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**How Nanoscale Surface Heterogeneity Impacts Transport of
Nano- to Micro-Particles on Surfaces under Unfavorable
Attachment Conditions**

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Environmental significance statement

Nanoscale heterogeneity on environmental surfaces governs the retention of nano- and micro-particles (colloids) in flowing solution. Understanding this influence is critical to predicting transport in contexts spanning water resource protection to remediation of groundwater via targeted delivery of reactive agents. Combined experiments and mechanistic simulations described herein demonstrate how nanoscale heterogeneity interacts with diffusion, sedimentation and fluid drag to further reduce attachment of 0.2 to 2 μm colloids ($n\text{-}\mu$ transition) under unfavorable relative to favorable attachment conditions. These findings identify a novel transport attribute that suggests strategies for targeted colloid delivery in environmental contexts. Furthermore, simulations incorporating discrete representation of nanoscale heterogeneity in transport simulations captured the novel transport behavior. This discrete representation serves as a guide to surface characterization studies, elucidating the expected scales and spatial frequencies of nanoscale heterogeneity relevant to colloidal transport.

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3 Draft for Environmental Science: Nano
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8 **How Nanoscale Surface Heterogeneity Impacts Transport of Nano- to Micro-**
9 **Particles on Surfaces under Unfavorable Attachment Conditions**
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Abstract

The impact of nanoscale surface heterogeneity on retention of nano-to-micro-scale particles (colloids) on surfaces governs colloid transport in the environment where unfavorable conditions (repulsive barrier present) are prevalent. Applications include water resource protection and contaminant remediation, and colloid sizes range from viruses and engineered nanomaterials (e.g., ~50 nm) to protozoa and activated carbon (e.g., ~5 μm). Prediction and colloid delivery require understanding how nanoscale heterogeneity impacts size dependence of colloid retention under unfavorable relative to favorable conditions. This dependence has not been previously investigated. We report experiments on soda lime glass (silica) with carboxylate-modified polystyrene latex colloids (0.1, 0.25, 1.1, 2.0, 4.4, 6.8 μm) under varied ionic strengths (0.006 and 0.02 M) and pH (6.7 and 8.0) in an impinging jet system representing upstream sides of porous media grains. These experiments demonstrate dramatically reduced attachment efficiencies (α) for n- μ transition colloids (0.2 to 2 μm) relative to smaller (e.g., < 0.2 μm) or larger (e.g., > 2 μm) sizes with equivalent surface properties. We demonstrate via mechanistic trajectory simulations incorporating discrete representative nanoscale heterogeneity (DRNH) that for n- μ transition colloids, their least combined diffusion and fluid drag in the near-surface fluid domain increased their residence times prior to encountering nanoscale heterogeneity, both phenomena thereby reducing the likelihood of colloid attachment under unfavorable conditions. The generality of this phenomenon was examined using silica colloids, and by compiling reported colloid retention in porous media. We discuss how this new understanding may guide strategies for targeted delivery of in porous media.

Key words: colloid transport, colloid retention, collector efficiency, nanoscale heterogeneity, diffusion, sedimentation, fluid drag.

Introduction

Understanding the retention of nano- and micro-particles (colloids) on environmentally relevant surfaces in flowing solution is critical to contexts spanning water resource protection (e.g., pathogens mobilized during heavy rainfall) to remediation of groundwater via targeted

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3 delivery of reactive agents (e.g., zero valent iron nanoparticles). That colloid retention is
4 greater under favorable (repulsive barrier absent) versus unfavorable (repulsive barrier
5 present) conditions has been long recognized and explored.¹⁻⁵ Colloid retention is quantified
6 as collector efficiency (η), which is the ratio of retained relative to introduced colloids in a given
7 collector geometry, with attachment efficiency (α) being the ratio of η under unfavorable (η_{unf})
8 relative to favorable (η_{fav}) conditions. Mechanistic prediction of colloid attachment under
9 unfavorable conditions (η_{unf} and α) has not been possible without explicit recognition of the
10 role of nanoscale heterogeneity¹, and only recently has data emerged indicating that α is
11 exaggerated for colloids in the size range between approximately 0.2 μm and 2 μm in diameter,
12 as demonstrated for carboxylate-modified polystyrene latex colloids (CML) on silica at pH 8.0
13 and 6.0 mM NaCl.⁶

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25 The exaggerated minimum η under unfavorable conditions suggests a strategy for
26 enhancement of colloid transport for purposes of targeted delivery to surfaces. The underlying
27 nanoscale interactions, however, must be understood in order to predict and utilize this
28 characteristic. The observed minimum η under favorable conditions is well understood, and is
29 driven by physical transport processes; i.e., advection, settling and diffusion that bring colloids
30 into proximity where they intercept the collector surface.^{1,7,8} The colloid size range with lowest
31 η under favorable conditions (e.g., ca. 1 μm) has the least combined diffusion and settling, as
32 captured in colloid filtration theory.^{7,9-13}

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41 In contrast to favorable conditions, the exaggerated minimum η under unfavorable conditions
42 reflects complex repulsive interactions between colloids and surfaces. Repulsion between
43 surfaces under unfavorable conditions arises predominantly from electric double layer
44 interactions (EDL) for like-charged colloids and collectors.¹²⁻¹⁷ Repulsive surfaces (like-charged
45 according to measured bulk surface properties), have nanoscale heterogeneity that drives
46 colloid attachment despite overall repulsion.^{1,18,19} Characteristics contributing to surface
47 heterogeneity may include ζ -potential, Hamaker constant, Lewis acid-base characteristic,
48 nanoscale roughness, among others.

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3 Whereas detection of nanoscale heterogeneity is possible using current analytical capabilities
4 (e.g., electrophoresis, x-ray photoelectron spectroscopy, AFM force volume, or other
5 techniques)^{16,20–22} the scale of heterogeneity detected will depend on the resolution of the
6 analysis, and only beyond certain scales and spatial densities of attractive and repulsive
7 domains will heterogeneity actually influence colloid transport. Various surface-sensitive
8 techniques among microscopic, spectrometric, potentiometric, physicochemical adsorption,
9 and others can identify spatial variation in topography⁶, chemical composition²³, surface
10 charge²⁴, and propensity to sorb.²⁵ The significance of this nanoscale heterogeneity differs with
11 the scale of interacting moieties; i.e., sparse molecular-scale charge heterogeneity may be
12 significant to adsorption of molecules, but may not be significant to attachment of micro-scale
13 colloids. The zone of colloid-surface interaction (ZOI) scales with Debye length and colloid
14 size²⁶, ranging from ~15 nm (0.1 μm diameter CML, 6 mM, pH 6.72) to ~115 nm (6.8 μm
15 diameter CML, 6mM, pH 6.72), far larger than molecular scales. To allow attachment, colloid-
16 surface interaction must be net attractive²⁶; that is, the scale of heterogeneity within the ZOI
17 must be large enough to yield net attraction. The measurement most relevant to colloid
18 transport; i.e., colloidal force measurements at high spatial density across surfaces, also show
19 spatial variation.^{21,22} However, even these most relevant measurements lack the requisite
20 resolution to define the scales and spatial densities of nanoscale heterogeneity relevant to
21 colloid transport.

