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1 **Theoretical study on complexation of U(VI) with ODA, IDA and TDA**  
2 **based on density functional theory**

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12  
13 **Abstract**

14 This study aims to understand the complexation of U(VI) with oxydiacetic acid  
15 (ODA), iminodiacetic acid (IDA) and thiodiacetic acid (TDA) through density  
16 functional theory (DFT) calculations. The structures, complexation stabilities and  
17 bonding nature were investigated for U(VI)/XDA complexes (XDA is short for the  
18 three ligands). The calculations have proved that tridentate structure is more favorable  
19 than terminal bidentate ones for all stoichiometries, and steric hindrance is a factor  
20 that cannot be ignored especially for 1:2 complexes. The binding stabilities of the  
21 ligands and relative binding groups are in the sequences of IDA > ODA >> TDA and  
22 C=O > OH<sup>-</sup> >> H<sub>2</sub>O > X (O<sub>ether</sub>, N and S), respectively. All of the coordination bonds  
23 exhibit typical ionicity. The coordination bonds are mainly contributed by the  
24 interactions of U 5f-orbital and O(=C)/X p-orbitals. The strength of all coordination  
25 bonds follows the order U-O(=C) > U-N > U-O(H<sub>2</sub>) ≈ U-O<sub>ether</sub> > U-S. U-S interaction  
26 was solidly confirmed by MO and AIM analysis, which is found for U(VI)/TDA  
27 complexes for the first time. U-X bonds play an important role in U(VI)/XDA  
28 coordination.

29 Keywords: uranyl, DFT, ODA, IDA, TDA

## 1 **1. Introduction**

2 The coordination chemistry of actinides is of great importance, not only for the  
3 development of new extractants for the separation of actinides from irradiated nuclear  
4 fuel, but also for the technology concerning the storage of highly radioactive waste  
5 materials. Also, to study the complexation of actinides and ligands is of great  
6 significance for the prediction of migration behavior of these radioactive elements in  
7 the geosphere<sup>1</sup>. In comparison to traditional organo-phosphorous extractants (such as  
8 TBP, TRPO, etc.), carboxyl substitutes are more widely studied and applied because  
9 the radiation degradation products are less detrimental to the separation processes. In  
10 addition, on the issues of environmental protection and human health, the carboxyl  
11 extractants which only consist of C/O/H and sometimes N elements can be completely  
12 incinerated, therefore, the amount of secondary waste released from nuclear industries  
13 is largely reduced.

14 Numerous studies have shown that carboxyl complexant have excellent  
15 performances on actinide coordination, such as gluconate<sup>2</sup>, dipicolinamide<sup>3</sup>,  
16 terephthalic acid<sup>4</sup>, etc. Bifunctional chelating ligands have attracted great concerns  
17 among these carboxyl extractants (DMOGA, TMOGA<sup>5,6</sup>, etc.), due to strong binding  
18 ability towards actinides and good organic solubility. As is representative for these  
19 chelating ligands, ODA as well as its derivatives has been concerned a lot<sup>7</sup> (molecular  
20 structure as seen in Fig. 1). Most of these studies were focused on complexation of  
21 U(VI) with ODA and its derivatives. Studies have shown a strong affinity between  
22 U(VI) and ODA. Friese et al.<sup>8</sup> compared the complexation rate and stability of ODA  
23 complexes with Np(V) and U(VI), and found that the rate of formation of the  
24 respective 1:1 complexes was faster for Np(V) than U(VI), but a stronger  
25 thermodynamic driving force for the latter. Rao et al.<sup>9</sup> found three U(VI)/ODA  
26 complexes ( $\text{UO}_2\text{L}$ ,  $\text{UO}_2\text{L}_2$  and  $\text{UO}_2\text{HL}_2$ ) using potentiometry and calorimetry, and  
27 pointed out that in the 1:2  $\text{UO}_2\text{L}_2$  complex, the second ODA ligand could be bidentate  
28 or in a dynamic mode between tridentate and bidentate coordination configurations,  
29 and  $\text{UL}_2\text{HL}_2$  also existed in the solution under special conditions as a less stable

1 terminal-binding species. In Jiang's report using NMR and EXAFS<sup>10</sup>,  
2 1,7-coordination mode was confirmed undoubtedly for  $\text{UO}_2\text{L}_2$  complexes. Moreover,  
3 2:2 dimeric complex, though unusually detected, was found in a double-tridentate  
4 geometry. No terminal-binding species was found. Kirishima and co-workers<sup>11</sup>  
5 investigated the thermodynamic properties of U(VI) complexes with ODA and its  
6 corresponding aliphatic/aromatic parallels, and promoted that the complexation of  
7 aliphatic ligands were completely entropy driven, while enthalpy and entropy  
8 co-influence the progress of the reactions.

9 As analogues for ODA, the coordination of IDA and TDA with U(VI) were also  
10 investigated, and comparisons with ODA were made. The U(VI) complexation  
11 properties for IDA are quite similar with those for ODA, including configurations and  
12 bond lengths, however, the less positive  $\Delta H$  and more positive  $\Delta S$  in U(VI)/IDA  
13 complexes suggested a greater covalency in U-N bond than C-O<sub>ether</sub> in U(VI)/ODA  
14 complexes. For TDA, the bridging S is much larger and softer than O and N. However,  
15 Bernardo et al.<sup>12</sup> did not find any U/S interactions, who suggested that only the  
16 carboxyl groups participated in the coordination. He also held that there was a  
17 coordination mode in which TDA formed terminal complexation with uranium.

18 As described above, several studies focusing on complexation between U(VI)  
19 and XDA ligands have been performed. Some structural and thermodynamic data  
20 were also obtained, mainly for 1:1 and 1:2 tridentate complexes. From the results,  
21 significant differences of U(VI) complexes with the three ligands are observably  
22 concluded (i.e. U(VI) complexes with ODA and IDA are similar, but quite different  
23 with TDA, also U-O and U-N interactions have been speculated from the atomic  
24 distances, but U-S interactions have never been found). However, some questions are  
25 still unsolved. Differences between U(VI) complexes are observed, but we still do not  
26 know the reasons for those phenomena. U-N bond has been confirmed and U-O<sub>ether</sub>  
27 bond has been speculated, but whether there are interactions between U and S is still  
28 undiscovered. Complex species is strongly dependent on solution conditions (i.e.  
29 concentrations and acidity), while only a few species have been proposed. Some  
30 unreported species might also co-exist in solution. Furthermore, precise structures

1 could not be obtained via EXAFS measurements due to its unnegligible deviations.  
2 Therefore, it is necessary to make a deep investigation on U(VI)/XDA complexes.

