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**Albumin protein coronas render nanoparticles surface active: Consonant interactions at air-water and at lipid monolayer interfaces**

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## Environmental Significance

The environmental concentration of polymeric particles continues to increase due to the significant amount of disposed plastic waste that degrades over time into polymeric nanoparticles, which have been shown to translocate across living cells to the lymphatic and circulatory systems and accumulate in secondary organs. The adverse effects associated with interactions between polymeric nanoparticles and environmental or biological systems may be analogous to those generally observed for engineered nanoparticles where formed coronas are known to govern their fate. We show that albumin coronas govern the interfacial behavior of polystyrene nanoparticles, with the corona masking the anionic or cationic charge of the particles and protein restructuring yielding an additional driving force for corona-modified nanoparticles to adhere to interfaces.

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3 Albumin protein coronas render nanoparticles surface active: Consonant  
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6 interactions at air-water and at lipid monolayer interfaces  
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9 Nasim Ganji<sup>1</sup> and Geoffrey D. Bothun<sup>1,\*</sup>  
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11 *<sup>1</sup>Department of Chemical Engineering, University of Rhode Island, 303 Fascitelli Engineering*  
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13 *Building, 2 East Alumni Ave, Kingston, RI 02881, United States*  
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16 \*Corresponding author. **Tel:** +1-401-874-9518, **E-mail:** [gbothun@uri.edu](mailto:gbothun@uri.edu)  
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## Abstract

Protein coronas are known to alter the physicochemical properties, colloidal stability, and biological fate of nanoparticles. Using human serum albumin (HSA) and polystyrene nanoparticles (NPs) with anionic or cationic surface chemistries, we show that protein coronas also govern the surface activity of PS nanoparticles as well as their interactions with a model red blood cell (RBC) lipid monolayer. The adsorption kinetics of bare nanoparticles (no corona) and nanoparticles with a hard corona (HC) at an air-water interface were well-described theoretically, which revealed that the adsorption energy was greater with the corona due to hydrophobic interactions that were enhanced with protein restructuring. Corona complexation increased the concentration of nanoparticles at the interface and led to the formation of interfacial aggregates. Despite clear differences in monolayer structure, the compressibility of PS-HC monolayers was similar to free HSA, indicating that conformational changes associated with the protein were not restricted in a hard corona. The intrinsic behavior of the proteins driving the surface activity and compressibility of the complexes at an air-water interface was also observed at an air-lipid (RBC)-water interface. In this case the lipid monolayer acted as a barrier and reduced the interface concentration of bare nanoparticles. However, with a corona the nanoparticles penetrated into the monolayer and led to the formation of NP-HC-lipid ‘pillars’ that extended into air. Our results suggest that nanoparticle surface activity, and changes in surface activity due to corona formation, are insightful parameters to predicting nanoparticle-membrane interactions, complementing the conventional view that electrostatic forces are dominant.

## Introduction

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3 The environmental concentration of polymeric particles continues to increase due to the  
4 significant amount of disposed plastic waste.<sup>1-3</sup> Plastics weather and degrade over time into  
5 micro- (< 5 mm) and nano- (< 100 nm) plastics,<sup>4-7</sup> which pose a threat both to environmental and  
6 human health.<sup>6-14</sup> Small plastic particles are ingested by organisms that are at the bottom of the  
7 food-chain and may bioaccumulate.<sup>1</sup> It is estimated that humans consume 74,000 to 120,000  
8 microplastic particles on average per year through ingestion and inhalation.<sup>15</sup> The potential  
9 adverse health effects associated with these materials is analogous to those observed with  
10 engineered nanoparticles (ENPs).<sup>16-18</sup> Toxicological studies conducted *in vitro* and *in vivo* have  
11 demonstrated that polymeric ENPs can translocate across living cells to the lymphatic and/or  
12 circulatory system,<sup>19,20</sup> accumulate in secondary organs,<sup>21</sup> and adversely impact the immune  
13 system.<sup>22-24</sup>

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Nanoparticle cellular uptake begins with particle adhesion to the cell surface and subsequent interactions with lipids and other components of the cell membrane. The interfacial and biophysical forces that modulate this process can be examined using lipid bilayers or monolayers as model cell membranes.<sup>25-34</sup> Two main advantages of model membranes are that (1) the lipid composition and structure can be precisely controlled, thereby capturing essential biophysical aspects of cell membranes, and (2) the membrane organization and disruption can be measured directly using techniques that are not amenable to living cells.<sup>18</sup> While model systems have been used extensively to study ENP-membrane interactions,<sup>16,18,35</sup> few studies that have examined the effect of a biomolecular corona on these interactions. The biomolecular corona ultimately determines the biological identity of an ENP.<sup>36-42</sup>

Upon encountering biological fluids such as blood, nanoparticles are covered by biomolecules, notably proteins, that form a corona.<sup>41,42</sup> The corona is composed of a tightly

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3 bound, but not completely irreversibly adsorbed layer of biomolecules (the “hard” corona or HC)  
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5 that is surrounded by a more loosely bound and rapidly exchanging layer of biomolecules (the  
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7 “soft” corona or SC).<sup>43</sup> The formation of a corona has been reported for several nanoparticles,  
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9 including polystyrene.<sup>36,44–47</sup> The amount, composition, and orientation of biomolecules present  
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11 in the corona strongly influence NPs adsorption, distribution, and elimination in biological  
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13 systems, and governs their interactions with cellular membranes.<sup>48–50</sup> Despite the importance of  
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15 the biomolecular corona in dominating nanoparticle interactions at biological interfaces, the  
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17 influence of protein corona formation on nanoparticle behavior at biological membranes has only  
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19 recently begun to receive attention.<sup>51</sup>  
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24         Within the context of nanoparticle-membrane interactions, some studies have  
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26 demonstrated increased cellular uptake for serum incubated ENPs relative to serum-free  
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28 conditions,<sup>52–54</sup> while other studies have shown reduced adhesion and uptake after incubation in  
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30 serum.<sup>55–60</sup> For instance, Lesniak et al<sup>60</sup> have examined the adhesion of polystyrene and silica  
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32 NPs to A549 (lung cancer) cell membranes and have shown that the presence of biomolecular  
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34 corona strongly reduces nanoparticle adhesion (and uptake) by weakening nonspecific  
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36 interactions between NPs and the cell membrane. In contrast, Chithrani et al<sup>54</sup> have reported a  
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38 greater uptake of gold NPs by HeLa (cervical cancer) cells when a serum protein corona is  
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40 present. Detailed studies are needed to determine the surface activity of native and corona-  
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42 modified nanoparticles, how this activity governs interactions with lipid membrane interfaces,  
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44 and how surface and interfacial activity relate to the composition and physicochemical properties  
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46 of the NPs and the formed corona.  
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51         We propose that the surface activity of corona-modified nanoparticles (i.e. at the air-  
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53 water interface), which is governed by the amphiphilicity of the corona coating and the ability of  
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3 the corona proteins to adsorb and undergo conformational changes at the interface, is directly  
4 related to the extent of nanoparticle adsorption at lipid interfaces. This would provide a new  
5 parameter, particle surface activity, to determine *a priori* the potential interactions with  
6 biological membranes. To test this hypothesis, we have examined the response of a human red  
7 blood cell (RBC) model membrane, deployed as a lipid monolayer, to the adhesion of  
8 polystyrene (PS) nanoparticles with anionic or cationic surface chemistries, and modified with a  
9 human serum albumin (HSA) corona. The Langmuir technique, combined with fluorescence and  
10 Brewster angle microscopy, was used to measure the kinetics of PS NP adhesion and the  
11 monolayer response, and to identify the properties of the particles and coronas that contribute to  
12 the activity at air-water and air-lipid-water interfaces.  
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## 28 **Experimental**

