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Research Papers

Running header: preferential uptake of brick shaped nanoparticles in endothelium.

## **Differential Internalization of Brick Shaped Iron Oxide Nanoparticles by Endothelial Cells**

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## Abstract

Nanoparticles targeting endothelial cells to treat diseases such as cancer, oxidative stress, and inflammation have traditionally relied on ligand-receptor based delivery. The present studies examined the influence of nanoparticle shape in regulating preferential uptake of nanoparticles in endothelial cells. Spherical and brick shaped iron oxide nanoparticles (IONPs) were synthesized with identical negatively charged surface coating. The nanobricks showed a significantly greater uptake profile in endothelial cells compared to nanospheres. Application of an external magnetic field significantly enhanced the uptake of nanobricks but not nanospheres. Transmission electron microscopy revealed differential internalization of nanobricks in endothelial cells compared to epithelial cells. Given the reduced uptake of nanobricks in endothelial cells treated with caveolin inhibitors, the increased expression of caveolin-1 in endothelial cells compared to epithelial cells, and the ability of IONP nanobricks to interfere with caveolae-mediated endocytosis process, a caveolae-mediated pathway is proposed as the mechanism for differential internalization of nanobricks in endothelial cells.

Keywords: shape, iron oxide nanoparticles, drug delivery, nanobrick, endothelial cells, endocytosis, caveolae

## 1 **Background**

2 There is a growing interest in developing iron oxide nanoparticles (IONPs) as platforms for drug delivery  
3 applications.<sup>1-3</sup> In this regard, IONPs provide several advantages: 1) The ability to target to areas of  
4 interest using externally applied magnetic field, thereby increasing local therapeutic concentrations of  
5 IONPs and decreasing potential toxicity related to systemic circulation. 2) Monitoring capabilities for  
6 IONPs using MRI. 3) Favorable biocompatibility profile. 4) Flexibility of surface modification to create  
7 multifunctional complexes for advanced drug delivery applications involving intracellular or plasma  
8 membrane targets. The interaction between IONPs and the cell membrane is largely determined by their  
9 physiochemical properties such as surface coating and shape.<sup>4,5</sup> Our group has previously examined the  
10 effect of surface charge on the cellular uptake of IONPs.<sup>6</sup> We found that positively charged IONPs have a  
11 significantly higher uptake profile compared to negatively charged ones, likely due to electrostatic  
12 interactions between positively charged IONPs and the negatively charged plasma membrane of the cell.  
13 As a result, negatively charged nanoparticles appeared to be better candidates to advance in our drug  
14 delivery platform due to the potential for longer circulation times and reduced clearance. However, the  
15 charge related effects on internalization were non-specific as they were present in a variety of different  
16 cell types.<sup>6</sup>

17  
18 Various pathological conditions such as cancer, cardiovascular disease, inflammation, and oxidative stress  
19 would benefit from the preferential delivery of nanoparticles to the vascular endothelium.<sup>7-9</sup> To achieve  
20 the cell specific delivery, targeting ligands are often grafted onto the NPs to increase the delivery  
21 efficiency. For instance, intracellular adhesion molecule (ICAM), vascular cell adhesion molecule  
22 (VCAM), and platelet-endothelial cell adhesion molecule (PECAM-1) have been used to target  
23 endothelial cells.<sup>10-12</sup> However, these approaches are often associated with variability in outcome due to  
24 different receptor expression levels between patients or heterogeneity of endothelial cells within different

25 tissue.<sup>13</sup> Therefore, a generalized approach that preferentially target endothelial cells without ligand  
26 receptor interaction would be advantageous.

27  
28 In addition to surface charge, nanoparticle shape may also play a role in cell interactions. To date, there  
29 are few reports concerning non-spherical nanoparticles. Recent work with theoretical modeling revealed  
30 the role of nanoparticle shape and membrane rigidity on cellular uptake.<sup>14</sup> However, only a handful of  
31 studies provide side-by-side comparison of spherical and non-spherical nanoparticle interactions with  
32 biological environments.<sup>15</sup> Recent advances in synthesis techniques have enabled creation of brick  
33 shaped IONPs.<sup>16</sup> We hypothesize that changing the IONP shape will influence both the cellular uptake in  
34 endothelial cells and the ability to augment cell uptake with application of an external magnetic field.  
35 Toward that end, the uptake profiles of iron oxide nanospheres and nanobricks of similar size in various  
36 cell types were examined to address the impact of IONP shape. In addition, the mechanism of preferential  
37 uptake of the nanobrick IONPs in endothelial cells was determined with evidence suggesting a caveolin-  
38 dependent process. By understanding the relationship between IONP shape and cell surface domains, our  
39 work provides insight into the development of IONPs for specifically targeting endothelial cells.

40

41

42

## 43 **Methods**

### 44 *Materials*

45 All chemical reagents were purchased from Sigma Aldrich (St. Louis, MO) and cell culture reagents from  
46 Invitrogen Canada Inc. (Burlington, ON) unless otherwise specified.