3 Quantum chemistry is a valuable tool that is often used to complement the  
4 determined stoichiometric and structural data in order to distinguish among plausible  
5 structures and to support the information obtained by spectroscopic means, notably by  
6 EXAFS spectroscopy. There has been wealth of experimental and theoretical data in  
7 actinide complexes with ODA and its derivatives<sup>11, 13</sup>. It should be mentioned that  
8 Wang's group<sup>7</sup> investigated the complexation of Np(V) with ODA, DMOGA and  
9 TMOGA through DFT, and found that tridentate structures were more stable than  
10 bidentate ones and the substitution of the amide group by carboxylate favors the  
11 formation of the complexes. Moreover, he pointed out that Np-O<sub>yl</sub> bond strength was  
12 weakened by coordination.

13 In the present study, we have been concentrated on the complexation of U(VI)  
14 with ODA, IDA and TDA, and made full investigations on the geometries,  
15 complexation energies, molecular orbitals and bonding nature. Full comparisons  
16 between DFT calculations and experimental data have been made. The results can  
17 further improve the understanding of U(VI)/XDA complexation behavior as well as  
18 the binding nature between U and different ligand atoms.

19

## 20 **2. Computational details**

21 In the current study all of the quantum chemistry calculations were carried out with  
22 density functional theory (DFT) method with Gaussian09 program<sup>14</sup>, which have  
23 evolved as a practical and effective computational tool in quantum chemistry<sup>15</sup>,  
24 especially for large actinide compounds<sup>16, 17</sup>. Calculations were performed with the  
25 B3LYP functional, a hybrid Hartree-Fock/DFT method that incorporates Becke's  
26 three-parameter functional (B3) with the Lee, Yang, and Parr correlation functional<sup>18</sup>,  
27 <sup>19</sup>. Stuttgart relativistic small core potential ECP60MWB and the valence basis sets  
28 ECP60MWB-SEG augmented with two f-type and two g-type polarization functions  
29 were employed<sup>20</sup>. For light atoms (C/H/O/N/S), 6-31G(d) were used in geometry

1 optimizations, and 6-311G(d,p) was employed in other calculations (thermodynamic  
2 data, molecular orbitals and AIM analysis). We ensure that all the optimized  
3 geometries have no imaginary frequency. The influence of the solvent (water) was  
4 modeled using the SMD continuum solvation method<sup>21</sup>, where the solute is immersed  
5 in a shape adapted isotropic polarizable continuum, with a dielectric constant  
6  $\epsilon=78.3553$  for water. AIM analysis were done based on the atoms-in-molecules (AIM)  
7 theory, as implemented in the Multiwfn code<sup>22</sup>.

8

### 9 **3. Results and Discussion**

#### 10 **3.1 Reliability of theoretical methods**

11 First, we considered the geometry optimization of uranyl aquo ion both in gas and  
12 aqueous phases to check the reliability of different methods. Several functionals have  
13 been proved to be successful in actinide coordination calculations, including B3LYP<sup>7</sup>,  
14 <sup>23,24</sup>, MP2<sup>25</sup>, BP86<sup>26</sup>, etc. Herein, we tested three typical ones of them (B3LYP, MP2  
15 and BP86) using uranyl penta-hydrate as the standard structure ( $\text{UO}_2(\text{H}_2\text{O})_5^{2+}$ , shown  
16 in Fig. 2).

17 The obtained values are summarized in Table 1. Data that most close to EXAFS  
18 results are computed through B3LYP functional (1.768Å and 2.484Å for U-O<sub>y1</sub> and  
19 U-OH<sub>2</sub>, respectively in the uranyl ion), which are a little longer (0.002Å and 0.064Å)  
20 than the EXAFS results. According to previous theoretical investigations, this  
21 deviation is within our acceptable limits. Therefore, B3LYP functional was adopted  
22 for all the calculations below.

23

#### 24 **3.2 Structural properties of several U(VI)/XDA binding complexes**

25 Two binding motifs between U(VI) and XDA in the equatorial plane are shown in Fig.  
26 3. Studies have shown that the tridentate mode is prevailing due to the chelating  
27 complexation. The terminal-bidentate mode of U(VI)/O=C forming a tetra-membered  
28 ring has been proved to be stable in some other studies on uranyl complexes<sup>32, 33</sup>.  
29 Hence this mode is also considered here. For 1:2 stoichiometry complexes, the

1 combination of the two motifs results in three possible modes, expressed as tri-/tri,  
2 tri-/bi- and bi-/bi- respectively.

3 Tsushima<sup>34</sup> made an assumption that for uranyl complexes the coordination  
4 number (CN) is fixed to five when saturated with water molecules. When the  
5 equatorial plane is saturated by other ligands, CN might be four or six. In the present  
6 study, complexes of CN four, five and six were all taken into account.

