



**Environmental
Science
Nano**

**Performance of Silver Nanoparticle-Impregnated Ovoid
Ceramic Water Filters**

Journal:	<i>Environmental Science: Nano</i>
Manuscript ID	EN-ART-01-2020-000115.R1
Article Type:	Paper

**SCHOLARONE™
Manuscripts**

Performance of Silver Nanoparticle-Impregnated Ovoid Ceramic Water Filters

Zachary J. Shepard, Elizabeth M. Lux, Vinka A. Oyanedel-Craver*

Department of Civil & Environmental Engineering, University of Rhode Island, 2 East Alumni Ave, Kingston, RI, 02881

KEYWORDS: Ovoid ceramic water filters, silver nanoparticles.

Environmental Significance Statement: Ceramic water filters (CWFs) provide a sustainable source of safe drinking water in developing communities around the world. This study explores the performance of a new shape of CWF impregnated with silver nanoparticles in a manner that promotes the sustainable development and use of CWFs.

Abstract: A ceramic water filter (CWF) with curved (ovoid) walls has been developed by Potters without Borders, a nonprofit that provides technical assistance to CWF factories. Here, a modified version of the USEPA testing method was used to evaluate the performance of ovoid CWFs, which have yet to be studied in the literature. Filters with/without silver nanoparticles (AgNPs) were evaluated for bacterial removal, turbidity removal, flow rate, and silver leaching. Log removal values (LRVs) for *Escherichia coli* for AgNP coated CWFs were 9.5-10.9 LRV while uncoated achieved 8.0-9.8 LRV. All the CWFs tested here had flow rates between 0.8 and 1.3 L/h. The turbidity of the influent was reduced by the filters throughout the general and challenge water conditions with removal of 9.1-90.9% and 99.3-99.8%, respectively. Silver-coated CWFs had a higher total effluent silver concentration compared to uncoated (coated CWFs had 74% more total silver leaching on average) and had an increased silver release during the challenge phase (35 ppb) compared to the general phase (13 ppb). The exterior wall coated with AgNPs was shown to leach silver off the ceramic using X-ray photoelectron spectroscopy,

1
2
3 providing evidence that supports the recommendation to coat only the interior wall of CWFs
4 with AgNPs. The procedure demonstrated utility as a reproducible performance testing
5 technique. X-ray diffraction and mercury intrusion porosimetry were used to study the ceramic
6 structure.
7
8
9
10

11 **Introduction:**

12
13
14 Point-of-use (POU) water treatment technologies are recognized for providing low-cost
15 water treatment in developing communities.¹ Ceramic water filters (CWFs) are a type of POU
16 device applied in developing communities because they are manufactured locally, low cost, and
17 provide effective pathogen removal.² Many microorganisms are retained/deactivated by CWFs
18 including (but not limited to) *E. coli*³, *C. parvum*⁴, and MS2 bacteriophages⁵. Interventions with
19 CWFs have reduced diarrheal rates in South Africa (80% reduction), Bolivia (75%), and
20 Colombia (60%) by reducing the pathogenic load in drinking water.^{2,6,7}
21
22
23
24
25
26
27
28
29
30

31 CWF factories have been established across the world with technical assistance provided
32 by Potters without Borders (PWB) and Potters for Peace (PFP), well-established nonprofit
33 organizations.^{8,9} The CWF design utilized most widely in the field incorporates impregnated
34 colloidal AgNPs and was developed by Dr. Fernando Mazariegos in Guatemala, 1981.^{10,11} CWFs
35 are manufactured from locally sourced materials (clay, sawdust, and water) and local
36 infrastructure (kilns, mills, hydraulic presses).^{10,12} Water is added to a mixture of clay and
37 burnout material (usually sawdust or rice husks) and filters are press-formed from this mixture
38 using a mold.^{10,12} After molding, the filters are air dried and fired in a kiln, where peak
39 temperatures can vary from 600-1000°C depending on the clay/burnout material.^{3,10,12} Finally,
40 the CWFs are coated with AgNPs or silver nitrate (AgNO₃), which prevent biofilm growth and
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 provide residual disinfection.^{10,12,13} Coating with AgNPs increases long term performance
4 compared to AgNO₃, but is higher cost and difficult to purchase in developing communities.¹²⁻¹⁴
5
6

7
8 The primary mechanism for microbiological removal in CWFs is mechanical filtration;
9
10 microorganisms are removed from the throughput when they are trapped on the surface and
11 within the matrix of the ceramic.^{4,5,15-18} Microorganisms are trapped by the small (1-5 μm in
12 diameter) and tortuous pores of the ceramic matrix.^{3,18,19} The second mechanism is inactivation
13
14 with silver compounds, usually AgNPs. The inactivation of microorganisms using AgNPs is
15 expressed through several mechanisms.²⁰ AgNPs release silver ions that target DNA and
16
17 interfere with replication.²⁰ The nanoparticle form physically disrupts the cell membrane and
18
19 produces reactive oxygen species at the surface of the organism.²⁰ While most of the silver
20
21 released from AgNP-coated CWFs is in the dissolved form, there is evidence in the literature
22
23 supporting the contribution of both ion and nanoparticle in the inactivation of
24
25 microorganisms.^{16,20}
26
27
28
29
30
31
32

33 According to PWB and PFP, there are about 40 CWF filter factories established in
34
35 developing communities worldwide.^{8,9} The geometry of the filter varies depending on where the
36
37 filter was manufactured.¹⁰ The new shape developed by PWB has curved (ovoid) walls, a flat
38
39 bottom, and can hold 10L of water.¹⁰ Ovoid CWFs are designed with a thicker wall cross section
40
41 than a straight-walled filter.²¹ The increased wall thickness could improve the durability and
42
43 microbial removal of the CWF by increasing the length of the pores. Removal from the mold is
44
45 easier because the ceramics can be inverted and dropped onto their lips instead of being pushed
46
47 out of the bottom, which could reduce cracking and warping during production.²¹
48
49
50

51 Here, we utilize a modified version of the USEPA *Guide Standard and Protocol for*
52
53 *Testing Microbiological Water Purifiers* in the performance assessment of ovoid CWFs.²² While
54
55
56
57
58
59
60

1
2
3 this standard operating procedure (SOP) has been available since 1987, to our knowledge it has
4
5 not been used in the study of CWF performance. One study that evaluated CWFs did utilize the
6
7 challenge water chemistry, but not the sampling schedule or the other influent chemistries of the
8
9 EPA standard.²³ The World Health Organization (WHO) has also produced a performance
10
11 assessment that is based on the EPA procedure.²⁴ One previous study used the WHO challenge
12
13 water phase for testing CWF performance.²⁵
14
15

