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ARTICLE

Fast multigram scale microwave-assisted synthesis of vitamin E and C₁₀-, C₁₅- analogues under vacuum

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A novel protocol for the microwave-assisted synthesis of (all-*rac*)- α -tocopherol, including its C₁₀- and C₁₅- analogues, is reported. A rotating microwave reactor working under vacuum favoured the rapid evaporation of condensation water and solvent at the end of the process. The main advantages of this fast procedure are its good yield, selectivity, versatility, lower solvents and energy consumption, easier workup and scalability.

Introduction

Vitamin E is the most important lipid-soluble antioxidant in biological systems¹ because of its well documented radical scavenger effects.² It can be found in edible oils sunflower seeds, corn and palm fruits.³ Vitamin E is actually a class of compounds that are derived from the 6-chromanol moiety and divided into the main group of tocotrienols (containing a 3',7',11' unsaturated C₁₆ side chain) and tocopherols (with a saturated C₁₆ aliphatic side chain), of which α -tocopherol shows the highest biological activity.⁴ In fact, the commercially available (all-*rac*)- α -tocopherol (an equimolar mixture of all eight stereoisomers), is the most important lipid soluble antioxidant.⁵ It has found important markets in animal nutrition, pharmaceuticals, cosmetics and food formulations, which are all in steady growth.⁶

The acid catalyzed Friedel-Crafts alkylation of trimethylhydroquinone (TMHQ) (**1**) with (all-*rac*)-isophytol (IP) (**2** or a C₂₀ equivalent thereof, e.g. phytol or a phytyl halide), and the subsequent ring closure reaction (Scheme 1), are the final steps in the total synthesis of (all-*rac*)- α -tocopherol (**3**). Early investigations into vitamin E synthesis from TMHQ were published by Karrer *et al.*,⁷ Bergel *et al.*⁸ and Smith *et al.*⁹ in 1938. The pioneering work of Karrer and Isler¹⁰ led to the first production of vitamin E at Roche in Basel in the early 1950s and a large number of publications on the final step of (all-*rac*)- α -tocopherol synthesis have been reported in the literature since then.¹¹ The Friedel-Craft reaction between **1** and **2** is traditionally catalyzed by Lewis and Brønsted acids, or combinations thereof, such as ZnCl₂/HCl, BF₃ and AlCl₃ in various organic solvents. From an industrial point of view, however, these procedures have suffered from numerous drawbacks; by-product formation, issues with corrosion and

wastewater contamination (zinc and halide ions).¹² Several alternatives that aim to circumvent these hurdles have been reported in the literature and several will be mentioned here. Environmentally friendly procedures have been performed in supercritical fluids giving catalyst recycling and easy product recovery.¹³ Multiple-phase-solvent systems (e.g. ethylene or propylene carbonate and hydrocarbons) have been used as alternative reaction media.¹⁴⁻¹⁶ A great deal of effort has been invested in heterogeneous catalysis using acidic zeolites,¹⁷ silica- or alumina-based systems,¹⁸ ion exchange resins,¹⁹ Nafion^{®20} or micro-encapsulated catalysts.²¹ Other reports have described the combined use of boric and oxalic (tartaric, citric) acids,²² and the application of new types of efficient Friedel-Crafts mediators in truly catalytic amounts. Striking examples of catalysis can be found in the use of rare earth metal triflates, e.g. Sc(OTf)₃,²³ heteropolytungstic acids,¹⁴ polyfluorinated compounds,²⁵ and *tris*(oxalato)phosphorus acid derivatives.²⁶ The remarkable feature of these systems is not only the high chemical yield that they provide, but particularly the extremely high selectivity of the overall condensation reaction between TMHQ and (all-*rac*) IP. The formation of isomeric products, e.g. benzofuran compounds, is considerably reduced, thus facilitating the purification of the final product.²⁷

Only a small number of publications have dealt with the synthesis and application of α -tocopherol analogues with a modified isoprenoid side chain (such as chromanols (**4**) and (**5**), termed tocopherol C₁₀- (C₁₅-) analogues).^{28,29} More highly hydrophilic vitamin E parent compounds with shorter isoprenoid side chains present higher antioxidant capacity as documented by Pentland *et al.* in their study on cellular arachidonic acid metabolism.³⁰ Moreover, it has been suggested by Kagan *et al.*³¹ that vitamin E analogues may play a critical role in the prevention of human LDL oxidation.³²

The rise of so-called "enabling technologies", in particular microwave (MW) and ultrasound (US),³³ could play a pivotal role in the industrial-level synthesis of vitamin E derivatives as dielectric heating and cavitation effects strongly affect

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kinetics and reaction rates.³⁴ Despite MW irradiation being commonly used in organic synthesis,^{35,36} the only example so far reported on vitamin E chemistry is the acylation to (all-*rac*)- α -tocopheryl acetate.³⁷ This work was aiming to investigate the effect of MW irradiation on the synthesis of vitamin E and its C₁₀- and C₁₅- analogues under vacuum and its potential scaling to multigram scale.

