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2	Comparative study of preparation, characterization and anticandidal
3	activities of chitosan silver nano composite (CAgNC) compared with low
4	molecular weight chitosan (LMW-chitosan)
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6	Dananjaya S.H.S ^a ., Kulatunga D.C.M ^a ., G.I. Godahewa ^b , Jehee Lee ^b , Mahanama De Zoysa ^{a,b*}
7	
8 9 10	^a College of Veterinary Medicine and Research Institute of Veterinary Medicine, Chungnam National University, Yuseong-gu, Daejeon, 305-764, Republic of Korea.
10 11 12	^b Fish Vaccine Research Center, Jeju National University, Jeju Self-Governing Province 690-756, Republic of Korea.
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20	* Corresponding author:
21	Mahanama De Zoysa
22 23	College of Veterinary Medicine and Research Institute of Veterinary Medicine, Chungnam National University, Yuseong-gu, Daejeon, 305-764, Republic of Korea.
24	Tel:+82428216795; Fax:+82428218903
25	E-mail:mahanama@cnu.ac.kr; (De Zoysa, M)
26	

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27	
28	Abstract
29	Chitosan-silver nanocomposite (CAgNC) was green synthesized using low molecular weight
30	chitosan (LMW-chitosan) and silver nitrate without applying external chemical-reducing agents.
31	The newly synthesized CAgNC was characterized by UV-visible spectroscopy, fourier
32	transform infrared spectroscopy (FT-IR), X-ray diffraction (XRD), field emission electron
33	microscopy (FE-SEM and FE-TEM), inductively coupled plasma-atomic emission spectroscopy
34	(ICP-AES), particle size and zeta potential analysis. The average size of LMW-chitosan and
35	CAgNC were 1776 ± 23 nm and 240.1 ± 23.6 nm, respectively. The zeta potential of CAgNC
36	was observed as + 41.1 mV. The AgNPs which are deposited on chitosan matrix had average
37	size ranges between 5-50 nm. The Ag content of the CAgNC was determined as $0.696 \pm 0.054\%$
38	(w/w). The minimum inhibitory concentration (MIC) values of LMW-chitosan and CAgNC
39	against Candida albicans were determined as 100 and 50 μ g/mL, whereas the minimum
40	fungicidal concentration (MFC) values were recorded as 400 and 150 μ g/mL, respectively.
41	Propidium iodide (PI) uptake results suggested that CAgNC has affected to permeability of cell
42	membrane of C. albicans. Moreover, CAgNC induced the level of reactive oxygen species
43	(ROS) at higher level when compared to the LMW-chitosan in concentration dependent manner.
44	This report illustrates the eco-friendly approach for the reduction of silver ions using LMW-
45	chitosan as a reducing agent to make biologically active composite (CAgNC) and as potential
46	antifungal agent against C. albicans.

47 Keywords: Low molecular weight chitosan (LMW-chitosan); chitosan silver nano composite
48 (CAgNC); Silver nano particles (AgNPs); antifungal agent; *C. albicans*.

50 **1. Introduction**

Chitosan, a natural cationic polysaccharide which is consisted of co-polymers of glucosamine (B 51 1-4-linked 2-amino-2-deoxy-d-glucose) and N-acetyl glucosamine (2-acetamido-2-deoxy-d-52 glucose). Chitosan is derived from partial deacetvlation of chitin obtained from crustaceans or 53 the mycelium of fungi.¹ Bacteriostatic² and fungistatic effects³ due to reactive amino groups and 54 metal ion chelating activity associated with linear polyamine (poly-D-gulcosamine) structures 55 are the main functional properties of chitosan. Recently, applications of chitosan have extended 56 to various fields such as medicine, food, chemical engineering, pharmaceuticals, nutrition, 57 environmental protection and agriculture.⁴ In particular, the antifungal and antibacterial activities 58 of chitosan have been investigated against wide range of pathogenic strains.⁵ The size and zeta 59 potential of chitosan particles are critical properties when considering its bioactivities.⁶ 60 Nanosilver (silver nanoparticles, AgNPs, or Ag_{nano}^{0}) is considered as zero valent silver 61 (Ag⁰) having a less than 100 nm of particle diameter. AgNPs are commonly synthesized through 62 chemical reduction methods in which silver salts, such as AgNO₃⁷ or silver perchlorate/AgClO₄⁸ 63 can be reduced by reducing agent like glucose,⁷ or sodium borohydride/NaBH₄⁹ In function, 64 AgNPs displays stronger, longer-term, and broader spectrum of antimicrobial activities when 65 compare with other metallic nano particles.¹⁰ Meanwhile coating agents or stabilizers, such as 66 polysaccharides,¹¹ poly vinyl alcohol/PVA,¹² poly ethylene glycol/PEG,^{13,14} or citrate,¹⁵ are 67 generally used to prevent aggregation of AgNPs. To achieve better biomedical performances 68 AgNPs, many researches have tested polymer based composite materials combined with 69 AgNPs.¹⁶ 70

