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**Theoretical study of CO<sub>2</sub> adsorption on Pt**

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Complete List of Authors:	Matsuda, Shofu; Nagaoka University of Technology, Department of Materials Science and Technology Mukai, Tsuyoshi; Nagaoka University of Technology Sakurada, Seishiro; Nagaoka University of Technology Uchida, Nozomu; Nagaoka University of Technology Umeda, Minoru; Nagaoka University of Technology, Materials Science and Technology, Faculty of Engineering

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LETTER

## Theoretical study of CO<sub>2</sub> adsorption on Pt

Shofu Matsuda,<sup>a</sup> Tsuyoshi Mukai,<sup>a</sup> Seishiro Sakurada,<sup>a</sup> Nozomu Uchida,<sup>\*a</sup> and Minoru Umeda <sup>\*a</sup>

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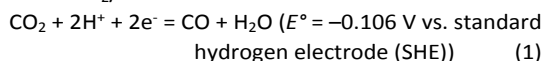
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**The process of CO<sub>2</sub> adsorption on a Pt electrocatalyst was investigated computationally. We found that CO<sub>2</sub> adsorption on Pt crystals proceeds spontaneously in the presence of H<sub>2</sub>O and H both adsorbed on Pt, attributed to hydrogen bond formation and charge rearrangement interactions.**

### Introduction

CO<sub>2</sub> chemical fixation is a valuable method for energy storage and resource recovery, unlike carbon capture and storage (CCS)<sup>1</sup> and the physical immobilization of CO<sub>2</sub>.<sup>2</sup> CO<sub>2</sub> electroreduction is attractive for practical applications because, theoretically, the reaction occurs at a potential close to that of H<sub>2</sub> generation by H<sub>2</sub>O reduction.<sup>3</sup> However, practically, CO<sub>2</sub> electroreduction using Cu, Au, or Ag electrocatalysts requires a very large overpotential of ~1 V.<sup>4–11</sup> Thus, it is challenging to reduce CO<sub>2</sub> with less energy than that required for H<sub>2</sub> production by H<sub>2</sub>O electrolysis. On the other hand, when using a Pt electrocatalyst, CO<sub>2</sub> can be reduced with a low overpotential (0–0.3 V vs. reversible hydrogen electrode (RHE))<sup>12,13</sup> and CO is left adsorbed on the electrode surface.<sup>14,15</sup> The theoretical CO<sub>2</sub>/CO redox reaction is as follows.<sup>16</sup>



Compared to the theoretical potential, the experimentally observed CO<sub>2</sub> reduction potential is more positive, which contributes to the strong adsorption of CO to Pt.<sup>17,18</sup> This strong adsorption has also been shown by theoretical calculations.<sup>19</sup>

However, it is difficult to obtain products by the further reduction of the CO<sub>2</sub>-reduction intermediate adsorbed on the Pt electrode (CO<sub>ads</sub>). Jaramillo et al. reported the production of

small amounts of CH<sub>4</sub> and CH<sub>3</sub>OH as products of CO<sub>2</sub> reduction on a Pt electrocatalyst at –1.5 V vs. RHE.<sup>20</sup> In contrast, in our previous study, CH<sub>3</sub>OH was obtained by CO<sub>2</sub> electroreduction at 0.06–0.25 V vs. RHE using a membrane electrode assembly containing a Pt/C electrocatalyst, although the coulombic efficiency was still low.<sup>21</sup> Remarkably, this result indicates that the production of useful materials by CO<sub>2</sub> reduction using a Pt electrocatalyst can occur with an extremely low overpotential.

