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**Antimicrobial Carbon Nanodots: Photodynamic Inactivation
and Dark Antimicrobial Effects on Bacteria by Brominated
Carbon Nanodots**

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1 Antimicrobial Carbon Nanodots: Photodynamic Inactivation
2 and Dark Antimicrobial Effects on Bacteria by Brominated
3 Carbon Nanodots

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9
10 **KEYWORDS:** photodynamic inactivation, carbon nanodots, heavy atom effect, photosensitization,
11 reactive oxygen species, reactive nitrogen species, antibiotic resistance, phosphorescence.

12
13 **ABBREVIATIONS:**

14 APDI – Antimicrobial Photodynamic Inactivation (of bacteria)
15 BrCND – Brominated Carbon Nanodots (brominated dots)
16 CND – Carbon Nanodots
17 ROS – Reactive Oxygen Species
18 $^1\text{O}_2$ – Singlet Oxygen
19 $^3\text{O}_2$ – Ground State (triplet) Oxygen
20 $\bullet\text{OH}$ – Hydroxyl Radical
21 $\text{NO}\bullet$ – Nitric Oxide
22 SOSGTM – Singlet Oxygen Sensor GreenTM
23 HPF – Hydroxyphenyl Fluorescein
24 DAF-FM – Diaminofluorescein-FM

25 **ABSTRACT:**

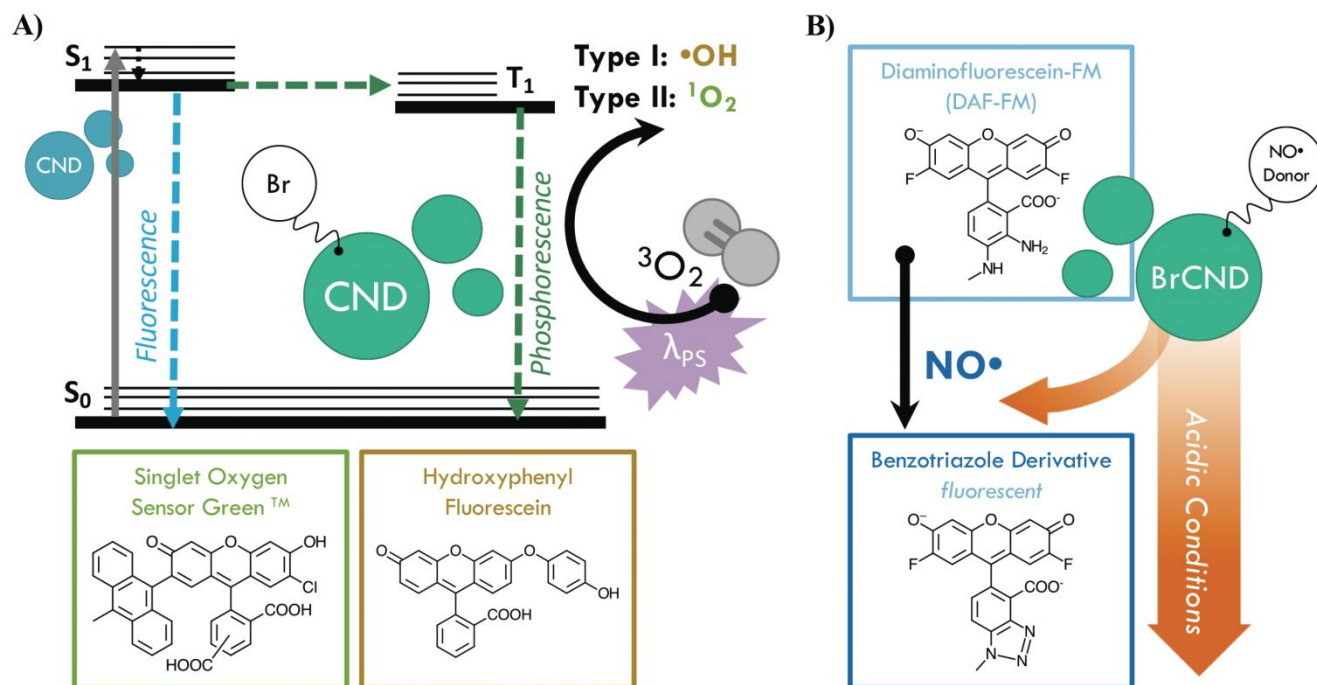
26 The evolving threat of antibiotic resistance development in pathogenic bacteria necessitates the continued
27 cultivation of new technologies and agents to mitigate associated negative health impacts globally. It is
28 no surprise that infection prevention and control are cited by the Centers for Disease Control and
29 Prevention (CDC) as two routes for combating this dangerous trend. One technology that has gained great
30 research interest is antimicrobial photodynamic inactivation of bacteria, or APDI. This technique permits
31 controllable activation of antimicrobial effects by combining specific light excitation with the
32 photodynamic properties of a photosensitizer; when activated, the photosensitizer generates reactive
33 oxygen species (ROS) from molecular oxygen via either a Type I (electron transfer) or Type II (energy
34 transfer) pathway. These species subsequently inflict oxidative damage on nearby bacteria, resulting in
35 suppressed growth and cell death. To date, small molecule photosensitizers have been developed, yet the
36 scalability of these as widespread sterilization agents is limited due to complex and costly synthetic
37 procedures. Herein we report the use of brominated carbon nanodots (BrCND) as new photosensitizers
38 for APDI. These combustion byproducts are easily and inexpensively collected; incorporation of bromine
39 into the nanodot permits photosensitization effects that are not inherent to the carbon nanodot structure
40 alone—a consequence of triplet character gained by the heavy atom effect. BrCND demonstrate both Type
41 I and Type II photosensitization under UV-A irradiation, and furthermore are shown to have significant
42 antimicrobial effects against *both* Gram-negative *Escherichia coli* and Gram-positive *Staphylococcus*
43 *aureus* and *Listeria monocytogenes* as well. A mechanism of “dark” toxicity is additionally reported; the
44 pH-triggered release of reactive nitrogen species is detected from a carbon nanodot structure for the first
45 time. The results described present the BrCND structure as a competitive new antimicrobial agent for
46 controllable sterilization of bacteria.

47

48 1.0 INTRODUCTION

49 Infection from antibiotic resistant bacteria is not a new threat, yet it is one that is continually growing
50 and demands urgency of response. Antibiotics, which have been the core preventative tactic *and* treatment
51 strategy against bacterial infections for many decades, require lengthy timelines and rigorous classification
52 before they are available for public use; as such, researchers have increasingly begun to investigate
53 alternative technologies to mitigate the global crisis. Included in this is a focus on prevention, whereby
54 the overall negative health effects from resistant bacteria can be attenuated by simply reducing the rate of
55 infections within the population. This is particularly important for high-risk environments; two examples
56 are hospitals, where those exposed are particularly susceptible, and airports, which are hubs for global
57 transit, although these are not the only areas in which a highly efficient sterilization material would be
58 beneficial. Although numerous agents for this purpose have been developed to date,^{1, 2} controllable
59 antimicrobial mechanisms are desirable to prevent unwanted resistance development to sterilization
60 procedures or negative environmental impacts. One sterilization technique that has seen expanded interest
61 for this reason is the antimicrobial photodynamic inactivation of bacteria, or APDI.³ This process
62 combines a specific light source with a respective photosensitizing agent (photosensitizer); when excited,
63 the photosensitizer interacts with molecular oxygen to produce reactive oxygen species (ROS), which then
64 inflict oxidative damage upon nearby bacteria—ultimately resulting in cell death.^{3, 4} Photosensitizers
65 function via either Type I (radical electron transfer) or Type II (energy transfer) mechanisms to generate
66 ROS from molecular oxygen.⁴ Although numerous photosensitizers have been developed, many of these
67 are small molecules which have complex and expensive synthetic requirements.⁵ Carbon nanodots, or
68 quasi-spherical nanoparticles from many-layered oxidized graphene sheets, present a promising
69 alternative.⁶ These particles are actually a combustion byproduct and are simply, rapidly, and
70 inexpensively collected from low-heat, or sooting, flames.^{7, 8} Further, these particles are frequently
71 reported to resist photodegradation,⁶ and in our laboratory have been historically stable for use over several

72 years, suggesting advantageous properties in terms of shelf-life in application. Although primarily
 73 researched for fluorescence applications such as diagnostics,⁹⁻¹¹ carbon nanodots have received
 74 heightened interest as antimicrobial agents in recent years, with reports in the literature investigating their
 75 intrinsic antimicrobial effects (both photodynamic and otherwise)¹²⁻¹⁸ and their potential for synergistic
 76 toxicity with antibiotics.¹⁹⁻²³ Regarding their use for APDI, We have demonstrated recently that the
 77 composition of these particles may be tuned to gain luminescence properties characteristic of ROS
 78 photosensitizers.^{24,25} Namely, incorporation of bromine into the carbon nanodots for a “brominated carbon
 79 nanodot” structure (BrCND) permits efficient spin-orbit coupling and subsequent phosphorescence
 80 detection,^{24,25} as illustrated in Scheme 1a.



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Scheme 1. Diagrams demonstrating the use of brominated carbon nanodots (BrCND) as antimicrobial agents. *A)* BrCND as reactive oxygen species photosensitizers. Carbon nanodots (CND) alone are only fluorescent, as shown by the Jablonski diagram. Incorporation of bromine facilitates the heavy atom effect and phosphorescence from the triplet excited (T₁) state. This excited state may also generate reactive oxygen species via a Type I or Type II photosensitization pathway; products of this reaction may be detected by fluorescent probes such as Singlet Oxygen Sensor Green (SOSGTM, ¹O₂), or hydroxyphenyl fluorescein (HPF, •OH). *B)* BrCND as donors of nitric oxide under acidic cycled conditions. Products of this reaction may be detected by the *fluorescence-on* probe diaminofluorescein-FM (DAF-FM).

92 This result was predicted, as incorporation of atoms such as bromine into small molecules has been a long-
93 standing strategy for achieving phosphorescence from fluorophores, in a phenomenon known as the heavy
94 atom effect.²⁶ Triplet character is desired for ROS generation, as triplet-triplet interactions are favorable
95 between ground state molecular oxygen ($^3\text{O}_2$) and triplet excited agents. Additionally, the long-lived
96 (μsec - msec) lifetime of triplet excited states improve the probability that electron transfer may occur
97 between oxygen and the agent; this is far less likely for fluorescent species due to rapid (nsec) radiative
98 decay.^{4, 27} A recent study by Zhang *et al* has linked the photodynamic antimicrobial effects of carbon dots
99 against Gram-negative bacteria *Escherichia coli* and *Salmonella* to their phosphorescent character, citing
100 nitrogen content in the dots as the source of phosphorescence tuning; further, the authors demonstrated
101 how carbon dot structures could exhibit photosensitization efficacy competitive even to photosensitizers
102 such as phloxine B and rose bengal.²⁸ For phosphorescent carbon dots, however, the photodynamic
103 toxicity of the structures against Gram-positive bacteria, such as *Staphylococcus aureus* and particularly
104 *Listeria monocytogenes*, has received less attention. It is important to examine both Gram-positive and -
105 negative bacterium when proposing a new broad-spectrum photodynamic antimicrobial agent, as both
106 Gram-types exhibit different susceptibilities to APDI and indeed the varying reactive species generated
107 by this process. The outer membrane of Gram-negative bacteria, for example, is known to lower cell
108 permeability for certain photosensitizers, reducing the effects of APDI by blocking access to the plasma
109 membrane and cytoplasm.²⁹ Herein we show that the BrCND structures are in fact able to generate ROS
110 via both Type I and Type II photosensitization mechanisms, employing the *fluorescence-on* probes Singlet
111 Oxygen Sensor GreenTM and hydroxyphenyl fluorescein to detect singlet oxygen ($^1\text{O}_2$, Type II) and
112 hydroxyl radical ($\bullet\text{OH}$, Type I) respectively (Scheme S1a). The efficacy of this novel photosensitizer is
113 further examined under practical considerations, demonstrated by growth inhibition reported for both
114 Gram-positive *Staphylococcus aureus* and *Listeria monocytogenes* and Gram-negative *E. coli*. The
115 Centers for Disease Control and Prevention identifies both *E. coli* and *S. aureus* (particularly methicillin-

116 resistant *S. aureus* or MRSA) as threats in the 2019 “Antibiotic Resistance Threats in the United States”
117 report;³⁰ *L. monocytogenes* is a common food-borne pathogen with emerging accounts of antibiotic
118 resistance in recent years.³¹ We additionally observe and identify an unexpected secondary, “dark” toxicity
119 mechanism from BrCND that functions to inhibit bacterial colony growth in the absence of photodynamic
120 processes. Using the *fluorescence-on* probe diaminofluorescein-FM (DAF-FM, Scheme 1b), the pH-
121 triggered release of reactive nitrogen species (namely nitric oxide, NO•) is detected *for the first time* from
122 a carbon nanodot structure. The results presented herein expand the potential of carbon nanodot structures
123 as controllable antimicrobial agents for future materials development and sterilization against antibiotic
124 resistant bacteria.