Moreover, polymer embedded AgNPs have been shown superior characteristics such as
longer stability, better dispersion and low toxicity levels. Chitosan-silver nano composite

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73	(CAgNC) is one of the composite materials which can be synthesized via electrochemical, ¹⁷
74	chemical, ¹⁸ green synthesis, ^{19,20} and biosynthesis methods. ²¹ It possesses antimicrobial activity,
75	^{22,23} bio sensing potential, ²² and dye oxidation properties ²⁴ . In recent years, severe fungal
76	infections have caused increasing morbidity and mortality among immunocompromised patients
77	who need intensive treatments. ²⁵ C. albicans is the most widespread species among other
78	Candida species such as C. tropicalis, C. glabrata, C. kruisei. ²⁶ Therefore, it is an urgent need
79	for development of new and non-toxic antifungal agents against, C. albicans.
80	Our main objective of the present study was to compare the physio-chemical properties
81	and anticandidal properties of CAgNC with its precursor LMW-chitosan. For that we firstly
82	prepared the CAgNC using LMW-chitosan and determined the physiochemical properties such
83	as particle size, zeta potential, UV-vis absorption, FE-SEM, FE-TEM and XRD. In order to
84	make functional comparison, antifungal activity against C. albicans was assessed under various
85	parameters such as MIC, MFC, cell viability, change of cell membrane structure, capacity of
86	ROS production and PI uptake. Based on the results and interpretation of possible mode of action
87	we conclude that newly synthesized CAgNC has superior antifungal activities than LMW-
88	chitosan.

89

90 2. Experimental section

91 2.1 Synthesis and characterization of CAgNC from LMW-chitosan

92 CAgNC was green synthesized by reduction method using LMW(50-150 kDa)-chitosan
93 with a deacetylation degre of ~85% (Sigma–Aldrich, USA). In brief, the CAgNC was
94 synthesized by adding 4 mL of freshly prepared 0.01 M AgNO₃ solution (Sigma Aldrich, USA)
95 followed by addition of 400 µL of 0.5 M NaOH solution (Biosesang, Korea) to 100 ml of 0.2%
96 (w/v) LMW-chitosan solution with constant stirring at 95 °C. The formation of AgNPs was

97	indicated by the appearance of a yellow color about 1 min after the addition of the NaOH
98	solution. After 15 min, the resulting suspension was filtered and washed several times using
99	distilled water and then dried at 60 °C for 6 h. In order to confirm the formation of AgNPs,
100	UV-Vis spectroscopy was carried out using double beam UV-vis spectrophotometer (Mecasys,
101	Korea), over a range of 300- 800 nm. The percentage of Ag in CAgNC was determined using an
102	ICP-AES (Perkin-Elmer Optima, USA). FT-IR spectra was recorded in the wavelength region
103	4000-600 cm ⁻¹ using Bio-Rad 175 C FTS spectrophotometer in Attenuated Total Reflectance
104	(ATR) mode. The surface morphology was examined by FE-SEM analysis (Hitachi S-4800,
105	Japan) operating at an accelerating voltage of 3.0 kV. The particle size and shape of the CAgNC
106	was analyzed using FE-TEM, (Model Tecnai G2 F30 S-Twin, FEI, USA) operating at 300 keV.
107	The phase analysis was done by observing the SAED pattern to confirm the crystal structure of
108	CAgNC. X-ray diffraction (XRD) is a versatile, non-destructive analytical method for the
109	identification and quantitative determination of various crystalline phases. Powder XRD analysis
110	was conducted via Philips PW 1710 diffractometer with Cu K α radiation (λ = 1.5406 Å) and
111	graphite monochromator, operated at 45 kV; 30 mA and 25 °C. Particle size distribution and zeta
112	potential of CAgNC and LMW-chitosan were determined by Zetasizer S-90 Malvern instruments
113	(Malvern, UK) using diluted and dispersed solution of CAgNC in 0.25 % (V/V) acetic acid.
114	

