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# Direct amidation of metallaaromatics: access to Nfunctionalized osmapentalynes via a 1,5bromoamidated intermediate†

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The direct C-H amidation or imidation of metallaaromatics with N-bromoamides or imides has been achieved under mild conditions and leads to the formation of a family of N-functionalized metallapentalyne derivatives. A unique 1,5-bromoamidated species has been identified, and can be viewed as a  $\sigma^H$ -adduct intermediate in a nucleophilic aromatic substitution. The 1,5-addition of both electrophilic and nucleophilic moieties into the metallaaromatic framework demonstrates a novel pathway in contrast to the typical radical process of arene C-H amidation involving N-haloamide reagents.

### Introduction

The direct C-H amidation of arenes provides an efficient and economical approach to construction of valuable C-N bonds avoiding the use of prefunctionalized aromatic components.<sup>1</sup> Various catalysts and effective amidation reagents have been explored and have led to improvements in the scope of substrates and functional group tolerance.2 Activated N-X amide reagents, such as N-haloimides or N-acyloxyimides, typically undergo homolytic cleavage to generate a nitrogencentered radical, which can undergo N-aryl bond formation.<sup>3,4</sup> The reactions usually proceed through photoredox catalysis, 4e,4f and are potential alternatives to the transition metal mediated catalysis assisted by directing groups.

Transition-metal-containing metallaaromatic compounds are analogues of the corresponding organic aromatic compounds,5 and have attracted growing interest.6-13 Two main strategies have been developed for the construction of the N-aryl bond in metallaaromatic compounds. Electrophilic substitution reaction of metallaaromatic compounds is a convenient route to obtain nitro-substituted derivatives,14 which are useful for further N-functionalization.15 For example, Wright et al. synthesized amido-substituted and imido-substituted fusedmetallabenzenes starting from nitro-substituted ring

Herein we report the direct amidation of metallaaromatics with N-bromoamides and N-bromoimides, respectively. A planar Möbius metallaaromatic, osmapentalyne, was employed as a model substrate and a series of N-functionalized metallapentalyne derivatives have been synthesized under mild conditions at room temperature (rt) (Scheme 1). The identified 1,5-bromoamidation metallacyclic intermediate suggests that the reaction proceeds a unique pathway involving electrophilic

- Direct C-H amidation of metallaaromatics
- Metal-assisting 1,5-bromoamidation process
- Access N-functionalized metallaromatics under mild condition

Scheme 1 Direct amidation of metallaaromatics via 1.5-bromoamidated intermediate.

iridabenzofurans via multiple consecutive reactions. 15 As an alternative, by pre-installation of a functional group on the metallacycle, intramolecular nucleophilic aromatic substitutions and cine-substitution reactions can be realized to access Nfunctionalization metallaaromatics. 16 Despite these impressive advances, approaches to amido-substituted metallaaromatic usually require multistep pathways or prefunctionalized starting materials. Direct C-H amidation has emerged as a step- and atom-economical alternative, but is elusive and its successful completion is challenging.

<sup>1.5-</sup>addition oxidation identified intermediate

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addition and nucleophilic attack. This is quite different from the typical radical process of organic arene C–H amidation involving *N*-haloamide reagents.

### Results and discussion

#### Synthesis and characterization of osmapentalyne 1

We have previously reported some interesting metallaaromatics, i.e. metallapentalynes containing a metal-carbon triple bond in a five-membered ring, which exhibit the rare planar Möbius aromaticity.86,17 In order to investigate the direct amidation strategy to obtain its N-functionalized derivatives, we envisioned that metallapentalyne with relatively electrondeficient groups would participate in the substitution of hydrogen by the amidating reagent. Accordingly, we designed and synthesized the tri-substituted osmapentalyne 1. Details of the synthesis and characterization are in the ESI (see ESI, p. S4†). As shown in Fig. 1, the metallacycle contains an osmium shared by two fused five-membered rings. The eight atoms (Os1, C1-C7) are coplanar as reflected by the mean deviation of 0.010 Å from the least squares plane and the sum of angles in the fused five-membered rings, which are both 540.0°. The coplanarity as well as the Os-C and C-C bond distances in the fused five membered ring indicates it is a delocalized metallabicyclic compound, which resembles our previously reported aromatic osmapentalynes.86,17