### 47 *Nanoparticle synthesis and characterization*

48 Sphere shaped iron oxide nanoparticles were prepared under mild conditions at room temperature as  
49 previously described.<sup>17</sup> They were prepared by adding N-  
50 (trimethoxysilylpropyl)ethylenediaminetriacetate trisodium salt (EDT, 3 mmol, from a solution  
51 concentration of 45% in water) (Gelest, Morrisville, PA) directly to a reaction vessel containing IONPs .  
52 The mixture was allowed to react overnight with stirring and the final product was purified by dialysis  
53 (MWCO 30000) against deionized (DI) water over 48 hours and was freeze dried and resuspended in  
54 sterile PBS prior to experiments. Brick shaped IONPs with EDT surface coating was synthesized and  
55 prepared as recently described.<sup>16</sup>

56  
57 Nanoparticle crystallographic properties of both the nanospheres and nanobricks were measured with  
58 powder x-ray diffraction experiments using a Brüker diffractometer (D8 Discover with Davinci;  
59 Karlsruhe, Germany). Both nanoparticle systems were identified as iron oxide through Reitveld  
60 refinement incorporating the effects of the nanocrystalline nature of the samples (e.g. Scherrer broadening  
61 effects).

62  
63 The IONP size distribution in DI water was determined initially through photon correlation spectroscopy  
64 (PCS) at a fixed scattering angle (90°) using a Horiba Nano-Partica SZ-100 series instrument (Horiba  
65 Instruments Inc., Irvine, CA). The same instrument allowed for the assessment of particle surface charge  
66 (zeta potential) by the measurement of IONP electrophoretic mobilities using phase analysis light

67 scattering. The magnetization of dry nanoparticle powder samples were recorded at room temperature as a  
68 function of applied magnetic field (0 – 4 T) using a Quantum Design MPMS XL SQUID magnetometer  
69 (San Diego, CA).

### 70 ***Cell culture***

71 A mouse brain derived microvessel endothelial cell line, bEnd.3 (American type tissue culture collection,  
72 Manassas, VA), was used as a cell culture model of the blood-brain barrier (BBB). The bEnd.3 cells  
73 (passage number 15-30) were cultured in DMEM (Hyclone, Logan, UT) supplemented with 10% heat-  
74 inactivated FBS (Hyclone, Logan, UT), 50 U/mL penicillin and streptomycin (MP Biomedicals, Solon,  
75 OH) at 37°C and 5% CO<sub>2</sub>. Cells were expanded in T-75 tissue culture flasks, and seeded at 2x10<sup>4</sup> cells  
76 per cm<sup>2</sup> on 6 or 12 well plates for uptake and cytotoxicity studies, respectively. Culture medium was  
77 changed every 2 days. All experiments were performed on confluent monolayers (typically 4-5 days post  
78 seeding).

### 79 ***Cellular Uptake of IONP compositions***

80 Confluent monolayers of bEnd.3 cells grown on 6-well culture plates (Costar, Lowell, MA) were treated  
81 with culture media containing either nanosphere or nanobrick compositions (2.5µg/mL – 100µg/mL of  
82 Fe). After treatment with IONPs, cells were placed in a humidified CO<sub>2</sub> incubator maintained at 37°C.  
83 After 4 hours, the IONP solutions were removed and the cell monolayers were washed 3X with ice cold  
84 phosphate buffered saline (PBS) to remove unbound nanoparticles. Cells were lysed by the addition of  
85 500 µl of 0.2 M NaOH and IONP content determined based on the ferrozine assay described below.  
86 Cellular accumulation was examined in both the presence and absence of a static magnetic field created  
87 by placing the cells over a platform containing cylindrical rare earth magnets (19mm diameter, 3mm  
88 height) (Lee Valley, Ottawa, ON, Canada). Cells remained in the magnetic field for the duration of the  
89 experiment.

90 For mechanistic studies of IONP uptake experiments were performed at both 4<sup>o</sup> and 37<sup>o</sup> C and in the  
91 presence of various endocytotic inhibitors. Cells were pretreated with chlorpromazine (7 µg/mL),  
92 methyl-beta-cyclodextrin (10mM), genistein (200µM), monensin (25µM), or cytochalasin D (5 µg/mL)  
93 for 30 min at 37°C. Cells were exposed to the nanobricks for 1 h at 37°C in the presence of the various  
94 endocytotic inhibitors. Cell association of nanobricks was determined as described below.  
95 Additional studies using known markers of caveolae mediated endocytosis, alexa fluor 488-labeled  
96 cholera toxin subunit B (CTB) and tetramethylrhodamine conjugated bovine serum albumin (BSA) were  
97 examined for cellular uptake. For these studies, cells were exposed to CTB (3.5 µg/mL), BSA (10  
98 µg/mL) for 2 h either alone or following 15-min pretreatment with various concentrations of the iron-  
99 oxide nanobricks. Cells were washed and lysed and fluorescence determined using a Synergy HT plate  
100 reader (BioTek, Winooski, VT).

### 101 *Analytical assay for measuring IONPs*

102 Quantitative determination of IONP content in cell and media samples was performed using the Ferrozine  
103 assay. As the Ferrozine assay is an absorbance-based assay for determining soluble iron concentrations,  
104 IONPs in the cell lysate and media samples were first solubilized by adding 500 µL of concentrated HCl  
105 (~12M) to 500 µL of cell lysate or media samples. This mixture was incubated for 1 h at room  
106 temperature with gentle shaking and then neutralized with 500 µL of 12M NaOH. Once the samples were  
107 neutralized, 120 µL of hydroxylamine hydrochloride (2.8 M) in 4M HCl was added and the samples  
108 incubated for 60 min at room temperature with gentle shaking. Following this incubation, 50 uL of 10 M  
109 ammonium acetate solution (pH 9.5) and 300 uL of 10mM ferrozine in 0.1M ammonium acetate solution  
110 were added to each sample. Absorbance was measured at 562 nm using a Synergy HT plate reader  
111 (BioTek, Winooski, VT). Quantitative assessment of IONP concentration was based on a standard curve  
112 prepared by serial dilutions of 1000 ppm iron atomic absorption standard (Fisher Scientific, Ottawa, ON).  
113 Samples from the cell lysates were normalized for protein content using BCA protein assay kit (Pierce,  
114 Rockford, IL).



