

**Photochemistry of Ferritin Decorated with Plasmonic Gold Nanoparticles**

Journal:	<i>Environmental Science: Nano</i>
Manuscript ID	EN-ART-09-2018-001000.R2
Article Type:	Paper
Date Submitted by the Author:	21-Nov-2018
Complete List of Authors:	Cerkez, Elizabeth; Temple University, Chemistry Dutton, Kaitlyn; Temple University, Chemistry Ghidey, Yonatan; Temple University, Chemistry Kukulka, Mark; Temple University, Chemistry Valentine, Ann; Temple University, Department of Chemistry Strongin, Daniel; Temple University, Chemistry; Temple University,

## Environmental Significance

The idea of using solar radiation to drive environmental remediation is appealing, but many current catalysts can use only the high energy ultraviolet portion of the solar spectrum. Developing remediation catalysts that can harness the visible region of the spectrum might lead to a more practical process. In this work we demonstrate that the coupling of a plasmonic gold nanoparticle to an established bio-photocatalyst, ferritin, results in a heterostructure that can efficiently reduce hexavalent chromium, a known carcinogen, with visible light.

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# Photochemistry of Ferritin Decorated with Plasmonic Gold Nanoparticles

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*Elizabeth B. Cerkez, Kaitlyn G. Dutton, Yonatan G. Ghidey, Mark A. Kukulka,  
Ann M. Valentine, and Daniel R. Strongin\**

Department of Chemistry, Temple University, Philadelphia, PA 19122

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\*To whom correspondence should be addressed; [dstrongi@temple.edu](mailto:dstrongi@temple.edu)

**Abstract**

The photochemistry of a plasmonic biomaterial that consisted of gold nanoparticles (AuNP) on the exterior of the iron sequestration protein, ferritin (Ftn), was investigated. The light driven photochemistry of the hybrid system was studied mechanistically and for the reduction of the high priority pollutant, chromate, Cr(VI) as  $\text{CrO}_4^{2-}$ . In the absence of aqueous Cr(VI), but in the presence of a sacrificial electron donor, the Fe(III) oxyhydroxide semiconducting core of Ftn underwent a photoreaction to release Fe(II) when exposed to light having wavelengths,  $\lambda < 475$  nm. AuNP grown on the exterior of the Ftn produced plasmonic heterostructures (Au/Ftn) that allowed similar photochemistry to occur at longer wavelengths of light (i.e.,  $\lambda > 475$  nm). Au/Ftn also facilitated the reduction of Cr(VI) to Cr(III) in the presence of visible light ( $\lambda > 475$  nm), a reaction that was not observed if AuNP were not attached to the Ftn cage. Results also indicated that AuNP need to be intimately bound to Ftn to extend the photochemistry of Au/Ftn to longer light wavelengths, relative to Au-free Ftn.

## 1.0 Introduction

In the context of applications for environmental chemistry, desirable properties for heterogeneous semiconducting photocatalysts include colloidal stability, chemical stability towards photocorrosion, and a band gap that can be accessed by large swaths of the solar spectrum.<sup>1</sup> Many traditional metal oxide photocatalysts, including titanium oxide, zinc oxide, and iron oxide, however lack at least one of these preferred properties. For example, while titanium oxide shows excellent structural stability under a range of conditions, it lacks a bandgap that can be excited in the visible light region and thus it is primarily active under ultraviolet (UV) irradiation, which makes up less than 10 % of the solar spectrum. In contrast, iron oxides, which have a more accessible band gap, just within the high energy side of the visible light spectral region, are susceptible to photocorrosion. Designing and realizing photocatalysts that are structurally stable during excitation by visible light would be beneficial for many environmental applications.

While an unconventional photocatalytic system, the iron sequestration protein, ferritin (Ftn), has been shown in prior studies to exhibit properties that could make it a potentially useful photocatalyst. Ferritin is a 24-subunit cage-like protein (Figure 1A), with a biological function to sequester and store iron by catalytically oxidizing toxic ferrous species to a ferric-containing ferrihydrite (Fh) phase stored within the cage.<sup>2-4</sup> The mammalian protein occurs with variable compositions of an H-chain subunit, which has a ferroxidase center to catalyze ferrous oxidation, and an L-chain subunit, which lacks this enzymatic site. The spherical cage has a 12 nm outer diameter and an 8 nm inner diameter and can hold up to ~4500 Fe atoms.<sup>2-4</sup> Previous research from our laboratory demonstrated that the exposure of Ftn to Cr(VI) and light with wavelength,  $\lambda \leq 475$  nm resulted in the reduction of Cr(VI) to Cr(III) due to the photoexcitation of the