16
17 The objective of this study was to characterize the performance (using a standardized
18
19 performance assessment) and structure of the CWFs provided by PWB. The performance of the
20
21 CWFs will be analyzed in terms of bacterial removal, turbidity reduction, flow rate, and silver
22
23 leaching. The main objective of the structural characterization was to determine the fate of silver
24
25 nanoparticles within the ceramic matrix. X ray photoelectron spectroscopy was applied to CWFs
26
27 for the first time in this study. The mineralogy and pore size distribution of the ovoid CWFs
28
29 were also studied during the characterization phase.
30
31

32 33 **Experimental:** 34 35

36 The CWFs used in this study were manufactured by PWB using a mix of commercial
37
38 clays (see Table S1, Supplemental Information, for details) and sawdust from a milled hardwood
39
40 pellet. The firing temperature for these CWFs was 900-925°C, which is hotter than usual for the
41
42 PWB factory (usually 885-900°C depending on the clay/burnout mix).²¹ The ovoid CWFs were
43
44 fired using a pitet kiln setter which guarantees consistent air flow during the firing process and
45
46 greater removal of carbon from burnout materials.²¹ Pitet setters are interlocking cones that bear
47
48 the weight of the CWF during firing.²¹ The use of these setters increases the number of ovoid
49
50 filters that can be fired in a single run by 30%.²¹
51
52
53
54
55
56
57
58
59
60

1
2
3 Four CWFs (used directly after manufacturing) with the new wall shape were evaluated
4 using a modified version of the EPA protocol. Two of the filters were coated with 0.3 g AgNPs
5 (roughly 0.2 g on the interior surface and 0.1 g on the exterior surface) and two were uncoated.
6
7 The colloidal AgNPs used to coat the filters were Colargol produced by Argenol (Spain).
8 Colargol silver nanoparticles are synthesized using a radiation method and are stabilized with
9 casein (70-75% silver content).^{26,27} These commercial nanoparticles are popular in the
10 manufacture of CWFs and have been characterized in previous studies.^{10,16,18,28-31} They have a
11 surface charge ranging from -20 to -26 mV.^{29,32,33} The hydrodynamic diameter of casein coated
12 AgNPs has been measured with dynamic light scattering and ranges from 45 to 105 nm.^{16,29,33,34}
13
14 The surface charge and hydrodynamic diameter values are based on AgNPs in National
15 Sanitation Foundation challenge water (pH 6.5 with 1.5 g/L sea salts), collected surface and
16 ground water, and deionized water. TEM measurements have shown that these nanoparticles
17 have a diameter between 7-15 nm.^{18,29,30} CWFs manufactured for this study were made using
18
19 between 17-21% wt. sawdust that was screened using a sieve with 595 and 250 μm openings
20
21 (manufacturing details in SI).
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36

37 *Performance testing*

38
39
40 EPA *Guide Standard and Protocol for Testing Microbiological Water Purifiers* dictates a
41 13 day testing period with three phases (general, challenge, and leaching) defined by the influent
42 solution.²² Table 1 contains the EPA requirements for the influent solutions required for each
43 phase. Table S2 contains amounts of the reagents that were added to deionized water in order to
44 meet the requirements in Table 1. The materials required for the influent water were purchased
45 from Fisher Scientific and used as received. The temperature requirements of the EPA protocol
46
47 (listed in Table 1) could not be met because of the large volume of influent required each day for
48
49
50
51
52
53
54
55
56
57
58
59
60

testing. All of the solutions were prepared at room temperature (20-25°C). The influent water for the general and challenge phases was spiked with 10^{10} CFU/100mL *E. coli* K12 (ATCC 23716). Fresh cultured bacteria was added daily to the influent. The bacteria stock solution preparation and quantification were performed following methodology previously published.³⁵ The leaching phase is the final phase of the experiment (Days 12 and 13), designed so that researchers can ensure that excessive amounts of silver are not released from the CWF.²² Before the beginning of the leaching phase, the CWFs were cleaned by scrubbing with a soft brush and backwashing with a solution containing 10 mM NaNO₃, which is has been shown to minimize the release of silver from the nanoparticles on the ceramic.¹⁶

Table 1. EPA Requirements and Inputs for Influent Solutions.

	General	Challenge	Leaching
	(Days 1-6)	(Days 7-11)	(Days 12-13)
pH	6.5-8.5	8.8-9.2	4.8-5.2
Total Organic Carbon (mg/L)	0.1-5.0	>10	1.0
Turbidity (NTU)	0.1-5.0	>30	0.1-5
Temperature (°C)	20	4	20
Total Dissolved Solids (mg/L)	50-500	1350-1600	100

CWF performance was determined in terms of bacterial removal, turbidity reduction, flow rate, and silver leaching. Flow rate and turbidity measurements are not required by the EPA protocol, but were performed in addition to EPA testing. CWFs are used to remove turbidity from water as well as microorganisms and flow rate measurements are a standard measure of quality control in CWF factories.^{3,10,12,36} A total of 19 L of the influent solution was filtered in each filter each day. The influent addition was performed in four steps: first, 10 L were added

1
2
3 during the morning, then 3 L at three hour intervals throughout the day. The level to which the
4 filters were filled with influent solution during the experiment was kept constant throughout the
5 testing. Samples for bacteria and turbidity determination and flow rate measurements were
6 collected three times on the first day and once a day for the rest of the testing from the plastic
7 buckets underneath the CWFs (Figure S1). Flow rate was calculated after the CWFs had been
8 filled the second time. Sampling more frequently on the first day of testing captures the changing
9 performance of the filter during start up. In this schedule, samples were acquired more frequently
10 than required by the EPA protocol. The EPA protocol also requires samplings after 48 hours of
11 stagnation, which was not possible in this case because filtration in the CWFs cannot be
12 stopped.²²

13
14
15
16
17
18
19
20
21
22
23
24
25
26 Bacterial concentrations were determined *via* membrane filtration and incubation with
27 Millipore Sigma m-FC broth and rosolic acid overnight at 44.5°C. Colonies of bacteria were
28 counted and results were reported as colony forming units per 100 milliliters (CFU/100 mL).^{34,35}
29 This methodology for bacterial culture and counting is allowed in the *Guide Standard and*
30 *Protocol* (Section 3.4.1.1).²² Turbidity was measured using a Hach Turbidimeter and reported in
31 nephelometric turbidity units (NTU). Samples taken for silver concentration were stored in the
32 refrigerator (or freezer for long term storage) in light proof containers until they were analyzed
33 by inductively coupled plasma mass spectrometry (ICP-MS) with a Thermo X series 2
34 quadrupole ICP-MS using a Nd-YAG laser ablation system. Effluent samples from days 4, 6, 8,
35 and 13 were filtered using Amicon Ultracel Centrifugal Filters with a pore size of 3 kDa
36 (UFC800324) in order to separate AgNPs and Ag⁺. The concentration of silver in the filtered and
37 unfiltered samples was analyzed *via* ICP-MS. Due to the high chloride concentrations in the
38 throughput matrix, ICP-MS samples were acidified to 10% with hydrochloric acid before
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 analysis.³⁷ Statistical significance was determined throughout performance testing using the
4
5 Wilcoxon rank sum test, which allows the determination of statistical significance in smaller data
6
7 sets.³⁸
8
9