7 According to the two motifs, we have designed several geometries of the  
8 U(VI)/XDA complexes, and the full optimized structures are listed in Fig. 4 with the  
9 data in Table 2. From our calculations of 1:1 complexes, it is found that the whole  
10 ligands are almost in the equatorial plane of uranyl for ODA, but molecular  
11 deformation occurs for IDA and TDA. The U-O<sub>yl</sub> bond length increases with the  
12 binding strength of the complex<sup>34, 35</sup>, thus it is reasonable to compare the binding  
13 abilities from U-O<sub>yl</sub> bond lengths. Longer U-O<sub>yl</sub> bonds (1.784-1.789Å) in tridentate  
14 complexes are observed comparing to those in bidentate ones (1.771-1.772Å),  
15 suggesting a stronger interaction in the tridentate complexes. This is in line with  
16 previous EXAFS and NMR studies<sup>10, 36</sup>. In fact, tridentate complex structures with  
17 three water ligands were also calculated. However, in UO<sub>2</sub>(XDA)(H<sub>2</sub>O)<sub>3</sub> geometries,  
18 one water ligand lies far away from uranium (~4Å), and we believe it belongs to an  
19 outer coordination shell (see Fig. S1). Calculated U-O<sub>yl</sub> bond lengths (1.77-1.80Å) are  
20 exactly the same as in the EXAFS experiments (1.77-1.79Å). The  
21 calculations/EXAFS results have shown that U-O(=C) bond lengths are 2.35Å/2.38Å,  
22 2.35Å/2.41Å, 2.41Å/2.40Å, 2.42Å/2.38Å and 2.34Å/2.34Å for O-tri-11, N-tri-11,  
23 S-bi-11, O-tri-12 and S-tri/bi-12 complexes, respectively<sup>10</sup>. The deviations of DFT  
24 calculations range from 1% to 3%. In strongly acidic solutions, U-X is not formed for  
25 both ODA and IDA, proved by the fact of 2.91Å U-O<sub>ether</sub> bond and 2.92Å U-N bond<sup>10</sup>,  
26 and this is due to the protonation of bridging atoms (see Fig. S2). The bridging X  
27 forms an X--H bond with the solvent, which inhibits the U-X bond formation. In mild  
28 acidic solutions (pH>3), the U-X bonds were formed and EXAFS<sup>10</sup>/DFT show bond  
29 lengths of 2.50Å/2.57Å and 2.54Å/2.60Å for ODA and IDA respectively. The  
30 calculation deviations here are 2.7% and 2.3% respectively. The U-S distance is

1 3.025Å in our calculations, and to our knowledge, there is no experimental data for  
2 U-S bond length up to now.

3 For complexes of 1:2 stoichiometry, molecular deformation occurred for all the  
4 ligands due to over-crowdness, indicating that a steric hindrance takes effect. There is  
5 a slight increase in the U-O<sub>yl</sub> bonds comparing to 1:1 complexes, and the U-X bonds  
6 are largely elongated. The double tridentate structures have the largest U-O<sub>yl</sub> bonds,  
7 indicating more stable than others. It is quite surprising that in this structure of  
8 U(VI)/TDA, one S atom leaves far away from U (4.61Å), indicating no interactions  
9 between them. In S-tri/bi-12 complex where two TDA ligands take the tridentate and  
10 bidentate modes at the same time, the calculation/EXAFS results are nearly identical,  
11 2.34Å/2.34Å and 2.50Å/2.49Å for U-O(=C) bonds in tridentate and bidentate ligands  
12 respectively<sup>12</sup>. 1:2 double bidentate species for all U/XDA complexes have not been  
13 reported.

14 Optimizations of 2:2 complexes were also calculated, as detected via EXAFS by  
15 Jiang et al.<sup>10</sup>. He suggested that 2:2 species is in equilibrium with 1:1 species at higher  
16 pH, though never dominant because of the onset of precipitation. In this structure,  
17 each uranium is surrounded by five coordination atoms, three with XDA and two with  
18 OH<sup>-</sup>. As the binding ability of OH<sup>-</sup> is much stronger than that of H<sub>2</sub>O, confirming by  
19 the fact that U-O(H) (2.38~2.39Å) has a shorter bond length than U-O(H<sub>2</sub>), the U-O<sub>yl</sub>  
20 bond is slightly elongated. The U-U distance is 3.84/3.86/3.85Å for ODA/IDA/TDA  
21 respectively, shorter than the EXAFS results in aqueous solutions<sup>10</sup> (ODA 4.31Å; IDA  
22 4.33Å), but quite close to the X-ray crystallographic data<sup>36</sup> (ODA 3.76Å; IDA 3.85Å).  
23 There has been no EXAFS data for 2:2 complex structure of U(VI)/TDA dimer yet.

24 In general, 1:1 tridentate and 1:2-tridentate complexes were calculated through  
25 DFT, which were investigated by previous EXAFS studies. And the data between  
26 them have shown the accuracy of our calculations. We also predicted some species  
27 that have not been reported, especially which contain bidentate ligands. Calculation  
28 results show that coordination bonds are formed between U and O(=C), the bridging  
29 X atoms, O(H<sub>2</sub>) and O(H<sup>-</sup>). The bond length, which is indicative of bond strength, is  
30 in the sequence: U-O(=C) > U-O(H<sup>-</sup>) > U-O(H<sub>2</sub>) > U-X. CN five is mostly observed



1 for these complexes, while CN four and six are also found here.

2

### 3 **3.3 Complexation stability**

4 To investigate the nature of complexation reactions and stability of complexes, we  
5 carried out the energy calculations. Table 3 lists the changes in complexation energies  
6 for complexation reactions between aquo uranyl ion and the three ligands in aqueous  
7 solutions as well as the stability constants reported by previous studies. The initial  
8 species of U(VI) in the reactions was the aquo uranyl ion (penta-hydrate). The  
9 enthalpy and entropy trends of available experimental data are displayed in Fig. S4  
10 and Table S1.

11 As presented in Table 3, the gas phase complexation energies are mostly from  
12 -469.3 to -127.3 kcal/mol, and the hydration complexation energies range from -89.2  
13 to -17.7 kcal/mol. It can be clearly seen that all the reactions energies are negative,  
14 suggesting spontaneous complexation processes. However, the complexation energies  
15 in gas phase are one order of magnitude more negative than those in hydration phase.  
16 This means that there is a quite strong interaction between U(VI) and the three ligands  
17 inherently, while in aqueous phase the charges and polarities are partially shielded  
18 which reduces the interaction.