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3 semiconducting Fh core.<sup>3, 5</sup> Additional research showed that Ftn can photocatalytically reduce a  
4 wide range of compounds including polyoxometalate (POM), cytochrome c, and gold salts.<sup>6, 7</sup> A  
5 significant benefit of Ftn is that the hydrophilic nature of the protein cage exterior maintains the  
6 encapsulated Fh as a colloidal suspension. Additionally, because of the protein function,  
7 photocorrosion processes of the iron oxyhydroxide core would be expected to be suppressed by  
8 Ftn. The photocorrosion process<sup>8</sup> for the iron oxides results from the photoexcitation of the oxide  
9 bandgap (in the presence of an electron donor), leading to the formation of soluble Fe(II)  
10 product. In oxic solutions, the released Fe(II) is susceptible to oxidation by dissolved O<sub>2</sub> which  
11 leads to reprecipitation of Fe(III)-bearing oxide and results in a non-colloidal solution in the  
12 presence of light. Prior studies show that Ftn limits this process, since Ftn sequesters aqueous  
13 Fe(II) and oxidizes it to Fe(III) in the interior of the protein cage, regenerating the Fh core.<sup>3, 5</sup>  
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28 While Ftn is a potentially attractive colloidal photocatalyst, decreasing the photochemical  
29 energy requirement (defined by the band gap of the Fh core) to absorb a wider range of solar  
30 radiation would be advantageous. Sensitizing semiconductor photocatalysts so that they can be  
31 excited by light having energies less than the bandgap has seen substantial research efforts.  
32 Many of these prior studies have been focused on synthesizing heterostructures with a visible  
33 light active sensitizer.<sup>9, 10</sup> With regard to sensitizers, metal nanoparticles offer superior chemical  
34 stability compared to organic dyes (used in photovoltaic cells) and can similarly be excited by  
35 the absorption of visible light, due to the presence of a surface plasmon resonance (SPR).<sup>11</sup>  
36 Excitation of a metallic nanoparticle SPR which is chemically bound directly to the  
37 semiconductor (or to a thin interfacial layer of a third material) can lead to electron population in  
38 the conduction band of the host material via multiple pathways depending on the particular  
39 organization of the heterostructure components. Such studies have often investigated  
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3 semiconductors, such as titanium oxide and iron oxide, bound to plasmonic particles (such as Au  
4 nanoparticles (AuNP)), though a motivation exists to find more earth-abundant metal plasmonic  
5 materials.<sup>11-13</sup> Relevant to the aims of the present study, this earlier work has investigated the  
6 application of hybrid iron oxide-plasmonic gold structures for various photocatalytic  
7 applications, which have included the degradation of dyes and water splitting.<sup>14-17</sup>  
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11 The hypothesis tested in this study is that the attachment of a Au plasmonic nanoparticle,  
12 having a SPR in the visible region (~532 nm), to Ftn will allow the photochemistry of Au/Ftn  
13 (and its iron oxide core) to be extended to longer wavelengths of light (e.g., extended to  $\lambda \geq 475$   
14 nm). To test this hypothesis, AuNP were grown on the exterior of the protein cage of Ftn  
15 (Au/Ftn), and the photochemistry of Au/Ftn was compared to Au-free Ftn in the context of Fe(II)  
16 release and for the reduction of chromate during light excitation. To address the sensitization of  
17 Ftn by AuNP, a major research focus was to compare the light wavelength dependence of these  
18 photochemical reactions in the presence of Au/Ftn and Ftn. Results will suggest that the Au/Ftn  
19 system exhibits properties associated with a potentially viable photocatalyst (sensitized,  
20 colloidal, and stable) and that the plasmonic biomaterial has potential relevance to environmental  
21 remediation, specifically in regards to the reduction of the high priority pollutant, chromate.  
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## 42 **2.0 Experimental**