125 **2.0. EXPERIMENTAL METHODS**

126 **2.1. Synthesis of Brominated Carbon Nanodots and Solution Preparation.** Brominated carbon
127 nanodots (BrCND) were collected according to a previously published procedure from our lab;²⁴ key
128 characterization data for these structures are provided in the ESI, Appendix B. In brief, 5M hydrobromic
129 acid (HBr, Acros Organics) was added to a glass impinger. A vacuum was applied for six hours over the
130 impinger, with a hosing line running to a collection funnel positioned over a low-heat flame. Previously
131 reported data has shown burn duration to affect signal strength by modulating concentration;²⁴ the 6-hour
132 period was thus chosen to achieve a sufficiently concentrated sample for subsequent dilutions and analysis.
133 To collect non-bromine-containing carbon nanodots, deionized water was used in place of hydrobromic
134 acid. The maximum concentration of bromide ion (assuming *no* incorporation of bromide into the carbon
135 dot during synthesis “[Br-]_{max}”), was calculated from the final and initial sample volumes. It is important
136 to note here that previous studies whereby non-bromine-containing carbon dots were first collected *then*
137 refluxed with hydrobromic acid exhibited phosphorescence only after 6-hour reflux times, with only weak
138 emission achieved.²⁴ These data are also given in the ESI, Appendix B. These results suggest that
139 bromination of the structures occurs during synthesis, and that phosphorescence is not simply a

140 consequence of bromide ion diffusing in the carbon dot solution. Accordingly, no dialysis steps were
141 performed to remove the excess bromide.

142 To achieve specific pH solutions of varying BrCND concentrations, different ratios of deionized water
143 to raw BrCND solutions were added to trisodium citrate (~0.17M, Sigma Aldrich) and the initial pH tested
144 using an Accumet® Basic AB15 benchtop pH meter. Adjustments to the desired pH were made using
145 10M hydrobromic acid or sodium hydroxide until the reading was stable over several minutes. Control
146 solutions were prepared using only deionized water, sodium citrate, and hydrobromic acid. Approximate
147 bromide concentrations were determined from the hydrobromic acid and BrCND aliquot volumes and the
148 final solution volume. It should be noted that this bromide concentration value for any solution containing
149 BrCND is only approximate, as the true concentration of free bromide in the initial sample was unknown.
150 For these, $[\text{Br}]_{\text{max}}$ is reported.

151 **2.2. Spectroscopic and Physical Characterization.** All absorption and fluorescence measurements
152 were conducted in a quartz cuvette. Absorption readings were performed on an Agilent Technologies Cary
153 60 UV-Vis spectrophotometer with Cary WinUV Scan application software; fluorescence measurements
154 were completed using a FluoroMax®-4P spectrophotometer. Spectra were extracted and plotted, with
155 signal responses reported as “percent signal changes” (ΔF) according to Equation 1,

$$156 \quad \Delta F (\%) = \frac{\int_{\lambda_{\min}}^{\lambda_{\max}} (F_{\text{post}}) - \int_{\lambda_{\min}}^{\lambda_{\max}} (F_{\text{pre}})}{\int_{\lambda_{\min}}^{\lambda_{\max}} (F_{\text{pre}})} * 100 \quad (\text{Equation 1})$$

157 where F is the fluorescence intensity recorded at a particular emission wavelength, “*pre*” denotes the pre-
158 exposure measurement, “*post*” denotes the post-exposure measurement, and $\lambda_{\min} - \lambda_{\max}$ encompass the
159 detected emission wavelength range.

160 Dynamic light scattering and zeta potential measurements were performed using a Malvern Zetasizer
161 Nano-ZS; the latter were collected at pH 3.5 with μM salt concentrations. Gel electrophoresis was
162 conducted using a Bio Rad PowerPac HC (100 V, 40 min) and a 1.75% Certified™ Molecular Biology

163 Agarose (Bio Rad) gel prepared with 1% TBE buffer (Fisher Bioreagents®). Sample aliquots at 50 μ L
164 were run. Gels were imaged on a Bio Rad Gel Doc™ EZ Imager using Ethidium Bromide settings.

165 **2.3. Fluorescence Detection of Reactive Oxygen Species.** Singlet Oxygen Sensor Green™
166 (SOSG™), Hydroxyl Phenyl Fluorescein (HPF), and Diaminofluorescein-FM (DAF-FM) were purchased
167 from Invitrogen® and were prepared as stock probe solutions according to the manufacturer
168 recommendations. The following procedure describes the technique used for reactive oxygen species
169 (ROS) detection using both SOSG™ and HPF, as both probes are fluorescein-based and therefore behave
170 similarly. To a pH \sim 3 BrCND solution, a small (<5% total solution volume) aliquot of sodium hydroxide
171 was added to achieve a pH of >12; an aliquot of stock probe was added to achieve a 4.8 μ M solution of
172 the probe, and the initial (“pre”) probe fluorescence measurement was obtained. An additional aliquot of
173 stock probe was added to achieve a 95 μ M solution of the probe. Fluorescence ($\lambda_{\text{ex}} = 473$ nm, slit widths
174 = 2 nm) measurements of the pre-exposure solutions were performed. The pH was then adjusted back to
175 \sim 3 using hydrochloric acid (HCl, Acros Organics) and was exposed for four minutes to ultraviolet (UV)
176 light using an Entela Blak-Ray® Long Wave Ultraviolet lamp (Model B 100 AP/R, $\lambda_{\text{max}} = 365$ nm,
177 “exposed”); exposure powers were recorded using a ThorLabs PM100D power meter and energy densities
178 ($\text{J}\cdot\text{cm}^{-2}$) were calculated from exposure times and sample surface area approximations. For “dark”
179 conditions, the sample was covered for the exposure interval. For gas-purged conditions, a steady stream
180 of oxygen or argon (Airgas, Inc.) was bubbled through the solution for 1-minute prior to exposure; when
181 complete, the sample was capped then exposed. For “air purged” samples, bubbling was conducted from
182 the laboratory air valve. Between fluorescence readings the samples were purged with nitrogen (2-
183 minutes) to normalize the dissolved gas content for fluorescent readings. If not specifically indicated, the
184 sample was not purged and therefore contains atmospheric levels of dissolved oxygen. For the “post”
185 exposure fluorescence reading, sodium hydroxide (<5%) was again added to the sample to restore the pH
186 to >13.

187 To conduct the pH cycling experiments for nitric oxide detection using DAF-FM, the BrCND solutions
188 were first adjusted to pH 3.0 following the procedure described in section 2.1, including a control
189 containing no BrCND that was buffered to the same pH, concentration of trisodium citrate, and
190 concentration of bromide ion. BrCND solutions used were diluted significantly from their original
191 prepared concentrations using the buffered control; the absorption of BrCND at 365 nm was
192 approximately zero. The pH of each sample was then adjusted to 12-12.5 with NaOH and confirmed using
193 colorimetric pH test strips; initial fluorescence ($\lambda_{\text{ex}} = 473 \text{ nm}$, slit widths = 1 nm) and absorption
194 measurements were recorded for the BrCND prior to DAF-FM addition. The probe was then added (final
195 concentration = 2.7 μM), mixed, and fluorescence/absorption immediately recorded. The pH was adjusted
196 to ~ 2.5 using a small (<10% by volume) aliquot of HCl. The sample then underwent a 4-minute
197 “exposure” period at room temperature under either UV-irradiated or dark conditions. After exposure, the
198 pH was returned to basic pH (12-12.5) using a small aliquot of NaOH, and the final
199 fluorescence/absorption measurements were recorded. For the dilution control, both HCl/NaOH aliquots
200 (excluding the initial NaOH addition) were replaced by deionized water; accordingly, the pH of the sample
201 was 12-12.5 for the entire cycling procedure.

202 **2.4. Bacterial Growth and Sample Preparation.** Strains of *Escherichia coli* and *Staphylococcus*
203 *aureus* were cultured overnight on Luria-Bertani (“LB,” for *E. coli* and *S. aureus*) agar plates prepared in-
204 house. *Listeria monocytogenes* was cultured either on Blood Sheep Agar (Fisher Scientific) plates or brain
205 heart infusion (“BHI”) plates that had been prepared in-house. Single colonies were then suspended in DI
206 water immediately before an experiment was performed such that the solution optical density was between
207 0.11-0.12 a.u. at 600 nm (10^8 CFU/mL). Depending on the strain and experimental conditions, subsequent
208 serial dilutions were performed into DI water for the optimal experimental concentration of bacteria.

209 **2.5. Antimicrobial Control Methods.** For each strain, effects of UV exposure, pH variation, and
210 bromide ion concentration were examined. In the case of exposure, different zones of UV power under

211 the exposure source were determined using a ThorLabs PM100D power meter. The bacterial samples were
212 added to a 96-well plate positioned in these zones, with addition times noted for each sample. Aliquots
213 were removed after the exposure time period and were added to phosphate buffered saline solution (PBS,
214 Fisher Bioreagents®) in light-sensitive centrifuge tubes. Once all samples had been collected, 10 μ L
215 aliquots of each sample, and subsequent tenfold serial dilutions, were plated and placed in the incubator
216 overnight. This same procedure was performed for both the pH (range: 2-6) and bromide concentration
217 (0-5M, deionized water, neutral pH) experiments in the absence of UV exposure. In all cases, the initial
218 bacterial solution (described in section 2.4) was serially diluted into the experimental solutions prior to
219 the exposure window (ESI Scheme S1). As a final control, bromide concentration effects were determined
220 under the photosensitization experimental parameters (pH 3-4, UV exposure). Bromide solutions were
221 prepared according to the procedure described for the control solutions in section 2.1, adjusting the overall
222 bromide concentrations using sodium bromide (Sigma Aldrich). All plated experiments were
223 photographed, and colonies counted after incubation overnight. For detailed solvent descriptions for
224 bacterial experiments, the reader is referred to the ESI Table S1.

