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# Ab Initio Molecular Dynamics Study of Sodium NMR Chemical Shifts in the Methylamine Solution of $[\text{Na}^+ [2.2.2]\text{cryptand Na}^-]$

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**Abstract:** The sodium anion ( $\text{Na}^-$ ) was once thought to behave like a ‘genuine’ anion, with both the  $[\text{Ne}]$  core and the 3s valence shell interacting very weakly with their environments. In the present work, following a recent study of the surprisingly small quadrupolar line widths of  $\text{Na}^-$ , NMR shielding calculations were carried out for the  $\text{Na}^-/\text{Na}^+ [2.2.2]\text{cryptand}$  system solvated in methylamine, based on ab-initio molecular dynamics simulations, followed by detailed analyses of the shielding constants. The results confirm that  $\text{Na}^-$  does not act like a quasi-free ion that interacts only weakly with its surroundings. Rather, the filled 3s shell of  $\text{Na}^-$  interacts strongly with its chemical environment, but only weakly with the ion’s own core and the nucleus, and it isolates the core from the chemical environment. As a consequence, the  $\text{Na}^-$  ion appears *in NMR experiments* like a free ion.

## 1 Introduction

Alkali metals atoms are strongly reducing, as the loss of the valence electron produces a closed-shell octet. The most famous chemical demonstration of this is the violent reaction of alkali metals with water. Metal-ammonia solutions, in which the alkali valence electrons become solvated, have also attracted significant attention.<sup>1-4</sup> These elements have also generated much interest in regards to the formation of the corresponding negative *alkalide* ions,<sup>5</sup> which have a closed-shell  $ns^2$  valence electron configuration. Exotic chemical species tend to grab the attention of scientists, and therefore alkalide ions and related systems have been studied repeatedly since their existence was first reported.<sup>6-17</sup> Alkali metal solutions can react with cryptands and form salts, crystalline or in solution. For instance, the  $\text{Na}^+/\text{Na}^-$  salt with the 2.2.2 cryptand, viz  $[\text{Na}^+ [2.2.2]\text{cryptand Na}^-]$  has been isolated and characterized in detail by Ceraso et al.<sup>18,19</sup> This system is abbreviated as  $[\text{Na}^+c222\text{Na}^-]$  in the following. The existence of alkalide ions in solutions and solids was established by nuclear magnetic resonance (NMR) spectroscopy,<sup>18-22</sup> allowing the simultaneous identification of both types of ion.

For  $[\text{Na}^+c222\text{Na}^-]$ , the  $^{23}\text{Na}$  NMR spectra have been reported in several studies.<sup>8,19,23,24</sup> The  $\text{Na}^+$  ion is enclosed within the cryptand cage and separated from the solvent, if present, resulting in a chemical shift that is essentially solvent independent. For  $\text{Na}^-$ , the chemical shift is also

essentially independent of solvent, and it has been argued that its observed chemical shifts are almost the same as the ion would have in gas phase.<sup>23</sup> NMR data for other alkali metal anions ( $K^-$ ,  $Rb^-$ , and  $Cs^-$ ) with the [2.2.2]cryptand displayed a stronger sensitivity to the chemical environment.<sup>8,13,19,25</sup> Experimental investigations, with supporting theoretical data, concluded that  $Na^-$  acts as a ‘genuine’ (spherical) anion, i.e. both the [Ne] core and the 3s valence shell interact very weakly with their surroundings.<sup>23,24,26</sup> This conclusion was supported by the extremely narrow observed NMR line widths of  $Na^-$ .  $^{23}Na$  is quadrupolar, which means that deformations of the ion in solution (or in a low-symmetry site in a crystal) should lead to substantial quadrupolar line broadening. The latter is caused by fast nuclear spin relaxation, driven by the interaction of the nuclear quadrupole with an electric field gradient (EFG). An EFG goes along with a non-spherical ion electron density and a low-symmetry arrangement of (partial) charges surrounding the ion.

The curiously small quadrupolar relaxation rates for  $Na^-$  in a methylamine solution of  $[Na^+c222Na^-]$  was studied by us recently.<sup>27</sup> Given that the  $3s^2$  shell of  $Na^-$  is diffuse, and extremely easily polarizable, it seemed to us that the assumption of a spherical  $Na^-$  ion in such a solution cannot be valid. For instance, a visualization from a mixed quantum / classical simulation of  $Na^-$  ion in tetrahydrofuran in ref. 28 appears to show a strongly deformed 3s shell in the ground state. It is important to distinguish here between ‘spherical on average’ vs. ‘genuinely spherical’. The EFG fluctuations and time-autocorrelations in the former case drive the relaxation rates of all mono-atomic ions with quadrupolar nuclei in isotropic solutions. In the previous study, we showed by ab-initio molecular dynamics (aiMD) simulations that the sodium anion gets strongly deformed in the solution, as one might expect. However, its diffuse, filled, 3s shell interacts only weakly with the ion’s own core and the nucleus. This causes the EFG to de-correlate rapidly, which results in slow nuclear relaxation and narrow lines. This led to the conclusion that  $Na^-$  *appears in NMR experiments like a spherical ion*, even though it is actually strongly deformed by the dynamic interactions with the solvent. Some of its electron density may even delocalize into the solvent shell. Dye et al.<sup>19</sup> in 1975 speculated—correctly in hindsight—that the large extension of the 3s shell of  $Na^-$  is largely responsible for the ion’s curious behavior in the NMR experiments.