19 For 1:1 complexes, the tridentate ones are obviously more stable than the  
20 bidentate ones due to the chelating effect. The complexation energy of U(VI)/IDA is a  
21 slight more negative than U(VI)/ODA. For terminal bidentate complexes, the  
22 complexes with three and two water ligands were both taken into account, and the  
23 reaction energy calculations imply that the ones with three water ligands are less  
24 stable than the ones with two water ligands by only 2-4 kcal/mol differences.  
25 Bernardo et al.<sup>12</sup> held that there were three water ligands participating in the terminal  
26 bidentate structure of U(VI)/TDA complex with an average U-O<sub>eq</sub> bond length 2.40Å.  
27 This fact seems to be a little different with our calculations. However in our opinion,  
28 such a small energy barrier (2~4 kcal/mol) between the two structures is so  
29 insignificant that possible transformation to each other might occur depending on the  
30 solution conditions. U(VI) bidentate complexes with ODA and IDA have not been

1 reported till now. Possibly the differences for U(VI)/ODA or U(VI)/IDA complexes  
2 between their tridentate and bidentate modes are much more larger than U(VI)/TDA  
3 complex. From complexation energies, the tridentate complexes are 12-23 kcal/mol  
4 more stable than bidentate ones. Moreover, the complexation stability for U(VI) with  
5 three XDA ligands is as follow: IDA > ODA >> TDA, which agrees well with the  
6  $\log\beta$  data.

7 For 1:2 complexes, three geometries (including double tridentate, combining  
8 tridentate and bidentate, double bidentate, which are briefly named 'tri-', 'tri-/bi-' and  
9 'bi-' in the tables respectively) were considered. It is shown from Table 3 that the  
10 double tridentate structures have rather negative complexation energies, in which case  
11 the CN of uranium is six for ODA and IDA, but five for TDA (one sulfur atom has no  
12 interaction with uranium as discussed above). The substitution of one bidentate ligand  
13 for one tridentate ligand would bring about an energy rise from 3 to 20 kcal/mol. It  
14 should be mentioned that in the double bidentate structures, the cases with one, two  
15 and no water ligands were taken into account, and the complexes without water  
16 ligands were found the most stable (the complexation energy is 1~4 kcal/mol and 5~7  
17 kcal/mol more negative than those for complexes with one and two water ligands  
18 respectively). In the case, the CN of uranium is four. We have established three  
19 binding modes for 1:2 complexes, however, the double bidentate ones have not been  
20 found by experimental studies. From the complexation energies this species is less  
21 stable. The 'tri-/bi-' ones are also seldom reported, with exception of U(VI)/TDA  
22 complexes. The double tridentate ones have been reported in detail, including both  
23 structures and thermodynamics. Also, complexation stability is in the sequence IDA >  
24 ODA >> TDA for both calculation and experimental results.

25 According to discussions above, it is easily observed that the tridentate mode  
26 makes a much larger contribution to the stability of the complexes. Thus, in 2:2  
27 complexes we only took the double tridentate mode into account. From Table 3 it is  
28 shown that the double tridentate complexes are the most stable ones for ODA, IDA  
29 and TDA, suggesting a high thermodynamic stability. For 2:2 species, all the  
30 complexes have the most negative reaction energies, indicating the considerable

1 stability of the species. Large decline of enthalpy change after the participation of  
2 hydroxyl ions brings about an increase in stability. Nevertheless, this species could  
3 not be easily detected due to low solubility. Jiang et al.<sup>10</sup> reported this 2:2 complexes  
4 for both ODA and IDA, but the corresponding TDA complex was not found. The large  
5 stability constants are in accordance with our calculations.

6 As is seen from Table 3, experimental thermodynamic data for all of the 1:1 and  
7 1:2 tridentate complexes have been determined, but for other modes not. In each  
8 tridentate mode, the complexation stabilities for both theoretical calculations and  
9 experiments have the same trend IDA > ODA >> TDA. But it seems that the  
10 complexation stabilities for U(VI)/ODA complexes are overestimated comparing to  
11 the stability constants. Jiang et al.<sup>10</sup> found a ~20ppm downfield of chemical shifts  
12 through <sup>15</sup>N NMR, and suggested the formation of U-N coordination bond. However,  
13 in <sup>13</sup>C NMR results, he found no observable change of chemical shifts occurred under  
14 the experimental conditions. He speculated that the fast breaking and reforming of the  
15 U-O<sub>ether</sub> bond took place. Choppin et al.<sup>38</sup> suggested that the U-O<sub>ether</sub> bond could be  
16 relatively more labile than the U-N bond and therefore a stronger interaction of U-N  
17 for IDA may occur than U-O<sub>ether</sub> for ODA. To confirm it, we performed the scanning  
18 of potential energy surface (PES) for U(VI)/ODA tridentate complexes (O-tri-11) in  
19 aqueous solutions (see Fig. S3). The U-X bond length was changed from 2.50Å to  
20 2.74Å by a 0.01Å step. From the tendency of the single point energies ( $E_{sp}$ ), it is  
21 observed that there are two local minima where the U-O<sub>ether</sub> bond lengths are 2.57Å  
22 and 2.68Å respectively. The variation of the energy during the scanning is rather  
23 smooth, indicating that the dynamic process for U-O<sub>ether</sub> bond quite possibly occurs.  
24 This makes the reaction Gibbs free energy less negative to some degree, and is  
25 possibly responsible for the overestimation of complexation stability for U(VI)/ODA.

26 Comparing DFT calculations and experimental data, a much stronger preference  
27 for tridentate chelation has been found. For the three ligands, the complexation  
28 stabilities are in the sequence IDA > ODA >> TDA in each binding mode, in line with  
29 the experimental data. The breaking-reforming dynamic process of U-O<sub>ether</sub> bond  
30 possibly exists.

1

2 **3.4 MO and AIM analysis**

3 Molecular orbital (MO) analysis was carried out to investigate the interactions  
4 between U(VI) and XDA ligands, and some occupied MOs are displayed in Fig. 5. It  
5 is observed that the relatively diffuse 5f orbitals mainly participate in the bondings for  
6 the complexes, with 6p and 6d orbitals playing minor roles. The occupied MOs  
7 correspond to U-O(=C) and U-X  $\sigma$  bonds, resulting from the contributions of U-5f  
8 orbital and p orbitals of ligand atoms. Fig. 5b-e clearly indicate that the interactions of  
9 U and X atoms are considerable. It should be noted that the electronic interaction of  
10 U-S bond is observable, which has not been reported for U(VI)/TDA complexes.