10 *Characterization of ceramic matrix*

11
12 Ceramic characterization was performed by analyzing the CWFs in terms of mineralogy
13
14 (X ray diffraction, XRD), pore size distribution (mercury intrusion porosimetry, MIP), and
15
16 distribution of AgNPs within the ceramic matrix (X-ray photoelectron spectroscopy, XPS). XRD
17
18 analysis was performed on an Olympus Terra XRD between 2-theta angles of 5 and 55. The
19
20 Olympus Terra XRD has an energy resolution of 200 eV and can detect minerals present at 1%
21
22 of the sample.^{39,40} Peaks acquired during testing were compared to reference peaks using
23
24 X Powder software. MIP analysis was performed on an unused CWF with a Quantachrome
25
26 PoreMaster *GT* series (0.2-60,000 psi). Samples (n=2) were taken from the bottom and at
27
28 intervals up the wall of the filter. Pore size distributions were determined by calculating the size
29
30 fractions as a percentage of the total volume of mercury intruded into the sample. Cross sectional
31
32 pieces of the wall of used and unused silver coated and uncoated CWFs were analyzed to study
33
34 the fate of AgNPs in the ceramic matrix with a Thermo Scientific K-Alpha XPS using an Al Ka
35
36 source. Additional CWFs that were not used in the experiment and were specifically used for
37
38 imaging supplied the samples from unused filters. XPS spectra were acquired from 380 to 360
39
40 eV at 300 μm intervals across the cross section. The presence of silver was indicated by peaks
41
42 that appear at approximately 367 eV and 373 eV on the XPS spectra.⁴¹
43
44
45
46
47
48

49 **Results and discussion:**

50 *Performance Analysis*

51
52
53
54
55
56
57
58
59
60

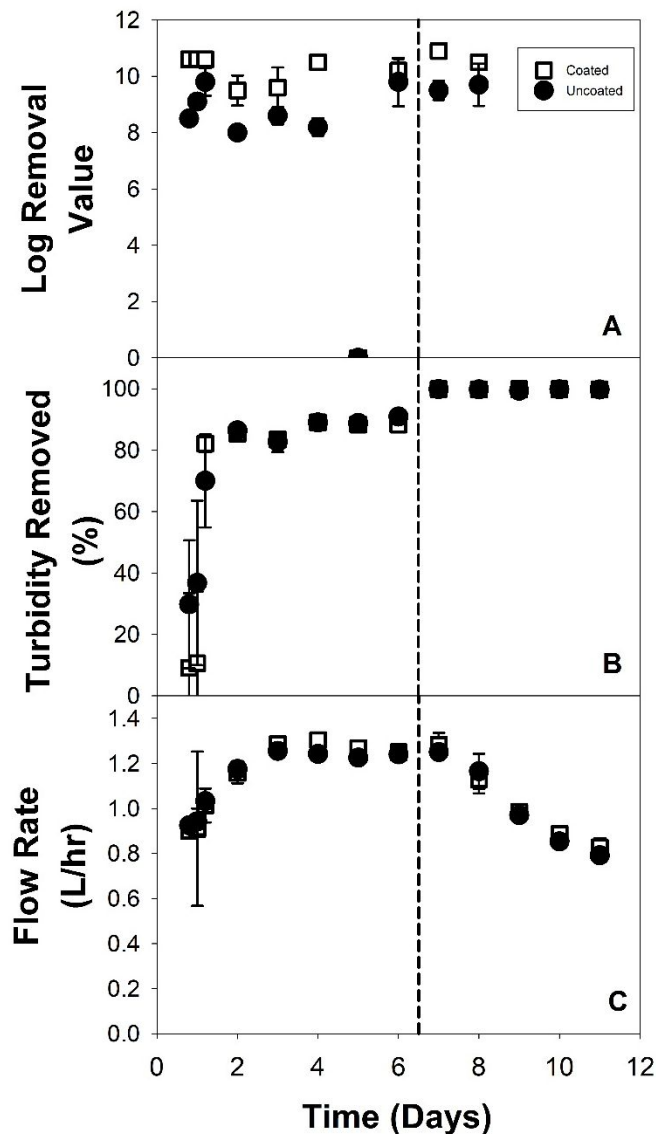


Figure 1. CWF performance data. (A) Removal of *E. coli* K12 (B) Turbidity removal (C) Flow rate. White squares are silver coated and black circles are uncoated. The vertical line marks the start of the challenge phase of testing. Error bars are standard error and points represent the average performance (n=2).

Figure 1A presents the LRVs for silver coated and uncoated ovoid CWFs. The silver coated filters had a higher *E. coli* removal than the uncoated filters ($p < 0.01$). Previous studies have also reported that the presence of AgNPs increases bacterial removal.^{3,5,15} The performance of the uncoated filters slowly improved during the first day of testing. Previous studies have also

1
2
3 shown changes in LRV during the startup CWFs; however these experiments had a higher LRV
4
5 at the beginning that decreased over time.^{14,29} The decrease in performance was shown in
6
7 ceramic disks, which could behave differently during startup compared to fully scale CWFs.
8
9 Overall, the log removal values obtained in this study were higher than those reported in
10
11 previous studies.^{3,4,42} There have been many studies on CWFs in the literature, but, in general,
12
13 LRVs for *E. coli* range between 1.0 and 6.0.^{3,18,25,43} Reasons that the LRVs measured here are
14
15 higher than in previous studies include: influent chemistry (specifically the concentration of
16
17 bacteria and turbidity) and the construction of the CWF. The concentration of bacteria utilized in
18
19 this study (10^{10} CFU/100 mL) was higher than concentrations reported in previous studies, which
20
21 Brown *et al* has correlated to larger LRVs.⁴⁴ With regard to the turbidity in the influent, high
22
23 turbidity clogs the pores of CWFs and leads to higher removal rates of viruses and bacteria by
24
25 improving size exclusion.^{42,45}
26
27
28
29