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39 To determine the scales and spatial densities of nanoscale heterogeneity relevant to colloid
40 transport, discrete representation of nanoscale heterogeneity (DRNH) was developed for
41 mineral surfaces.^{12,13} The basis for DRNH is that as colloids move into proximity with
42 heterodomains (nanoscale zones of opposite charge to bulk surface), colloid surface interaction
43 will be net repulsive or net attractive depending on the fraction of the ZOI occupied by
44 heterodomain(s).²⁶ Because ZOI increases with colloid size, and contracts in response to
45 increased ionic strength (IS), colloid-collector interactions depend on colloid size and solution
46 IS, thereby allowing DRNH (heterodomain size distribution and spatial density) to be backed out
47 from arrays of experiments varying these parameters. DRNH was thus determined via
48 leveraged particle trajectory simulations and transport experiments, under varied colloid sizes,
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3 fluid velocities, ionic strengths (IS), and pH values.^{12,13} Because of the above-described
4 challenges in direct characterization of nanoscale heterodomain sizes let alone physicochemical
5 characteristics driving nanoscale attraction (ζ -potential, Hamaker constant, etc.), for the sake of
6 parsimony we represent nanoscale heterogeneity using ζ -potential, while admitting that other
7 forms may contribute to nanoscale attraction.
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13 Shave et al.²⁷ recently showed that 1 μm colloid attachment was faster when DRNH was placed
14 on the collector rather than the colloid surface (due to the impact of colloid rotation). As
15 described above, nanoscale interactions drive lower values of η under unfavorable conditions
16 for n- μ transition colloids, suggesting that the influence of heterogeneity on colloids versus
17 collectors described by Shave et al.²¹ may be most dramatic for n- μ transition colloids relative
18 to smaller nanoparticles or larger micro-particles.
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26 Our objective is to demonstrate and mechanistically explain the nanoscale interactions that
27 yield exaggerated minimum η under unfavorable relative to favorable conditions for the n- μ
28 transition colloids. Our primary hypothesis is that for n- μ transition colloids, the exaggerated
29 minimum η under unfavorable conditions is driven by the lower combined diffusion, settling
30 and fluid drag operating in two regions: 1) In bulk fluid, least combined diffusion and
31 sedimentation limits colloid delivery to the collector surface as described in CFT, yielding the
32 observed minimum η under favorable conditions; and 2) In near-surface fluid, least combined
33 diffusion and fluid drag limits the likelihood of finding heterodomains on which to attach under
34 unfavorable conditions, exaggerating η_{unf} relative to η_{fav} . Our corollary hypothesis is that the
35 difference in η under unfavorable relative to favorable conditions is decreased for the; e.g., <
36 0.2 μm and > 2 μm colloid size ranges because of their relatively greater combined diffusion,
37 settling, and fluid drag.
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49 **Methods**

50 *Colloidal Suspensions*

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3 Experiments examined carboxylate-modified polystyrene latex (CML) fluorescent ($\lambda_{\text{ex}} = 505$, λ_{em}
4 = 515 nm) microspheres (Molecular Probes Inc., Eugene, OR) of six sizes (0.1, 0.25, 1.1, 2.0, 4.4
5 and 6.8 μm). Microspheres with contrasting properties (e.g., density, hydrophobicity, rheology);
6 i.e., fluorescent ($\lambda_{\text{ex}} = 485$, $\lambda_{\text{em}} = 510$ nm) carboxylate-modified silica (CMS) and unmodified
7 silica (UMS) (Creative Diagnostics, Shirley, NY). CMS of two sizes (1.0 and 3.0 μm), and UMS of
8 one size 3.0 μm , were also examined. Colloid suspensions were prepared from stock in relevant
9 solution with concentrations ranging from 2.5×10^5 to 2.5×10^7 microspheres per mL. The
10 microsphere suspension concentration was determined via vacuum filtration of colloid solution
11 (volume adjusted to ensure > 20 CML per observation area) on 0.05 or 0.1 μm polycarbonate
12 filters (Millipore) followed by averaging counts of 45 random observation areas using wide-field
13 fluorescence for colloid illumination and scaling this average to the area of deposition on the
14 filter.
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16 Suspension ionic strength (IS) was adjusted using NaCl. Unfavorable solutions (IS 6 mM and 20
17 mM) were buffered with 2.2 mM MOPS (3-Morpholinopropane-1-sulfonic acid) (Sigma-Aldrich
18 Corp.) with pH set to 6.7 and 8.0 using NaOH (0.5 M). The ion contribution from the buffer was
19 accounted for when calculating the solution ionic strength. Favorable solutions were achieved
20 by increasing the solution ionic strength to 50 mM and decreasing the pH to 2.0 using HCl (1.3
21 M). In cases where ζ -potentials of the collector and colloid were both slightly negative, the net
22 interaction was attractive.⁶
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24 CML, CMS and UMS electrophoretic mobility (EPM) was measured in suspensions using
25 ζ -potential analyzer (Mobiuz, Wyatt Technology Corp., Santa Barbara, CA). CML, CMS and UMS
26 ζ -potentials were calculated from EPM via the Smoluchowski equation²⁸ (SI, Table SI-1).
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28 CML roughness was measured with an atomic force microscope (model N9451A Agilent
29 Technologies; Santa Clara, CA). CML RMS roughness was 13.0 ± 7.0 nm (1.1 μm), 10.0 ± 7.0 nm
30 (2.0 μm), 13.0 ± 6.0 nm (4.4 μm), and 27.0 ± 9.0 (6.8 μm).²⁹
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3 Soda-lime glass (herein referred to silica) slides and coverslips (Fisher Scientific, Inc.) were used
4 as the impinging surface in the impinging jet flow cell. Silica slides were cleaned via the SC-1
5 procedure³⁰ prior to every experiment. Silica ζ -potentials were adopted from representative
6 values reported in the literature^{10,31} (SI, Table SI-2).
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11 Silica RMS roughness was 1.0 ± 0.7 nm as measured with an atomic force microscope (model
12 N9451A Agilent Technologies; Santa Clara, CA).²⁹
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16 *Impinging Jet Experiments*

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19 A custom-made stainless-steel impinging jet flow cell (radially symmetric) (SI, Figure SI-1) was
20 used to observe colloid attachment and detachment, as described in previous studies.^{6,12,13}
21 The jet (cell inlet) was 0.5 mm in radius, and the impinging surface was located 1.25 mm from
22 the jet, perpendicular to the jet axis. To ensure an evenly radial distribution of the flow across
23 the cell, four outlets were evenly spaced in a circular array at a radial distance 10.0 mm from
24 the jet axis.
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31 Colloid attachment experiments were conducted by injecting colloidal suspensions in the flow
32 cell after 30 min of equilibration of the collector surface with colloid-free solution and
33 attachment was quantified as collector efficiency (η = number attached/number injected).^{6,12}
34 Experimental conditions examined the following conditions: pH 6.7 and 8.0, IS 6.0 mM and 20.0
35 mM, and average jet velocity (v_{jet}) of $1.7E-3$ ms⁻¹. The duration of the experiments ranged from
36 1 to 6 h depending on rate of attachment. Colloids were illuminated using wide-field
37 fluorescence with a band-pass filter for excitation (478-493 nm) and a (405/488/543 nm)
38 dichroic filter for emission (Chroma Technology Corp., Bellows Falls, VT). The 1.1 μ m and larger
39 CML were experimentally-observed using a 10x objective (Nikon, Japan), the 3.0 μ m CMS and
40 3.0 μ m UMS were observed using a 20X objective (Plan Fluor DIC M/N2; Nikon, Japan), finally
41 the 0.25 μ m and smaller CML as well as 1.0 μ m CMS were observed using a 60X objective (Plan
42 Apo TIRF DIC H; Nikon, Japan). The number of attached colloids at several time intervals (e.g.,
43 15 s) was tracked with images taken via a CoolSNAP HQ CCD camera (Photometrics, Tucson, AZ)
44 and processed using image analysis software (MetaMorph, Universal Imaging Corp.,
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3 Downingtown, PA). A detailed description of the optical setup is provided in previous
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5 publications.^{6,10,12,13}

