



Enhanced release of palladium and platinum from catalytic converter material exposed to ammonia and chloride bearing solutions

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Complete List of Authors:	Aruguete, Deborah; Penn State Erie the Behrend College School of Science, Environmental Science Murayama, Mitsuhiro; Virginia Tech, Materials Science and Engineering; Virginia Tech Institute for Critical Technologies and Applied Science Blakney, Terry; Penn State Erie the Behrend College School of Science, Mathematics Winkler, Christopher; Virginia Tech, Institute for Critical Technology and Applied Science, Nanoscale Characterization and Fabrication Laboratory

Environmental Significance Statement

For “Enhanced release of palladium and platinum from catalytic converter materials exposed to ammonia and chloride bearing solutions”

Authors and affiliations:

Deborah M. Aruguete^{1*}, Mitsuhiro Murayama^{2,3}, Terry Blakney⁴ and Christopher Winkler³

¹Department of Environmental Science, Penn State Behrend, 4205 College Drive, Erie, PA 16563, U.S.A.

²Department of Materials Science and Engineering, Virginia Tech, Blacksburg, VA, 24061, U.S.A.

³Institute for Critical Technology and Applied Science, Nanoscale Characterization and Fabrication Laboratory, Virginia Tech, Blacksburg, VA, 24061, U.S.A

⁴Department of Mathematics, Penn State Behrend, 4205 College Drive, Erie, PA 16563

*Corresponding author: aruguete@psu.edu

Continued and increasing use of motor vehicles globally is leading to the unintentional introduction of platinum group elements (PGEs) into the environment via catalytic converter materials emitted in exhaust. Our studies suggest that palladium(Pd) and platinum(Pt) from catalytic converters can be

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3 released as coordination complexes in the presence of the environmentally-
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6 common ligands chloride and ammonia nitrogen at pH 8. Because Pd and Pt
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9 complexes with NH_3 , Cl^- and similar ligands can be bioactive or toxic, our
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12 finding has strong significance as well for the impact of PGEs on ecosystems.
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15 Given the ubiquity of chloride and ammonia nitrogen, these findings may apply
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20 to a large variety of environmental systems.
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Enhanced release of palladium and platinum from catalytic converter material exposed to ammonia and chloride bearing solutions

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Deborah M. Aruguete^{1}, Mitsuhiro Murayama^{2,3}, Terry Blakney⁴, and Christopher
Winkler³*

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¹Department of Environmental Science, Penn State Behrend, 4205 College Drive, Erie,
PA 16563, U.S.A.

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²Department of Materials Science and Engineering, Virginia Tech, Blacksburg, VA,
24061, U.S.A.

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³Institute for Critical Technology and Applied Science, Nanoscale Characterization and
Fabrication Laboratory, Virginia Tech, Blacksburg, VA, 24061, U.S.A

⁴Department of Mathematics, Penn State Behrend, 4205 College Drive, Erie, PA 16563

*Corresponding author. E-mail address: Aruguete@psu.edu

ABSTRACT

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4 Environmental levels of platinum group elements (PGEs) are steadily rising,
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7 primarily due to exhaust emissions of vehicle catalytic converter (VCC) materials
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10 containing solid PGEs.
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14 Once these VCC materials reach soil and water, the PGEs may be transported in the
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17 form of nanoparticles (dimensions 1-100 nm) or they may be mobilized by forming
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20 coordination complexes with ligands in the environment. Chloride (Cl^-) and ammonia
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23 (NH_3) are two ligands of particular concern due to their ubiquity as well as their potential
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26 to form the chemotherapy drug cisplatin ($\text{Pt}(\text{NH}_3)_2\text{Cl}_2$) or other potentially bioactive
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29 complexes. This initial study examines the release of Pd and Pt into solutions exposed
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32 to VCC materials at pH 8 and 25° C, using elemental analysis of metal content in post-
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35 exposure extracts. Solutions had total ammonia nitrogen concentrations (TAN, $[\text{NH}_4^+] +$
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38 $[\text{NH}_3]$) of 0 μM , 5.56 μM , 55.6 μM and $1.13 \times 10^5 \mu\text{M}$ (0 ppm, 0.1 ppm, 1 ppm, and 2147
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41 ppm). The former three represent background environmental levels and had a minimal
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44 effect on release. However, when combined with $1.13 \times 10^5 \mu\text{M Cl}^-$ (4000 ppm Cl^-),
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47 55.6 $\mu\text{M TAN}$ induced a marked increase in metal release (~41x for Pd). High TAN
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50 solutions induced more Pd and Pt release than equimolar NaCl solutions. Materials
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3 characterization revealed ~4 nm palladium-containing nanoparticles were present, and
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7 spatially associated with nanoparticles of γ -Al₂O₃; ceria-zirconia nanoparticles were
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10 also present but did not have any metal associated with them. Platinum-containing
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14 nanoparticles were not observed.
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21 I. Introduction

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24 Platinum group elements (PGEs) are emerging pollutants of concern¹⁻³. Levels
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27 of PGEs have risen since the broad institution of PGE-based vehicle catalytic
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31 converters (VCCs)⁴, which convert harmful emissions to more benign gases^{4, 5}.
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34 Particles of catalytic converter materials contain nanoscale (1-100 nm) and larger
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37 particles of platinum (Pt), palladium (Pd) and rhodium (Rh)⁶. These particles can be
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41 emitted with exhaust⁵. Vehicle catalytic converters are considered to be the dominant
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44 source of PGEs in the environment³. Platinum group elements have been found in air⁷⁻⁹
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48 , roadside dust^{10, 11}, incinerated sewage¹⁰ and highway tunnels⁷. Anthropogenic PGEs
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52 are even able to reach fairly pristine systems as demonstrated by their discovery in
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56 Antarctic ice¹². PGE emissions are expected to increase as automobile sales grow.
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4 Vehicle sales and registrations have risen from approximately 66 million vehicles in
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7 2005 to 97 million in 2017, a 46% increase overall¹³ 1,282,270 vehicles are in use as of
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10 2015, including passenger and commercial vehicles.¹⁴ In developing countries, sales
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14 have risen sharply, leading to concerns about PGE pollution in these areas¹⁵. For
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18 example, from 2005 to 2012 sales in China rose from 5.7 million to 29 million¹³.
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21 Recently tightened emissions regulations in the EU and US¹⁶ have led to an increased
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24 loading of Pd in VCCs, indicating that overall Pd emissions into the environment may
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28 increase.
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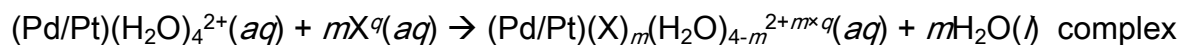
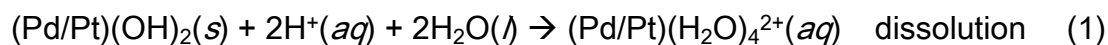
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32 This increase is of concern because PGE materials in the environment could
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35 damage human and ecosystem health. Negative impacts could arise if VCC-based
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38 PGE metals could be transformed into bioactive PGE coordination complexes such as
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41 the chemotherapy drug cisplatin¹⁷ ($\text{Pt}(\text{NH}_3)_2\text{Cl}_2$) or other PGE compounds of
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45 pharmaceutical interest¹⁸⁻²⁰. Whereas such substances are useful in medicine, their
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49 uncontrolled introduction into the environment may be problematic as they can be
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52 detrimental to microbes²¹⁻²³ and larger organisms^{19, 23}. Negative impacts could also
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56 arise even if the PGEs remain unchanged because they are nanoparticles (particles
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3 with dimensions of 1-100 nm)^{6, 24}. Nanoparticles frequently display size-dependent
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7 chemical properties different from those of bulk counterparts such as redox potential,
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10 solubility, and surface energy, making them more reactive and often more toxic than
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13 their bulk counterparts^{25, 26}. VCC materials in particular have been noted as a critical
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17 part of outdoor urban nanomaterials²⁷.
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21 As of now, predicting the fate of VCC PGE nanoparticles is difficult. Regardless,
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24 it is clear that compounds present in the environment can increase the release of Pd
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26
27 and Pt from solid VCC materials into solution. These compounds include methionine²⁸,
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30 citric acid²⁸, and humic acids²⁹, as well as the anions NO_3^- , SO_4^{2-} , Cl^- and $\text{PO}_4^{3-30-32}$. Pd
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32
33 and Pt release from VCC materials has also been observed in simulated biological
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37 fluids with chloride^{8, 33}.
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41 In such systems, at least in part, the release of Pd and Pt into solution from VCC
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44 materials likely entails a process involving the dissolution of oxidized species on the
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48 metal particles coupled with the formation of coordination complexes. Even under
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51 ambient conditions³⁴, native surface oxides or oxidized surfaces with adsorbed oxygen
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55 can exist on Pd²⁸ and Pt³⁴ with thicknesses ranging from <1 to ~10 monolayers³⁵. A
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4 divalent oxidation state of the surface layer is most likely to be favored at these
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7 experimental conditions for Pd³⁶ and Pt³⁷⁻⁴⁰. Dissolution of this oxidized surface can
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10 occur as per equation 1⁴¹ (for ease of presentation (Pd/Pt)(OH)₂(s) is used to represent
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13 the surface oxide material based on prior work on Pd⁴¹, although many oxide
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16 stoichiometries are possible). This reaction can be coupled with the formation of a Pd
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19 or Pt complex with the ligand X, shown in equation 2⁴². If the complexation is highly
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22 favored, it can thermodynamically drive the release of Pd and Pt into solution. An
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25 energetically favorable ligand exchange of a non-water ligand Y for X⁴³ (equation 3) can
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28 drive these coupled processes farther to the right. For all reactions, *m* is the number of
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31 ligands in the complex (*l* = 0, 1, 2, 3, 4) with a charge *q*.