11 To further understand the bonding nature of U(VI)/XDA complexes,  
12 Atoms-In-Molecule (AIM) topological analysis has been employed. AIM analysis is  
13 often applied in the study of nature of chemical bonds, which was promoted by  
14 Bader<sup>39</sup>. Numerous examples have demonstrated the accuracy and practicality of this  
15 method<sup>40, 41</sup>, including in actinide chemistry<sup>42-44</sup>. Instead of orbital structures, AIM  
16 analysis is concentrated on the molecular electron density. Based on AIM theory, there  
17 is one bond critical point (BCP) between each pair of atoms that are bonded to each  
18 other, being the saddle point on the electron density curve, a minimum in one  
19 direction and a maximum in its perpendicular direction. BCP is related to the  
20 minimum electron density for an electron pair. The electron density contour maps of  
21 the three 1:1 tridentate complexes are displayed in Fig. 6. Values of two important  
22 indicators are marked in the figures, the electron density  $\rho(r)$  and the Laplacian of  
23 electron density  $\nabla^2\rho(r)$  respectively, which are often used to predict the bonding  
24 properties. According to Bader's theory, a covalent bond has a negative  $\nabla^2\rho(r)$  and a  
25  $\rho(r)$  above 0.2 a.u.; also, an ionic bond has a positive  $\nabla^2\rho(r)$  and a  $\rho(r)$  lower than 0.1  
26 a.u. Therefore, all the U-O(=C) and U-X coordination bonds are typically ionic bonds.

27 In general, the magnitude of electron density is an indicator of the strength of a  
28 chemical bond. As seen in Fig. 6, the electron density ranges from 0.072 a.u. to 0.074  
29 a.u., suggesting the U-O(=C) bonds have similar bonding strengths for all the  
30 complexes. On the contrary, the electron density values are more different for U-X

1 bonds, following the order  $U-N > U-O > U-S$  which is in the same sequence of  
2 complexation stability. Therefore, we consider that the strength of  $U-X$  bonds is  
3 mainly responsible for the differences of  $U(VI)/XDA$  complexes. Comparing to  
4  $U-O(H_2)$  bonds, there is a larger accumulation of electron density along the  $U-O(=C)$   
5 bonds, indicating a much stronger interaction of the  $U-O(=C)$  bonds, which is in the  
6 same trend of bond lengths. In a word, the magnitude of electron density and the  
7 bonding strength for all the coordination bonds are in the sequence  $U-O(=C) > U-N >$   
8  $U-O(H_2) \approx U-O_{ether} > U-S$ .

9

#### 10 **4. Conclusions**

11 Theoretical calculations have been carried out to investigate the complexation of  
12 uranium with ODA, IDA and TDA. The present results contribute to a better  
13 understanding of the coordination of uranium to these ligands. From the structural  
14 information, complexation stability and topological analysis, the main conclusions are  
15 summarized as follows.

16 (1) The binding ability of groups or atoms in ligands is in the order:  $>C=O >$   
17  $OH^{\cdot} \gg H_2O > X$ . The coordination number five is most common, while four and six  
18 are also observed in our calculations. The steric hindrance of molecules plays a  
19 significant role in 1:2 complexes, especially for  $U(VI)/TDA$  complexes.

20 (2) The stability of uranyl complexes is in the order  $IDA > ODA \gg TDA$  from the  
21 aspect of ligand types,  $2:2 > 1:2 > 1:1$  from the aspect of stoichiometries, and  
22 tridentate  $>$  bidentate from the aspect of binding modes. The participation of  $OH^-$  ion  
23 stabilizes 2:2 complexes to a large extent.

24 (3) The diffuse 5f-orbital of U and p-orbitals of O/N/S contribute to the  
25 coordination bonds. All of the coordination bonds are typically ionic.  $U-O(=C)$  bonds  
26 play a dominant role on complexation, while  $U-X$  bonds also take an unnegligible  
27 effect. The strength of  $U-X$  bonds follows the  $U-N > U-O_{ether} > U-S$ , same with  
28 complexation stability sequence. The interaction of U and S is found via electron  
29 density analysis, which has not been reported by neither experiments nor theoretical

1 studies before.

2

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1 **Table 1. Average bond lengths (in Å) and angles (in deg.) of  $\text{UO}_2(\text{H}_2\text{O})_5^{2+}$  in the**  
 2 **present and previous studies**

Phase	Methods	U=O	U-OH <sub>2</sub>	∠(O=U=O)	
gas	B3LYP	1.748	2.481	177.936	
	this paper	MP2	1.771	2.487	179.658
	BP86	1.772	2.465	174.704	
	B3LYP <sup>25</sup>	1.749	2.496	178.160	
	elsewhere	B3LYP <sup>27</sup>	1.753	2.539	--
	BP86 <sup>27</sup>	1.775	2.472	--	
aqueous	B3LYP	1.768	2.484	179.619	
	this paper	MP2	1.772	2.460	179.738
	BP86	1.771	2.461	175.001	
	B3LYP <sup>28</sup>	1.75	2.43-2.51	--	
	B3LYP <sup>29</sup>	1.762	2.465	178.845	
	elsewhere	EXAFS <sup>30</sup>	1.766	2.420	--
	X-ray Scattering <sup>31</sup>	1.702	2.421	--	

3

1 **Table 2. Bond lengths of U(VI)/XDA complexes in aqueous solutions (in Å)**

Mode	U-O <sub>yl</sub>	U-O(H <sub>2</sub> )	U-O(=C)	U-O <sub>ether</sub>	U-N	U-S
O-tri-11	1.784	2.540, 2.542	2.337-2.349	2.565	--	--
N-tri-11	1.789	2.341, 2.571	2.341-2.345	--	2.598	--
S-tri-11	1.784	2.544, 2.566	2.329-2.336	--	--	3.025
O-bi-11	1.771	2.479, 2.486	2.427-2.464	5.025	--	--
N-bi-11	1.772	2.464, 2.480	2.430-2.449	--	5.083	--
S-bi-11	1.771	2.480, 2.485	2.411-2.475	--	--	5.328
O-tri-12	1.792	--	2.421-2.439	2.716, 2.771	--	--
N-tri-12	1.800	--	2.498-2.530	--	2.672, 2.677	--
S-tri-12	1.796	--	2.356-2.374	--	--	3.109, 4.611
O-tri/bi-12	1.790	--	2.337-2.520	2.571	--	--
N-tri/bi-12	1.794	--	2.341-2.516	--	2.614	--
S-tri/bi-12	1.789	--	2.335-2.521	--	--	3.029
O-bi-12	1.776	--	2.461-2.468	--	--	--
N-bi-12	1.777	--	2.439-2.498	--	--	--
S-bi-12	1.776	--	2.449-2.472	--	--	--
O-tri-22	1.800	--	2.379-2.381	2.577, 2.592	--	--
N-tri-22	1.803	--	2.375-2.379	--	2.672×2	--
S-tri-22	1.799	--	2.364-2.375	--	--	3.143, 3.082