Therefore, the detailed study of the CO<sub>2</sub> reduction process on the Pt electrocatalyst is particularly important. In particular, the investigation of each reduction reaction step is crucial. Herein, we focus our attention on the step in which CO<sub>2</sub> is reduced to Pt-CO<sub>ads</sub>. In this step, CO<sub>2</sub> adsorption on the Pt electrocatalyst is assumed to occur as a pre-reaction; then, the adsorbed CO<sub>2</sub> should become the CO<sub>ads</sub>. However, to date, the CO<sub>2</sub> adsorption process on Pt has not been clarified although there are some reports.<sup>22–26</sup> For example, CO<sub>2</sub> reduction process is successfully explained as proton-coupled electron transfer steps for Cu catalyst,<sup>27</sup> but not for Pt catalyst.<sup>25</sup> In this study, the investigation of CO<sub>2</sub> adsorption on Pt crystals in the presence of H<sub>2</sub>O was conducted using computational chemistry methods.

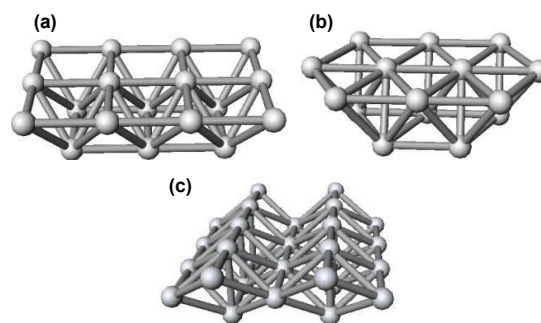


Fig. 1 Computationally calculated Pt clusters: (a) Pt<sub>18</sub>(100), (b) Pt<sub>15</sub>(111), and (c) Pt<sub>28</sub>(110).

<sup>a</sup> Department of Materials Science and Technology, Graduate School of Engineering, Nagaoka University of Technology, 1603-1 Kamitomioka, Nagaoka, Niigata 940-2188, Japan

E-mail: mumed@vos.nagaokaut.ac.jp, solgel2@vos.nagaokaut.ac.jp

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## Results and discussion

### Analysis of CO<sub>2</sub> adsorption on Pt crystal clusters

Fig. 1 shows the calculated Pt clusters. First, we performed structural optimization and vibrational calculations after placing a CO<sub>2</sub> molecule near the Pt clusters. In the optimizations of the Pt (100) and (111) faces, which have a high surface atomic density ( $1.3 \times 10^{15} \text{ cm}^{-2}$  for Pt (110) and  $1.5 \times 10^{15} \text{ cm}^{-2}$  for Pt (111)), as shown in Figs. 1a and 1b, respectively, CO<sub>2</sub> adsorption was not observed. This could be because the interaction between CO<sub>2</sub> and Pt is quite weak. In contrast, a structure with adsorbed CO<sub>2</sub> was obtained for the Pt (110) cluster (Fig. 1c) whose surface atomic density was calculated to be  $9.2 \times 10^{14} \text{ cm}^{-2}$ . This trend agrees well with experimental data for CO<sub>2</sub>-reduction activity of each face.<sup>28,29</sup> However, the value of  $\Delta G_{\text{ads}}$ , which is defined in the Computational details, was calculated to be +49.2 kJ/mol for Fig. 1c, indicating that the adsorption of CO<sub>2</sub> alone on a Pt catalyst is difficult. Because a crystal-face dependence of CO<sub>2</sub> adsorption was observed, we employed the Pt (110) cluster (Fig. 1c) in the subsequent calculations.