### 115 *Electron Microscopy*

116 The cellular localization of IONPs compositions was examined using transmission electron microscopy.  
117 For these studies, cells were incubated with IONPs at 50 $\mu$ g/mL concentration in media for 2 hours. After  
118 incubation, cells were washed 3X with PBS and collected using 0.25% trypsin EDTA (Hyclone, Logan,  
119 UT). After centrifugation, the cell pellets were fixed in 3% glutaraldehyde in 0.1M phosphate buffer (pH  
120 7.3), followed by post-fixation in 1% osmium tetroxide in 0.1M phosphate buffer (pH 7.3). Cells were  
121 then dehydrated and embedded in Epon 812 using standard techniques.<sup>18</sup> Thin sections were stained with  
122 uranyl acetate and lead citrate, viewed and photographed in a Philips CM 10 electron microscope (FEI,  
123 Hillsboro, OR, USA). In order to eliminate observer bias, sections were examined without foreknowledge  
124 of their source.

### 125 *Statistical analysis*

126 All data were expressed as mean  $\pm$  SEM. All values were obtained from at least three independent  
127 experiments. Statistical significance was evaluated using one-way ANOVA followed by post-hoc  
128 comparison of the means using the Tukey's test.

129

## 130 **Results**

### 131 *Physico-chemical characterization of IONPs*

132 Physico-chemical parameters of the nanobrick and nanosphere compositions are provided in Figure 1.

133 Both nanobricks and nanospheres were silanized and had free carboxylic acid functional groups on their  
134 surfaces resulting in zeta potentials of approximately -40 mV. The TEM images confirming the different  
135 shapes of IONPs have previously been published.<sup>16,17</sup> The dimensions of IONP core for the nanobricks  
136 were approximately 15 nm x 10 nm x 5nm while the nanosphere was around 8 nm in diameter. The  
137 saturation magnetization, determined by fitting the high field magnetization to a straight line after  
138 background subtraction (diamagnetic signal from the sample holder), was  $50 \pm 5 \text{ A m}^2 \text{ kg}^{-1}$  and  $10 \pm 2 \text{ A}$   
139  $\text{m}^2 \text{ kg}^{-1}$  for the nanobricks and nanospheres, respectively. The saturation magnetization is the largest  
140 magnetization that a material can exhibit in an applied magnetic field. Samples with larger saturation  
141 magnetizations have greater magnetic response and thus are likely more useful for targeted delivery using  
142 an externally applied magnetic field. A more detailed description of the nanoparticle's characterization is  
143 provided in the Supplementary Information.

### 144 *Preferential internalization of nanobrick in endothelial cells*

145 Quantitative uptake analysis was performed in the bEnd.3 mouse brain endothelial cell line. (Figure 2a) In  
146 absence of magnetic field, there was a significantly greater uptake of nanobrick compared to nanosphere  
147 compositions at all concentrations above  $5 \mu\text{g}/\text{mL}$ . In the presence of external magnetic field, cell  
148 association of nanobrick was substantially increased compared to nanosphere. At the highest  
149 concentration examined ( $100 \mu\text{g}/\text{mL}$ ), there was a 30-fold and 10-fold increase in uptake of nanobricks  
150 compared to nanospheres with and without a magnetic field, respectively. This surprising finding suggests  
151 that despite the negative surface charge, brick shaped IONPs are taken up by brain endothelial cells to a  
152 greater extent than spherical counterparts. Furthermore, the shape of IONPs affected their magnetization  
153 value and ability to interact with cells in the presence of an external magnetic field gradient. Potential

154 toxicity of nanobricks related to bEnd.3 cells was investigated (Figure S1). Nanobricks appear to be non-  
155 toxic even at 100 ug/mL concentration.

156  
157 Uptake studies with the nanobrick was expanded to include primary human lung and brain endothelial  
158 cells as well as Madin-Darby canine kidney (MDCK) epithelial cell line with two fold purpose: 1) To  
159 investigate whether there was any selectivity to endothelial cells versus epithelial cells; and 2) To  
160 examine whether enhanced uptake of the nanobricks was specific to brain endothelial cells compared to  
161 other endothelial beds. External magnetic field significantly enhanced cellular uptake of nanobricks in  
162 both the lung and brain microvessel endothelial cells but not in epithelial cells (MDCK) (Figure 2b).  
163 While accumulation of the nanobrick IONPs in the presence of an external magnetic field was  
164 significantly greater in the endothelial cells compared to the epithelial cell line, there was no apparent  
165 differences between endothelial cells from different vascular beds (Figure 2b). Transmission electron  
166 microscopy (TEM) of the various cell preparations confirmed that nanospheres were loosely bound on the  
167 cell surface and not internalized by bEnd.3 cells (Figure 3a) or human hepatocellular liver carcinoma cell  
168 line HepG2 (Figure 3b). By contrast, large amounts of nanobricks were found inside the bEnd.3  
169 endothelial cells (Figure 3c) but few were found inside HepG2 (Figure 3d) or MDCK epithelial cell lines  
170 (Figure 3e), confirming the finding that the nanobricks were selectively internalized in endothelial cells.