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1 **Table 3. Complexation energies of various U(VI)/XDA complexes (in kcal/mol)**

Mode	Reaction	$G_{\text{gas}}$	$G_{\text{aq}}$	$\log\beta$
O-tri-11	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + \text{ODA}^{2-} \rightarrow \text{UO}_2\text{ODA}(\text{H}_2\text{O})_2 + 3\text{H}_2\text{O}$	-392.8	-40.1	5.11 <sup>37</sup> , 5.77 <sup>10</sup> , 5.26 <sup>11</sup>
N-tri-11	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + \text{IDA}^{2-} \rightarrow \text{UO}_2\text{IDA}(\text{H}_2\text{O})_2 + 3\text{H}_2\text{O}$	-390.0	-41.9	9.90 <sup>10</sup>
S-tri-11	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + \text{TDA}^{2-} \rightarrow \text{UO}_2\text{TDA}(\text{H}_2\text{O})_2 + 3\text{H}_2\text{O}$	-182.2	-29.6	2.79 <sup>11</sup>
O-bi-11	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + \text{ODA}^- \rightarrow \text{UO}_2\text{ODA}(\text{H}_2\text{O})_2^+ + 3\text{H}_2\text{O}$	-196.8	-18.5	--
N-bi-11	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + \text{IDA}^- \rightarrow \text{UO}_2\text{IDA}(\text{H}_2\text{O})_2^+ + 3\text{H}_2\text{O}$	-198.5	-18.7	--
S-bi-11	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + \text{TDA}^- \rightarrow \text{UO}_2\text{TDA}(\text{H}_2\text{O})_2^+ + 3\text{H}_2\text{O}$	-127.3	-17.7	5.76 <sup>12</sup> , 5.43 <sup>37</sup>
O-tri-12	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + 2\text{ODA}^{2-} \rightarrow \text{UO}_2(\text{ODA})_2^{2-} + 5\text{H}_2\text{O}$	-469.3	-59.5	7.84 <sup>11</sup>
N-tri-12	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + 2\text{IDA}^{2-} \rightarrow \text{UO}_2(\text{IDA})_2^{2-} + 5\text{H}_2\text{O}$	-457.6	-59.9	16.42 <sup>10</sup>
S-tri-12	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + 2\text{TDA}^{2-} \rightarrow \text{UO}_2(\text{TDA})_2^{2-} + 5\text{H}_2\text{O}$	-62.5	-51.0	1.76 <sup>11</sup>
O-tri/bi-12	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + \text{ODA}^- + \text{ODA}^{2-} \rightarrow \text{UO}_2(\text{ODA})_2^- + 5\text{H}_2\text{O}$	-309.5	-51.4	--
N-tri/bi-12	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + \text{IDA}^- + \text{IDA}^{2-} \rightarrow \text{UO}_2(\text{IDA})_2^- + 5\text{H}_2\text{O}$	-431.5	-55.6	--
S-tri/bi-12	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + \text{TDA}^- + \text{ODA}^{2-} \rightarrow \text{UO}_2(\text{TDA})_2^- + 5\text{H}_2\text{O}$	-149.0	-42.5	8.48 <sup>12</sup> , 8.39 <sup>37</sup>
O-bi-12	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + 2\text{ODA}^- \rightarrow \text{UO}_2(\text{ODA})_2 + 5\text{H}_2\text{O}$	-310.6	-31.0	--
N-bi-12	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + 2\text{IDA}^- \rightarrow \text{UO}_2(\text{IDA})_2 + 5\text{H}_2\text{O}$	-300.5	-32.2	--
S-bi-12	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + 2\text{TDA}^- \rightarrow \text{UO}_2(\text{TDA})_2 + 5\text{H}_2\text{O}$	-157.5	-29.8	--
O-tri-22	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + \text{ODA}^{2-} + \text{OH}^- \rightarrow$ $1/2(\text{UO}_2)_2(\text{ODA})_2(\text{OH})_2^{2-} + 5\text{H}_2\text{O}$	-491.9	-88.5	4.29 <sup>10</sup>
N-tri-22	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + \text{IDA}^{2-} + \text{OH}^- \rightarrow$ $1/2(\text{UO}_2)_2(\text{IDA})_2(\text{OH})_2^{2-} + 5\text{H}_2\text{O}$	-487.1	-89.2	10.80 <sup>10</sup>
S-tri-22	$\text{UO}_2(\text{H}_2\text{O})_5^{2+} + \text{TDA}^{2-} + \text{OH}^- \rightarrow$ $1/2(\text{UO}_2)_2(\text{TDA})_2(\text{OH})_2^{2-} + 5\text{H}_2\text{O}$	-282.3	-78.1	--

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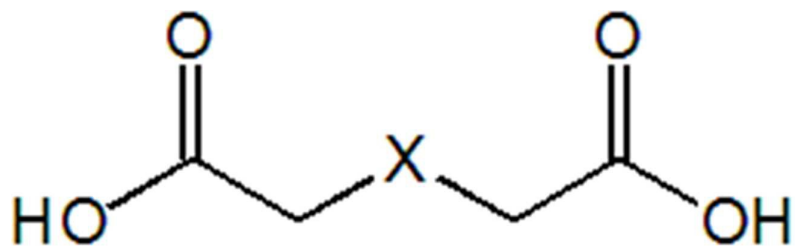