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30 **Materials.** All materials were used as received unless otherwise noted. 1-Palmitoyl-2-oleoyl-sn-  
31 glycerol-3-phosphocholine (POPC), 1-palmitoyl-2-oleoyl-sn-glycerol-3-phosphoethanolamine  
32 (POPE), egg sphingomyelin (SM), and 1,2-dioleoyl-sn-glycerol-3-phosphoethanolamine-N-  
33 (lissamine rhodamine B sulfonyl) (Liss Rhod PE) were purchased from Avanti Polar Lipids  
34 (Alabaster, AL). Unmodified (PS) and carboxylate-modified fluorescent polystyrene (PS-  
35 COOH) NPs were purchased from Polysciences Inc. (Warrington, PA). Amine-modified  
36 fluorescent polystyrene (PS-NH) NPs and human serum albumin (HSA, lyophilized powder,  
37 essentially fatty acid free) were purchased from Sigma Aldrich. NPs were washed before  
38 monolayer experiments by centrifugation and rinsing in order to remove impurities or any excess  
39 surfactant used during the polymerization process. Phosphate buffer saline (PBS, pH 7.4) was  
40 purchased from Fisher Scientific (Waltham, MA). Chloroform ( $\text{CHCl}_3$ , >99.8%), acetone  
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3 (C<sub>3</sub>H<sub>6</sub>O, >99.5%), and ethanol (C<sub>2</sub>H<sub>6</sub>O, >99.5%) from Fisher Scientific (Waltham, MA) were  
4 used as solvents for making stock solutions of the lipids and cleaning the Langmuir trough.  
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6 Deionized (DI) ultra-filtered water was obtained from a Millipore Direct-Q3 UV purification  
7 system (Billerica, MA) at 18.2 mΩ resistance and pH 6.5.  
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12 The model monolayer was composed of lipids naturally occurring in the outer layer of  
13 human erythrocytes;<sup>61–65</sup> POPC:POPE:SM at the molar ratio 44.9:12:43.1, respectively. A small  
14 quantity (1 mol%) of rhodamine-conjugated phosphatidylethanolamine (PE) lipid was added to  
15 this mixture as a fluorescent probe to label model membrane.  
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24 ***Formation of nanoparticle-hard corona complexes.*** NP-HC complexes were prepared  
25 following the procedure reported for carboxylate-modified PS NPs by Silvio et al.<sup>66,67</sup> NP  
26 solutions were added to 1.5 mL microcentrifuge tubes to attain final NP concentrations of 1 mg  
27 mL<sup>-1</sup>. HSA (5% in PBS) was added to the microcentrifuge tubes, and the tubes were incubated at  
28 37 °C for 1 h. The tubes were subsequently centrifuged three times (18,000 g, 4 °C) with a PBS  
29 solution wash between each centrifugation step. The sedimented NPs were re-dispersed in PBS  
30 to isolate the NP-HC complexes.  
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42 ***Characterization of nanoparticles and nanoparticle-hard corona complexes.*** NPs and NP-HC  
43 complexes were characterized using transmission electron microscopy (TEM; JEOL JEM-  
44 2100F) operating at 200 kV and a Malvern Zetasizer Nano ZSX for their core radius, and  
45 hydrodynamic radius and zeta (ζ) potentials, respectively. The average size of PS NPs was  
46 determined by analyzing multiple TEM images with ImageJ software ( $n > 50$ ).<sup>68</sup> To measure the  
47 average ζ-potentials and hydrodynamic diameter ( $d_h$ ) of the NPs, the as-received particles were  
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3 diluted in PBS and analyzed at 25 °C. The values reported are based on triplicate measurements  
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5 of three different samples. Adsorption of HSA on PS NPs were visualized by performing  
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7 negative-staining TEM.<sup>69–71</sup> One drop of the diluted NP-HC solution was placed on a carbon  
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9 coated grid and blotted with filter paper, after which a small aliquot of 2% uranyl acetate was  
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11 placed on the grid and was dried thoroughly at room temperature before imaging.  
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15 NP-HC complexes were analyzed further using thermogravimetric analysis (TGA; TA  
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17 Q500, New Castle, DE) for their protein content. The amount of HSA adsorbed on the NPs was  
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19 determined by measuring the weight loss of the NP-HC complexes in the range of 200–550 °C  
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21 due to protein degradation,<sup>72</sup> and subtracting it from the weight loss of the NPs over the same  
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23 temperature range. Heating was performed in a platinum crucible under a nitrogen flow (60 mL  
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25 min<sup>-1</sup>) at a rate of 10 °C min<sup>-1</sup> up to 1000 °C.  
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31 ***Monolayer surface pressure measurements.*** Monolayers experiments were conducted at 23 °C  
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33 as previously described.<sup>30</sup> Monolayers were prepared in Teflon® Langmuir-Blodgett trough  
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35 (KN2002, KSV NIMA, Biolin Scientific Inc., Linthicum Heights, MD) filled with PBS by  
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37 spreading dissolved lipids in chloroform at the air-water interface and allowing 45 min for the  
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39 chloroform to evaporate. Isotherms were generated for a single compression/expansion cycle at a  
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41 barrier rate of 2 cm<sup>2</sup> min<sup>-1</sup> and the interfacial tension ( $\gamma$ ) or surface pressure ( $\pi = \gamma_0 - \gamma$ , where  
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43  $\gamma_0 = 72.5$  mN m<sup>-1</sup>) was measured using paper Wilhelmy plates. The total area of the trough  
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45 during this cycle ranged from roughly 70–240 cm<sup>2</sup>. After recording an isotherm, the trough was  
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47 set to maintain a constant initial surface pressure ( $\pi_0 = 30$  mN m<sup>-1</sup>). Once the monolayer  
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49 stabilized and  $\pi_0$  remained constant, the barrier positions were fixed at the corresponding  
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51 interfacial area and HSA (22.75 mg L<sup>-1</sup>), NPs (10 mg L<sup>-1</sup>), or NP-HC (10 mg L<sup>-1</sup>) complexes  
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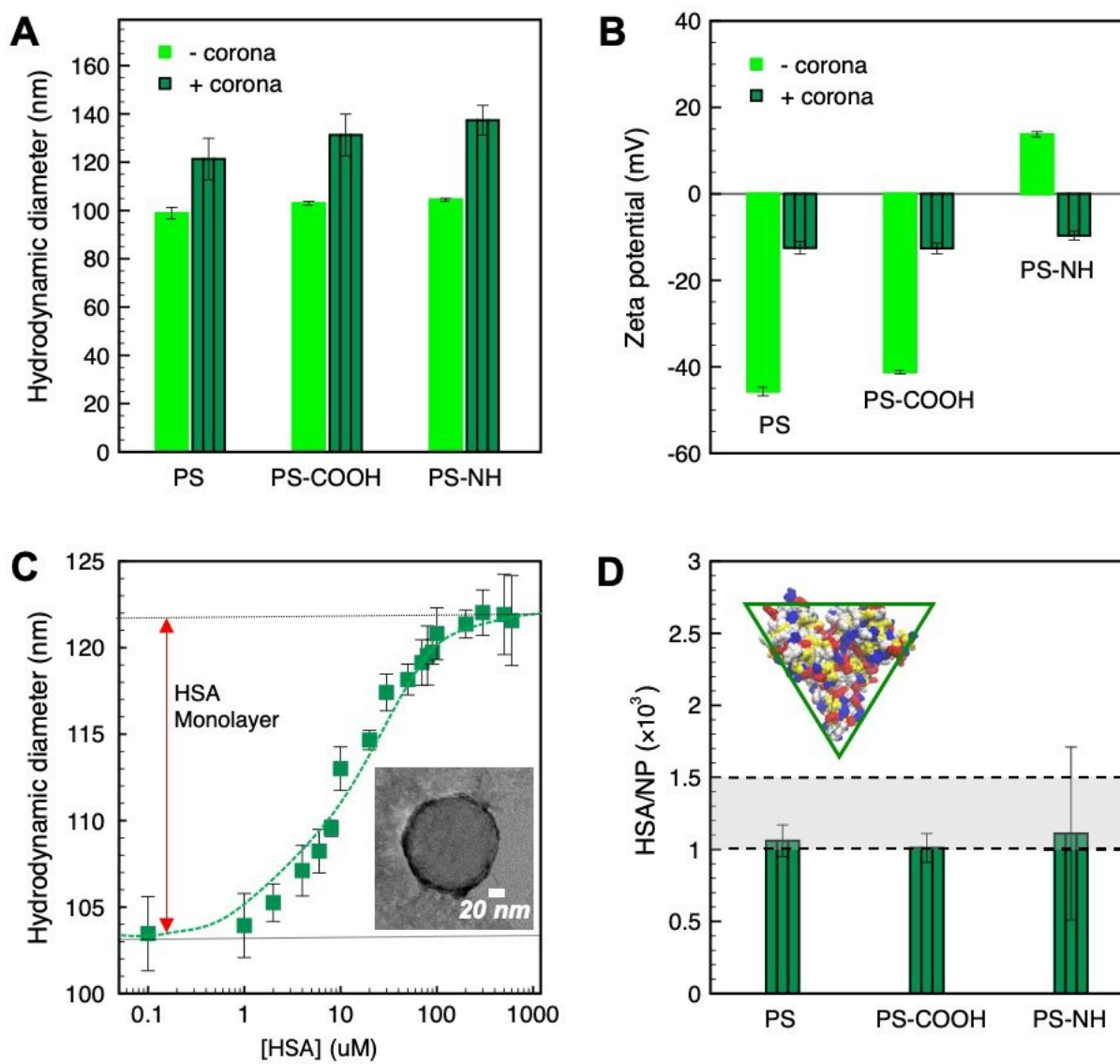
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3 were added to the subphase by injecting them behind the barriers without disrupting the  
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5 monolayer. The NP and NP-HC subphase concentrations correspond to the amount needed to  
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7 provide excess surface coverage based on the PS NP cross sectional area at a monolayer surface  
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9 area of 240 cm<sup>2</sup>. To determine the adsorption kinetics of NPs and NP-HC complexes at the lipid-  
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11 water interface, dynamic changes in  $\gamma$ , expressed as  $\gamma - \gamma_0$ , were monitored over 400-600 min.  
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14 The same monolayer experiments were conducted in the absence of lipid monolayers to  
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16 determine the surface activity and adsorption kinetics of NPs and NP-HC complexes at the air-  
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18 water interface. Sample volumes of 2 mL were removed from the Langmuir trough subphase at  
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20 the end of the monolayer experiments to determine the concentration of PS NPs by UV-vis  
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22 spectroscopy (Jasco, Tokyo, Japan) based on the maximum peak height at a wavelength of 240  
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24 nm after baseline subtraction. All experiments were conducted at least in duplicate.  
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29 The morphology of the monolayers was visualized using fluorescence and Brewster angle  
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31 microscopy. For fluorescence microscopy, the Langmuir films were transferred to plasma  
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33 cleaned glass slides using the Langmuir-Blodgett (LB) deposition technique at constant surface  
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35 pressures of 10, 20, or 30 mN m<sup>-1</sup> at a deposition rate of 0.5 mm min<sup>-1</sup>.<sup>73</sup> A CytoViva microscope  
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37 equipped with a Dual Mode Fluorescent Module was used to obtain fluorescent images of the  
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39 deposited film. Brewster Angle Microscopy (BAM) was used to enable real-time observation of  
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41 monolayers at the air-water interface in a Langmuir trough. BAM provides information on  
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43 homogeneity, phase behavior and the film morphology by detecting changes in the refractive  
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45 index of the water surface in the presence of surfactants or surface-active molecules.  
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## 51 **Results and Discussion**