### 171 ***Internalization of nanobrick in bEnd.3 cells via caveolae mediated endocytosis***

172 To understand the selectivity of nanobricks to endothelial cells, we examined the potential mechanism of  
173 internalization. The observation that nanobrick accumulation in bEnd.3 cells was temperature dependent  
174 with significantly less uptake at 40 compared to 37°C suggested an energy dependent endocytic process  
175 (Figure S2). To determine which type of process was responsible for uptake of the nanobricks, confluent  
176 bEnd.3 cell monolayers were pretreated with inhibitors for clathrin mediated endocytosis  
177 (chlorpromazine), caveolae mediated endocytosis (M $\beta$ CD, genistein), macropinocytosis (cytochalasin D),  
178 and endosome maturation (monensin) for 30 min, and uptake of nanobricks at 100 ug/mL was determined

179 (Figure 4a). There was a significant inhibition of nanobrick uptake in the M $\beta$ CD and genistein treatment  
180 groups (Figure 4a). These findings were confirmed in TEM studies showing diminished IONP association  
181 in bEnd3 in the presence of genistein compared to controls receiving the nanobricks alone (Figure 4b,c).  
182 The inhibition observed with genistein and MbCD was not attributable to toxicity based inhibition of  
183 uptake as none of the inhibitors examined showed cytotoxicity at the concentrations examined in bEnd.3  
184 cells (Figure S3). None of the other treatment groups examined significantly impacted on nanobrick  
185 accumulation in bEnd3 cells (Figure 4a), suggesting that nanobrick internalization in bEnd.3 cells was  
186 mediated via a caveolae dependent endocytosis pathway.

187  
188 To ascertain whether elevated caveolae mediated endocytosis in endothelial cells contributes to the  
189 selective internalization of nanobricks observed in endothelial cells, additional studies were performed  
190 with known markers of caveolae-mediated endocytosis. The uptake of CTB and BSA is 6-fold and 12-  
191 fold greater in bEnd.3 cells than MDCK cells, respectively. (Figure 5a) The increase in uptake of CTB  
192 and BSA in the bEnd3 was correlated with an increase in the expression of caveolin-1 compared to  
193 epithelial MDCK cell line. Expression of caveolin-1 in another endothelial cell line hCMEC/D3 was also  
194 elevated. (Data not shown) Additional evidence of potential interaction of nanobricks in caveolae-  
195 mediated endocytosis is the ability of the nanobricks to inhibit the uptake of fluorescently-labeled BSA in  
196 a concentration dependent manner. (Figure 5b)

197

## 198 Discussion

199 Previous studies by our laboratory and others<sup>19-22</sup> have demonstrated the importance of surface charge of  
200 IONPs for cellular uptake. In the present study, negatively charged IONPs of different shape were utilized  
201 to examine the influence of shape on cellular uptake. While there are some publications regarding the  
202 synthesis of different shaped IONPs,<sup>23,24</sup> these methods are typically thermal decomposition based  
203 generating nanoparticles that are not directly dispersible in water and therefore not readily amenable to  
204 cell based interactions. With regards to the possible impact of IONP shape on cell uptake, to date the  
205 shape-dependent impact on the cell accumulation have been limited to macrophages, fibroblast, and  
206 cancer cells.<sup>25-27</sup> The current studies are the first to demonstrate a shape related effect on IONP  
207 accumulation in endothelial cells. Our results demonstrated that brick shaped IONPs were preferentially  
208 taken up by endothelial cells compared to sphere shaped IONP with identical surface coatings. In  
209 addition, when studies were performed in the presence of a magnetic field, the endothelial sensitivity for  
210 nanobrick accumulation was even more apparent, being substantially greater than epithelial cell  
211 preparations. The selective uptake of the nanobricks by endothelial cells appears to be due to caveolae-  
212 mediated endocytosis, which is more prevalent in endothelial cells compared to epithelial cells examined.  
213

214 As the nanobricks are slightly larger than the nanospheres (15 x 10 x 5nm for nanobricks vs 8nm diameter  
215 nanospheres), there is a possibility that differences in size may also contribute to the increased  
216 accumulation of IONP nanobricks in the endothelial cells. Previous studies demonstrated a size dependent  
217 effect on IONP accumulation in the Caucasian colon adenocarcinoma cell line (Caco2).<sup>28</sup> However, it  
218 should be noted that those IONP had a positive surface charge and were considerably larger (30 - 100 nm  
219 core diameter) than the IONP used in the present study. Given the EDT surface coating used in the  
220 present study, the studies of Saito et al reporting no size dependent effect on the accumulation of  
221 negatively charged IONP in cells may be more relevant. In this study, the cellular uptake of alkali-treated  
222 dextran coated IONPs (-15mV zeta potential) with particle sizes of 28 and 74 nm, were compared to that

223 of carboxymethyl dextran IONPs (-24mV zeta potential) of similar size in a macrophage cell line,  
224 RAW264. While there was a clear surface charge dependency in cell accumulation, with the alkali-treated  
225 dextran coated IONPs having greater accumulation than the carboxymethyl dextran coated IONPs, no  
226 significant difference was found in the cellular accumulation of the large (74 nm diameter) and small (28  
227 nm diameter) IONPs of the same coating.<sup>29</sup> Taken together, these studies would suggest that for the  
228 negatively charged particles with low membrane association, size is not the predominant factor for  
229 determining cellular accumulation.

