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Turbidity Informed Real-Time Control of a Dry Extended Detention Basin: A Case Study

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ABSTRACT

Dry extended detention basins are static stormwater infrastructure, unable to adapt to shifts in water quality caused by urbanization in their source watersheds or long-term changes in rainfall patterns. As a potential solution to these problems, this research investigated the impact and use of real-time water quality data on a dry extended detention basin retrofitted with a controllable valve and a turbidity sensor with the goal of more consistently meeting water quality objectives. When rainfall was detected, the basin's valve would close and detain all water until either a maximum allowable detention time was reached, or turbidity values fell below a predetermined threshold. This method was shown to produce highly variable detention times after rainfall events which highlights the advantages an adaptive system has over a traditional static system or one which uses predetermined detention times to meet

25 *water quality objectives. To investigate if turbidity-based controls could operate effectively in the future if the*
26 *turbidity sensor were to be removed, an advantage for economical resource allocation, several modeling*
27 *approaches were evaluated to estimate the detention time of the system based on observed basin stage and*
28 *precipitation data. Two of these models, a logistic regression model and a Long Short-Term Memory (LSTM) model,*
29 *proved accurate in estimating the necessary detention time of the system. With this system's ability to meet water*
30 *quality objectives more consistently when real-time water quality data were integrated into the decision framework,*
31 *this study lays the groundwork for other applications where improved water quality is the goal.*

32

33 **WATER IMPACT STATEMENT**

34 This study explores the design, application, and analysis of a dry extended detention
35 basin equipped with real-time control (RTC) which integrates real-time water quality data to
36 achieve objectives more consistently. The results highlight how existing technology combined
37 with innovative methodologies can be deployed to improve the quality of effluent from
38 stormwater infrastructure and contribute to the ecological health of receiving waterbodies.

39

40 **INTRODUCTION**

41 The majority of stormwater infrastructure is static, unable to adapt to land use conversion
42 and a changing climate. This includes stormwater control measures such as dry extended
43 detention basins. Dry extended detention basins are storage facilities which are installed within
44 drainage networks to temporarily store stormwater runoff.^{1,2} Their primary purpose is to provide
45 channel and flood protection for the receiving stream or river by attenuating flows to match pre-
46 development conditions.^{1,2}

47

48 **Real-Time Control.** Recent studies have begun to investigate the impact of retrofitting such
49 systems with real-time control (RTC) by installing a controllable valve on the outlet to increase

50 or change detention times during rainfall events.³⁻⁹ Typically, these detention times are
51 predetermined, and thus don't account for changing conditions between and during rainfall
52 events such as shifts in water quality. Thus, they are still treated as a "static solution to a
53 dynamic problem".¹⁰ Although there is evidence that utilizing real-time water quality data in the
54 control decisions of stormwater infrastructure is beneficial for meeting water quality objectives,
55 there are limited case studies in literature.¹¹ Of those studies that have been performed, the
56 primary focus was using this technology to prevent combined sewer overflows or to redirect
57 water to wastewater treatment plants.¹² Additional studies are needed to investigate the impact
58 and efficiency of adaptable stormwater systems which integrate real-time water quality data into
59 the decision framework for stormwater controls.

60

61 **Impact and Measurement of Turbidity.** Turbidity, which can cause water bodies to appear
62 murky or cloudy, is an optical quality of water and a measurement of the scattering and
63 absorption of light. It is elevated primarily by the presence of suspended sediment but also by
64 organic matter and microscopic organisms.¹³ Turbidity is considered an indicator of the
65 ecological health of a water body.¹³ For example, elevated turbidity levels can result in negative
66 impacts to aquatic life and stream ecology by reducing photosynthetic activity, reducing food
67 availability to fish and aquatic life, degrading aquatic habitats, and directly harming organisms
68 by impairing respiration and digestive processes.¹⁴

69 There are numerous standards and techniques for measuring turbidity, but most use a
70 light source and detector to measure the optical scatter of a water sample. This diversity of
71 instrumentation and measurement techniques have resulted in numerous designations for the
72 units of a turbidity measurement. For the purposes of this study, turbidity measurements were

73 reported in Formazin Nephelometric Units (FNU) which corresponds to an instrument that
74 measures turbidity by analyzing the sidescatter (90° to incident beam) from a single illumination
75 beam light source using near infrared wavelengths.¹³ Another common turbidity unit is
76 Nephelometric Turbidity Units (NTU) which replaces the near infrared light source of the FNU
77 measurement technique with a white light source.¹³ Some instrumentation manufacturers have
78 continued to report turbidity measurements in NTU as a generic turbidity unit though it may not
79 be the correct designation.¹³ While frequent calibration of modern instruments is not generally
80 required (unlike sensors for other water quality parameters), maintenance and installation of
81 these sensors can be quite time consuming. Maintenance issues generally arise when sediment or
82 biologic fouling occurs and obscures the sensor. To alleviate this issue, many sensors come
83 equipped with cleaning protocols that physically wipe/remove any obscurities from the sensor's
84 lens, though the addition of this feature makes the sensor considerably more expensive.
85 However, these cleaning protocols are not equipped to handle the sensor being obscured by
86 larger debris (such as vegetation) blocking the sensor's view of the water column; alleviation of
87 these issues would require physical removal of the object(s) from in front of the sensor. Thus,
88 long term deployment of such sensors can require a substantial investment to maintain system
89 function.

90

91 **Turbidity and Stormwater Controls.** To reduce the turbidity of stormwater entering a stream
92 or river, thereby improving the ecological health of the system, stormwater controls such as dry
93 extended detention basins are used. Dry extended detention basins are able to reduce the impact
94 of turbidity primarily through gravitational settling and trapping of suspended particles found in
95 stormwater.^{1-5,9,13} By attenuating flows and increasing the hydraulic residence time, these settling

96 and trapping processes have more time to occur which results in removal rates of 40-70% for
97 suspended sediment.^{1-5,9} RTC has been able to enhance these processes and substantially
98 improve the removal efficiency of suspended sediment to 70-90% by increasing the hydraulic
99 residence time.^{3-5,7,9} However, none of these studies incorporated real-time water quality data to
100 control this hydraulic residence time. This may prove to be a more versatile alternative for
101 targeting specific water quality objectives by adjusting the hydraulic residence time as shifts in
102 water quality occur.