30
31 The CWFs used in this study were made with higher purity materials than CWFs
32
33 manufactured in the field. PWB utilized a commercial clay for the filters they provided, which
34
35 have a smaller particle size than clays sourced locally to CWF factories.³ This smaller particle
36
37 size leads to a higher LRV.³ The burnout material used in manufacturing these filters could also
38
39 affect performance. Burnout materials with smaller grain sizes leave smaller pores when
40
41 incinerated during firing, leading to a higher LRV.^{4,46,18,42} Previous reports indicate that most
42
43 CWF factories in the field utilize a sieve with a pore size larger than 595 μm .^{10,28} The ovoid
44
45 CWFs also have a thicker cross wall compared to previous styles of filters.⁸ A thicker wall
46
47 allows greater opportunity for microorganisms to adsorb to the ceramic or sediment within the
48
49 tortuous pores of the ceramic.^{12,19} Differences in influent solution and CWF construction
50
51 techniques between studies makes it difficult to compare quantitative values with previous
52
53
54
55
56
57
58
59
60

1
2
3 studies, however the higher LRVs reported here can be related to trends in influent and material
4 characteristics seen in other studies.^{3,5,15}
5
6

7
8 The microbiological removal testing lasted for 8 days instead of the full 11 days of the
9 EPA test. This is because of an incubator malfunction that left us without microbiological
10 removal data on the last three days. We could not redo the testing because our only available
11 filters had already been used. On Day 5 of the testing, an incubator malfunction prevented the
12 proper enumeration of bacteria in the influent of the filters. One of the other limitations of this
13 study is in the decay that bacteria can experience in solutions with a reduced ionic strength.
14 Previous studies have used influent solutions of this style before and Sullivan *et al* demonstrated
15 that their solution, which had a similar ionic strength to the challenge influent and contained
16 toxic heavy metals, had a 10% decrease in *E. coli* viability.^{13,14,29,42,47} Based on this information,
17 the decay of the bacteria in the influent solutions used here was assumed to be negligible. All the
18 CWFs studied here were exposed to the same influent solutions, so, even if the bacteria
19 experienced some osmotic shock, the coated CWFs still had a significantly higher LRV
20 compared to the uncoated.
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36

37
38 The reduction of turbidity by the filters can be found in Figure 1B. There was no
39 significant difference between the removal of turbidity by the silver coated and uncoated CWFs
40 ($p=0.82$). Physical filtration is the main mechanism to remove particulates in CWFs.^{4,15} In
41 CWFs, physical filtration is a function of the porosity and tortuosity of the ceramic matrix, not of
42 the AgNPs, which is why coated and uncoated CWFs have similar effluent turbidities.⁵ The
43 influent turbidities reported during the general phase fall within the range in the literature, 0 to
44 60 NTU.^{2,15,25,43,48} Some studies did not report the turbidity of their influent solutions,
45 demonstrating the need for a more consistent testing and reporting procedure.^{5,30} The challenge
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 water turbidity (160-240 NTU) was much higher than prior studies. The effluent turbidity data
4 presented here are within the established range of effluent turbidities reported in the literature
5 which are usually between 0.09 and 27 NTU.^{18,25,49} Removal of turbidity ranged from 9% during
6 startup to 99% during the challenge water phase. The lower removal during start up could have
7 originated from the filters, which were not flushed before use. Ashes or loose clay from the filter
8 could have briefly increased the effluent turbidity. The turbidity of the throughput can affect the
9 performance of a CWF by clogging pores and restricting water flow.^{45,50} While pore clogging
10 has negative effects (such as a reduction in flow rate), it also improves the removal of
11 microorganisms.^{42,45}

12
13
14
15
16
17
18
19
20
21
22
23
24 The flow rates of the sets of silver coated and uncoated CWFs displayed in Figure 1C
25 were not significantly different throughout the testing ($p=0.69$). Over the first few days of use,
26 the flow rate increases steadily until the filter becomes saturated and the rate stabilizes. Previous
27 studies have reported a similar phenomenon, soaking their ceramics to achieve a consistent flow
28 rate.⁵⁰ During the operation of the filters in the general water phase, the flow rates were within
29 the range established in the literature: 1-5 L/hr.¹² This range was developed because of the
30 relationship between the flow rate of a CWF and LRV.¹⁰ Flow rate is a function of the porosity
31 of the ceramic matrix; a CWF with larger pores will have a higher flow rate. Less bacteria are
32 retained in a CWF with larger pores, so less bacteria are retained on a filter with a higher flow
33 rate.³ Flow rate could also directly influence some of the mechanisms (adsorption, diffusion, and
34 sedimentation) that are involved in microbial removal because it affects the interaction with the
35 ceramic matrix.^{10,19}

36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51 The concentration of total silver in the influent and effluent of the CWFs in this study can
52 be found in Figure 2A. The silver released into the effluent of the CWFs was never above the
53

1
2
3 WHO guideline for silver consumption (100 ppb).⁵¹ Day 3 represents the concentration of silver
4 released into the effluent during the general phase of testing, Day 7 is from the challenge phase,
5
6 and Days 12 and 13 are the leaching phase. The concentration of total silver in the effluent of the
7
8 coated CWFs was significantly larger than the concentration in the uncoated CWFs ($p<0.01$) and
9
10 the influent ($p<0.01$). Total silver concentrations in the effluent of the uncoated CWFs were the
11
12 same as the influent concentrations ($p=0.60$). The spike in total silver release during Day 7 is
13
14 most likely due to the increase in salt concentration and turbidity of the influent during the
15
16 challenge water phase.¹⁶ Day 7 has a higher effluent total silver concentration than either of the
17
18 leaching phase days (12 and 13). The leaching phase was meant to increase silver release, so
19
20 there should have been a higher effluent silver concentration in this phase compared to others.²²
21
22
23
24
25
26 The water chemistry of the leaching phase is one reason that the silver release is higher during
27
28 the challenge phase. Influent solutions with a higher turbidity and total dissolved solids (such as
29
30 the challenge water solution) promote silver release from CWFs.^{16,30} Another reason for the low
31
32 release during the leaching phase could have been the use of the filters in the challenge water
33
34 phase. The CWFs utilized in the leaching test had undergone challenge water testing which has a
35
36 higher concentration of clay in the influent. This clay could have prevented the release of silver
37
38 from the filters. The CWFs were also cleaned in order to prepare them for the leaching phase. It
39
40 is possible that the cleaning removed some of the silver and reduced the effluent silver
41
42 concentrations.
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