225 **2.6. Antimicrobial Photodynamic Inactivation of Bacteria: Methods.** Bacterial solutions were
226 prepared according to section 2.4. Brominated carbon nanodot and control solutions were prepared
227 according to section 2.1 (additional details in the ESI Table S1) and were added to individual light-
228 sensitive centrifuge tubes. UV power zones (3 mW) for a 96-well plate positioned under the exposure
229 source were determined as described in section 2.5. The experiment was timed, with aliquots of the initial
230 bacterial solution being added at regular intervals to each experimental solution tested. After the exposure
231 window, aliquots from each sample were removed and transferred to PBS to restore near-neutral pH
232 conditions. Once all samples had been collected, at least 2x tenfold serial dilutions (optimized for
233 countable colony formation) of each sample were performed into PBS; 10 μ L aliquots of each dilution
234 were plated and the bacteria permitted to grow overnight. For a diagram of the procedure, see supporting

235 Scheme S1. All plates were photographed and those which were countable were analyzed for colony
 236 formation either manually or using the Colony Counter plugin for ImageJ or the Promega Colony Counter
 237 application for iPhone. In some cases, high density estimates were performed for samples with crowded
 238 growth. For more information on these procedures, the reader is referred to Appendix A in the ESI. From
 239 the colony counting data, quantities of relative viability (R) and growth inhibition by UV (I_{UV}) are reported,
 240 calculated from equations 2 and 3 respectively:

$$241 \quad R(\%) = \frac{Count_{A(BrCND,n)}}{Count_{A(BrCND,0)}} * 100 \quad (\text{Equation 2})$$

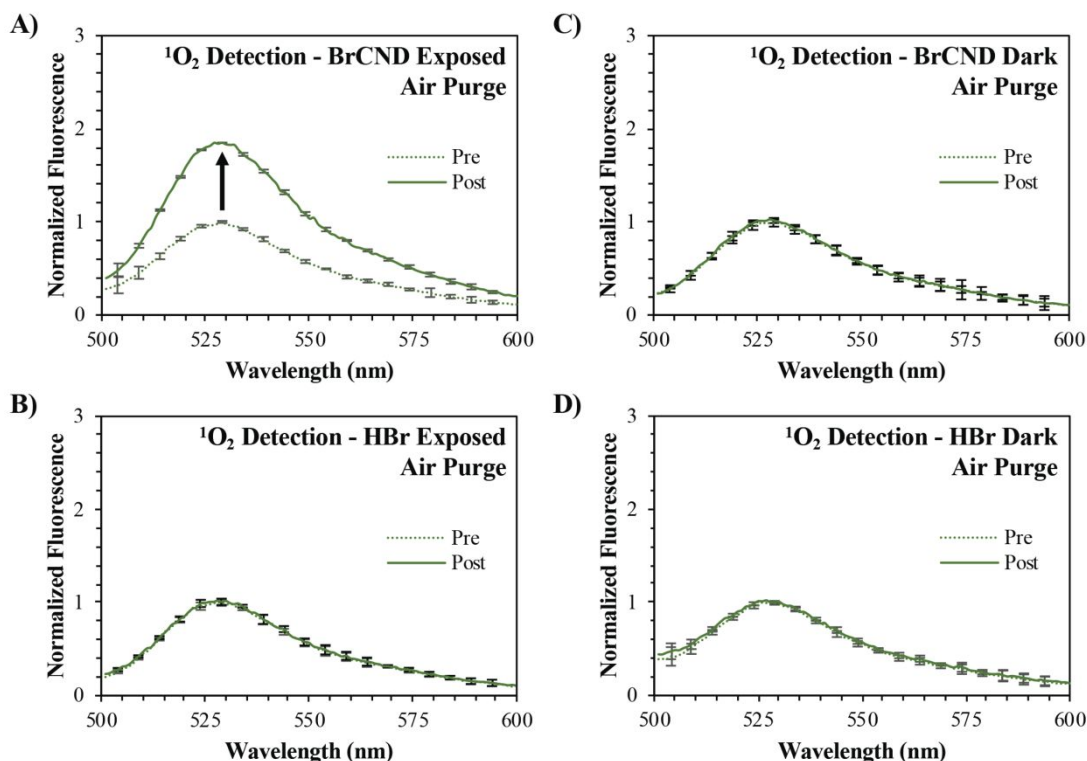
$$242 \quad I_{UV}(\%) = \frac{Count_{A(BrCND,n)/Dark} - Count_{A(BrCND,n)/UV}}{Count_{A(BrCND,n)/Dark}} * 100 \quad (\text{Equation 3})$$

243 where A denotes a particular absorption value (at 365 nm) for the BrCND sample for which the count was
 244 obtained and n indicates a non-zero concentration of BrCND. Additionally, normalized I_{UV} values were
 245 obtained by dividing $I_{UV}(\%)$ values of n samples by the $I_{UV,n=0}(\%)$ value.

246 3.0. RESULTS AND DISCUSSION.

247 **3.1. Type II Photosensitization by Brominated Carbon Nanodots: 1O_2 .** In order to assess if the
 248 brominated carbon nanodots would perform as a photosensitizer, we first examined the ability of these
 249 particles to generate singlet oxygen, which is a product of Type II photosensitization. This ROS, as
 250 mentioned previously, is generated when BrCND are in the excited triplet state and dissolved molecular
 251 oxygen is present in solution. The cumulative singlet oxygen generated by a particular agent may be
 252 monitored over an exposure time period using the *fluorescence-on* probe, Singlet Oxygen Sensor Green™.
 253 Prior to singlet oxygen detection, this fluorescein-based probe has a low fluorescence quantum yield due
 254 to quenching from intramolecular photoinduced electron transfer (PET). Upon reacting irreversibly with
 255 singlet oxygen, the probe forms a new endoperoxide (SOSG™-EP) structure that does not undergo PET
 256 quenching. As a result, the fluorescence quantum yield increases significantly, and the detection of singlet
 257 oxygen concentrations are confirmed. Because the reaction favors product formation, the final
 258 fluorescence measurement reflects the relative concentration of singlet oxygen generated by the system.

259 Using this probe, singlet oxygen generation from BrCND was examined. First, atmospheric concentrations
 260 of dissolved oxygen were considered (“air purge”) as reported in Figure 1.

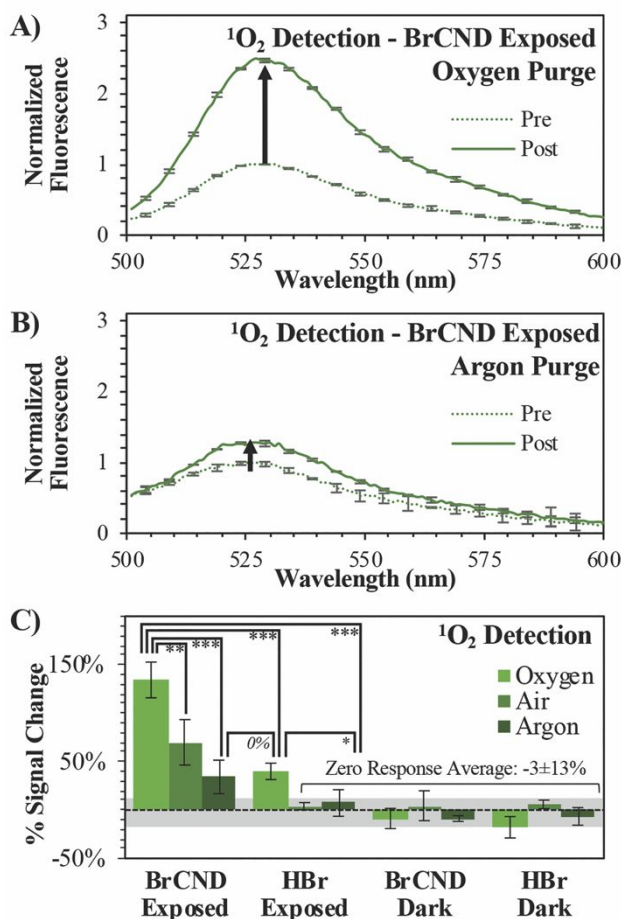


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262 **Figure 1.** Normalized fluorescence spectra of Singlet Oxygen Sensor Green (“SOSG,”
 263 $\lambda_{\text{excitation}} = 473 \text{ nm}$) before (“pre,” maximum intensity = 1) and after (“post”) exposure with
 264 brominated carbon nanodots (“BrCND,” pH = 3.0, $\lambda_{\text{exposure}} = 365 \text{ nm}$, $\sim 0.5 \text{ J}\cdot\text{cm}^{-2}$) under
 265 air-purged conditions. Fluorescence spectra are reported for SOSG UV-exposed with *A*)
 266 BrCND and *B*) hydrobromic acid (“HBr,” pH = 3.0) control, and under dark conditions (no
 267 exposure) for *C*) BrCND and *D*) HBr control solution. Reported spectra are the average of
 268 three analyzed solutions from one sample trial.

269 Comparing the “pre” and “post” exposure intensities for the control samples containing no BrCND
 270 (“HBr,” Fig. 1 b/d) it is apparent that there are no notable issues with probe photostability following 4-
 271 minutes of UV irradiation. No signal change is also reported for the non-irradiated BrCND sample (Fig.
 272 1c), which is expected under the mechanism of photosensitization. When the sample is irradiated,
 273 conversely, the fluorescence intensity of the SOSG™ “post” exposure measurement has now increased
 274 relative to the initial intensity (Fig. 1a). These results indicate that the BrCND are in fact acting as a singlet
 275 oxygen photosensitizer under atmospheric conditions.

276 Singlet oxygen generation from the BrCND was further analyzed under different concentrations
 277 of dissolved oxygen, as shown in Figure 2.



278

279

280 **Figure 2.** Singlet Oxygen Sensor Green (“SOSG,” $\lambda_{\text{excitation}} = 473 \text{ nm}$) detection of singlet
 281 oxygen ($^1\text{O}_2$) before (“pre,” maximum intensity = 1) and after (“post”) exposure with
 282 brominated carbon nanodots (“BrCND,” pH = 3.0, $\lambda_{\text{exposure}} = 365 \text{ nm}$, $\sim 0.5 \text{ J}\cdot\text{cm}^{-2}$).
 283 Normalized fluorescence spectra are reported for SOSG under A) oxygen and B) argon
 284 purging conditions. Reported spectra are the average of three analyzed solutions from one
 285 sample trial. C) Percent signal changes are reported for these spectra and for control
 286 samples (ESI figures S1-4) under all oxygen concentration conditions with statistical
 287 analysis reported. Values are the average of $n \geq 3$ trials for each condition, with error from
 standard deviation reported. $*p < 0.10$, $**p < 0.05$, $***p < 0.01$.

288 Rather than conducting the exposure period at atmospheric concentrations of oxygen, the samples were
 289 purged prior to exposure either with oxygen or argon gas to enrich or deplete the dissolved oxygen
 290 concentration in solution respectively (spectra shown in the ESI, Fig. S1/S2). It is difficult to estimate the
 291 exact concentrations of dissolved oxygen in these solutions, as salt concentration is known to have a
 292 deleterious effect on oxygen solubility in solution. As the atmosphere is only $\sim 21\%$ oxygen, we assume

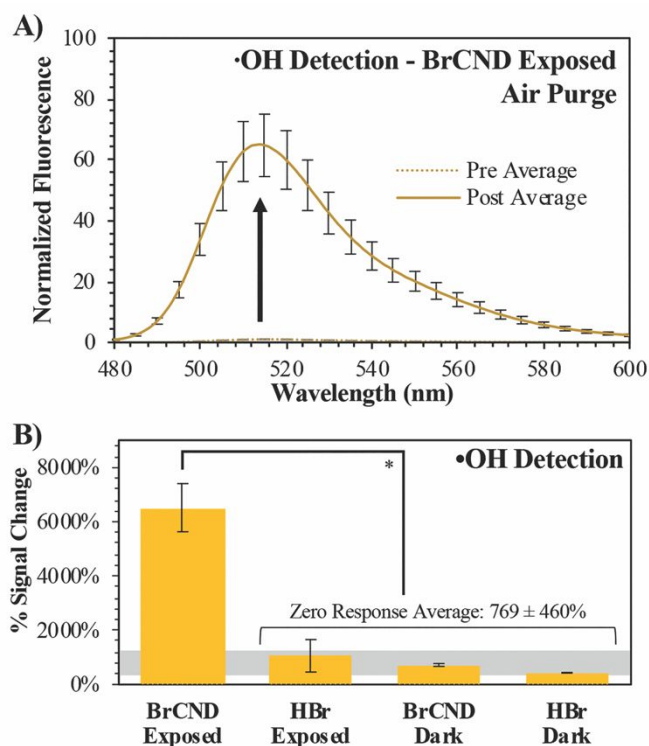
293 that the solution is not oxygen saturated (maximum solubility of O₂ in pure water ~1.3 mM). In this case,
294 as the partial pressure of each respective gas is increased via purging, so does the mole fraction of said
295 gas increase in the solution. This is known as Raoult's Law and is the basis for our purging experiments.
296 As the partial pressure of oxygen increases in the sample during purging, the mole fraction similarly will
297 increase for dissolved oxygen above that which is present under atmospheric conditions. Purging with
298 argon, conversely, will decrease the partial pressure of oxygen and thereby reduce its concentration in
299 solution to some degree. Examining the *exposed* BrCND samples, the fluorescence intensity from the
300 reacted probe is indeed much higher for the oxygen-purged system than that reported for either the air- or
301 argon-purged conditions (Fig. 1a, 2a/b); the percent signal change (increase) associated with singlet
302 oxygen generation from BrCND is in fact proportional to the overall concentration of molecular oxygen
303 in solution and is statistically higher than the reported controls ("zero response average"), as shown by
304 Fig. 2b. Regarding the BrCND/argon system, a non-zero probe response is observed. This is attributable
305 to trace oxygen concentrations in the oxygen-depleted system; molecular oxygen is therefore limiting—
306 but not absent—from the overall reaction scheme, yielding low signal responses after singlet oxygen
307 photosensitization from BrCND. Interestingly, a statistically comparable result is observed for the
308 "HBr"/oxygen system, despite the absence of BrCND; however, it is key to note in this case that the signal
309 detected for the HBr/oxygen exposed system represents an oxygen-*enriched* environment. Previous
310 literature has demonstrated that SOSG™ is actually able to a small degree to behave as a singlet oxygen
311 photosensitizer itself as well as a detection probe.³²⁻³⁴ It is likely therefore that in such an oxygen-rich
312 system, singlet oxygen is instead being produced via UV photosensitization from SOSG™. While this is
313 likely at play for all oxygen enriched measurements, the oxygen-purging condition for exposed BrCND
314 yields a signal change that is statistically and significantly higher than that from HBr exposed sample (ESI
315 Fig. S3; further statistical analysis of the various purging conditions and experimental versus control

316 samples may be found in the ESI Fig. S4). These results confirm that BrCND are behaving as a
317 photosensitizer for singlet oxygen, in a Type II photosensitization mechanism.