230  
231 We found that brick shaped IONPs could enhance the affinity between surface coating and cell membrane  
232 compositions. An increased contact area with the cell surface provides potentially more sites for  
233 interaction and has been previously identified as an important contributor to enhance nanoparticle  
234 targeting effects.<sup>30</sup> Our finding is in line with recent publications of shape related effect on polystyrene  
235 NPs. Barua et al reported that rod shaped polystyrene NPs have enhanced antibody binding specificity to  
236 three breast cancer cell lines compared to spherical and disk shaped NPs.<sup>31</sup> Using *in silico* and *in vivo*  
237 approaches, Kohlar and colleagues demonstrated rod shaped polystyrene NPs with antibody against  
238 intracellular adhesion molecule (ICAM) or transferrin receptor exhibited higher internalization in brain  
239 and lung endothelial cells than spherical counterparts under flow conditions.<sup>32</sup> Hence, it is speculated that  
240 by changing the IONPs from sphere to brick, the negatively charged surface coating interacts with  
241 multiple discrete sites on the cell membrane that contributes to the selective binding of the nanobricks to  
242 endothelial cells. This may provide advantages especially when second-generation nanobrick  
243 compositions are created that have additional endothelial ligand targeting capabilities. We further  
244 hypothesize that a low affinity ligand grafted on nanobrick surface would exhibit a stronger interaction to  
245 its receptor than grafted on nanospheres. Such studies are currently ongoing.

246  
247 Generally speaking, physiochemical properties of IONPs such as shape and surface coating would be  
248 expected to have an impact on the internalization pathway. Studies by Hsu et al demonstrated that

249 chitosan coated IONPs and hyaluronan-modified chitosan coated IONPs may activate different  
250 endocytosis pathways. In these studies, chitosan coated IONPs favored uptake by clathrin mediated  
251 endocytosis, while the hyaluronan modified chitosan favored more caveolae mediated endocytosis  
252 routes.<sup>33</sup> Our previously published studies using positively charged amino silane coated and negatively  
253 charged amino silane with EDT functionalized end groups demonstrated that the negatively charged EDT  
254 coated nanospheres had a much lower cellular accumulation than the positive charged IONP. This  
255 observation, that negatively charged IONP had lower uptake than positively charged IONP of similar size  
256 and shape, held up across a variety of cells including brain endothelial cells, as well as primary cultured  
257 neurons and astrocytes.<sup>6</sup> This is due to the fact that negatively charged surface reduces nonspecific  
258 electrostatic interactions between the NPs and cell surface. The results of the present study, that the EDT  
259 coated nanobricks with identical surface coating and similar size as the nanospheres showed dramatic  
260 increases in uptake in endothelial cells, suggest that while the coating of the nanoparticle is important, so  
261 too is the shape. Furthermore at least for the EDT coated IONPs, shape appears to be a bigger determinant  
262 of caveolae-mediated vesicular transport.

263  
264 Of the various vesicular internalization processes, caveolae mediated endocytosis is predominantly found  
265 in endothelial cells.<sup>34</sup> Therefore, targeting to endothelial cells may be achieved by interacting with  
266 caveolae localized in lipid rafts within the plasma membrane. The current study certainly points to a  
267 caveolae-mediated mechanism for the endothelial selective uptake of the nanobrick IONP. The evidence  
268 in support of this is the increased expression of caveolin in endothelial cells compared to the epithelial  
269 cells and the ability of inhibitors of caveolae-mediated uptake to significantly reduce nanobrick IONP  
270 accumulation in endothelial cells. In addition, the nanobrick IONPs were able to prevent the cellular  
271 uptake of two macromolecules, CTB and BSA, which are known to enter into endothelial cells through  
272 caveolae-mediated endocytosis in a concentration dependent manner consistent with competitive  
273 inhibition of caveolae binding sites. Previous studies grafting anionic polyelectrolytes of varied  
274 hydrophobicity to nanospheres reported endothelial cell targeting of NPs via a caveolae-mediated

275 endocytic process.<sup>35</sup> These findings together suggest that non-spherical nanoparticles with negative  
276 surface charges are likely to have the greatest affinity for caveolae-based uptake.

277

278 Caveolae are formed by a group of caveolin protein binding to cholesterol in the lipid raft region of the  
279 cell membrane.<sup>36</sup> Although surface chemistry and functional groups can influence IONP cell interaction,  
280 it has been reported that negatively charged IONPs can interact with cationic lipid domains in the lipid  
281 raft.<sup>37</sup> Caveolae are enriched in endothelial cells and present in muscle, fibroblast, and adipocytes.<sup>38</sup>  
282 Following the pinch off of caveolae from the lipid raft, the fate of caveolae is dependent on the cell type  
283 in which endocytosis occurs. In non-endothelial cells, caveolae are subjected to the endosomal-lysosomal  
284 system. In endothelial cells, caveolae may bypass the lysosome and transport cargo through vesicular  
285 processes across the endothelial cell layer.<sup>39, 40</sup> For this reason, the nanobrick IONPs may potentially be  
286 exploited for drug and gene delivery applications to tissues underlying endothelial cells such as the brain.  
287 These studies are currently ongoing.