# Direct C-H amidation or imidation of osmapentalyne 1: procedure, scope and characterization of products

We investigated the reactions of osmapentalyne **1** with various amidating reagents (Table 1). When **1** was reacted with *N*-bromophthalimide (NBP) in the presence of aluminium oxide in air at rt for 12 h, complex **2a** was formed in 92% yield. The product **2a** can also be obtained in 81% yield when the reaction mixture was treated with CH<sub>3</sub>COONa instead of Al<sub>2</sub>O<sub>3</sub> (see ESI, p. S5†). Treatment of compound **1** with *N*-bromosuccinimide (NBS)

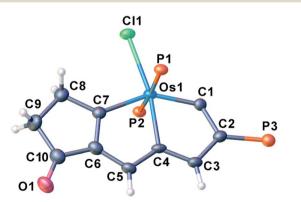


Fig. 1 X-ray crystal structure of the cation of 1 (thermal ellipsoids are set at the 50% probability level and the phenyl groups in the PPh<sub>3</sub> moieties are omitted for clarity). Selected bond lengths [Å] and angles [°]: Os1–C1: 1.858(3), Os1–C4: 2.106(3), Os1–C7: 2.045(3), C1–C2: 1.398(4), C2–C3: 1.398(5), C3–C4: 1.398(5), C4–C5: 1.397(5), C5–C6: 1.410(5), C6–C7: 1.396(5), C4–Os1–C1: 72.31(13), C7–Os1–C4: 75.01(13).

Table 1 Scope of amidation reagents<sup>a</sup>

Entry	[N]	$Yield^{b}$ (%)	Entry	[N]	Yield <sup>b</sup> (%)
	0 0 0		2d	Ph NH	80
2a		92	2e	Bn NH	85
2b	0 0 0	90	2f	nPr NH	76
2c	Me NH	93	2g	Cy NH	71

<sup>&</sup>lt;sup>a</sup> Reaction conditions: 1 (0.20 mmol) with *N*-bromocarboxamide (0.60 mmol) and  $Al_2O_3$  (5.0 mmol) in  $CH_2Cl_2$  (10.0 mL) for 12 h at rt in air. <sup>b</sup> Isolated yield. [Os] = OsCl(PPh<sub>3</sub>)<sub>2</sub>.

under similar conditions led to the formation of **2b** in 90% yield. Complexes **2a** and **2b** were characterized by X-ray crystallographic analysis. As shown in Fig. 2, the metallacyclic

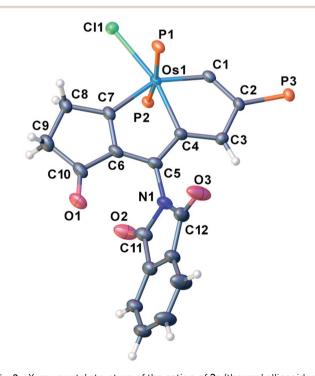


Fig. 2 X-ray crystal structure of the cation of 2a (thermal ellipsoids are set at the 50% probability level and the phenyl groups in the PPh<sub>3</sub> moieties are omitted for clarity). Selected bond lengths [Å] and angles [°]: Os1–C1: 1.842(2), Os1–C4: 2.111(2), Os1–C7: 2.044(2), C1–C2: 1.404(3), C2–C3: 1.404(3), C3–C4: 1.414(3), C4–C5: 1.397(3), C5–C6: 1.397(3), C6–C7: 1.388(3), C5–N1: 1.430(3), C4–Os1–C1: 72.32(9), C7–Os1–C4: 75.89(9).

