution as shown in Figure 2. The molecular weight of copolymer mPEG-*b*-PLMD estimated by GPC measurements was close to the value calculated by  $^1\text{H}$  NMR spectrum (Table 1). Compared with PLMD, the molecular weight and PDI of copolymer PEI-*b*-PLMD-*b*-PEI increased, which verified the successful combination of PEI and PLMD.



**Figure 2.** GPC traces of mPEG-*b*-PLMD, PLMD and PEI-*b*-PLMD-*b*-PEI.

**Table 1.** Characterization of block copolymers of mPEG-*b*-PLMD and PEI-*b*-PLMD-*b*-PEI.

Polymer ID	$F_{LLA}^{a)}$ (%)	$M_n^{b)}$	$M_n^{c)}$	$M_w^{c)}$	PDI <sup>c)</sup>
mPEG- <i>b</i> -PLMD	84.2	15100	13200	14300	1.087
PLMD	79.6	---	10200	11100	1.090
PEI- <i>b</i> -PLMD- <i>b</i> -PEI	79.1	---	20800	28200	1.356

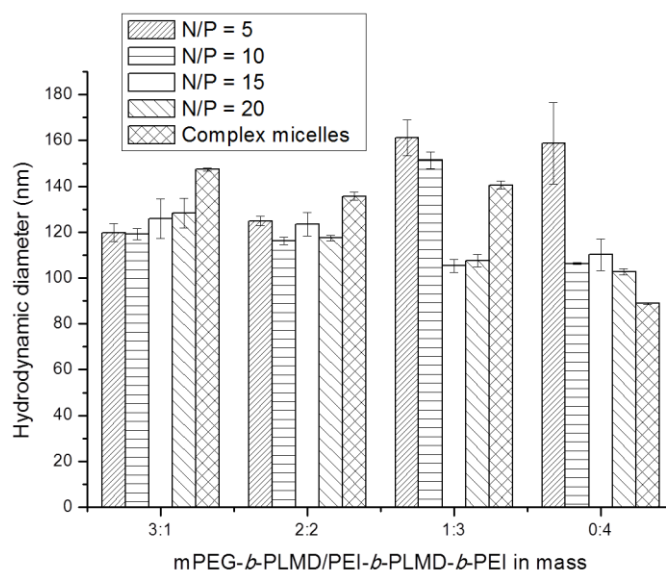
<sup>a)</sup> Weight content of LLA in PLMD block, calculated from  $^1\text{H}$  NMR spectroscopy; <sup>b)</sup> Calculated according to  $^1\text{H}$  NMR; <sup>c)</sup> Determined by means of GPC using DMF as eluent and polystyrene standards for calibration.

### 3.2. Zeta potential and hydrodynamic diameter of complex micelles and micelles/pDNA complexes

The co-assembly of two kinds of block copolymers, i.e., mPEG-*b*-PLMD and PEI-*b*-PLMD-*b*-PEI, in aqueous solution would form complex micelles with hydrophobic PLMD core and mixed hydrophilic mPEG/PEI shell. The same hydrophobic PLMD blocks in these two block copolymers were beneficial for forming the stable core of complex micelles, which can link several hydrophilic mPEG and PEI blocks as shell. In order to condense anionic pDNA for gene delivery, the micelles must contain enough cationic PEI chains. Here, we prepared four kinds of complex micelles with the mass ratios (mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI) of 3/1, 2/2, 1/3, and 0/4, respectively.

Using DLS, hydrodynamic diameters ( $D_h$ ) and zeta potential of these complex micelles and micelles/pDNA complexes were determined. As shown in Figure 3, the  $D_h$  values of the four complex micelles were 147.4 nm, 135.7 nm, 140.5 nm and 88.9 nm, respectively. For the complex micelles of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI = 3/1 and 2/2, after condensing with pDNA, the  $D_h$  values of micelles/pDNA complexes were slightly reduced. While, the opposite trend was observed for the complex micelles of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI = 1/3 and 0/4, namely, the  $D_h$  values of micelles/pDNA complexes were increased after loading pDNA. When the cationic PEI chains compressed with anionic pDNA, the PEI block in the mixed shell collapsed with pDNA together onto the PLMD core. When there were more mPEG blocks in the mixed shell (mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI = 3/1 and 2/2), the collapse of PEI blocks could cause the curl of mPEG chains, which might lead to smaller size of micelles

