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## Methodologies to characterize, identify and quantify nano- and sub-micron sized plastics in relevant media for human exposure: a critical review

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Micro- and nanoplastics (MNPs) in the environment are an emerging issue of global concern. They accumulate in natural ecosystems, and are ingested by organisms and transferred to humans potentially causing adverse toxicological effects. Knowledge on the magnitude of these effects is limited due to the lack of knowledge on realistic exposures especially for nano- and sub-micron size plastics. Their size and shape have a significant influence on the encountered health effects as well as the presence of additives. Currently, there are no standardized protocols for their reliable characterization (size, shape), identification and quantitation. There is a growing number of reported studies on occurrence of microplastics above 10  $\mu\text{m}$  in size and of limited polymer types (mainly polystyrene, polyethylene terephthalate, polycarbonate and polyethylene). New analytical approaches are needed for a complete and reliable risk assessment of MNPs, especially of sizes below 1  $\mu\text{m}$ , on human health. This review evaluates the progress made concerning the sub-micron (100 nm to 1  $\mu\text{m}$ ) and nanometer (<100 nm) size range of MNPs on: (i) human exposure to evaluate the intrinsic hazards, (ii) sampling and sample preparation methods and (iii) methods for characterization (size, shape), identification and quantitation, with a focus on relevant media for human exposure. Methods that could be used for the extraction of submicron and nanoplastics from relevant matrices are recommended. Novel methods (e.g. Raman imaging and single-particle inductively coupled plasma-mass spectrometry) and new combinations of analytical methods (e.g. atomic force microscopy coupled to infrared/Raman spectroscopy, field-flow fractionation-multiangle light scattering offline coupled to pyrolysis-gas chromatography-mass spectrometry) are proposed and discussed.

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### Environmental significance

Submicron and nano-plastics in the environment are an emerging issue of global concern. There is a knowledge gap on the magnitude of the posed toxicological effects due to the lack of knowledge on realistic exposures. New analytical approaches and standardized protocols to reliably characterize (size, shape), identify and quantify them are required for a complete and reliable risk assessment on human health. This manuscript reviews the most recent trends and discusses future perspectives of new sample treatment procedures and combinations of newly developed analytical methods and instrumentation for a comprehensive characterization, identification and quantitation of nanoplastics and sub-micron sized plastics in relevant matrices for human health exposure studies.

## 1 Introduction

Plastics are produced in extreme quantities over the entire globe, and their production steeply increased over the past few decades to 368 million tons in 2019. This is expected to have tripled by the year 2050 (Statista 2019).<sup>1</sup> Our obsession with

plastic can be attributed to its extremely low cost, versatility, inertness, and durability. Currently, plastics are used in all kinds of products such as packaging, clothing, electronics, industrial materials or office supplies. They degrade and transform *via* mechanical, chemical and biological processes accumulating and persisting in our environment and creating an emerging threat.<sup>2,3</sup> Not only are many different polymers used in consumer products, but most also contain co-polymers and additives to tailor the functionality to its intended use. Some additives, such as flame retardants, are toxic and plastics which contain them are certainly not suited to be recycled into things such as children's toys or food packaging. Therefore

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virgin plastic is often preferred to recycled plastic by manufacturers.<sup>4</sup> Of all the plastic ever produced, roughly 12% gets incinerated for energy recovery while 60% is simply disposed of and allowed to accumulate in landfills and the natural environment.<sup>5</sup> Plastic debris fractionates into increasingly smaller particles, micro- and nanoplastics (MNPs).<sup>6</sup> MNPs have the tendency to accumulate in different matrices like soil,<sup>7</sup> freshwater,<sup>8</sup> sediment,<sup>9</sup> fish tissue<sup>10</sup> and air.<sup>11</sup> Nanoplastics (NPs) are more reactive and potentially more harmful to humans and ecosystem.<sup>12</sup> There are different definitions related to the size range of NPs (Allan *et al.* 2021), here we use the size definition by Hartmann *et al.* (2019) with for nanoplastics a size of 1 to <100 nm and for submicron-plastics a size of 100 to <1000 nm. Nanoplastics are polydisperse in physical properties and heterogeneous in composition as their occurrence and production are highly dependent on the degradation of microplastics. They present colloidal behavior which can induce aggregation, depending on the physical and chemical conditions of the medium such as the ionic strength, pH, temperature and UV light. It is believed that microplastics (MPs) can be formed in the environment by four main routes: photodegradation, thermooxidative degradation, hydrolytic degradation and biodegradation by microorganisms.<sup>2</sup> For example, photodegradation of larger plastic debris into MPs occurs by exposure to sunlight.<sup>13</sup> This triggers a free radical mechanism which is auto accelerated and decreases the average molecular weight of the polymer structure over time. After extensive degradation of the polymer, it becomes brittle enough to disintegrate into MPs and further on to NPs.<sup>13,14</sup>

Knowledge on exposure levels, *i.e.* amounts of NPs present in air and media that are ingested *via* food and water, is still

limited due to the lack of dedicated and standardized analytical methodologies. This complicates human risk assessment.