It remains to connect the experimental observations regarding the  $^{23}Na$  NMR chemical shifts with the ions’ dynamic behavior in solution, and to re-examine the assumption that the  $Na^-$  shift is gas-phase like. To this end, we calculated the nuclear magnetic shielding constants from the same aiMD trajectories that were generated for the quadrupolar relaxation study<sup>27</sup> and, for selected aiMD configurations, we analyzed the NMR shielding tensors in terms of contributions from core and valence shell orbitals. The  $Na^-$  shielding constants were also correlated to close-distance interactions with the solute, to show that solute-solvent collisions have an important influence on the chemical shift of this ion.

## 2 Theoretical and Computational Details

Density functional theory (DFT) based Car-Parrinello aiMD simulations were performed previously<sup>27</sup> with Quantum-Espresso (QE) version 6.0,<sup>29,30</sup> using the Becke, Lee, Yang and Parr (BLYP) functional,<sup>31,32</sup> semi-empirical dispersion corrections,<sup>33</sup> and periodic boundary conditions (cubic cells, 12.71 Å). The BLYP functional along with the dispersion corrections were selected because of their good performance in previous studies.<sup>34–37</sup> The temperature of 258 K in the simulations was the same as in the NMR experiments. Fifteen independent trajectories were spawned, each with a total production time around 20 ps, which was long enough to capture the dynamics as far as the NMR relaxation is concerned.<sup>27</sup> NMR nuclear magnetic shielding tensors were newly calculated for the present work for 140 evenly spaced ‘snapshot’ configurations in each of the 15 trajectories, using the gauge-including projector-augmented wave (GIPAW) module of QE version 6.4.1,<sup>29,38</sup> the Perdew-Burke-Ernzerhof (PBE) functional,<sup>39</sup> and non-relativistic, small-core pseudopotential for Na (with the 2s and 2p shells of Na treated in the valence space, not in the core). Schwartz et al.,<sup>17</sup> in mixed quantum / classical simulations of solvated sodide, emphasized the importance of explicit quantum mechanical treatment of the outer electrons. Zurek et al. showed that GIPAW calculations are effectively at the basis set limit for chemical shifts.<sup>40</sup> A previous benchmark<sup>41</sup> for molecules has shown that the functional chosen for the NMR calculations, PBE, performs almost as well as the hybrid variant PBE0, and noticeably better than commonly used other hybrid functionals. For the period boundary calculations, a hybrid functional would be too demanding on computational resources in any case, and therefore PBE is a good compromise for NMR calculations. Due to the high demand of the QE NMR calculations on computational resources, we also used the CASTEP program (version 19.11)<sup>42</sup> for extensive convergence tests of the GIPAW shielding running averages,<sup>43,44</sup> with comparable technical settings, except that ultra-soft pseudopotentials were generated on the fly (784 eV energy cutoff) using the CASTEP algorithms.

Additional nuclear magnetic shielding calculations were carried out with non-periodic boundary conditions, using the Amsterdam Density Functional (ADF) suite,<sup>45</sup> the PBE functional, and all-electron Slater type orbital (STO) basis sets. For comparison, calculations were also performed with the PBE0<sup>41</sup> hybrid functional (25% exact exchange). A triple- $\zeta$  polarized basis (TZP) was used for Na<sup>+</sup>. For Na<sup>-</sup>, TZP was augmented with diffuse functions (ATZP). For other elements, a double- $\zeta$  polarized basis (DZP) was selected. Test calculations with a quadruple- $\zeta$  quadruply polarized basis (QZ4P) for the sodium ions were also performed for comparison, showing negligible differences with TZP. The data are provided in the Supplementary Information (SI). Additional calculated data, also shown in the SI, demonstrate quite good agreement between the sodium shielding constants obtained with PBE and PBE0, further validating the computational model.

Finite  $[\text{Na}^+\text{c}222\text{Na}^-]$  – methylamine clusters of varying size were extracted from the MD trajectories. The conductor-like screening model (COSMO)<sup>46</sup> with a dielectric constant for methylamine (9.4) was used to describe bulk solvent effects. The NMR shielding tensors were analyzed<sup>47</sup> in terms of contributions from natural bond orbitals (NBOs) or natural localized molecular orbitals (NLMOs) generated with the NBO 6.0 program.<sup>48</sup> Six snapshots from the aiMD simulations were selected for these analyses.