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**Characterization of nanoparticles and nanoparticle-corona complexes.** The average diameter ( $d$ ) of the unmodified, carboxylate- and amine-modified PS NPs was  $98 \pm 9$  nm based on TEM analysis (Figure S1). Similar hydrodynamic diameters ( $d_h$ ) were measured for all three NPs (Figure 1A), which was consistent with the average particle diameter measured by TEM. The carboxylate PS-COOH NPs and the unmodified PS NPs were negatively charged, with the surface charge on the unmodified particles due to an anionic surfactant coating (Figure 1B). The amine PS-NH NPs were cationic owing to the secondary amine groups.



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3 **Figure 1.** (A) Average hydrodynamic diameters ( $d_h$ ), (B) the  $\zeta$ -potential of NPs and NP-HC  
4 complexes, and (C) the increase in NP  $d_h$  upon adsorption of HSA (inset: representative  
5 micrograph of PS-HC complexes; HSA is negatively stained). Samples were prepared in pH 7.4  
6 PBS and the reported values are based on triplicate measurements of three different samples. (D)  
7 The calculated amounts of HSA comprising the protein corona for the different NP-HC  
8 complexes (inset: schematic of the dimensions of the HSA as an equilateral triangular prism<sup>74</sup>).  
9 Error bars correspond to one standard deviation for triplicate experiments.  
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22 The changes in  $\zeta$ -potential and  $d_h$  of the particles (Figures 1A, B) with a protein corona  
23 provided direct evidence of the complexation of PS NPs by HSA. Figure 1C shows the increase  
24 of PS NPs hydrodynamic diameter upon HSA incubation for concentrations ranging from 0.1 to  
25 600  $\mu$ M HSA. From these results a concentration of 300  $\mu$ M HSA was assumed to saturate the  
26 NP surface and form a close-packed protein monolayer.<sup>69</sup> The increase in  $d_h$  due to corona  
27 formation was  $\sim 20$  nm and was common to all three PS NPs corresponding to a shell thickness  
28 of  $\sim 10$  nm (Figure 1A), which was similar to the z-averaged hydrodynamic diameter measured  
29 for HSA ( $10.7 \pm 2.3$  nm). An HSA shell thickness of  $7 \pm 1$  nm for PS-HC complexes was further  
30 shown by negative-staining TEM imaging (Figure 1C, inset). Upon protein complexation, the  $\zeta$ -  
31 potential of the particles became either negative, in the case of amine-modified PS NPs, or less  
32 negative for unmodified and carboxylate-modified PS NPs, approaching the value measured for  
33 HSA in PBS ( $-9.9 \pm 1.2$  mV) (Figure 1B). These data indicate that NPs form complexes with  
34 HSA, and that complexation occurred for all three PS nanoparticles with different surface  
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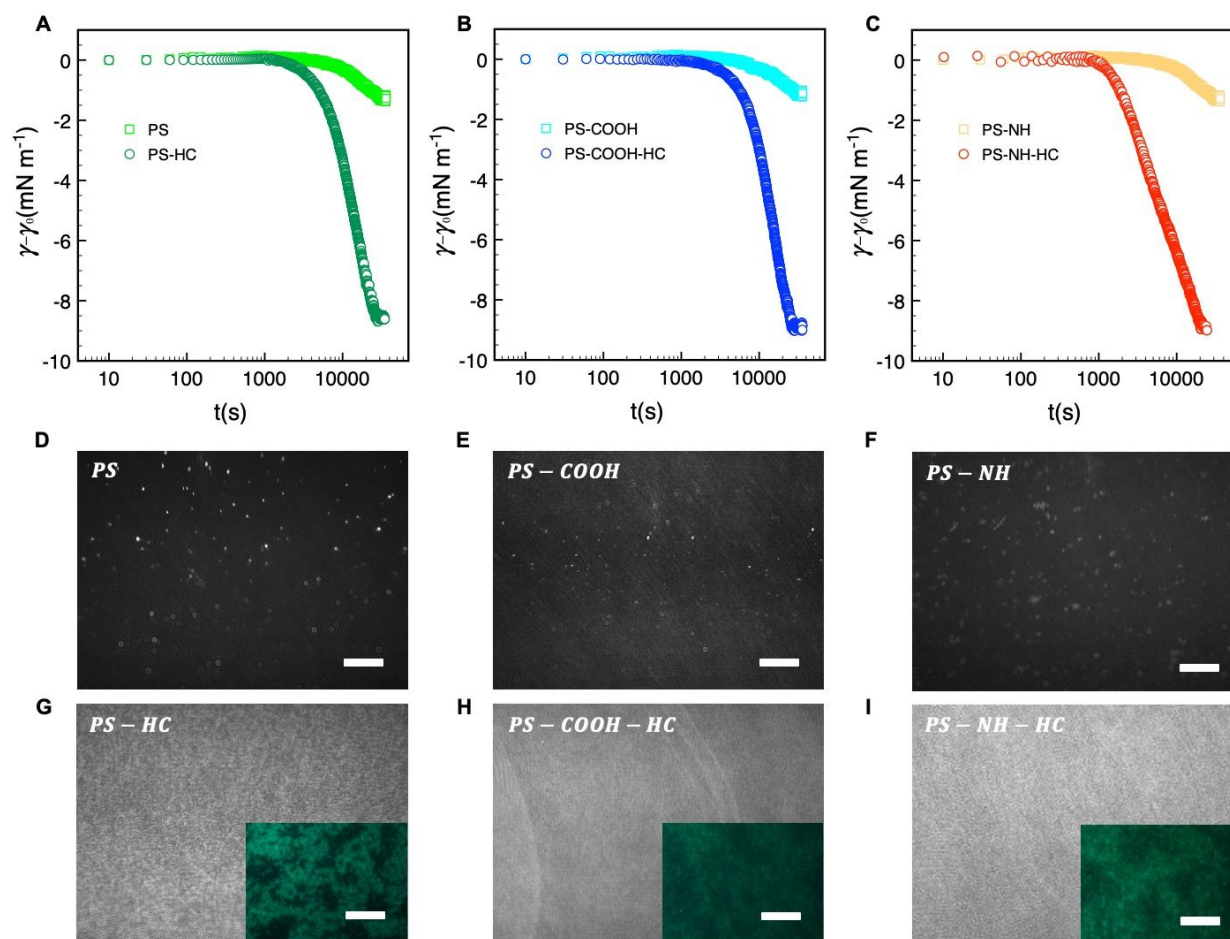
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3 Considering the dimensions of HSA ( $76 \times 76 \times 28 \text{ \AA}^3$ )<sup>74</sup> and the nanoparticle surface area  
4 ( $\pi d_h^2$ ), we estimated that  $1.0 \times 10^3$  to  $1.5 \times 10^3$  HSA molecules (based on flat or edge-on binding  
5 configurations, respectively) are required to form a close-packed monolayer of protein corona.  
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7 This was confirmed by TGA where  $1.0 \times 10^3$  to  $1.1 \times 10^3$  HSA per NP were measured at saturation  
8 (Figure 1D), which is in good agreement with our calculations for HSA binding.  
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15 Insight into the mechanism of HSA binding and corona formation can be gained from the  
16 protein surface charge distribution and the Debye screening length ( $\kappa^{-1}$ ) at physiological salt  
17 concentration in PBS. The structure of HSA at pH 7.4 was determined computationally and  
18 shows the coexistence of acidic (blue; aspartic acid, glutamic acid) and basic (red; arginine,  
19 histidine, lysine) amino acid residues on the protein surface (Figure S2). Hydrophobic (silver)  
20 and polar (yellow) residues are also shown. Despite the net negative charge of HSA, positive and  
21 negative amino acids are distributed on its surface and provide local binding sites for opposite  
22 charges. Furthermore,  $\kappa^{-1}$  is approximately 0.8 nm (150 mM monovalent ions), which means that  
23 the proteins and NPs come into close contact before experience electrostatic interactions. At this  
24 length scale van der Waals attraction was assumed to be the driving force for HSA adsorption,  
25 aided by the mixed charge distribution on the protein surface.  
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42 ***Dynamic interfacial tension of nanoparticles and nanoparticle-corona complexes at the air-***  
43 ***water interface.*** Dynamic adsorption behavior can be described by a three-stage process as  
44 depicted in Figures 2A-C, respectively. During stage 1,  $\gamma$  decreases slowly due to the adsorption  
45 of individual particles to a pristine interface. As the excess surface concentration of NPs  
46 increases during stage 2,  $\gamma$  decreases more rapidly. As  $t \rightarrow \infty$  during stage 3 the interface  
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approaches maximum coverage and the rate of NP surface adsorption decreases due to a steric barrier. During this stage  $\gamma$  plateaus, reflecting a pseudo-equilibrium condition.