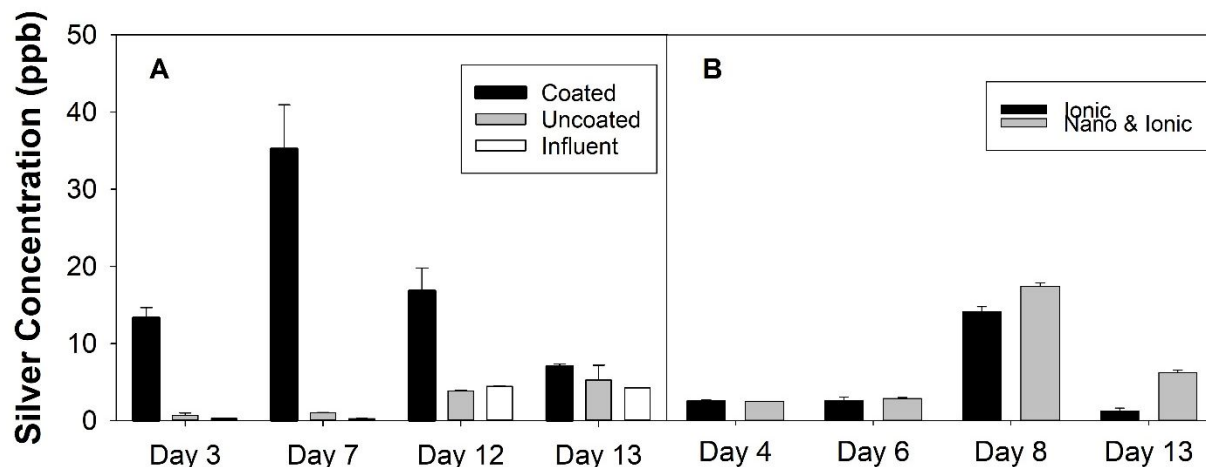


Figure 2. (A) Total silver concentration (B) Nano vs. ionic silver concentration. In 2A, black bars are silver coated filters, light gray bars are uncoated, and white bars represent the concentration of silver in the influent. In 2B, black bars are the concentration of ionic silver in the effluent and gray bars are the concentration of nanoparticle and ionic silver. Error bars for both are standard deviation ($n=2$).

Samples ($n=2$) from Days 4, 6, 8, and 13 were filtered using 3 kDa centrifugal filters and analyzed *via* ICP-MS to determine whether the silver in the effluent was in nanoparticle or ionic form (Figure 2B). AgNPs were retained on the 3 kDa filter while ionic silver passed through it. The concentration of silver in the filtered samples was not significantly different from the concentration of silver in the unfiltered samples ($p=0.43$). This indicates that most of the silver in the effluent was in the ionic form. Previous studies have shown the higher concentration of dissolved silver compared to the nanoparticle phase.¹⁶ Figure 2B shows that the percentage of ionic silver as a proportion of total silver varies between Day 8 and Day 13. This change stems from the change in ionic strength of the influent solutions between the challenge and leaching phases. Negatively charged nanoparticles, such as the AgNPs used here, detach from quartz in transport columns due to a decrease in the ionic strength of the throughput.^{16,52,53} The challenge influent had a higher ionic strength than the leaching influent and the main mineral in the CWFs

1
2
3 studied here was determined to be quartz (see characterization section for more details). This
4
5 decrease in ionic strength could have led to a greater elution of silver nanoparticles, which
6
7 changed the ratio of ionic to total silver between days 8 and 13.
8
9

10 X ray photoelectron spectroscopy (XPS) was used to determine the fate of the AgNPs
11
12 painted on the surface of the ceramic filters. Cross sectional pieces of the wall from used and
13
14 unused CWFs were analyzed using this technique. The used samples had undergone the
15
16 performance assessment described within this paper. XPS spectra were acquired at 300 μm
17
18 intervals over the entire cross section. Selected XPS spectra acquired in this analysis can be
19
20 found in Figures 3 and S2. XPS analysis of a silver-coated, unused CWF (Figure S2A) indicates
21
22 surface layers that are 2419 μm and 1512 μm deep on the interior and exterior, respectively, of
23
24 the CWF wall. These results agree with information provided by the manufacturer and previous
25
26 studies. PWB applies most of the colloidal silver to the interior of the filters.²¹ One previous
27
28 study used EDS SEM to show that silver tends to segregate to a 50-180 μm surface layer in
29
30 unused ceramic filters.⁵⁴ A cross section of a silver coated filter that had been used in the
31
32 performance assessment showed silver peaks for the first 1524 μm on the interior side and a
33
34 band of silver in the middle of the ceramic wall from 10368 to 11283 μm . Figure 3 shows a
35
36 selection of the spectra that were collected from the used, silver coated cross section. The peaks
37
38 in Figure 3A and C indicate the presence of silver nanoparticles with peaks at 367 eV and 373
39
40 eV. The band of silver was located in the middle of the cross section and was much more
41
42 concentrated than the other bands. The silver peaks from this band were much more clearly
43
44 defined than the other peaks (Figure 3C). The band on the exterior surface layer was missing
45
46 from the sample from the used CWF (Figure 3D). This was most likely washed away during
47
48 testing and cleaning. This result is supported by a prior study by Mittelman *et al*, which
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 demonstrated that the initial elution of silver comes primarily from the exterior surface of the
4
5 CWF.¹⁶ The elution of silver from the exterior surface indicates that manufacturers may be able
6
7 to skip this step of the process. CWFs with an AgNP coating on the interior of the CWF may be
8
9 just as effective as those with both interior and exterior surface coatings. Uncoated CWFs (both
10
11 used and unused) did not indicate the presence of silver.
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