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44 **2.1 Materials:** Horse spleen apoFtn (~85-90% L chain without an Fe bearing core) was sourced  
45 from Calzyme, ferrozine from ACROS Organics, and all other reagents from Sigma Aldrich and  
46 Fisher Scientific. All materials were of analytical grade. Deionized (DI) water (18 M $\Omega$  cm<sup>-1</sup>) was  
47 used to prepare all solutions.  
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3 **2.2 Synthesis of Protein Samples:** The preparation of iron loaded Ftn was adapted from Kim et  
4 al.<sup>5</sup> ApoFtn, 10 mg, was dissolved in 40 mL of 0.10 M NaCl (pH 7.4). To the ApoFtn solution, a  
5 total of 1.0 mL of anoxic  $\text{Fe}(\text{NH}_4)_2(\text{SO}_4)_2 \cdot 6\text{H}_2\text{O}$  (10 mg/mL) was added in four equal aliquots  
6 (0.25 mL) every hour. Ftn-Fe(II) solutions were allowed to air-oxidize overnight at 4 °C. The Ftn  
7 was then dialyzed against Tris buffer (0.1 M, pH 7.4) for 48 hours and stored at 4 °C.  
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15 A photochemical synthesis was used to grow AuNPs on the Ftn cage. The synthetic  
16 protocol was adapted from Keyes et al.<sup>18</sup> and Petrucci et al.<sup>19</sup> Briefly, the reaction solution  
17 containing 0.34  $\mu\text{M}$  Ftn, 50 mM NaCl, 30 mM sodium citrate, 20 mM Tris (pH 7.4), and 0.4 mM  
18  $\text{HAuCl}_4$  was added to a 1  $\text{cm}^2$  quartz cuvette (total volume of 3.1 mL). The cuvette was then  
19 exposed to simulated solar radiation (SSR) for 6 minutes from the output of a 900 W high-  
20 pressure Xenon lamp (Schoeffel Instruments, Westwood, NJ). Ultraviolet visible spectroscopy  
21 (UV-Vis) was carried out (Evolution 901 spectrometer (Thermo Sci)) after each synthesis batch  
22 to confirm the presence of AuNP via the appearance of the surface plasmon resonance at ~530-  
23 540 nm. The synthesis was followed by dialysis against Tris buffer (0.1 M, pH 7.4).  
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36 During the course of photochemical AuNP synthesis, a Fe(II) photoproduct was expelled  
37 from the protein. To replace this iron that left the protein, portions of Au/Ftn were exposed a  
38 second time to anoxic  $\text{Fe}(\text{NH}_4)_2(\text{SO}_4)_2 \cdot 6\text{H}_2\text{O}$  (10 mg/mL). The volume of the Fe(II)-solution  
39 used depended on the desired Fe loading, and the resulting solution was exposed to air overnight.  
40 No precipitation or aggregation was observed during this reloading process, suggesting that the  
41 AuNP on the exterior of the protein did not affect the Fe-loading process. Au/Ftn samples were  
42 then dialyzed against Tris buffer (0.1 M, pH 7.4).  
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52 AuNPs in the absence of Ftn were synthesized via an adapted heated synthesis,<sup>20</sup> where  
53 sodium citrate (35 mM) was heated to 95 °C after which  $\text{HAuCl}_4$  (25 mM) was added. The  
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3 solution changed from yellow to colorless to black, as Au(III) was reduced to Au(0). In less than  
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5 60 s the solution turned red/purple, characteristic of AuNP in solution. The solution was then  
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7 centrifuged and rinsed with DI H<sub>2</sub>O, to remove excess citrate. We note that attempts to  
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9 exchange/remove all citrate from solution, via dialysis against Tris, resulted in immediate visible  
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11 aggregation and loss of colloidal stability.  
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15 **2.3 Heterostructure Characterization:** Inductively coupled plasma - optical emission  
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17 spectroscopy (ICP-OES) was used to quantify the iron and gold concentrations associated with  
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19 Au/Ftn and Ftn samples. A Thermo-Scientific iCAP 7400-ASX520 was used for the  
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21 quantification. Samples for analysis were diluted to 5 mL and acidified 2% by volume with  
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23 HNO<sub>3</sub>. Iron data were collected in axial mode at 259.940 nm and gold data were collected in  
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25 axial mode at 242.795 nm. Transmission electron microscopy (TEM) was used to image Ftn and  
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27 Au/Ftn using either a JEOL JEM-1400 TEM operating at 120 kV or a JEOL JEM-3010 TEM  
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29 operating at 300 kV. Samples were prepared on holey carbon TEM grids (Ted Pella) and allowed  
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31 to dry as a thin film. Some samples were negatively stained with a 2% phosphotungstic acid  
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33 (PTA) solution at pH 7 prior to imaging, to allow imaging of the protein shell of Ftn (see  
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35 Supporting Information, Figure S1).  
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41 **2.4 Photochemical Batch Reactions:** All photochemical experiments had a reaction volume of  
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43 3.1 mL and were carried out in a 1 cm<sup>2</sup> quartz cuvette using the same xenon lamp described  
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45 above. Additionally, all photochemical experiments were carried out in duplicate, displayed by  
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47 error bars on plots. Absorbance spectra associated with time 0 were collected before exposure to  
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49 the SSR source. Samples were illuminated for a total of 45 min, unless otherwise noted, and at  
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51 each time point the cuvette was removed from illumination and a UV-Vis scan was taken of the  
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53 sample from 700-200 nm. The light wavelength dependence of the photochemical reactions was  
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3 determined using longpass wavelength cutoff filters; 475, 570, and 625 nm optical glass filters  
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5 (Schott).  
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8 Photochemical batch reactions were conducted in the presence of ferrozine or Cr(VI)  
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10 depending on the specified conditions. Experiments that monitored Fe(II) release contained the  
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12 following reaction conditions: 0.035  $\mu\text{M}$  Ftn, 32 mM sodium tartrate, and 0.714 mM ferrozine in  
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14 60 mM Tris buffer (pH 7.4) at a total volume of 3.1 mL. Experiments that monitored Cr(VI)  
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16 reduction used the above conditions, but replaced ferrozine with 0.200 mM Cr(VI) ( $\text{K}_2\text{Cr}_2\text{O}_7$ ).  
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18 Volume changes were compensated for by adjusting the volume of Tris buffer. Separate  
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20 calibration curves for ferrozine-Fe(II) ( $\lambda_{\text{max}}$  562 nm) and Cr(VI) ( $\lambda_{\text{max}}$  372 nm) were completed  
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22 in Tris buffer and used to quantify reaction concentrations.  
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27 Monochromatic photoexcitation experiments were conducted in a 1  $\text{cm}^2$  quartz cuvette  
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29 with the same reaction conditions described above but were exposed to 532 nm light from a CW  
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31 laser source (Opus, Laser Quantum). Two dielectric mirrors (>99% reflectivity) were used to  
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33 direct the unfocused (collimated) laser beam into the cuvette. The light intensity can be  
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35 calculated using the relation:  
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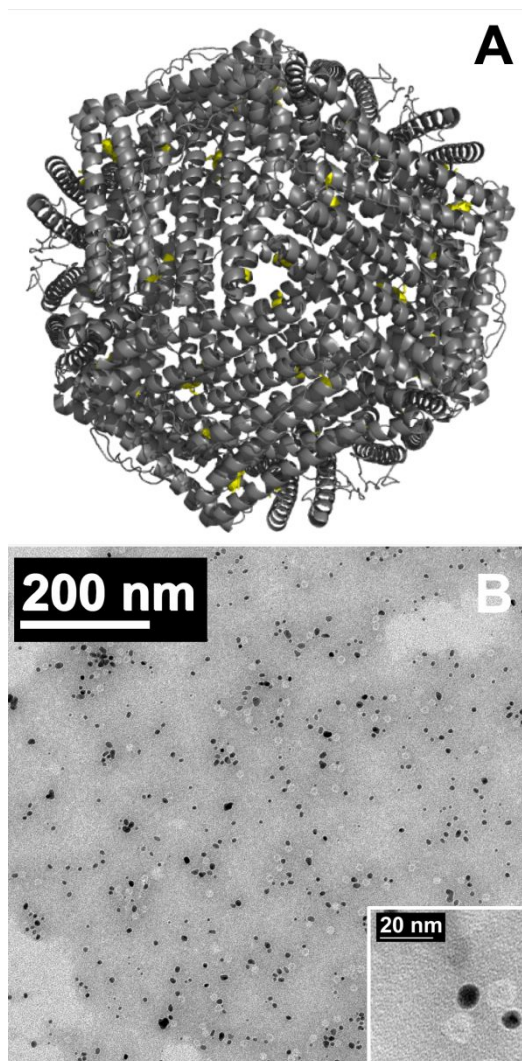
$$38 \quad I = \frac{P}{\pi(0.1)^2} \approx \frac{P}{0.031416}$$

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41 where  $I$  is intensity in watts per square centimeter ( $\text{W}/\text{cm}^2$ ),  $P$  is power in watts (W), and 0.1 cm  
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43 is the beam radius. For example, at a laser power of 0.45 W the intensity will be  $\sim 14.3 \text{ W}/\text{cm}^2$ .  
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45 The home-built UV-Vis spectrometer is based on the design of Kirchner et al.<sup>21</sup> The output of a  
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47 fiber-coupled deuterium-tungsten lamp (DH-2000-BAL, Ocean Optics) was collimated and  
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49 refocused into the cuvette using parabolic mirrors, and the transmitted light was fiber-coupled to  
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51 a USB spectrometer (HR4000, Ocean Optics) in the same manner.  
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### 3. Results and Discussion

