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# **Abstract**

Dissociation of  $CO<sub>2</sub>$  to form  $CO$  can play a key role in decarbonizing our energy system. We report here a two-step thermochemical cycle using a variety of iron-poor (Fe-poor) ferrites  $(Fe<sub>v</sub>M<sub>1-v</sub>O<sub>x</sub>$  where y < 2/3) that produces CO with unusually high yield using Fe as the redox active species. Conventional wisdom suggests that increasing the Fe fraction would increase the capacity for  $CO<sub>2</sub>$  dissociation. Here, we report the opposite result: at partial pressure ratio  $CO:CO_2 = 1:100$ , we demonstrated the CO yields of  $8.0 \pm 1.0$  mL-CO/g from  $Fe_{0.35}Ni_{0.65}O_x$ , and  $3.7 \pm 1.0$  mL-CO/g from Fe<sub>0.45</sub>Co<sub>0.55</sub>O<sub>x</sub>, at a thermal reduction temperature of 1300<sup>o</sup>C; remarkably, these  $CO<sub>2</sub>$  dissociation capacities are significantly higher than those of state-ofthe-art materials such as spinel ferrites (Fe<sub>2</sub>MO<sub>4</sub>), (substituted) ceria, and Mn-based perovskite oxides. Optimization of kinetics of Fe-poor ferrites with  $ZrO<sub>2</sub>$  support resulted in higher CO yields per gram of ferrite. The unexpected CO yield vs. Fe ratio trend is consistent with the prediction of calculated ternary phase diagrams, which suggest a swing between spinel and rocksalt phases. These Fe-poor ferrites open new opportunities for tuning redox properties of oxygen exchange materials.

# **Key Words**

CO2 dissociation, thermochemical, Fe-poor ferrite, ternary system

#### **Broader context**

The ability to dissociate  $CO<sub>2</sub>$  to produce  $CO$  is vitally important in energy sciences because it would enable synthetic transformation of  $CO<sub>2</sub>$  into chemicals and fuels. The potential broad impact of this reaction to decarbonize the global energy system will only be fully realized when this occurs at the Gigatonne scale. Today's chemical infrastructure at this scale relies exclusively on *thermochemical transformations*, which warrants research in identifying materials for thermochemical  $CO<sub>2</sub>$  dissociation. We report here the discovery of a previously unexplored regime of iron-poor ferrites with lower Fe concentration than the traditionally investigated spinel ferrites for two-step thermochemical  $CO<sub>2</sub>$  dissociation. The Fe-poor composition induces spinel-rocksalt phase transitions, which increases the  $CO<sub>2</sub>$  dissociation capacity by a factor of 2 to as high as 10 compared to state-of-the-art materials such as spinel ferrites, ceria and perovskites. Predictions based on calculated phase diagrams can explain the experimental observations, further confirming the role of controlling phase transitions involving Fe2+ to Fe3+. Preliminary studies on kinetics suggest that use of zirconia supports for Fe-poor ferrites can significantly increase reactions rates. Our discovery opens a new direction of scientific inquiry in redox thermochemistry as well as the opportunity for scalable CO<sub>2</sub> dissociation.

# **1. Introduction**

Approximately 40 Gt- $CO<sub>2</sub>$  is emitted per year globally, half of which accumulates in the atmosphere and contributes to global warming1,2. There are worldwide efforts to reduce net greenhouse gas (GHG) emissions and hold "the increase in global average temperature to well below 2<sup>o</sup>C above pre-industrial levels<sup>33-8</sup>. One approach to achieve this goal is the synthetic transformation of  $CO<sub>2</sub>$  into chemicals and fuels, which could offer the potential for emission reductions and even negative emissions<sup>2,9–13</sup>. For these to make a difference to global warming, the processes need to be cost-competitive with current approaches to synthesize chemicals and fuels and compatible for scale-up in an infrastructure capable of handling Gt-scale  $CO<sub>2</sub>$ . Many researchers have investigated electro- $14-17$  and photo(electro)catalysts<sup>18–20</sup> for  $CO_2$  reduction and, more broadly, artificial photosynthesis<sup>21–23</sup> pathways for solar-fuel production. While they have advantages such as flexibility, mild condition and reasonably high efficiency<sup>17,18</sup>, it is noteworthy that the chemical infrastructure today at the Gt-scale relies almost exclusively on thermochemical processes. Hence, such dominance of thermochemistry warrants research in creating options for the thermochemical reduction/hydrogenation of  $CO_2^{24-30}$  by carbon-free heat/hydrogen, although they have received comparatively less attention. The key motivation of this paper, therefore, is to identify materials, the thermodynamic mechanisms, and the capacity limit for reducing  $CO<sub>2</sub>$ in a two-step Thermochemical Carbon Dioxide Dissociation (TCDD) cycle31–38. Meanwhile, the authors acknowledge the challenges facing many TCDD materials, such as high operational temperature and limited conversion<sup>27</sup>; on the other hand, our previous study<sup>39</sup> and the current paper are aiming at tackling these challenges.