318 **3.2. Type I Photosensitization by Brominated Carbon Nanodots.** Type II photosensitization is
319 favorable largely due to the regeneration of the initial photosensitizer after the formation of singlet
320 oxygen;⁴ however, it is likely that a photosensitizer will not proceed solely by this mechanism.
321 Alternatively, the agent may participate in radical chemistry, or Type I photosensitization. By this route,
322 an excited photosensitizer will undergo electron transfer steps to form the highly-reactive superoxide
323 anion radical. Subsequently, downstream ROS such as peroxides and hydroxyl radical ($\bullet\text{OH}$) can be
324 generated.⁴ To characterize the potential of the BrCND to behave as Type I photosensitizers, we probed
325 the generation of hydroxyl radical by the BrCND. Similar to the detection of singlet oxygen, a
326 *fluorescence-on* probe was employed to detect the species. The probe used is hydroxyphenyl fluorescein
327 (HPF); prior to reacting with $\bullet\text{OH}$, the probe has a low quantum yield. Upon reacting, *p*-benzoquinone is
328 released, and the substituent is replaced by a hydroxyl group thereby restoring the classic structure of
329 fluorescein and its fluorescence intensity. It should be noted also that HPF is sensitive, albeit less so, to
330 peroxy nitrite in addition to hydroxyl radical; this species is only formed if nitric oxide is available to react
331 with superoxide anion radical. While we did not initially expect nitric oxide to be generated by BrCND
332 structures, this will be addressed in later sections. Herein, we assign the signal from HPF to $\bullet\text{OH}$ for
333 simplicity; yet in either case, detection of $\bullet\text{OH}$ or peroxy nitrite from photosensitization does in fact
334 confirm Type I photosensitization.

335 This was further investigated under atmospheric concentrations of molecular oxygen; the
336 normalized spectra for the photosensitized system are reported in Figure 3a, with control spectra available
337 in the ESI, Figure S5. Calculated percent signal changes (signal responses) for all conditions are presented
338 in Figure 3b. Unlike the spectra for SOSGTM, there is a substantial percent signal increase associated with
339 each of the control samples for HPF. This could be the result of a few potential factors, including general

340 stability of the probe, stability under UV exposure or pH cycling, or reactions with solvent species (see
 341 ESI Table S1), to name a few. Nonetheless, the *photosensitization* system containing BrCND is marked
 342 by a signal change over 6-fold greater than those detected for the control conditions, indicating that
 343 hydroxyl radicals are generated as a result of photosensitization from BrCND. These results have
 344 implications for other reactive oxygen species as well, since superoxide anion radical may instead be
 345 generated from single electron transfer as a predecessor to downstream to $\bullet\text{OH}$ or peroxyxynitrite in Type I
 346 photosensitization.^{35, 36}

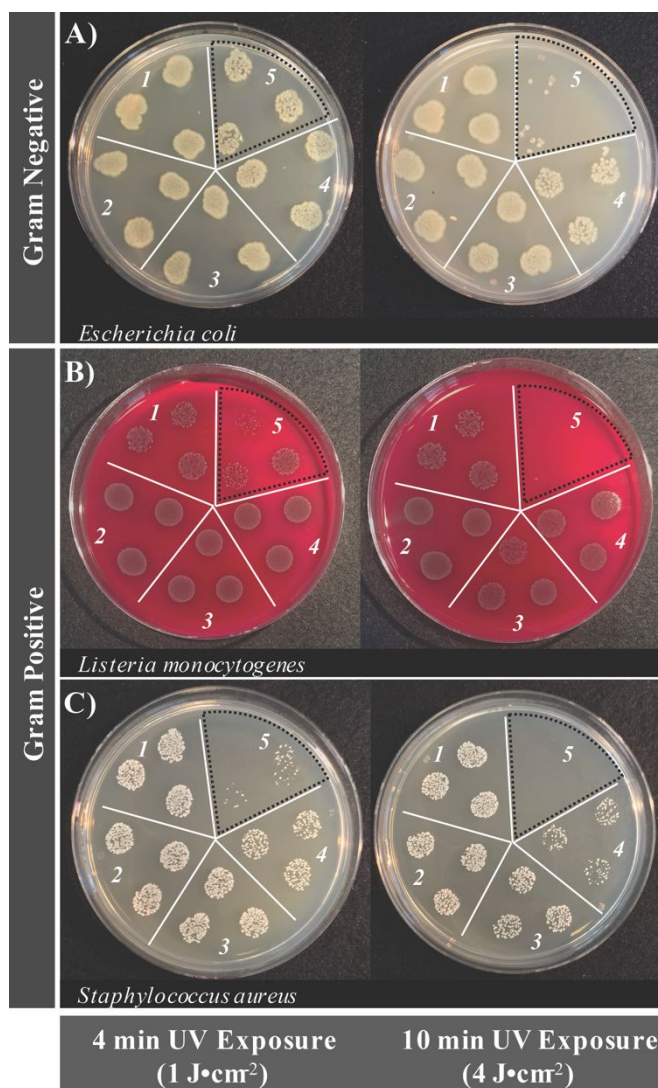


347
 348 **Figure 3.** Detection of hydroxyl radical ($\bullet\text{OH}$) using hydroxyphenyl fluorescein (HPF). *A)*
 349 Normalized fluorescence spectra ($\lambda_{\text{excitation}} = 473 \text{ nm}$) of HPF before (“pre,” maximum
 350 intensity = 1) and after (“post”) exposure with brominated carbon nanodots (“BrCND,” pH
 351 = 3.0, $\lambda_{\text{exposure}} = 365 \text{ nm}$, $1 \text{ J}\cdot\text{cm}^{-2}$) under air-purged conditions. Reported spectra are the
 352 average of three analyzed solutions from one sample trial. *B)* Percent signal changes are
 353 reported for these spectra and for control samples (ESI figure S5) with statistical analysis
 354 reported. Values are the average of $n \geq 3$ trials for each condition, with error from standard
 355 deviation. $*p < 0.001$.

356 In cellular environments, all ROS produced downstream as a consequence of photosensitization may
 357 inflict cellular damage, permitting a Type I photosensitizer to be potentially very powerful in the
 358 antimicrobial photodynamic inactivation of bacteria.

359 **3.3. Antimicrobial Photodynamic Inactivation of Bacteria by Brominated Carbon Nanodots:**
360 **Time and Concentration Dependence of Antimicrobial Activity.** Although a photosensitizer may
361 generate reactive oxygen species, this factor alone is insufficient to state definitively if an agent will be a
362 potent photosensitizer for APDI. This is largely due to the adaptive features of pathogenic organisms.
363 ROS are an endogenous feature of biological systems and will only induce cell death if present in sufficient
364 concentrations, which involves overwhelming the biological pathways that are in place to mitigate
365 oxidative stress.³⁷ Accordingly, the antimicrobial activity of BrCND was investigated, as shown in Figure
366 4 (plotted counts reported in the ESI Figure S6). Additional control experiments to establish the
367 experimental parameters (UV power distribution, pH tolerance, bromide salt concentration tolerance) are
368 included for each bacterium in the ESI Figures S7-S12. The results shown in Figure 4 depict the bacterial
369 colony growth for samples of *E. coli*, *L. monocytogenes*, and *S. aureus* after photosensitization for both
370 4- and 10-minute exposure periods, followed by plating and overnight incubation. For all 4-minute control
371 solutions (Fig. 4, left, selection 1-4) there is no clear difference in growth patterns; this stands in contrast
372 to the photosensitized BrCND sample (Fig. 4, left, selection 5), which remarkably exhibits decreased
373 colony growth for all three bacteria. This is consistent with the ROS generation studies from sections 3.1
374 and 3.2, where only the *photosensitized* samples yielded singlet oxygen or hydroxyl radical. Furthermore,
375 with 10-minutes of UV exposure the growth of each bacterium is further decreased, achieving minimal to
376 no colony formation visible for each (Fig. 4, right, selection 5). It should be noted that the initial
377 concentrations of each bacterial solution and brominated carbon dot solution for these experiments were
378 not equal, and instead were optimized to demonstrate the time-dependent anti-microbial effects of the
379 BrCND photosensitizer. This is particularly important when considering the antibacterial capabilities of
380 these structures. As shown in the ESI Figure S6, at least a 2 log decrease in viability is reported for *E. coli*
381 and *L. monocytogenes* after 10-minutes of exposure (although indeed some of the n = 3 sample trials
382 resulted in eradication, Fig. 4a/b), while a 5 log decrease is reported for *S. aureus*. The potential then

383 remains for complete eradication of all bacteria using more concentrated brominated carbon dot samples
384 and/or longer exposure times. In each case, samples with complete growth inhibition were achievable,
385 bolstering the potential of these compounds as future commercial antimicrobial agents. For this to be
386 feasible, the various mechanisms of toxicity from the BrCND must be understood.