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skeleton of 2a is similar to that of 1, with the exception of the phthalimido substitute attached to C5. The length of the C5–N1 bond (1.430(3) Å) indicates its single-bond character, and is similar to the reported *N*-aryl single bond (1.464(4) Å) in the imide-substituted metallaaromatics. The dihedral angle between the metallabicyclic unit and the imide group is 62.40°. The Os1–C1 bond length (1.842(2) Å) suggests that it maintains the Os=C triple bond character. The C–C bond lengths (1.388–1.414 Å) in the fused five-membered rings are intermediate between the lengths of C–C and C=C bonds and show no significant bond-length alternation. The planarity and the bond lengths in the fused five membered rings indicate it is a delocalized metallabicyclic compound. All these parameters are consistent with 2a being a C5-phthalimido-substituted osmapentalyne derivative.

The solid-state structure of  ${\bf 2a}$  is fully supported by NMR spectroscopy. In the  $^1{\rm H}$  NMR spectrum, the characteristic signal of H3 ( $\delta=8.30$  ppm) appears in the aromatic region. Carbon C5 bearing the phthalimido substitute has a peak at  $\delta=140.3$  ppm. In the  $^{31}{\rm P}^{1}{\rm H}$  NMR spectrum of  ${\bf 2a}$ , only one singlet ( $\delta=-0.18$  ppm) is observed for the two PPh $_3$  ligands, indicating that the two phosphorus atoms are equivalent.

Encouraged by the effective imidation of osmapentalyne with NBP and NBS, we then examined other amidating reagents. *N*-Bromocarboxamides substituted with different groups were subjected to the standard reaction conditions. The methyl, phenyl, benzyl, *n*-propyl and cyclohexyl groups were all well-tolerated, yielding the corresponding products in moderate to excellent yields (71–93%, 2c–2g) (Table 1). Complexes 2c, 2d and 2e have been fully characterized by X-ray crystallographic analysis and all the structures were further supported by NMR spectroscopy and high-resolution mass spectrometry (HRMS) (see ESI p. S44–S53†).

The structural parameters of the fused rings in compound **2c** resemble those in **2a** (Fig. 3). The acetamide group attached at C5 position is indicated by the N1–C5 bond length (1.387(11) Å), which is close to that of the reported *N*-aryl single bond (1.391(4) Å) in osmabenzoxazolone. The intramolecular hydrogen bonds N1H···O1 (2.107 Å) and H3···O2 (2.105 Å) were also observed in the acetamide-substituted osmapentalyne.

# Investigation of the mechanism: identification of key intermediates and control experiments

Control experiments were performed to elucidate the mechanism of the reaction (Table 2). It is well known that NBS or NBP can generally participate either in radical or ionic pathways depending on the cleavage of the N–Br bond.¹8 When the reaction was conducted in the dark, no influence on the reaction efficiency and yield was observed (entry 2). Addition of the radical scavenger BHT (2,6-di-*tert*-butyl-4-methylphenol) to the reaction mixture under the standard conditions had no obvious effect on the product yield (entry 3). This result suggests that a radical pathway is unlikely.

The *in situ* NMR showed that compound 3a can be identified from the reaction of 1 with NBP within 15 min (Scheme 2). HRMS spectrometry showed a dominant peak at m/z =

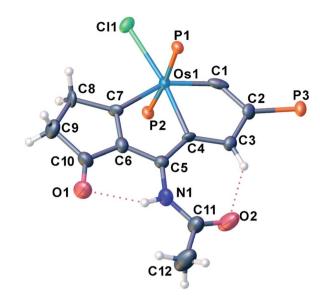


Fig. 3 X-ray crystal structure of the cation of 2c (thermal ellipsoids are set at the 50% probability level and the phenyl groups in the PPh<sub>3</sub> moieties are omitted for clarity). Selected bond lengths [Å] and angles [°]: Os1–C1: 1.818(10), Os1–C4: 2.146(7), Os1–C7: 2.057(8), C1–C2: 1.411(12), C2–C3: 1.415(11), C3–C4: 1.394(10), C4–C5: 1.411(11), C5–C6: 1.427(11), C6–C7: 1.356(11), C5–N1: 1.387(11), N1H····O1: 2.107, H3····O2: 2.105 C4–Os1–C1: 72.6(3), C7–Os1–C4: 75.7(3).