In a two-step cycle, a metal oxide (MeO<sub>x</sub>) is first reduced at a high temperature,  $T_H$ , and at a specific oxygen partial pressure  $(pO<sub>2</sub>)$ 

Thermal Reduction step at T<sub>H</sub>: MeO<sub>x</sub>→MeO<sub>z</sub> + 
$$
\frac{(x-z)}{2}
$$
O<sub>2</sub> (1)

Next, the reduced oxide is exposed to  $CO<sub>2</sub>$  at a lower temperature,  $T<sub>L</sub>$ , during which part of the supplied  $CO<sub>2</sub>$  can be converted to CO

$$
CO2 Splitting step at TL: MeOz + (x - z)CO2 \rightarrow MeOx + (x - z)CO
$$
 (2)

Oxides for such cycles mainly fall into two mechanistic categories<sup>27</sup>: single-phase materials (e.g., CeO<sub>2- $\delta$ </sub> 33,36,38,40, perovskites<sup>41–47</sup>) and phase change materials (e.g., spinel ferrites  $Fe<sub>2</sub>MO<sub>4</sub>$ , where M can be one or mixed metals<sup>48–54</sup>).

In a fed-batch system, CO product accumulates in the reactor during Reaction (2), thereby imposing a background partial pressure of  $CO$ . After  $CO<sub>2</sub>$  splitting at a specific condition, there exists a critical background partial pressure ratio of  $CO$  versus  $CO<sub>2</sub>$  (referred to as  $CO:CO<sub>2</sub>$ ) at T<sub>L</sub> at which the metal oxides go from gaining oxygen to losing oxygen<sup>47</sup>. A high critical pressure ratio is desirable since it implies a high driving force for Reaction (2) for net CO production, and directly translates to a higher  $CO<sub>2</sub>$  to  $CO$  conversion<sup>55,56</sup>. However, it has been challenging to identify materials that can perform two-step TCDD at a high critical ratio. Many previously explored materials give either small or negative yields of CO when considering  $CO:CO_2 = 1:100$  at  $T_H \le 1300^{\circ}$ C, leading to high required operation temperatures. For many perovskite oxides (e.g., LaMnO<sub>3-δ</sub> family)<sup>42,44–46,57,58</sup>, the material can readily evolve oxygen at modest temperatures, but the thermodynamics of Reaction (2) is such that the driving force for  $CO_2$  splitting is low. For example, when thermal reduction is at  $T_H$  = 1300°C and pO<sub>2</sub> = 10<sup>-5</sup> atm, and CO<sub>2</sub> splitting  $T_L$  = 800°C, La<sub>0.8</sub>Sr<sub>0.2</sub>MnO<sub>3- $\delta$ </sub> has a critical CO:CO<sub>2</sub> = 1:250, and its thermodynamic equilibrium CO yield is only 0.8 mL/g if  $CO:CO<sub>2</sub> = 1:1000$ ; additionally, for  $La<sub>0.6</sub>Sr<sub>0.4</sub>MnO<sub>3-δ</sub>$ , the critical  $CO:CO<sub>2</sub> = 1:650$  and the equilibrium yield is  $1.1$  mL-CO/g at  $CO:CO<sub>2</sub> = 1:1000$ . (See **Electronic Supplementary Information (ESI)** and previous work on thermodynamic diagrams<sup>45</sup> and equilibrium CO yield calculation<sup>39</sup>.)

Early work on Fe<sub>3</sub>O<sub>4</sub>-FeO redox couple<sup>24,59–62</sup> targeted high capacity for CO<sub>2</sub> dissociation (and/or  $H_2O$  dissociation, which are similar in a two-step thermochemical cycle<sup>31</sup>) through complete phase transformation, and required extremely high temperature (usually above 1700°C) that can melt/vaporize and thus deactivate the oxides. To alleviate the problem of melting/vaporizing, substituted ferrites ( $Fe<sub>v</sub>M<sub>1-v</sub>O<sub>x</sub>$ ) have been extensively explored for twostep TCDD at lower temperatures<sup>49,63–66</sup>. Additionally, support materials such as  $ZrO<sub>2</sub>$  have been used to improve reaction activity and cyclability of ferrites<sup>67–70</sup>. Nonstoichiometric ferrite processes were also explored but gave very limited capacity because of the small magnitude of nonstoichiometry in spinel-type ferrites $24,71$ . To the best of our knowledge, such studies have focused on materials where the iron fraction is kept greater than two-thirds ( $y >$ 2/3). Materials with iron fractions substantially less than two-thirds contain a substantial

fraction of rocksalt phase. Conventional wisdom<sup>54</sup> implied in all the studies suggests that having the reduced rocksalt phase in the as-synthesized compound (typically in air) will lead to poor CO production capacity. Hence, the Fe-poor ferrites have remained largely unexplored for thermochemical cycles.