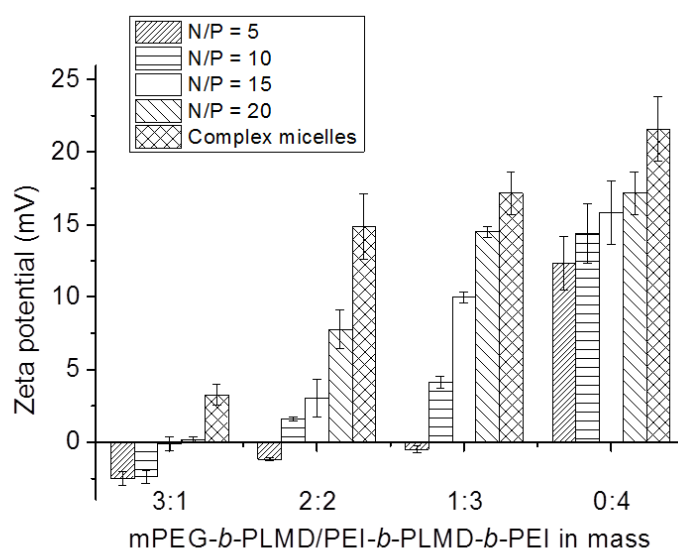
than that of no pDNA-loading micelles. When there were less mPEG blocks in the mixed shell, after the collapse of PEI, the micelles/pDNA complexes were instable and resulted in slight aggregation. The aggregation of micelles/pDNA complexes was also observed in many polyelectrolyte colloid systems in previous studies.<sup>53-55</sup>



**Figure 3.** Hydrodynamic diameters ( $D_h$ ) of complex micelles and micelles/pDNA complexes with N/P molar ratios increasing from 5 to 20 measured by DLS.

Usually, unlike the negative pDNA, gene carriers with positive surface charge are easier to bind to cell membrane and to achieve endocytosis. The surface charge of complex micelles and micelles/pDNA complexes was estimated by DLS. Figure 4 shows the zeta potential of these micelles. Before condensing pEGFP-ZNF580, the zeta potential of complex micelles was positive because of the cationic PEI in the mixed shell. With decreasing the content of mPEG in micelles, such as the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI decreased from 3/1 to 0/4, the zeta potential of micelles increased from +3.26 mV to +21.6 mV. Because mPEG was able to shield

the positive charge of the complex micelles when the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 3/1. When the ratio turned to 0/4, the micelles did not have any PEG in the shell. It could be also observed that in the same group, the zeta potential increased with increasing N/P molar ratios (Figure 4). When the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 3/1, the zeta potential of micelles/pDNA complexes changed from negative to slight positive with N/P molar ratios increasing from 5 to 20.

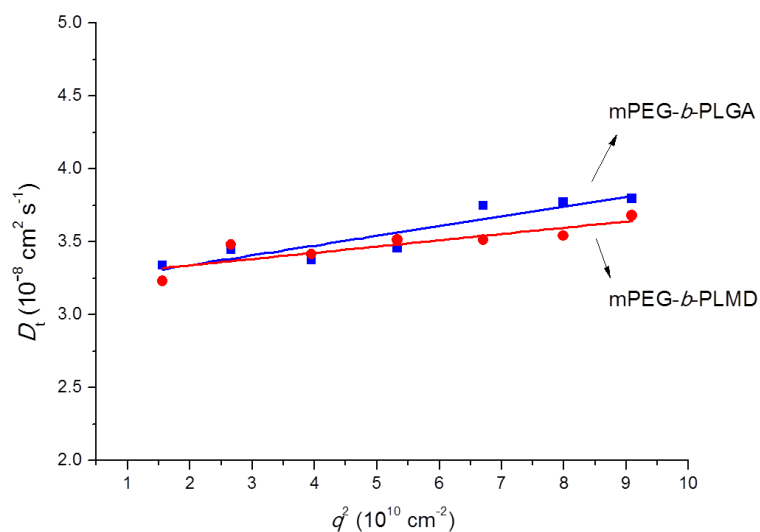


**Figure 4.** Zeta potential of complex micelles and micelles/pDNA complexes with N/P molar ratios increasing from 5 to 20.