Fig. 1 Scheme for ODA, IDA and TDA where X=O, NH and S respectively

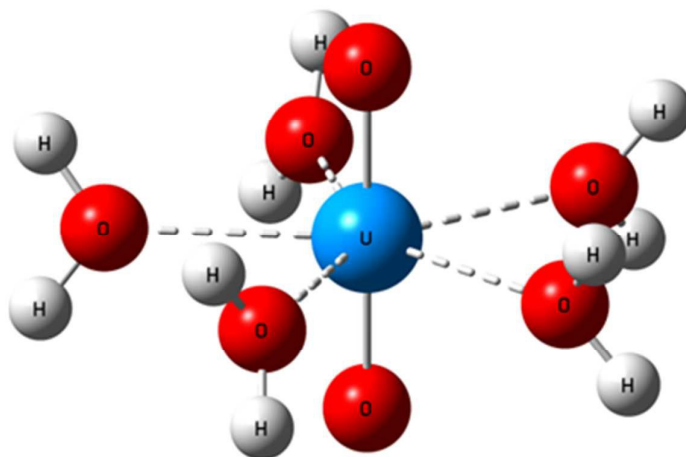


Fig. 2 The optimized structure of  $\text{UO}_2(\text{H}_2\text{O})_5^{2+}$  in aqueous solution using B3LYP functional

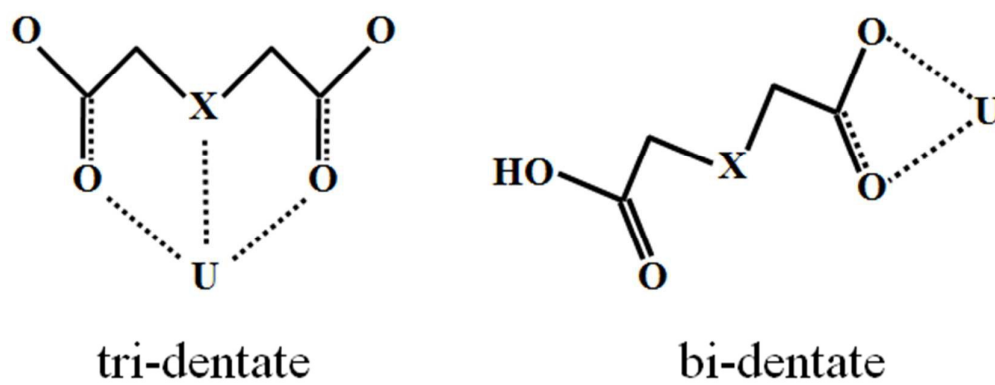


Fig. 3 Binding motifs of uranium and XDA (X=O/NH/S)