Here, we challenged this conventional wisdom and synthesized compounds containing a significant fraction of reduced material (rocksalt phase) under oxidizing conditions. We increased the ratio of substitute metal(s) and obtained "Fe-poor" ( $y < 2/3$ ) two-phase ferrites72–74 with significantly superior performance under our cycling conditions. In such a two-phase system, partial phase transformation between spinel and rocksalt phases decomposes  $CO<sub>2</sub>$  into  $CO$  and  $O<sub>2</sub>$ , and the compositions of both phases evolve during such oxygen exchange cycles. Our previous work demonstrated high  $H_2$ -production capacity during water splitting in an "Fe-poor' oxide with high mixing entropy [39]. In this work, we show that high CO yields can be obtained in simpler, ternary systems if the Fe mole fraction is optimized. Furthermore, we show that the optimal y in  $M_{1-v}Fe<sub>v</sub>O<sub>x</sub>$  is always in the "Fe-poor" regime ( $y < 2/3$ ) for M = Co, Ni, or Mg. Additionally, we present a method to improve the kinetics of both reaction steps by  $ZrO<sub>2</sub>$ . Preliminary results show an increase of CO yield per gram of ferrite compared to pure ferrites for limited reaction time, and it provides a path towards the reduction of cycle time from hours to minutes.

# **2. Results and Discussion**

# **2.1 Improved performance of Fe-poor ferrites in two-step thermochemical CO<sup>2</sup> dissociation**

The sol-gel technique was used to synthesize a series of ferrite samples, among which  $Fe_{0.35}Ni_{0.65}O_x$  particles of ~0.2 µm and  $Fe_{0.45}Co_{0.55}O_x$  particles of ~1 µm were produced (**Figs. S1A** and **S1B**). The size difference is likely due to difference in the degree of sintering. The two-step TCDD cycles were conducted in a customized thermogravimetric analyzer (TGA) setup connected to a carbon monoxide (CO) sensor, as shown in **Fig. S2**. Sample thermal reduction was achieved at T<sub>H</sub> with Ar purging ( $pO_2 = 5 \sim 20$  ppm); then the furnace was cooled to  $T_L$  before introducing a mixture of  $CO_2$  and  $CO$  (in Ar). Both CO and  $CO_2$ concentrations were specified to give the driving force not only for the  $CO<sub>2</sub>$  to CO forward reaction but also for the reverse reaction (CO oxidation by the oxide).

6

A series of Fe-poor ferrites  $Fe<sub>v</sub>M<sub>1-v</sub>O<sub>x</sub>$  with  $M = Ni$ , Co and Mg were measured. The conditions were  $T_H = 1300$ °C and  $T_L = 800$ °C; dwell time at both steps was 5 hours each; during  $CO_2$  splitting step,  $CO:CO_2 = 1:100$ . As shown in **Figs. 1A, 1B** and **S3B**, the concentration, y, of Fe is a significant factor in determining  $CO<sub>2</sub>$  dissociation performance; y  $= 0.667$  corresponds to traditionally explored spinel ferrites MFe<sub>2</sub>O<sub>4</sub>. In Fe<sub>y</sub>Ni<sub>1-y</sub>O<sub>x</sub> system, y  $= 0.35$  was found to be the optimal composition, corresponding to CO production of  $8.0 \pm 1.0$ mL-CO/g, which is about six times the thermodynamic limit of CO production by  $CeO<sub>2,X</sub>$ under the same reaction conditions, whereas  $La_{1-x}Sr_xMnO_{3-\delta}$  (x = 0.2, 0.3, 0.4) materials would have negative CO productions as discussed earlier, thus not shown in the figures mentioned above (a more complete comparison of thermodynamic capacities can be found in **Table 1**). In the Fe<sub>y</sub>Co<sub>1-y</sub>O<sub>x</sub> system, the optimal measured value was  $y = 0.45$  with a CO production of  $3.7 \pm 1.0$  mL-CO/g. Under the same temperature conditions of T<sub>H</sub> = 1300°C and  $T_L = 800^{\circ}$ C, when we relaxed the requirement to be CO:CO<sub>2</sub> = 1:1000 for Reaction (2), both  $Fe_{0.35}Ni_{0.65}O_x$  and  $Fe_{0.45}Co_{0.55}O_x$  showed yield of about 15 mL-CO/g, which is ten times higher than thermodynamic limit of  $CeO_{2-x}$  (**Fig. 1C**).