44
45 formation(2)



51
52 exchange (3)

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4 Thus, in considering the solubility of solid Pd and Pt, ligands that are (i) extremely
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7 common in the environment and (ii) form highly stable Pd and Pt coordination
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10 complexes are of particular interest, as they may increase solubility. The ligands
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13 chloride (Cl⁻) and ammonia (NH₃) were chosen for these reasons.
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17 Chloride (Cl⁻) and ammonia (NH₃) form highly stable coordination complexes with
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20 PGEs, particularly Pd and Pt, as indicated by cumulative stability constant (β_4) values⁴⁴⁻
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24 ⁴⁶. The affinity of Cl⁻ and NH₃ for Pd and Pt is such that they are even used in ore
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27 refinement⁴⁷⁻⁴⁹. Most notably, at environmentally-relevant concentrations of NH₃ and Cl⁻
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30 similar to this study, Eh-pH calculations indicate that mixed-ligand complexes such as
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33 Pd(NH₃)Cl⁺ are energetically favored at pH 6-8 and a wide range of oxidation
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36 potentials³⁹.
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42 In addition to equilibrium chemistry, understanding Pd and Pt interactions with Cl⁻
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45 and NH₃ is critical as both of these ligands are ubiquitous in natural systems, and
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48 frequently elevated in polluted systems. At common environmental pH values, NH₃
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51 exists with NH₄⁺ (ammonium) as a conjugate base-weak acid pair. With increasing pH,
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54 the proportion of NH₃ relative to NH₄⁺ will increase (pK_a of NH₄⁺ = 9.4 at 20°C)⁵⁰, making
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3 this ligand particularly important for PGE complexation under mildly basic pH conditions,
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7 as opposed to pH values < 7. Ammonia (NH₃) and ammonium (NH₄⁺) are collectively
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10 referred to as ammonia nitrogen (AN)[‡]. Natural sources of AN include soil microbial
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13 activity⁵¹ and decay of natural organic matter⁵². Human activity can also contribute to
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16 excess AN levels, from sources such as ammonia fertilizer⁵³, wastewater⁵³ and
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19 emissions from burning coal⁵¹. Once introduced into the environment, AN is often
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22 oxidized due to processes such as nitrification. Soil has background levels of 55.6 μM M
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25 to 278 μM total ammonia nitrogen (TAN, defined⁵³ as [NH₄⁺] + [NH₃])[‡] and < 333 μM in
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28 surface freshwater, but can be >1.7 × 10⁵ μM temporarily after fertilizer application⁵².
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35 Understanding how AN affects Pd and Pt release into solution is relevant for any similar
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38 or related amine species in the environment, *e.g.* amino acids⁵⁴.
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42 Chloride is naturally present in soil, freshwater, and of course marine systems.

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45 Chloride levels are rising to excess in many terrestrial systems due to human activity.

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48 Anthropogenic sources include salt buildup in heavily irrigated fields^{55, 56}, road de-icing
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51 salt⁵⁷⁻⁵⁹, and landfill leachate⁶⁰. With sea level rise, ocean incursion has increased the
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56 salinity of many coastal areas^{61, 62}. Unlike AN, chloride is persistent and can readily
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4 accumulate in the environment. Continually rising chloride in the environment could
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7 potentially increase the release of Pd and Pt into solution due to the formation of chloro-
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10 Pd or -Pt complexes.
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14 The overall purpose of this study is to determine the effects of ammonia nitrogen
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17 and chloride upon the release of Pd and Pt from VCC materials at ambient conditions
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20 (pH 8, 20°C) using batch-mixing trials. Specifically, the first aim is to determine the
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23 effect of ammonia nitrogen concentration upon metal release into solution, both at
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26 realistic background levels found in soil solution and water^{52, 53} (0 μM, 5.56 μM, 55.6 μM
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29 and 1.13×10^5 μM) as well as an elevated post-fertilization level (1.13×10^5 μM TAN).
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35 The second aim is to determine the effect of elevated salinity (as NaCl) upon metal
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38 release, using a concentration of 1.13×10^5 μM Cl⁻, representative of salt levels in snow
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41 and water contaminated with road salt^{58, 63} as well as brackish or estuarine waters⁶⁴.
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46 The third is to examine how mixed solutions with both elevated chloride and the TAN
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49 levels above impact metal release. The fourth aim was to explore how nanoparticulate
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52 components (dimensions < 100 nm) of VCC materials might impact Pd and Pt release.
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56 To this end, two specific goals were to perform a thorough materials characterization,
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3 and to elucidate whether released Pd and Pt could be in the form of colloidal
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7 nanoparticles rather than metal coordination complexes.
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10 **II. Materials and methods**