288

289 Compared to nanospheres, the nanobricks have an increased responsiveness in an applied magnetic field  
290 gradient. Based on the modeling and simulation data, (the nanobricks have a preferred direction of  
291 magnetization along their largest dimension (see Figure S4) As such, an externally applied magnetic field  
292 will act to more preferentially to align the smaller dimensions of the nanobricks along the cell surface,  
293 decreasing the area of interaction and thus limiting the effect of the steric repulsion between the cell  
294 surface and nanobrick coating. The proposed behavior of the nanobricks in the externally applied  
295 magnetic field may help explain the significant increase in uptake of the nanobricks compared to the  
296 nanosphere observed in the presence of a magnetic gradient in the present study. In addition to the  
297 potential for tissue targeting using external magnetic fields, the magnetic properties of the nanobricks  
298 made them ideal candidates for magnet resonance imaging agents. Nanobricks show large and constant  
299 transverse relaxivity ( $r_2$ ) for medium and high-field MRI compared to gadolinium based contrast agents  
300 that peaks at 20 MHz and decreases quickly with high magnetic fields.<sup>16</sup>



301  
302 The preferential uptake of nanobrick IONPs within vascular endothelial cells combined with the enhanced  
303 targeting through application of external magnetic fields has several potential therapeutic applications.  
304 The ability to target to the endothelial cells within tumor microvasculature is a prime application for this  
305 technology platform. It is generally accepted that angiogenesis is crucial for tumor growth, evasion and  
306 metastasis.<sup>41</sup> The creation of new blood vessels to supply oxygen and nutrients to tumor cells is a  
307 necessary requirement for solid organ tumor growth. Thus, anti-angiogenesis therapy has emerged as a  
308 viable treatment strategy to control tumor growth. Recent studies demonstrated the potential of PEG-  
309 PLGA nanoparticles for tumor neo-vasculature and tumor cells dual-targeting drug delivery.<sup>42</sup> The ability  
310 to focus an external magnetic field within the tumor stroma will not only increase the local concentration  
311 of IONPs but also facilitate improved internalization of nanobrick IONPs in endothelial cells. An  
312 anticipated result of such focused targeting of the IONPs would be enhanced delivery and potential  
313 destruction of the tumor neovasculature. While current anti-angiogenic therapies have been limited in the  
314 clinic due to the development of resistance,<sup>43</sup> the targeting of nanobrick IONPs to endothelial cells using  
315 shape and magnetic fields would make resistance to these delivery vehicles less probable.

316

317

## 318 **Conclusion**

319 Nanoparticle shape plays an important role in the cellular internalization process. Targeting nanoparticles  
320 to endothelial cells can be achieved by modification of shape from a sphere to a brick. Nanobricks  
321 exhibited an improved cellular uptake profile compared to nanospheres despite a negative surface charge.  
322 The larger overall magnetization of the nanobricks resulted in an enhanced uptake in the presence of an  
323 external magnetic field. The preferential uptake of nanobricks in endothelial cells was mediated via  
324 caveolae dependent endocytosis. Our results demonstrate that shape modification offers a general  
325 approach to achieve targeted delivery.

326

327

328 **Table 1: Physico-chemical properties of nanospher and nanobrick IONPs.**

	Nanospheres	Nanobricks
Surface Coating		
TEM Size *	8 nm	15 x 10 x 5 nm
Zeta Potential **	$-39 \pm 3$ mV	$-45 \pm 3$ mV
Saturation Magnetization	$10 \pm 2$ A m <sup>2</sup> kg <sup>-1</sup>	$50 \pm 5$ A m <sup>2</sup> kg <sup>-1</sup>

329

330 \*IONP core sizes were determined by TEM and reported previously<sup>16, 17</sup>

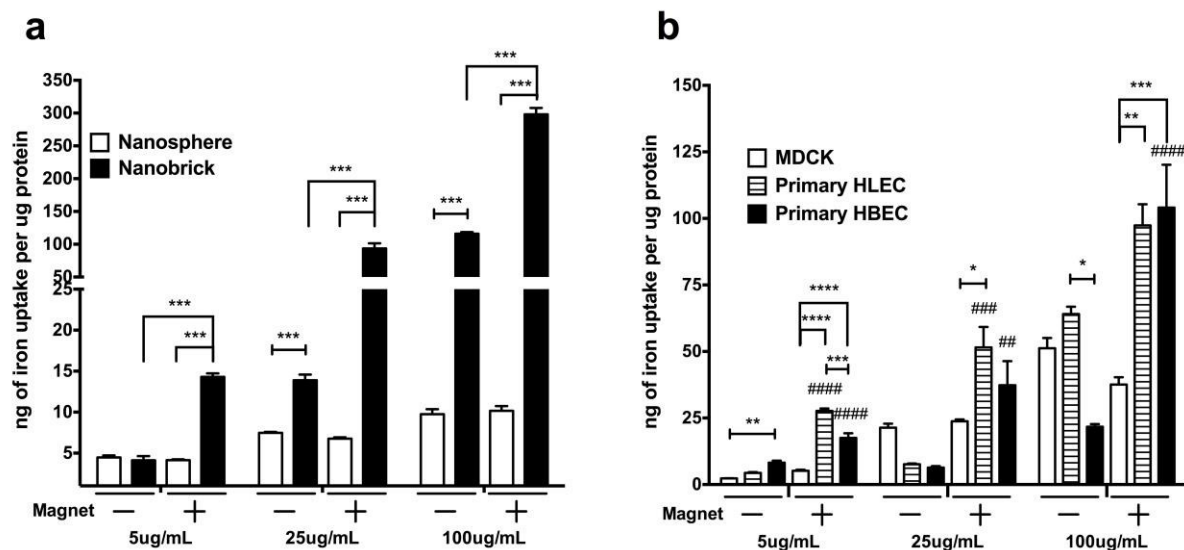
331 \*\* surface charges of IONPs were measured in triplicate samples using a Nano-partica SZ-100 series

332 instrument from Horiba. Values represent the mean  $\pm$  SEM (n=3).