We define "Fe redox limit" (in mL-CO/g) as the CO yield if all the Fe atoms in a ferrite went through  $+2/+3$  redox in a two-step TCDD cycle; in the calculation, the ferrite formula weight was determined by setting Fe, Mg, Co and Ni at oxidation states of  $+3$ ,  $+2$ ,  $+2$  and  $+2$ , so that the "Fe redox limit" is independent of reaction conditions (See **Table S1**). The measured yield normalized by the corresponding Fe redox limit of a ferrite, which indicates the portion of Fe that is redox active at a specific test condition, was plotted as "normalized yield" in **Figs. 1A-C** and **S3B**. For instance, under  $T_H = 1300^{\circ}$ C,  $T_L = 800^{\circ}$ C and CO:CO<sub>2</sub> = 1:100,  $Fe<sub>0.35</sub>Ni<sub>0.65</sub>O<sub>x</sub>$  showed the best normalized yield of 15.6  $\pm$  2.0 % among all tested materials; changing CO:CO<sub>2</sub> to 1:1000 made its normalized yield increase to  $28.9 \pm 2.0$  %. The dependence of normalized CO yield on Fe concentration followed a similar trend to that of absolute yield (in mL-CO/g). Both these figures of merit showed that redox activity of Fe reaches optimum far from  $y = 2/3$ . On the other hand, if we define "normalized yield" similarly for  $CeO<sub>2</sub>$  as the ratio of cerium that is redox active for certain reaction condition, its normalized yield is only 2.1% under  $T_H = 1300^{\circ}$ C and pO<sub>2</sub> = 10 ppm, and  $T_L = 800^{\circ}$ C and  $CO<sub>2</sub>:CO = 100:1$ .

Shorter cycles with  $T_H = 1300$ °C,  $T_L = 800$ °C and CO:CO<sub>2</sub> = 1:100 showed cyclability of  $Fe_{0.35}Ni_{0.65}O_x$ ,  $Fe_{0.45}Co_{0.55}O_x$ , and  $Fe_{0.35}Mg_{0.65}O_x$  (**Fig. S4**). The dwell time for both thermal reduction and  $CO<sub>2</sub>$  splitting steps was 1 hour each. In the first few cycles, CO yields were low because of the relatively slow reaction rate: The oxygen uptake from  $CO<sub>2</sub>$  was smaller than the oxygen loss in the preceding thermal reduction, before finally establishing periodic oxygen content swing after the initial cycles. This can be demonstrated by the thermogravimetric curves along with CO profiles in **Fig. S5**. Fortunately, the CO production yield of the three materials did not monotonously decay within 10 cycles, but the yield did show some fluctuations. We recognize that it requires tens of thousands of cycles to prove feasibility for industrial application, which is a topic of a later study. The particle sizes grew from  $\sim$ 1  $\mu$ m to  $\sim$ 10  $\mu$ m after 10 cycles (**Figs. S1C** and **S1D**).

While the goal of this paper is to demonstrate thermodynamic feasibility and  $CO<sub>2</sub>$ dissociation capacity, we explored the kinetics to some degree as well. **Fig. 1D** contains the CO concentration profiles during  $CO_2$  splitting step for  $Fe_vNi_{1-v}O_x$  materials with  $T_H$  = 1300°C (5 hours long),  $T_L = 800$ °C and CO:CO<sub>2</sub> = 1:100 condition. The background profile was for a test with the same condition but without a sample in the TGA. CO yield values were calculated by subtracting the background CO profile from the profile during a sample test. Fe<sub>0.45</sub>Ni<sub>0.55</sub>O<sub>x</sub> exhibited the highest peak rate of CO production (a rough measure of kinetic performance); meanwhile, both  $Fe_{0.45}Ni_{0.55}O_x$  and the pure spinel, NiFe<sub>2</sub>O<sub>4</sub>, exhibited an initial steep increase of CO. The stable level of CO for the test of  $NiFe<sub>2</sub>O<sub>4</sub>$  was lower than the background, which may be due to catalysis of CO combination with background  $O_2$  (5~20) ppm) by NiFe<sub>2</sub>O<sub>4</sub>. Overall, CO evolution finished more than  $60\%$  within 1 hour and exhibits a long tail. The slow kinetics may be from bulk transport of lattice oxygen in the solid and the insufficient gas-solid contact inside the TGA. Quantitative kinetics are explored as well.