Fig. 2 shows the effect of adsorbed H<sub>2</sub>O molecules (H<sub>2</sub>O<sub>ads</sub>) on CO<sub>2</sub> adsorption to the Pt (110) cluster. The calculations were performed using 0, 1, 2, 3, and 4 H<sub>2</sub>O molecules. It should be noted that  $\Delta G_{\text{ads}}$  becomes negative on the introduction of H<sub>2</sub>O<sub>ads</sub>. Furthermore, as the number of H<sub>2</sub>O<sub>ads</sub> increases,  $\Delta G_{\text{ads}}$  becomes more negative. In the case of 4 H<sub>2</sub>O<sub>ads</sub>,  $\Delta G_{\text{ads}}$  was calculated to be -147 kJ/mol. However,  $\Delta G$  for the H<sub>2</sub>O-adsorbed state without CO<sub>2</sub> adsorption is more negative than the value  $\Delta G_{\text{ads}}$  shown in Fig. 2. For example, the value of  $\Delta G$  for four adsorbed H<sub>2</sub>O molecules without CO<sub>2</sub> adsorption was calculated to be around -180 kJ/mol. Furthermore, the results indicate that CO<sub>2</sub> does not adsorb to Pt having three or four adsorbed H<sub>2</sub>O molecules, possibly because of the steric hindrance. Therefore, CO<sub>2</sub> adsorption to the Pt surface does not occur spontaneously. Considering the differences from the previous experimental research,<sup>12-14</sup> we suggest that H<sup>+</sup> adsorption affects the adsorption of CO<sub>2</sub> on Pt.

### Effect of H<sub>3</sub>O<sup>+</sup> on CO<sub>2</sub> adsorption

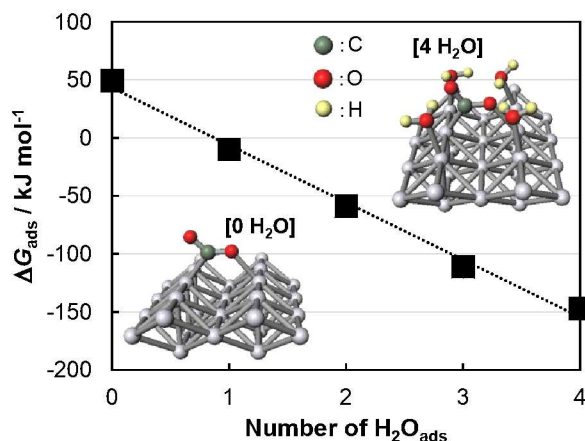


Fig. 2 Influence of the number of adsorbed H<sub>2</sub>O molecules on  $\Delta G_{\text{ads}}$  for CO<sub>2</sub> adsorption at Pt (110). Inserted models show the CO<sub>2</sub>-adsorbed states with 0 and 4 H<sub>2</sub>O<sub>ads</sub>.

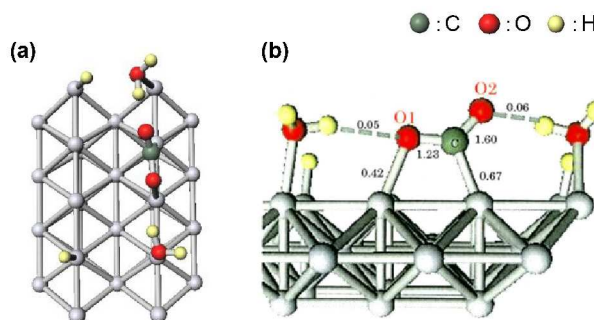


Fig. 3 (a) The most stable CO<sub>2</sub>-adsorbed state and (b) bond orders.

Assuming that two molecules of H<sub>3</sub>O<sup>+</sup> are adsorbed on the Pt (110) surface, we next performed structural optimization and vibrational calculations with six adsorption patterns in consideration of cluster symmetry. As a result, (see Fig. S1 in the Electronic Supplementary Information (ESI)<sup>†</sup>), we found that two molecules of H<sub>3</sub>O<sup>+</sup> were separated into two H atoms and two H<sub>2</sub>O molecules adsorbed on Pt (110). The values of  $\Delta G$  for all six adsorption structures were negative (around -160 kJ/mol), which indicates that the adsorption of H<sub>3</sub>O<sup>+</sup> onto Pt (the formation of H<sub>ads</sub> and H<sub>2</sub>O<sub>ads</sub>) occurs spontaneously.