### 3.3. Comparing micellar inner core of PLMD with poly(lactide-*co*-glycolide) (PLGA) core

Figure 5 shows the angular dependence of the translational diffusion coefficient ( $D_t$ ) of mPEG-*b*-PLGA micelles and mPEG-*b*-PLMD micelles with the scattering

angle ranging from  $45^\circ$  to  $135^\circ$ , respectively. It was evident that the  $D_t$  values of both two kinds of micelles had no obvious dependence of  $q^2$ , which suggested that these micelles were spherical.<sup>56</sup> Extrapolation of the fit lines in Figure 5 to  $q^2 = 0$  yields the  $D_t^0$ . Thus, the hydrodynamic radii  $R_h$  of the micelles can be calculated by the Stokes-Einstein equation.<sup>56,57</sup> The radii of gyration ( $R_g$ ) of the two kinds of micelles were obtained from SLS at  $25^\circ\text{C}$ .  $R_g$ ,  $R_h$  and  $R_g/R_h$  of mPEG-*b*-PLGA micelles and mPEG-*b*-PLMD micelles were summarized in Table 2. It is well-known that the  $R_g/R_h$  value can reveal the morphology of a particle in dilute solution.<sup>58</sup> The  $R_g/R_h$  of mPEG-*b*-PLGA micelles was larger than that of mPEG-*b*-PLMD micelles, which indicated that the inner core of micelles composed of PLMD was more compact than that of micelles composed of PLGA. Compared with PLGA, the intermolecular hydrogen bonding inside the PLMD core could result in high compactness of the micellar core, and micelles assembled from mPEG-*b*-PLMD would be more stable than that assembled from mPEG-*b*-PLGA.



**Figure 5.** Angular dependence of  $D_t$  of mPEG-*b*-PLGA micelles and mPEG-*b*-PLMD micelles, where the polymer concentrations were  $0.50 \text{ mg mL}^{-1}$  in all cases. All of the SLS measurements were performed at  $25 \text{ }^\circ\text{C}$ .

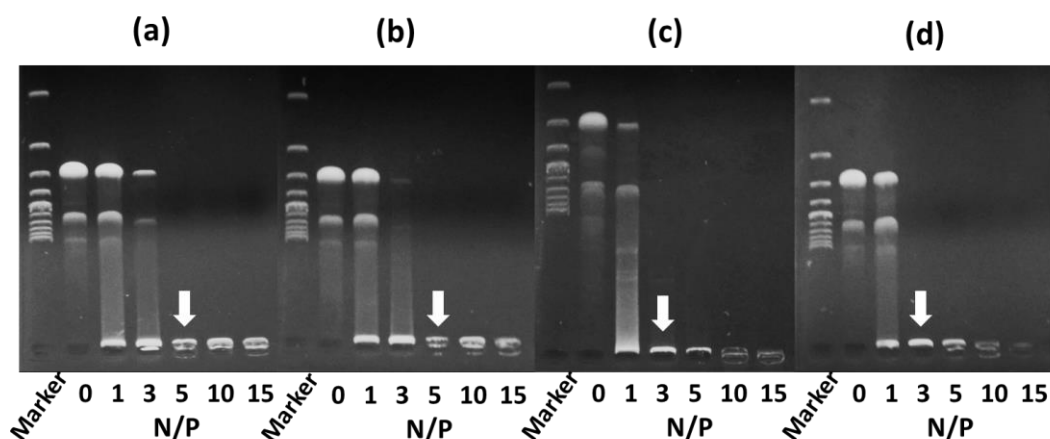
**Table 2**  $R_g$ ,  $R_h$  and  $R_g/R_h$  of mPEG-*b*-PLGA micelles and mPEG-*b*-PLMD micelles at  $25 \text{ }^\circ\text{C}$ .

Micelles	$R_g$ (nm)	$R_h$ (nm)	$R_g/R_h$
mPEG- <i>b</i> -PLGA	74.9	76.1	0.984
mPEG- <i>b</i> -PLMD	67.2	75.1	0.895

### 3.4. pDNA complexation and condensation

In order to determine the ability of complex micelles to condense pDNA, agarose gel electrophoresis assays were performed. The results were shown in Figure 6. The complex micelles with different mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI ratios (3/1, 2/2, 1/3 and 0/4) could achieve complete retardation of pDNA (indicating complete pDNA complexation) at N/P = 5, 5, 3 and 3, respectively. When the content of mPEG was high in the mixed shell, pDNA could not be condensed completely at low N/P molar ratio. This indicated that mPEG block in the mixed shell could hinder the interaction of micelles and pDNA. Enhanced pDNA condensation could be achieved through increasing the content of PEI block in mixed shell.