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14 A commercially-available unused vehicle catalytic converter for the 2007 and
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17 2008 Honda Fit base models (economy cars, Honda OEM Part No. 18160-RME-A00)
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20 was used for this study. This VCC is for gasoline engines. The catalytic converter
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23 chassis was cut open to reveal two monoliths. For consistency, only the first monolith
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26 (what would be closest to the engine) was used. The monolith was placed into new
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28 zipper-locked plastic bags and gently tapped with a plastic hammer to break it apart.
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32 The pieces were then ground to a powder with an agate mortar and pestle, and
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35 subsequently stored sealed in the dark. Laboratory chemicals used, namely NH_4Cl ,
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38 NH_4NO_3 , NaNO_3 and NaCl , were all Reagent Grade purity or higher and purchased from
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41 Sigma-Aldrich. Ultrapure (18.2 $\text{M}\Omega\text{-cm}$) water was used for all solutions, and Fisher
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44 TraceMetal nitric acid for sample acidification.
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52 Batch solubility experiments were conducted with solutions serving as simplified
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55 models of environmental waters, including those polluted with excess AN or salt. 0.500
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4 g of VCC powder were weighed into an acid-washed borosilicate glass vial along with
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7 20 mL of solution, capped and magnetically stirred for 48 hours at room temperature.
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10 They were kept in the dark to eliminate any possible photochemistry. pH was
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12
13 measured in solutions before and after the experiments and found to be constant within
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17 0.2 pH units.
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21 $1.13 \times 10^5 \mu\text{M Cl}^-$ (4000 ppm Cl^-) solutions representing salt-polluted water^{58, 63, 64}
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23
24 were prepared with NaCl and are designated as “Cl-only.” 0.113 M NaNO_3 solutions
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26
27 were prepared as the control. Ammonia nitrogen solutions were prepared with NH_4NO_3
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30 at concentrations representative of background levels in the environment⁵² of 0 M, 5.56
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33 μM , and 55.6 μM corresponding to 0 ppm, 0.1 ppm, and 1 ppm TAN. These
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37 concentrations were all less than the EPA designated Chronic Criterion Magnitude limit
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41 of 150 μM TAN (2.7 ppm) at 20 °C and pH 8. A $1.13 \times 10^5 \mu\text{M NH}_4\text{NO}_3$ solution was
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43
44 also made to represent soils immediately after fertilization⁵². Sodium nitrate (NaNO_3)
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47 was added to the low TAN solutions to maintain constant ionic strength. These
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51 solutions are referred to as “low TAN-only”, “mid TAN-only” and “high TAN-only” in the
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55 remainder of the text. An additional series of solutions with the same TAN levels was
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3 prepared with NaCl at a concentration of $1.13 \times 10^5 \mu\text{M}$. These are designated as “low
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6 TAN-Cl” and so forth. All solutions were adjusted to pH 8 with NaHCO_3 (1000 μM in
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9 final solutions) except for the high TAN and high TAN-Cl solutions, which were adjusted
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12 with NaOH as AN acted as a buffer. After stirring, the VCC-solution mixture was
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16 decanted and filtered through a 0.2 μm PVDF syringe filter (Millipore). This filtered
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21 extract was then acidified to 2-5% HNO_3 by volume, weighed, and stored in LDPE
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25 bottles at 4° C prior to elemental analysis. A minimum of five reagent blank⁶⁵ trials
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28 were run at the same time as the standard experiments. These blanks were analyzed
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31 to quantify any contamination introduced during the experiments and sample
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35 processing.

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38 To assess extracts for the presence of particles $<0.2 \mu\text{m}$, a portion was subjected
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41 to centrifugal ultrafiltration through a 1 kilodalton molecular weight cutoff filter (Pall
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44 Macrosep Advance Centrifugal Filter, $\sim 1.4 \text{ nm}$ pore size⁶⁶), then analyzing the filtrate
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48 and retentate separately. Another portion was also ultracentrifuged at 75000 rpm with a
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51 Beckman Optima TL ultracentrifuge, and the top and bottom fractions subjected to
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3 elemental analysis. Further details of these experiments are provided in section V of
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7 the ESI.
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10 Elemental analysis of extracts for Pd, Pt and Rh was performed at the Penn
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14 State Laboratory for Isotopes and Metals in the Environment (LIME), using a ThermoX
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17 Series II Quadrupole Inductively Coupled Plasma Mass Spectrometer (ICP-MS) with
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21 collision cell technology. A list of the operating parameters for the instrument (*e.g.* gas
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24 flow rates) is provided in Table S4 of the ESI (Part II, page S4). Data was analyzed to
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28 account for common expected interferences from polyatomic species formed with the
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31 metals Cu, Zn, Sr, Rb, Y, Zr, Mo, Gd, Hf, Ta, Pb as well as Cd isotopes isobaric with Pd
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35 isotopes. Table S5 in the ESI lists some of the more common mass interferences.
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38 To be considered a significant mass interference requiring correction, the signal
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42 counts at the atomic mass numbers of interfering elements had to be >5% of the
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46 putative Pd or Pt signal and have isotopic ratios consistent with natural abundances. As
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49 these samples were generated from simplified, controlled experiments, they were
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53 mostly not expected to contain any significant amount of elements causing mass
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57 interferences. In accordance, it was found that isotopes 176-180 of Hf had
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3 concentrations below detection limits, as well as Y, Zr, Nb, Ta and Cd. The only
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7 significant contaminant was Sr. Aside from high TAN solutions, all other measurements
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10 were indistinguishable from reagent blanks, as confirmed by the statistical analysis
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12
13 (described here and in section III of the ESI). The instrumental detection limits reported
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15
16 by the LIME facility were 18.8 μM for Pd, 10.2 μM for Pt and 29.1 μM for Rh. Limits of
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19 detection (LODs) were calculated as $X_{\text{blank}} + 3\sigma_{\text{blank}}$, with X_{blank} being the average value
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21
22 of the blank samples and σ_{blank} being the standard deviation for these samples⁶⁷.
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27

28 **Statistical analysis.** To assess the statistical significance (95% Confidence Intervals) of
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30 differences in Pd and Pt content between varying solution compositions, data were
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32
33 subjected to the Andersen-Darling Test for Normality ($\alpha = 0.05$) and subsequently
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35
36 analyzed with two sample t-tests in cases of normally distributed data or Mann-Whitney
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38
39 tests if the normality assumption
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41
42 was violated. When comparing more than two samples the Mood's Median test was
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45 employed when the normality assumption was violated by at least one of the three
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49 samples tested. Otherwise, ANOVA combined with Tukey's test for pairwise comparison
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3 were employed. Analysis was performed using the MINITAB software package.
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7 Further information on the analysis is provided in the ESI.
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10 **Characterization of VCC materials.** Elemental analysis of the whole solid catalytic
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12 converter material as well as NIST SRM 2557 (Used Autocatalyst) was performed by
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14 Activation Laboratories Ltd. (Ancaster, ON, Canada; www.actlabs.com), report A16-
15
16 05871. Platinum, palladium and gold were extracted via fire assay and analyzed by
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18 ICP-OES. Rh was extracted by fire assay and analyzed with ICP-MS. To extract Zr
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20 and Ce, fusion with lithium metaborate tetraborate was performed and analysis done
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22 with ICP-OES/MS. (Actlabs codes for tests: 1C – OES, 1C –Rhodium, 4Litho.)
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35 Material collected for electron microscopy characterization was obtained by
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37 gently scraping surfaces of the CC monolith. This was done in order to limit sampling to
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39 the active catalytic material, as opposed to the underlying support (cordierite).
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45 Subsequently the scrapings were suspended in hexanes, deposited on a Cu-ultrathin
46
47 carbon film grid (Electron Microscopy Sciences) and allowed to dry. Conventional
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49 diffraction-contrast images, selected area electron diffraction (SAED), and elemental
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51 maps from energy-dispersive X-rays (EDX) were collected using a JEOL JEM-2100
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3 transmission electron microscope (TEM) equipped with a JEOL genuine 60mm² Silicon
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7 Drift detector (SDD) EDX system operating at 200 kV. Site-specific EDX analysis was
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9
10 performed using a high intensity electron probe (resolution < 1 nm) in an FEI Titan 80-
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12
13
14 300 field emission TEM operating at 300 kV. This instrument was equipped with a
15
16
17 Fischione high angle annular dark field (HAADF) detector to obtain Z (atomic number) -
18
19
20
21 contrast images in scanning transmission electron microscopy (STEM) mode and an
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23
24 EDAX 30 mm² Si(Li) EDX system. High-resolution transmission electron microscopy
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27
28 (HRTEM) images and corresponding Fast Fourier Transform (FFT) patterns were
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30
31 analyzed for crystalline phases, using Gatan DigitalMicrograph and FEI TIA software.
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35 The American Mineralogist Crystal Structures Database was referenced for this
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37
38 analysis. In addition to TEM and EDX work, powder X-ray diffraction (XRD) data were
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41
42 collected from the whole VCC material using a Rigaku Mini-Flex diffractometer with Cu-K α
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44
45 radiation. Brunauer-Emmett-Teller (BET) surface area analysis of the powder was
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48
49 performed with a Quantachrome AS1 BET analyzer.
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51