Table 2 Control experiments<sup>a</sup>

$$PF_{6}^{-}$$

$$NXS$$

$$PPh_{3}$$

$$X = Br, I, CI, OH$$

$$PF_{6}^{-}$$

$$PPh_{3}$$

$$2b$$

$$PPh_{3}$$

	Χ			
Entry		Additive	Yield <sup>b</sup> (%)	
1	Br	_	90	
$2^c$	Br	_	90	
$3^d$	Br	BHT	88	
4	I	_	0	
5	Cl	_	$0^e$	
6	OH	_	0	

<sup>a</sup> Reaction conditions: 1 (0.20 mmol) with NXS (0.60 mmol) and Al<sub>2</sub>O<sub>3</sub> (5.0 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (10.0 mL) for 12 h at rt in air. <sup>b</sup> Isolated yield.
 <sup>c</sup> In the dark. <sup>d</sup> The reaction was carried out in the presence of BHT (1.0 mmol). <sup>e</sup> Only a mixture of unidentified species was detected. [Os] = OsCl(PPh<sub>3</sub>)<sub>2</sub>.

1380.1864, consistent with the adduct of cationic 1 and NBP  $[C_{72}H_{55}BrClNO_3OsP_3]^+$  (1380.1855) (see ESI p. S56†). The structure can be inferred from the NMR spectra, which indicates that it is a bromoamidation product with bromide and nitrogen moieties at C1 and C5 positions, respectively. The <sup>1</sup>H NMR spectrum of 3a displays a signal for the H5 proton at  $\delta = 6.12$  ppm determined by  $^1H^{-13}C$  heteronuclear single quantum coherence (HSQC) spectroscopy, which is significantly shifted to a higher field when compared to that of 1 ( $\delta = 9.46$  ppm). The

Scheme 2 Trapping intermediates 3a and 4a in the formation of 2a from 1,  $[Os] = OsCl(PPh_3)_2$ .

signal from C5 ( $\delta$  = 57.5 ppm) is dramatically shifted upfield when compared to the corresponding resonance observed in 1 ( $\delta = 147.0$  ppm) and 2a ( $\delta = 140.3$  ppm). The values strongly support the sp<sup>3</sup> hybridization state of C5. In addition, the H3 proton signal ( $\delta = 6.22$  ppm) is also moved upfield compared with that of 1 ( $\delta = 8.11$  ppm) and previously reported metallapentalenes (ca. 7-9 ppm), 19 but is comparable with those observed in osmacyclopentadiene derivatives ( $\delta = 6.41$  ppm).<sup>20</sup> Notably, the <sup>13</sup>C{<sup>1</sup>H} NMR and DEPT-135 spectra confirmed the C1 as a quaternary carbon at  $\delta = 198.3$  ppm. The chemical shift is upfield compared with those found for related osmacyclopentadienes (ca.  $\delta = 218-235$  ppm) due to the bromide attached at C1.20,21 The resonances of the two carbonyl carbons in the substituted imide group were observed at  $\delta = 169.7$  and 166.2 ppm, respectively. The <sup>31</sup>P{<sup>1</sup>H} NMR spectrum shows signals from the two phosphorus atoms at  $\delta = -22.68$  and -21.11 ppm, respectively. These data all suggest that 3a is a dearomatization product derived from the 1,5-addition of NBP to 1 (Table 3).