TEM of the Au/Ftn showed that the diameter of the AuNP on the exterior of the Ftn protein cage were  $7.6 \pm 1.7$  nm (SI, Figure S2 and S3). Previous research suggested that the AuNP formation occurred on cysteine groups located on the three fold channels of Ftn, indicated by yellow residues in Figure 1A, due to the thiophilicity of gold. We note that research has also utilized the affinity of cysteine for Au to link Au plasmonic particles to iron oxide (protein free systems) in the synthesis of hybrid photocatalysts.<sup>14</sup> TEM imaging of the heterostructures synthesized in our laboratory after a negative stain was applied (Figure 1B) exhibits structures that show gold nanoparticles (dark spheres) associated with Ftn protein cages (light gray spheres), consistent with the previously published studies.<sup>18, 19</sup> We note that all images display some single particle structures, i.e., unattached protein cages and unattached gold nanoparticles. Based on an image analysis of 1000 individual Ftn cages, ~86% of the Ftn cages are attached to a gold nanoparticle. We do hold out the possibility that some of what are called unattached AuNP could be attached to Ftn, but obscuring the protein if it is immediately above or below the Au. In short, it is possible that >86% of the Ftn is attached to AuNP. The stability of the colloid solution is consistent with the vast majority of the AuNP being attached to protein cages. UV-vis spectroscopy of the Au/Ftn system exhibits an SPR with an absorption maximum at ~532 nm (SI, Figure S4). The plasmon absorbance of Au/Ftn is broader than the SPR associated with AuNP alone (also shown in Figure S4) which is likely due to the differences in local dielectric environment between free-AuNP and those bound to the ferritin protein.<sup>22</sup> Any detailed comparison of these spectra would be dubious, however, since we cannot rule out that a minority fraction of free AuNP is contributing to the SPR feature in the Au/Ftn spectrum. We prepared Ftn and Au/Ftn biomaterials with a variety of Fe-loadings, and refer to the samples used as

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3 Ftn(1200), Au/Ftn(800), Au/Ftn(1200), and Au/Ftn(2000), where the values in parentheses are  
4 the average number of Fe atoms mineralized in an individual protein cage. These loadings were  
5 determined by assuming average distributions of the available Fe (determined by ICP-OES)  
6 across the total number of protein cages available (SI, Figure S5).  
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47 **Figure 1** – (A) Structure of horse spleen ferritin (PDB ID 2W00)<sup>23</sup> with cysteine residues labeled in  
48 yellow. (B) TEM of Au/Ftn(2000) heterostructures where dark spheres are AuNP and light spheres are  
49 protein cages. At least 86% of the Ftn are in direct contact with AuNP. Inset shows a higher magnification  
50 TEM image of Au/Ftn heterostructures.  
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3 The effect of the Au SPR on the excitation of the inorganic iron oxyhydroxide core of Ftn  
4 was investigated by measuring the release of Fe(II) during exposure to light. Photochemical  
5 experiments to investigate Fe(II) release were conducted by individually mixing Ftn and Au/Ftn  
6 with a hole scavenger (i.e., sodium tartrate), ferrozine, and buffer (Tris, pH 7.4). These solutions  
7 were then exposed to SSR or to filtered light of specific wavelength ranges using optical  
8 longpass filters. Ferrozine<sup>24</sup> was used to chelate the aqueous Fe(II) exiting the protein during  
9 photoexcitation and the concentration of the ferrozine-Fe(II) complex was quantified by UV-vis  
10 spectroscopy as a function of exposure time. Analysis of these data allowed the rate of Fe(II)  
11 release to be determined for a given solution, either pure Ftn, Au/Ftn, or AuNP-Ftn mixtures.<sup>7</sup>  
12 We note that soluble Fe(II) was not detected if ferrozine was added after irradiation due to the  
13 rapid reentry and re-mineralization of Fe(II) in the Ftn interior. Control studies indicated that the  
14 ferrozine-Fe(II) complex was both stable under irradiation and that the presence of ferrozine did  
15 not induce Fe(II) release from the proteins in the absence of light (see SI, Figures S6 and S7).  
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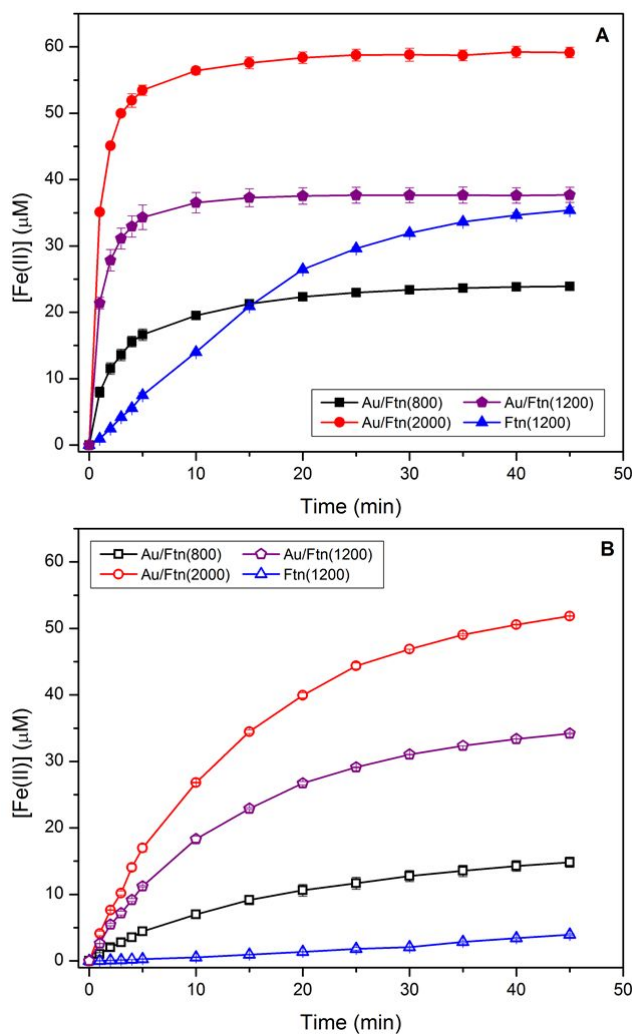
33 Exposure of Ftn(1200), Au/Ftn(800), Au/Ftn(1200), and Au/Ftn(2000) to SSR resulted in  
34 Fe(II) release from all protein systems, determined by the growth of an absorbance at 562 nm  
35 (ferrozine-Fe(II) complex) by UV-vis spectroscopy (see SI, Figure S8). The Fe(II) released from  
36 the four different samples as a function of time is shown in Figure 2A. At least two important  
37 experimental observations can be used to distinguish the photochemistry between Au/Ftn and  
38 Ftn: (1) the rate at which Fe(II) was released and (2) the total amount of Fe(II) released over the  
39 time of light exposure (Table 1). We take special note of Ftn(1200) and Au/Ftn(1200), which  
40 have the same initial Fe loading, but display a significant difference in the rate of Fe(II) release.  
41 An analysis of the average initial rate of release over the first 5 min of irradiation for equimolar  
42 protein solutions shows that Au/Ftn(1200) releases Fe(II) four times faster than Ftn(1200). This  
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3 dramatic increase in Fe(II) release rate when Au is attached to a majority of the protein cages is  
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5 also apparent in heterostructures with Fe loadings higher and lower than 1200, i.e. the  
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7 Au/Ftn(800) and Au/Ftn(2000) systems. After 25 min of illumination the concentration of Fe(II)  
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9 released from these Au/Ftn samples into solution reaches a steady state, suggesting that after this  
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11 exposure time no further Fe(II) leaves the protein shell. In contrast, after 45 min illumination, Ftn  
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13 still exhibits Fe(II) release, reflective of its slower Fe(II) release rate. Under these illumination  
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15 conditions, all proteins release greater than 82% of the available Fe mineralized initially in their  
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17 respective protein cage, and remained colloidal both during and after exposure to SSR.  
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**Table 1.** Release of Fe(II), as quantitated by ferrozine complexation, during irradiation<sup>a</sup> of iron-loaded ferritin/gold nanoparticle heterostructures and related materials.