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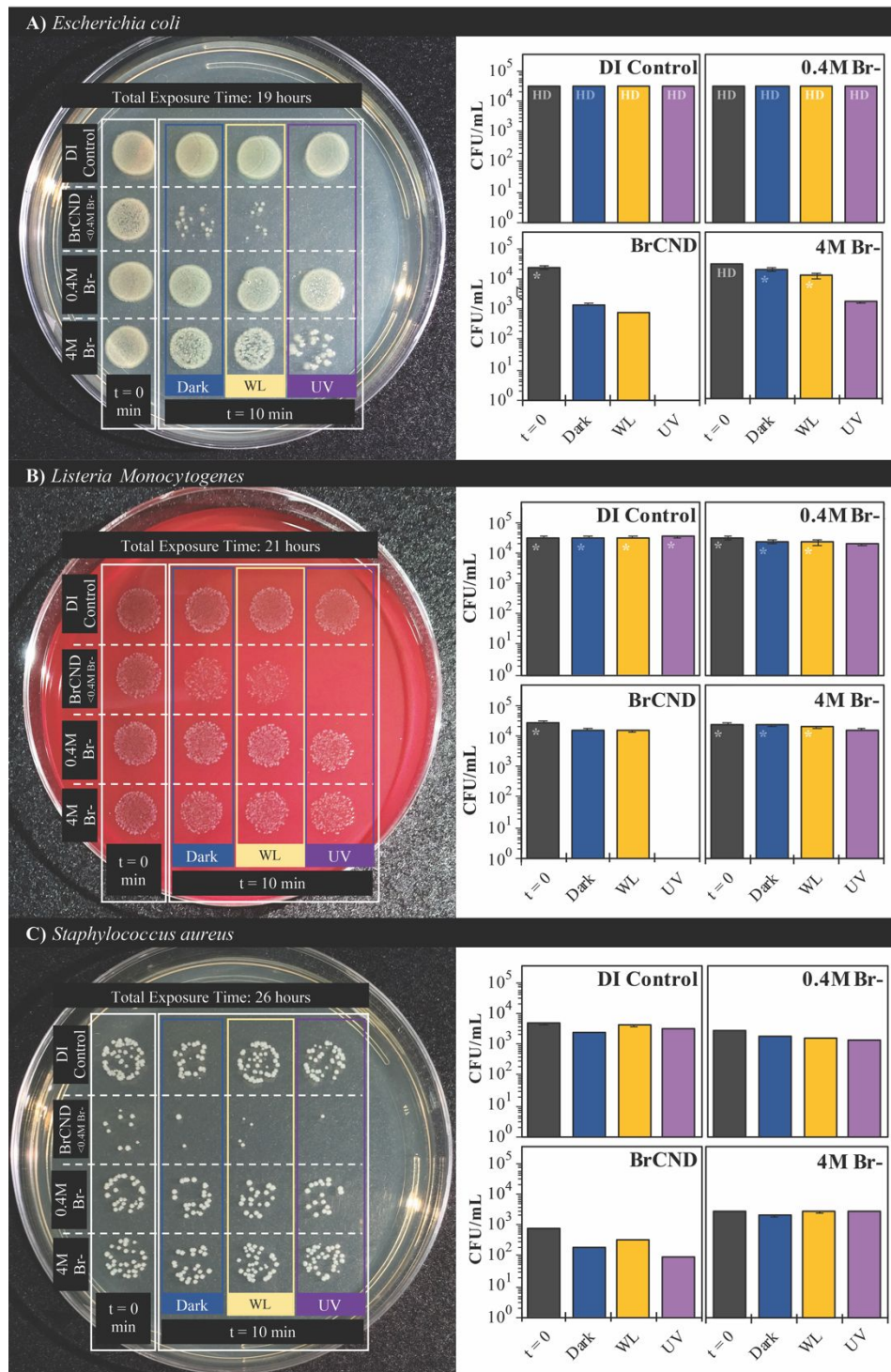
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Figure 4. Real-color photographs of bacterial growth inhibition from photosensitization of brominated carbon nanodots as a function of different exposure energy densities ($\text{pH } 3.2 \pm 0.2$, $\lambda_{\text{exposure}} = 365 \text{ nm}$, 3 mW). Strains tested include *A) Escherichia coli*, *B) Listeria monocytogenes*, and *C) Staphylococcus aureus*. Labels correspond to the following conditions: 1) DI water only, 2) HBr control with no UV exposure, 3) HBr control with UV exposure, 4) brominated carbon nanodots with no UV exposure, and 5) brominated carbon nanodots with UV exposure. Note: concentrations of brominated carbon nanodots are variable between bacterial strains. Bromine dot photosensitized sections are indicated by a black dotted line.

397 The difference in growth patterns observed for the photosensitized BrCND samples versus the controls
398 were expected. Unexpectedly, growth patterns for the 10-minute *dark* BrCND samples (Fig. 4, right,
399 selection 4) also did not compare to the other control conditions, particularly for *E. coli* and *S. aureus*,
400 unlike what was observed at 4-minutes of exposure. Although growth inactivation was not as pronounced
401 as is reported for the photosensitized sample (Fig. 4, selection 5), there is still a notable effect.

402 We subsequently considered the possibility that ambient room light may be causing low levels of
403 photosensitization, as brominated carbon nanodots and indeed carbon nanodots in general are known to
404 have broad absorption spectra.^{6, 24} Accordingly, the photosensitization effects from white light exposure
405 were investigated compared to dark and UV-exposed antimicrobial effects and are reported in Figure 5.
406 As a control, an additional sample, “t=0,” is included, where the bacterial solutions were mixed into the
407 experimental solvents and were then *immediately* aliquoted into the preparation sample (PBS), restoring
408 the bacteria to near-neutral pH and lowering the concentration of BrCND by an order of magnitude prior
409 to incubation. For all other samples, this aliquoting process was completed at the end of the exposure
410 period, “t=10,” prior to plating and incubation, as is true for all bacterial experiments reported herein. It
411 becomes clear from the controls that neither exposure source nor varied bromide concentration has a
412 deleterious effect on bacterial colony growth for either of the Gram-positive bacteria studied, *L.*
413 *monocytogenes* (Fig. 5b) or *S. aureus* (Fig. 5c). *E. coli* does see some impact on growth resulting from the
414 combination of light exposure and high salt concentrations (4 M); it should be noted, however, that the
415 maximum concentration of free bromide contained within the BrCND experimental samples is <0.4M
416 following sample collection and solution preparation, assuming *zero* incorporation of bromide into the
417 nanodot structure itself during collection. This assumption is indeed conservative, given that previous
418 studies have indicated the importance of bromide incorporation with the nanodot structure in order to
419 achieve the heavy atom effect and subsequently triplet character.²⁴ The actual concentration of free
420 bromide is instead estimated to be much lower (<<0.4M), but nonetheless the 0.4M bromide solution



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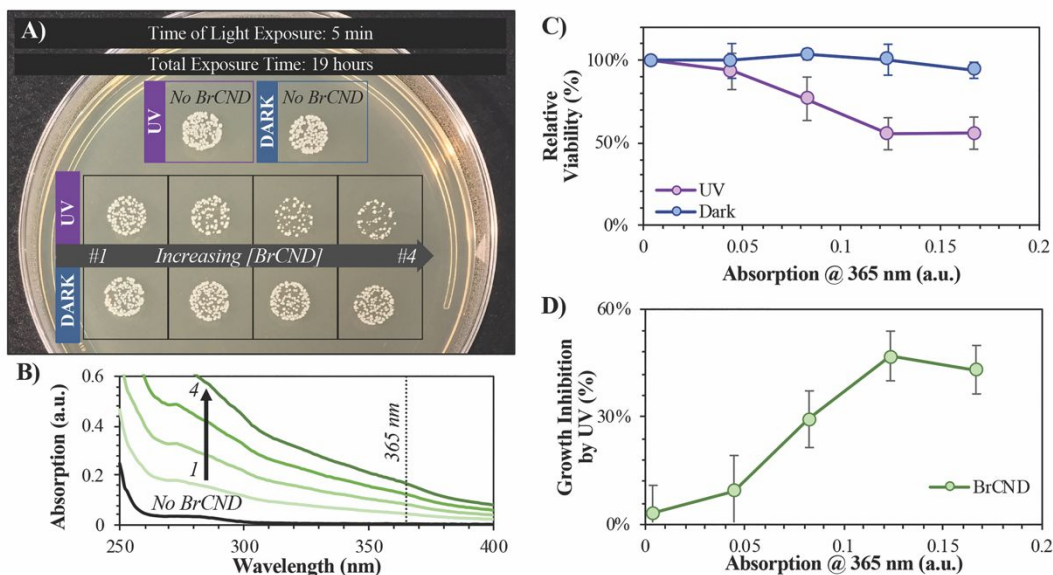
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Figure 5. Growth of A) *Escherichia coli*, B) *Listeria monocytogenes*, and C) *Staphylococcus aureus* with exposure to brominated carbon nanodots (“BrCND”) at pH 3.5 under UV ($\lambda_{\text{exposure}} = 365 \text{ nm}$, $40 \text{ J}\cdot\text{cm}^{-2}$) and white light ($\lambda_{\text{max}} = 572 \text{ nm}$, $300 \text{ J}\cdot\text{cm}^{-2}$) exposure conditions versus dark exposure to BrCND. Real-color photographs of labeled plates after overnight bacterial incubation (left) and corresponding colony counts for each sample condition (right). Error is from counts by 3x individuals to reduce bias in counting. Colony growth too dense for adequate counting is indicated as “HD”; high density estimates are indicated by “*”.

430 shown in Figure 5 is suitable to control for this aspect. For all bacteria including *E. coli*, diminished growth
431 is not observed for the 0.4 M concentration. Accordingly, the growth inhibition displayed by the BrCND
432 for all bacteria is indeed attributable to the brominated carbon nanodots themselves. Upon closer
433 examination of the data, each bacterium sees some diminished growth for the dark conditions, which is
434 only further exacerbated with UV exposure, as observed previously. The use of white light even at much
435 higher exposure energy densities than the UV source, alternatively, does not yield effects substantially
436 different than those observed under dark conditions, demonstrating the superiority of UV wavelengths for
437 BrCND photosensitization and subsequent APDI. These results further do not support the previously
438 stated notion that ambient room light may be producing apparent “dark toxicity;” therefore, an alternate
439 explanation is needed, as will be discussed in more detail in section 3.5.

440 In order to better elucidate the relationship of BrCND to photosensitization, and to also observe
441 dark toxicity effects, we subsequently investigated the antimicrobial impact of varying BrCND
442 concentration—and therefore sample absorption at 365 nm—within the system for both dark and UV-
443 exposed conditions, as shown for *S. aureus* in Figure 6 (all plated trials and corresponding counts are
444 given in the ESI Figure S13). From the plated samples, colony counts were determined. From these values,
445 viability of the bacterial sample was calculated relative to the “No BrCND” control; ultimately, we were
446 also able to calculate and subsequently report the percentage of growth inhibition attributable to the
447 BrCND photosensitization mechanism. Using these parameters, there is no significant toxicity observed
448 from BrCND under dark conditions for *S. aureus*. With UV exposure, however, the antimicrobial efficacy
449 from BrCND as a photosensitizer is evident and is established to be a concentration-dependent effect,
450 consistent with the mechanism of APDI. It is important to note here that the formation of reactive bromine
451 species may also be playing a role in the photodynamic antibacterial effect, as the antibacterial response
452 has been shown to improve for other photosensitizing agents upon incorporation of sodium bromide.³⁸ In
453 our case, free bromide is indeed present in the solution (see ESI Table S1), although it should be noted

454 that for *all* samples the concentration of free bromide is ~ 0.4 M, thereby reducing variability from this
 455 potential mechanism between samples.



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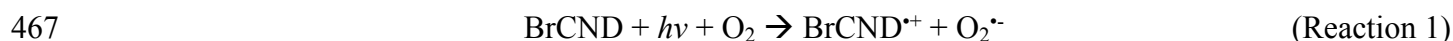
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Figure 6. Viability of *Staphylococcus aureus* after 5-minute exposure to brominated carbon nanodot (“BrCND”) solutions of varying concentrations. Bacterial samples were both kept in dark (no light) and photosensitization ($\lambda_{\text{exposure}} = 365$ nm or “UV”, 3.0 ± 0.1 mW, $2 \text{ J}\cdot\text{cm}^{-2}$) conditions at a pH of 3.0. *A)* Real-color photograph of *S. aureus* growth after samples were adjusted to neutral pH and incubated overnight. Photo is representative of $n = 3$ trials. *B)* Absorption spectra of each BrCND solution (1-4). Black line is the absorption of the control solution. *C)* Relative viability of dark versus UV-exposed samples. *D)* Growth inhibition due to UV photosensitization for each solution absorption at the photosensitization wavelength. Error is from the standard deviation of $n = 3$ trials.

466 Upon photosensitization and the generation of Type I ROS from BrCND, the following could result:



468 This radical cation in turn could react with free bromide similar to what was suggested by Wu *et al* for a

469 titanium dioxide photocatalyst, resulting in the generation of hypobromite following bromide oxidation.³⁸

470 This potential mechanism is undoubtedly possible for the brominated carbon nanodot photosensitizers as

471 described here, and will likely be the focus of future work.