52 **III. Results and Discussion**

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4 **1. Bulk analyses of whole VCC monolith materials.** In the elemental analysis of the
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6
7 whole VCC material, palladium, platinum and rhodium were all found to be present, with
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9
10 palladium present at the highest concentration. Table 1 lists the results of these
11
12
13 analyses. The molar ratio of palladium to platinum was ~21:1 and palladium to rhodium
14
15
16 was ~11:1. Zirconium and cerium are present, with a molar ratio of Zr:Ce equal to
17
18
19 ~5.25:1. Powder XRD (ESI figure S1) confirmed the presence of cordierite
20
21
22 ((Mg,Fe)₂Al₃(Si₅AlO₁₈)), which was to be expected as cordierite is the dominant material
23
24
25 within a monolith, serving as the substrate for catalytic materials. The BET surface area
26
27
28 of the powder was 54.2 m²/g. The XRD and BET observations are not surprising,
29
30
31 because the whole solid was analyzed as opposed materials on the monolith surface,
32
33
34 which are dominated by washcoat and catalyst nanomaterials.
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41 **2. TEM and SAED analysis of oxide washcoat.** Conventional TEM images of materials
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44 scraped from the monolith surface are displayed in figure 1A, displaying clusters of
45
46
47 rounded, slightly elliptical structures ~10-15 nm in diameter (figure 1B) and lathe-like
48
49
50 structures <5 nm thick (figure 1C), referred to as nanodots and nanoflakes. Selected
51
52
53 area electron diffraction (SAED) of the Zr-Ce nanodots (Figure 1D) was consistent with
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4 ceria-zirconia⁶⁸. Selected area electron diffraction (SAED) of the nanoflakes (Figure
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6
7 1E) was consistent with that of γ -Al₂O₃⁶⁹. SAED indexing is provided in ESI Tables S1
8
9
10 and S2. Figure 2 displays representative results from elemental mapping via energy
11
12
13 dispersive x-ray spectroscopy (EDS). Areas with lathe-like structures had a high
14
15
16 aluminum content, whereas the rounded structures displayed a high zirconium content,
17
18
19 along with a small amount of cerium. The Zr and Ce maps are thus consistent with the
20
21
22 elemental analysis of the whole powder VCC material.
23
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28 **3. Localized spectroscopic analysis and HRTEM of Pd-rich areas.** Energy-dispersive X-
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30
31 ray (EDX) spectroscopy and STEM-HAADF were employed to obtain highly-localized
32
33
34 chemical information. The contrast in HAADF imaging is proportional to Z^2 (atomic
35
36
37 mass squared). This is evident in figure 3A, which is a HAADF-STEM image of the
38
39
40 rightmost structure in figure 1A. This structure is a mass of γ -Al₂O₃ nanoflakes and
41
42
43 ceria-zirconia nanodots; the higher-Z nanodots have a much stronger contrast. A
44
45
46 magnified part of the structure in Figure 3A is shown in Figure 3B with two points from
47
48
49 which spectra were collected. These spectra are representative of those collected from
50
51
52 other areas. Point 1 corresponds to a lower-contrast area of γ -Al₂O₃, while point 2
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3 corresponds to a higher-contrast ceria-zirconia nanodot. In figure 3C, EDX spectra are
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6
7 shown for point 1 and point 2. Point 1 was collected from γ -Al₂O₃ nanoflakes, and
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9
10 displays emission consistent with palladium L α at 2.838 keV, as well as a signal
11
12
13 consistent with an Al-Al sum peak, marked with an asterisk in Figure 3C. Point 2
14
15
16 displays a cerium and zirconium signal consistent with ceria-zirconia. Notably, there is
17
18
19 no Pd signal associated with the Ce and Zr signals. In any of the areas analyzed, the
20
21
22 presence or absence of Pt cannot be confirmed, as the characteristic M peak of Pt
23
24
25 (2.048 keV) overlaps with the L α peak for Zr (2.042 keV) and no Pt L α signal is present.
26
27
28 No Rh L α peak (2.696 keV) was observed in any areas sampled. This is consistent with
29
30
31 the elemental analysis of the solid, which showed that the Pd content was greater than
32
33
34 that of Pt or Rh. Also, very importantly, sampling is limited compared to ensemble
35
36
37 characterization techniques. The additional elements in the EDX spectra can be readily
38
39
40 attributed to other known sources. Copper and carbon are likely from the substrate
41
42
43 used (copper grid with carbon film). Some of the underlying cordierite material was
44
45
46 likely picked up as part of the VCC scrapings, explaining the Si signal. High resolution
47
48
49 TEM imaging was then performed on spot 1. Particles distinct from the γ -Al₂O₃
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3 nanoflakes were found and shown in Figures 3D1 and 3D2. In all imaging, these
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7 particles were only observed when EDX spectra indicated the presence of palladium.
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9

10 Fast Fourier Transform (FFT) images from Figure 3D1 and 3D2 indicate a possible
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13 presence of palladium oxide, although it is not definitive (see page 2 of ESI regarding
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16
17 analysis). The presence of oxidized Pd is consistent with other work in which via X-ray
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19
20 absorption spectroscopy of Pt in VCC materials revealed Pt oxidic species⁶. Surface-
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22
23 enhanced Raman spectroscopy (SERS) on PGE surfaces indicates that oxide species
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25
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27 can form even under mild conditions³⁵. The presence of non-metallic Pd even prior to
28
29
30 solution exposure could indicate that Pd metal oxidation (equation 1) is not significant
31
32
33
34 for the chemistry of Pd release into solution, but rather dissolution, complexation, and
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37
38 ligand exchange (equations 1-4).
39
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41 **4. Release of Pd and Pt into solution.** Palladium and platinum concentrations in
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44 extracts from VCCs are presented in Figures 4A and 4B respectively. Average
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48 concentrations of Pd and Pt in extracts are provided in Tables 2 and 3. Palladium was
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52 more soluble than platinum, consistent with what is generally known about their relative
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56 reactivity³⁶.
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4 Palladium and platinum displayed similar trends in solution release with respect
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6
7 to AN and Cl⁻ content. Far less platinum was extracted into solutions than palladium,
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10 even though stability constants for ammino-Pt and chloro-Pt complexes are greater than
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12
13 their Pd counterparts. The lower Pt levels may be linked to the energetics of their
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16 oxidations; $\log K_{\text{Pdox}}^{\circ}$ is $\sim -30^{44}$ whereas $\log K_{\text{Pttox}}^{\circ}$ is $\sim -41^{70}$. Also, there is a large
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20 discrepancy in the complexation kinetics, with Pd complex formation being $\sim 10^4$ - 10^5
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22
23 times faster than Pt⁷¹.
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25
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28 Results and analysis from the ultracentrifugation and ultrafiltration of high TAN-Cl
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30
31 extracts are presented in Section IV of the ESI. Ultracentrifugation and subsequent
32
33
34 analysis of the top and bottom fractions from centrifuge tubes revealed no significant
35
36
37 difference. The minimum size of particle that could be moved to the bottom is ~ 2.4 nm.
38
39
40
41 Analysis of the retentate and ultrafiltrate from ultrafiltration did not show any difference
42
43
44 in concentration. The approximate pore size is ~ 1.5 nm. These results indicate that
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47
48 any nanoparticles contributing to the Pd and Pt signal would have to be smaller than 1.5
49
50
51 – 2 nm. The observed Pd nanoparticles in the VCC material had dimensions of ~ 4 nm.
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54
55 Additionally, a recent study indicates that platinum extracted from a sonicated road dust
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3 leachate is in the form of nanoparticles with dimensions of 9 - 21 nm⁷². Based on these
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7 factors, it is arguably unlikely that nanoparticles are contributing in any substantial way
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9
10 to the Pd and Pt content of the extracts.
11
12