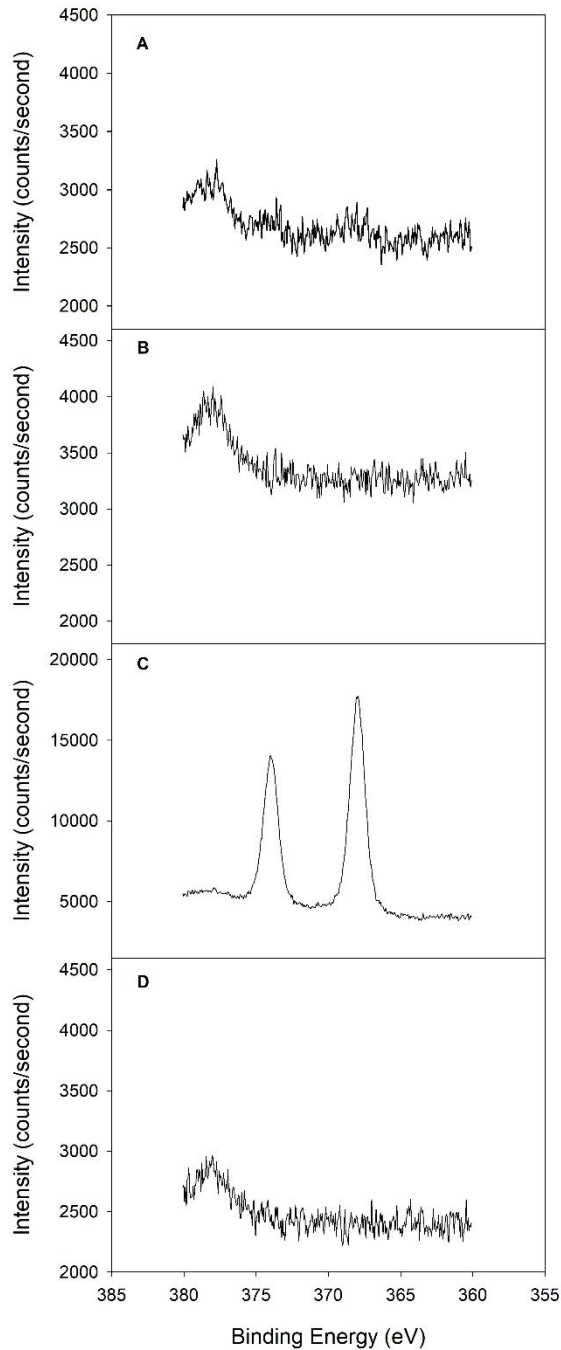


Figure 3. Sequence of XPS spectra from a used CWF with an AgNP coating. Panels are spectra acquired at (A) 600 μm (B) 3300 μm (C) 10700 μm (D) 13400 μm from the interior surface of the CWF. A schematic version of this plot as well as the schematics from the other samples can be found in SI.

Ceramic Characterization

XRD results showed that the main mineral in the CWF was quartz (SiO_2). Our results agree with previous research, which has shown that the main mineral in most CWFs is quartz, regardless of where the clay is mined.²⁷ Other minerals found in the CWFs studied here include: muscovite ($\text{KAl}_2(\text{SiAlO}_{10})(\text{OH})_2$), hematite (Fe_2O_3), and albite ($\text{NaAlSi}_3\text{O}_8$). Illites are hydrated muscovite and incorporation of this class of clay minerals imparts a high flexural strength to CWFs.²⁷ Clays enhanced with hematite have an increased sorption of bacteriophages in small scale, batch adsorption testing and the presence of albite in the CWF matrix can affect the sorption of AgNPs.^{27,55} Albite has a negative surface charge that adsorbs cations, which, in turn, attract AgNPs.²⁷ The XRD results show that the CWFs studied here are made using a more highly purified type of clay than would normally be found in the field. As mentioned previously, CWFs made with more highly purified clays are more effective at removing microorganisms.³ CWF factories usually utilize locally sourced, low purity clays.

The pore size distribution of a CWF is an important parameter because size exclusion is one of the two main mechanisms by which bacteria are removed from the influent.^{2,4} Filters with smaller pores have been shown to remove more bacteria than those with larger pores.³ Pore sizes are affected by a number of variables including the type and quantity of burnout material and the particle size of the clay.^{3,18} Figure S3 shows that most of the pores in the CWFs are less than 2 μm in diameter, which is in the size range of bacteria that are removed by CWFs. The pore size distributions measured here are similar to those that have been established in the literature and do not vary greatly as a function of wall height.^{3,11,18,19} 80% of the pores in the CWF were less than 5 μm in diameter, which is similar to the 75% pore fraction previously established for Red Art ceramic filters.³ Red Art ceramic filters are made of Red Art clay, which is a commercial clay

1
2
3 blend with a very narrow grain size distribution. CWFs and ceramic disks made with Red Art
4 clay have been used as control samples in many studies.^{3,14,29,56} Table S3 presents the average
5 pore diameters (1.87 to 2.56 μm) of the samples taken from an unused CWF.
6
7

8 9 10 **Conclusion:**

11
12 The first objective of this study was to analyze the performance of ovoid CWFs as
13 designed and manufactured by PWB. The ovoid CWFs produced by PWB exhibit a greater
14 removal of *E. coli* compared to previously studied models. The flow rates were within the
15 appropriate range and the turbidity was reduced drastically by the filters. Silver leaching never
16 exceeded the WHO standards during the testing of the filters. The XPS characterization
17 demonstrated the distribution of silver nanoparticles through the matrix of the CWF. The exterior
18 surface coating of AgNPs leached off of the CWF, indicating that this coating could be
19 eliminated from the CWF without diminishing the performance of the filter. A modified version
20 of the USEPA *Guide Standard and Protocol for Testing Microbiological Water Purifiers* was
21 used to analyze the performance of the ovoid CWFs. The consistency of this performance
22 assessment would allow researchers to build up a body of knowledge that could be used to target
23 improvements in manufacturing. The characterization data was able to describe the mineralogical
24 composition and pore size distribution, which informed the mechanisms involved in the
25 microbiological removal of the CWFs.
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46

47 **Supporting Information:**

48
49 Supplementary images, procedure details, and data can be found online.
50

51 **Corresponding Author:**

52
53
54 * Dr. Vinka Oyanedel-Craver: craver@uri.edu.
55
56
57
58
59
60

Conflicts of Interest:

There are no conflicts of interest to declare.

ACKNOWLEDGMENT

This work was funded by: NSF CBET Award# 1350789. Special thanks to Kevin Broccolo, Burt Cohen, Dr. Dawn Cardace, Dr. Brett Lucht, Zachary Brown, Satu Heiskanen, Dr. Katherine Kelley, and Dr. Sumanta Das.

References:

- 1 D. Ren, L. M. Colosi and J. A. Smith, Evaluating the sustainability of ceramic filters for point-of-use drinking water treatment, *Environ. Sci. Technol.*, 2013, **47**, 11206–11213.
- 2 L. S. Abebe, J. A. Smith, S. Narkiewicz, V. Oyanedel-Craver, M. Conaway, A. Singo, S. Amidou, P. Mojapelo, J. Brant and R. Dillingham, Ceramic water filters impregnated with silver nanoparticles as a point-of-use water-treatment intervention for HIV-positive individuals in Limpopo Province, South Africa: A pilot study of technological performance and human health benefits, *J. Water Health*, 2014, **12**, 288–300.
- 3 V. A. Oyanedel-Craver and J. A. Smith, Sustainable colloidal-silver-impregnated ceramic filter for point-of-use water treatment, *Environ. Sci. Technol.*, 2008, **42**, 927–933.
- 4 L. S. Abebe, Y. Su, R. L. Guerrant, N. S. Swami and J. A. Smith, Point-of-Use Removal of *Cryptosporidium parvum* from Water: Independent Effects of Disinfection by Silver Nanoparticles and Silver Ions and by Physical Filtration in Ceramic Porous Media, *Environ. Sci. Technol.*, 2015, **49**, 12958–12967.
- 5 H. Van der Laan, D. van Halem, P. W. M. H. Smeets, A. I. A. Soppe, J. Kroesbergen, G. Wubbels, J. Nederstigt, I. Gensburger and S. G. J. Heijman, Bacteria and virus removal effectiveness of ceramic pot filters with different silver applications in a long term experiment, *Water Res.*, 2014, **51**, 47–54.
- 6 T. F. Clasen, J. Brown, S. Collin, O. Suntura and S. Cairncross, Reducing diarrhea through the use of household-based ceramic water filters: A randomized, controlled trial in rural Bolivia, *Am. J. Trop. Med. Hyg.*, 2004, **70**, 651–657.
- 7 T. Clasen, G. Garcia Parra, S. Boisson and S. Collin, Household-based ceramic water filters for the prevention of diarrhea: A randomized, controlled trial of a pilot program in Colombia, *Am. J. Trop. Med. Hyg.*, 2005, **73**, 790–795.
- 8 B. Cohen, Potters Without Borders, <http://www.potterswithoutborders.com/aboutus-2/>, (accessed 3 September 2018).
- 9 J. Mally, Potters for Peace, https://pottersforpeace.org/?page_id=8, (accessed 2 May 2019).