The reaction of **1** with NBS could also give rise to the analogous **1**,5-addition product **3b**. Complex **3a** and **3b** could be recognized as the intermediate  $\sigma^H$ -adduct in nucleophilic aromatic substitution reactions. Strong nucleophiles such as RO $^-$ , H $^-$ , NH $_2$  $^-$  have participated in the formation of the isolated  $\sigma^H$ -adducts. It is challenging however for weak nucleophiles such as an imide anion to attack the aromatic ring. The

Table 3 Selected NMR spectroscopic data for the complexes 1, 2a, 3a, 4a

	δ(¹H) (pp	$\delta(^{1}H)$ (ppm)		$\delta(^{13}\mathrm{C}) \text{ (ppm)}$	
Compound	Н3	Н5	C1	C5	
1	8.11	9.46	324.4	147.0	
2a	8.30	_	327.1	140.3	
3a	6.22	6.12	198.3	57.5	
4a	8.13	_	270.7	151.0	

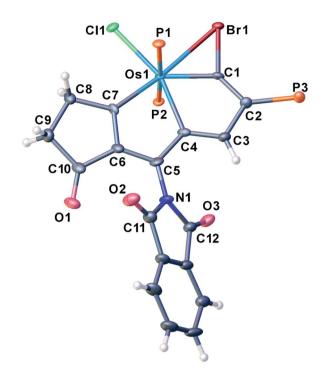


Fig. 4 X-ray crystal structure of the cation of 4a (thermal ellipsoids are set at the 50% probability level and the phenyl groups in the PPh<sub>3</sub> moieties are omitted for clarity). Selected bond lengths [Å] and angles [°]: Os1–C1: 1.949(5), Os1–C4: 2.146(5), Os1–C7: 2.023(5), Os1–Br1: 2.7241(5), C1–Br1: 1.896(5), C1–C2: 1.366(7), C2–C3: 1.425(7), C3–C4: 1.377(7), C4–C5: 1.414(7), C5–C6: 1.393(7), C6–C7: 1.389(7), C5–N1: 1.379(7), C4–Os1–C1: 68.38(19), C7–Os1–C4: 74.12(19), Os1–C1–Br1: 90.2(2), Br–Os1–C1: 44.10(13), Os1–Br1–C1: 45.67(15).

isolation of a σ<sup>H</sup>-adduct involving imide anion is limited to extremely electron-deficient aromatics.<sup>24</sup>

Haloamidation of an unsaturated bond using an electrophilic halogen source such as NBS is an important and well documented synthetic route to vicinal haloamide compounds.<sup>25</sup> In contrast, the reactions of amide reagents toward arenes or heteroarenes usually result in either imidation or halogenation.<sup>26</sup> In our case, the unique feature of osmapentalyne permits the bromine addition to the Os≡C carbyne, promoting the unusual 1,5-bromoamidation of metallaaromatics. This provides an economical route to access difunctionalized metallacycles.

Treatment of **3a** with excessive amounts of NBP as an oxidant in the presence of  $HBF_4 \cdot Et_2O$ , led to the formation of the oxidation product **4a** (Scheme 2). The solid-state structure of **4a** was characterized by X-ray single-crystal diffraction (Fig. 4). The bond lengths of Os1–C1 (1.949(5) Å) and C1–Br1 (1.896(5) Å) as well as Br1–C1–Os1 bond angle (90.2(2)°) are comparable to those of the reported metallabromirenium compound (1.935 (11) Å, 1.872(10) Å and Br1–C7–Os1 95.8(5)°, respectively).<sup>27</sup> The Os1–Br1 bond length (2.7241(5) Å) was slightly shorter than that of the reported metallabromirenium (2.8254(12) Å), demonstrating the stronger interaction between the bromide atom and the metal center. The other metal–carbon bonds, Os1–C4 (2.146(5) Å) and Os1–C7 (2.023(5) Å) and the C–C bond lengths