Subsequently, the structural optimization of nine adsorption patterns was conducted after placing a CO<sub>2</sub> molecule on the empty site of the H<sub>3</sub>O<sup>+</sup>-adsorbed Pt surface. In consequence, six cross-linked adsorption patterns of CO<sub>2</sub>, such as that shown in Fig. 3a (the other five patterns are shown in Fig. S2 and Table S1 in the ESI<sup>†</sup>), whose  $\Delta G_{\text{ads}}$  were calculated to be around -160 kJ/mol, were obtained. Three patterns for non-adsorbed CO<sub>2</sub> were also obtained, as shown in Fig. S3 in the ESI<sup>†</sup>. Significantly, there is almost no difference in the  $\Delta G$  values of the CO<sub>2</sub>-adsorbed state (Figs. 3a and S2) and  $\Delta G$  for the CO<sub>2</sub> non-adsorbed state (Fig. S3). When the Boltzmann distribution was analyzed using the  $\Delta G$  values obtained from the vibrational calculations, the abundance ratios of all nine adsorption patterns were found to be almost the same. Therefore, CO<sub>2</sub> adsorption on the Pt surface proceeds spontaneously. In the CO<sub>2</sub>-adsorbed states, systems where CO<sub>2</sub> is adsorbed next to H<sub>2</sub>O<sub>ads</sub> are stable.

Fig. 3b shows the results of the calculation of the bond order in the CO<sub>2</sub>-adsorbed state depicted in Fig. 3a. One reason why the CO<sub>2</sub> adsorption to Pt occurs spontaneously could be the hydrogen bonds occurring between the oxygen atom of CO<sub>2</sub> and the hydrogen atom of H<sub>2</sub>O, as shown in Fig. 3b. These hydrogen bonds stabilize the CO<sub>2</sub> adsorbed on Pt. Remarkably, Fig. 3b also reveals that the bond orders for both of C=O1 (1.23) and C=O2 (1.60) are less than 2. In other words, adsorption on Pt increases the bond length of the C=O bonds of the adsorbed CO<sub>2</sub>, which could induce a cleavage (yielding CO<sub>ads</sub>). Based on the experimental analysis,<sup>14,15</sup> CO<sub>2</sub> is reduced to Pt-CO<sub>ads</sub>. However, the C=O bond orders are much higher than the bond orders of O-Pt (0.42), as shown in Fig. 3b. Hence, it is suggested that the cleavage of C=O bond does not occur without the participation of further e<sup>-</sup> or H<sup>+</sup>. Although the mechanism of transformation of adsorbed CO<sub>2</sub> to CO<sub>ads</sub> on the Pt surface is not known in detail,

further investigation, such as the study of  $H_{ads}$  migration, should yield significant clues concerning the subsequent reaction processes.

**Table 1** Mulliken charges of each molecule for  $CO_2$  non-adsorbed state (Fig. S3a) and  $CO_2$ -adsorbed state (Fig. 3a).

	Mulliken charge	
	Non-adsorbed $CO_2$	Adsorbed $CO_2$
Pt	-0.690	-0.234
H	-0.022	-0.051
H	-0.022	-0.052
$H_2O$	0.271	0.239
$H_2O$	0.280	0.290
$CO_2$	0.095	-0.398

### Mulliken population analysis

To understand the state of the bonds in the system with  $CO_2$  adsorbed on Pt in detail, Mulliken population analysis<sup>30</sup> was conducted for both adsorbed and non-adsorbed  $CO_2$  (Figs. 3a and S3a) states. The results are listed in Table 1. The charges of the two  $H_2O_{ads}$  are partially positive, and the charges of Pt and two  $H_{ads}$  are partially negative in both states; thus, charge rearrangement between  $H_2O_{ads}$ , Pt, and  $H_{ads}$  is confirmed. It should be noted that the Mulliken charge of  $CO_2$  is partially negative for the adsorbed  $CO_2$ , whereas it is partially positive for non-adsorbed  $CO_2$ . Therefore, charge rearrangement occurs not only for  $H_2O_{ads}$ , Pt, and  $H_{ads}$  but also for adsorbed  $CO_2$  in the  $CO_2$  adsorption process, which is indicative of electron donor–electron acceptor interactions between the adsorbed  $CO_2$ , Pt,  $H_{ads}$ , and  $H_2O_{ads}$  in the  $CO_2$  adsorption process. Consequently, this charge rearrangement interaction stabilizes the  $CO_2$  adsorbed on Pt.