115 2.2 Analysis of anticandidal activities of CAgNC and LMW-chitosan

MIC and MFC of CAgNC and LMW -chitosan against *C. albicans* were determined via
turbid metric assay as described previously.²⁸ The different concentrations of CAgNC and
LMW-chitosan (25, 50, 75, 100, 150 and 200 µg/mL) were added to 4 mL of potato dextrose
broth (PDB, Difco-USA) with *C. albicans* at 0.05 OD: 600 nm (10⁵ CFU/mL) and incubated at

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120 30^{0} C while shaking at 150 rpm for 24 h. The corresponding control test was carried out without

121 CAgNC and LMW-chitosan, whereas the positive control was conducted with 10 μ g/mL

122 Nystatin. All experiments were carried out in triplicates.

123

124 **2.3 Determination of ROS production and cell viability**

To determine the ROS production and the cell viability in C. albicans culture (0.05 OD, 125 600nm) with different concentrations of CAgNC and LMW-chitosan (0 to 100 µg/mL) was kept 126 in a shaking incubator for 6 h at 30 °C. ROS generated cells were stained with 30 µg/mL 5-(and-127 6)-carboxy-2',7' dichloro dihydro fluorescein diacetate (H₂DCFDA) followed by 30 min 128 incubation and harvesting by centrifugation at 13000 rpm for 2 min. Cells were washed and 129 dissolved using ×1 PBS to quantify ROS generation using the FACScaliber flow cytometer 130 131 (Becton Dickinson, USA). Cell viability was determined by MTT assay. Briefly, after 24 h incubation period, the samples were treated with 70 μ g/ μ L of MTT solution (3-(4, 5-dimethyl-2-132 thiazolyl)-2, 5-diphenyl-2H-tetrazolium bromide) and incubated for additional 30 min. Harvested 133 cells were re-suspended in DMSO (200 μ g/ μ L well⁻¹) and cell viability was detected at OD 570 134 using a micro plate reader (Thermo, USA) attached to a computer. 135

136

137 **2.4 Effect on CAgNC and LMW-chitosan on plasma membrane of** *C. albicans* by PI assay

138 Cell membrane integrity of CAgNC and LMW-chitosan treated *C. albicans* was assessed 139 by monitoring the uptake of the fluorescent probe, PI (Sigma Aldrich, USA). For the 140 determination of the PI uptake, cell suspensions of the control, MIC and MFC levels treated 141 samples were centrifuged (3500 rpm, 2 min,) and the pellets were re-suspended in PBS. The 142 treated cells were incubated with PI (5 μ g/mL) at 30 0 C for 15 min in dark. Over staining were

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washed twice with PBS. Finally, one drop of each suspensions was placed on the cover slip and 143 observed using a Zess LSM 510 meta confocal laser scanning microscope (CLSM) scan head 144 integrated with the Axiovert 200 M inverted microscope (Carl Zeiss, Jena, Germany). C. 145 albicans cells were observed through a 40 x 1.3 oil objective and PI was excited with the 543 146 laser line and the emission was recorded through a 585 long-pass filter. 147 148 2.5 Statistical analysis 149 All the data related to the cell viability was illustrated as means \pm SD for triplicate 150 reactions. Statistical analysis was performed using unpaired, two-tailed *t*-test to calculate the *P*-151 value using GraphPad program (GraphPad Software, Inc.). The significant difference was 152 defined at P < 0.05. 153 3. Result and discussion 154 3.1 Synthesis and characterization of CAgNC 155 Present study describes the use of LMW-chitosan with AgNPs to develop biologically active and 156 superior anticandidal agent against C. albicans. The first part of this work is synthesis of CAgNC 157 158 using LMW-chitosan and physiochemical characterization. The progress of the AgNPs synthesis was tracked by using UV-Vis spectroscopy. The UV-visible absorption spectra of LMW-159 chitosan and CAgNC are shown in Fig. 1. The spectra exhibited an absorption band around 415 160 161 nm for CAgNC. However, there is no specific absorption spectrum observed in LMW-chitosan. The surface plasmon resonance (SPR) band of spherical AgNPs was observed around 420 nm 162

and it clearly evidences for the formation of AgNPs as previously reported.²⁹ To convert the Ag^+