103
104 **Objectives.** Although RTC is increasingly being viewed as a way to bolster the performance of
105 stormwater infrastructure, there are numerous applications yet to be explored. To the authors'
106 knowledge there are no case studies utilizing real-time water quality data in the decision
107 framework of dry extended detention basins, or other stormwater control measures, retrofitted
108 with active controls. Based on the understanding that effluent turbidity levels may improve when
109 detention times within stormwater facilities are increased, RTC may offer an avenue to achieve
110 better outcomes than static systems. Furthermore, integration of real-time turbidity data is a
111 starting point for showing how water quality informed RTC can be leveraged to achieve water
112 quality objectives more consistently. Thus, the results of this study should encourage novel
113 research into other applications of RTC integrated with real-time water quality data. The
114 objectives of this study were to: (1) investigate the impact and use of real-time water quality data
115 on a dry extended detention basin retrofitted with a controllable valve and a turbidity sensor as a
116 novel methodology for more consistently meeting water quality objectives, and (2) leverage
117 predictive models to alleviate the need for long term deployment of the turbidity sensor, an

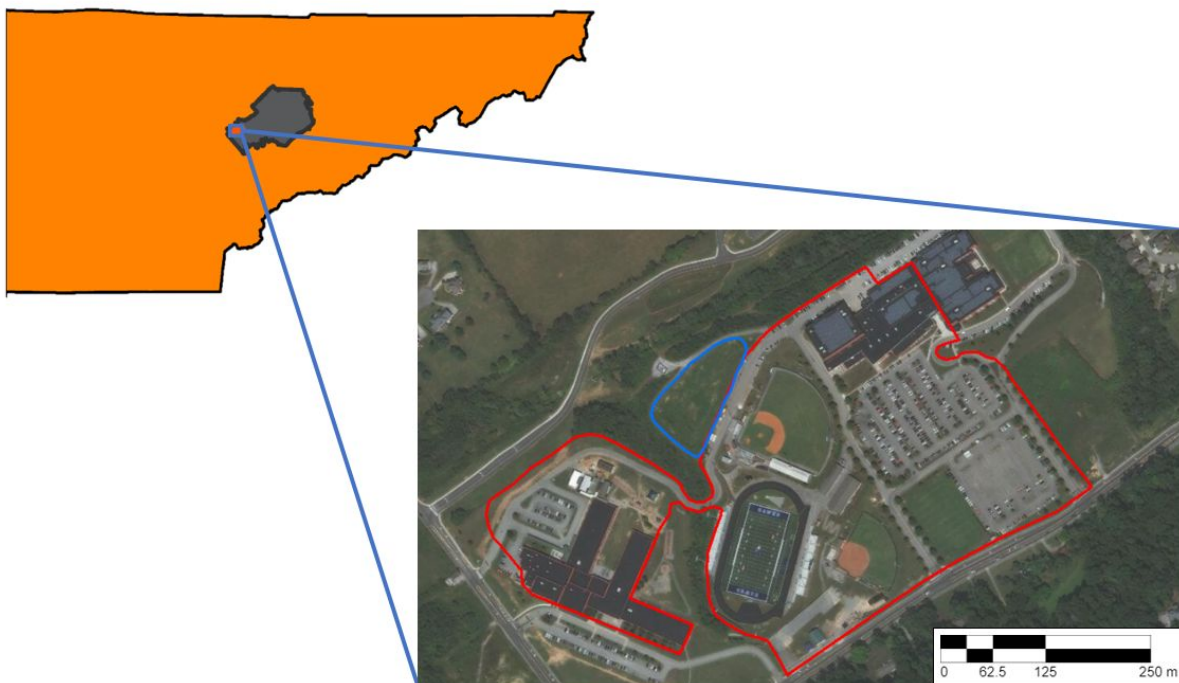
118 advantage for economical resource allocation during widespread adoption by reducing the
119 number of necessary sensors and required maintenance.

120

121 MATERIALS AND METHODS

122 Site Description

123 A dry extended detention basin in the Conner Creek watershed of Eastern Tennessee was
124 chosen for this study (Fig. 1). The dry extended detention basin collects runoff from the
125 impervious areas (such as roofs and parking lots) and practice fields of a local high school and
126 elementary school. The contributing drainage area is 19.7 ha and the landcover is 86%
127 impervious. The basin can detain approximately 14,760 m³ of water at a maximum stage of 3.05
128 m before water overtops the outlet riser of the basin.



129

130 **Fig. 1. Study location in the Conner Creek watershed of Eastern Tennessee with the**
131 **subcatchment of the dry extended detention basin outlined in red and the footprint of the**
132 **basin outlined in blue.**

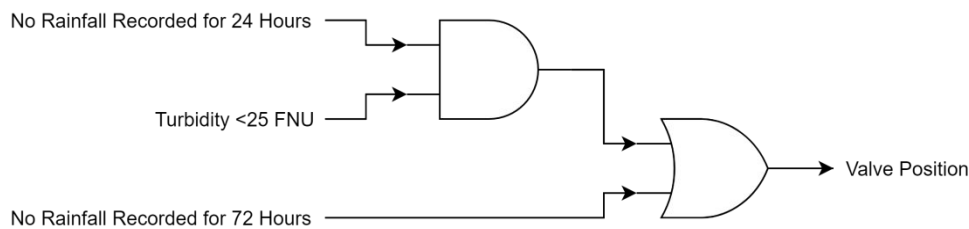
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134 To convert this static stormwater infrastructure into an adaptable system, the outlet
135 structure (Fig. 2) was retrofitted with a 150 mm (6") diameter butterfly valve (Valworx 564548)
136 and matching electric actuator (Valworx 561877A), an ultrasonic depth sensor installed above
137 the basin (Grove Ultrasonic Ranger), and a dual sidescatter/backscatter turbidity sensor.¹⁵ A
138 custom control circuit was developed and powered by a Particle Boron LTE development board
139 to which the actuator and sensors were connected. To ensure that all turbidity measurements
140 were reflective of the basin's effluent conditions, the turbidity sensor was installed directly next
141 to the basin's outlet. Additionally, a tipping bucket rain gauge was integrated into the system to
142 record rainfall and assist in the control decisions made by the system. While this system allowed
143 for variable control of the valve (could be set anywhere between 100% fully open and 0% fully
144 closed), binary control (fully closed or fully open) was used in this study. To utilize the full
145 capacity of the basin, the bypass orifice (used to attenuate flows not able to be conveyed by the
146 150 mm low flow orifice) on the outlet riser was sealed with a circular metal plate and gasket to
147 prohibit it from discharging (as seen in the center of the left figure in Fig. 2).