333

334 **Figures**

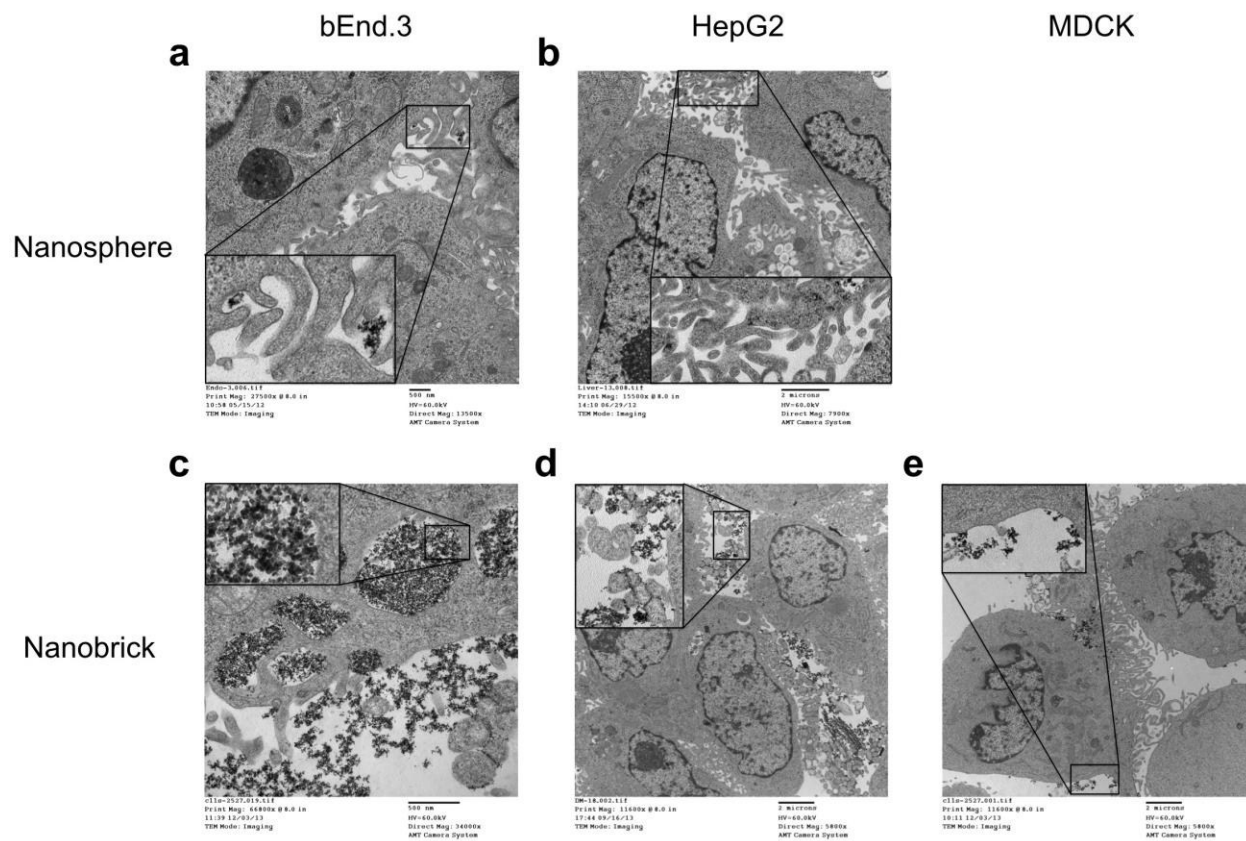
335

336 **Fig. 1**

337

338 **Fig 1** Cellular accumulation of nanobricks and nanospheres in bEnd.3 cells (a). Uptake of nanobricks in  
 339 MDCK, primary human lung and brain endothelial cells (b). Experiments were performed in the presence  
 340 and absence of external magnetic field. Values are expressed as the mean  $\pm$  SEM for three cell  
 341 monolayers per treatment group. ##, ###, #### indicate  $p < 0.01$ ,  $< 0.001$ ,  $< 0.0001$  respectively compared  
 342 to the same treatment group without magnetic field exposure. \*, \*\*, \*\*\* and \*\*\*\* indicate  $p < 0.5$ ,  $< 0.1$ ,  
 343  $< 0.001$ , and  $< 0.0001$ .