164 into metallic Ag, an electron supplier or a reducing agent should be added. When NaOH is added

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to an AgNO₃ aqueous solution, the pH of the solution can be increased and Ag₂O is precipitated as solid mass.³⁰ However, in this study, a solid gray precipitate of Ag₂O was not formed because Ag+ stabilized by the basic chitosan suspension. Then Ag+/chitosan complex has allowed Ag+ to collect electrons from the basic suspension and to be reduced to an Ag atom. Twu et al²⁰, has suggested the greater probability of suppling electron by degradation products of low-molecular weight chitosan (e.g. glucosamide) and functioning as a reducing agent.







Fig 1. Product of CAgNC and UV–visible spectroscopy analysis. (A) Formation of AgNPs on
chitosan matrix which develops yellow color. (B) UV-Vis spectrum of AgNPs presenting an
absorption peak at 410 nm due to surface plasma resonance (LMW-Chitosan as precauser).

We studied the chemical interaction between LMW-chitosan and Ag in the CAgNC matrix by
FT-IR spectral analysis. Results shows the FT-IR spectrum of CAgNC indicating the band at
3366 cm-1 which confirm the stretching vibrations of -OH and -NH groups (Fig. S1). Moreover,
additional bands were displayed at 2871 cm-1, 1645 cm-1, 1375 cm-1, 1060 cm-1 which are
ascribed to the asymmetric stretching vibrations of -CH group, amide group (C-O stretching

181	along-N-H deformation), COO- group carboxylic acid salt, and stretching vibrations of C-O-C
182	in the glucose unit, respectively. The LMW-chitosan shows all the corresponding bands of
183	CAgNC. However, the spectrum of the CAgNC was shifted towards lower wave numbers (amine
184	group was shifted from 1658 cm^{-1} to 1645 cm^{-1}) when compare with the spectrum of LMW-
185	chitosan. This suggests the attachment of Ag into N atoms (amino groups), which reduces the
186	vibration intensity of the N-H bond due to the greater molecular weight of CAgNC due to the
187	incorporation of Ag atoms in to LMW-chitosan as described previously. ³¹
188	The surface morphology of the synthesized CAgNC and LMW-chitosan was analyzed
189	using FE-SEM and images are presented in Fig. 2 A & B. The FE-TEM image (Fig. 2 C)
190	implies the presence of spherical AgNPs in the chitosan suspension. Also, it clearly indicated
191	that AgNPs were deposited on chitosan matrix and the average particle size of AgNPs lies
192	between 5-50 nm. The three <i>diffraction</i> patterns observed in the selected area of electron
193	diffraction (SAED) pattern are shown in Fig. 2 D, and it can be indexed to a face centered cubic
194	lattice. The first strongest ring is the combination of both (111) and (200) planes, whereas the
195	second ring corresponds to the crystallographic plane of (220). The third ring represents the
196	(311) plane of Ag. The SAED pattern was completely aligned with the XRD pattern.
197	XRD is a versatile and non-destructive analytical method for the identification and
198	quantitative determination of various crystalline phases. The structural properties of CAgNC
199	were analyzed using XRD technique. XRD analysis results revealed that pattern of CAgNC was
200	clearly differed from that of LMW-chitosan (Fig. 3). The peak for LMW-chitosan was appeared
201	at 2 θ value of the broad peak around 5°–25° (Fig. S2). The XRD pattern of powdered CAgNC
202	showed Bragg reflections with 20 values of 38.12, 44.22, 64.36 and 77.32 for a set of lattice

203 planes which could be indexed to (1 1 1), (2 0 0), (2 2 0) and (3 1 1) planes of face centeredcubic

204 geometry of Ag and the existence of broad peak between $5^{\circ}-25^{\circ}$ which can be attributed to the 205 presence of LMW-chitosan in the CAgNC. The results showed that the synthesized CAgNC 206 contains AgNPs in crystalline structure, since the position and the relative intensity of all the 207 diffraction peaks of the samples were consistent with the crystalline pattern of Ag.³² The lattice 208 parameters were determined to be a = 4.0580 that matches with the Joint Committee on Powder 209 Diffraction Standards (JCPDS) file no. 87– 0720. There were no additional peaks in the spectra, 200 indicating the purity of CAgNC sample and no detectable impurities present.