148 **Fig. 2. Dry extended detention basin outlet riser (left) outfitted with controllable valve,**
149 **water depth sensor, and turbidity sensor and (right) the basin following a rainfall event.**
150
151

153 Turbidity was selected for this study as it is an important parameter for judging stream
154 health, can act as a surrogate for other pollutants, and can be measured reliably with
155 commercially available sensors (unlike other water quality parameters such as Total Suspended
156 Sediment (TSS), bacteria, or nitrate). To investigate how real-time turbidity data may allow
157 improved system performance for water quality, a set of control rules for the system were
158 established. When rainfall was detected, the basin's valve would close and detain all water (Fig.
159 2) for a minimum of 24 hours following the end of a rainfall event. The valve would remain
160 closed until either a maximum detention time of 72 hours was reached, or turbidity values fell
161 below a predetermined threshold of 25 FNU determined via the turbidity sensor's sidescatter
162 measurements (justification for these thresholds is provided below). A simplified logic diagram
163 (represented using AND and OR logic gate notation) of these control rules can be found below in
164 Fig. 3.



165

166 **Fig. 3. Simplified logic diagram of control rules in which the valve will be opened if no**
167 **rainfall has occurred for 24 hours (minimum detention time) and turbidity is below the**
168 **threshold (25 FNU) or no rainfall has occurred for 72 hours (maximum detention time).**
169 **Otherwise, the valve is closed.**

170

171 The sidescatter turbidity measurements from the turbidity sensor were chosen for this
172 study as they have the advantage of being more accurate in clean water compared to backscatter
173 measurements which are useful for measuring higher levels of turbidity (≤ 4000 TU).¹⁵ The
174 minimum and maximum detention times were adopted from regional design and operation
175 guidance on dry extended detention basins.¹⁻² Additionally, since Tennessee does not have any

176 explicit regulations regarding turbidity in surface waters, guidance for the turbidity threshold
 177 came from regulations for ponds, reservoirs, and streams from 8 states' water quality standards:
 178 Arizona, Hawaii, Iowa, Louisiana, Minnesota, North Carolina, Oklahoma, and Vermont (Table
 179 1).¹⁴ Some states may also regulate TSS in surface waters as an additional way to improve the
 180 clarity and quantity of suspended material present in water. However, it is much more difficult to
 181 measure TSS continuously than turbidity.

182 **Table 1. State turbidity regulations used as guidance for determining the turbidity**
 183 **threshold used in this study (adapted from ¹⁴).**

State	Applicable Numeric Turbidity Criteria
Arizona	25 NTU in lakes for human contact and warm water fisheries
	50 NTU in rivers for human contact and warm water fisheries
Hawaii	2-25 NTU for streams
Iowa	25 NTU
Louisiana	25 NTU for freshwater lakes, reservoirs, and oxbows; designated scenic streams and outstanding natural resource waters
Minnesota	25 NTU for fisheries and recreational waters with a Class B or C designation
North Carolina	25 NTU in lakes and reservoirs
	50 NTU in streams
Oklahoma	25 NTU in lakes
	50 NTU for other surface waters
Vermont	25 NTU for class B warm water fish habitats

184

185 To ensure that a series of insignificant rainfall events did not detain water within the
 186 basin indefinitely, additional advanced control rules were added. These rules would require that
 187 the initial rainfall or any additional rainfall (≥ 6 hours post the end of initial rainfall) must meet a
 188 minimum threshold (2.54 mm) equal to the initial abstraction of the watershed within a 6-hour
 189 duration for the rainfall to be included in control decisions. For example, if 12 hours after the end
 190 of initial rainfall a secondary storm passed through the watershed and rained 5 mm within 3
 191 hours, the system would recognize this as the new end of rainfall, thus resetting the countdown
 192 for the 24-hour minimum detention time. If that rainfall threshold was not met, or was not met

193 within the time limit (6 hours), the countdown to the minimum detention time would remain
194 unchanged. Safety precautions were also included in these additional control rules to prevent
195 overtopping of the outlet riser. If the water depth of the basin exceeded 2.51 m (~80% of
196 maximum water depth; ~75% of maximum volume) the valve would open and release water until
197 the water depth fell below 2.44 m. This control rule was designed to override all others and was
198 only enacted by the system once during the study when cumulative rainfall exceeded 130 mm.

199 With the dry extended detention basin properly retrofitted with real-time turbidity
200 measurements and controllable infrastructure, the data collection period of this study was
201 allowed to commence. The system autonomously reacted to changing hydrologic and water
202 quality conditions over a 5-month period (October 19th, 2019 – March 18th, 2020) during which
203 the system was continuously online excluding a 39-day period (December 5th, 2019 - January
204 13th, 2020) when the turbidity sensor was uninstalled (for reasons explained in subsequent
205 sections). Routine maintenance occurred weekly to check the turbidity sensor for large debris
206 (such as vegetation that cannot be removed by the sensor's cleaning protocols which would
207 result in obscured measurements) to ensure that basin control decisions were informed by
208 accurate turbidity measurements. Additional maintenance activities included confirming that the
209 valve was free of debris and that the stage sensor was reporting accurate measurements. If this
210 system were to be installed by a municipality, maintenance frequency could likely be reduced to
211 once every 2-3 weeks dependent on site-specific conditions.

212 213 **Simulating an Uncontrolled System**

214 While performance metrics and water quality data for an uncontrolled baseline were not
215 collected in this study, a comparison in performance between this adaptive RTC strategy and an
216 uncontrolled basin can be inferred by comparing their hydraulic residence times. Multiple studies

217 have observed a positive relationship between water quality and hydraulic residence time in
218 which an increase in the latter leads to an improvement in the former.^{3-5,7,9,16} This observed
219 relationship occurs because sediment settling and nutrient uptake mechanisms have more time to
220 process. For example, Gaborit et al. and Muschalla et al., during their simulations of a dry
221 extended detention basin, observed substantial improvements in TSS removal efficiency (60%-
222 90%) when RTC was utilized as compared to an uncontrolled baseline (~40%).^{3-4,9} However, the
223 baseline uncontrolled in these studies may have been underperforming as these systems generally
224 remove approximately 66% of TSS.¹⁷ Field studies by Gilpin and Barrett, Jacopin et al., and
225 Middleton and Barrett validate these observations with RTC strategies which extend hydraulic
226 residence times being shown to achieve TSS removal efficiencies of 70-90%.⁵⁻⁷

227 Simulations using the Personal Computer Stormwater Management Model (PCSWMM)
228 and collected rainfall data were used to determine the hydraulic residence times (total time that
229 water was detained within the basin) for each event in an uncontrolled scenario (both the bypass
230 orifice and valve of the basin are left open).¹⁸ This PCSWMM model of the study site was
231 created using available data for the basin's drainage network (provided by construction/planning
232 documents and Knoxville GIS) and soil infiltration properties (provided by the Natural
233 Resources Conservation Service's Web Soil Survey).¹⁹ PCSWMM's Sensitivity-based Radio
234 Tuning Calibration (SRTC) tool was then used to calibrate this model using a one-month period
235 of observed basin stage and rainfall (7 rainfall events for a cumulative total of 227.33 mm)
236 during which no manipulation of the basin's valve occurred.²⁰ This model achieved a Nash-
237 Sutcliffe Efficiency of 0.82 for the calibration period. The model's performance was then
238 validated with an additional five rainfall events (including a mix of those which utilized RTC
239 and those which did not) and achieved Nash-Sutcliffe Efficiencies as high as 0.82 for

240 uncontrolled scenarios and 0.98 for scenarios which utilized RTC (controlled by preset RTC
241 rules) ensuring that the model would produce accurate results.