For humans, the major exposure routes are expected to be inhalation (*e.g.* indoor/outdoor dust, atmospheric fallout) and ingestion (food and beverages). The aim of this review is to give an overview of potential human health effects for sub-micron and nanoplastics, their occurrence in exposure media and a critical discussion on the advantages and limitations of reported sampling, sample treatment, characterization, identification and quantification methods of MNPs in relevant media. Recommendations on future developments of analytical methodologies and new combinations of analytical techniques that are required to make a step forward and cover the current knowledge gaps are being made.

## 2 Human exposure and health effects

### 2.1 Exposure routes

Humans can be exposed to MNPs *via* multiple routes; inhalation, ingestion and dermal contact.<sup>15,16</sup> Based on food consumption, a daily intake of 107–142 microplastic particles per person was estimated.<sup>17</sup> Fiber exposure during a meal through dust fallout was estimated to range from 38 to 187 particles per day.<sup>18</sup>

Microplastics have been found in multiple food samples, *e.g.* in table salt (PE, PP within 171–515  $\mu\text{m}$ ),<sup>19,20</sup> beer, tap water (>100  $\mu\text{m}$  fibers, no identification).<sup>21,22</sup> PS particles in the nanometer range (122–295 nm) were recently characterized in spiked fish samples from a local supermarket in Beijing and were quantified at concentrations ranging 0.068–0.146  $\text{mg g}^{-1}$ ,<sup>23</sup> mainly accumulated in the gills, liver and guts of fish, which are not usually consumed by humans. On the other hand,



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in the area of food quality and safety involved in research and management of (European) consortia, before returning to environmental analytical chemistry research at the University of Amsterdam. She has broad (hands-on) knowledge of a wide range of analytical techniques to determine *e.g.* taste/odour compounds, environmental contaminants, additives and (veterinary) drugs. She was board member of the NVMS between 2012 and 2019 and is now board member of the Benelux Association of Stable Isotope Scientists (BASIS).



mussels are consumed as a whole and MNPs accumulate in mussels as well.<sup>24</sup> These findings are raising concerns on the bioaccumulation of MNPs in the food chain. Contaminated food and drinking water is one of the greatest concerns in the public media.<sup>25,26</sup> It has been reported that exposure routes from packaging could lead to contamination of food as well.<sup>27,28</sup>

The air contamination with MNPs has multiple sources, such as fibers from clothes and abrasion of materials (e.g. plastic sheets and tires) by wind. The particles are easily transported by the wind and are very persistent. Chen *et al.* found that airborne microplastics are mainly from synthetic textiles and the dominant shape in the atmosphere are fibers. Fibers larger than 250  $\mu\text{m}$  have been observed in human lungs and may cause chronic and acute inflammation.<sup>29</sup> Multiple studies estimated the inhalation of microplastic particles per day. For instance, Prata reviewed the consequences of the inhalation of airborne microplastics and estimated that 26–130 particles could be inhaled per day for each individual.<sup>30</sup> This estimation was based on measured particles in the studies from Dris *et al.*<sup>11,31</sup> and the human tidal volume 6 L per min estimated by Guyton and Hall.<sup>32</sup> However, Vianello *et al.* estimated a much higher value of 272 inhaled particles per day for each individual.<sup>33</sup> Within all the estimations made in the mentioned

studies, varying types of microplastics were identified such as PS, PP, PE, PET, polyester, nylon *etc.*

Unfortunately, such estimations were not yet made for sub-micron or nanosized plastics and they are required for the risk assessment of NP exposure through air. The results are highly dependent of sampling and sample treatment procedures and this information should be taken into account when discussing this type of information.