A mixture of  $Fe<sub>0.35</sub>Ni<sub>0.65</sub>O<sub>x</sub>$  with  $ZrO<sub>2</sub>$  was found to improve the kinetics of the process as shown in Fig. 1E; a similar strategy has been shown in previous work<sup>49,69,70</sup>. Bare ZrO<sub>2</sub> had been tested to show no activity in either thermal reduction step under  $T_H = 1300$ °C and pO<sub>2</sub> = 10 ppm, or  $CO_2$  splitting step under  $T_L = 800^{\circ}$ C and  $CO_2$ :CO = 100:1. Improvement in kinetics was demonstrated by conducting reactions with shorter time (1 hour per step instead of 5 hours per step in **Figs. 1A-D**). Adding ZrO<sub>2</sub> resulted in better CO production performance. With the limited reaction time, the CO yield per gram of ferrite increased to more than twice and the multi-cycle performance seems to be more stable compared to ironpoor ferrites without  $ZrO_2$ . Additionally, the  $Fe_{0.35}Ni_{0.65}O_x$ - $ZrO_2$  (80%  $ZrO_2$  mass fraction) had been tested with 5-hour long thermal reduction at  $T_H = 1300^{\circ}$ C and pO<sub>2</sub> = 10 ppm, and 5hour long  $CO_2$  splitting at  $T_L = 800^{\circ}\text{C}$  and  $CO_2$ : $CO = 100$ :1. The purpose of this long thermal reduction and CO<sub>2</sub> splitting steps was to achieve equilibrium. Indeed, we found that under such conditions, it produced the same amount of CO per gram of ferrite compared to  $Fe<sub>0.35</sub>Ni<sub>0.65</sub>O<sub>x</sub>$  (8.0  $\pm$  1.0 mL-CO/g) without the use of ZrO<sub>2</sub>. Hence, the use of ZrO<sub>2</sub> did not change the thermodynamics, but rather only the kinetics. In fact, the  $ZrO<sub>2</sub>$  supported ferrite reached the CO yield within 70 min after  $CO<sub>2</sub>$  splitting step started, compared to  $\sim$ 20 hours equilibration time without  $ZrO<sub>2</sub>$  support, indicating that reaction rate was significantly improved by  $ZrO<sub>2</sub>$ . Furthermore, morphology characterization and elemental mapping have shown that  $ZrO<sub>2</sub>$  can separate particles of ferrites and lower the degree of sintering: after 10 cycles, the separated ferrite has size of  $\sim$ 1  $\mu$ m (**Fig. S1H**), compared to  $\sim$ 10  $\mu$ m (**Fig. S1C**) without ZrO<sub>2</sub>. Thus, the improved kinetics can be from the facile mass and heat transfer due to the reduced particle size of the ferrite. The complete mechanism of kinetics improvement is worth further research.

#### **2.2 Rocksalt-spinel phase swing and Fe redox**

The degree of phase transition in the Fe-poor ferrites was investigated by x-ray diffraction (XRD) on Fe<sub>0.35</sub>Ni<sub>0.65</sub>O<sub>x</sub> and Fe<sub>0.35</sub>Co<sub>0.65</sub>O<sub>x</sub> quenched from thermal reduction and CO<sub>2</sub> splitting conditions. A sol-gel synthesized ferrite was quenched in Ar purge gas after 3 hours dwell for either thermal reduction (at  $T_H$ ) or  $CO_2$  splitting (at  $T_L$ ) reaction. A quenched sample was assumed to have the same phase composition as it had at the end of the 3-hour reaction. In **Figs. 2A**, **S6** and **Table S2**, the spinel-rocksalt phase ratio changes can be clearly shown by Rietveld refinement, as well as their relative diffraction peak height. Specifically, thermally reduced ferrites exhibit more rocksalt phase compared to samples oxidized in  $CO<sub>2</sub>$ , similar to traditional spinel ferrites. However, Fe-poor ferrites contain both phases throughout the two-step cycle, and the rocksalt phase is not a minority phase.

Performing x-ray absorption near-edge spectroscopy (XANES) on quenched samples, we found Fe is the redox active element in  $Fe_{0.35}Ni_{0.65}O_x$  and  $Fe_{0.35}Co_{0.65}O_x$ . As **Figs. 2B** and **2C** show, the Fe-K edge energy shift (evaluated at normalized absorption of 0.5) of  $Fe_{0.35}Ni_{0.65}O_x$ was 0.5 eV between thermally reduced state at  $1300^{\circ}$ C and  $CO_2$  oxidized state at  $800^{\circ}$ C; the Fe K-edge shift for  $Fe_{0.35}Co_{0.65}O_x$  was 0.4 eV (compared to 4.3 eV between  $Fe^{2+}$  and  $Fe^{3+}$ references). This edge shift roughly corresponds to the normalized yield of 15% in **Fig. 1A**. On the other hand, Co and Ni absorption edges did not change during the redox cycle,

9

indicating that they are redox inactive (**Fig. S9**). Since Fe is the redox active species, the possibility of lowering Fe for improving the capacity for  $CO<sub>2</sub>$  splitting is somewhat counterintuitive. We investigated this by calculating the phase equilibria of these ternary oxides.