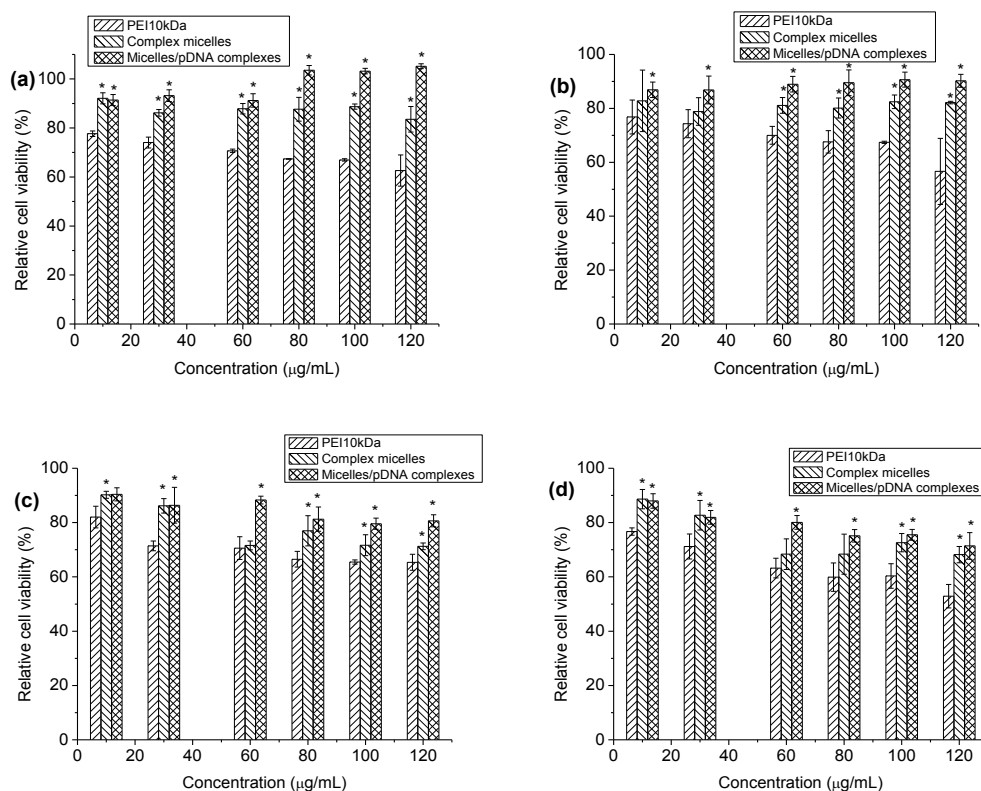


**Figure 6.** Agarose gel electrophoresis of micelles/pDNA complexes at various N/P ratios (Marker, 0, 1, 3, 5, 10 and 15). a) complex micelles with  $m\text{PEG-}b\text{-PLMD/PEI-}b\text{-PLMD-}b\text{-PEI} = 3/1$ ; b) complex micelles with  $m\text{PEG-}b\text{-PLMD/PEI-}b\text{-PLMD-}b\text{-PEI} = 2/2$ ; c) complex micelles with  $m\text{PEG-}b\text{-PLMD/PEI-}b\text{-PLMD-}b\text{-PEI} = 1/3$ ; d) complex micelles with  $m\text{PEG-}b\text{-PLMD/PEI-}b\text{-PLMD-}b\text{-PEI} = 0/4$ .

### 3.5. *In vitro* cytotoxicity of complex micelles and micelles/pDNA complexes

The cytotoxicity of complex micelles and micelles/pDNA complexes was investigated in EA.hy926 cells by MTT assays. PEI 10 kDa was used as a control. The concentrations of complex micelles and micelles/pDNA complexes ranged from 10 to 120 mg L<sup>-1</sup>. The relative viabilities of cells treated with the complexes were measured after 24 h by MTT assay. The results showed that all complex micelles and micelles/pDNA complexes were practically less toxic to EA.hy926 cells than PEI 10 kDa with different concentrations of micelles at N/P molar ratio of 15 (Figure 7). In particular, the cytotoxicity of complex micelles generally reduced after loading pDNA, which were conducive to the following transfection experiments. Notably, it was