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(1.366–1.425 Å) are within the range observed for 2a and other osmapentalenes, <sup>19a</sup> suggesting the rearomatization of 4a. The NMR data are consistent with the crystal structure. In particular, the signal from C1 appears at  $\delta=270.7$  ppm, suggesting the carbene character of C1. This differs from the Os–C single bond observed in 3a (198.3 ppm). Signals from the remaining ring carbon atoms are observed at  $\delta=159.8$  (C2), 125.4 (C3), 174.1 (C4), 151.0 (C5), 164.3 (C6) and 220.2 (C7) ppm, respectively. The <sup>1</sup>H NMR spectrum of 4a also shows the signal for H3 at  $\delta=8.13$  ppm in the aromatic region. The singlet peak of the two PPh<sub>3</sub> ligands at  $\delta=-5.11$  ppm in the <sup>31</sup>P{<sup>1</sup>H} NMR spectrum of 4a reflects the reconstruction of a molecular mirror plane.

Experimentally, *N*-halogen imides other than NBS were used in the reactions (Table 2). No reaction was observed with *N*-iodosuccinimide (NIS), which might only reflect the poor reactivity of *N*-iodoimides (entry 4). When NCS was used in the reaction, a mixture of unidentified species was produced (entry 5). The smaller atomic size of the chlorine atom is known to make it harder to sustain the unsaturated three membered ring due to the imperfect orbital overlap.<sup>27</sup> In addition, upon replacement of NBS by *N*-hydroxysuccinimide (NHS), no desired product was observed (entry 6). The bromide cation appears to play a vital role in the reaction.

Elimination of the bromine cation from 4a could generate the osmapentalyne 2a in high yield upon the addition of  $Al_2O_3$  under an argon atmosphere in the presence of NaPF<sub>6</sub> (Scheme 2). In this context, a reasonable mechanism was proposed for the direct amidation reaction (Scheme 3). Initially, the addition of a bromine cation derived from the *N*-bromoamide to the metal–carbon triple bond produces an extremely electron-deficient cationic metallabromirenium A. Subsequently, nucleophilic addition of imide anion afforded the stabilized

| Cos | Co

Scheme 3 Proposed mechanism for the direct amidation of metal-laaromatics,  $[Os] = OsCl(PPh_3)_2$ .

intermediate  $\sigma^H$ -adduct 3a, and this is followed by elimination of hydride ion by oxidation to accomplish the  $S_NAr$  reaction giving the metallaaromatic product 4a. Elimination of the bromine cation by  $Al_2O_3$  affords the final amido-substituted product 2a.

While 1 was reacted with NBP in the presence of wet acetic acid, and then the mixture was treated by aluminium oxide, compound 5 can be isolated in 68% yield (Scheme 4). The X-ray crystallographic analysis confirms it is a new metallacyclic skeleton containing a five-membered metallacycloallene (Fig. 5). Notably, carbonylation at C5 position in 5 was observed rather than N-functionalization as in 2. The proposed mechanism for the formation of 5 showed nucleophilic attack of H<sub>2</sub>O to metallabromirenium A afforded intermediate B, which would be oxidized into carbonyl complex C. The cationic C is detected by HRMS spectrometry  $(m/z = 1249.1461, [C_{64}H_{50}BrClO_2OsP_3]^{+}$ calculated m/z = 1249.1483) (see ESI p. S62†). The ready elimination of the bromine cation afforded the product 5 containing a metal-vinylidene moiety (see ESI p. S19†). It is presumably that the nucleophilicity of NBP in the presence of the acetic acid is dramatically reduced, thus the C5 position of 1 was prior to being attacked by H<sub>2</sub>O. The strategy provides a convenient route to access extraordinary metallacycles with a high degree of skeletal complexity.

Scheme 4 Formation of 5 by reaction of 1 with  $H_2O$ ,  $[Os] = OsCl(PPh_3)_2$ .