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SEM image of LMW-chitosan (C) FE- TEM image of CAgNC (D) SEAD pattern of CAgNC.



214

Fig. 3.The XRD graph of the CAgNC.

The particle size distribution of LMW-chitosan and CAgNC was determined using 216 217 Zetasizer Nano-ZS90. The analysis was performed in triplicates for each sample and presented as mean \pm standard deviation (SD) in table 1. Agreeing to the result of this analysis, the average 218 size of LMW-chitosan and CAgNC were 1776 ± 23 nm and 240.1 ± 23.6 nm, respectively (Fig. 219 S3A & S3B). Zeta potential of synthesized CAgNC measured at pH = 4.6 was found as + 41.1220 mV (Fig. S3C). The value of zeta potential enables determination of colloid stability and particle 221 aggregation.³³ Therefore, the positive value of the zeta potential of CAgNC could have 222 evidenced the presence of positively charged polymeric layer on AgNPs surface. 223 224

3.2 Anticanidal of LMW-chitosan and CAgNC

After characterization of CAgNC, we investigated the antifungal activity against *C. albicans*. The synthesized CAgNC showed superior antifungal activity against *C. albicans*compared to LMW-chitosan. It was found that MIC and MFC of LMW-chitosan as 100 400
µg/mL, respectively (Table 1).

Table 1. Comparison of particle size, zeta potential, MIC and MFC of LMW-chitosan and

232 CAgNC.

Compound	Particle size (nm)	Zeta potential (mV)	MIC	MFC
name	$(Mean \pm SD)$	$(Mean \pm SD)$	(µg/mL)	(µg/mL)
LMW-chitosan	1776 ± 23.00		100	400
CAgNC	240.1 ± 23.26	$+41.6 \pm 4.64$	50	150

233

Interestingly CAgNC showed significantly lower MIC of 50 µg/mL (2 times lower than 234 LMW-chitosan) and MFC of 150 µg/mL (2.7 times lower than LMW-chitosan), respectively. Ing 235 et al.,³⁴ showed that LMW-chitosan solution has higher MIC₉₀ value (3 mg/ml) compare with 236 chitosan nano particle (0.25 mg/ml) against C. albicans. Panacek et al., ²⁵ showed that MIC of 237 stabilized AgNPs varied from 0.052 to 0.84 mg/L with Candida sp. The size of particles plays an 238 important role in determination of antimicrobial activity of nanoparticles as they enter the cell 239 walls of microbes through carrier proteins or ion channel and smaller nanoparticles result in a 240 better uptake into microbial cells⁶. Zeta potential has been suggested as a key factor that is 241 contributing to antifungal effect of chitosan through the interaction with negatively charged 242 microbial surface.³⁵ The synthesized CAgNC has shown higher anticandidal activity because of 243 its low particle size and higher zeta potential value when compare with LMW-chitosan. The 244 mode of action of CAgNC against C. albicans is not fully understood and therefor further 245 investigations are required to establish in future. 246 Recent study³⁶ suggested that the accumulation of ROS induces and regulates the 247

apoptotic pathway in yeast. Thus, to examine the relationship between the accumulation of ROS
 and the induction of apoptosis, an experiment was conducted to find out the effect of different
 concentration of LMW-chitosan and CAgNC on the ROS production and cell viability in *C*.

251 *albicans*. ROS level was slightly increased until 75 µg/mL and beyond 100 µg/mL (for LMW, MIC is 100 µg/mL) in LMW-chitosan treated (12.5, 25, 50 and 75 µg/mL) C. albians. (Fig. 4). 252 Furthermore, C. albians samples which were treated with 12.5 µg/mL and 25 µg/mL 253 concentrations of CAgNC have demonstrated sequentially increased ROS levels. Also, at 50 254 µg/mL (MIC of 50 µg/mL for CAgNC) a steep increase was observed while it slightly increased 255 again at 100 µg/mL. Moreover similar ROS values were obtained both control and acetic acid 256 treated samples as well as for positive control (10 mM H₂O₂) treated samples. Further, ROS 257 result showed slight increased value for CAgNC treated sample comparing to the LMW-258 259 chitosan. The reason for such observation could be that AgNPs have the capacity to inhibit the C.

albians by increasing the oxidative stress.