For brevity, we refer to the shielding calculations with the QE and ADF program by the acronyms PAW and STO, respectively, to reflect the main technical differences. Several types of sampling errors, and the approximations in the electronic structure calculations, influence the results. One concern is the number of MD configurations, and their time spacing, used to compute the trajectory-averaged shielding constants. Related data are gathered in Tables S1, S2, and S3 in the SI. A sampling of 140 configurations for each 20 ps production trajectory produces the sodium shielding constants with just under 1 ppm standard error in the 15-trajectory average for  $\text{Na}^-$ . For several of the individual trajectory averages, the standard error in the  $\text{Na}^-$  shielding is between 1 and 2 ppm. As Tables S1 and S2 show, the averaged shielding constants did not change significantly when going from 140 to a much larger number of configurations. Therefore, the value of 140 chosen for the full set of trajectories appears to be a good compromise.

### 3 Results and Discussion

It is worthwhile to discuss, first, the experimental data for the  $[\text{Na}^+\text{c}222\text{Na}^-]$  – methylamine system at 258 K, reported by Dye et al.<sup>19</sup> in 1975. Let  $\Delta\sigma = \sigma(\text{Na}^-) - \sigma(\text{Na}^+)$ . The chemical shift of  $\text{Na}^+$ , with  $\text{Na}^-$  being the reference, is  $\delta = [\sigma(\text{Na}^-) - \sigma(\text{Na}^+)]/[1 - \sigma(\text{Na}^-)]$ . Given that the absolute shielding of light elements such as sodium is small, hence the unit of ppm, one can set the denominator equal to one, and therefore  $\delta = \Delta\sigma$ . Dye et al. used a difference of 2.6 ppm between the absolute shielding constants for isolated (‘gas phase’)  $\text{Na}^-$  and  $\text{Na}^0$ , from nonrelativistic Hartree-Fock (HF) theoretical data of ref. 49, and listed the value as the relative shielding for  $\text{Na}^-$  with respect to the neutral atom in their data collection. In the same table column, experimental relative shielding constants of  $-49.8$  ppm for  $\text{Na}^+$  and  $+1.4$  ppm for  $\text{Na}^-$  were provided for the cryptand - methylamine system. This gives  $\delta = \Delta\sigma = 51.2$  ppm for the experiment. Dye et al. further provided a value of  $-60.5 \pm 1$  ppm for  $\text{Na}^+(\text{aq})$  in infinite dilution, relative to the neutral Na atom in its  $^2S_{1/2}$  ground state in gas phase. This value was reported by Beckmann et al. in 1974,<sup>50</sup> and determined from a combination of molecular beam data for  $\text{Na}^0$ , NMR frequency ratios for  $\text{Na}^+(\text{aq})$  and  $^2\text{H}$ , and other data such as a theoretical value of the electron  $g$  factor of the hydrogen atom. Dye et al. also used a  $\text{Na}^+(\text{aq})$  reference in the NMR experiments. Therefore, most likely, the 60.5 ppm from Beckmann et al. were subtracted from the experimental chemical shifts to ar-

rive at the reported shielding constants relative to gas phase  $\text{Na}^0$ . The thus derived experimental relative shielding of +1.4 ppm for  $\text{Na}^-$  in the solvated cryptand system is indeed almost identical to the calculated 2.6 ppm shielding difference from ref. 49. We will return to the discussion of the shielding constants later.

Table 1 provides the 15-trajectory averages of the shielding constants and  $\delta$ , and data for the trajectory with the smallest and the largest average chemical shift, respectively, obtained from the PAW calculations. Table S3 provides the data for all individual trajectories. The overall average is  $\delta = \Delta\sigma = 42$  ppm. Although this calculated result underestimates the experimental value somewhat, it can be considered satisfactory. [We note in passing that the GIPAW results that we obtained with CASTEP consistently underestimate the ions' average shielding per trajectory (as well as in the free ions), but more so for  $\text{Na}^+$  than for  $\text{Na}^-$ .] The individual trajectory averages for  $\delta$  range from 29 to 54 ppm. The shifts are between 44 and 54 ppm for the majority of trajectories, i.e., close to the experiment. However, several trajectories produce a very low shift, around 30 ppm, decreasing the total average. A comparison between the chemical shifts and the calculated quadrupolar line widths of  $\text{Na}^-$  and  $\text{Na}^+$  of ref. 27 shows that the trajectories with a low chemical shift also produce comparatively large line widths for  $\text{Na}^-$  (see Table S3), whereas the large chemical shifts correlate with small line widths. This seems to indicate a common underlying mechanism.

Figure 1 shows the instantaneous shielding in two of the individual simulations, MD-01 and MD-14, which were chosen as representatives of the simulations with small and large chemical shifts, respectively. For the encapsulated  $\text{Na}^+$  ion, the shielding oscillates between about 560 and 585 ppm in both simulations. The shielding averages are 578 and 572 ppm for MD-01 and MD-

Table 1: Sodium nuclear magnetic shielding constants<sup>[a]</sup> (ppm), standard errors (in parentheses) and chemical shifts. For further details, see Table S3.