6 7 8 *Colloid Trajectory Model*

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10 A Lagrangian colloid trajectory model developed for the impinging jet system (e.g., Pazmino et
11 al.¹²) was used to simulate CML trajectories. The model predicts delivery to the surface and
12 attachment if the arresting torque exceeds the driving torque when the colloid is in contact
13 with the surface.
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18 Simulated colloid trajectories are generated in response to Lagrangian integration of forces and
19 torques acting on colloids; i.e., fluid drag, colloid-collector surface interactions (XDLVO), lift,
20 Brownian diffusion, virtual mass, and gravity, as described in previous publications.^{6,12,32,33}
21 Colloid-collector surface interaction forces include van der Waals (vdW), electric double layer
22 (EDL), and Born interactions as described in previous publications^{6,12,32}, whereas Lewis acid-
23 base, steric forces, and roughness impacts, were more recently incorporated.^{29,33}
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28 Nanoscale surface roughness has been shown to affect colloid-surface interactions^{34,35}. For this
29 reason, a modification of colloid-surface interactions was included for CML and collector
30 surfaces with RMS roughness greater than 4 nm, following the characterization described in
31 Rasmuson et al.²⁹ The silica collector surface was considered smooth, since its RMS roughness
32 was < 1.0 nm.²⁹ For the CML, the RMS roughness used in simulations and slip layer effects were
33 estimated as in Rasmuson et al.²⁹ (SI, Table SI-3).
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36 37 38 *Discrete Representation of Nanoscale Heterogeneity (DRNH)*

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40 Simulations regarding CML attachment under unfavorable conditions were performed by
41 incorporating DRNH on the silica collector surface. Heterodomains of specified size were
42 “placed” at intervals in polar coordinate space (r and θ) from the impinging jet axis to generate
43 uniformly spaced DRNH over the collector surface, as described and demonstrated in Pazmino
44 et al.¹² This discrete representation of heterodomain sizes intends to approximate the
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3 expected continuous size distribution resulting from random clustering of nanoscale physical
4 and chemical features.^{26,27,36–38}
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8 The linear superposition approximation (LSA) integration technique was used to calculate
9 colloid-collector surface interactions. For the colloid size analyzed in this study, the influence of
10 the curvature of the colloid and collector surfaces within the ZOI is negligible.¹⁴ Net
11 colloid-collector interaction can therefore be determined by the sum of attractive and repulsive
12 contributions without regard to their specific locations within the ZOI.^{12,26}
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18 For simplicity, heterodomain ζ -potentials were assumed to be of equal magnitude and opposite
19 charge to bulk collector surface. This simplification is reasonable given that once the fraction of
20 the ZOI occupied by heterodomain(s) is large enough to yield a net attractive interaction, η is
21 relatively insensitive to the magnitude of the attractive ζ -potential.¹² However, in contrast to
22 η , CML detachment was sensitive to heterodomain ζ -potential, as we describe below.
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28 Results

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31 Experimentally-observed colloid attachment (quantified as collector efficiency, η) in impinging
32 jet experiments showed a characteristic minimum for the n- μ transition CML (Figure 1,
33 symbols). The observed minimum η was exaggerated under unfavorable (Figure 1, red
34 symbols) relative to favorable (Figure 1, blue symbols) conditions. The magnitude of this
35 exaggeration increased with increasing pH (Figure 1, a & b versus c & d) and decreasing IS
36 (Figure 1, a & c versus b & d). The least unfavorable condition (20.0 mM pH 6.7) showed a
37 factor of four exaggerated minimum relative to favorable (Figure 1, a). The most unfavorable
38 condition (6.0 mM pH 8.0) showed a factor of 250 exaggerated minimum relative to favorable
39 (Figure 1, d). These results demonstrate that the exaggerated minimum observed for CML on
40 silica at pH 8.0 and IS of 6.0 mM NaCl⁶ also occurs over a broader range of pH and IS conditions,
41 and deepens with increasing unfavorability. Furthermore, η values observed under
42 unfavorable relative to favorable conditions were similar or equivalent for the < 0.2 μm and >
43 2 μm CML sizes, for all pH and IS conditions examined (Figure 1, symbols), suggesting that the
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3 greater combined diffusion and settling for these colloids counteracted colloid-collector
4 repulsion under unfavorable conditions.
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8 To explore our primary hypothesis that the exaggerated minimum η for n- μ transition colloids
9 is driven by their interaction with nanoscale heterodomains on surfaces, we simulated colloid
10 attachment on the collector surface under unfavorable conditions via incorporation of DRNH.
11 Heterodomain sizes and spatial densities in mechanistic Lagrangian particle trajectory
12 simulations (full force and torque balance) were optimized to simulate η values that matched
13 experiments (Figure 1, lines). Heterodomain radius was set to a fraction (0.48) of the square
14 root of colloid radius (approximating RZOI independent of ionic strength²⁶). This fraction was
15 constrained to match experimentally-observed attachment (η) at a particular IS (6.0 mM) and
16 pH (6.7), as well as detachment of 0.25 μ m CML in response to IS perturbation.^{29,33}
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25 A near-Power law (geometric with non-zero asymptote) distribution of heterodomain spatial
26 density versus heterodomain size emerged from the above calibration and was described by a
27 power law function with a non-zero asymptote (Figure 2), consistent with previous work that
28 examined a relatively narrow CML size distribution (0.25 to 2.0 μ m).^{12,13} The heterodomains
29 ranged in size from 40 to 320 nm, for 0.1 to 6.8 μ m CML, with corresponding spatial densities
30 (SD) ranging from 7.0×10^6 to 4.0×10^2 per mm^2 , respectively (Figure 2). Heterodomain SD
31 decreased with increasing pH (Figure 2), also consistent with previous work.¹³ The resulting
32 DRNH was found to predict CML attachment for IS conditions other than those used to
33 constrain it (e.g., 20.0 mM), as described below, as well as CML detachment in response to IS
34 and flow perturbations^{29,33}, and also further described below.
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45 Mechanistic trajectory simulations incorporating DRNH yielded the characteristic minimum η
46 observed for n- μ transition colloids in experiments (Figure 1, lines). Whereas heterodomain
47 sizes (Figure 2) were optimized using data from pH 6.7, IS 6.0 mM conditions, the simulated η
48 values were in good agreement with experimentally-observed η across the range of colloid
49 sizes (0.1 to 6.8 μ m) for the higher IS condition (20.0 mM), wherein the IS influence on EDL
50 interactions mechanistically drove the response of simulations (η) to IS. The match was also
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3 good for both IS conditions at the higher pH (8.0) wherein a single reduced value of SD was
4 adopted (Figure 2) consistent with the well-known direct relationship between negative ζ -
5 potential and pH for silicate minerals¹³ resulting from protonation/deprotonation of oxide
6 moieties. Under-predicted η values corresponding to the largest colloid sizes (Figure 1, lines
7 versus symbols) are addressed further below.
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13 As observed in experiments, the simulated minimum η was exaggerated under unfavorable
14 conditions (Figure 1, red dashed line) relative to favorable conditions (Figure 1, blue solid line).
15 Exaggeration of simulated minimum η increased with increased pH (Figure 1, a & b versus c &
16 d) and decreased IS (Figure 1, a & c versus b & d) in correspondence with observations.
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22 For $< 0.2 \mu\text{m}$ CML, simulated η values were similar under unfavorable and favorable conditions
23 regardless of pH and IS condition, in correspondence with experimental observations (Figure 1
24 lines). In contrast, for $> 2 \mu\text{m}$ CML, simulated η values remained a factor of four to 50 reduced
25 under unfavorable relative to favorable conditions for the least unfavorable (20.0 mM pH 6.7)
26 (Figure 1 a) and most unfavorable (6.0 mM pH 8.0) (Figure 1 d) conditions, respectively.
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32 Discussion