### Computational details

All calculations in this study were conducted with Becke's three-parameter hybrid exchange functional with Lee–Yang–Parr correlation functional (B3LYP) as implemented in Gaussian 09. The LANL2DZ<sup>31</sup> basis set was used for Pt, whereas the 6-31G\*\*<sup>32</sup> basis set was used for H, C, and O. The charge of  $H_3O^+$  molecules was specified in the input file as the charge of the whole system. Molecular models were created using the Winmostar<sup>TM</sup> (X-Ability Co., Ltd., Tokyo, Japan). We performed structural optimization and vibrational calculations for all models to calculate the Gibbs free energy of adsorption ( $\Delta G_{ads}$ ), which is defined by the following equations.

$$\Delta G_{ads} = \Delta G \text{ (after adsorption)} - \Delta G \text{ (before adsorption)} \quad (2)$$

$$\Delta G \text{ (before adsorption)} = \Delta G \text{ (adsorbed)} + \Delta G \text{ (Pt cluster)} \quad (3)$$

$$\Delta G = \Delta H - T\Delta S \quad (4)$$

Here,  $\Delta H$  is the enthalpy,  $T$  is the temperature (298.15 K), and  $\Delta S$  is the entropy.  $\Delta S$  was estimated considering the molecular vibration with the translation and rotation of the system. In the

case of the calculation of the interatomic bond order, we used Natural Bond Orbital (NBO) analysis.<sup>33</sup> Note that we employed a two-layer Pt (110) cluster to obtain energies close to those of the experimental system. The calculation for the two-layer type of Pt (110) cluster was performed using the HA800tc/HT210 supercomputer (Research Institute for Information Technology, Kyushu University, Japan).

### Conclusions

With the objective of identifying the  $CO_2$  adsorption process on Pt crystals, we performed structural optimization and vibration calculations for each Pt model in the presence of  $CO_2$ ,  $H_2O$ , and  $H_3O^+$ . The following conclusions are drawn.

- (1) The adsorption of  $CO_2$  alone on Pt does not occur.
- (2) Although  $\Delta G$  for  $CO_2$  adsorption ( $\Delta G_{ads}$ ) is positive without  $H_2O_{ads}$ ,  $\Delta G_{ads}$  becomes negative on the introduction of  $H_2O_{ads}$  onto the Pt (110) cluster.
- (3) The adsorption of  $H_3O^+$  onto Pt, which forms Pt-H and Pt- $H_2O$ , occurs spontaneously.
- (4)  $CO_2$  adsorption on Pt crystals is spontaneous in the presence of Pt- $H_2O$  and Pt-H.
- (5) A hydrogen bond is formed between  $H_2O$  and  $CO_2$  both adsorbed on Pt.
- (6) Charge rearrangement interactions between adsorbed  $CO_2$ , Pt,  $H_{ads}$ , and  $H_2O_{ads}$  occur in the  $CO_2$  adsorption process.

These findings will assist in the strategic design of electrochemical processes for the conversion of  $CO_2$  into useful compounds using Pt electrocatalysts, although further investigation, such as the elucidation of the mechanism of the reaction process from the adsorbed  $CO_2$  to  $CO_{ads}$ , is necessary.

### Conflicts of interest

There are no conflicts to declare.

### Acknowledgement

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**Table of Contents Entry**

Computational chemistry reveals that CO<sub>2</sub> is spontaneously adsorbed on a Pt (110) crystal  
in the presence of H<sub>2</sub>O<sub>ads</sub> and H<sub>ads</sub>.