MD	Ion	$\sigma$	$\delta = \Delta\sigma$
8	$\text{Na}^-$	621.7 (0.6)	53.6
	$\text{Na}^+$	568.1 (0.4)	
13	$\text{Na}^-$	607.8 (1.3)	29.1
	$\text{Na}^+$	578.7 (0.4)	
Average (15 traj.)	$\text{Na}^-$	618.2 (0.9)	42.2
	$\text{Na}^+$	576.1 (0.4)	

<sup>[a]</sup> PAW/GIPAW calculations, 258 K. Average over 15 aiMD trajectories. The data for the trajectory with the smallest and largest chemical shift, respectively, are also given. Chemical shift of  $\text{Na}^+$  with respect to  $\text{Na}^-$  as reference. Simulations cells contained  $[\text{Na}^+\text{c}222\text{Na}^-]$  and 24 methylamine molecules. Shielding constants were computed for 140 snapshot clusters from the aiMD simulations. All values are given in ppm. The experimental chemical shift is 51.2 ppm.<sup>19</sup>

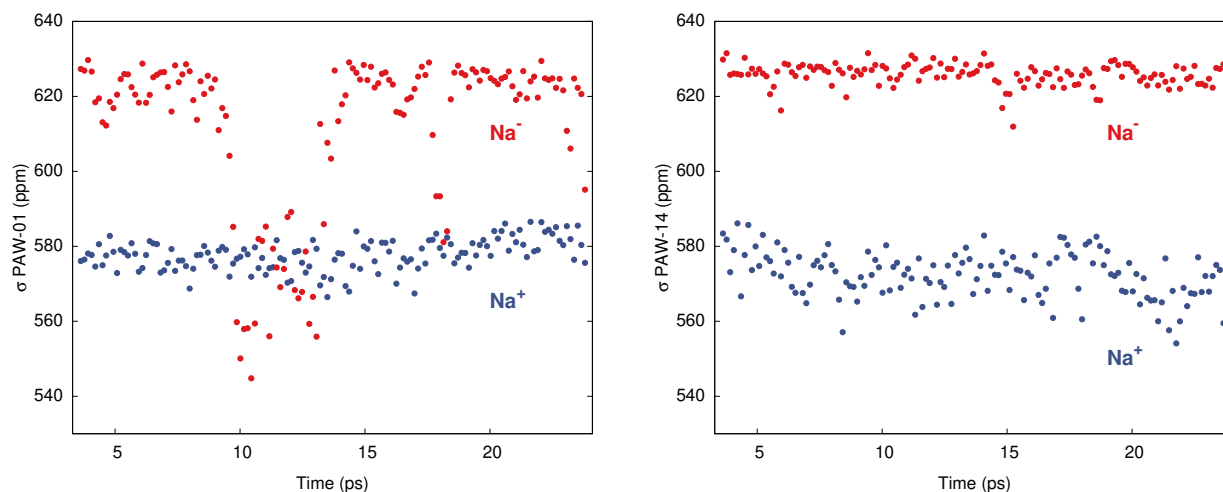


Figure 1: Shielding constants (PAW simulations) for  $\text{Na}^-$  and  $\text{Na}^+$  along two of the trajectories: MD-01 (left) and MD-14 (right).

14, respectively, with small standard errors of 0.4 to 0.5. For  $\text{Na}^-$ , the MD simulations display two distinct regimes instead, which are both represented in Figure 1. For the trajectories with a large chemical shift, the instantaneous  $\text{Na}^-$  shielding remains relatively steady, i.e., without strong fluctuations. This is exemplified by the data from MD-14 in Figure 1, which lead to an averaged chemical shift of 53.5 ppm, close to the experiments. Conversely, trajectories that give a small shift exhibit very strong fluctuations in the shielding constants over short periods of time, as seen in the MD-01 plot in Figure 1.

The underestimation of the averaged chemical shift  $\delta$  between  $\text{Na}^+$  and  $\text{Na}^-$  in the calculations is tentatively attributed to an underestimation of the absolute shielding of  $\text{Na}^-$  in the MD simulations, on average, due to an over-sampling of events that lead to the large drops of the  $\text{Na}^-$  shielding constant exemplified by MD-01 in Figure 1. In turn, this is related to the necessary approximations in the computations, among which are limitations in the simulation box dimensions, finite simulation times, and the description of the inter-atomic potentials by the chosen functional. Test calculations performed with a hybrid functional PBE0 in the STO calculations indicated that the PBE functional used for the NMR trajectory averages is likely not the main cause in the somewhat too low calculated shift (SI, Tables S6, S8 and S14).