242

243 **Modeling Analysis**

244 Following the data collection period of this study, several modeling approaches were
245 examined to determine if they could accurately estimate the detention time of the system
246 necessary to meet the turbidity threshold (within the minimum and maximum detention times of
247 this study). The purpose of this modeling investigation was to determine if the system could
248 operate effectively in the future if the turbidity sensor were to be removed. This would allow
249 organizations implementing this system to save on maintenance and overhead costs associated
250 with keeping the turbidity sensor clean and functional while also reducing the quantity of
251 turbidity sensors required for the operation of multiple systems. The models evaluated consisted
252 of a diverse selection of traditional statistical models and machine learning techniques. Available
253 predictors for these models consisted of data that could be derived without the need of a turbidity
254 sensor and included: initial water depth (m), maximum water depth during the initial 24 hours
255 after a rainfall event (m), cumulative rainfall (mm), rainfall duration (h), maximum 5-minute
256 rainfall intensity (mm/h), antecedent dry time (h), and time between storms (h). Each of the
257 developed models predicted the detention time (h) required to meet the turbidity threshold within
258 the minimum and maximum detention time constraints outlined previously. The mean absolute
259 error (MAE) of each unique model's predictions were used to compare model performance.

260 The traditional statistical models ranged from simple to complex and included logistic,
261 linear, multiple, and polynomial regression models and were chosen to represent a diverse
262 selection of regression models. The logistic regression model was created by analyzing

263 predictors iteratively for potential sigmoidal relationships. Once predictors displaying sigmoidal
264 relationships were identified, each was analyzed using a diverse set of starting functions. The
265 model with the lowest RMSE (root mean square error) value was selected as the optimal logistic
266 regression model. The linear regression model was created by testing all possible subsets of
267 predictors and selecting the model with the lowest RMSE. Similarly, the multiple regression
268 model was created by testing all possible subsets of predictors and selecting a model with the
269 lowest RMSE while also ensuring that the chosen model was free of multicollinearity. The
270 polynomial regression model was derived using the same process as that of the multiple
271 regression model with the addition of squared predictors. Each of these models were then
272 validated using 10-fold cross-validation in which data were segmented into 10 equal parts and in
273 each iteration, nine segments (90% of the data) were used for training and the remaining segment
274 (respectively, 10%) was used for validation. The MAE of this validation procedure for each
275 model was then used for model comparison.

276 A random forest model was the first machine learning technique that was explored as a
277 viable option for predicting the detention time of the system. Random forest models consist of a
278 number of randomly generated decision trees, grouped together as a “forest”, which ask binary
279 questions of predictors in order to arrive at a conclusion.²¹ The random forest model developed
280 in this study consisted of 500 trees in its “forest” using a variety of predictors as the model’s
281 independent variables. The number of trees used in the creation of this random forest model was
282 fixed at 500 due to restrictions with the software package. Despite the number of trees being
283 fixed, this package was selected as it exposed additional tuning parameters absent in other
284 packages (that were observed to be more beneficial during the model’s creation). These
285 additional tuning parameters included the number of randomly selected parameters at each node,
286 target node size, and enacted splitting rule. The optimal random forest model was chosen by
287 assessing the importance of each available predictor, altering the tuning parameters (number of
288 randomly selected parameters, target node size, and splitting rule) using a tuning grid to assess
289 all available combinations, and assessing model performance. The combination which resulted in
290 the lowest RMSE for 10-fold cross-validation was chosen. The final random forest model’s
291 MAE value was then used for model comparison and discussion.

292 Finally, a more advanced machine learning model was created and analyzed to determine
293 if additional complexity would result in improved model performance. This model consisted of a
294 long short-term memory (LSTM) network, which is a type of recurrent neural network (RNN)
295 used primarily for time series prediction that has the ability to learn from short-term and long-
296 term trends in data to predict an output.²² This ability to learn from both long and short-term data
297 trends makes it an ideal candidate for modeling scenarios that may be temporally dependent,
298 such as this study. Since LSTM models use time series data (as opposed to tabular data) as their

299 input to predict an output at each modeled time step, the LSTM model developed for this study
300 used observed basin stage (m) and rainfall (mm) time series data at 15-minute time steps to
301 predict turbidity as a binary output (1 for turbidity < 25 FNU, 0 for turbidity \geq 25 FNU). To
302 simulate real world conditions, the output data (turbidity) was shifted 12 hours so that any
303 prediction made by the LSTM model was always 12 hours in the future (i.e. basin stage and
304 rainfall at any time t would predict turbidity at time $t + 12$ hours). In a production setting, this
305 would allow enough time for the model to iterate every few hours (as the computational time for
306 this model is significantly higher than others developed within this study) with updated time
307 series data and form a prediction before a control decision would actually need to be made. This
308 time shift would not be necessary for other models within this study as they are able to form a
309 decision with data collected during and immediately following rainfall.

310 The LSTM model used a 10-fold cross-validation process in which time series data were
311 segmented into 10 equal parts and in each iteration, nine segments (90% of the time series data)
312 were used for training and the remaining segment (respectively, 10%) was used for validation.
313 As a reminder, for the other models explored within this study, the split between training and
314 validation was based on the total number of events. The predicted turbidity at each 15-minute
315 time step from each of these validation iterations were then combined and fed through the
316 control rules outlined above to determine the valve position of the dry extended detention basin
317 (1 for open, 0 for closed). From the predicted valve position and observed rainfall, predicted
318 detention time for each event was established, and the model's MAE was determined (necessary
319 for model comparison and discussion).

320

321 **RESULTS AND DISCUSSION**

322 **Observed Performance of System**

323 A total of 21 events were collected from October 19th, 2019, through March 18th, 2020,
324 with an event being defined as the time between when rainfall is initially detected at the site and
325 when the system makes the control decision to release water from the dry extended detention
326 basin. No events were recorded between December 5th, 2019, and January 13th, 2020, as the
327 turbidity sensor was uninstalled due to ambient temperatures routinely falling below its operating
328 temperature range.¹⁵ A summary of each of these events can be found below in Table 2. Events 3
329 and 12 were removed from any further analysis in this study as they were deemed not
330 representative. Specifically, event 3 was removed as debris covered the outlet and obscured the
331 turbidity sensor's measurements, while event 12 was removed due to the extremely high rainfall
332 (134.87 mm) that occurred and flooded the basin/watershed. The control rules were limited in
333 their ability to affect turbidity in a manner consistent with the other events under these extreme
334 circumstances.