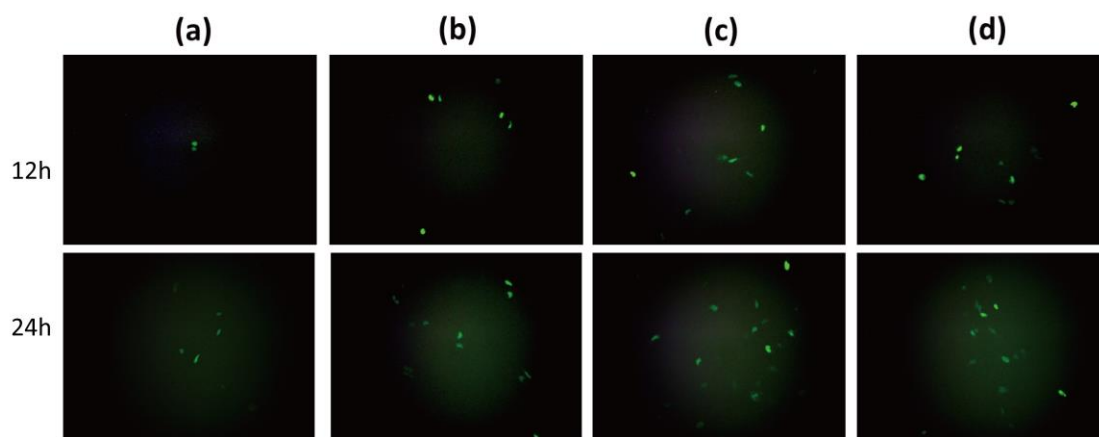
observed that with decreasing the content of mPEG block in the mixed shell (the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI changed from 3/1 to 0/4), cell viability of EA.hy926 cells with complex micelles and micelles/pDNA complexes decreased.



**Figure 7.** Relative cell viability of EA.hy926 cells with different concentrations of micelles at N/P molar ratio of 15. Cells treated with PEI 10 kDa served as the control group. a) Cells treated with complex micelles and micelles/pDNA complexes with mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI = 3/1; b) Cells treated with complex micelles and micelles/pDNA complexes with mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI = 2/2; c) Cells treated with complex micelles and micelles/pDNA complexes with mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI = 1/3; d) Cells treated with complex micelles and micelles/pDNA complexes with mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI = 0/4. ( $\bar{x} \pm SD$ , n = 3, \*statistically different from PEI 10 kDa group (p < 0.05)).

### 3.6. *In vitro* gene transfection of micelles/pDNA complexes

The existence of mPEG blocks around the micelles can shield the surface charge of micelles so that they are beneficial for reducing the cytotoxicity of these gene carriers. In the following transfection experiments, we aim to investigate the relationship between the mass ratios of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI and the transfection efficiency of micelles/pDNA complexes. *In vitro* gene transfection activity of micelles/pDNA complexes was evaluated by EA.hy926 cells at N/P molar ratio of 15 with the concentration of micelle solutions was  $30 \mu\text{g mL}^{-1}$ . The transfection efficiency of micelles/pDNA complexes was visualized by the observation of EGFP positive cells using a fluorescence microscope (Figure 8). All the four kinds of micelles showed well transfection activity after 12 h, and the transfection efficiency was enhanced after 24 h. The trend was clear: with increasing the content of PEI block in the mixed shell (the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI decreased from 3/1 to 0/4), the transfection efficiency of micelles/pDNA complexes increased. Co-assembling PEI with mPEG could shield surface charge of micelles, reduce the cytotoxicity of these gene carriers and meanwhile remarkably diminish transfection efficiency in EA.hy926 cells.