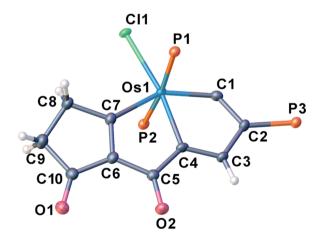


Fig. 5 X-ray crystal structure of 5 (thermal ellipsoids are set at the 50% probability level and the phenyl groups in the PPh<sub>3</sub> moieties are omitted for clarity). Selected bond lengths [Å] and angles [°]: Os1–C4: 2.111(3), Os1–C1: 1.870(3), Os1–C7: 2.059(3), O2–C5: 1.250(3), O1–C10: 1.223(4), C1–C2: 1.374(4), C3–C2: 1.450(4), C4–C3: 1.366(4), C4–C5: 1.465(4), C6–C5: 1.449(4), C6–C7: 1.382(4), C7–C8: 1.512(4), C8–C9: 1.530(4), C10–C9: 1.519(4), C6–C10: 1.464(4), C2–C1–Os1: 130.0(2), C1–C2–C3: 107.3(2), O2–C5–C4: 124.3(3), O1–C10–C6: 129.1(3).

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$$\begin{array}{c|c}
 & PF_6^- \\
 & PPh_3
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Scheme 5 Transformation of complex 2a to amino-substituted osmapentalyne 6,  $[Os] = OsCl(PPh_3)_2$ .

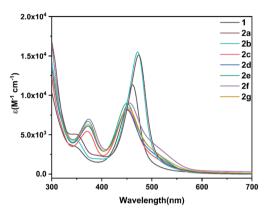


Fig. 6 UV-Vis spectra of osmapentalyne 1 and its *N*-functionalized derivatives 2a-2g (CH<sub>2</sub>Cl<sub>2</sub>, rt,  $5.0 \times 10^{-5}$  M).

Further, transformation of imide product 2a was performed by treatment of 2a with hydrazine hydrate, afforded amino-substituted osmapentalyne 6 in high yield (90%) (Scheme 5). Amino-substituted metallaromatics exhibit rich reactivities towards many reagents. <sup>15</sup> Complex 6 represents the first amino-substituted metallapentalyne, which is useful for further functionalization of these unusual metallacyclic compounds.

#### Thermal stability and spectroscopic properties

The solid state of amidation products  $2\mathbf{a}$ – $2\mathbf{g}$  can be stored in air at room temperature at least for two weeks. They also exhibit good thermal stability even when heated at 100 °C in air for 5 h (see ESI p. S20†). The UV-Vis absorption spectra of the precursor 1 and its derivatives  $2\mathbf{a}$ – $2\mathbf{g}$  were investigated. As shown in Fig. 6, compound 1 displays an absorption maximum at  $\lambda_{\text{max}}=460$  nm. The absorption bands for  $2\mathbf{a}$  and  $2\mathbf{b}$ , bearing electron-withdrawing imide groups were observed at approximately  $\lambda_{\text{max}}=472$  nm, slightly red-shifted compared to that of 1, while  $2\mathbf{c}$ – $2\mathbf{g}$  with electron-donating amide groups at around  $\lambda_{\text{max}}=450$  nm was slightly blue-shifted. The results show that amidation of metallaaromatic compounds is a feasible methodology with which to subtly tune their photophysical properties.

### Conclusions

We have developed a direct and efficient amidation of metallaaromatics, leading to *N*-functionalized osmapentalynes. The successful isolation of the key intermediates, the 1,5-bromoamidation and metallabromirenium species, confirms that the reaction is a three-step process that first involves electrophilic addition, followed by nucleophilic attack and then oxidation. This study provides insight into a strategy to construct functionalized metallaaromatics and significantly facilitates further exploration of their properties and potential applications.

#### Author contributions

H. X. and Y.-M. L. conceived the project. H. W. performed the experiments. H. W., Y.-M. L., and Y. R. analyzed and interpreted the experimental data. Y.-M. L. and J. W. drafted the paper. All of the authors discussed the results and contributed to the preparation of the final manuscript.

### Conflicts of interest

There are no conflicts to declare.

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### Notes and references

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