As shown in Figures 2A-C, bare NPs were not appreciably surface active with a maximum change in interfacial tension of approximately  $-1.5 \text{ mN m}^{-1}$  that we attribute to particle adsorption at the interface. HSA corona complexation rendered the NPs surface active due to hydrophobic interactions at the air-water arising from the hydrophobic amino acid residues of the proteins interface.<sup>75</sup> Similar surface activities, or reductions in interfacial tension, were observed for all three NP-HC complexes and the difference between the pseudo-equilibrium interfacial tension at the end of stage 3 with and without a corona was approximately  $-7 \text{ mN m}^{-1}$ .

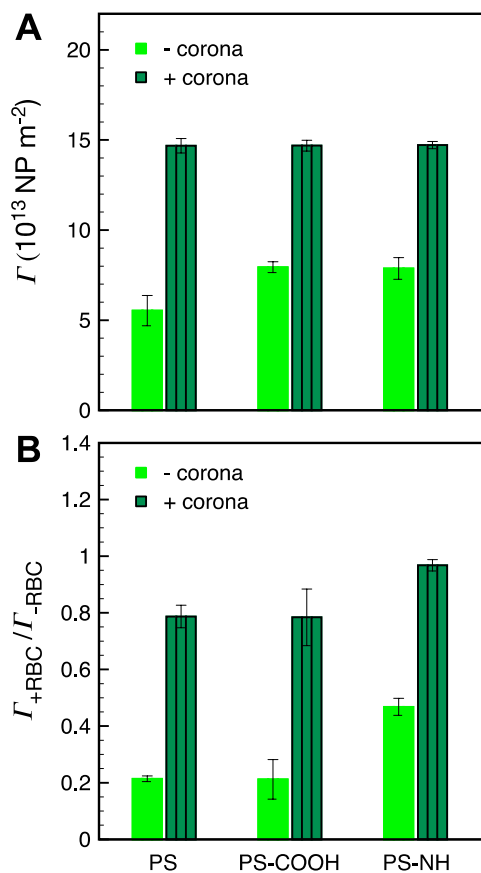


**Figure 2.** Dynamic changes in surface tension for (A) PS, (B) PS-COOH, and (C) PS-NH nanoparticles before and after complexation with HSA plotted in a semi-logarithm scale. Representative fluorescence microscopy (scale bars = 20  $\mu\text{m}$ ) and BAM images (scale bars = 300  $\mu\text{m}$ ) are shown for (D) PS, (E) PS-COOH, and (F) PS-NH NP and (G) PS-HC, (H) PS-COOH-HC, and (I) PS-NH-HC complexes at the air-water interface at pseudo-equilibrium conditions ( $t \rightarrow \infty$ ).

The morphology and packing of NP and NP-HC Langmuir films at the interface were examined at the pseudo-equilibrium condition (stage 3) using fluorescence microscopy and BAM. Bare NPs adsorbed at the interface and formed fractal aggregates on the micrometer scale and larger due to attractive van der Waals and capillary interactions<sup>76</sup> (Figures 2D-F; Figures S3A, B). For NP-HC complexes, the formed monolayers were thicker based on BAM reflectance (Figures 2G-I) and comprised of denser aggregates that we attribute to a combination of capillary interactions and interparticle attraction driven by the hydrophobic interactions between coronas with restructured proteins at the air-water interface (Figures 2G-I inset; Figures S3C, B).

The subphase concentrations of PS NPs were analyzed by UV-vis spectroscopy at the end of stage 3 to further quantify the extent of NP and NP-HC adsorption at the air-water interface. The NP surface concentrations,  $\Gamma$ , were determined by mass balance as  $\Gamma = (c_i - c_{eq})_b V (V_{NP} \rho_{PS} A)^{-1}$  where  $(c_i - c_{eq})_b$  is the change in bulk PS concentration from initial ( $c_i$ ) to pseudo-equilibrium ( $c_{eq}$ ),  $V_{NP}$  is the mean PS NP volume,  $\rho_{PS}$  is the density of polystyrene, and  $V$  and  $A$  are the trough volume and area, respectively. For bare NPs, the surface concentrations reflect maximum fractional surface coverages ( $\theta_\infty$ ) from 0.34 to 0.48. The presence of a protein corona increased NP adsorption, and the surface excess concentration for all three types of NP-

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3 HC complexes was similar at  $\Gamma_{+corona} \approx 15 \times 10^{13}$  NP m<sup>-2</sup> (Figure 3). At this surface  
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5 concentration  $\theta_{\infty} \approx 0.9$ . The increase in adsorption due to corona complexation was  
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7 approximately 45% for PS-NH and PS-COOH, and 62% for unmodified PS. While the value of  
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9  $\theta_{\infty} \approx 0.9$  is near that for a hexagonally packed monolayer of spheres (0.91)<sup>77</sup>, the microscopy  
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11 analysis shows that the PS-HC layers were comprised of interfacial aggregates rather than a  
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13 continuous monolayer.  
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**Figure 3.** Surface concentration ( $\Gamma$ , NP m<sup>-2</sup>) of PS nanoparticles with and without a formed corona (- corona and + corona, respectively) (A) at the air-water interface and (B) at the air-lipid-water interface. Results in (B) show the change in concentration,  $\Gamma_{+RBC}/\Gamma_{-RBC}$ , when the RBC



lipid layer is present relative to an air-water interface. Error bars represent one standard deviation of triplicate experiments.

### ***Adsorption kinetics of nanoparticles and nanoparticle-corona complexes at the air-water***

***interface.*** The presence of a hard corona on PS nanoparticles leads to similar apparent surface activities (Figures 2A-C) and similar interfacial structures (Figures 2G-I), consistent with the view that formed protein coronas govern the physicochemical properties of nanoparticles. To determine if corona formation also leads to similar kinetics of NP adsorption, the dynamic interfacial tension results were analyzed using the model of Ward and Tordai.<sup>81</sup> The following asymptotic equations have been employed to interpret data from the early ( $t \rightarrow 0$ ) and late ( $t \rightarrow \infty$ ) times of NP adsorption.