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Fig. 4. Effect of LMW-chitosan and CAgNC on ROS production in *C. albicans* cells. A) LMWchitosan B) CAgNC. 0.25 % (V/V). AC: acetic acid (Negative control), 10 mM H₂O₂ (Positive
control).

The overall results suggest that LMW-chitosan and CAgNC trigger the oxidative stress by generating ROS which causes various damages to macromolecules such as DNA, RNA, and proteins as well as other cellular components.^{36,37} The production of ROS may be interfered with

268	the essentials of electronic transport chain which may cause the reduction of cellular energy
269	production. ³⁸ Additionally, the excessive production of ROS may damage plasma membrane and
270	intra cellular organelles which may leads to cell death. ³⁹ The cell viability of <i>C. albicans</i> was
271	decreased significantly (P<0.001) with increasing the concentration of LMW-chitosan and
272	CAgNC (Fig. 5). Furthermore, highest and lowest cell viability in LMW-chitosan treatment was
273	observed in control and H_2O_2 treated groups, respectively. Whereas highest and lowest cell
274	viability was observed in control and 100 μ g/mL of CAgNC treated group, respectively. All the
275	CAgNC treated groups were shown lower cell viability than LMW-chitosan. However,
276	significant difference (P<0.05) in cell viability was observed in CAgNC and LMW-chitosan
277	treatments from 12.5 ~100 μ g/mL concentration. Thereby, lowest cell viability (28%) was
278	observed in 100 $\mu g/mL$ CAgNC treatment. Whereas, cell viability for positive control was 34 $\%$
279	at the 10 mM H_2O_2 and negative control 100 % at 0.25 % AC.
280	The PI uptake result is associated with the occurrence of substantial damage to the
281	membrane, indicating alteration of cell membrane potential, which finally causes cell death. PI
282	could enter the cell and bind to DNA, showing red fluorescence. ⁴⁰ PI uptake by <i>C. albicans</i> cells
283	show concentration dependent mortality in both treated groups where control and MIC treatment
284	have the least number of PI stained C. albicans cells which indicates the least number of cell
285	death (Fig. 4 S). However, almost all C. albicans cells in both treatments at the MFC level have

shown higher red florescence (Fig. 6).



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Fig. 5. Comparison of the effect of LMW-chitosan and CAgNC on cell viability of *C. albicans*. Cell viability was assessed by MTT assay (n=3) after treatment with different concentration of LMW-chitosan and CAgNC (12.5 –100 µg/mL). Significant differences in *C. albicans* cell viability were obtained with respect to untreated control ($P \le 0.05$). The treatments with * mark represent the significant cell viability (%) between LMW-chitosan and CAgNC. Bars with no asterisk were not significantly difference in cell viability. Acetic acid 0.25 % (V/V) as negative control and, 10 mM H₂O₂ as positive control.

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Fig. 6. Effect of LMW-chitosan and CAgNCon cell membrane permeability by PI staning. Merged image of *C. albicans* (by confocal laser scanning microscopy) showing the dead *C. albicans* cells at MFC treatment level. (A) LMW-chitosan (400 μ g/mL) (B) CAgNC (150 μ g/mL). When cationic chitosan bind to the negatively charge cell surface it may cause to increase hyperpolarization of the plasma membrane.⁴¹ Also, some amount of AgNPs on the surfaces could be ionized and produce cationic silver (Ag⁺) traces. This Ag⁺ traces flowing in to the cell with the cationic influx generated due to hyperpolarized cell membrane.⁴²

305

306 4. Conclusions

In summary, we synthesized the CAgNC using LMW- chitosan without external chemical
reducing agent and compared their physio-chemical properties and anticandidal action. First we
prepared the improved version of chitosan nano composite format with unique characteristics
such as smaller partical size (240.1 nm) higher zeta potential (+41.1 mV) and lower amount of
AgNPs (0.69%). Moreover, CAgNC had superior anticandidal activities (MIC 50 µg/mL, MFC
100 µg/mL), than the precause LMW-chitosan suggesting that it has great potential to be
developed as antifungal agent against wide array of Candida species.

314

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