Microplastic exposure through dermal contact is less plausible due to the particle size, as it is not likely that they are able to cross the dermal barrier. In contrast, nanoplastics could potentially transverse the dermal barrier, although this was not proven yet.<sup>34</sup> However, due to the lack of knowledge on the properties and toxicity of these particles, this possibility should not be underestimated. Cosmetics containing nanoplastics,<sup>35,36</sup> dust particles in the air or polluted water may be potential exposure routes for nanoplastics across the dermal barrier. In addition, it has been shown that nanoplastics are capable of penetrating cell membranes,<sup>37</sup> which can cause changes of behavior from fish shown by a study of Mattsson *et al.*<sup>38</sup>

Forte *et al.* studied polystyrene (PS) nanoparticles in adenocarcinoma gastric cells (AGS), and reported that smaller sized nanoplastics (44 nm) accumulated faster and more efficiently in the cytoplasm of AGS compared to larger ones (100 nm).<sup>39</sup> This



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*quality, examples are the European projects FP7 Solutions, ITN ECORISK2050, ITN PERFORCE3, and Dutch NWO funded projects such as Shale gas & water, TRAMP (Technologies for Risk Assessment for Microplastics), EMERCHE (Effect-directed Monitoring tools to assess Ecological and human health Risks of CHEMicals of Emerging concern in the water cycle), RUST (Re-USE of Treated effluent for agriculture), PsychoPharmac'eau (Psychopharmaceuticals Prevention & Pilots to Reduce Effects in the water cycle), NWO Large Scientific Infrastructure; Authoritative and Rapid Identification System for Essential biodiversity information (ARISE) and NWO Perspective AQUACONNECT. She is interested in the science-to-policy interface, in scientific outreach and has ample experience in media appearances. She is a member of the Dutch Health Council and the Dutch Board on authorization of plant protection products and biocides CTGB. She holds the chair Environmental Ecology and is Scientific Director of IBED (Institute for Biodiversity and Ecosystem Dynamics) at the University of Amsterdam.*



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*Alina is developing tools for detailed characterization and quantitation of both large and small molecules, to understand how they interact with each other, and change over time in different conditions. Field-flow fractionation and mass spectrometry (soft and ambient ionization) form the main technology platform. She also coordinates the joint analytical sciences master programme of the Amsterdam Universities.*



indicates that the size determines the concentration in certain body tissues, and therefore, the smallest nanoplastics might cause the most damage to human health.

In summary, for all exposure routes there is limited knowledge on the exposure levels of sub-micron and nanosized plastics.

## 2.2 Health effects

The exposure to sub-micron and nanosized plastics can lead to potential adverse health effects within humans.<sup>36</sup> Effects such as oxidative stress, chronic inflammation, cytotoxicity, endocrine disruption, immune disruption and neurotoxicity<sup>40–44</sup> have been reported. These effects may be more severe for nanoplastics, due to faster accumulation in the cytoplasm, more efficient translocation and agglomeration of particles.<sup>45</sup>

Besides the potential health effects of MNP particles, the release of additives and associated chemicals/contaminants like POPs adsorbed to MNPs may also enhance their toxicity and can cause more significant threats to organisms than MNPs themselves.<sup>46</sup> Additives may leach from the plastic into the surrounding environment and enter the human body, contributing to potential health effects.<sup>40,47</sup> Leaching will primarily occur at the surface of the plastic particles into the body fluids or tissue. For example, plastic additives like phthalates, brominated flame retardants (BFRs) and bisphenol A (BPA) are most abundant and can be a concern to human health.<sup>48</sup> These additives can cause severe health effects such as endocrine disruption,<sup>49</sup> neurobehavioral effects<sup>50</sup> and carcinogenesis and mutagenesis.<sup>51</sup> The studies mentioned above confirm the need for further research on the physical and chemical weathering that can cause breakdown of MNPs and on the interactions of digestive fluid and lipids with plastic matrices within organisms. This is needed for an accurate determination of leaching chemicals and their risk assessment.

Besides the potential hazards of additives from plastics, other compounds that may adsorb to or desorb from plastics may also cause potential health effects. The hydrophobicity of plastic in combination with the high surface area of a micro- and especially nanoparticle, makes them great adsorbents for compounds such as POPs including polychlorinated biphenyls (PCBs), polycyclic aromatic hydrocarbons (PAHs) or heavy metals.<sup>52</sup> Similarly as for MNPs, these kind of compounds, can also induce carcinogenesis and mutagenesis,<sup>53</sup> and therefore, the combination of these compounds may enhance the toxicity of the whole complex. In this context, Liu *et al.* studied the adsorption of PAHs on 70 nm PS spheres and concluded a higher adsorption of PAHs to nanoplastics when compared to microplastics (>1000 nm).<sup>54</sup> This can be explained by the higher surface to volume ratio of nanoplastics. This finding is relevant because the abundance/amount of nanoplastics will increase over time due to the continuous degradation of (micro)plastics, leading to nanoplastics which could potentially be more harmful to humans.