Structural and dynamic analyses were performed to rationalize the strong drops of the  $\text{Na}^-$  shielding in some of the trajectories. For instance, the strong shielding fluctuations clearly correlate with strong fluctuations of the EFG along the simulation (see Figure S6). This explains, in part, why the trajectories with a small  $\delta$  produce large quadrupolar line widths, as the latter is proportional to the variance of the EFG tensor components. A very clear picture emerges when the instantaneous shielding is plotted together with the distance between the  $\text{Na}^-$  ion and the nearest

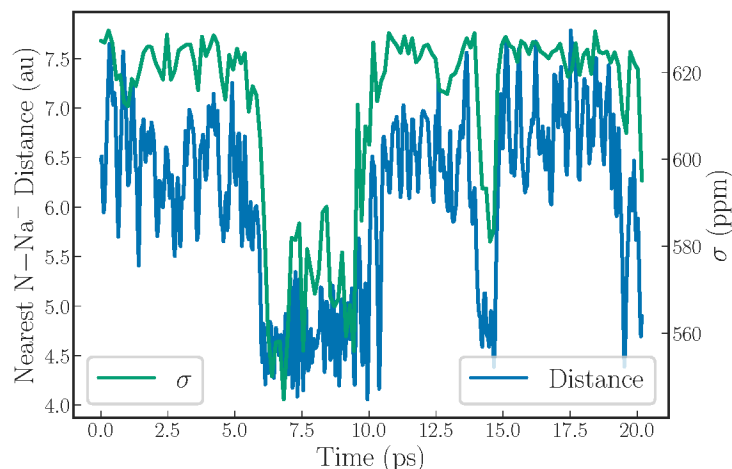


Figure 2: Nearest N-Na<sup>-</sup> distance plotted alongside <sup>23</sup>Na nuclear magnetic shielding for the sodium anion along the MD-01 trajectory.

nitrogen from the methylamine solvent, as shown in Figure 2 for MD-01. Low shielding constants reflect short N-Na<sup>-</sup> distances. Evidently, close contacts with the solvent molecules cause the strong decrease of the Na<sup>-</sup> shielding, leading to the low chemical shift for the trajectory average. Likewise, these close solvent-solute contacts correlate directly with the strong variations in the EFG tensor components, as shown in Figures S7 and S8.

We note that the <sup>2</sup>S<sub>1/2</sub> state of the Na atom has an unpaired electron spin in the 3s shell, which may therefore cause a paramagnetic (pNMR) contribution to the NMR shielding,<sup>51</sup> in addition to the usual ‘orbital’ shielding. For not too low temperature *T*, the pNMR contribution is proportional to 1/*T* for a system in thermal equilibrium. It is unlikely that the pNMR formalism is transferable to the conditions in the molecular beam experiments of Beckmann et al.<sup>50</sup> In any case, our understanding of the procedure used by Beckmann et al. is that the reported shielding difference for Na<sup>+</sup>(aq) versus Na<sup>0</sup> is to be understood mostly, although perhaps not exactly, as the difference in the orbital shielding. For a spherical ion or atom, the orbital shielding depends only on the ground state electron density, and this is the shielding obtained in the HF calculations of ref. 49. Therefore, the conclusion drawn by Dye et al., that the shielding of solvated Na<sup>-</sup> is very similar to that of the free ion, appears to be mostly correct.

Table 2 collects calculated orbital shielding constants for the isolated ions Na<sup>+</sup> and Na<sup>-</sup> (PBE/STO), and their breakdown into contributions from different atomic shells according to NBO analyses. Additional calculated data in Table S7 shows that free ion calculations with PAW, using large simulation cells that only contain Na<sup>+</sup> or Na<sup>-</sup>, give near-perfect agreement with the STO calculations, with deviations not exceeding 0.4 ppm. Our DFT results are also very similar to the old HF results from ref. 49. The minor differences are due to the slightly different electron



densities and the consideration of scalar relativistic effects in the DFT calculations. Comparisons between STO and PAW calculations for one of the cryptand - methylamine trajectories in Figure 3 show somewhat larger deviations compared to the free ions, which we attribute mainly to the approximation of the system in the STO calculations as finite clusters embedded in a polarizable continuum. However, the agreement between the two sets of data is sufficiently good such that an analysis of the STO results can provide meaningful insight into the NMR shielding constants of the two ions.

According to our calculations, the gas phase orbital shielding of  $\text{Na}^0$  is 52.5 ppm higher than the aiMD averaged shielding of  $\text{Na}^+$  in the cryptand - methylamine system. According to the experiments by Dye et al.,  $\text{Na}^+$  in the cryptand is 10.5 ppm more shielded than  $\text{Na}^+(\text{aq})$  in infinite dilution. This places the shielding of  $\text{Na}^+(\text{aq})$  63 ppm below the orbital shielding of  $\text{Na}^0$ , which is in good agreement with the  $60.5 \pm 1$  ppm estimate from Beckmann et al. This lends further support to the assumption that the error in the calculated chemical shift is mainly due to the aiMD average of the  $\text{Na}^-$  shielding being too low, most likely as a result of over-sampling the negative spikes in the instantaneous shielding when close solvent-solute contacts take place. (This does not preclude other systematic errors that affect all calculated shielding constants in the same way.)