	SSR		$\lambda \geq 475$ nm		$\lambda \geq 570$ nm	
	Initial rate ( $M \cdot s^{-1}$ )	[Fe(II)] <sub>45min</sub> ( $\mu M$ )	Initial rate ( $M \cdot s^{-1}$ )	[Fe(II)] <sub>45min</sub> ( $\mu M$ )	Initial rate ( $M \cdot s^{-1}$ )	[Fe(II)] <sub>45min</sub> ( $\mu M$ )
Ftn(1200)	$2.5 \times 10^{-8}$	35	$1.8 \times 10^{-9}$	3.9	-	< 1
Au/Ftn(800)	$5.5 \times 10^{-8}$	24	$1.5 \times 10^{-8}$	15	$1.1 \times 10^{-9}$	1.2
Au/Ftn(1200)	$1.1 \times 10^{-7}$	38	$3.7 \times 10^{-8}$	34	$1.1 \times 10^{-9}$	2.9
Au/Ftn(2000)	$1.8 \times 10^{-7}$	59	$8.9 \times 10^{-8}$	52	$1.6 \times 10^{-9}$	4.9
AuNP + Ftn(1200)	$2.8 \times 10^{-8}$	34	$1.8 \times 10^{-9}$	4.4	-	< 1

<sup>a</sup> Irradiation with light  $\lambda \geq 625$  nm resulted in [Fe(II)]<sub>45min</sub> < 1  $\mu M$  for all samples.



**Figure 2** –Ferrous iron release from Au/Ftn and Ftn during exposure to (A) SSR and (B) light wavelengths  $\geq 475$  nm. (Some error bars smaller than symbols)

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3 To further analyze the effect of Au on the kinetics of Fe(II) release we exposed the  
4 different protein samples to broad spectrum radiation with  $\lambda \geq 475$  nm (i.e.,  $h\nu \leq 2.6$  eV),  $\lambda \geq$   
5 570 nm, and  $\lambda \geq 625$  nm. Using these wavelength ranges (relative to un-filtered light) we could  
6 selectively reduce the number of photons which could excite the bandgap of the Fh core directly  
7 ( $\lambda \geq 475$  nm) or both the Fh core and portions of the Au SPR ( $\lambda \geq 570$  nm and  $\lambda \geq 625$  nm).  
8 Exposure of Ftn and Au/Ftn to the wavelength range of  $\lambda \geq 475$  nm, resulted in an overall  
9 decrease in the amount of Fe(II) release from all protein samples, compared to exposing the same  
10 respective samples to SSR (Figure 2B). For example, after Ftn(1200) was exposed to  $\lambda \geq 475$   
11 nm for 45 min, the total concentration of iron release was 3.9  $\mu\text{M}$ , compared to a value of 35.4  
12  $\mu\text{M}$  when the sample was exposed to SSR (i.e., an 89% decrease in Fe(II) release). The  
13 substantial decrease in Fe(II) release is consistent with the bandgap of the Fh core having a value  
14 of  $\sim 2.6$  eV.<sup>25, 26</sup> In contrast to Ftn(1200), the Au containing heterostructures exhibited a much  
15 smaller decrease in the amount of Fe(II) release compared to SSR (Table 1). After a 45 min  
16 exposure of Au/Ftn(800), Au/Ftn(1200), and Au/Ftn(2000) to light with  $\lambda \geq 475$ , the Fe(II)  
17 concentrations in solution were 14.8, 34.2, and 51.9  $\mu\text{M}$ , respectively. The initial average rate of  
18 ferrous iron release from equimolar protein solutions over the first 5 min from Au/Ftn(1200) was  
19 20 times greater than the release rate from Ftn(1200) showing that the Au-induced photo-  
20 sensitization effect is significant.