At early times (stage 1), an individual NP that is adsorbing to the interface encounters a bare interface leading to a change in  $\gamma$ . Assuming there is no barrier to adsorption at this stage, particle diffusion to the interface is the rate-limiting step and the diffusion-controlled Ward and Tordai mechanism can be applied.<sup>78</sup> Bizmark et al.<sup>79</sup> modified the Ward and Tordai model to account for NPs larger than 10 nm with adsorption trapping energy exceeding  $10^3 k_B T$

$$\gamma = \gamma_0 - 2N_A |\Delta E| C_0 \sqrt{\frac{Dt}{\pi}} \quad (1)$$

where,  $N_A$  is Avogadro's number,  $\Delta E$  is the trapping energy of a single particle at the interface,  $D$  is the NP diffusion coefficient, and  $C_0$  is the bulk molar concentration. The number of NPs adsorbed at the interface is significantly less than that remaining in the bulk and  $C_0$  is assumed to be constant throughout the adsorption process. Surface coverage at any time during the adsorption process can be calculated from the measured interfacial tension<sup>82</sup>

$$\frac{\theta}{\theta_{\infty}} = \frac{\gamma_0 - \gamma}{\gamma_0 - \gamma_{\infty}} \quad (2)$$

where  $\theta$  is the surface coverage for a given  $\gamma$ , and  $\gamma_{\infty}$  is the equilibrium interfacial tension.

Measured values for  $\theta_{\infty}$  were used based on the excess PS surface concentrations at the end of adsorption process.

The stage 1 region in Figure 2A-C were defined by the range  $\theta = 0$  to 0.3,<sup>80</sup> providing a basis for calculating  $\gamma$  at  $\theta = 0.3$  using equation (2). Considering the stage 1 adsorption energy as  $|\Delta E| = \frac{(\gamma_0 - \gamma_{\infty})\pi r^2}{\theta_{\infty}}$ , effective diffusion coefficients,  $D$ , were determined based on equation (1) by linear regressions of  $\gamma - \gamma_0$  vs.  $t^{0.5}$ . Table 1 reports the values of  $D$  for NPs and NP-HC complexes, and compares them to diffusion coefficients predicted by the Stoke-Einstein equation  $D_{SE} = \frac{k_B T}{6\pi\mu r}$ , where  $r$  is the hydrodynamic radii of the particles and  $\mu$  is the viscosity of water at room temperature. Values of  $D$  and  $D_{SE}$  differ by just 30 to 47%, indicating that equation (1) is valid during the early times adsorption of particles from the bulk to the air-water interface.

Using  $D_{SE}$  values, equation (1) was then used to calculate the stage 1 adsorption energy,  $|\Delta E_{S1}|$ . As shown in Table 1, the magnitude of the adsorption energy correlated with the  $\zeta$ -potential of the NPs where  $|\Delta E_{S1}|$  increased as the  $\zeta$ -potential became less negative (or more positive). This result is consistent with anionic NPs being electrostatically repelled from the air-water interface, which has been shown to have a negative surface potential.<sup>80,81</sup>

Unlike NP adsorption, two distinct stages with clearly different slopes were observed for NP-HC complexes when  $\gamma - \gamma_0$  vs.  $t^{0.5}$  was plotted from  $\theta = 0$  to 0.3 (Figures S4A, B). This is consistent with recent work by Tian et al.<sup>82</sup> for the adsorption kinetics of poly(ethylene oxide) (PEO)-modified polystyrene NPs to air-water interfaces. As shown in Figure 2, although the transition between these two stages occurs at an earlier time for PS-NH-HC compared to PS-HC

and PS-COOH-HC, no statistically significant difference in interfacial tension is observed at this transition point between the three types of NP-HC complexes. For stage 1, we calculated the diffusion coefficients and adsorption energies of NP-HC complexes as we did for bare NPs; using the slope of  $\gamma - \gamma_0$  vs.  $t^{0.5}$  according equation (1). Values for  $D$  and  $D_{SE}$  are remarkably similar and confirm the effects of adsorbed coronas on increasing the hydrodynamic diameters and reducing diffusivity compared to the bare NPs. The effect of the corona can also be observed in  $|\Delta E_{S1}|$ , with significantly greater values being measured for NP-HC complexes due to hydrophobic interactions at the air-water interface (i.e. greater thermodynamic driving force for particle trapping at the interface). The greatest driving force is observed for the particles where the corona is formed on cationic amine-modified PS, which also has a  $\zeta$ -potential closest to zero.

**Table 1.** Diffusion coefficients ( $D_{SE}$ ,  $D$ ), stage 1 and stage 2 adsorption energies ( $|\Delta E_{S1}|$  and  $|\Delta E_{S2}|$ , respectively), and stage 3 adsorption constants ( $k_a$ ) associated with nanoparticle and nanoparticle-corona adsorption kinetics. Errors correspond to one standard deviation from triplicate experiments.

			Stage 1	Stage 2	Stage 3
	$D_{SE}$	$D$	(Diffusion-controlled)	(Protein restructuring)	(Barrier-controlled)
	( $10^{-12} \text{ m}^2 \text{ s}^{-1}$ ) <sup>a</sup>	( $10^{-12} \text{ m}^2 \text{ s}^{-1}$ )	$ \Delta E_{S1} $	$ \Delta E_{S2} / \Delta E_{S1} $	$k_a$
			( $10^4 k_B T$ , J)		( $10^{-6} \text{ m s}^{-1}$ )
PS	$4.91 \pm 0.12$	$7.92 \pm 0.78$	$1.35 \pm 0.02$	–	–
PS-COOH	$4.73 \pm 0.29$	$6.78 \pm 0.15$	$1.81 \pm 0.05$	–	–
PS-NH	$4.63 \pm 0.35$	$6.25 \pm 0.18$	$2.61 \pm 0.02$	–	–
PS-HC	$3.99 \pm 0.30$	$3.78 \pm 0.37$	$8.11 \pm 0.19$	6.86	$1.70 \pm 0.19$
PS-COOH-HC	$3.71 \pm 0.27$	$3.31 \pm 0.18$	$6.13 \pm 0.24$	7.43	$1.51 \pm 0.93$
PS-NH-HC	$3.54 \pm 0.16$	$4.54 \pm 0.93$	$8.99 \pm 1.03$	11.39	$5.83 \pm 0.42$
HSA	$66.0 \pm 6.1$	–	$14.64 \pm 0.95^b$	2.16	–

<sup>a</sup>Standard deviation in  $D_{SE}$  based measured standard deviations of hydrodynamic radii.

<sup>b</sup>Unit of  $10^0 k_B T$ .

At the end of stage 1 the surface coverage,  $\theta$ , was less than 0.05 for the NP-HCs. Hence, for stage 2 we inferred that particle adsorption is also diffusion-controlled and that the Stokes–Einstein equation can be applied to estimate the diffusion coefficients of the NP-HCs. The adsorption energy during stage 2,  $|\Delta E_{S2}|$ , was calculated according to equation (1) (Table 1; reported as  $|\Delta E_{S2}|/|\Delta E_{S1}|$ ) and was approximately 7- to 11-fold greater than for stage 1. The observed two-stage transition for NP-HC complexes is attributed to initial particle diffusion (stage 1) followed by protein restructuring (stage 2) at the air-water interface.<sup>78</sup> Protein restructuring, exposing hydrophobic residues at the air-water interface as the protein unfolds, led to significant reductions in interfacial tension accompanied by high adsorption energies.

As the interface becomes saturated ( $t \rightarrow \infty$  and  $\theta > 0.75$ ),<sup>79</sup> the presence of adsorbed particles hinders additional particle attachment. Stage 3 adsorption kinetics can be described by introducing a blocking function to the long-time Ward and Tordai approximation to account for the adsorption barrier at high NP surface coverage<sup>83</sup>

$$\gamma = \gamma_{\infty} + \frac{K_1 |\Delta E|}{(\pi r^2)^2 N_A C_0} \sqrt{\frac{1}{Dt}} \quad (3)$$

$$K_1 = \theta_{\infty} \sqrt{\frac{\theta_{\infty}}{4.64 \underline{k}_a}} \quad (4)$$

where,  $K_1$  is the dimensionless reaction coefficient, and  $\underline{k}_a$  is the dimensionless adsorption constant. The adsorption constant,  $k_a$ , can be determined as,  $k_a = \underline{k}_a D N_A C_0 \pi r^2$ .