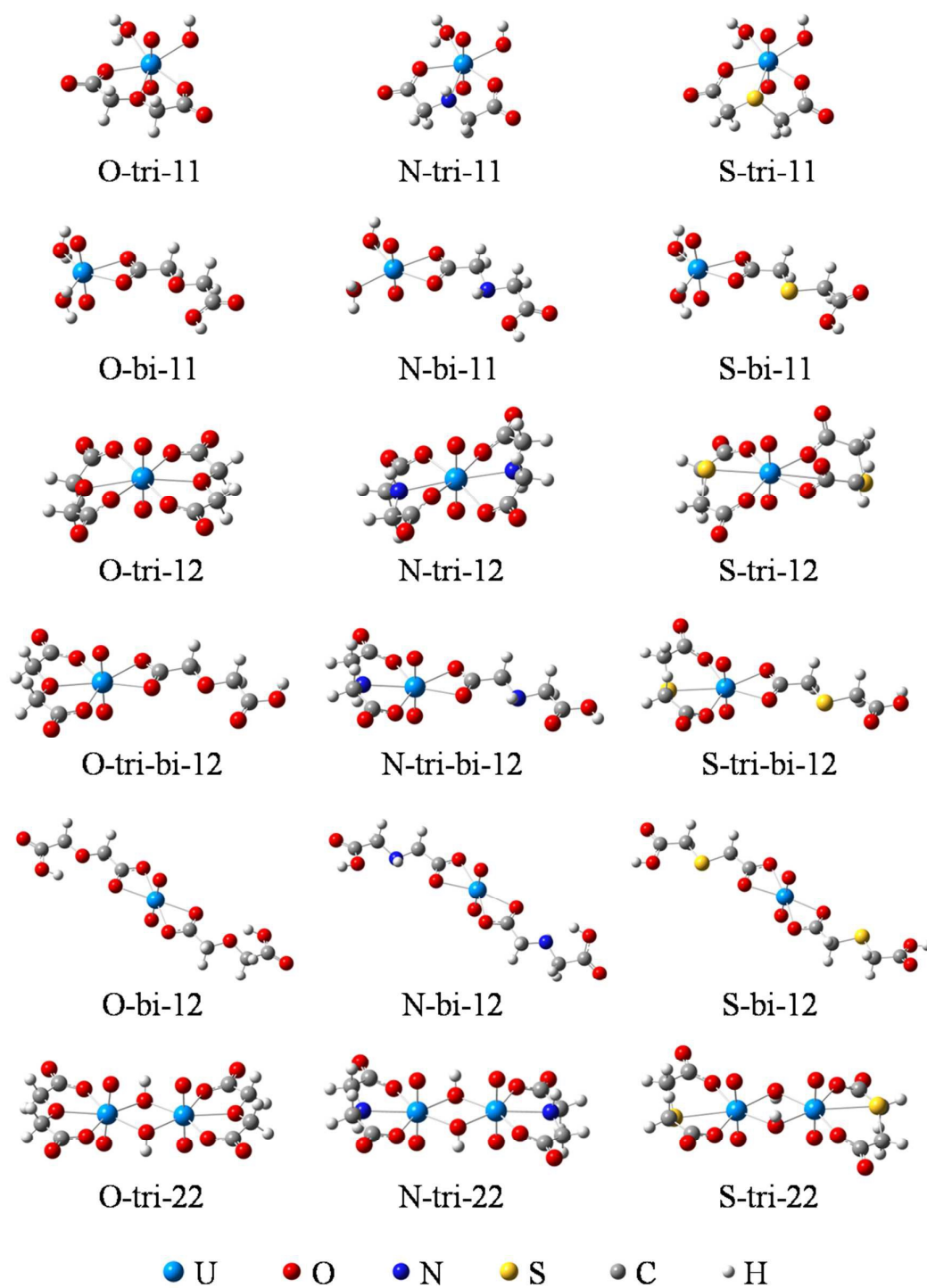


Fig. 4 Full optimized geometries of U(VI)/XDA complexes



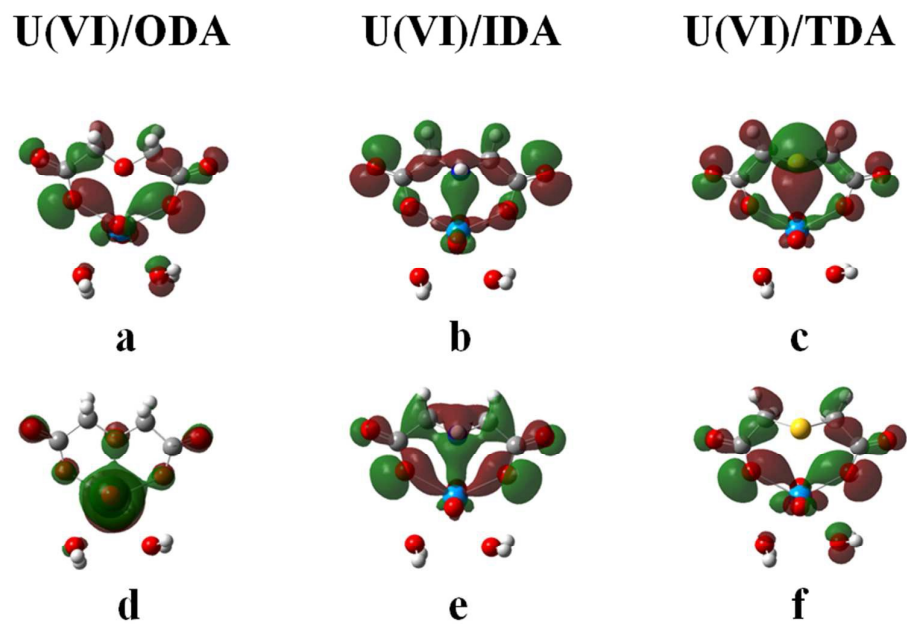


Fig. 5 Some MOs of 1:1 tridentate U(VI)/XDA complexes

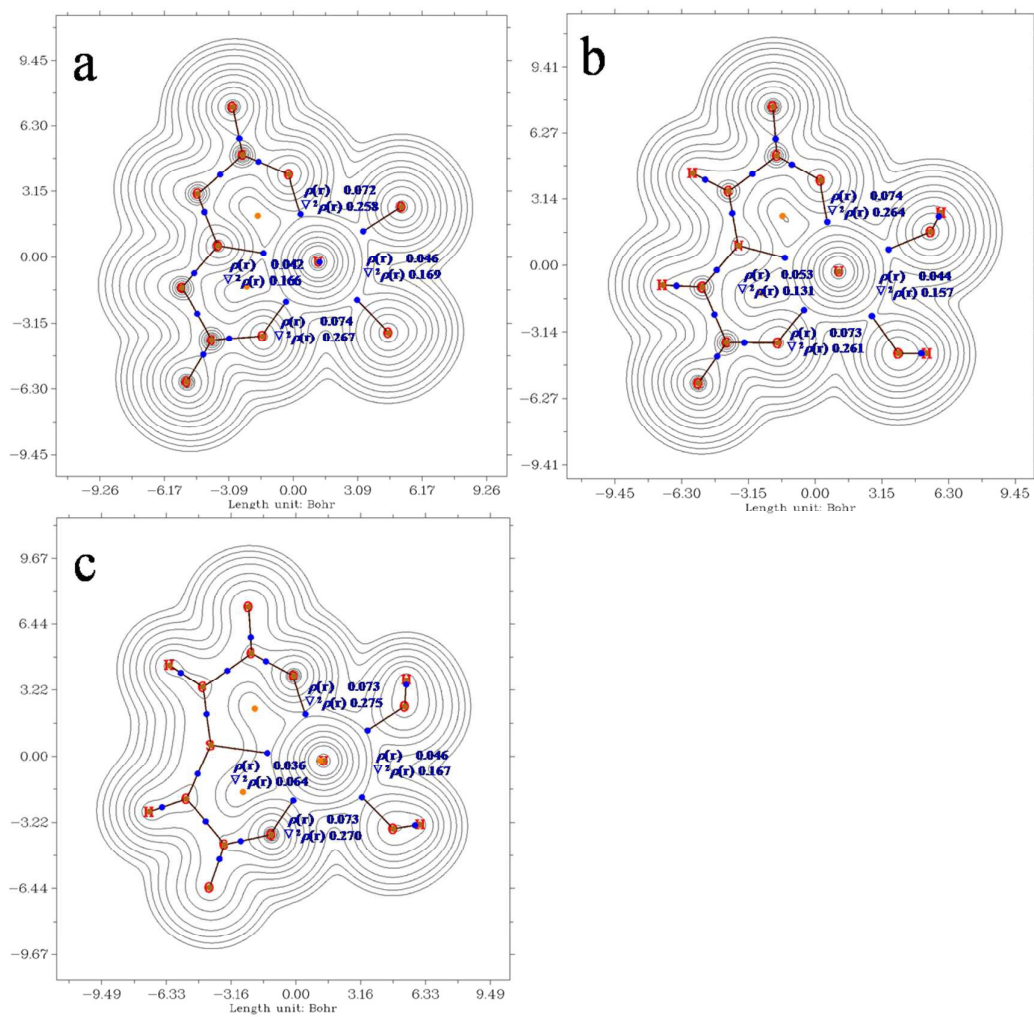
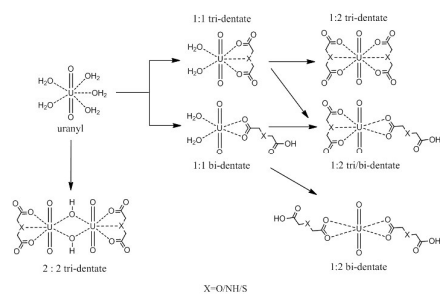


Fig. 6 Electron density contour maps of 1:1 tridentate U(VI)/XDA complexes:  
ODA(a), IDA(b) and TDA(c)



### Graphical Abstract

Precise structures of U(VI) complexes with ODA, IDA and TDA in different binding modes.