#### **2.3 Thermodynamic analysis**

CALculation of PHase Diagram (CALPHAD) thermodynamic database was used for chemical equilibria analysis. The two most relevant oxide solutions in the present study are spinel solution and rocksalt solution. The spinel solution is described using the Compound Energy Formalism considering the crystal structure of solution,  $(Fe^{2+}, Fe^{3+}, Co^{2+}, Co^{3+}, Mg^{2+},$  $Ni^{2+})^{T}$ [Fe<sup>2+</sup>, Fe<sup>3+</sup>, Co<sup>2+</sup>, Co<sup>3+</sup>, Mg<sup>2+</sup>, Ni<sup>2+</sup>, Va]<sub>2</sub><sup>O</sup>O<sub>4</sub>, where T and O represent the tetrahedral sites and octahedral sites in the spinel solution and Va means vacancy in octahedral sites. Rocksalt solution is formulated as the solution of  $FeO-FeO<sub>1.5</sub>$ -MgO-CoO-NiO-etc., where  $FeO<sub>1.5</sub>$  is considered to describe the non-stoichiometry of wustite. The metallic phases were taken from FSStel database in FactSage.

Models based on the CALPHAD equilibrium analysis of the  $Fe<sub>v</sub>M<sub>1-v</sub>O<sub>x</sub>$  system (M = Mg, Co, Ni) predicted thermodynamic equilibrium CO yield from  $Fe<sub>0.35</sub>Ni<sub>0.65</sub>O<sub>x</sub>$  to be 10.4 mL-CO/g at conditions of  $T_H = 1300$ °C,  $T_L = 800$ °C and CO:CO<sub>2</sub> = 1:100, which are shown as circles in **Fig. 3A**. Furthermore, elemental molar amount at thermodynamic equilibrium was predicted for both spinel and rocksalt phases. The total amount of metal cation was set as one mole without losing generality. As **Fig. 3B** shows,  $Fe<sub>0.35</sub>Ni<sub>0.65</sub>O<sub>x</sub>$  has phase swing between thermally-reduced and  $CO<sub>2</sub>$ -oxidized states, and the difference in total molar amount indicated by dash line is the thermodynamic oxygen exchange capacity. Similarly, the predicted phase swing and oxygen exchange capacity can be shown for  $Fe_{0.67}Ni_{0.33}O_x$ (NiFe<sub>2</sub>O<sub>4</sub>), Fe<sub>0.45</sub>Co<sub>0.55</sub>O<sub>x</sub> and Fe<sub>0.67</sub>Co<sub>0.33</sub>O<sub>x</sub> (CoFe<sub>2</sub>O<sub>4</sub>) as well (**Figs. S13-S15**). The predicted phase swing is larger for the two Fe-poor ferrites than the traditional "spinel" ferrites. Also, the elemental composition of each phase is noted with a formula, which changes with temperature and  $pO_2$ , but does not depend on the global Fe:M composition (See **Table S5**; compare **Fig. 3B** with **S14**, **S13** with **S15**) if within rocksalt-spinel two-phase region.

The CO yield dependence on Fe stoichiometry y for  $Fe<sub>v</sub>M<sub>1-v</sub>O<sub>x</sub>$  is in Fig. 3C. For different metals,  $M = Ni$ , Co and Mg, the optimal values of y were 0.29, 0.41 and 0.36, respectively. The predicted trend of CO yield dependence on y here is consistent with measurements (**Fig. 1**), even though predicted equilibrium yields are generally higher than measured, which was limited by kinetics. Selected materials were tested for 20 hours of thermal reduction plus 20 hours of CO<sub>2</sub> splitting, and the measured CO yield is quantitatively consistent with the CALPHAD predictions (**Fig. 3C**).