13 **5. Effect of AN-only and chloride-only solutions on Pd and Pt release.** Both Cl⁻-only

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15 solutions ($1.13 \times 10^5 \mu\text{M}$) and equimolar high TAN-only solutions increased the release
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17
18 of Pd and Pt relative to control solutions of $1.13 \times 10^5 \mu\text{M NaNO}_3$. Ammonia nitrogen
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20
21 had a greater effect than Cl⁻. The average [Pd] in high TAN-only solutions was 54.9 μM
22
23
24 (± 9.1), ~229 times greater than the 0.24 μM (± 0.04) found in Cl⁻-only extracts. For Pt
25
26
27 solutions, the average [Pt] in high TAN-only extracts was 0.0079 μM (± 0.0036), ~16
28
29
30 times greater than the $4.8 \times 10^{-4} \mu\text{M}$ ($\pm 1.3 \times 10^{-4} \mu\text{M}$) in Cl⁻-only extracts. It is to be
31
32
33 noted that only ~5.6% of the TAN is NH₃ ($6320 \times \mu\text{M M}$), assuming a K_a value of $5.6 \times$
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41
42 10^{-10} at 25 °C for NH₄⁺.⁵⁰)
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44

45 **6. Pd and Pt release into AN-only solutions vs. AN-Cl⁻ solutions.** The release of Pd and

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48 Pt in AN-Cl⁻ solutions was often greater than that in AN-only solutions. Interestingly, the
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51 mixture of both ligands often induced a synergistic effect, increasing Pd and Pt release
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53
54 to a greater degree than both AN-only and Cl⁻-only solutions combined. This was
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3 demonstrated for Pd in mid TAN-Cl and high-TAN-Cl solutions. The mid TAN-Cl
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6
7 extracts had an average [Pd] of 2.41 μM (± 1.22), a ~41-fold increase from the
8
9
10 corresponding AN-only extracts at 0.059 μM (± 0.040). The combined [Pd] from Cl-only
11
12
13 and mid TAN-only extracts is 0.299 μM (± 0.058), markedly lower than [Pd] in the mixed
14
15
16
17 ligand extracts. As for high TAN, the high TAN-Cl- extracts had an average [Pd] of 75.5
18
19
20 μM (± 14.7), a ~1.4-fold increase from the corresponding high TAN-only extracts at 54.8
21
22
23 μM (± 9.1). The combined average [Pd] of high TAN-only and Cl-only extracts were 55.1
24
25
26 μM Pd (± 9.1), significantly less than the average [Pd] in the high TAN-Cl extracts.
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31 Platinum release also displayed a synergistic increase in mid TAN-Cl extracts.

32
33
34 The average [Pt] in mid TAN-Cl was 0.001 μM (± 0.0001). This cannot be attributed
35
36
37 solely to chloride, as the Cl-only solutions had an average [Pt] of 1.9×10^{-4} μM ($\pm 1.2 \times$
38
39
40 10^{-4}) nor can it be attributed solely to AN as the average [Pt] in mid TAN-only solutions
41
42
43 was 2.4×10^{-4} μM ($\pm 0.7 \times 10^{-4}$) (indistinguishable from levels in reagent blank). On
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47
48 the other hand, for high TAN solutions, there was no difference in Pt levels between
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52 high TAN-only and high TAN-Cl extracts.
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3 For both Pd and Pt, low TAN solutions were not distinguishable from controls.
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5

6
7 VCC-PGEs exposed to low TAN-Cl solutions released a greater amount of Pd and Pt
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9
10 into solution, but it was not distinguishable from the solubility in Cl-only solutions. Thus,
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12
13
14 no synergistic effects were detectable in these mixtures.
15
16

17 **7. Simplified coordination chemistry model and trends in PGE release.** 18 19

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21 The observed dependencies of Pd and Pt release from the VCC material are
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23
24 consistent with calculated and empirically determined speciation studies performed at
25
26
27 conditions relevant to this study. Colombo and co-workers³⁹ examined the impact of
28
29
30
31 NH₃ and Cl⁻ at conditions relevant to this study ($\Sigma[\text{N}] = 0.5 \mu\text{M}$, $\Sigma[\text{Cl}] = 0 \mu\text{M}$, 25° C) by
32
33
34 constructing Eh-pH diagrams with the HSC Chemistry model. Of note is that Pd
35
36
37 solubility was predicted at Eh = 0.4 – 0.8 eV for a broad range of pH values (0-8), with
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39
40
41 speciation dominated by PdCl₄²⁻ at acid pH and progressing towards complexes with
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43
44
45 NH₃ and OH⁻ ligands as pH increased. At pH 8, the predicted species was Pd(NH₃)₃Cl⁺.
46
47
48
49 In the absence of Cl⁻, in the same E_h range, the predicted species was Pd(NH₃)₄²⁺.
50
51
52
53 Both with and without Cl⁻, at the same concentration of N, Pt was soluble in the form
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55
56 Pt(NH₃)₄²⁺, but with a more limited Eh range (0.6 – 0.8 eV). Calculations by Wood and
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1
2
3 co-workers^{38, 73} have predicted complexation of Pt and Pd with Cl⁻ and NH₃. In one
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5
6
7 study, he examined Pd speciation as a function of the activities of NH₃ and Cl⁻ at 25° C.
8
9
10 At log a_{Cl⁻} ~ -1, and log a_{NH₃} = -9 to -5, Pd-Cl-NH₃ complexes are predicted to dominate.
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12

13
14 Empirical measurements have also shed insight onto what complexes are
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17 actually present. Cosden and Byrne⁷⁴, for example, used UV absorption spectroscopy
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19
20 to identify species in systems relevant to seawater and estuarine water. At pH ~8 and
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22
23 [Cl⁻] ~ 5.56 × 10⁵ μM (seawater concentration), PdCl₃OH and PtCl₃OH were observed to
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25
26
27 dominate. Van Middlesworth and Wood⁴¹ examined the solubility of Pd(OH)₂, a
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29
30 disordered hydroxide (akin potentially to disordered oxidized layer on Pd nanoparticles)
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33
34 from pH 0-12, varying [Cl⁻] from 0 M to 1 M. They fit an equilibrium model to their
35
36
37
38 specific results and derived that three aqueous species dominated: PdCl₄²⁻,
39
40
41 PdCl₂(OH)₂²⁻, and Pd(OH)₂⁰ (the latter dominated in conditions similar to those of this
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43
44
45 study). UV-visible spectroscopic measurements of Pd(II) and Pt(II) complexes with
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47
48 ligands Cl⁻, OH⁻, NH₃ and H₂O are well-known from past work, with Reinhardt⁷⁵⁻⁷⁸ and
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51 Elding^{46, 79-90} leading some of the earliest studies.
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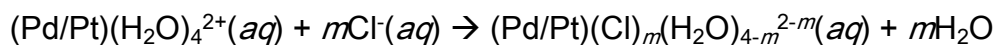
Based upon this body of theoretical and empirical studies, a simplified Pd(II) and Pt(II) coordination chemistry framework solely involving the ligands Cl⁻, H₂O, and NH₃ is provided here to conceptually describe the release of Pd and Pt from the VCC material.