335 Overall, 63% of events (12 of 19) met the 25 FNU turbidity threshold for water release
336 before the maximum detention time, and the median turbidity value for all events in the study at
337 release was 24.7 FNU. Although the turbidity threshold was not met for 37% (7 of 19) of events,
338 events in this category still saw a median decrease of 7.9 FNU (22% reduction) during the 24 to
339 72 hours following the end of the rainfall event with a median turbidity value of 35.0 FNU at
340 release. The majority of events resulted in turbidity trends comparable to that of Fig. .
341 Specifically, turbidity initially increases during and following rainfall before steadily decreasing
342 over the next few days. Though similar trends in turbidity occurred, overall detention times were
343 highly variable due to differences in initial turbidity magnitudes, the rate at which readings fell

344 (settling rate of suspended particles), or resuspension of sediment due to biologic activity. These
 345 observations highlight one of the many advantages an adaptive RTC strategy (that incorporates
 346 real-time water quality data) has over other control strategies as it is able to adapt to these
 347 diverse conditions.

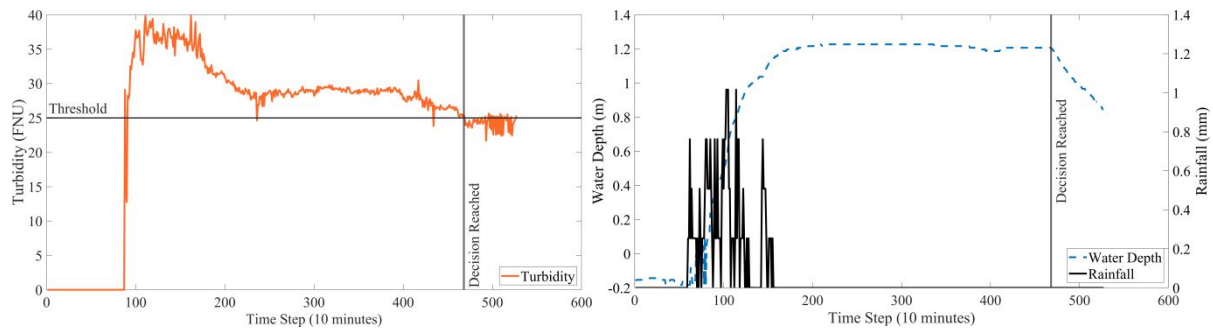
348 **Table 2. Summary of events collected in study.**

Event	Start Time	Rainfall Duration (h)	Rainfall (mm)	Initial Water Depth (m)	Maximum* Water Depth (m)	Maximum* Turbidity (FNU)	Turbidity at Release (FNU)	Detention Time (h)
1	10/19/2019 17:35	9.4	7	0.51	0.62	40.5	24.8	24
2	10/21/2019 20:35	8.4	27	0.00	1.16	100.2	58.7	72
3	10/25/2019 16:40	33.0	46	0.81	1.71	74.5	495.1	72
4	10/30/2019 08:40	30.1	48	1.29	2.12	615.0	24.0	47
5	11/07/2019 10:30	10.0	10	0.82	0.96	28.0	9.7	24
6	11/22/2019 06:45	32.9	54	0.00	1.86	148.4	32.9	72
7	11/26/2019 22:55	9.7	21	1.06	1.52	324.7	24.7	31
8	11/30/2019 14:30	15.9	55	0.00	2.02	100.6	35.0	72
9	01/14/2020 01:10	112.9	42	0.00	1.52	148.6	28.5	72
10	01/23/2020 21:55	15.8	30	0.00	1.23	39.9	24.9	52
11	01/27/2020 04:05	6.5	3	0.84	0.92	82.4	24.1	24
12	02/04/2020 06:00	56.5	135	0.00	3.23	100.7	27.6	72
13	02/10/2020 03:55	30.3	50	1.09	2.17	25.1	20.8	24
14	02/12/2020 13:00	20.6	31	1.81	2.37	58.5	28.4	72
15	02/18/2020 05:30	17.3	23	0.00	1.12	412.1	24.5	34
16	02/20/2020 09:15	6.6	7	1.10	1.25	34.4	19.2	24
17	02/24/2020 06:50	58.3	23	0.00	1.12	71.0	35.4	72
18	03/02/2020 06:50	26.7	40	0.00	1.59	143.4	84.6	72
19	03/10/2020 05:35	14.9	11	0.00	0.63	187.3	22.3	24
20	03/13/2020 00:20	53.8	14	0.00	0.78	238.9	19.9	25
21	03/16/2020 23:40	12.4	11	0.70	1.09	32.2	19.8	24
Median for All Events		15.9	23	0.00	1.23	100.2	24.7	25
St. Dev for All Events		25.1	17	0.57	0.52	150.6	16.1	16

Note: Median and St. Dev do not include Events 3 and 12 as they were removed from any analysis.

***Maximum during time period between when rainfall begins and 24 hours following the end of rainfall.**

349



350

351 **Fig. 4. Turbidity measurements (left) and dry extended detention basin hydrograph (right)**
352 **for event 10.**

353

354 Comparison to an Uncontrolled Basin

355 Table 3 (below) displays the results of the modeling investigation for the uncontrolled
356 scenario with the maximum uncontrolled residence time (beginning of rainfall until the basin is
357 drained), the minimum residence time of the system using RTC (beginning of rainfall until when
358 the valve was opened), and the minimum increase in residence time between the uncontrolled
359 and RTC equipped basin. Equipping the basin with water quality informed RTC led to a median
360 minimum increase in the hydraulic residence time of 82%. From this notable increase in
361 hydraulic residence time, it can be inferred that the water quality informed RTC strategy can
362 provide substantial improvements in water quality when compared to existing/baseline
363 conditions.

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369 **Table 3. Comparison of hydraulic residence times between water quality informed RTC**
 370 **and uncontrolled scenarios.**

Event	Uncontrolled Residence	RTC Residence	Increase in Residence
	Time (h)	Time (h)	Time (%)
1	18.6	33.8	82
2	31.8	80.6	154
4	50.7	76.6	51
5	24.5	34.4	41
6	56.8*	105.1	85
7	29.4	40.2	37
8	43.8	88.1	100
9	73.5*	185.1	152
10	31.6	68.2	116
11	18.4	30.8	67
13	52.9	54.4	3
14	38.8	92.8	139
15	34.5	51.0	48
16	21.1	30.8	46
17	48.5*	130.5	169
18	47.3	98.9	109
19	26.4	39.1	48
20	42.0*	78.7	87
21	26.0	36.7	41
Median for All Events	34.5	68.2	82
St. Dev for All Events	14.4	39.2	46

Note: Uncontrolled residence times denoted by an * are the cumulative residence times for instances where the basin fully drained during an event and was refilled by later rainfall.