For the free  $\text{Na}^-$  ion, the calculations predict a shielding of 632 ppm. The aiMD average for the cryptand system is 618 ppm. Assuming that all of the deviation between the calculated and the experimental chemical shift is indeed due to an underestimation of the  $\text{Na}^-$  shielding, then a corrected aiMD average  $\text{Na}^-$  shielding would be 10 ppm higher, about 628 ppm. This is indeed

Table 2:  $^{23}\text{Na}$  NMR shielding analysis for the free sodium ions.<sup>[a]</sup>

Ions	$\text{Na}^-$	$\text{Na}^+$
Isotropic Shielding Tensor analysis		
1s + 2s	442.1	441.8
2p	181.1	181.5
3s	8.4	0.0
2p + 3s	189.5	181.5
Diffuse <sup>[b]</sup>	0.0	0.0
Other <sup>[c]</sup>	0.0	0.0
$\Sigma$ analysis <sup>[d]</sup>	631.6	623.3
Total calcd.	631.7	623.3
Chemical shift	8.4	

<sup>[a]</sup> PBE STO calculations. All shielding data in ppm. Shielding tensor contributions from Na 1s, Na 2s, Na 2p, Na 3s, diffuse Na centered NBOs, and contributions from other atoms are listed. The calculated ‘orbital’ shielding for  $\text{Na}^0$  is 628.6 ppm. <sup>[b]</sup> Sum of contributions from diffuse Na-centered NBOs (‘Rydberg’ NBOs). <sup>[c]</sup> Sum of contributions from other atoms. <sup>[d]</sup> Sum of all NBO contributions with a print threshold above 1% of the total shielding.

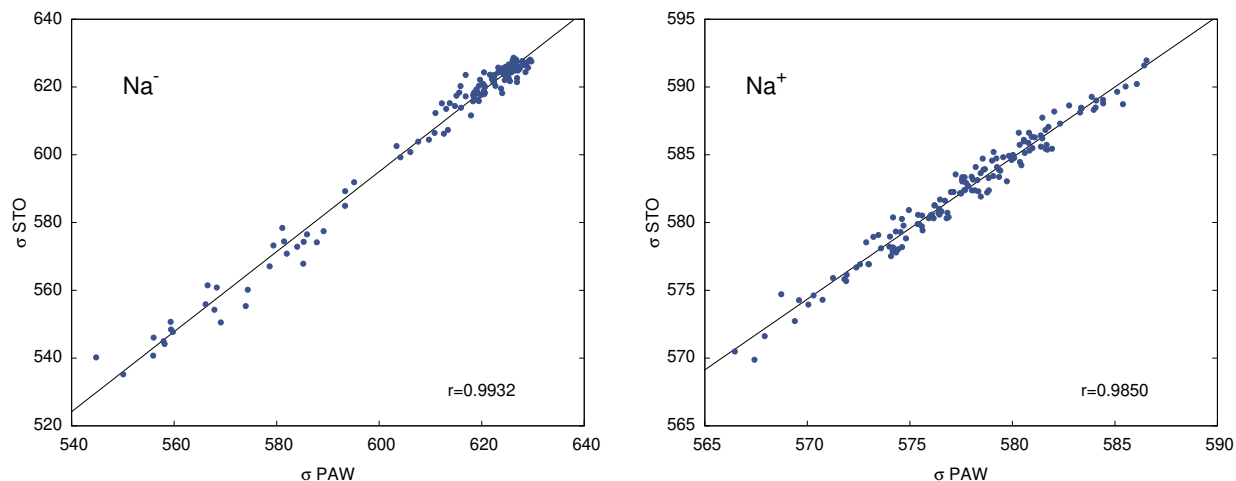


Figure 3: Shielding constants (ppm) at  $^{23}\text{Na}^-$  and  $^{23}\text{Na}^+$  nuclei for one of the trajectories. PAW vs. STO calculations. The straight line indicates where PAW = STO. Correlation coefficients  $r$  are given inside of the plot panels.

very close to the gas phase shielding. However, as we already demonstrated in the EFG study, the ion is not genuinely spherical, and as shown in Figure 1 there can be strong fluctuations of the  $\text{Na}^-$  shielding as a function of time. This is likely true for the real system, too, except less frequently or less severe.

For comparison with the free ion data of Table 2, Table 3 provides an NBO analysis of the isotropic shielding of selected trajectory snapshots of the  $[\text{Na}^+\text{c}^{222}\text{Na}^-]$ -methylamine system. Due to its time-consuming nature, the analysis was limited to six representative configurations chosen as follows: three configurations with a chemical shift around 45 ppm, representing the trajectories that give average shifts close to the experiment, and three of the configurations with very low  $\text{Na}^-$  shielding constants. The latter snapshots were randomly taken from the 10–15 ps production interval of MD-01. The following data extracted from the NBO calculation are additionally provided in the table to help with the interpretation: the population of the sodium 3s NBOs, the composition of the 3s NLMO of  $\text{Na}^-$  in terms of Na-centered contributions from p and d angular momenta and the total weight from its ideally localized ‘parent NBO’, and the total ion electron count from the natural population analysis.