For simplicity

ligands such as OH⁻ and CO₃²⁻^{41, 91 74} are excluded. The reactions discussed below are not intended to be a comprehensive account of all chemical processes, but rather to provide a useful qualitative model.

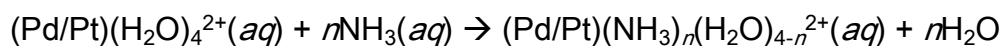
7. Effect of single ligand solutions (Cl-only and AN-only solutions) on PGE release.

For the single ligand solutions in this model, the following two complexation reactions starting with a tetraaqua-palladate^{76, 82} or -platinate^{73, 85, 88} are considered, namely the formation of chloro(aqua)-palladates and -platينات,



(4)

and the formation of ammino(aqua)-palladates and -platينات in AN-only solutions,



(5)

where m and n are integers with values of 0, 1, 2, 3, or 4, and the coordination number of PGE(II) limited to four.

Chloride-only solutions and the equimolar high TAN-only solutions both increased Pd and Pt release relative to controls. The high TAN-only solution induced significantly more release than the Cl-only solution, despite the fact that only 5.6% of the AN is in the NH_3 form ($[\text{NH}_3] = 633 \mu\text{M}$). This is unsurprising, as the formation of ammino-PGE complexes is far more energetically favored than the formation of chloro-PGE complexes. This is evident upon examination of cumulative stability constants for equations 4 and 5. Log β_4 values for complex formation are 32.8 for $\text{Pd}(\text{NH}_3)_4^{2+}$, 35.5 for $\text{Pt}(\text{NH}_3)_4^{2+}$, 13.1 for PdCl_4^{2-} , and 15 for PtCl_4^{2-} .^{44, 73, 76, 89} Further information on stepwise stability constants is provided in ESI section V.

Release of PGE into solution decreases as TAN decreases, but the relationship is not directly proportional. This is consistent with the equilibrium expression for equation 5 for which β_m is the cumulative stability constant:

$$\beta_m = \frac{[(\text{Pd/Pt})(\text{NH}_3)_m(\text{H}_2\text{O})_{4-m}^{2+}]}{[(\text{Pd/Pt})(\text{H}_2\text{O})_4^{2+}] [\text{NH}_3]^m} \quad (6)$$

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2
3 For $n > 1$, $[(\text{Pd/Pt})(\text{NH}_3)_m(\text{H}_2\text{O})_{4-m}^{2+}]$ has a non-linear dependence on $[\text{NH}_3]$.
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5
6

7 Effect of mixed ligand solutions (AN and Cl-) on Pd and Pt release. 8 9

10 The kinetics of complexation and ligand exchange may provide insight into the
11 behavior of Pd and Pt when exposed to mixtures of ligands. This can be based upon
12 the interaction of the ligand with Pd^{2+} and Pt^{2+} , as complexation rates can vary
13 depending upon the ligand^{46, 76-78, 81, 82, 85, 87, 90}. Another factor that can alter the kinetics
14 is the concentration of the respective ligands. To understand the possible interplay
15 between these two factors, the first complexation step is considered (equation 7)
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32 where $\text{X} = \text{Cl}^-$ or NH_3 .
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38 If X is in sufficient excess, the forward reaction obeys a pseudo first-order rate
39 law as follows⁴³:
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$$45 \quad \text{rate} = (k_{-1} + k_1[\text{X}])(\text{Pd/Pt})(\text{H}_2\text{O})_4^{2+} \quad (8)$$

46 where k_1 is the rate constant for the forward reaction and k_{-1} the rate constant for the
47 reverse reaction. (Literature values of rate constants for Pd and Pt are provided in
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3 Section V of the ESI for the convenience of the reader.) Assuming trends similar to
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7 those under comparable experimental conditions, the rate constant for the first
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10 complexation step with Cl⁻, namely $k_1(\text{Cl}^-)$, is equal to $1.8 \times 10^4 \text{ s}^{-1} \text{ M}^{-1}$. The constant
11
12
13 $k_1(\text{NH}_3)$ is equal to $8.0 \times 10^4 \text{ s}^{-1} \text{ M}^{-1}$, on the same order of magnitude as $k_1(\text{Cl}^-)$. The
14
15
16 reverse reaction constants $k_{-1} \ll k_1$, with $k_{-1}(\text{Cl}^-) = 0.83 \text{ s}^{-1}$ and $k_{-1}(\text{Cl}^-) \sim 2 \times 10^{-5} \text{ s}^{-1}$.⁹²
17
18
19 Thus, when $[\text{Cl}^-] \gg [\text{NH}_3]$, it is likely that (Pd/Pt)(H₂O)₃Cl⁺ (and other chloro aqua
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21
22 species) forms at a faster rate than (Pd/Pt)(H₂O)₃NH₃²⁺.
23
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27 Subsequently, (Pd/Pt)(Cl)(H₂O)_{4- ℓ -1} complexes could undergo ligand exchange for NH₃:



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31
32 The exchange of Cl⁻ for NH₃ is very energetically favored (*e.g.* log K ≥ 4.2 for stepwise
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34
35 substitution of PdCl₄²⁻ → Pd(NH₃)₄²⁺)^{75, 77}.
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42 An interesting feature of the synergistic effect in our studies is that it was greatest
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45 in mid
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47
48 TAN-Cl solutions (~41-fold for Pd) and limited (or not detected) for high TAN-Cl
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51 solutions (~1.4-fold for Pd). As noted above, ammino-Pd or -Pt complex formation at
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54 low [NH₃] is reduced and slowed relative to chloro-Pd or -Pt complexes solely due to the
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3 limited concentration, not because of intrinsically being kinetically or thermodynamically
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6 favored. In this case, as direct formation of ammino-Pd or -Pt complexes (equation 5) is
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10 very limited, the additional possible reactions (equations 5 and 10) introduced can have
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12
13 a significant effect. Increasing $[\text{NH}_3]$ will increase the direct formation of ammino-Pd or -
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16 Pt complexes, which makes the relative “advantage” conferred by Cl^- less significant.
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20
21 The low TAN-Cl solutions demonstrated no synergistic effect on Pd or Pt release,
22
23
24 in spite of the pronounced effect in the mid TAN-Cl solutions. This behavior is a useful
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26
27 indicator regarding the limitations of the simplified model. For example, the rate law in
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29
30 equation 8 may not apply at low $[\text{NH}_3]$, as it is only valid when the ligand $[\text{X}]$ is in
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32
33 sufficient excess of $[(\text{Pd/Pt})(\text{H}_2\text{O})_4^{2+}]^{43}$. The simplified model only concerns aqueous
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38 chemistry, neglecting anything about processes on particle surfaces.
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41 Conclusion

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45 In summary, ammonia nitrogen (AN) and chloride (Cl^-) can induce the release of
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48 VCC-based Pd and Pt into a mildly basic solution (pH 8) under ambient conditions. Pd
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51 release was greater than Pt release. Palladium and platinum release in AN solutions
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55 was minimal or undetectable at typical background levels of AN in soil and water ($0 \mu\text{M}$,
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3 5.56 μM , 55.6 μM), but significantly increased at an elevated TAN level representative
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7 of recently-fertilized systems (119.2 μM). Chloride solutions ($1.13 \times 10^5 \mu\text{M Cl}^-$ as NaCl)
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9
10 representing salt polluted or brackish systems increased Pd and Pt release, with
11
12
13
14 equimolar AN solutions induced up to ~229 times more release. Most interestingly, a
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16
17 synergistic (non-additive) effect upon metal release is observed when VCC materials
18
19
20 are exposed to both ligands simultaneously. Exposing VCC materials to mixed ligand
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23
24 solutions with both $1.13 \times 10^5 \mu\text{M Cl}^-$ and AN increased metal release, especially at mid
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27
28 TAN levels. This result implies that even at trace levels in a system, ammonia nitrogen
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30
31 and related substances may be transformed from something relatively innocuous to
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35 potent metal mobilizers, simply by introducing Cl^- . Materials characterization indicated
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37
38 that palladium, likely in an oxidic form, was present as ~4 nm nanoparticles associated
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41
42 with $\gamma\text{-Al}_2\text{O}_3$ nanoparticles but not with $\text{Ce}_x\text{Zr}_{1-x}\text{O}_2$ nanoparticles.
43
44