371
 372 **Comparison to Predetermined Detention Time RTC Strategy**

373 To determine if the turbidity threshold would have been met by a system using a
 374 predetermined detention time (simpler RTC strategy), the turbidity values of each event at a
 375 variety of pre-determined detention times (12, 24, 48, and 72 hours) were extracted from the
 376 observed data set. In instances where the predetermined detention time was longer than the water
 377 quality informed detention time, the turbidity value at release from the water quality informed
 378 system was used. This conservative assumption was allowed because the majority of events
 379 experienced declining trends in turbidity with increased detention time (as previously explored).

380 Analysis of the efficiency of using predetermined detention times and how this strategy
381 compared to the water quality informed RTC strategy can be found below in Table 4. A
382 substantially higher number of events were observed to meet the turbidity threshold for the water
383 quality informed RTC (63%; 12 of 19 events) in comparison to predetermined detention times of
384 12 (21%; 4 of 19 events), 24 (42%; 8 of 19 events), and 48 (58%; 11 of 19 events) hours. While
385 no considerable increase in the number of events which would have met the turbidity threshold
386 occurred between the 48 and 72 hour predetermined detention times, there was a substantial
387 reduction in turbidity between these two detention times. Events that fell into this category
388 (events 2, 6, 8, 9, 14, 17, and 18) experienced a median decrease of 5.48 FNU (~10%) during
389 that additional 24 hours. In short, a system utilizing predetermined detention times would need to
390 use the maximum detention time of 72 hours to match the efficiency of the water quality
391 informed RTC strategy. While this is feasible to implement, a system utilizing a predetermined
392 detention time strategy would not provide the added hydrologic benefits such as not detaining
393 water longer than necessary and therefore ensuring capacity in the system for any subsequent
394 rainfall. Hence, it can be concluded that the water quality informed RTC strategy shows greater
395 potential and versatility as an alternative for meeting water quality objectives.

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408 **Table 4. Analysis of results investigating if the turbidity threshold would be met using**
 409 **predetermined detention times (red indicates turbidity ≥ 25 FNU at release; green indicates**
 410 **turbidity < 25 FNU at release).**

Turbidity (FNU) at Release if Predetermined Detention Time Used *						
Event	RTC Detention Time (h)	Turbidity at Release (FNU)	12 Hours	24 Hours	48 Hours	72 Hours
1	24	24.8	28.4	25.6	24.8**	24.8**
2	72	58.7	51.1	98.5	64.2	58.7
4	47	24.0	39.4	34.6	24.0	24.0**
5	24	9.7	15.6	9.9	9.7**	9.7**
6	72	32.9	44.9	66.3	49.9	32.9
7	31	24.7	35.9	34.5	24.7**	24.7**
8	72	35.0	55.3	38.6	34.3	35.0
9	72	28.5	39.7	36.4	31.6	28.5
10	52	24.9	29.1	29.2	26.2	24.9**
11	24	24.1	27.6	26.1	24.1**	24.1**
13	24	20.8	21.5	20.7	20.8**	20.8**
14	72	28.4	52.8	34.1	30.2	28.4
15	34	24.5	101.0	30.1	24.5**	24.5**
16	24	19.2	25.4	19.2	19.2**	19.2**
17	72	35.4	49.8	40.3	47.1	35.4
18	72	84.6	91.0	113.3	95.8	84.6
19	24	22.3	57.9	22.3	22.3**	22.3**
20	25	19.9	31.8	19.9	19.9**	19.9**
21	24	19.8	12.8	19.8	19.8**	19.8**
Efficiency of Predetermined Detention Time			21%	42%	58%	63%

* Turbidity threshold was initially met within ± 2 hours of predetermined detention time.

**Turbidity (FNU) at release used. Actual turbidity value at this predetermined detention time would likely be lower due to observed reduction in turbidity as detention time increased.

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413 Modeling Results

414 **Regression Models.** As noted above, a variety of models were developed using predictors that
 415 could be derived independently of the turbidity sensor. The form of each of these models,
 416 including coefficients and independent variables, can be found below in Table 5. Variables of
 417 significant value for explaining the data and predicting *DT* (detention time in h) included

418 *Rainfall* (cumulative rainfall in mm), D_0 (basin's initial water depth in m), and D_M (basin's
 419 maximum water depth during the initial 24 hours following a rainfall event in m). Cumulative
 420 rainfall as an important predictor was expected as it is directly responsible for higher rates of
 421 runoff that carry sediment/pollutants and contribute to higher levels of turbidity.^{14,16,23} Maximum
 422 water depth as a predictor appears to represent hydrologic processes similar to rainfall, as
 423 determined by the high multicollinearity observed between this predictor and rainfall. Initial
 424 water depth also appears to play a vital role in how quickly the turbidity threshold is reached
 425 primarily through its impact on resuspension processes. Through investigation of the observed
 426 data it is hypothesized that when the basin still contains a portion of the previous event when a
 427 new event begins, the erosive energy of the incoming water is diminished, thus reducing
 428 resuspension of trapped particles in the basin. This would allow the dry extended detention basin
 429 to mimic the function of a wet pond (stormwater control measure which contains a permanent
 430 pool) which has been documented to have increased removal rates of suspended particles.¹⁻²

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Table 5. Analyzed regression models and their form.