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45 Exposure of Ftn(1200) to light with  $\lambda \geq 570$  nm resulted in less than 1  $\mu\text{M}$  Fe(II) in  
46 solution (see SI, Figure S9). Heterostructures containing Au, Au/Ftn(800), Au/Ftn(1200), and  
47 Au/Ftn(2000), however, released 1.2, 2.9, and 4.9  $\mu\text{M}$ , respectively, representing approximately  
48  $\sim 8$ -9% of the amount of Fe(II) released when  $\lambda \geq 475$  nm light was used. This observed Fe(II)  
49 release for the Au/Ftn systems can be attributed to the excitation of a portion of the SPR just  
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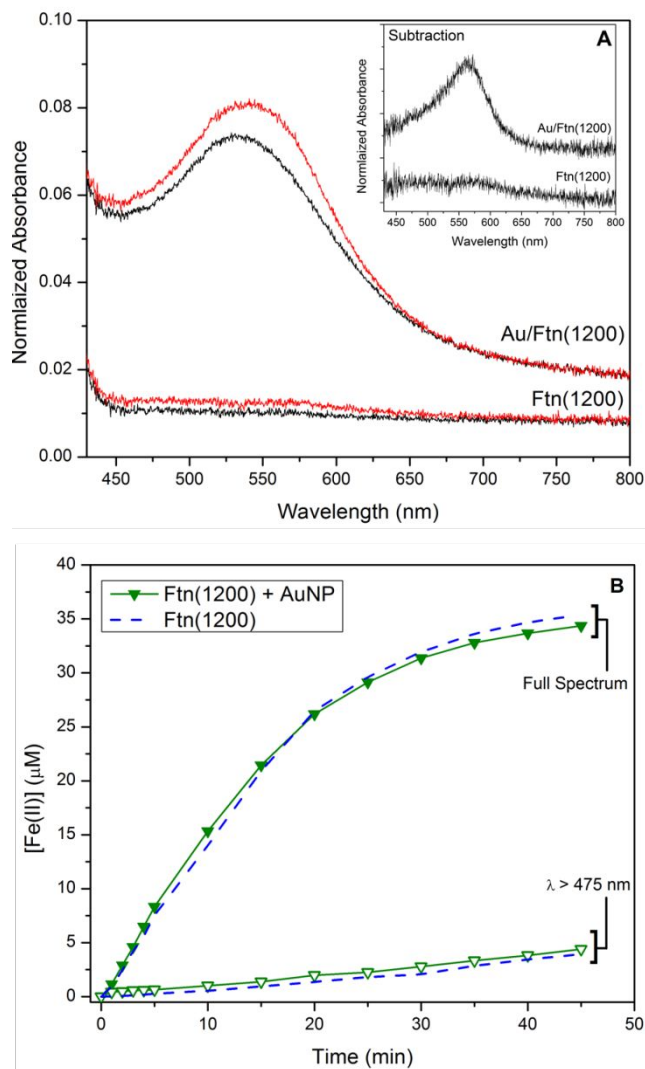