33 *Effects of combined diffusion, settling and fluid drag.*

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37 Our primary hypothesis, that the exaggerated minimum η observed for n- μ transition colloids
38 under unfavorable conditions is driven by their least-combined diffusion, settling, and fluid drag
39 is examined via characteristics recorded in their simulated trajectories (Figure 3); specifically
40 their simulated mean residence times prior to attachment (Figure 3 d). Simulated residence
41 time prior to attachment included time spent in: 1) the bulk fluid domain ; 2) the near-surface
42 fluid domain corresponding to significant van der Waals (vdW) interaction (also commonly
43 referred to as secondary minimum interactions); and 3) the contact domain wherein the
44 contact mechanics torque balance in the primary minimum determines whether arrest
45 (attachment) occurs.³³ Residence times in all three of these domains were recorded in the
46 numerical simulations. Simulated residence times prior to attachment were greater under
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3 unfavorable (Figure 3 red triangles) relative to favorable (Figure 3 blue circles) conditions, as
4 driven by increased near-surface and contact residence times under unfavorable conditions, as
5 further explored below. Simulated total residence time prior to attachment was greatest for n -
6 μ transition CML regardless of whether conditions were favorable (Figure 3 d, blue circles)
7 versus unfavorable (Figure 3 d, red triangles).
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13 Conceptually, mean residence times prior to attachment are inversely proportional to
14 attachment rate constants (k_f).³⁹ The proportionality of η to k_f dictates that the greater
15 residence time for n - μ transition CML corresponds to their minimum η , which is well known to
16 be driven by their least-combined diffusion and settling. That n - μ transition CML showed
17 greater residence times also under unfavorable conditions suggests an influence of diffusion
18 and settling on CML residence time prior to attachment under unfavorable conditions.
19 However, upon reaching the near-surface environment (< 200 nm separation distance), the
20 likelihood of encountering heterodomains is no longer driven by settling, but rather by diffusion
21 and fluid drag, as described below.
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31 Enhanced residence times under unfavorable relative to favorable conditions (Figure 3 b, red
32 triangles versus blue circles) reflect increased near-surface residence times wherein translation
33 in secondary minimum-association with the surface occurs until a heterodomain(s) is
34 encountered that occupies a sufficient fraction of the ZOI to arrest the colloid. Lack of
35 secondary minimum-association is characteristic of < 0.25 μm colloid trajectories (Figure 3 a,
36 blue trajectory) wherein their trajectories were dominated by separation distances exceeding
37 200 nm. Diffusion was evident not only in the normal dimension but also in the radial
38 dimension which enhanced their likelihood of encountering heterodomains (Figure 3 a, blue
39 trajectory).
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48 Because forces scale with colloid size, trajectories for n - μ transition and larger CML were
49 characterized by persistent secondary minimum attraction that held colloids in the near-surface
50 fluid domain (Figure 3 a, red and green trajectories). Notably, near-surface residence times
51 were greatest for n - μ transition CML despite secondary minimum interaction being greater for
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3 larger CML (Figure 3 a). Larger CML experienced shorter near-surface residence times prior to
4 attachment (relative to n- μ transition CML) because their enhanced fluid drag moved them
5 faster toward heterodomains in their path. This is demonstrated by the larger radial distance
6 traveled in the near-surface fluid domain over a shorter time period for larger CML relative to
7 n- μ transition CML (Figure 3 a, green versus red trajectories). The greater near surface
8 residence time for n- μ transition CML under unfavorable conditions (Figure 3 a) therefore
9 reflects their least combined diffusion and fluid drag, as demonstrated by near-surface
10 trajectories (Figure 3 a, red trajectory).

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19 The greater total residence time (bulk fluid and near-surface domains) of n- μ transition CML
20 under unfavorable conditions (Figure 3 b, red triangles) is driven by their least combined
21 diffusion and settling which reduces their likelihood of delivery to the near surface (Figure 3 b,
22 blue circles) and their least combined diffusion and fluid drag which reduces their likelihood of
23 encountering heterodomains on which to arrest. Hence, these physical processes (diffusion,
24 settling and fluid drag) impact transport in the bulk fluid and near-surface domains to produce
25 the observed exaggerated η under unfavorable conditions (Figure 1).

32 33 *Near-Power law DRNH to represent a continuum distribution*

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36 Inherently heterogeneous surfaces can be expected to host a continuum of heterodomain sizes
37 ranging from a given primary size that randomly cluster, to form progressively larger and less
38 frequent heterodomains. The nature of the primary size of heterodomains can reflect, for
39 example chemical substitutions or crystalline defects. Amorphous silica glasses include Na, Ca,
40 K, Mg, Al, and other impurities^{40,41} in both surface and bulk domains.^{23,42,43} Heterogeneity at
41 scales and spatial distribution relevant to colloidal transport has been generated using cationic
42 polymers^{27,36-38} and simulated using random placement of primary heterodomains (11 nm in
43 diameter) on surfaces to recreate nanoscale heterogeneity.²⁶ Random placement produces a
44 geometric distribution in which the frequency of a given heterodomain decreases according to
45 a power-law function of its size. Simulations using random placement of primary
46 heterodomains yielded colloid attachment onto clusters.²⁶ Discrete heterodomain sizes
47 comprising the DRNH used in this work (Figure 2) explicitly represents clustering. While DRNH
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3 uses a single heterodomain size to arrest and release a given-sized colloid, this is a discrete
4 representation of the continuum of actual heterodomains (a subset of the larger continuum)
5 that can capture and release colloids of that given size under the examined experimental
6 conditions. As such, the spatial density corresponding to a given discrete heterodomain size
7 accounts for the summed spatial densities of individuals in the actual subset continuum (Figure
8 2, dashed lines).

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11 We find that superimposing discrete heterodomain sizes and spatial densities onto a single
12 surface while conforming their relationship to a power-law distribution does not capture
13 experimental results for both attachment and detachment. For example, DRNH using power
14 law-distributed heterodomains of three different sizes (220, 40, and 25 nm) increasing
15 frequency ratios (1:8:64) superimposed onto a single surface (SI, Figure SI-3), produced
16 excellent fit to experimentally-observed CML attachment (η) across the size range examined in
17 this study (0.1 to 6.8 μm) (Figure 4 a, lines), and captured the observed exaggerated minimum
18 under unfavorable conditions. However, this DRNH did not capture CML detachment in
19 response to IS perturbation (Figure 4 b, lines) for the 0.25 μm CML. Non-target capture of 0.25
20 μm CML by 25 nm heterodomains (for which 0.1 μm CML were targeted for arrest) drove their
21 over-predicted detachment (under-predicted % remaining) in response to IS reduction. DRNH
22 superimposed onto a single surface failed to comprehensively predict attachment and
23 detachment experiments because it identifies a given heterodomain size to represent what is
24 actually an underlying continuum (Figure 2, dashed lines), and because natural distributions
25 may not strictly follow Pareto or other power-law functions.