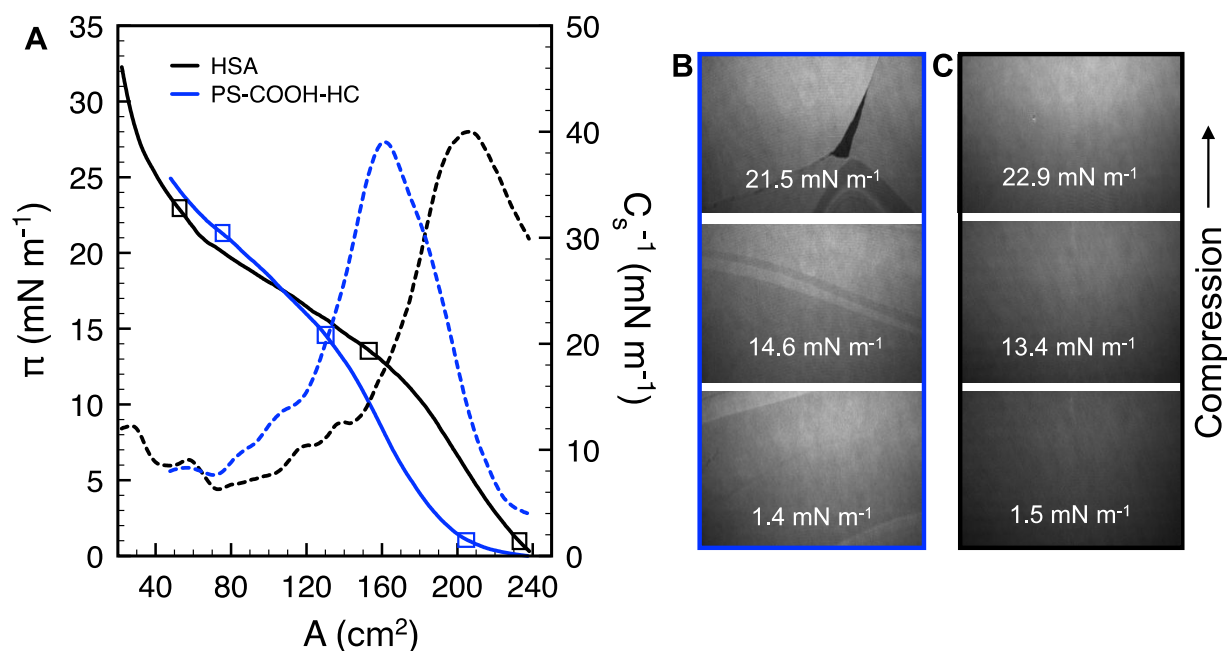
For bare PS NPs  $\theta_{\infty} \leq 0.48$ , indicating that adsorbing particles did not experience a crowded interface and the adsorption is diffusion-controlled at any time during the process. For NP-HC complexes,  $k_a$  was calculated from the gradient of  $\gamma - \gamma_0$  vs.  $t^{-0.5}$  (shown for PS-

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3 COOH-HC in Figure S4C). The values of  $k_a$  for all NP-HC complexes are listed in Table 1. PS-  
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5 NH-HC complexes have greater adsorption constant compared to unmodified and carboxylate-  
6  
7 modified NP-HC complexes. The greater value of  $k_a$  for PS-NH-HC denotes a faster rate of  
8  
9 adsorption in stage 3, which is consistent with greater adsorption energies for particle attachment  
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11 ( $|\Delta E_{S1}|$ ) and protein restructuring ( $|\Delta E_{S2}|$ ) for the amine-modified PS compared to the anionic  
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13 nanoparticles.  
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20 ***Surface pressure-area isotherms of nanoparticles and nanoparticle-corona complexes at the***

21 ***air-water interface.*** The compressibility and structure of the interfacial layers were further  
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23 examined through surface pressure-area ( $\pi - A$ ) isotherms and BAM. HSA alone shows the  
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25 characteristic sigmoidal shape with a steep increase in  $\pi$  (reduction in  $\gamma$ ) upon initial  
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27 compression as restructured proteins pack at the interface, followed by a transition near  $\pi = 12$   
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29  $\text{mN m}^{-1}$  where hydrophilic residues are expelled from the interface due to steric hinderance  
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31 (Figure 4A).<sup>84</sup> Additional compression yields a second transition near  $\pi = 20 \text{ mN m}^{-1}$  as the  
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33 reconfigured proteins continue to pack. A maximum surface compressional modulus ( $C^{-1} = -A$   
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35  $\frac{d\pi}{dA}$ ), or resistance to packing, is observed at  $40 \text{ mN m}^{-1}$ . The NP-HC complexes exhibit strikingly  
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37 similar behavior; both transitions are observed and shape of the  $C^{-1}$  vs.  $A$  curves are nearly  
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39 superimposable for PS-COOH-HC at the point of inflection (Figure 4A; position of maximum  
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41  $C^{-1}$ ). PS-HC exhibited similar behavior (Figure S5A1). This similarity is not attributed to  
42  
43 unbound HSA in NP-HC samples as we confirmed that there was no measurable unbound HSA  
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45 after the separation step during corona formation, consistent with previous work showing that  
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47 protein coronas are stable, exhibiting little protein desorption.<sup>69</sup> These results demonstrate that  
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49 HSA bound within a corona complex behaves similarly to unbound HSA at the air-water  
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interface, which infers that the free energy change associated with surface activity and corresponding conformational changes competes with the attractive protein-particle interactions that lead to corona formation. Complexes formed with cationic PS NPs, PS-NH-HC (Figure S5B1), deviated slightly and exhibited a  $C^{-1}$  that was  $10 \text{ mN m}^{-1}$  lower than HSA or the other NP-HC complexes. This reflects a lower resistance to compression that may be due to a greater preference for the proteins to remain in the NP-bound state as indicated by the stronger binding and restructuring energies determined from the kinetic analysis. The corona proteins (negatively charged) may also have resisted reconfiguration due to stronger electrostatic interactions with the positively charged particle surface.



**Figure 4.** Surface pressure-area isotherms ( $\pi - A$ ) and corresponding surface compressional moduli ( $C^{-1}$ ) for HSA and the PS-COOH hard corona complexes (PS-COOH-HC) at the air-water interface. The open squares shown on the isotherms denote the conditions for BAM

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3 imaging shown in B. BAM images for HSA alone are shown in C. Scale bars in BAM images  
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5 represent 300  $\mu\text{m}$ .  
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10 BAM analysis of the films show thick NP-HC monolayers, based on reflectance, and a  
11 variety of lateral and clustered phases that span the compression range (Figures 4B; S5A2, B2).  
12 These phases are not observed for HSA alone (Figure 4C), though small differences in HSA film  
13 thickness were evident. At no point in the NP-HC isotherms were void spaces (black regions  
14 void of reflectance) observed, indicating the coexistence of dense and lean NP-HC regions. The  
15 exception to this is for PS-COOH-HC at high compression ( $\pi = 21.5 \text{ mN m}^{-1}$ ; Figure 4B) where a  
16 defect is seen in the layer. It is interesting to note that the apparent thickness (reflectance) of the  
17 NP-HC layers did not change significantly with compression as shown for HSA. To  
18 accommodate the NP-HC layers with compression some of the particles must have been  
19 displaced into the aqueous phase without forming wrinkles, at least at the length scales  
20 observable by BAM imaging.  
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38 ***Nanoparticle and nanoparticle-corona complexes at the air-lipid-water interface.*** We now  
39 compare the behavior of NPs and NP-HC complexes at an air-water interface to when a model  
40 RBC lipid monolayer is present. Dynamic changes in interfacial tension were determined as  
41  $\gamma - \gamma_L$ , where  $\gamma_L$  is the initial interfacial tension at air-lipid-water interface ( $\gamma_L = 42.5 \text{ mN m}^{-1}$   
42 corresponding to an initial surface pressure,  $\pi = \gamma_0 - \gamma_L$ , of  $30 \text{ mN m}^{-1}$ ). At this surface pressure  
43 the compressed monolayer has an average area per lipid,  $A$ , of  $63 \text{ \AA}^2 \text{ molecule}^{-1}$  with coexisting  
44 liquid-expanded (LE; rich in POPC and POPE lipids) and liquid-condensed (LC; rich in SM  
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lipid) phases, as previously observed<sup>63</sup> (Figure S6). By compressing the monolayer to  $\pi = 30$  mN m<sup>-1</sup> we can achieve an area per lipid representative of lipid packing within a cell membrane.