Results and discussion

The synthesis of (all-*rac*)- α -tocopherol moieties (**3**) involves the acid-catalyzed Friedel–Crafts alkylation of TMHQ (**1**) with IP (**2**), (or with a C₂₀ equivalent), followed by a ring closure reaction (scheme 1). The first attempt was optimized under conventional condition using Sc(OTf)₃ as the catalyst in toluene under reflux (Yamamoto *et al.* 1995).²⁴

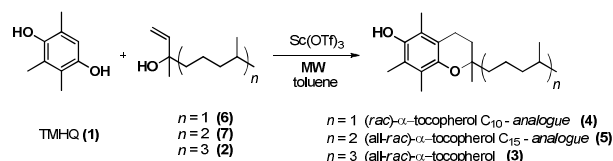
As first, we tried to optimize the reaction under conventional conditions, where tocopherol derivatives (**3**), (**3a**), (**4**) and (**5**) were obtained in good yields after 3 hours from all three allyl alcohols C₂₀- (**2**), C₁₀- (**6**), C₁₅- (**7**) (table 1).

Table 1. Synthesis of α -tocopherol and its C₁₅- and C₁₀- analogues under conventional conditions.^a

Entry	Isoprenoid chain	Yield (%)	Benzofurans (%)
1	C ₂₀ (2)	76	8.9
1b	C ₂₀ (2)	73	12.9
1c	C ₂₀ (2a)	71	8.6
2	C ₁₀ (6)	66	--
3	C ₁₅ (7)	68	--

a) Reaction time: 3 h (toluene under reflux), TMHQ purity degree 96%.. b) TMHQ purity degree <96%. c) Natural phytol (**2a**) used as isoprenoid allyl alcohol

MW-assisted condensations (scheme 1) were extremely fast and showed a higher purity in all α -tocopherol analogues (table 2). Due to the time-consuming purification step a cleaner pattern of impurities is an additional goal even though the overall yields were only slightly improved.



Scheme 1. MW-assisted synthesis of (all-*rac*)- α -tocopherol and its C₁₀-, C₁₅- analogues under vacuum.

The Friedel–Craft alkylations of **1** with **2** were carried out in a dedicated multimode MW reactor equipped with an internal sloping system (45° inclined) connected to an external vacuum

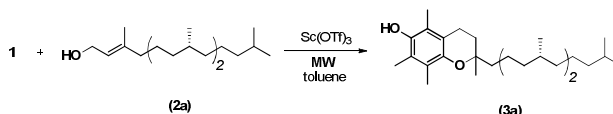
pump.³⁸ A α -tocopherol yield of 82% was achieved under MW irradiation and vacuum (200 mbar) in only 30 min at 110 °C (table 2) by halving the use of organic solvents required for conventional heating. Also catalyst pre-activation step was avoided in for MW reactions tanks to MW efficient energy transfer effects. The influence of the vacuum on the reaction rate is truly remarkable if we consider the poor conversion (55.6%) that was observed when the same reactions were performed in a closed MW system in 1 h (table 2, entry 1d). The efficient removal of condensation water efficiently brings the reaction to completion and avoids the inactivation of the catalyst. It is worth noting that the purity of the final products is strongly dependent on TMHQ purity degree (entry 1b, table 1 and entry 1c, table 2).

Table 2. MW-assisted synthesis of α -tocopherol and its C₁₀- and C₁₅- analogues.^a

Entry	Isoprenoid chain	Time (h)	Yield (%)	Benzofurans (%)
1		0.5	82	3.6
1b	C ₂₀ (2)	0.5	77	4.2
1c		0.5	74	10.6
1d	C ₂₀ (2a)	1	56	6.2
2	C ₁₀ (6)	0.5	69	--
3	C ₁₅ (7)	0.5	76	--

a) Reaction conditions (110 °C, 400 W, under vacuum). TMHQ purity degree 96%. b) Natural phytol (**2a**) used as phytol chain (E: 96.2%; Z: 1.2%). c) TMHQ purity degree <96%. d) Reaction conditions (110 °C, 400 W, closed MW system).

As previously reported in the literature^{24,39} a small amount of condensation benzofurans are always detected besides the expected α -tocopherol product (**3**), both under MW and conventional heating (table 1 and table 2). Luckily, the MW-assisted α -tocopherol synthesis was efficient and faster, paving the way for promising vitamin E industrial synthesis protocols.²⁴



Scheme 2. MW-assisted synthesis of optically pure α -tocopherol under vacuum using natural phytol (**2a**) (E: 96.2%; Z: 1.2%).

The MW-assisted synthesis of α -tocopherol was repeated using natural phytol (**2a**) (E: 96.2%; Z: 1.2%). The previous good results were confirmed (77.3%) after 30 min irradiation and the optical purity degree of the 2,5,7,8-tetramethyl-2-((4*R*,8*R*)-4,8,12-trimethyltridecyl)chroman-6-ol product (**3a**) was not affected (entry 1b, table 2) (scheme 2).