- 1
2
3 10 The Ceramics Manufacturing Working Group, *Best Practice Recommendations for Local*
4 *Manufacturing of Ceramic Pot Filters for Household Water Treatment*, Atlanta, GA,
5 2011.
6
7 11 D. S. Lantagne, *Investigation of the Potters for Peace Colloidal Silver Impregnated*
8 *Ceramic Filter Report 1: Intrinsic Effectiveness*, Allston, MA, USA, 2001.
9
10 12 J. Rayner, B. Skinner and D. Lantagne, Current practices in manufacturing locally-made
11 ceramic pot filters for water treatment in developing countries, *J. Water Sanit. Hyg. Dev.*,
12 2013, **3**, 252–261.
13
14 13 J. Rayner, H. Zhang, J. Schubert, P. Lennon, D. Lantagne and V. Oyanedel-Craver,
15 Laboratory investigation into the effect of silver application on the bacterial removal
16 efficacy of filter material for use on locally produced ceramic water filters for household
17 drinking water treatment, *ACS Sustain. Chem. Eng.*, 2013, **1**, 737–745.
18
19 20 K. N. Jackson and J. A. Smith, A New Method for the Deposition of Metallic Silver on
21 Porous Ceramic Water Filters, *J. Nanotechnol.*, 2018, 1–9.
22
23 24 J. Brown and M. D. Sobsey, Microbiological effectiveness of locally produced ceramic
25 filters for drinking water treatment in Cambodia, *J. Water Health*, 2010, **8**, 1–10.
26
27 28 A. M. Mittelman, D. S. Lantagne, J. Rayner and K. D. Pennell, Silver Dissolution and
29 Release from Ceramic Water Filters, *Environ. Sci. Technol.*, 2015, **49**, 8515–8522.
30
31 32 J. Huang, G. Huang, C. An, Y. He, Y. Yao, P. Zhang and J. Shen, Performance of ceramic
33 disk filter coated with nano ZnO for removing Escherichia coli from water in small rural
34 and remote communities of developing regions, *Environ. Pollut.*, 2018, **238**, 52–62.
35
36 37 E. N. Kallman, V. A. Oyanedel-Craver and J. A. Smith, Ceramic Filters Impregnated with
38 Silver Nanoparticles for Point-of-Use Water Treatment in Rural Guatemala, *J. Environ.*
39 *Eng.*, 2011, **137**, 407–415.
40
41 42 D. van Halem, Delft University of Technology, 2006.
43
44 45 L. M. Stabryla, K. A. Johnston, J. E. Millstone and L. M. Gilbertson, Emerging
46 investigator series: It's not all about the ion: Support for particle-specific contributions to
47 silver nanoparticle antimicrobial activity, *Environ. Sci. Nano*, 2018, **5**, 2047–2068.
48
49 50 Personal communication with Burt Cohen, Potters without Borders.
51
52 53 S. A. Schaub, C. Gerba, R. Tobin and F. A. Bell, *Guide Standard and Protocol for Testing*
54 *Microbiological Water Purifiers*, 1987.
55
56 57 A. Pérez-Vidal, J. Diaz-Gómez, J. Castellanos-Rozo, O. L. Usaquen-Perilla, A. Perez-
58 Vidal, J. Diaz-Gómez, J. Castellanos-Rozo and O. L. Usaquen-Perilla, Long-term
59 evaluation of the performance of four point-of-use water filters, *Water Res.*, 2016, **98**,
60 176–182.
24 World Health Organization, *General Testing Protocol #6: Ceramic Pot Gravity Flow*
Mechanical Filtration Batch System Technology (with and without a silver component),
Geneva, 2014.

- 1
2
3 25 K. J. Lucier, S. E. Dickson-Anderson and C. J. Schuster-Wallace, Effectiveness of silver
4 and copper infused ceramic drinking water filters in reducing microbiological
5 contaminants, *J. Water Supply Res. Technol. - AQUA*, 2017, **66**, 528–536.
6
7 26 Potters without Borders, 2018, 1–2.
8
9 27 V. Oyanedel-Craver, S. Narkiewicz, R. Genovesi, A. Bradshaw and D. Cardace, Effect of
10 local materials on the silver sorption and strength of ceramic water filters, *J. Environ.*
11 *Chem. Eng.*, 2014, **2**, 841–848.
12
13 28 J. Rayner, Loughborough University, 2009.
14
15 29 R. K. Sullivan, M. Erickson and V. A. Oyanedel-Craver, Understanding the
16 microbiological, organic and inorganic contaminant removal capacity of ceramic water
17 filters doped with different silver nanoparticles, *Environ. Sci. Nano*, 2017, **4**, 2348–2355.
18
19 30 A. M. Mikelonis, D. F. Lawler and P. Passalacqua, Multilevel modeling of retention and
20 disinfection efficacy of silver nanoparticles on ceramic water filters, *Sci. Total Environ.*,
21 2016, **566–567**, 368–377.
22
23 31 H. Zhang, V. Oyanedel-craver and A. M. Asce, Evaluation of the Disinfectant
24 Performance of Silver Nanoparticles in Different Evaluation of the Disinfectant
25 Performance of Silver Nanoparticles in Different Water Chemistry Conditions, *J. Environ.*
26 *Eng.*, , DOI:10.1061/(ASCE)EE.1943-7870.0000460.
27
28 32 F. Faghihzadeh, N. M. Anaya, C. Astudillo-Castro and V. A. Oyanedel-Craver, Kinetic,
29 metabolic and macromolecular response of bacteria to chronic nanoparticle exposure in
30 continuous culture, *Environ. Sci. Nano*, 2018, **5**, 1386–1396.
31
32 33 H. Zhang, V. A. Oyanedel-Craver and J. A. Smith, The effect of natural water conditions
33 on the anti-bacterial performance and stability of silver nanoparticles capped with
34 different polymers, *Water Res.*, 2012, **46**, 691–699.
35
36 34 N. M. Anaya, F. Faghihzadeh, N. Ganji, G. Bothun and V. Oyanedel-Craver, Comparative
37 study between chemostat and batch reactors to quantify membrane permeability changes
38 on bacteria exposed to silver nanoparticles, *Sci. Total Environ.*, 2015, **565**, 841–848.
39
40 35 US EPA, *Method 1604: Total Coliforms and Escherichia coli in Water by Membrane*
41 *Filtration Using a Simultaneous Detection Technique (MI Medium)*, Washington, DC,
42 2002.
43
44 36 M. du Preez, R. M. Conroy, J. A. Wright, S. Moyo, N. Potgieter and S. W. Gundry, Short
45 Report: Use of Ceramic Water Filtration in the Prevention of Diarrheal Disease: A
46 Randomized Controlled Trial in Rural South Africa and Zimbabwe, *Am. J. Trop. Med.*
47 *Hyg.*, 2008, **79**, 696–701.
48
49 37 P. Gaines, Common Problems with Ag, As, S, Ba,, Pb, and Cr,
50 <https://www.inorganicventures.com/common-problems-ag-s-ba-pb-and-cr>, (accessed 19
51 December 2018).
52
53 38 P. D. Bridge and S. S. Sawilowsky, Increasing Physicians' Awareness of the Impact of
54 Statistics on Research Outcomes: Comparative Power of the t-test and Wilcoxon Rank-
55
56
57
58
59
60