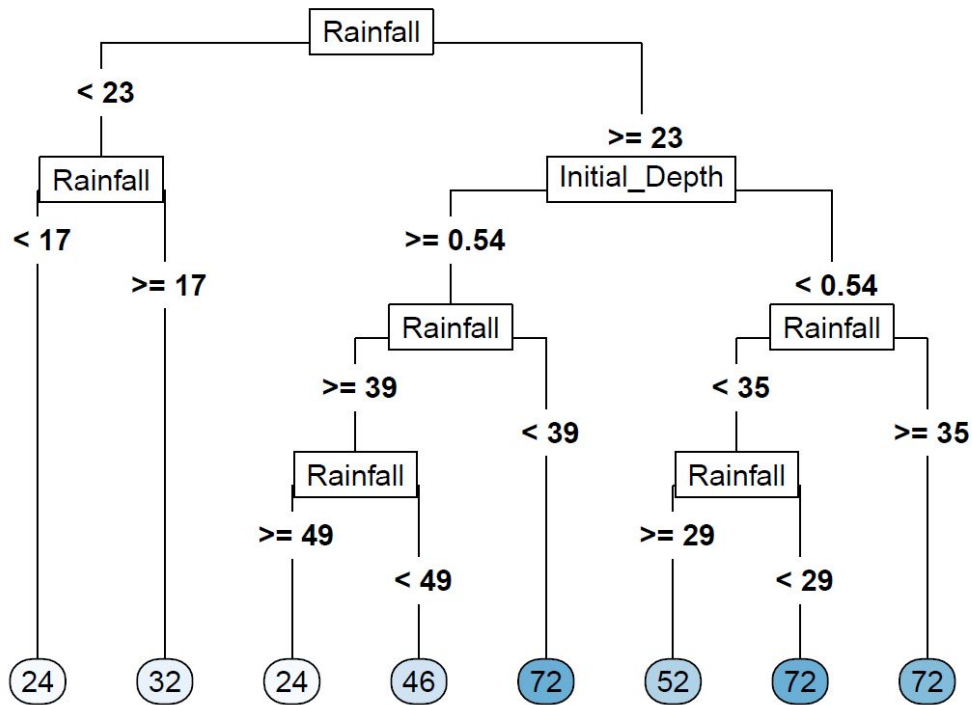
Model	Form
Logistic Regression	$DT = 25.038 + 37.851 / (1 + e^{-17.704(Rainfall) - 22.663})$
Linear Regression	$DT = 22.497 + 0.859(Rainfall)$
Multiple Regression	$DT = 12.335 - 24.943(D_0) + 33.015(D_M)$
Polynomial Regression	$DT = 26.877 - 62.695(D_0) + 30.378(D_0^2) + 23.425(D_M)$

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435 **Random Forest Model.** The random forest model consisted of 500 trees in its “forest” (for
 436 reasons previously discussed) and used cumulative rainfall (mm) and initial water depth (m) of
 437 the basin as the model's independent variables. The model also applied the following tuning
 438 parameters: using both parameters at each node, using a target node size of 1, and enacting an
 439 “extratrees” splitting rule. An example of a decision tree that may appear in this random forest

440 model can be found below in Fig. . Similar to the regression models, it appears that predictors
 441 which describe the resuspension (initial depth) and hydrologic (rainfall) processes of the basin
 442 are those which most substantially impact the required detention time to meet the turbidity
 443 threshold.



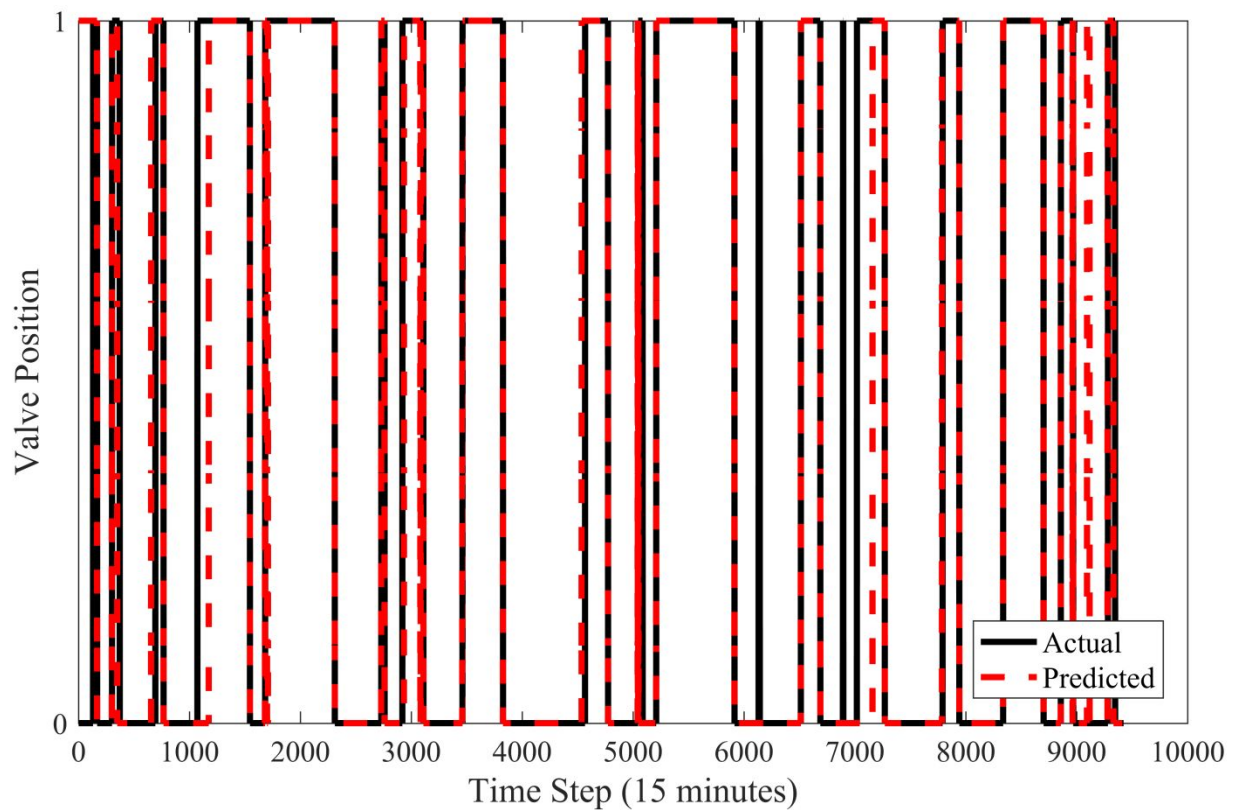
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Fig. 5. Example decision tree that may appear in the random forest model.

447 **Long Short-Term Memory Model.** Overall, the LSTM model performed well (Fig.). The
448 MAE for this model using 17 of the 19 available events and 10-fold cross-validation was 5.16
449 hours with a median absolute error of less than half an hour. The model was unable to reach a
450 prediction for the detention times for events 13 and 15 due to the short period of time between
451 when the system actually made the decision to open and when a new rainfall event began (~2.5
452 and ~0.75 hours, respectively). It should be noted that LSTM models are variable and dependent
453 on layers added to the model. Thus, it is possible that a different, yet to be determined
454 combination could outperform the current iteration. However, the LSTM model analyzed in this
455 study was the optimal model derived from 50 iterations testing different layers/layer types and
456 tuning parameters.