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3 above (i.e., longer wavelength side) the absorption maximum (532 nm). Finally, exposing the  
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5 heterostructures to wavelengths of light that could not effectively excite the SPR ( $\lambda \geq 625$  nm)  
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7 resulted in the release of less than 1  $\mu\text{M}$  Fe(II) for all samples studied (see SI, Figure S10).  
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10 Overall, these experimental results indicate that the presence of AuNP bound to Ftn allows  
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12 longer wavelength light to be used to activate the protein for Fe(II) release, relative to Ftn alone.  
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15 In addition to kinetic analysis under polychromatic illumination, we exposed Ftn(1200)  
16  
17 and Au/Ftn(1200) to monochromatic laser-light ( $\lambda=532$  nm) aligned with the Au SPR maximum.  
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19 When Ftn(1200) was illuminated with this monochromatic radiation for 10 min we observed no  
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21 release of Fe(II) (Figure 3A). In contrast, exposure of Au/Ftn(1200) to 532 nm light showed a  
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23 release of Fe(II) from the heterostructure, indicated by the growth of a shoulder centered at 562  
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25 nm (absorbance of ferrozine-Fe(II) complex – Figure 3A inset). This experimental observation of  
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27 Fe(II) release from Au/Ftn(1200) during exposure to only 532 nm light strongly suggests that  
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29 excitation of the SPR results in the electron population of the conduction band of the iron oxide  
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31 core of Ftn. The result of this conduction band population is the reduction of Fe(III) to Fe(II) and  
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33 the concomitant release of this reduction product into solution. We note that Fe(II) release is  
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35 significantly less than that observed from the experiments with longpass filters. Under  
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37 monochromatic light excitation, the illumination beam is significantly smaller than the broad  
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39 spectrum beam and more importantly, only the absorption maximum of the SPR is excited.  
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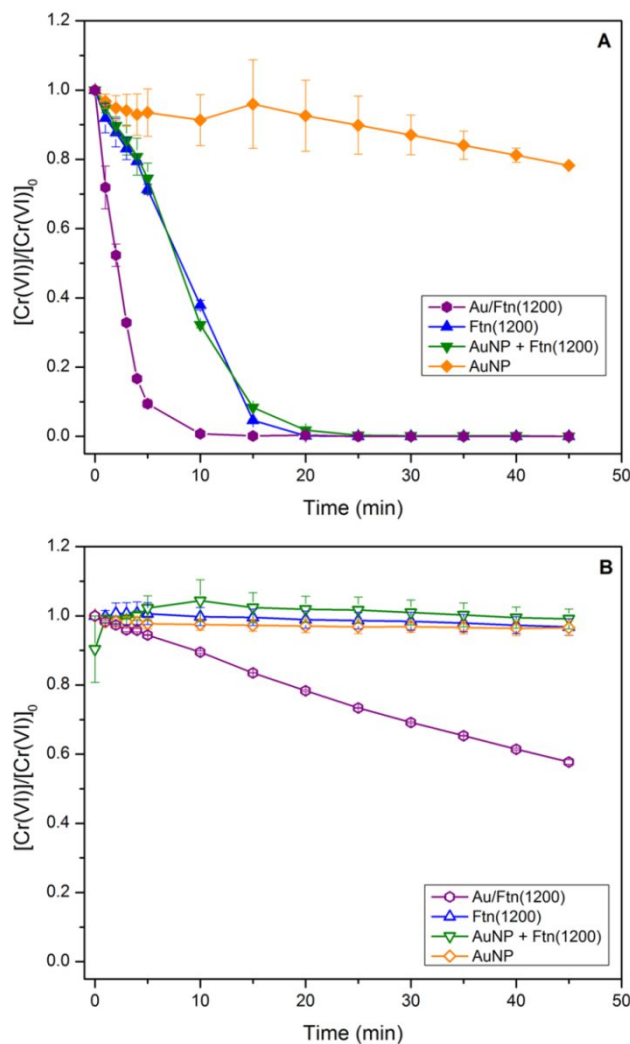
45 To further analyze the effect of the Au SPR we tested whether direct contact between  
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47 AuNP and Ftn was needed for an enhancement in photochemistry. Experiments were performed  
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49 that quantified Fe(II) release during the irradiation of a co-mixture of Ftn and AuNP (AuNP +  
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51 Ftn). Using a thermal method described in the literature, AuNP were synthesized, characterized  
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53 (see SI, Figure S11), and then mixed with Ftn(1200), resulting in a heterogeneous mixture,  
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3 instead of a heterostructure.<sup>20</sup> AuNP prepared in this way exhibited a similar SPR absorption  
4 maximum as AuNP bound to Ftn (see SI, Figure S4). The AuNP concentration in these  
5 experiments was equivalent to the amount of Au present in the photochemical experiments using  
6 Au/Ftn that were discussed above. Illumination of the mixture of AuNP and Ftn (Figure 3B)  
7 resulted in an average initial rate of Fe(II) release of  $2.8 \times 10^{-8} \text{ M s}^{-1}$ , similar to when a Au-free  
8 Ftn solution was exposed to light under the same conditions ( $2.5 \times 10^{-8} \text{ M s}^{-1}$ ). Exposure of the  
9 AuNP + Ftn mixture to visible light ( $\lambda \geq 475 \text{ nm}$ ) resulted in  $< 5 \text{ }\mu\text{M}$  of Fe(II), consistent with  
10 Fe(II) release within a Au-free Ftn solution (dashed overlay reproduced from Figure 2B).  
11 Furthermore, exposure of the AuNP + Ftn to monochromatic laser light (532 nm) resulted in no  
12 release of Fe(II) (see SI, Figure S12). These experimental results indicate that excitation of the  
13 Au SPR of non-associated nanoparticles had no effect on the Fe(II) release rate from Ftn. Thus,  
14 intimate contact between AuNP and Ftn is a pre-requisite for Au to enhance Fe(II) release from  
15 Ftn during exposure to long wavelength radiation. This result also suggests that any free AuNP  
16 co-existing with Au/Ftn would not be expected to play any role in the Au/Ftn photochemistry.  
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**Figure 3** – UV-vis spectra of (A) Ftn and Au/Ftn before and after exposure to monochromatic (532 nm) light. The inset displays difference spectra derived from before and after exposure data. The difference spectrum for Au/Ftn isolates the absorbance due to the Fe(II)-ferrozine complex from the plasmon resonance absorption of the AuNP on the Ftn. (B) Release of Fe(II) from heterogeneous mixture of AuNP and Ftn upon exposure to SSR and light  $\lambda \geq 475$  nm. (Ftn(1200) traces replicated from Figure 2A and 2B)

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3 The utility and light wavelength dependence of Au/Ftn as a photocatalyst for the  
4 conversion of the high priority pollutant, chromate (Cr(VI)), to trivalent chromium (Cr(III)) were  
5 also investigated.<sup>5</sup> Prior research from our laboratory showed that this redox chemistry can be  
6 facilitated by Ftn when illuminated with light having a  $\lambda < \sim 475$  nm.<sup>5</sup> The exposure of Ftn(1200)  
7 and Au/Ftn(1200) to 200  $\mu$ M of Cr(VI), and irradiated with SSR, resulted in a 100 % conversion  
8 of Cr(VI) to Cr(III) in all cases within 25 min of exposure time (Figure 4A). In contrast,  
9 experiments where Ftn(1200) and Cr(VI) were exposed to light with  $\lambda \geq 475$  nm resulted in an  
10 insignificant amount of Cr(VI) reduction (Figure 4B), but the exposure of Au/Ftn(1200) and  
11 Cr(VI) resulted in the reduction of  $\sim 85$   $\mu$ M of Cr(VI). During the photo-exposure studies, the  
12 Au/Ftn systems remained colloidal both during and after exposure to radiation, similar to the  
13 non-Au counterparts. Control experiments were also conducted where AuNP alone in solution  
14 were exposed to Cr(VI). In neither scenario (exposure to SSR or  $\lambda \geq 475$  light) was appreciable  
15 Cr(VI) reduction observed (Figure 4A and 4B) indicating that AuNP alone were not active for  
16 this photochemistry.  
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**Figure 4** – Reduction of Cr(VI) in the presence of different Ftn and AuNP systems resulting from exposure to (A) SSR and (B) light wavelengths > 475 nm.