The synthesis was extended to a number of other isoprenoid allyl alcohols (scheme 1) in an attempt to confirm the versatility of the protocol. C₁₀ and C₁₅ α -tocopherol analogues (**4** and **5**) were synthesized in good yields in only 30 min (table 2, entry 2 and 3). As expected, yields of products with shorter isoprenoid chains were slightly lower (<10-15%) than those obtained from the reference alcohol (IP). Possible explanations for this result could be either the lower solubility of the shorter-chain alcohols or the different polarities of the transition states in the applied solvent system. The advantages of working in a rotating MW reactor under vacuum are faster reaction and workup times as well as the elimination of condensation water and finally toluene.

The scale up of MW-assisted Friedel-Craft alkylations was investigated up to a 100 g scale synthesis both for vitamin E and parent compounds. The good results achieved in 30 min in all cases (table 3, entry 2, 4, and 6) make this protocol potentially suitable for industrial application.

Table 3. Synthesis scale up: MW vs. conventional heating.^a

Entry	Isoprenoid chain	Method	Time (min)	Yield (%)
1	C ₂₀ (2)	Conv.	180	70
2		MW	30	79
3	C ₁₀ (6)	Conv.	180	60
4		MW	30	65
5	C ₁₅ (7)	Conv.	180	66
6		MW	30	7

a) Reaction conditions (100 g scale, 110 °C, 400 W, under vacuum). TMHQ purity degree 96%.

Experimental section

Commercially available reagents, catalysts and solvents were used without further purification unless otherwise noted (TMHQ: Fluka (96%) and isophytol, natural phytol (*E*: 96.2%; *Z*: 1.2%): Shandong Guangtongbao Pharmaceuticals Co., Ltd.). MW-assisted reactions were carried out in a RotoSYNTH reactor (MLS GmbH, Milestone Srl). GC analyses for reaction control were carried out on a gas chromatograph Agilent 6890A (G1530A) using a capillary column (Restek, BGB Analytik AG) that was 30 m long, while an ID of 0.32 mm and a film thickness of 0.25 mm were used (15°C/min program temperature: from 70°C to 300°C). GC analyses for the quantitative determination of tocopherol and related compound was carried out on a gas chromatograph HP 6890 using a capillary column (Rtx-5SiIMS) that was 30 m long, while an ID of 0.28 mm and a film thickness of 0.25 mm were used

(5°C/min program temperature: from 150°C to 300°C). Derivatization: squalene (int. standard) in pyridine/BSTFA+1% TMSCI).

General procedure

A suspension of TMHQ **1** (33 mmol; MW 152.19) and Sc(OTf)₃ (1.0 mol%; MW 492.16) was heated to reflux in toluene (20 mL) under magnetic stirring (20-30 min, 400 rpm) in a four-necked glass reactor equipped with an internal thermometer, a reflux condenser fitted with Dean-Stark trap (filled with toluene) and an argon inlet. Either neat IP **2**, natural phytol **2a** (33.5 mmol, 1.015 mol equiv., MW 296.54, d=0.841 g/mL) or the C₁₀- and C₁₅- analogues (**6**, **7**) were dropped via syringe pump over 30 min. The mixture was heated under reflux (110 °C internal temperature; reaction control by GC). The mixture was cooled to room temperature after 3h and poured into deionized water (20 mL). EtOAc (2x30 mL) was used to completely dissolve all organic material from the flask, while the combined organic extracts were washed twice with deionized water (20 mL), dried over sodium sulphate, filtered, evaporated at 20 mbar/50 °C and finally dried for 2 h at 0.02 mbar/23 °C in order to achieved (**3**), (**3a**), (**4**) and (**5**) products.

General MW procedure

A suspension of TMHQ **1** (33 mmol; MW 152.19), and Sc(OTf)₃ (1.0 mol%; MW 492.16) in toluene (10 mL) was poured into a suitable glass vessel (300 mL) for MW irradiation. Either neat IP **2** (33.5 mmol, 1.015 mol equiv., MW 296.54, d=0.841 g/mL) or the -C₁₀ and -C₁₅ analogues (**6**, **7**) were dropped via syringe pump over 5 min inside the MW rotating reaction vessel (200 rpm) and the suspension was heated at 110 °C (400 Watt) for either 1h or 30 min under vacuum (200 mbar). At reaction's end, toluene was evaporated under MW irradiation under more intense vacuum (100 mbar). The mixture was then poured onto deionized water (20 mL) and extracted 3 times with EtOAc (2x30 mL). The combined organic extracts were washed twice with deionized water (20 mL x 2), dried over sodium sulfate, filtered, evaporated at 20 mbar/50°C and finally dried for 2 h at 0.02 mbar/23°C (**3**), (**3a**), (**4**) and (**5**) products.

Conclusion

The study of eco-friendly, cost-effective and highly efficient synthetic methods is still very much a hot research topic for industries, especially in the field of nutritional products. By halving the use of organic solvents herein we report an efficient and rapid synthesis for vitamin E and its C₁₀- and C₁₅- analogues under MW irradiation, using a rotating reactor under vacuum. The excellent versatility and scalability, of up to 100 g scale, are demonstrated, making this a promising enabling technology for potential industrial applications.

Keywords

Microwave-assisted synthesis; α -tocopherol homologues; Friedel-Crafts alkylation; trimethylhydroquinone; isophytol

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