The previously proposed thermodynamic framework $^{26,31}$  provides a means to visualizing the effect of global iron concentration on the redox capacity of the ferrites. **Fig. 4** shows the partial molar enthalpy  $(h_0)$  and entropy  $(s_0)$  of oxygen for the mixed-phase ferrites against the triangular shape regions thermodynamically feasible for both thermal reduction and  $CO<sub>2</sub>$ splitting reactions (analysis method is given in the **ESI**). Along the thermodynamics trajectory of each oxide in the plot, each symbol represents an oxygen content change of 0.005 mol of oxygen per mol of metal; the number of line segments that fall within the highlighted purple region of the triangle plot is proportional to the thermodynamically predicted oxygen swing (i.e. maximum fuel productivity). The insets of **Fig. 4A** show that decreasing the amount of iron in  $\text{CoFe}_2\text{O}_4$  shifts the magnitude of partial molar enthalpy slightly down. The iron-poor composition also has a slightly higher horizontal density of symbols in the triangular region, indicating that the slope of partial molar entropy vs. oxygen content is slightly shallower. **Fig. 4B** shows that in the Fe-Ni-O system, decreasing the amount of Fe below 0.67 also shifts the thermodynamics of oxygen exchange reaction in a favorable direction. The iron-poor material has higher magnitudes of both partial molar entropy and enthalpy. Similar to the Fe-Co-O system, the partial molar entropy is a lessstrong function of oxygen content in the iron-poor Fe-Ni-O system.

# **3. Conclusions**

In conclusion, we have explored various Fe-poor ferrites with lower Fe concentration than traditionally investigated spinel ferrites for two-step thermochemical  $CO<sub>2</sub>$  dissociation. We have also demonstrated a method that significantly improves the kinetics of both reaction steps, although requires further investigation. The Fe-poor composition not only lowers the ratio of redox active element, Fe, but also increases the rocksalt phase fraction; however, it counterintuitively increases the  $CO<sub>2</sub>$  dissociation capacity at condition of T<sub>H</sub> = 1300°C and  $CO:CO<sub>2</sub> = 1:100$  compared to spinel ferrites. Furthermore, we found the measured CO yield of  $Fe_{0.35}Ni_{0.65}O_x$  is six times the thermodynamic equilibrium capacity of  $CeO_2$ , whereas La<sub>1-</sub>

 $_{x}Sr_{x}MnO_{3-\delta}$  (x = 0.2, 0.3, 0.4) materials would have negative CO productions. We notice that while the Fe-poor ferrites have slower reaction kinetics than  $CeO<sub>2-X</sub>$ , the thermodynamic  $CO<sub>2</sub>$ dissociation capacity is greatly improved, even when compared to other Fe-rich ferrites. CALPHAD thermodynamic models shed light on the general trend of  $CO<sub>2</sub>$  dissociation performance dependence on Fe concentration. Compared to traditional spinel ferrites, the Fepoor ferrite system generally has significant portions of both rocksalt and spinel phases, and the ratio and composition of each phase change as oxygen exchange redox reactions proceed.

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## **Author Contributions**

S.Z. and J.R. conceived the idea of using Fe-poor ferrites for thermochemical  $CO<sub>2</sub>$ dissociation and designed various sample compositions. A.M., W.C., I.J. and M.T. supervised the work. S.Z., J.R. and M.C. synthesized the samples. J.R. and S.Z. conducted  $CO<sub>2</sub>$ dissociation experiments in TGA system. S.Z. and C.X. performed SEM and EDS characterization. S.Z. and J.R. conducted quenching experiments. K.L. and S.Z. conducted XRD experiments and Rietveld refinement. S.Z., N.A. and M.C. conducted XANES characterization and data analysis. I.J. and J.R. conducted CALPHAD simulations. N.A., S.Z. and J.R. conducted thermodynamic analysis. S.Z. wrote the manuscript with contributions from all the authors.

# **Conflicts of interests**

The authors declare no competing interests.

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**Fig. 1 | Improved performance of Fe-poor ferrites in two-step thermochemical CO<sup>2</sup> dissociation experiments.** A and **B**, CO yield of  $Fe_vNi_{1-v}O_x$  and  $Fe_vCo_{1-v}O_x$ . Reaction conditions were: (1) thermal reduction under  $T_H = 1300^{\circ}$ C and pO<sub>2</sub> = 10 ppm for 5 hours, (2) CO<sub>2</sub> splitting under T<sub>L</sub> = 800°C and background gas partial pressure CO:CO<sub>2</sub> = 1:100 for 5 hours. "Normalized yield" was derived by dividing measured CO yield by "Fe redox limit" (see main text). Thermodynamic equilibrium CO yield of  $CeO<sub>2</sub>$  was indicated by dash line. **C**, CO yield of  $Fe_{0.35}Ni_{0.65}O_x$ ,  $Fe_{0.45}Co_{0.55}O_x$  and  $Fe_{0.35}Mg_{0.65}O_x$ , with the same reaction conditions as **A** and **B** except that  $CO:CO<sub>2</sub>$  was 1:1000. Thermodynamic equilibrium CO yields of CeO<sub>2</sub> and La<sub>0.6</sub>Sr<sub>0.4</sub>MnO<sub>3- $\delta$ </sub> were indicated by different dash lines. **D**, CO concentration profiles using  $Fe<sub>y</sub>Ni<sub>1-y</sub>O<sub>x</sub>$ . "Background" indicates a test of background CO concentration without sample. Reaction conditions were the same as **A** and **B**. **E**, improvement of kinetics by  $ZrO_2$ . Multi-cycle thermochemical  $CO_2$  dissociation experiments were performed using  $Fe_{0.35}Ni_{0.65}O_x$ ,  $Fe_{0.45}Co_{0.55}O_x$ ,  $Fe_{0.35}Mg_{0.65}O_x$  and  $Fe_{0.35}Ni_{0.65}O_x$ - $ZrO_2$ . With limited reaction time (1 hour each step), the addition of  $ZrO<sub>2</sub>$  (80% mass fraction) shows a significant improvement in the CO yield per gram of ferrite. Reaction conditions