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28 Sensitivity to heterodomain size was also demonstrated by dramatic η decreases in response to
29 reducing 220 to 110 nm, and 25 to 15 nm, for the 0.1 μm and $> 2 \mu\text{m}$, respectively (Figure 4,
30 dotted red line). The near-Power law relationship between heterodomain spatial density and
31 heterodomain size yields the experimentally-observed exaggerated minimum η despite this
32 relationship having no minimum corresponding to the n- μ transition colloid size (Figure 2). This
33 further highlights that the experimentally-observed exaggerated minimum η emerges from the

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3 transport simulations, due to the impacts of diffusion, settling, and fluid drag, as described
4 above.
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8 Sensitivity to order-of-magnitude decreased heterodomain spatial density in response to pH
9 increase from 6.7 to 8.0 (Figure 2, blue versus red lines) is demonstrated by decreased
10 simulated η (Figure 1, a & b versus c & d red lines). Decreased spatial density with increased
11 pH was also demonstrated for other mineral surfaces (muscovite and albite) using the methods
12 described in this paper for a narrower colloid size range.^{12,13}
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16 17 18 *Generalizing the exaggerated minimum for n- μ transition colloids* 19

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21 Our leveraged simulations and experiments expand the range of CML sizes addressed under
22 unfavorable attachment conditions using DRNH across nano- to micro-scales (0.1 to 6.8 μm
23 CML) and under a range of pH and IS conditions. Previous work examining the role of
24 heterogeneity on colloids versus collectors focused on 1 μm sized colloids²⁷, raising two
25 questions: a) whether the faster kinetics of attachment for heterogeneity on the collector
26 applies to colloid sizes smaller and larger than 1 μm ; and b) whether the exaggerated minimum
27 that we observed and explained for n- μ transition CML applies to colloids with contrasting
28 hydrophobicity and rheology, such as the silica colloids examined by Shave et al.²⁷
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37 To allow comparison between colloids of differing densities (silica versus polystyrene), with
38 contrasting contributions of settling to η , we use the ratio of η under unfavorable to favorable
39 conditions (Figure 1), referred to as attachment (or collision) efficiency ($\alpha = \eta_{unf}/\eta_{fav}$) (Figure 5).
40 The exaggerated minimum η (Figure 1) corresponds to a minimum α for the n- μ transition CML
41 (Figure 5, open circles and triangles). This minimum deepens as pH increases (Figure 5, dashed
42 versus solid lines), and as IS decreases (Figure 5, circles versus triangles) (Figure 5); i.e.,
43 minimum α decreases with increasing unfavorability. In contrast to n- μ transition CML, the <
44 0.2 and > 2 μm CML α values approached unity (Figure 5) regardless of unfavorability.
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53 Notably, polar (non-hydrophobic) unmodified silica (UMS) colloids (3.0 μm) yielded α values
54 approaching unity (Figure 5, patterned triangle) under unfavorable attachment conditions (pH =
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3 6.7, IS = 6.0 mM), demonstrating that properties specific to CML (e.g., hydrophobicity) did not
4 drive the observed α values for $> 2 \mu\text{m}$ CML. Near-unity α was also observed for 3.0
5 μm carboxylate-modified silica (CMS) colloids (Figure 5, solid triangle), which were tested
6 because of potential hydrophobicity introduced via silane linkage of carboxyl functionality to
7 silica. In contrast to 3.0 μm CMS, 1.0 μm CMS yielded an α value a factor of 40 below unity
8 (0.025) (Figure 5, patterned triangles), demonstrating that CMS showed reduced α for n- μ
9 transition size range, similar to CML, and demonstrating that the exaggerated minimum α
10 observed for n- μ transition CML occurs for colloids of contrasting hydrophobicity and rheology,
11 and that the impact of least combined diffusion, settling, and fluid drag on colloid attachment is
12 a general phenomenon. We note that the expense and lower fluorescence quantum yield
13 (optical clarity) for UMS and CMS limited our experiments to silica colloids exceeding 0.5 μm in
14 size.
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17 Simulated η values for $> 2 \mu\text{m}$ CML differed under favorable versus unfavorable conditions, in
18 contrast to experiments (Figure 1), demonstrating that the simulations did not account for a
19 property of these larger colloids. Experiments comparing UMS, CMS, and CML demonstrated
20 that exaggerated minima are general among these colloids, and that whatever property is
21 missing in our simulations to account for α values approaching unity for $> 2 \mu\text{m}$ colloids, is
22 general to UMS, CMS, and CML. Future work will examine the potential role of heterogeneity
23 on colloidal surfaces as the source of the discrepancy between simulations and experimental
24 observations for $> 2 \mu\text{m}$ colloids.
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27 To further examine the generality of the observed exaggerated minimum α for n- μ transition
28 colloids, we compiled reported values of colloid attachment (as η or k_f) from the published
29 literature for transport studies of non-biological and biological colloids on silica or quartz sand
30 packed columns, with media having well sorted and well characterized size distribution, pH in
31 the range $5 < \text{pH} < 10$, and detection methods that would account for all colloids (live and dead
32 in the case of biological colloids). Attachment efficiency (α) was determined from the ratio of
33 reported attachment under unfavorable relative to favorable conditions, with calculation of
34 favorable values from a correlation equation⁴⁴ for η when favorable values were not reported
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3 (Table SI-4). Correlation equations and underlying theory are approximations of reality, and as
4 such have inherent error. Hence, for systems approaching favorable, calculated attachment
5 efficiencies (α) may exceed unity.”
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10 Compiled data from environmental transport literature also indicates that α is minimal for n - μ
11 transition colloids, indicating that it is a general phenomenon spanning engineered
12 nanomaterials (ENP), viruses, bacteria and protozoa (microbes), carboxylate modified silica
13 (CMS), and CML (Figure 6). Whereas there is a great deal of overlap among data from different
14 conditions, a general trend emerges wherein the highest α values circumscribe a trend of
15 relatively lower α values for n - μ transition colloids, specifically $\sim 0.5 \mu\text{m}$ in this collection of
16 porous media data. Values of α vary widely for any given colloid size, at least in part due to
17 surface property and solution condition differences among experiments (Figure 6).
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25 *Significance*