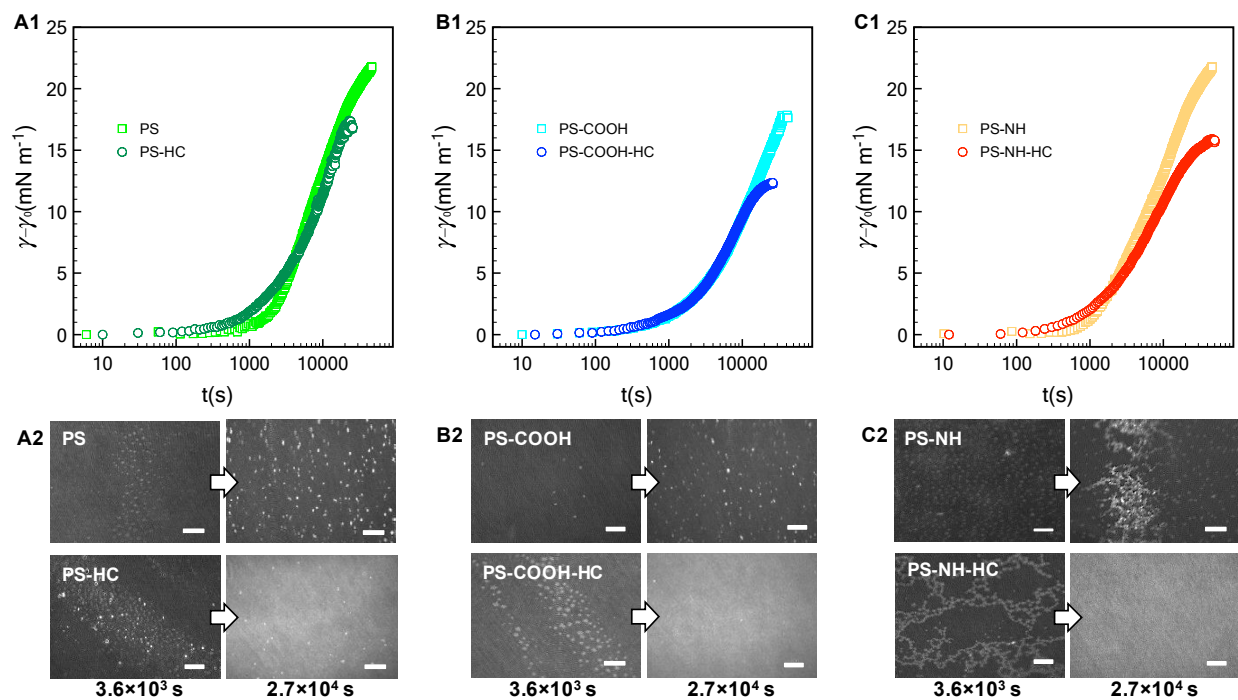
Interfacial tensions are often reported to decrease with time as proteins or protein-nanoparticle complexes penetrate into a lipid monolayer and fill void space between lipids at the air-water interface.<sup>85</sup> We observed this for initial surface pressures less than 10 mN m<sup>-1</sup> where  $A \geq 82 \text{ \AA}^2 \text{ molecule}^{-1}$  (Figure S6). However, increases in interfacial tension were observed for HSA (Figure S7; shown as decreases in  $\pi$ ) and for NP and NP-HC complexes (Figure 5A1-C1) at an initial surface pressure of 30 mN m<sup>-1</sup>. We measured the surface concentrations of PS and PS-HC complexes that produced the increases in interfacial tension. Based on the relative concentrations with and without an RBC monolayer present,  $\Gamma_{+RBC}/\Gamma_{-RBC}$  (Figure 3B), the monolayer reduced the amount of bare PS nanoparticles at the air-lipid-water interface by 80% (PS, PS-COOH) and 50% (PS-NH), which correlates to a  $\theta_\infty$  of approximately 0.07 (PS), 0.1 (PS-COOH), and 0.24 (PS-NH). Comparatively, particles with protein coronas showed a high degree of surface coverage similar to when no lipid monolayer was present.

BAM imaging was conducted at early ( $10^3$  s) and late ( $10^4$  s) times as the NPs and NP-HC complexes adsorbed to and interacted with the lipid monolayer (Figure 5A2-C2). Coexisting LE-LC phases are observed at early times for NPs with and without formed coronas. Bare NPs accumulate at the interface and appear to thicken LC domains (some free, lighter grey LC domains are still observed). For anionic PS and PS-COOH, binding is consistent with electrostatic and charge-dipole interactions with LC domains, where the lipid dipole moment extends perpendicular to the air-water interface with the positively charged choline headgroup extending into the aqueous subphase.<sup>32,86-88</sup> For cationic PS-NH, large fractal aggregates of NPs are observed, reflecting the greater measured surface coverage,  $\theta_\infty$  (Figure 5C2). Unlike anionic



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3 NPs, cationic NPs have been shown to preferential bind to LE phases where the lipid headgroup  
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5 dipole is parallel to the interface and the negatively charged phosphate group is accessible.<sup>88</sup>  
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8 Therefore, we attribute the structures formed with PS-NH to NP binding primarily to the LE  
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10 phase followed by NP aggregation. Free LC domains remain present throughout the process.  
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12           The presence of a HC, where hydrophobic interactions between HSA and zwitterionic  
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14 lipids are dominant, led to the formation of unique structures composed of clustered LC domains  
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16 at early times, and a thick interfacial layer similar to HSA at the air-lipid-water interface at  
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18 pseudo-equilibrium. Interactions between HSA and zwitterionic lipid monolayers have been  
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20 shown to decrease with increasing lipid packing (lower area per lipid),<sup>89</sup> suggesting that the NP-  
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22 HC complexes preferentially bind to the LE phase. Given the high surface coverage of the  
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24 corona complexes at the air-lipid-water interface and the observed aggregation behavior at the  
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26 air-water interface Figure 2), we ascribe the clustering of LC domains to these domains being  
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28 excluded from LE domains with bound aggregates of NP-HC complexes.  
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**Figure 5.** Dynamic changes in interfacial tension for (A1) PS, (B1) PS-COOH, and (C1) PS-NH nanoparticles before and after complexation with HSA, plotted in a semi-logarithm scale. Corresponding BAM images are shown in A2-C2 at early ( $10^3$  s) and late ( $10^4$  s) times during the adsorption process. Scale bars in A2-C2 represent 300  $\mu$ m.