344

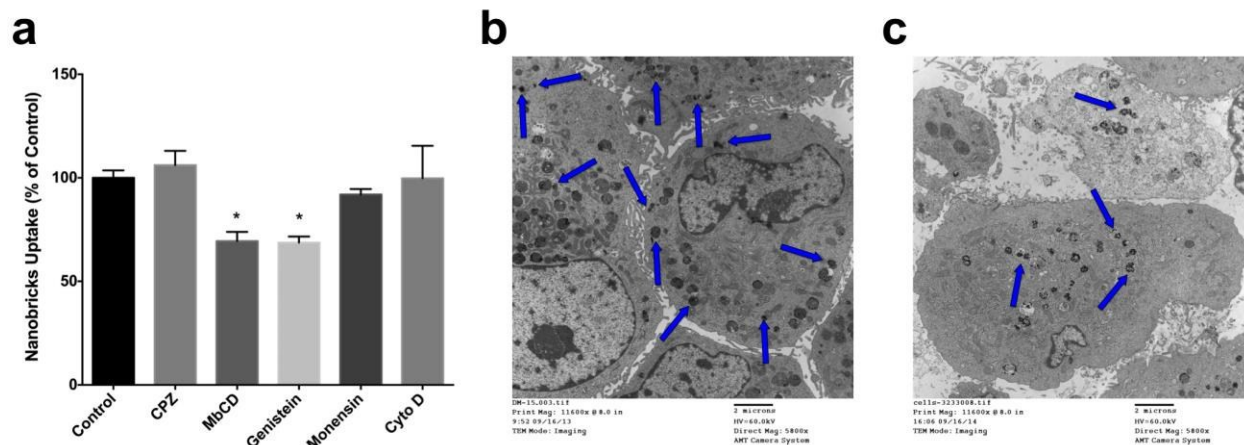
345 **Fig. 2**

346

347 **Fig 2** Representative TEM images of nanospheres (a, b) and nanobricks (c, d, e) in bEnd.3 (a, c), HepG2

348 (b, d), and MDCK cells (e). The boxed region in each image is magnified 3 times.

349

350 **Fig. 3**

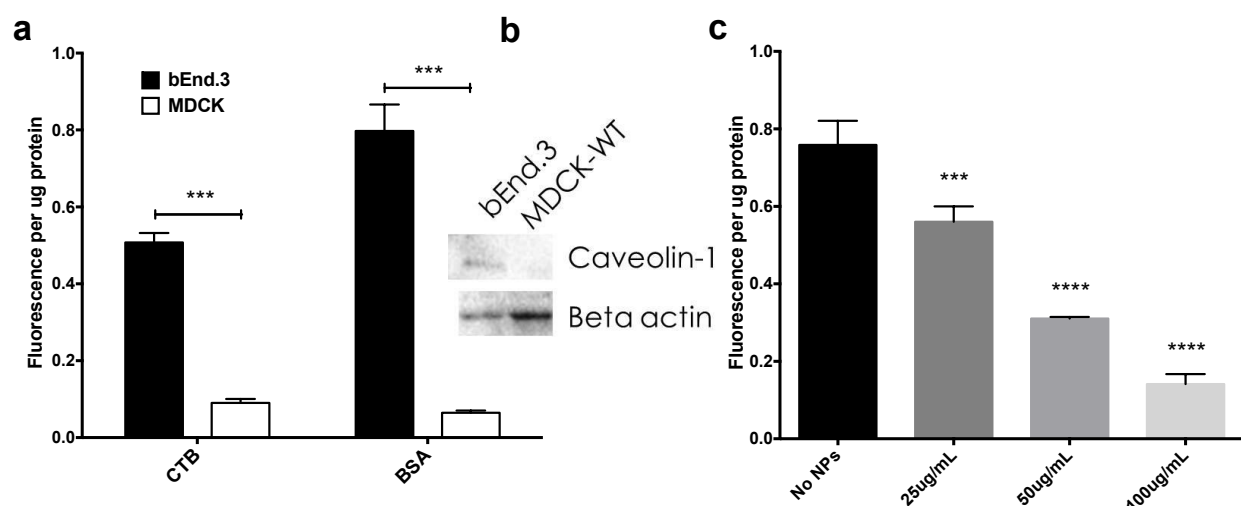
351

352 **Fig 3** Effect of various endocytosis inhibitors on cellular uptake of nanobricks in bEnd.3 cells. The  
353 internalization of nanobricks was significantly decreased by treatment with MβCD and Genistein,  
354 inhibitors of caveolae mediated endocytosis. This is confirmed by representative TEM that shows  
355 substantially greater internalization of nanobricks under control conditions (b) compared to cells treated  
356 with genistein (c). The arrows point to nanoparticles. Values represent the mean ± SEM for three cell  
357 monolayers per treatment group; \* p<0.05 compared to control.

358

359 Fig. 4

360



361

362 **Fig 4** Probing caveolae mediated endocytosis pathway in bEnd.3 and MDCK cells using fluorescently  
363 labeled BSA and CTB (markers for caveolae-mediated uptake). Caveolae-mediated pathway is prominent  
364 in bEnd.3 cells and significantly lower in MDCK cells (a). Western blot analysis shows higher level of  
365 caveolin-1 expression on bEnd.3 cells (b). The ability of nanobricks to inhibit the uptake of fluorescently  
366 labeled BSA and CTB in bEnd.3 cells suggests a competitive binding of the nanobricks to the caveolae  
367 (c). Values are expressed as the mean  $\pm$  SEM for three cell monolayers per treatment group. \*\*\* indicate  
368  $p < 0.001$ , \*\*\*\* indicate  $p < 0.0001$ .

369

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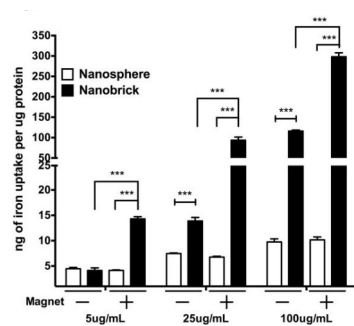
377



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Nonspherical iron oxide core “nanobricks” have enhanced uptake in endothelial cells through caveolae -mediated endocytosis mechanism