The reduction of Cr(VI) by Fe(II) is well studied and should occur in a 1:3 stoichiometry;<sup>27</sup> however in these experiments too little Fe(II) leaves the protein cage (max of 67  $\mu\text{M}$  from Au/Ftn(2000)) to account for the reduction of 200  $\mu\text{M}$  Cr(VI) that is experimentally observed during exposure to broad spectrum light. The presence of tartrate in solution, utilized as a hole scavenger, is also known to be a UV light-activated reducing agent of Cr(VI) and can reduce between 30-100% of Cr(VI) present, depending on the absence or presence of Fe,

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3 respectively (see SI, Figure S13).<sup>28-30</sup> The reduction by UV-activated tartrate however is not a  
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5 viable mechanism to explain the amount of Cr(VI) reduction in the case of exposure to  $\lambda \geq 475$   
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7 nm light, since less than 6% of Cr(VI) is reduced by a tartrate-Fe(II) only mechanism under these  
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9 conditions (see SI, Figure S13). In particular, Au/Ftn(1200) releases 34.2  $\mu\text{M}$  Fe(II) after 45  
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11 minutes exposure to  $\lambda \geq 475$  nm but we observe  $\sim 85$   $\mu\text{M}$  of Cr(VI) reduced for the same  
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13 exposure time; approximately 7.5 times more Cr(VI) reduced than should be observed via a  
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15 homogenous reaction with Fe(II). While nonstoichiometric, the percent of Cr(VI) reduced does  
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17 correlate with the iron oxide core size, since Au/Ftn(2000) can reduce more Cr(VI) than  
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19 Au/Ftn(1200) which can reduce more than Au/Ftn(800) (see SI, Figure S14). Thus, the size of  
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21 the iron oxide core of Ftn is a factor in how much Cr(VI) is reduced. Another factor which could  
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23 explain the extra-stoichiometric reduction is the potential for electron transfer directly through  
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25 the protein shell.<sup>31</sup> Previous work has demonstrated that horse spleen Ftn is conductive, and  
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27 hence the excited electrons in the conduction band of the iron oxide core, generated by AuNP  
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29 excitation, may be accessible to Cr(VI) directly through the protein. Further, the photoreduction  
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31 of Cr(VI) by iron oxide minerals has been previously supposed to occur via a catalytic  
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33 mechanism, where Cr(III) is produced by a heterogeneous reduction, versus a homogeneous  
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35 reduction with Fe(II).<sup>32</sup> This phenomenon is likely due to the reduction potential of Cr(VI) (-  
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37 5.83 eV) lying below the conduction band minimum of most iron oxide minerals, which would  
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39 be similarly true of the Fh core in Ftn (-5.08 eV). Reduction of Cr(VI) directly via electron  
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41 transfer through the protein shell could explain the dependence of percent Cr(VI) reduced on Fe  
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43 core size. A larger Fh core might be expected to have more contact points with the interior of the  
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45 protein cage, thus making electron transfer more efficient. Lastly, we also note that all of the  
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47 photochemical studies demonstrate that a hole scavenger must be present in solution to  
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3 compensate for holes produced in the valence band of the mineral core, a process that likely  
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5 would also occur via charge transfer through the protein shell.  
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8 With regard to the mechanism by which the Au SPR alters the photochemistry of Ftn, at  
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10 least two possible mechanisms might be considered that have been previously proposed for  
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12 semiconductor – SPR particle systems;<sup>33</sup> direct electron transfer (DET) and resonant energy  
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14 transfer (RET). The process for DET would involve the excitation of the SPR and an excited  
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16 “hot” electron being transferred to the conduction band of the semiconductor, where a  
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18 prerequisite is direct contact between the plasmonic metal and semiconductor.<sup>13</sup> While TEM of  
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20 heterostructures suggests that the Fh semiconductor and AuNP are physically separated by the  
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22 protein shell, as stated above previous work has shown that the ferritin protein exhibits electrical  
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24 conductivity, and hence a DET type process could possibly occur.<sup>31, 34, 35</sup> In contrast, RET is due  
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26 to the relaxation of the excited plasmon states, which through dipole coupling results in the  
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28 formation of a hole and excited electron in the nearby semiconductor.<sup>15</sup> Prior studies have shown  
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30 that this mechanism is operative when a semiconductor and a plasmonic material are physically  
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32 separated from each other with a nonconductive SiO<sub>2</sub> barrier (< 10 nm).<sup>33</sup> Thus for the Au/Ftn  
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34 heterostructure, the presence of the 2 nm protein shell between the gold nanoparticle and  
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36 semiconductor core of Ftn would likely not suppress the RET process. Whether DET and/or RET  
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38 is the operative mechanism for Au/Ftn is not ascertainable with the current study. Experiments  
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40 ongoing in our laboratory, however, are being designed to determine the relative contribution of  
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42 each mechanism during Au/Ftn photochemistry.  
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#### 4.0 Conclusions

Results presented here show that AuNPs directly bound to the exterior of Ftn extend the photochemistry of Au/Ftn to longer light wavelengths compared to Ftn alone. In the context of environmental remediation strategies, the ability for Au/Ftn to access more of the solar spectrum improves upon the already desirable qualities of colloidal and catalytic stability that are properties of Ftn. Experiments are currently being designed to elucidate the sensitization mechanism to better engineer the novel hybrid photocatalyst. Further, the results indicate that under visible light conditions the Au/Ftn system reduces more of the high priority pollutant, chromate, than would be possible with a homogenous system. Thus the Au/Ftn system should be further explored for facilitating other environmentally relevant heterogeneous redox chemistry. With recognition of the cost and availability of gold, future work will also focus on synthesizing other possible plasmonic biomaterials with a focus on non-noble metal based systems, such as nickel, copper, and aluminum.

#### 5.0 Conflicts of Interest

There are no conflicts to declare.

#### 6.0 Acknowledgements

We thank Johanan Odhner for equipment and help on the monochromatic light experiments. We thank Farbod Alimohammadi and Dr. Ke Chen for help in acquiring the TEM images and acknowledge the use of services and facilities of the Temple Materials Institute at Temple University. K.G.D. thanks the Temple Francis Velay Fellowship for summer support. A.M.V. thanks the National Science Foundation (CHE-1412373 and CHE-1708793) for support.



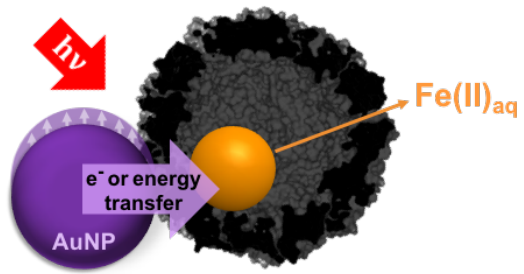
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