45 It is notable that even for complex, heterogeneous, nanoscale VCC materials,
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47
48 very basic knowledge of the stability constants and kinetics of Pd and Pt coordination
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52 complex processes could qualitatively predict most of the behavior observed. Even
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56 though ultrafiltration and ultracentrifugation results did not rule out the presence of Pd-
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3 or Pt-bearing colloids <1-2 nm, the predictive utility of complexation chemistry implies
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7 that it is playing a dominant role in Pd and Pt release from solid materials.
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10 This initial work can form the basis for several future studies. These include a
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14 closer investigation of complexation chemistry on Pd and Pt mobilization, including
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16
17 increased understanding of the role of ligand exchange kinetics. The materials
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21 characterization can be used as a basis for selecting model compounds for further study
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23
24 to connect chemical behavior to materials size, shape, and spatial association.
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28 Comparing the behavior of the fresh catalytic converter in this study to used catalytic
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31 converter (same model) will also be essential in understanding the environmental fate of
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33
34 VCC material in various stages of use. Additionally, given its pronounced lability,
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38 palladium may affect the environment more than other PGEs, indicating that it merits
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40
41 further intensive study.
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49 **Conflicts of interest**

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52 There are no conflicts to declare.
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Table 1. Elemental analysis of ACC solids ($\mu\text{mol kg}^{-1}$)

Analyte	Pd	Pt	Rh	Zr	Ce	Au
Concentration	49000	2000	4519	760765	124190	6.7
Detection limit	0.047	0.026	0.049	22	713	0.010

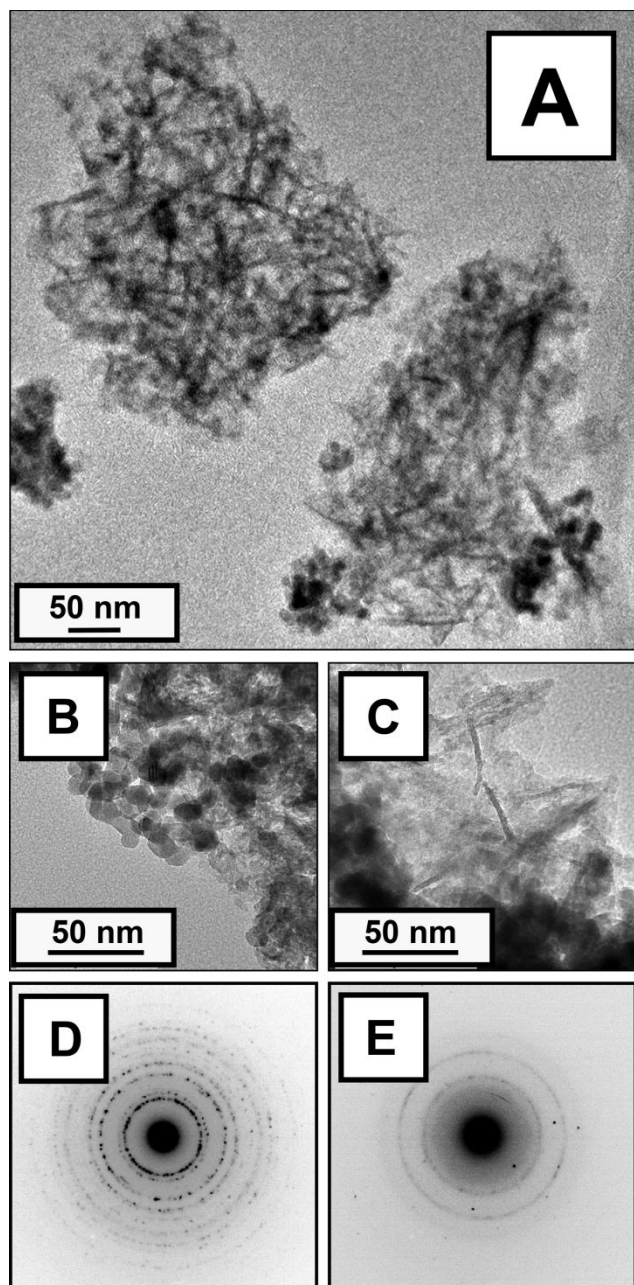


Figure 1. (a-c) Bright-field transmission electron microscopy (TEM) images of surface scrapings from VCC material: (a) Representative view of VCC materials; (b) Magnified image of nanodots; (c) Magnified image of nanoflakes. (d-e) Selected area electron diffraction (SAED): (d) SAED from nanodots, consistent with zirconia-ceria; (e) SAED

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4 from lathe-like nanostructures consistent with $\gamma\text{-Al}_2\text{O}_3$. For indexing of these patterns,
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7 please see Tables S1 and S2 in the ESI.
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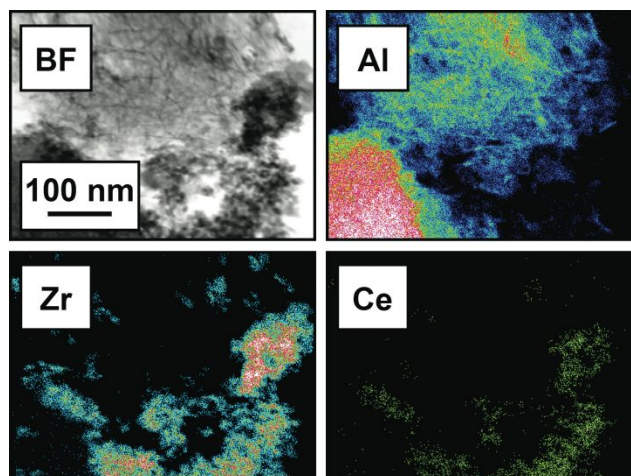


Figure 2. Bright-field TEM image and corresponding EDX elemental maps. The aluminum map displays more signal in the areas with the lathe-like structures, and the zirconium and cerium are localized to the dot-like structures.