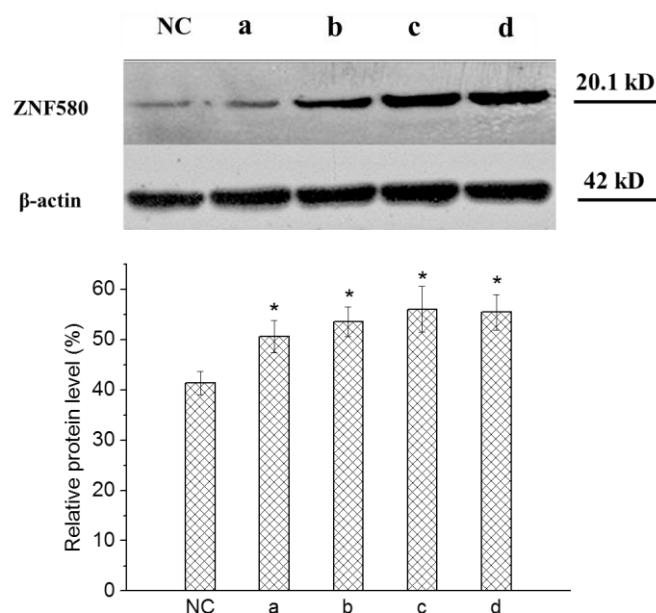


**Figure 8.** Fluorescence images of EA.hy926 cells transfected by micelles/pDNA complexes at the N/P molar ratio of 15 and time intervals of 12 h and 24h. a) Cells treated by micelles/pDNA complexes with the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 3/1; b) cells treated by micelles/pDNA complexes with the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 2/2; c) cells treated by micelles/pDNA complexes with the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 1/3; d) cells treated by micelles/pDNA complexes with the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 0/4.

### 3.7. Western blot assay

The Western blot assay was used to confirm the expression of ZNF580 gene in EA.hy926 cells. As shown in Figure 9, the Western blot analysis indicated that after 48 h of transfection, the ZNF580 protein expression was clearly visible at the N/P molar ratio of 15 for the micelles/pDNA complexes. The degree of ZNF580 protein expression of micelles/pDNA complexes was higher than negative control group (NC). Different micelles exhibited effective up-regulation, when the ratios of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI changed from 3/1 to 0/4. This result was

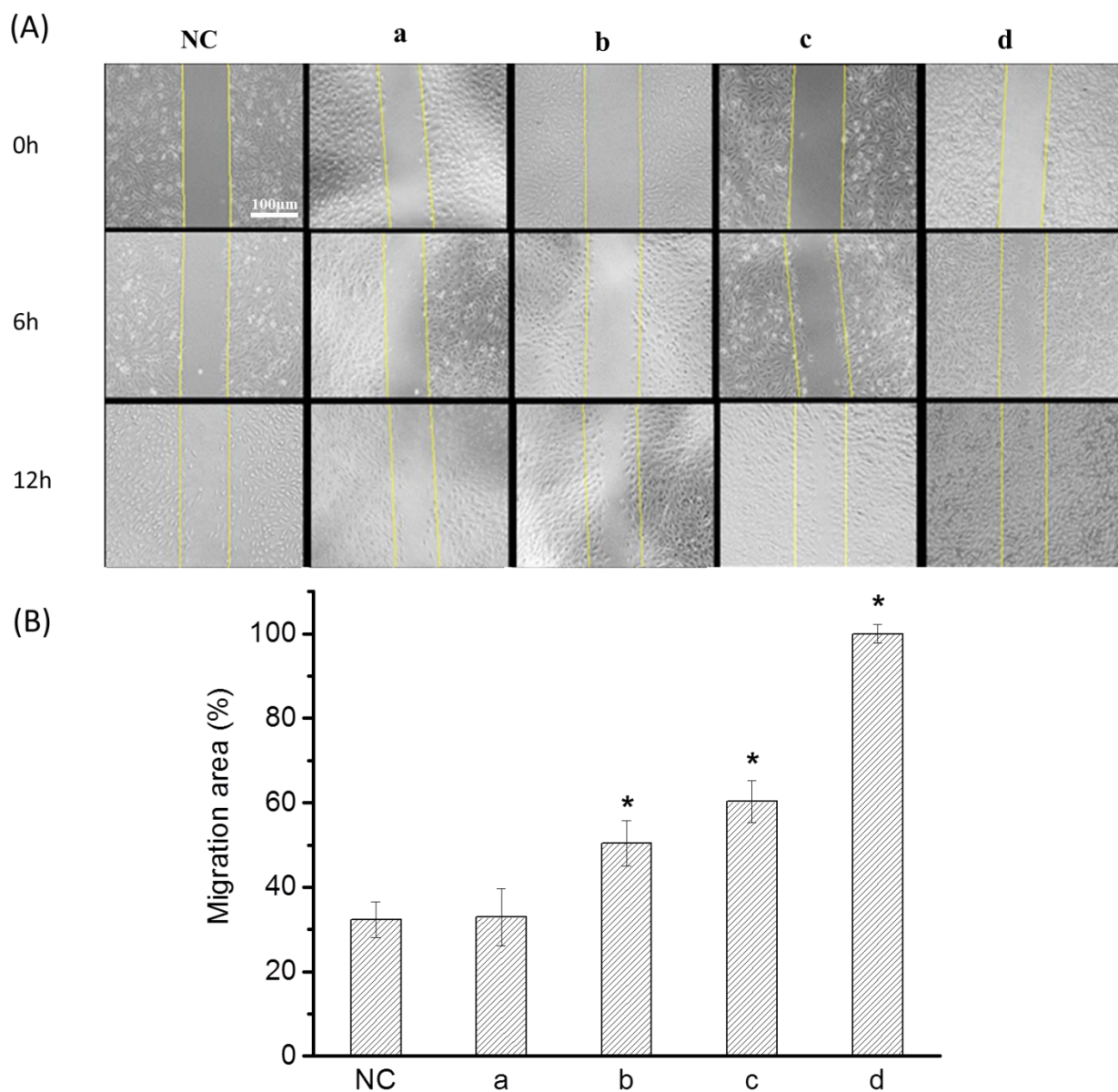
consistent with the results of transfection efficiency. High gene transfection efficiency of the micelles/pDNA complexes is beneficial to high ZNF580 protein expression.