457

458 **Fig. 6. LSTM modeling results (1 represents fully open; 0 represents fully closed).**

459

460 **Model Comparison.** The fit statistics for the full models and the results of validation using 10-
 461 fold cross-validation can be found below in Table 6. The LSTM model outperformed all other
 462 models with a significantly lower MAE using 10-fold cross validation. This MAE equates to the
 463 model predicting detention times with an error of ± 5.16 hours (10-fold cross-validation).
 464 Therefore, if the model were implemented as the primary control of the system, one could
 465 possibly counteract performance error by instructing the system to increase the predicted
 466 detention time by the MAE to ensure that the turbidity threshold is always met (for detention
 467 times ≤ 72 hours) or that comparable performance to the water quality informed RTC is achieved
 468 (for detention times > 72 hours). This conservative adjustment allows for more time for the
 469 turbidity of the basin to decline (as was observed in this study) and either meet or fall below the
 470 turbidity threshold.

471

472 **Table 6. Model fit statistics and validation using 10-fold cross-validation.**

Model	Full Model			10-Fold Cross-Validation
	MAE (h)	R ²	Adjusted R ²	MAE (h)
Logistic Regression	7.56	0.72	0.70	8.49
Linear Regression	12.37	0.44	0.41	13.52
Multiple Regression	10.17	0.60	0.55	11.96
Polynomial Regression	8.77	0.71	0.66	12.44
Random Forest	N/A	0.51 ¹	N/A	10.04
LSTM Network	N/A	N/A	N/A	5.16 ²

¹ OOB Error² Time series data

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474

475 **Future Work and Limitations**

476 While the water quality informed RTC strategy successfully improved the ability of a dry
 477 extended detention basin to meet water quality objectives, future work is necessary to investigate

478 the impact of this system more broadly beyond the scope of this study site. It is recommended
479 that this RTC strategy be implemented on dry extended detention basins across a diverse
480 selection of regions, designs, and watershed characteristics as site specific features may play a
481 significant role in the hydrologic and settling processes that affect turbidity. For example, if the
482 soil of a basin's watershed consists of more fine particles than those herein, then it can be
483 expected that initial turbidity magnitudes may increase while overall system performance
484 decreases due to additional suspended particles. Conversely, soils with larger particles may lead
485 to an improved ability of this system to meet turbidity objectives. Changes in the design of the
486 basin (such as differences in orifice diameter or basin capacity) may also substantially affect the
487 ability of the system to meet water quality objectives due to their influence on hydrologic
488 processes. Finally, the chosen turbidity threshold for this study may not be what is required by
489 local regulations. Assuming similar pond function as observed herein (initial turbidity
490 magnitudes and rate at which turbidity readings fall), then systems which utilize a higher
491 turbidity threshold (based on local guidance) will experience shorter detention times, while
492 systems which use a lower turbidity threshold will experience much longer detention times.

493

494 **CONCLUSIONS**

495 The purpose of this study was to investigate the impact and use of real-time water quality
496 data on a dry extended detention basin retrofitted with a controllable valve and a turbidity sensor.
497 Such an assembly was theorized to be an advancement over static, uncontrolled systems by
498 allowing additional detention time during which settling of particles could occur. The results
499 showed highly variable detention times with 42% of storms reaching the turbidity threshold
500 approximately 24 hours after the end of a rainfall event (minimum detention time) and 37% of

501 events reaching the maximum detention time of 72 hours without reaching the required turbidity
502 threshold. These highly variable detention times were the direct result of differences in initial
503 turbidity magnitudes and the rate at which levels fell based on rainfall amounts and initial basin
504 water depth conditions (as indicated by which variables were identified as consistently important
505 during the modeling investigation). Overall, 63% of events met the 25 FNU turbidity threshold
506 for water release before the maximum detention time, and the median turbidity value for all
507 events in the study at release was 24.7 FNU.

508 Comparing the water quality informed RTC strategy to other forms of basin operation,
509 the results showed a median minimum increase of 82% in hydraulic residence time (which would
510 result in improved sediment settling efficiencies) when compared with the uncontrolled scenario
511 using static infrastructure. Further, it was concluded that a system utilizing predetermined
512 detention times would need to use the maximum detention time of 72 hours to match the
513 efficiency of the water quality informed RTC strategy. While this is feasible to implement, it
514 does not provide the numerous hydrologic benefits or adaptability of the water quality informed
515 RTC. These combined results support the conclusion that the adaptive system integrated with
516 real-time water quality data was effective in meeting water quality objectives that may not have
517 been met with traditional systems, or those that rely on a predetermined detention time.

518 Several modeling approaches were investigated to determine if they could accurately
519 estimate the detention time of the system (thereby negating the need for continued deployment of
520 a turbidity sensor). The best performing models consisted of a logistic regression model using
521 cumulative rainfall (mm) to predict detention time as well as a more advanced LSTM model
522 which analyzed the time series data for rainfall and water depth of the basin to predict if turbidity
523 was above or below the predetermined threshold (from which predicted detention time was

524 determined). While the LSTM model outperformed the logistic regression model (MAE of 5.16
525 and 8.49 hours, respectively), the complexity and computational expense of generating a
526 decision from the LSTM model may lead future users to abandon this for the simplicity of the
527 logistic regression model. Overall, the results from this modeling investigation conclude that
528 either the LSTM model or logistic regression model estimations for the detention time of the
529 basin are comparable to those detention times determined using real-time water quality data. This
530 indicates that after a period of data collection using a turbidity sensor, the sensor may be
531 removed in favor of the basin being controlled by its site-specific model. This would assist
532 municipalities in the widespread adoption of this technology as it would reduce the number of
533 sensors necessary for multiple basins (economic resource allocation) as well as reduce the time
534 and cost of sensor maintenance.

535 Future work is necessary to investigate this system on a diverse selection of dry extended
536 detention basins (varying watershed and design characteristics) in order to corroborate the
537 conclusions of this study and ensure that this system is broadly applicable. However, the results
538 of this study, both field and modeling in origination, should assist future studies investigating the
539 use of water quality data to make real-time control decisions for stormwater infrastructure.
540 Numerous opportunities remain to implement similar strategies for different stormwater controls
541 and across an array of pollutants of concern.

542

543 **Data Availability Statement.** Some or all data, models, or code that support the findings of this
544 study are available from the corresponding author upon reasonable request.

545

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547 Survey 104b Program and the National Science Foundation under award No. 1737432.

548

549 **Notation.** The following symbols are used in this paper:

550 D_0 = initial water depth (m) of the dry extended detention basin;

551 D_M = maximum water depth (m) of the dry extended detention basin during the first 24
552 hours following the end of rainfall;

553 DT = Detention time (h);

554 $Rainfall$ = Cumulative rainfall of event (mm);

555 TU = Turbidity units;

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