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28 In Figure 6, viruses (0.02 to 0.065 μm) display particularly low attachment, likely contributing to
29 their generally greater prevalence in groundwater,^{45,46} as well as the misperception that smaller
30 colloids transport over farther distances. Our experimental and simulation results highlight that
31 the relatively low α associated with viruses arises not from their small size, but rather their
32 surface properties that prevent attachment. The low attachment of viruses highlights a
33 strategy for strict mobility; whereas, our combined experiments, simulations and literature
34 compilation suggest that for the purposes of targeted delivery, an over-looked strategy,
35 particularly for near neutrally-buoyant colloids, is aggregation to the relatively mobile micro-
36 sized interval to minimize attachment in non-target media, followed by disaggregation, or
37 further aggregation, to maximize retention on target media. Our experimental results and
38 simulations demonstrate that while a modest form of this outcome is already expected under
39 favorable conditions, it can be greatly enhanced under unfavorable interactions that dominate
40 in environmental contexts, offering the potential to tune colloid sizes to harness nanoscale
41 interactions to optimize transport and retention for targeted colloid delivery. Incorporation of
42 DRNH to account for nanoscale surface heterogeneity serves as a guide to future investigations
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3 utilizing spectrometric, potentiometric and other techniques for direct surface characterization.
4 Specifically, it identifies the expected scales and spatial frequencies of the relevant nanoscale
5 heterogeneities. Notably, the DRNH approach utilized here was previously demonstrated to
6 describe colloid attachment to mineral surfaces other than soda-lime glass (albite and
7 muscovite).¹³ Understanding the influences of nanoscale heterogeneity holds promise for
8 tuning engineered heterogeneous surfaces for desired transport and attachment outcomes,
9 which can also be moderated by solution conditions (e.g., IS, pH, fluid velocity).
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17 Another significance of our findings is that while α has traditionally been generalized to reflect
18 “chemical” influences, η has traditionally been generalized to reflect “physical” influences. Our
19 findings demonstrate that these are over-generalizations; physical mass transport in the near-
20 surface fluid domain under unfavorable conditions governs attachment; η concerns colloid
21 transport in bulk fluid, whereas α concerns colloid transport in near surface fluid.
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27 Our model incorporating DRNH approximates the physics/chemistry of a complex system, but it
28 is a major improvement on the current state of colloid transport science and practice, which
29 lacks easily implemented prediction of colloid retention under unfavorable conditions. It is well
30 known that the mechanistic driver of colloid attachment under unfavorable conditions is
31 nanoscale heterogeneity. We have successfully parameterized a mechanistic relationship
32 between colloid retention and nanoscale heterogeneity represented via ζ -potential. Of course
33 there’s fitting involved, as there always is when characteristics are not directly measurable.
34 However, our fitting occurs at an unprecedented fundamental level that allows observed
35 behaviors to emerge from the underlying mechanistic processes. Not only do the attachment
36 and detachment behaviors emerge, but also the minimum α that constitutes a novel transport
37 behavior, the observed exaggerated minimum η under unfavorable conditions. Whereas
38 application of this approach in natural porous media is a topic of future work, we note that
39 residence time distributions simulated under favorable versus unfavorable conditions in a
40 Happel sphere-in-cell collector representing porous media⁷ predicted experimentally-observed
41 non-log-linear distributions of retained colloids with distance from source under unfavorable
42 conditions in media composed of spheroidal grains.³⁹ The efficiencies extracted from the
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3 residence time distributions yielded continuum-scale coefficients (via upscaling) that effectively
4 produced fast- and slow-attaching subfractions from which emerged hyperexponential and
5 nonmonotonic profiles of retained colloids.
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10 To facilitate exploration of exaggerated minimum η for n- μ transition colloids, and related
11 phenomena, we provide executable codes at: <http://www.wpjohsongroup.utah.edu> >
12 Research > Downloads.
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15 16 **Conflicts of interest**

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19 Authors declare no conflict of interest.
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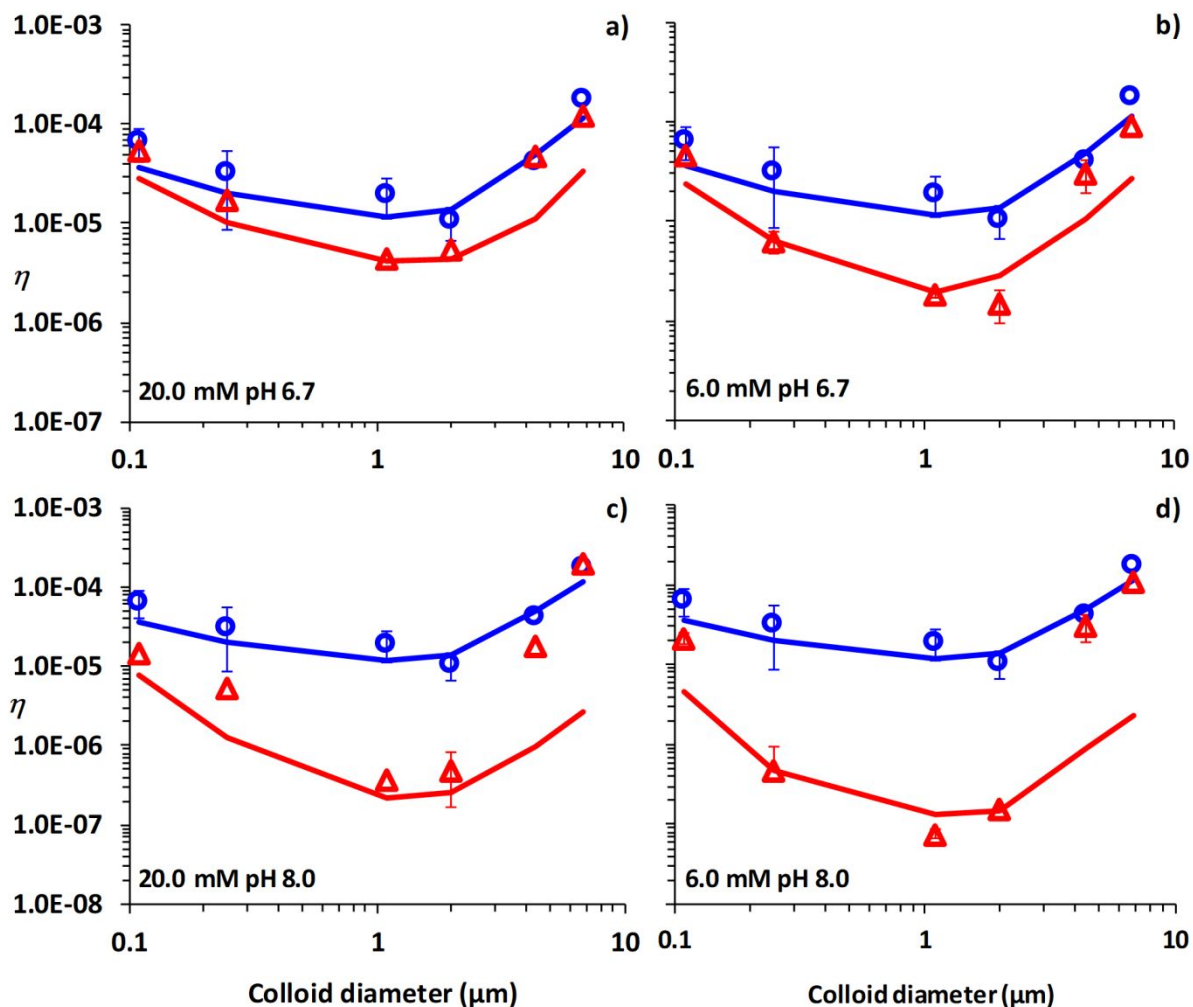


Figure 1. CML collector efficiencies (η) for indicated IS and pH at $v_{jet} = 1.7\text{E}-3 \text{ ms}^{-1}$. Observed values are denoted by symbols, with blue circles and red triangles corresponding to favorable and unfavorable conditions, respectively. Error bars denote maximum and minimum values for replicate experiments. Solid blue line represents simulations under favorable conditions and solid red line represents simulations under unfavorable conditions.