**Figure 9.** Western blot analysis for ZNF580 protein expression in EA.hy926 cells transfected by micelles/pDNA complexes, cells without treated by micelles/pDNA complexes served as NC group; a) cells treated by micelles/pDNA complexes with the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 3/1; b) cells treated by micelles/pDNA complexes with the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 2/2; c) cells treated by micelles/pDNA complexes with the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 1/3; d) cells treated by micelles/pDNA complexes with the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 0/4. ( $\bar{x} \pm SD$ ,  $n = 3$ , \*statistically different from NC group ( $p < 0.05$ )).

### 3.8. Wound healing assay

Results from wound healing assay were shown in Figure 10. Compared with NC group, all of the four kinds of micelles/pDNA complexes obviously promoted the migration of EA.hy926 cells. The migration rate of transfected cells increased with the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI changing from 3/1 to 0/4. After 12 h of scratch formation, the migration area percentage of NC group was only  $32.4 \pm 4.3\%$ , while cells treated by micelles/pDNA complexes prepared from PEI-*b*-PLMD-*b*-PEI (the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 0/4) reached high migration area percentage (almost 100%). These results suggested that the complex micelles condensing with pDNA could enhance the migration of EA.hy926 cells. High content of PEI block in the mixed shell of complex micelles is beneficial to high transfection efficiency as well as high cell migration.



**Figure 10.** (A) Cells migration determined by wound healing assay at different time points. (B) Migration area after 12 h calculated by Image-Pro Plus (6.0). Cells without treated by micelles/pDNA complexes served as the NC group; a) cells treated by micelles/pDNA complexes with the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 3/1; b) cells treated by micelles/pDNA complexes with the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 2/2; c)

cells treated by micelles/pDNA complexes with the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 1/3; d) cells treated by micelles/pDNA complexes with the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 0/4. ( $\bar{x} \pm \text{SD}$ ,  $n = 3$ , \*statistically different from NC group ( $p < 0.05$ )).

#### 4. Discussion

Rapid endothelialization has been proposed to solve in-stent restenosis after the stent implantation in the treatment of occluded coronary arteries.<sup>59, 60</sup> For the realization of rapid endothelialization in artificial vascular grafts, gene therapy as a potential strategy has been highlighted recently.<sup>2</sup> Apart from viral carriers, nonviral gene delivery systems, especially cationic polymer-based gene delivery systems, have attracted increasing attention due to safety concerns and easily modification of their structures.<sup>10, 61</sup> Many researches of nonviral gene delivery carriers focus on reducing the cytotoxicity and enhancing the transfection efficiency of gene carriers simultaneously. In parallel with the efforts to synthesize special polymers through modern polymerization techniques, an approach of co-assembling different functional block copolymers into complex polymeric micelles offers a convenient preparation process for multifunctional gene carriers.<sup>62-64</sup> This strategy is beneficial for tuning the outer surface of complex micelles.