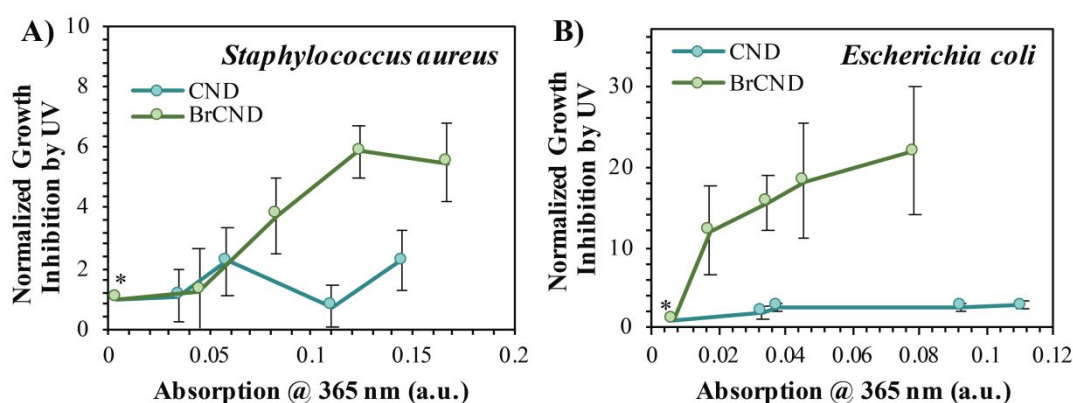
472 3.4. Antimicrobial Photodynamic Inactivation of Bacteria by Brominated Carbon Nanodots:

473 **Photosensitization Efficiency and Physical Properties.** As mentioned previously, the incorporation of

474 bromide into the nanodot structure is a crucial component to achieve strong triplet character, which can

475 also lead to strong photosensitization of ROS. For our methods, phosphorescence from carbon nanodots

476 was only observed upon incorporation of heavy atoms—including bromide—and was not observed for
 477 carbon dots collected into water.²⁴ It then follows that the BrCND should exhibit superior antimicrobial
 478 properties from UV photosensitization as compared to carbon nanodots (CND) alone. Accordingly, we
 479 repeated the experimental design from Figure 6, substituting CND samples for the BrCND, but otherwise
 480 keeping all other components consistent. Growth inhibition from UV, or essentially the APDI efficacy, is
 481 plotted in Figure 7 for BrCND versus CND structures (plate photos and counts are given in the ESI Figures
 482 S13-S16).



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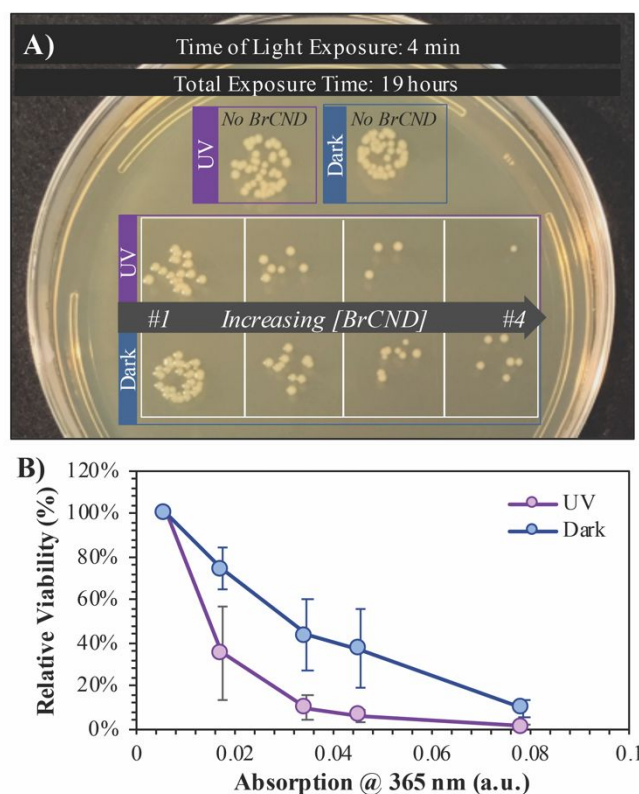
Figure 7. Normalized growth inhibition of bacteria by UV ($\lambda_{\text{exposure}} = 365 \text{ nm}$, $\text{pH} = 3.0$) when exposed with brominated carbon nanodot (“BrCND”) or carbon nanodot (“CND”) solutions of varying absorption intensities (due to sample concentration differences). Bacterial strains include *A) Staphylococcus aureus* ($2 \text{ J}\cdot\text{cm}^{-2}$) and *B) Escherichia coli* ($1 \text{ J}\cdot\text{cm}^{-2}$). Growth inhibition values were normalized against the “0” nanodot condition (*) = 1. Error is propagated from the standard deviation of $n \geq 3$ trials.

490 These are reported for both Gram-positive *S. aureus* (Fig. 7a) and Gram-negative *E. coli* (Fig. 7b). In the
 491 case of either bacterium, CND do not exhibit strong photosensitization effects, especially in comparison
 492 to the BrCND. As BrCND concentration, and therefore solution absorption, increases there is instead a
 493 marked increase in growth inhibition from UV exposure that is not observed for the CND samples. It
 494 follows then that the antimicrobial photodynamic inactivation of bacteria from carbon nanodot structures
 495 is enhanced by the incorporation of heavy atoms such as bromide, akin to the effects observed for
 496 phosphorescence from these species in comparison.²⁴

497 It is interesting to note with the BrCND that such strong photodynamic antimicrobial character is
498 observed, as both analysis of zeta potential and gel electrophoresis confirm that the particles are
499 predominantly negatively charged, although some positive species are present in solution (ESI, Appendix
500 B). In the development of APDI photosensitizers, it is often desirable to employ an agent with sufficiently
501 cationic characteristics, due to the negative surface charge of both Gram-negative and -positive bacteria.⁵
502 ³⁹ Taking advantage of the attractive electrostatic interactions between photosensitizer and bacterium, the
503 agent can localize at the membrane surface, thereby reducing the distance that any ROS must diffuse
504 before inflicting oxidative damage on the bacterium rather than the photosensitizer itself. Using an anionic
505 agent such as BrCND, conversely, it would be expected that the bacteria and particles would repel one
506 another, reducing the antimicrobial efficacy of the BrCND as a photosensitizer. Interestingly, this need
507 not be the case. There are instances in the literature, perhaps counterintuitively, that demonstrate
508 aggregation of negatively charged bacteria with negatively charged particles.⁴⁰ The combined system, for
509 example between *E. coli* and graphene oxide, has been shown to exhibit a reduced overall zeta potential;
510 as this value approaches zero, the particulate matter in solution becomes unstable and prone to
511 aggregation.⁴⁰ This is a possibility also for the BrCND system, as zeta potential measurements are
512 distributed near to zero (ESI, Appendix B). In a system where negatively charged particles may
513 nonetheless localize with bacteria, the challenge of ROS lifetime and diffusion is addressed, and
514 photosensitization can be effective, as demonstrated in Figure 7 for both *S. aureus* and *E. coli*.

515 **3.5. Dark Toxicity of Carbon Nanodots and Reactive Nitrogen Species Generation.** Although
516 no dark toxicity effects are reported for *S. aureus* in Figure 6, previously discussed data herein does in
517 fact demonstrate growth inhibition where no photosensitization mechanism is at play. This is illustrated
518 clearly by the BrCND concentration-dependent growth of *E. coli*, reported in Figure 8 (additional data in
519 ESI Fig. S17). The UV exposed samples exhibit a decrease in growth (Fig. 8a), and therefore relative
520 viability (Fig. 8b), consistent with the APDI mechanism observed also for *S. aureus* (Fig. 6/7). Yet obvious

521 differences are observed in the “dark” condition, where no photosensitization occurs. Even under these
 522 conditions, there is a significant impact of BrCND concentration on viability, which is only further
 523 enhanced by the added photosensitization mechanism (Fig. 8b). This observation is consistent with reports
 524 mentioned earlier, which incorporate the “dark” toxicity from outer membrane disruption to improve the
 525 antibacterial efficacy of small-molecule photosensitizers in Gram-negative bacteria.^{29,41} Given the surface
 526 charges reported from zeta analysis (ESI, Appendix B) of BrCND, it is possible that some of these
 527 structures carry a polycationic charge capable of *E. coli* membrane disruption, similar to what has been
 528 reported for other carbon dot structures.^{17,18}



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Figure 8. Viability of *Escherichia coli* after 4-minute exposure to brominated carbon nanodot (“BrCND”) solutions of varying concentrations. Bacterial samples were both kept in dark (no light) and photosensitization ($\lambda_{\text{exposure}} = 365 \text{ nm}$ or “UV”, $3.0 \pm 0.2 \text{ mW}$, $1 \text{ J}\cdot\text{cm}^{-2}$) conditions at a pH of 3.0. *A)* Real-color photograph of *E. coli* growth after samples were adjusted to neutral pH and incubated overnight. Photo is representative of $n = 3$ trials. *B)* Relative viability of dark versus UV-exposed samples.

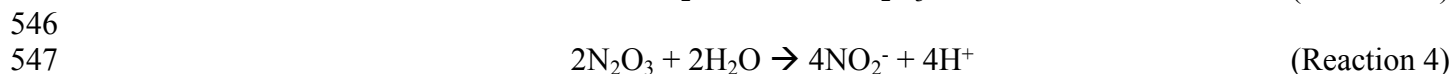
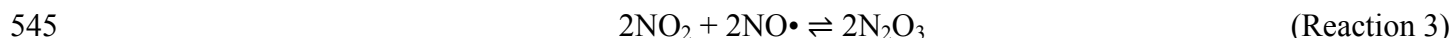
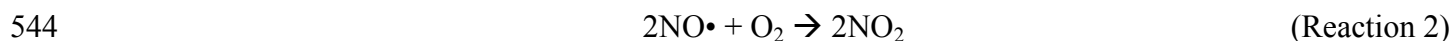
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This is an intriguing possibility and will likely be the focus of a future report. In the context of oxidative

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stress from reactive species, we considered that additional reactive species may be present that were not a

538 product of photosensitization. Reactive nitrogen species, for example, produce different antimicrobial
539 responses in *E. coli* and *S. aureus* due to different susceptibilities to nitrosative damage;^{42, 43} adaptability
540 to stress from nitric oxide (NO•), for example, is a studied feature of *S. aureus* in the literature,⁴³ although
541 broadly speaking nitric oxide itself is only weakly antibacterial. In fact, “dark” toxicity from a NO•
542 precursor could be due to a number of downstream reactive species, generated by oxidation of NO• by
543 dissolved oxygen, as detailed in the following reactions:⁴⁴

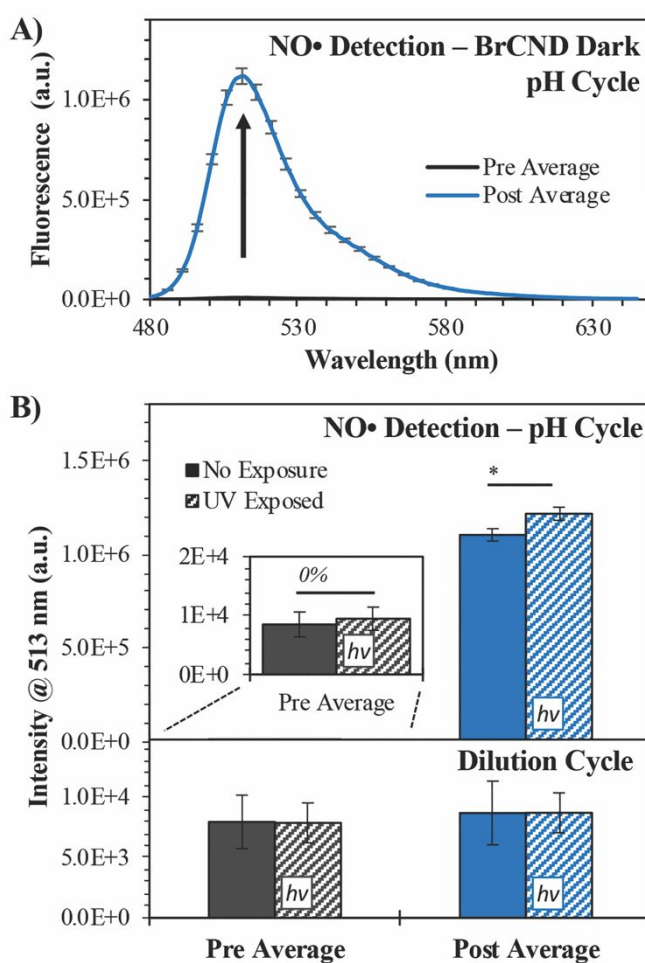


548 Of course, growth of bacterial colonies is highly dependent on a number of factors beyond a single reactive
549 species or mechanism, so direct comparison between the two bacteria is challenging at best; however,
550 these observations indeed triggered interest in potential NO• release from BrCND. Furthermore, the
551 potential contribution from NO• was not discountable particularly in light of the HPF response. As
552 mentioned previously, HPF is sensitive to •OH as well as peroxynitrite, which itself has antibacterial
553 character. This species may also be generated by Type I photosensitization, for example via the following
554 reaction pathway,⁴⁵



556 and thereby could feasibly play some role in the antibacterial activity observed under photosensitization
557 conditions if NO• is generated. Peroxynitrite, however, is only generated when both superoxide anion
558 radical and NO• are available to react; yet, NO• is not a common product of photosensitization. If indeed
559 present, there necessitated an alternative generation mechanism. We noted that some key small-molecule
560 NO• donor structures are pH dependent, with NO• release occurring only in more acidic environments.⁴⁶
561 This bolstered our thinking that such a species may possibly be generated by the BrCND particles.