The low ‘parent’ NBO weights in the 3s NLMO of  $\text{Na}^-$ , especially in the low-shielding snapshots 4–6, indicate delocalization of the 3s electron density onto the solvent, which we noted already in our previous EFG study.<sup>27</sup> The 3s delocalization goes along with a formal electron count for the anion significantly below the formal 12. The delocalization, and the low  $\text{Na}^-$  shielding values, also go along with a significant polarization of the 3s shell, as evidenced in particular by the weights of p angular momenta. The 3s delocalization and polarization show up in visibly

deformed and delocalized isosurfaces, as seen in Figures S4 and S5. On the contrary, the natural population analysis ascribes a minor degree of electron donation from the cryptand to the

Table 3:  $^{23}\text{Na}$  NMR shielding analysis and NBO data for selected trajectory snapshots.<sup>[a]</sup>

Snapshot #	1	2	3	4	5	6
$\text{Na}^-$ Isotropic Shielding Tensor analysis						
1s + 2s	441.7	441.7	441.7	441.7	441.7	441.7
2p	178.7	179.3	177.7	169.6	139.4	157.8
3s	9.0	7.4	8.5	-33.7	-24.3	-17.4
2p + 3s	187.7	186.7	186.2	135.9	115.1	140.4
Diffuse <sup>[b]</sup>	0.0	0.0	0.0	5.4	16.8	0.0
Other <sup>[c]</sup>	0.0	0.0	0.0	-31.5	-23.0	-10.5
$\Sigma$ analysis <sup>[d]</sup>	627.8	628.4	628.0	551.5	550.6	571.6
Total calcd.	626.2	623.8	623.6	554.3	543.9	564.2
$\text{Na}^+$ Isotropic Shielding Tensor analysis						
1s + 2s	441.8	441.8	441.8	441.8	441.8	441.8
2p	161.9	162.3	171.4	163.9	153.0	160.0
3s	0.0	-7.9	10.2	-33.7	0.0	0.0
2p + 3s	161.9	154.5	181.6	163.9	153.0	160.0
Diffuse <sup>[b]</sup>	-8.0	-6.4	-10.9	-9.2	-7.8	-5.9
Other <sup>[c]</sup>	0.0	0.0	-6.6	0.0	-12.9	-8.7
$\Sigma$ analysis <sup>[d]</sup>	595.6	589.8	605.8	596.5	574.1	587.1
Total calcd.	585.7	589.0	583.3	585.1	578.2	578.9
$^{23}\text{Na}$ NMR shift <sup>[e]</sup>						
STO	40.5	34.8	40.3	-30.8	-34.3	-14.7
PAW	45.0	40.7	48.3	-21.29	-18.17	-15.31
NBO populations <sup>[f]</sup>						
$\text{Na}^+$ 3s	0.084	0.087	0.082	0.098	0.095	0.093
$\text{Na}^-$ 3s	1.678	1.680	1.662	1.216	1.315	1.420
Composition of the 3s NLMO of $\text{Na}^-$						
% 3s NBO <sup>[g]</sup>	84.1	84.2	83.5	66.0	68.0	71.9
% p	0.30	0.34	0.58	8.67	3.98	1.31
% d	0.01	0.01	0.02	0.05	0.01	0.01
Total natural populations						
$\text{Na}^+$	10.16	10.15	10.14	10.19	10.18	10.16
$\text{Na}^-$	11.71	11.72	11.70	11.38	11.41	11.47

<sup>[a]</sup> PBE STO calculations. All shielding data in ppm. Shielding tensor contributions from Na 1s, Na 2s, Na 2p, Na 3s, diffuse Na centered NBOs, and contributions from other atoms are listed. <sup>[b]</sup> Sum of contributions from diffuse Na-centered NBOs ('Rydberg' NBOs). <sup>[c]</sup> Sum of contributions from other atoms. <sup>[d]</sup> Sum of all NBO contributions with a print threshold above 1% of total shielding. <sup>[e]</sup>  $^{23}\text{Na}$  NMR chemical shift. PAW and STO calculations in ppm. <sup>[f]</sup> The populations are 1.999 or greater for each of the 2p orbitals. <sup>[g]</sup> Weight of 'parent NBO' 3s in the NLMO. The p and d weights are for the Na-centered part of the NLMO. The weight of s is 98% or higher and not printed individually.

encapsulated  $\text{Na}^+$ , such that 3s has a population of just under 0.1.

For the free ions, the electron density in the 1s, 2s, and 2p shells is similar enough such that the shielding contributions are nearly identical, 442 ppm for 1s+2s, and between 181 to 182 ppm for 2p. The 8.4 ppm diamagnetic shielding from 3s then gives essentially all of the shielding difference between the two ions. These numbers for  $\text{Na}^-$  translate almost un-changed to snapshots 1, 2, and 3 of the cryptand - methylamine system. There is a small reduction of the 2p contribution, which arises from the magnetic-field response of 2p due to the lower-than-spherical instantaneous symmetry of the solvated ion. This so-called ‘paramagnetic’ response typically—as it does here—reduces the absolute shielding, whereas it must vanish for a spherical ion. However, the effects on  $\text{Na}^-$  in snapshots 1–3 are minor. This is attributable to the large radius of 3s, which shields the 2p shell from its surrounding. In these configurations, the ion’s NMR shielding is indeed free-ion like. In comparison, the 2p shell of  $\text{Na}^+$  senses the asymmetric environment inside the cryptand much more strongly, and the de-shielding contributions from 2p and ‘diffuse’ Na orbitals cause most of the lowering of the shielding of  $\text{Na}^+$ . There are also ‘Other’ orbital contributions, which are from NBOs that are centered on cryptand (for  $\text{Na}^+$ ) or solvent (for  $\text{Na}^-$ ) atoms. The appearance of these contributions in the analysis is related to orbital overlap, and partial covalent bonding or antibonding interactions, and seen to contribute to the de-shielding of  $\text{Na}^+$  when the ion gets close to the cryptand atoms.