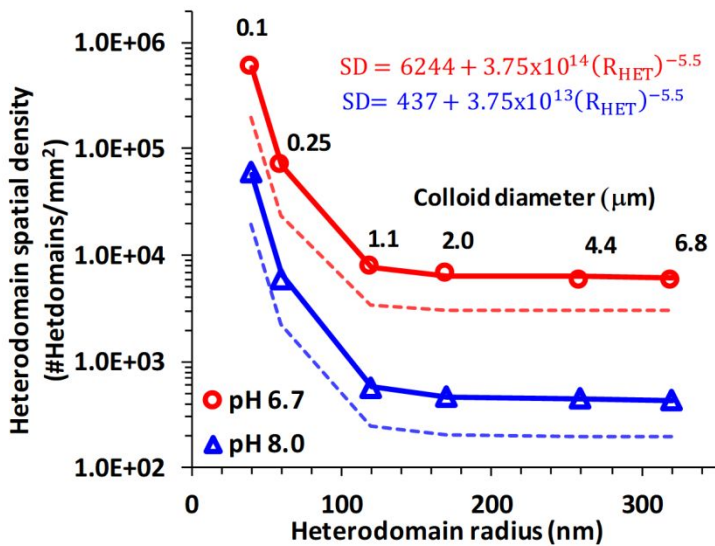


Figure 2. Heterodomain spatial density (# heterodomains per mm²) utilized in simulations corresponding to pH 6.7 (red circles) and pH 8.0 (blue triangles). Solid lines correspond to asymptotic power law functions (equations shown) fit to discrete values utilized in simulations. Dashed lines schematically represent the underlying continuous distribution represented by discrete values.

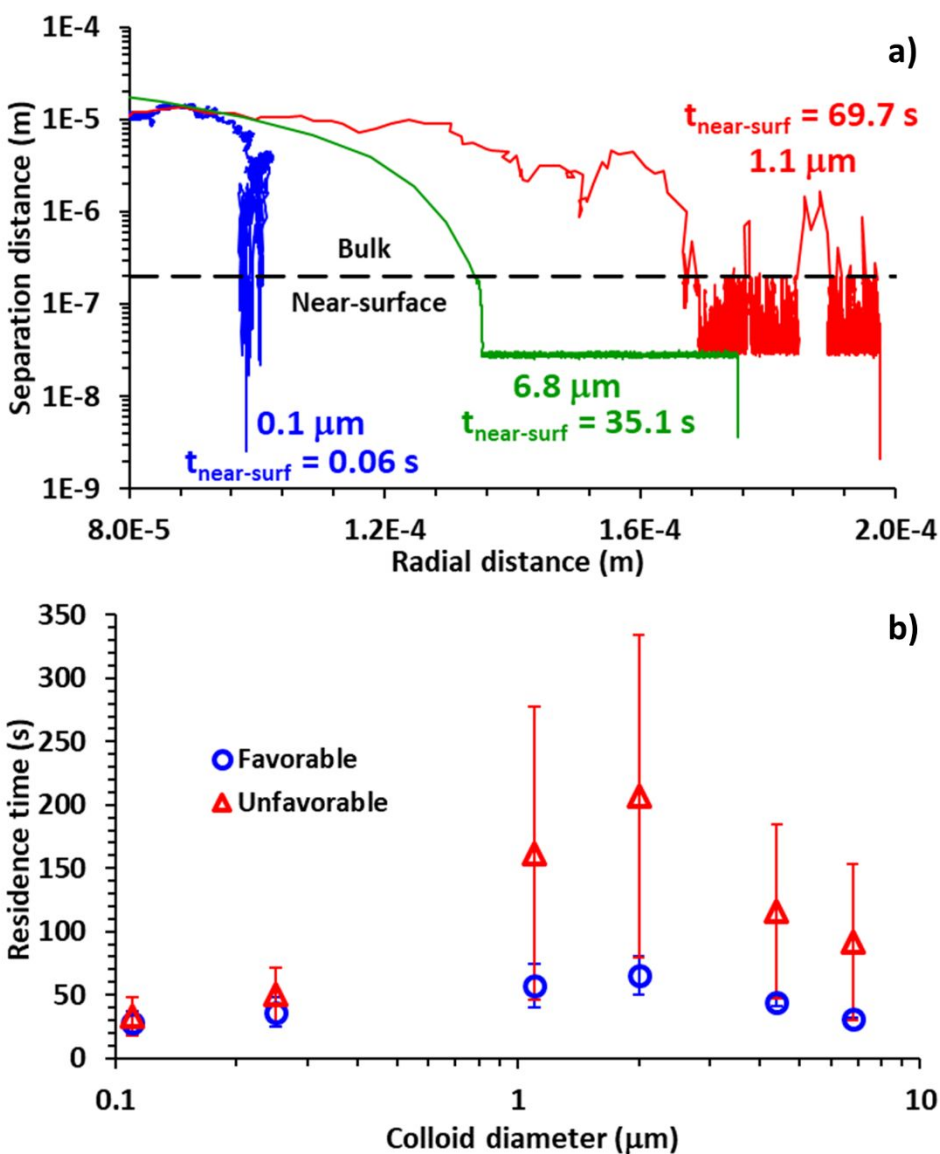


Figure 3. a) Normal view of simulated trajectories for $0.25 \mu\text{m}$ (blue line), $1.1 \mu\text{m}$ (red line), and $6.8 \mu\text{m}$ CML (green line), over an unfavorable collector surface with DRNH as described in Figure 2. Black dashed line divides bulk fluid domain and near-surface fluid domain. b) Simulated mean total residence times of attached colloids under favorable (blue circles) and unfavorable (red triangles) conditions for attachment. Error bars denote maximum and minimum values among the populations of attached colloids. Selected trajectories in panel a) are representative of the mean residence times shown in panel b).