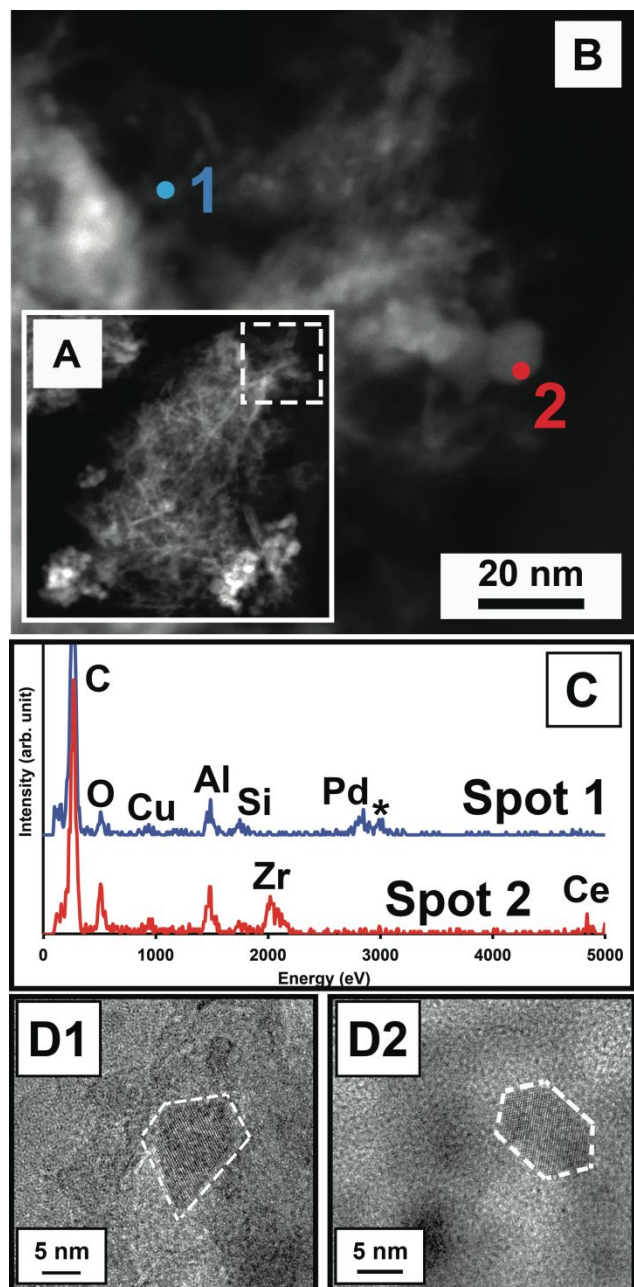


Figure 3. Localized chemical analysis and imaging of palladium-rich areas: (a) HAADF image of VCC material in Figure 1a; (b) Magnified section of dashed box area in (a). EDX sampling areas are marked; (c) EDX spectra from spots 1 and 2. The asterisk in the spot 1 spectrum corresponds to an Al-Al sum peak (2.972 eV); (d) HRTEM from

spot 1 area (EDX spectra indicate presence of palladium) with crystalline nanoparticles outlined.

Table 2.

Palladium concentrations (μM) in extracts from vehicle catalytic converter materials				
	No	Low	Mid	High**
	TAN*	TAN	TAN	TAN
AN*	0.010	0.013	0.059	54.9
only	(± 0.005)	(± 0.009)	(± 0.040)	(± 9.1)
AN	0.240	0.253	2.41	75.5
+ Cl ⁻	(± 0.042)	(± 0.103)	(± 1.22)	(± 14.7)

*Ammonia nitrogen (AN) refers to both species of ammonia, namely NH_3 and NH_4^+ (ammonium). Total ammonia nitrogen (TAN) is defined as $[\text{NH}_3] + [\text{NH}_4^+]$.

**Low refers to $5.56 \mu\text{M}$ TAN, mid refers to $55.6 \mu\text{M}$ TAN, and high refers to $1.13 \times 10^5 \mu\text{M}$ TAN.

Table 3.

Platinum concentrations (μM) in extracts from vehicle catalytic converter materials			
No TAN*	Low	Mid TAN	High**
	TAN		TAN

	1.90×10^{-4}	1.69×10^{-4}	2.36×10^{-4}	7.85×10^{-3}
AN	$(\pm 1.23 \times 10^{-4})$	$(\pm 0.051 \times 10^{-4})$	$(\pm 0.067 \times 10^{-4})$	$(\pm 3.64 \times 10^{-3})$
AN + Cl⁻	4.76×10^{-4}	6.87×10^{-4}	1.05×10^{-3}	8.41×10^{-3}
	$(\pm 1.33 \times 10^{-4})$	$(\pm 0.031 \times 10^{-4})$	$(\pm 0.034 \times 10^{-3})$	$(\pm 3.79 \times 10^{-3})$

*Ammonia nitrogen (AN) refers to both species of ammonia, namely NH_3 and NH_4^+ (ammonium). Total ammonia nitrogen (TAN) is defined as $[\text{NH}_3] + [\text{NH}_4^+]$.

**Low refers to $5.56 \mu\text{M}$ TAN, mid refers to $55.6 \mu\text{M}$ TAN, and high refers to $1.13 \times 10^5 \mu\text{M}$ TAN.

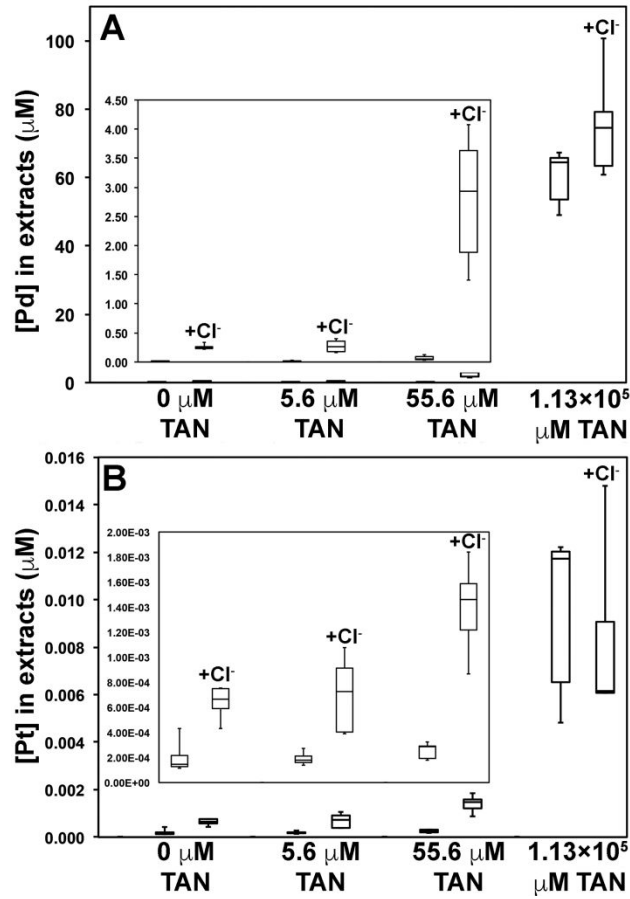


Figure 4. Box-and-whisker plots of Pd and Pt concentrations in extracts from VCC materials. Inset boxes show data for 0 μM, 5.56 μM (low TAN) and 55.6 μM (mid TAN) TAN solutions re-plotted on a smaller scale. Data from TAN-only solutions are paired with the corresponding TAN + Cl⁻ solution. (a) Palladium concentrations. (b) Platinum concentrations. See text and ESI for information on statistical analyses.

Notes and references

‡The terminology “total ammonia nitrogen (TAN)” is used in official US EPA documentation (see reference 51) and hence is used here for consistency. TAN specifically refers to the combined concentrations of unionized ammonia (NH_3) and ionized ammonia (NH_4^+ (ammonium)). “Ammonia nitrogen (AN)” is derived from this EPA terminology. It refers to both species of ammonia collectively.

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For “Enhanced release of palladium and platinum from catalytic converter materials exposed to ammonia and chloride bearing solutions”

Authors and affiliations:

Deborah M. Aruguete^{1*}, Mitsuhiro Murayama^{2,3}, Terry Blakney⁴ and Christopher Winkler³

¹Department of Environmental Science, Penn State Behrend, 4205 College Drive, Erie, PA 16563, U.S.A.

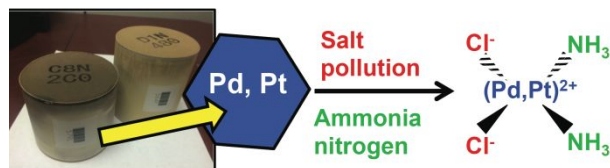
²Department of Materials Science and Engineering, Virginia Tech, Blacksburg, VA, 24061, U.S.A.

³Institute for Critical Technology and Applied Science, Nanoscale Characterization and Fabrication Laboratory, Virginia Tech, Blacksburg, VA, 24061, U.S.A

⁴Department of Mathematics, Penn State Behrend, 4205 College Drive, Erie, PA 16563

*Corresponding author: aruguete@psu.edu

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