562 In order to test this, we employed the *fluorescence-on* probe Diaminofluorescein-FM (DAF-FM), the
 563 structure for which may be viewed in Scheme 1. The probe was incorporated into the BrCND solutions
 564 and underwent the pH cycling procedures described in section 2.3, with the added “dilution cycle” control
 565 where all aliquots following the initial pH adjustment to basic (pH = 12-12.5) were deionized water. As
 566 such, for this control, the sample remained at basic pH for the entirety of the experiment including the
 567 exposure period. The results of this are reported in Figure 9 (for additional spectra see the ESI Figure
 568 S18).



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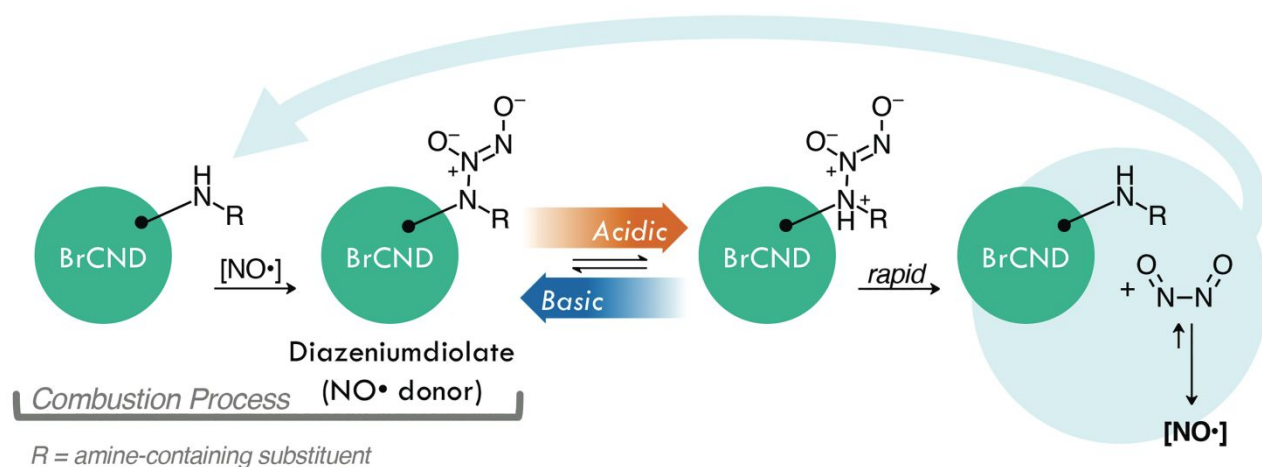
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Figure 9. Detection of nitric oxide (NO•) using Diaminofluorescein-FM (DAF-FM). *A*) Fluorescence spectra ($\lambda_{\text{excitation}} = 473 \text{ nm}$) of DAF-FM before (“pre average,” maximum intensity $\approx 10^4$) and after (“post average”) exposure to brominated carbon nanodots (“BrCND,” pH ≈ 2.5) under dark conditions. Reported spectra are the average of three trials. *B*) Fluorescence intensity values both “pre” and “post” exposure conditions with BrCND ($\lambda_{\text{exposure}} = 365 \text{ nm}$, $0.56 \pm 0.04 \text{ mW}$, $0.1 \text{ J}\cdot\text{cm}^{-2}$). Values are reported for both *top* – pH cycled and *bottom* – dilution cycled (pH ≈ 12) conditions. Values are the average of $n = 3$ trials for each condition, with error from standard deviation. $*p < 0.05$.

578 For the dilution cycle, no significant change in signal was detected in the “post” exposure measurement
 579 as compared to the “pre” exposure conditions (Fig. 9b); this is the case for both the dark and UV-exposed
 580 systems, indicating that UV exposure alone has no notable impact on the probe fluorescence properties.
 581 When comparing the dilution versus pH cycle results, it becomes apparent that NO• generation here is
 582 pH-dependent. When the sample is cycled under acidic conditions, the fluorescence of DAF-FM increases
 583 substantially, indicating the generation of NO• and downstream species.⁴⁷ This is true not just under UV-
 584 exposed conditions, which would be expected for a photosensitization mechanism, but notably under dark
 585 conditions as well. The signal is only slightly increased by photosensitization. This observation may be
 586 accounted for by considering that the presence of oxygen radicals opens other pathways for NO• reactions.
 587 Given that the mechanism for NO• sensing by DAF-FM requires the formation of an intermediate,⁴⁷ it is
 588 possible that the presence of ROS reagents leads to a change in formation rate (and subsequently net
 589 concentration) of the intermediates over the exposure period. This would yield a different net response
 590 from the probe to NO• concentrations generated by the BrCND under UV-exposed, pH cycling conditions.

591 Although the mechanism of this generation from BrCND indeed requires more extensive analysis to
 592 elucidate, we suggest a potential pathway by which the BrCND may produce NO• (Scheme 2).



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Scheme 2. Graphical representation of one possible mechanism for acid-mediated nitric oxide (NO•) donation characteristics from a diazeniumdiolate form of brominated carbon nanodots (BrCND). For this schematic, NO• is generated by BrCND after acid cycling. The products generated restore the original structure. In the absence of competing pathways, NO• may react with the BrCND to restore the diazeniumdiolate.

599 Diazeniumdiolate structures are well-studied NO• donors, which release NO• in a pH-dependent manner
600 as is observed for BrCND. These structures can be generated from amines upon reaction with NO•,⁴⁶
601 which is particularly important when considering the formation of the carbon nanodot structures as
602 combustion byproducts. NO• is also a known product from combustion in atmospheric conditions,⁴⁸ and
603 therefore is likely present during the nanodot synthesis. It proves difficult to elucidate specific information
604 regarding functional groups from the FTIR spectra of BrCND structures, which are largely unstructured
605 due to great variation in sample composition; however, it indeed seems possible that such a reaction as
606 presented in Scheme 2 may occur during synthesis. Post-collection under this scheme, acidic environments
607 permit the rapid release of NO• concentrations, restoring the original amine structure which may re-
608 generate the diazeniumdiolate if reacted with generated NO•.⁴⁶ As an alternative donor mechanism for
609 reactive nitrogen species, nitroalkane substituents with adjacent aliphatic carbons may pyrolyze under
610 basic to acidic cycling conditions to release nitrous acid; a common example of this in organic chemistry
611 is known as the Nef reaction performed with the commercially available Oxone® reagent.⁴⁹ In sufficiently
612 high concentrations, aqueous nitrous acid can react to produce N₂O₃,⁵⁰ which has been proposed as the
613 key intermediate in the reaction mechanism of DAF-FM⁴⁷ and is itself an acute oxidizer and is therefore
614 highly toxic.^{51, 52} Extensive investigation must be conducted to understand the exact mechanism of pH-
615 dependent generation of reactive nitrogen species from brominated carbon nanodots, yet it is clear that
616 these particles do exhibit the unique capacity for contributing significant antimicrobial properties both
617 from photo-dependent reactive oxygen species and pH-dependent reactive nitrogen species generation
618 mechanisms.

619 **4.0. CONCLUSION**

620 Herein we report the generation of reactive oxygen and nitrogen species from brominated carbon
621 nanodots. The BrCND, first described recently by our lab,²⁴ are effective ROS photosensitizers by both
622 Type I and Type II photosensation mechanisms. Under UVA (365 nm) exposure, the BrCND generate

623 singlet oxygen in both oxygen-rich (oxygen purged) and oxygen-depleted (argon purged) solutions, in a
624 manner consistent with the Type II photosensitization mechanism. Relative singlet oxygen yields are
625 reported for each system using the *fluorescence-on* probe Singlet Oxygen Sensor Green™, demonstrating
626 the oxygen concentration dependence of the system for the formation of singlet oxygen. Type I
627 photosensitization of oxygen-derived radicals, such as hydroxyl radical, is also confirmed from BrCND
628 using the *fluorescence-on* probe hydroxyphenyl fluorescein (HPF). Furthermore, the efficacy of the
629 BrCND as APDI photosensitizing agents was investigated using *both* Gram-negative and -positive
630 microbes including *Escherichia coli*, *Staphylococcus aureus*, and *Listeria monocytogenes*. For all bacteria,
631 photosensitization of the BrCND resulted in suppressed colony growth, consistent with APDI.
632 Photosensitization effects from non-bromine-containing carbon nanodots were also compared and
633 displayed minimal to no UV-dependent toxicity; this result is consistent with previous reports, in which
634 triplet character was observed only for the *brominated* carbon nanodots compared to nanodots alone.²⁴ As
635 such, improved ROS photosensitization is also a consequence of the heavy atom effect in this case. The
636 overall antimicrobial effects of BrCND further can be adjusted by varying the bacterial concentration
637 during exposure, the concentration of BrCND, and the duration of UV exposure. Interestingly, dark
638 toxicity effects from the BrCND were observed in some cases, which could not be attributed to activation
639 from ambient room light exposure. This prompted the investigation into an additional antimicrobial
640 mechanism from BrCND: the pH-triggered release of reactive nitrogen species. Nitric oxide was released
641 from BrCND as a result of pH cycling (basic → acidic → basic), both under dark and UV-exposed
642 conditions. The *fluorescence-on* probe DAF-FM was used in the detection of this species. Two potential
643 sources of this NO• donating character are discussed, including the possibility of forming
644 diazeniumdiolate groups or nitroalkane substituents at the surface of BrCND structures during
645 combustion-based collection; these groups each may undergo chemical alteration during a pH cycle and
646 release reactive nitrogen species, and therefore are cited as potential sources of the DAF-FM signal

647 response. The findings described herein set the foundation for future incorporation and application of
648 BrCND as antimicrobial materials. Featuring the combination of an inexpensive and rapid collection
649 procedure with pH- and light-driven antimicrobial properties, these structures present a scalable solution
650 to combating the widespread global threat of infection from antibiotic resistant bacteria.

651

652 **ASSOCIATED CONTENT:**

653

654 **Electronic Supplementary Information (ESI).**

655 APPENDIX A: Calculating High Density Estimates of Colony Formation in Antimicrobial Experiments.

656 APPENDIX B: Characterization of Brominated Carbon Nanodots

657 APPENDIX C: Supporting Figures

658 **Scheme S1.** Experimental schematic for the bacterial experiment design used to assess the antimicrobial
659 efficacy of brominated carbon nanodots (BrCND) under varying exposure conditions.

660 **Table S1.** Experimental Solvents Used for the Antimicrobial Efficacy of Brominated Carbon Nanodot
661 Bacterial Experiments and Controls.

662 **Figure S1.** Normalized fluorescence spectra of Singlet Oxygen Sensor Green (“SOSG,” $\lambda_{\text{excitation}} = 473$ nm)
663 before (“pre,” maximum intensity = 1) and after (“post”) exposure with brominated carbon nanodots
664 (“BrCND,” pH = 3.0, $\lambda_{\text{exposure}} = 365$ nm, ~ 0.5 J•cm⁻²) under oxygen-purged conditions. Fluorescence spectra
665 are reported for SOSG UV-exposed with *A*) BrCND and *B*) hydrobromic acid (“HBr,” pH = 3.0) control,
666 and under dark conditions (no exposure) for *C*) BrCND and *D*) HBr control solution. Reported spectra are
667 the average of three analyzed solutions from one sample trial.

668 **Figure S2.** Normalized fluorescence spectra of Singlet Oxygen Sensor Green (“SOSG,” $\lambda_{\text{excitation}} = 473$ nm)
669 before (“pre,” maximum intensity = 1) and after (“post”) exposure with brominated carbon nanodots
670 (“BrCND,” pH = 3.0, $\lambda_{\text{exposure}} = 365$ nm, ~ 0.5 J•cm⁻²) under argon-purged conditions. Fluorescence spectra
671 are reported for SOSG UV-exposed with *A*) BrCND and *B*) hydrobromic acid (“HBr,” pH = 3.0) control,
672 and under dark conditions (no exposure) for *C*) BrCND and *D*) HBr control solution. *B/C*) Reported spectra

673 are the average of three analyzed solutions from one sample trial. A/D) Spectra are the average of two
674 solutions from one sample trial.