- 1
2
3 Sum Test in Small Samples Applied Research, *J. Clin. Epidemiol.*, 1999, **52**, 229–235.
4
5 39 Olympus Corporation, Terra Portable XRD, <https://www.olympus-ims.com/en/xrf-xrd/mobile-benchtop-xrd/terra/>, (accessed 31 May 2019).
6
7
8 40 D. Blake, D. Vaniman, C. Achilles, R. Anderson, D. Bish, T. Bristow, C. Chen, S.
9 Chipera, J. Crisp, D. Des Marais, R. T. Downs, J. Farmer, S. Feldman, M. Fonda, M.
10 Gailhanou, H. Ma, D. W. Ming, R. V. Morris, P. Sarrazin, E. Stolper, A. Treiman and A.
11 Yen, Characterization and calibration of the CheMin mineralogical instrument on Mars
12 Science Laboratory, *Space Sci. Rev.*, 2012, **170**, 341–399.
13
14 41 A. Zielińska, E. Kowalska, J. W. Sobczak, I. Lacka, M. Gazda, B. Ohtani, J. Hupka and
15 A. Zaleska, Silver-doped TiO₂ prepared by microemulsion method: Surface properties,
16 bio- and photoactivity, *Sep. Purif. Technol.*, 2010, **72**, 309–318.
17
18 42 D. van Halem, H. van der Laan, A. I. A. Soppe and S. G. J. Heijman, High flow ceramic
19 pot filters, *Water Res.*, 2017, **124**, 398–406.
20
21 43 D. Lantagne, M. Klarman, A. Mayer, K. Preston, J. Napotnik and K. Jellison, Effect of
22 production variables on microbiological removal in locally-produced ceramic filters for
23 household water treatment, *Int. J. Environ. Health Res.*, 2010, **20**, 171–187.
24
25 44 D. Brown, C. Farrow, E. A. McBean, B. Gharabaghi and J. Beauchamp, Advancing
26 performance evaluation standards for household water treatment technologies, *J. Water
27 Health*, 2019, **17**, 266–273.
28
29 45 H. Salsali, E. McBean and J. Brunsting, Virus removal efficiency of Cambodian ceramic
30 pot water purifiers, *J. Water Health*, 2011, **9**, 306–311.
31
32 46 J. Rayner, X. Luo, J. Schubert, P. Lennon, K. Jellison and D. Lantagne, The effects of
33 input materials on ceramic water filter efficacy for household drinking water treatment,
34 *Water Sci. Technol. Water Supply*, 2017, **17**, 859–869.
35
36 47 A. R. Bielefeldt, K. Kowalski and R. S. Summers, Bacterial treatment effectiveness of
37 point-of-use ceramic water filters, *Water Res.*, 2009, **43**, 3559–3565.
38
39 48 J. Brown, M. Sobsey and S. Proum, *Use of Ceramic Water Filters in Cambodia*, 2007.
40
41 49 C. Salvinelli, A. C. Elmore, B. R. García Hernandez and K. D. Drake, Ceramic pot filters
42 lifetime study in coastal Guatemala, *J. Water Health*, 2017, **15**, 145–154.
43
44 50 C. Salvinelli, A. C. Elmore, M. R. Reidmeyer, K. D. Drake and K. I. Ahmad,
45 Characterization of the relationship between ceramic pot filter water production and
46 turbidity in source water, *Water Res.*, 2016, **104**, 28–33.
47
48 51 World Health Organization, *Guidelines for Drinking-water Quality*, Geneva, 4th edn.,
49 2017.
50
51 52 Y. Wang, Y. Li, J. D. Fortner, J. B. Hughes, L. M. Abriola and K. D. Pennell, Transport
52 and retention of nanoscale C60 aggregates in water-saturated porous media, *Environ. Sci.
53 Technol.*, 2008, **42**, 3588–3594.
54
55 53 T. Phenrat, J.-J. Kim, F. Fagerlund, T. Illangasekare, R. D. Tilton and G. V. Lowry,
56
57
58
59
60

- 1
2
3 Particle size distribution, concentration, and magnetic attraction affect transport of
4 polymer-modified Fe(0) nanoparticles in sand columns, *Environ. Sci. Technol.*, 2009, **43**,
5 5079–5085.
6
- 7 54 C. Larimer, N. Ostrowski, J. Speakman and I. Nettleship, The segregation of silver
8 nanoparticles in low-cost ceramic water filters, *Mater. Charact.*, 2010, **61**, 408–412.
9
- 10 55 J. Brown and M. D. Sobsey, Ceramic media amended with metal oxide for the capture of
11 viruses in drinking water, *Environ. Technol.*, 2009, **30**, 379–391.
12
- 13 56 D. Ren and J. A. Smith, Retention and transport of silver nanoparticles in a ceramic
14 porous medium used for point-of-use water treatment, *Environ. Sci. Technol.*, 2013, **47**,
15 3825–3832.
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Performance of Silver Nanoparticle-Impregnated Ovoid Ceramic Water Filters

Zachary J. Shepard, Elizabeth M. Lux, Vinka A. Oyanedel-Craver*

Department of Civil & Environmental Engineering, University of Rhode Island, 2 East Alumni Ave, Kingston, RI, 02881.

Table of contents entry: This work presents the first performance evaluation of ovoid CWFs designed by Potters without Borders. Performance analysis and characterization data are presented here to inform manufacturing decisions and research methods.