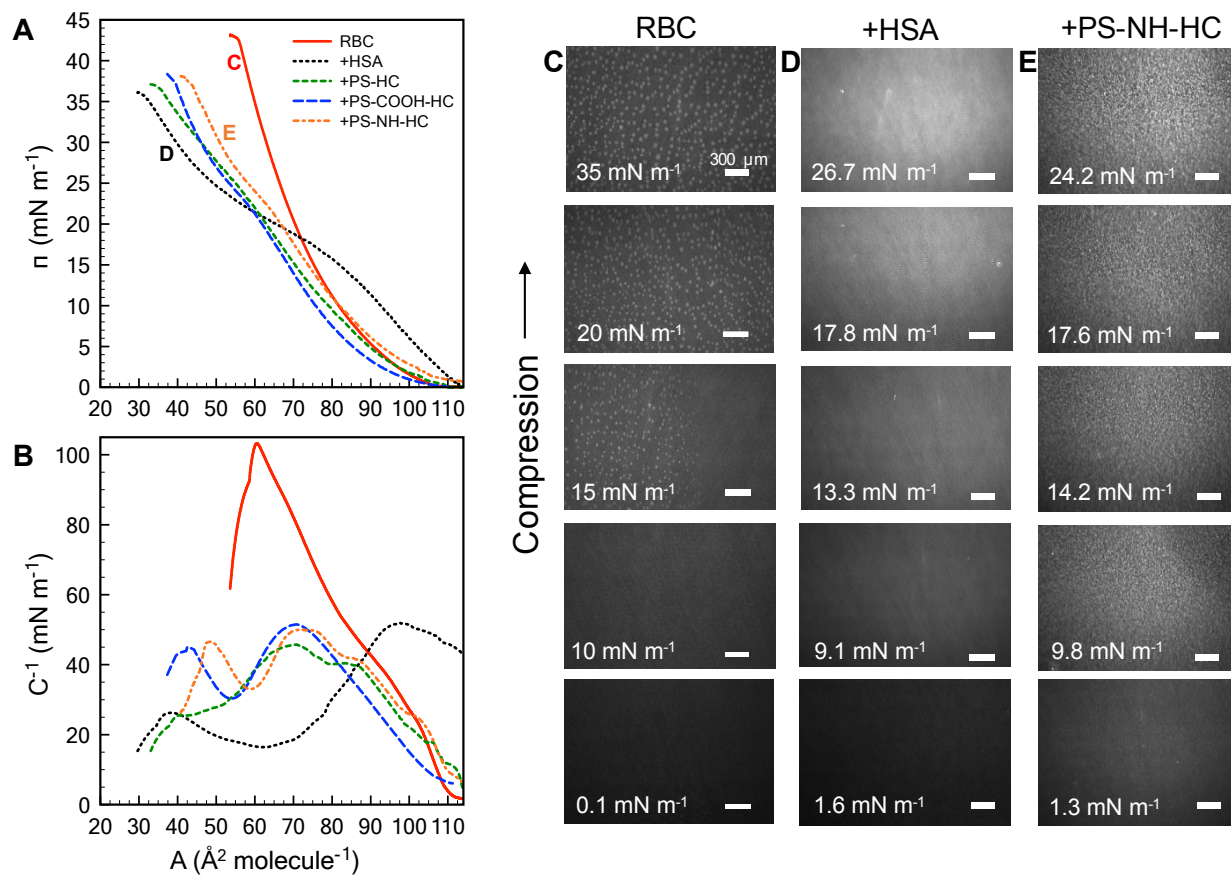
The significant difference in surface coverage between bare and corona-complexed NPs, and distinct differences in interfacial structure, suggests that different mechanisms are at play. Bare NPs appear to have preferentially bound to LC or LE phases, adsorbing lipids and partially removing them from the interface, while NP-HC complexes and the lipids remained an integral part of the interfacial layer. Di Silvio et al.<sup>67</sup> showed that bare 100 nm PS-COOH NPs disrupted a zwitterionic supported lipid bilayer by lipid extraction. Bilayer disruption was observed when the particles were coated with a soft protein corona of fetal bovine serum, but not a hard corona. This was attributed to the weakly bound soft corona proteins (or free proteins) acting in concert

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3 with the NPs on the membrane surface. Our results demonstrating that the NP-HC complexes  
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5 disrupt monolayers and that HC proteins drive this disruption may reflect the different protein  
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7 used (HSA vs. FBS) and the more fluid nature of a monolayer. Out of plane distortions are more  
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9 restricted in a supported lipid monolayer that is adsorbed onto a solid surface.  
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12 Surface pressure-area isotherms were generated under compression for the lipid  
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14 monolayers with NP-HC complexes (Figure 6A). The RBC monolayer exhibited a continuous  
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16 increase in  $\pi$  with decreasing area, the nucleation of LC domains between 10 to 15 mN m<sup>-1</sup>  
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18 (bright spots at  $\pi \geq 15$  mN m<sup>-1</sup> in BAM images; Figure 6C), and the growth of the LC domains  
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20 up to 35 mN m<sup>-1</sup>. With the addition of HSA, the isotherm resembles that of HSA alone at the air-  
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22 water interface, with hydrophilic residues expelled from the interface at a slightly higher surface  
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24 pressure ( $\pi = 15$  mN m<sup>-1</sup> compared to 12 mN m<sup>-1</sup>). LC domains were not observed, and the  
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26 structure of the layer was again visually similar to HSA alone based on reflectance (Figures 4D,  
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28 6D). These results indicate that the interface was comprised of HSA-lipid complexes, with these  
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30 complexes being “squeezed out” of the monolayer at high surface pressures. The reversibility of  
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32 the compression-expansion isotherms (data not shown) suggests that the displaced complexes  
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34 remain near the interface and re-adsorbed at low surface pressures, consistent with previous  
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36 results for bovine serum albumin and zwitterionic dipalmitoylphosphatidyl ethanolamine.<sup>90</sup>  
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42 With NP-HC complexes the intrinsic behavior of the proteins driving the surface activity  
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44 and compressibility of the complexes at an air-water interface is also observed at an air-lipid-  
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46 water interface. Transitions in surface pressure between 20-25 mN m<sup>-1</sup> due to hydrophilic  
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48 residues being expelled from the interface are observed for NP-HC complexes. The  
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50 conformational changes in corona proteins at the air-water interface also occur at the air-lipid-  
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52 water interface. Maximum  $C^{-1}$  values were 103 mN m<sup>-1</sup> for the lipid monolayer, consistent with  
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previous results for a model RBS outer membrane leaflet,<sup>61</sup> 52 mN m<sup>-1</sup> when exposed to HSA, and ranged from 46-51 mN m<sup>-1</sup> for mixed layers of lipid+NP complexes. The presence of lipid added additional compressibility compared to the air-water interface, however the values were similar to HSA alone.



**Figure 6.** Surface pressure-area isotherms (A) and surface compressional moduli (B) of the RBC monolayer alone and exposed to HSA and the NP-HC complexes. Corresponding BAM images are shown for (BC) the RBC monolayer exposed to (D) HSA or (E) PS-NH-HC. Scale bars in C-E represent 300 μm.

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3 BAM images for PS-NH-HC are shown in Figure 6E (PS-HC and PS-COOH-HC led to  
4 the same structures and are not shown). Small, bright spots are observed at  $\pi \geq 9.8 \text{ mN m}^{-1}$  and  
5 become larger with compression. This is attributed to the increasing displacement of lipid/PS  
6 complexes into the air, whereas HSA-lipid complexes are displaced into the aqueous phase. The  
7 displacement of lipid/PS complexes above the interface may be due to adsorbed lipids rendering  
8 them more hydrophobic. Therefore, the increases in dynamic interfacial tension observed in  
9 Figure 5 are likely due to the formation of lipid/PS complex and the displacement behavior  
10 rather than lipid condensation, which has been previously reported for charged nanoparticles  
11 interacting with zwitterionic lipid monolayers or bilayers.<sup>32,86–88</sup>  
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## 26 **Conclusions**

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28 Surface pressure measurements were coupled with fluorescence and Brewster angle  
29 microscopy to investigate the effects of a serum protein corona on the intrinsic surface activity of  
30 charged polystyrene nanoparticles and on the interactions with a model human red blood cell  
31 (RBC) lipid monolayer. We show that ‘classic’ theoretical models can capture the adsorption  
32 kinetics of bare and corona-complexed NPs, and the additional particle trapping energies  
33 associated with protein restructuring, which was confirmed experimentally. The ability for  
34 proteins within a corona to restructuring infers an additional driving force for nanoparticle-  
35 corona complexes to adhere to biological interfaces. This was further observed with the lipid  
36 monolayer present for all NP-HC complexes examined, suggesting that the protein corona  
37 controlled monolayer adhesion. Interestingly, the lipid monolayer prevented the majority of the  
38 bare nanoparticles from adsorbing at the interface (compared to an air-water interface), but was  
39 able to accommodate most of the NP-HC complexes because of their appreciable surface  
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3 activity. In addition to the surface activity, this may reflect the affinity of HSA for the lipids –  
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5 HSA binds and transports fatty acids through the bloodstream. We should point out that, while  
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7 other studies with serum coronas have shown similar features,<sup>50,94</sup> this work represents an initial  
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9 demonstration of the interfacial interactions that occur when nanoparticle-HSA corona  
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11 complexes interact with a model lipid monolayer. We expect that the surface activity-based  
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13 approach may translate more broadly to other nanoparticle-protein corona complexes.  
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### 19 **Conflicts of interest**

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21 There are no conflicts to declare.  
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### 26 **Acknowledgments**

27  
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29  
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36 for assisting with TEM imaging.  
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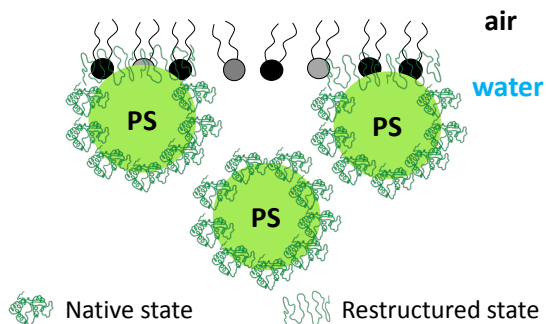
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## Table of Contents Entry



Albumin restructuring yields an additional driving force for protein corona-modified nanoparticles to adhere to biological interfaces that can be revealed *a priori* by modeling adsorption kinetics.