675 **Figure S3.** Statistical analysis of percent signal change values determined for singlet oxygen generation
676 ($^1\text{O}_2$) by photosensitization from brominated carbon nanodots (pH = 3, $\lambda_{\text{exposure}} = 365 \text{ nm}$, $\sim 0.5 \text{ J}\cdot\text{cm}^{-2}$)
677 compared to control conditions. Values are reported for A) oxygen, B) air, and C) argon purged systems.
678 Values are the average of a minimum of three trials for each condition, with error from standard deviation
679 reported. $*1.00 > p > 0.10$, $**p = 0.10$, $***p = 0.05$, $****p \leq 0.01$.

680 **Figure S4.** Statistical analysis of percent signal change values determined for singlet oxygen generation
681 ($^1\text{O}_2$) by photosensitization from A) UV-exposed brominated carbon nanodots (“BrCND,” pH = 3, $\lambda_{\text{exposure}}$
682 = 365 nm , $\sim 0.5 \text{ J}\cdot\text{cm}^{-2}$) compared to control conditions including B) unexposed (dark) BrCND, C) exposed
683 hydrobromic acid (“HBr”) solution, and D) unexposed HBr. Values are the average of a minimum of three
684 trials for each condition, with error from standard deviation reported. $*1.00 < p \leq 0.20$, $**p = 0.10$, $***p$
685 = 0.05 , $****p \leq 0.02$.

686 **Figure S5.** Normalized fluorescence spectra of Hydrophenyl Fluorescein (“HPF,” $\lambda_{\text{excitation}} = 473 \text{ nm}$) before
687 (“pre,” maximum intensity = 1) and after (“post”) exposure with brominated carbon nanodots (“BrCND,”
688 pH = 3.0, $\lambda_{\text{exposure}} = 365 \text{ nm}$, $1 \text{ J}\cdot\text{cm}^{-2}$) under air-purged conditions. Fluorescence spectra are reported for
689 HPF UV-exposed with A) BrCND and B) hydrobromic acid (“HBr,” pH = 3.0) control, and under dark
690 conditions (no exposure) for C) BrCND and D) HBr control solution. Reported spectra are the average of
691 a minimum of three independent trials.

692 **Figure S6.** Results of bacterial growth inhibition from photosensitization of brominated carbon nanodots
693 (pH 3.2 ± 0.2 , $\lambda_{\text{exposure}} = 365 \text{ nm}$), presented in the main text figure 4. Strains tested include A) *Escherichia*
694 *coli*, B) *Listeria monocytogenes*, and C) *Staphylococcus aureus*. Labels correspond accordingly: “DI” –
695 deionized water only, pH 5.5; “BrCND(D)” – brominated carbon nanodots adjusted to pH 3.2, no UV
696 exposure; “BrCND(UV)” – brominated carbon nanodots adjusted to pH 3.2 with exposure. Note:
697 concentrations of BrCND are variable between bacterial strains, but not between energy densities. Colony
698 growth too dense for adequate counting is indicated as “HD” and is estimated by the maximum colony

699 count obtained in $n = 3$ trials; high density estimates are indicated by “*”. Error is from standard deviation
700 of $n = 3$ trials.

701 **Figure S7.** *Escherichia coli* control experiments for inactivation by brominated carbon nanodot
702 photosensitization. *A)* Effects of UV ($\lambda_{\text{ex}} = 365$ nm) exposure on bacteria alone at 10- and 25-mW exposure
703 powers (power measured at 400 nm) for 4- and 10-minute exposure intervals. *B)* Effects of exposure
704 solution pH on bacteria alone for a pH range of 2 to 6. *C)* Effects of bromide ion concentration in exposure
705 solution for bacteria alone for concentrations ranging from 0- to 5-M.

706 **Figure S8.** *Escherichia coli* growth dependence on variable concentrations of bromide ion at pH = 3.5. *A)*
707 Photograph of *E. coli* growth on LB agar after 10 minute exposure under various conditions followed by
708 incubation. *B)* Colony counts for each sample shown in (*A*). Error is from counts by 3x individuals to
709 reduce bias in manual counting. Colony growth too dense for adequate counting is indicated as “HD” and
710 is estimated by the maximum colony count obtained in $n = 3$ trials; high density estimates are indicated by
711 “*”.

712 **Figure S9.** *Listeria monocytogenes* control experiments for inactivation by brominated carbon nanodot
713 photosensitization. *A)* Effects of UV ($\lambda_{\text{ex}} = 365$ nm) exposure on bacteria alone at 10- and 25-mW exposure
714 powers (power measured at 400 nm) for 4- and 10-minute exposure intervals. *B)* Effects of exposure
715 solution pH on bacteria alone for a pH range of 2 to 6. *C)* Effects of bromide ion concentration in exposure
716 solution for bacteria alone for concentrations ranging from 0- to 5-M.

717 **Figure S10.** *Listeria monocytogenes* growth dependence on variable concentrations of bromide ion at pH
718 = 3.5. *A)* Photograph of *L. monocytogenes* growth after 10 minute exposure under various conditions
719 followed by incubation. *B)* Colony counts for each sample shown in (*A*). Error is from counts by 3x
720 individuals to reduce bias in manual counting.

721 **Figure S11.** *Staphylococcus aureus* growth control experiments for inactivation by brominated carbon
722 nanodot photosensitization. *A)* Effects of UV ($\lambda_{\text{ex}} = 365$ nm) exposure on bacteria alone at 10- and 25-mW
723 exposure powers (power measured at 400 nm) for 4- and 10-minute exposure intervals. *B)* Effects of

724 exposure solution pH on bacteria alone for a pH range of 2 to 6. C) Effects of bromide ion concentration in
725 exposure solution for bacteria alone for concentrations ranging from 0- to 5-M.

726 **Figure S12.** *Staphylococcus aureus* growth dependence on variable concentrations of bromide ion at pH =
727 3.5. A) Photograph of *S. aureus* growth on LB agar after 10 minute exposure under various conditions
728 followed by incubation. B) Colony counts for each sample shown in (A). Error is from counts by 3x
729 individuals to reduce bias in manual counting.

730 **Figure S13.** Real-color photographs and corresponding antibacterial activity of *Staphylococcus aureus*
731 exposed to bromine dot (“BrCND”) solutions of varying concentrations (dilution ratios) under either no-
732 light (“dark”) or UV-exposed (“UV,” $\lambda_{\text{exposure}} = 365 \text{ nm}$, $3.0 \pm 0.1 \text{ mW}$, $2 \text{ J}\cdot\text{cm}^{-2}$) conditions. The data here
733 is all the experimental data for the $n = 3$ trials (“T”) used in further analysis, presented in figures 6 and 7 of
734 the main text. *Left* – 10^6 CFU/mL sample; *Middle* – first log dilution, 10^5 CFU/mL; *Right* – second log
735 dilution, 10^4 CFU/mL.

736 **Figure S14.** Real-color photographs and corresponding antibacterial activity of *Staphylococcus aureus*
737 exposed to carbon dot (“CND”) solutions of varying concentrations (dilution ratios) under either no-light
738 (“dark”) or UV-exposed (“UV,” $\lambda_{\text{exposure}} = 365 \text{ nm}$, $3.0 \pm 0.1 \text{ mW}$, $2 \text{ J}\cdot\text{cm}^{-2}$) conditions. The data here is all
739 the experimental data for the $n = 4$ trials (“T”) used in further analysis, presented in figure 7 of the main
740 text. *Left* – 10^6 CFU/mL sample; *Middle* – first log dilution, 10^5 CFU/mL; *Right* – second log dilution, 10^4
741 CFU/mL.

742 **Figure S15.** Real-color photographs and corresponding antibacterial activity of *Escherichia coli* exposed
743 to bromine dot (“BrCND”) solutions of varying concentrations (dilution ratios) under either no-light
744 (“dark”) or UV-exposed (“UV,” $\lambda_{\text{exposure}} = 365 \text{ nm}$, $3.0 \pm 0.2 \text{ mW}$, $1 \text{ J}\cdot\text{cm}^{-2}$) conditions. The data here is all
745 the experimental data for the $n = 3$ trials (“T”) used in further analysis, presented in figures 7 and 8 of the
746 main text, and ESI figure S17. *Left* – 10^6 CFU/mL sample; *Middle* – first log dilution, 10^5 CFU/mL; *Right*
747 – second log dilution, 10^4 CFU/mL.

748 **Figure S16.** Real-color photographs and corresponding antibacterial activity of *Escherichia coli* exposed
749 to carbon dot (“CND”) solutions of varying concentrations (dilution ratios) under either no-light (“dark”)

750 or UV-exposed (“UV,” $\lambda_{\text{exposure}} = 365 \text{ nm}$, $3.0 \pm 0.2 \text{ mW}$, $1 \text{ J}\cdot\text{cm}^{-2}$) conditions. The data here is all the
751 experimental data for the $n = 3$ trials (“T”) used in further analysis, presented in figure 7 of the main text.
752 *Left* – 10^6 CFU/mL sample; *Middle* – first log dilution, 10^5 CFU/mL; *Right* – second log dilution, 10^4
753 CFU/mL.

754 **Figure S17.** Viability of *Escherichia coli* after 4-minute exposure to brominated carbon nanodot
755 (“BrCND”) solutions of varying concentrations. Bacterial samples were both kept in dark (no light) and
756 photosensitization ($\lambda_{\text{exposure}} = 365 \text{ nm}$ or “UV”, $3.0 \pm 0.2 \text{ mW}$, $1 \text{ J}\cdot\text{cm}^{-2}$) conditions at a pH of 3.0. *A*) Growth
757 inhibition due to UV photosensitization for each solution absorption at the photosensitization wavelength.
758 Error is from the standard deviation of $n = 3$ trials. *B*) Absorption spectra of each BrCND solution (1-4,
759 Fig. 7-8). Black line is the absorption of the control solution. **Inset.** Magnified absorption window at the
760 photosensitization wavelength.

761 **Figure S18.** Fluorescence spectra of Diaminofluorescein-FM (“DAF-FM,” $\lambda_{\text{excitation}} = 473 \text{ nm}$) before
762 (“pre”) and after (“post”) exposure with brominated carbon nanodots (“BrCND,” pH = 3.0, $\lambda_{\text{exposure}} = 365$
763 nm, $0.56 \pm 0.04 \text{ mW}$, $0.1 \text{ J}\cdot\text{cm}^{-2}$). Fluorescence spectra are reported for DAF-FM pH cycled under *A*) dark
764 and *B*) UV-exposed conditions and dilution cycled (pH 12-12.5) for *C*) dark and *D*) UV-exposed conditions.
765 Reported spectra are the average of $n = 3$ independent trials.

766

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770 **Author Contributions.**

771 All experiments were designed by Rachael Knoblauch under the mentorship of Dr. Chris D. Geddes.
772 Experiments were executed by Rachael Knoblauch with the aid of undergraduate researchers Amanda
773 Harvey, Estelle Ra, Ken Greenberg, Judy Lau, and Elizabeth Hawkins. Colony counts were performed by
774 Rachael Knoblauch, Amanda Harvey, Elizabeth Hawkins, and Judy Lau. All data analysis and figure

775 presentation was completed by Rachael Knoblauch. The manuscript was written and edited by Rachael
776 Knoblauch; additional review and editing was conducted by Dr. Chris D. Geddes.

777

778 **Conflicts of Interest.**

779 There are no conflicts of interest to declare.

780

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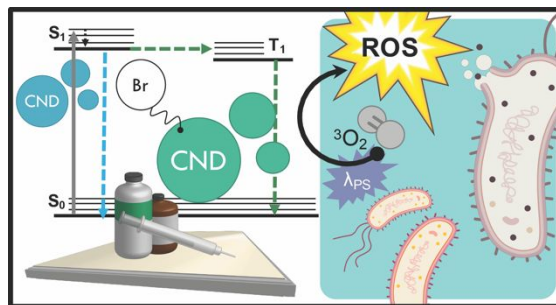
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TOC Entry:

Light-responsive antimicrobial activity is achieved from tuning carbon nanodot structures via bromination, a direct result of the heavy atom effect.