In this study, the co-assembling technology was applied to tailor the transfection efficiency and cytotoxicity of gene carriers *via* predefining the amount of mPEG and PEI on the micellar surface. Hydrophobic PLMD segments formed the inner core to increase the biodegradability of the polymeric micelles. In previous research, we have



successfully prepared complex micelles consisted of a biodegradable PLGA core and a mixed PEG/PEI shell.<sup>65</sup> The results of DLS and SLS showed that the  $R_g/R_h$  of mPEG-*b*-PLGA micelles was larger than that of mPEG-*b*-PLMD micelles, which indicated that inner core of micelles composed of PLMD was more compact than that of micelles composed of PLGA. The existence of intermolecular hydrogen bonding in the micellar core formed by PLMD chains is beneficial to forming high stable micelles than the PLGA core.

According to the results of zeta potential, the nano-sized micelles co-assembled from mPEG-*b*-PLMD and PEI-*b*-PLMD-*b*-PEI possessed positive surface charge due to the polycationic PEI which directly led to high cytotoxicity evaluated in EA.hy926 cells by MTT assays. As previously reported,<sup>25, 66</sup> the cytotoxicity of polycationic micelles may presumably be caused by aggregation of huge clusters of the cationic polymers on the outer cell membrane, thereby induces necrosis. When cationic PEI-*b*-PLMD-*b*-PEI co-assembled with electroneutral mPEG-*b*-PLMD, the reduction of micellar cytotoxicity could be a function of the content of mPEG in the complex micellar shell. Thus the cell viability presented an ascend trend when mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI mass ratio increased from 0/4 to 3/1. But high positive charge of complex micelles was favorable for high condensation ability of negative pDNA *via* electrostatic interactions.

To investigate the gene transfection efficiency of micelles/pDNA complexes with different mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI mass ratios, we transfected EA.hy926 cells with pDNA at N/P molar ratio of 15 and the concentration of micelle

solutions of  $30 \mu\text{g mL}^{-1}$  *in vitro*. Unlike the results of MTT assay, the transfection efficiency showed an increasing tendency when mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI mass ratio decreased from 3/1 to 0/4. High content of PEI chains in mixed shell of micelles would lead to high transfection efficiency, and the micelles with mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI mass ratio of 0/4 were the most effective gene carriers. Although the presence of PEI chains significantly caused high cytotoxicity of micelles/pDNA complexes, the transfection efficiency of micelles increased at the same time. The results of Western blot assay at 48 h after transfection showed a clearly noticeable rising in protein levels with increasing the content of PEI chains in the mixed shell, which was consistent with the results of transfection experiments. The up regulation of content of PEI chains in the micelles improved the transfection of pZNF580 into EA.hy926 cells and simultaneously raised the relative ZNF580 protein levels.

The migratory capability of cells was measured by wound healing assay. The enhanced migration was dependent on the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI of the micelles. Cells treated by micelles/pDNA complexes assembled from PEI-*b*-PLMD-*b*-PEI (the mass ratio of mPEG-*b*-PLMD/PEI-*b*-PLMD-*b*-PEI was 0/4) migrated and covered the wound area with relatively high migration area percentage (approximate 100%) due to the high transfection efficiency of pZNF580 gene to improve the migration of ECs. These results suggested that these ZNF580 gene plasmid-loaded micelles are beneficial for the transfection of ECs.

## 5. Conclusions

In this study, we developed a novel gene carrier to adjust the cytotoxicity and the transfection efficiency. The delivery of ZNF580 gene plasmid into EA.hy926 cells was successfully carried out by the co-assembled complex micelles with a hydrophobic PLMD core and a mixed mPEG/PEI shell. The small hydrodynamic size and positive zeta potential of micelles/pDNA complexes indicated that they were feasible to cellular uptake and gene transfection. The cytotoxicity and transfection of the ECs could be tuned by changing the ratio of mPEG to PEI in the mixed shell. Moreover, Western blot analysis confirmed that the micelles/pDNA complexes could enhance the expression of ZNF580 in cells, and the expression could be effectively up-regulated with increasing the content of PEI block in the mixed shell of complex micelles. Finally, wound healing assay demonstrated the enhanced migration activity of ECs by micelles/pDNA complexes depending on increasing the amount of PEI block in the mixed shell. These results indicated that the co-assembled complex micelles could be a promising gene carrier with tunable gene transfection efficiency and cytotoxicity.

## Acknowledgements

This project was supported by the National Natural Science Foundation of China, China (Grant No. 31370969), the International Cooperation from Ministry of Science and Technology of China, China (Grant No. 2013DFG52040), and the Program of Introducing Talents of Discipline to Universities of China, China (No. B06006).

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