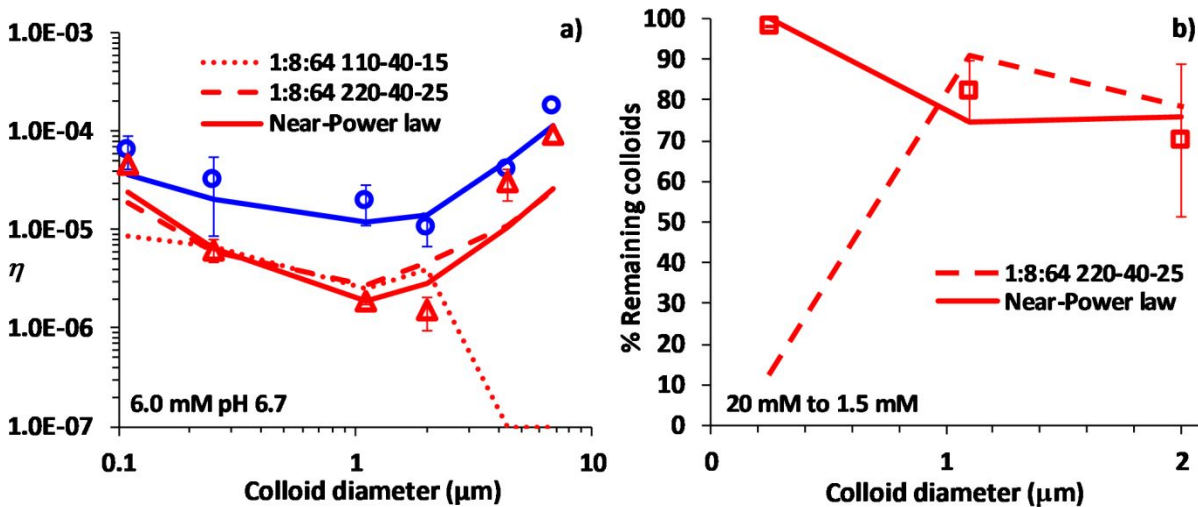


Figure 4. a) Experimental collector efficiencies (η) for 6.0 mM and pH 6.7 at $v_{jet} = 1.7E-3 \text{ ms}^{-1}$. Blue circles correspond to favorable conditions and red triangles correspond to unfavorable conditions. Error bars denote maximum and minimum values for replicate experiments. Solid blue line represents simulations under favorable conditions, solid red line represents simulations under unfavorable conditions considering near-Power law DRNH developed in this work, and dashed red lines represent simulations under unfavorable conditions considering Pareto DRNH. b) Experimental percentages of remaining colloids after an IS perturbation (20.0 mM to 1.5 mM) under unfavorable conditions (red squares).⁴⁷ Error bars denote maximum and minimum values for replicate experiments. Solid red line represents simulations under unfavorable conditions considering near-Power law DRNH developed in this work, and dashed red lines represent simulations under unfavorable conditions considering 1:8:64 Pareto-distributed 220, 40, and 25 nm heterodomains.

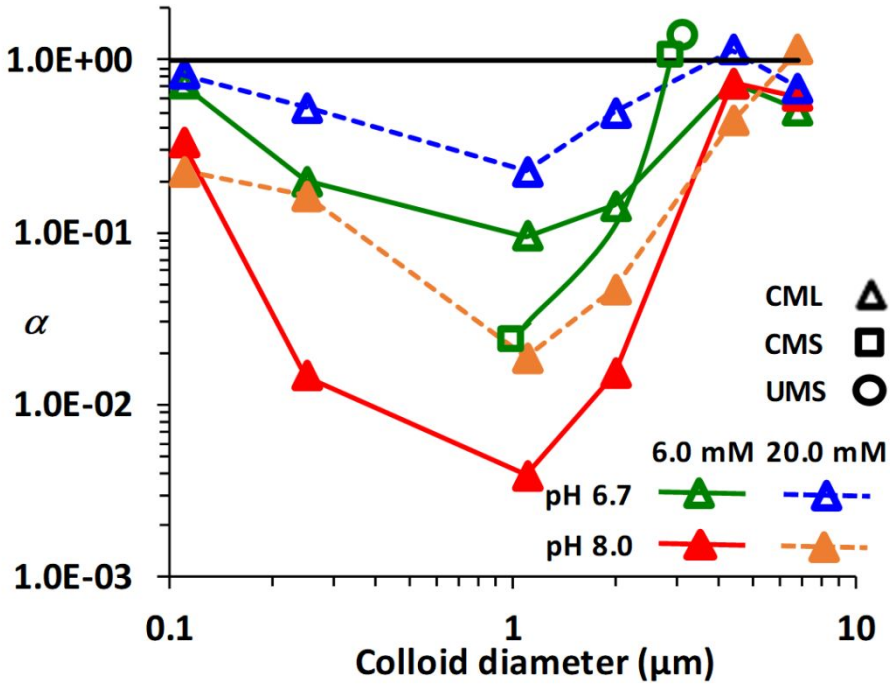


Figure 5. Experimental attachment efficiencies (α) for CML (triangles) versus CMS (squares) and UMS (circles) for pH 6.7 (open symbols), pH 8.0 (solid symbols), 6.0 mM (solid lines) and 20.0 mM (dashed lines) at $v_{jet} = 1.7E-3 \text{ ms}^{-1}$. For purposes of differentiation, 3.0 μm CMS and 3.0 μm UMS were represented as 2.9 and 3.1 μm colloids, respectively.

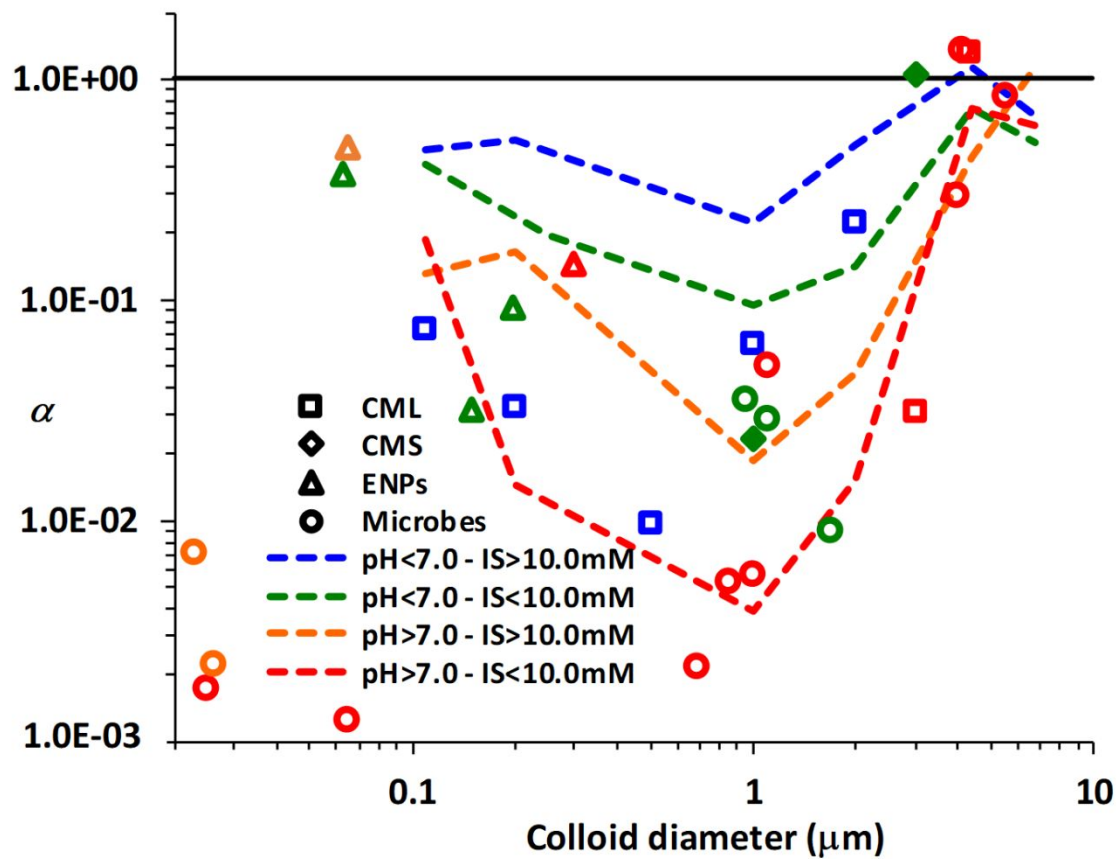


Figure 6. Attachment efficiencies (α) for CML on glass data provided in Figure 1 (shown as lines), augmented with data (shown as symbols) from literature concerning colloid transport in porous media.^{10,45,48–58}

Abstract Art