were the same as **A** and **B** except reaction time. All measured CO yields had uncertainty of  $\pm$ 

0.2 mL-CO/g/hour due to CO sensor drift. Sample masses were about 0.13 g.



**Fig. 2 | X-ray characterizations of quenched Fe-poor ferrite Fe0.35Ni0.65Ox**. Quenching conditions are  $T_H = 1300$ °C in argon, and  $T_L = 800$ °C in 20% CO<sub>2</sub> balance argon. **A**, X-ray diffraction  $Fe_{0.35}Ni_{0.65}O_x$ ; rocksalt phase mass ratio is from Rietveld refinement. **B**, Fe K edge x-ray absorption near edge structure (XANES) of  $Fe<sub>0.35</sub>Ni<sub>0.65</sub>O<sub>x</sub>$ , as well as reference oxides. **C**. XANES edge shift was evaluated at normalized absorption of 0.5.



**Fig. 3** | Thermodynamics of  $Fe_vNi_{1-v}O_x$  from CALPHAD simulation and long cycle measurements. A,  $Fe_{0.35}Ni_{0.65}O_x$  oxygen stoichiometry dependence on oxygen partial pressure (pO<sub>2</sub>) at various temperatures (unit: °C) from CALPHAD; two circles indicate  $T_H = 1300$ °C with pO<sub>2</sub> = 10 ppm, and  $T_L = 800^{\circ}C$  with  $pO_2 = 10^{-14}$  atm. **B**, elemental composition of rocksalt and spinel phases for Fe<sub>0.35</sub>Ni<sub>0.65</sub>O<sub>x</sub> at conditions of 1300°C with  $pO_2 = 10$  ppm, and  $800^{\circ}$ C and  $pO_2 = 10^{-14}$  atm. The total molar amount of cations is unity. Dash line shows the difference in length due to oxygen amount difference. **C**, equilibrium CO yield from  $Fe<sub>v</sub>M<sub>1-v</sub>O<sub>x</sub>$  system (M = Mg, Co, Ni); reaction conditions are: (1) thermal reduction under  $T_H = 1300^{\circ}$ C and pO<sub>2</sub> = 10 ppm, (2) CO<sub>2</sub> splitting under  $T_L = 800^{\circ}$ C and with gas ratio CO:CO<sub>2</sub>=1:100. Experimental results for Fe<sub>v</sub>Ni<sub>1</sub>.  $_{\rm v}O_{\rm x}$  and Fe<sub>v</sub>Co<sub>1-v</sub>O<sub>x</sub> materials from TGA system (20 hours dwelling for each step) are indicated by cross (CO sensor derived,  $\pm$  4.0 mL-CO/g)

and dot (thermogravimetry derived).



**Fig. 4 | Thermodynamic selectivity plots for cobalt ferrites (A) and nickel ferrites (B)**. The red dashed line represents thermodynamic equilibrium for  $T_H = 1300^{\circ}$ C with pO<sub>2</sub> = 10 ppm. The blue dashed line represents equilibrium for  $T_L = 800^{\circ}$ C with CO:CO<sub>2</sub> = 1:100. Calculated partial molar quantities for ferrites from CALPHAD are shown as lines with symbols, such that each line segment represents 0.005 mole of oxygen change, namely, 0.005 change of x.

# **Tables and Captions**

**Table 1. Thermodynamic equilibrium CO yield for state-of-the-art materials (mL-CO/g).** Thermal reduction is set at T<sub>H</sub> and  $pO_2 = 10$  ppm, and CO<sub>2</sub> splitting step is set at T<sub>L</sub> = 800 $\degree$ C and CO<sub>2</sub>:CO = 100:1. Ferrite results are from CALPHAD simulation. Negative CO yield means that CO<sub>2</sub> dissociation is not thermodynamically favored.