The most interesting effects appear for the  $\text{Na}^-$  shielding in the ‘close-contact’ snapshots 4–6 of the cryptand - methylamine system. As for the cation, the 1s and 2s shells of  $\text{Na}^-$  remain unpolarized, and their diamagnetic shielding contributions are the same as for  $\text{Na}^+$  and for the free ions. However, there is now a sizable paramagnetic shielding from the 2p shell, caused by the strongly asymmetric environment, an accompanying paramagnetic response from the deformed 3s shell due to its 3p admixture, and large negative contributions from solvent-centered NBOs. The latter are a direct consequence of the close solute-solvent contacts.

## 4 Summary and Conclusions

In conclusion, this work provides the theoretical analysis of the NMR shielding constants of  $^{23}\text{Na}^-$  and  $^{23}\text{Na}^+$  for  $[\text{Na}^+ [2.2.2]\text{cryptand } \text{Na}^-]$  solvated in methylamine, based on aiMD simulations. The calculated chemical shift of  $\text{Na}^+$  with  $\text{Na}^-$  as a reference is comparable with experiments. We already argued in a previous study, focusing on the  $^{23}\text{Na}$  quadrupolar line widths, that the notion of  $\text{Na}^-$  being a genuine spherical ion in this system is untenable. However, as underlined by the present study, even though  $\text{Na}^-$  may become strongly perturbed in the cryptand-methylamine system, its NMR shielding for the majority of configurations is free-ion like. As it was speculated by Dye et al.,<sup>19</sup> we can confirm that the large radial extension of the 3s shell isolates the  $1s^2 2s^2 2p^6$

core from the chemical environment, at least as far as the NMR shift and quadrupolar line broadening are concerned. At the same time, the shielding contribution from 3s itself is relatively small, such that even strong relative variations in this contribution do not translate into a sizable chemical shift. (The data in Table 3 for snapshots 1–3 suggests that variations in the 3s shielding contribution may well end up canceling.) The sharp  $^{23}\text{Na}^-$  NMR signals are likewise caused by the spatial and electronic isolation of the ion's core from its valence shell, such that sizable EFGs—which do appear in  $\text{Na}^-$ —rapidly de-correlate and therefore do not cause line broadening. As we have seen, in some instances in the trajectories, the  $\text{Na}^-$  shielding becomes as strongly perturbed by the solvent as that of  $\text{Na}^+$  in the cryptand, or in aqueous solution. However, these instances are not sufficiently common to change the overall picture and, likely, somewhat over-sampled in our study. In combination with the previous study of the line broadening,<sup>27</sup> we now have a complete picture of the NMR of  $\text{Na}^-$  in the cryptand - methylamine system:  $\text{Na}^-$  does not behave like a quasi-free ion that interacts only weakly with its surroundings. Rather, the filled 3s shell of  $\text{Na}^-$  interacts strongly with its environment, but only weakly with the ion's own core and the nucleus, as far as the NMR parameters are concerned, because the diffuse 3s shell isolates the core spatially and electronically from the environment. Consequently, the  $\text{Na}^-$  ion appears *in NMR experiments* like a free ion.

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## Supplementary Information

NMR shielding data for the individual trajectories, comparisons between different types of calculations, additional free ion data, isosurfaces of selected NBO and NLMO for  $-\text{Na}^-$ , additional NBO analysis data, and additional structural and dynamic analyses.

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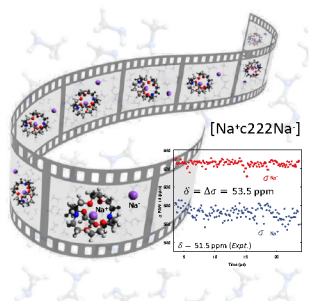
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## Table of Contents Synopsis

# Ab Initio Molecular Dynamics Study of Sodium NMR Chemical Shifts in the Methylamine Solution of $[\text{Na}^+ [2.2.2]\text{cryptand Na}^-]$

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Nuclear magnetic shielding constants for  $\text{Na}^-$  and  $\text{Na}^+$  are computed from a set of ab initio molecular dynamics of  $[\text{Na}^+ [2.2.2]\text{cryptand Na}^-]$  in methylamine. For selected aiMD configurations, the NMR shielding tensors in terms of contributions from core and valence shell orbitals are analyzed. Close-distance interactions with the solute are also considered.