Regarding the adsorption of heavy metals to MNPs, Liao and Yang conducted a study on spherical PE, PP, PVC and PS microplastics (150  $\mu\text{m}$ ) that serve as vector for chromium (Cr) in

an *in vitro* human digestive model.<sup>55</sup> Cr can be released in the gastric and intestines phase, but in accordance with the calculated daily intake of Cox *et al.*, the released amounts would not pose any hazards for human health.<sup>17</sup> However, for nanoplastics this could be different due to a probable higher adsorption of metals per volume unit, due to the larger surface area. First studies are conducted already on the potential interactions between NPs and metals (*e.g.* PS and Ag), which showed an increase of the harmful cellular effects.<sup>56</sup> This can be of concern when/if metals are added to the plastic during the production process. Furthermore, the adsorption ability of different pollutants is also dependent on several factors such as salinity, temperature, pH, dissolved organic matter and the physical-chemical properties and aging of MNPs.<sup>57</sup>

## 2.3 Toxicity studies

The toxicity and potential hazardous properties of NPs are assessed by toxicity studies. Nowadays, animal or *in vitro* studies are used to provide knowledge on the health effects and toxicity of MNPs.<sup>58</sup> For example, Sökmen *et al.* investigated the exposure of PS nanospheres (20 nm) to zebrafish embryos, and it was shown that these particles can reach the brain and bioaccumulate there, leading to oxidative DNA damage in the brain.<sup>59</sup> However, using animals for this purpose is complex due to qualitative and quantitative differences. To explore qualitative and quantitative differences and interactions of toxic compounds within organisms, toxicity-based-toxicokinetic/toxicodynamic (TBTK/TD) modelling can be used.<sup>60</sup> TBTK/TD modelling is a powerful mechanistic approach clarifying fate and behaviors of specific toxicants, facilitating to translate exposure to time course of toxic effects on related biomarkers, for example the inhibition of cytochrome P450. A TBTK/TD model was used to quantify organ-bioaccumulation and biomarker responses from PS microplastic particles in mice, that generally serve as mammalian terrestrial model organism.<sup>60</sup> This model offers a framework for microplastic exposure in mammals and offers an algorithm for the extrapolation from animals to humans for health risk assessment perspective, which also have the potential to be used for nanoplastics. Unfortunately, such a study has not been reported yet.

Currently, the studies published on *in vivo* nanoplastics exposure are increasing, for example the studies from Auguste *et al.*,<sup>61</sup> Elizalde-Velázquez *et al.*<sup>62</sup> and Wang *et al.*<sup>63</sup> In addition, *ex vivo* studies are gaining interest as well.<sup>64</sup> However, more studies are needed to clarify the mechanisms for bioaccumulation of nanoplastics in mammals.

Animal testing is not promoted due to ethical issues, and therefore *in vitro* studies that can provide complementary valuable information are used. Instead of TBTK/TD modelling, physiologically-based toxicokinetic (PBTK) modelling can be used, which enables animal-free risk assessment.<sup>65</sup> Mammalian cell lines have proven to be excellent models for the determination of cytotoxicity of potential harmful compounds to human health. Gopinath *et al.* exposed human blood cells to different forms (virgin, isolated and coronated) of PS



nanoplastics (100 nm) in concentrations ranging from 10 to 100  $\mu\text{g mL}^{-1}$ .<sup>36</sup> Conformational changes in blood protein, cytotoxicity, genotoxicity and hemolysis were observed after different exposure durations (4 h or 24 h). In addition, there was a significant decrease in cell viability and also damage to the DNA structure. The disadvantage of *in vitro* studies is the lack of insight in the bioaccumulation process of MNPs, because this process may influence the cytotoxicity. To tackle this limitation, a combination of *in vivo* and *in vitro* studies would be more appropriate to investigate toxicity and uptake and bioaccumulation processes of MNPs.<sup>45</sup> For example, the question still remains if it is possible for microplastics to degrade in the body of a human or animal into nanoplastics during its excretion process. Future toxicity studies should include different types of nano-sized plastics of various shapes and sizes rather than exclusively using commercially available PS nanospheres. In this context, Gray and Weinstein investigated the influence of different sizes and shapes of microplastics (PS, PE, PP) and it turned out that the mortality of shrimps was highest when exposed to fiber shaped PP microplastics instead of spheres and fragments.<sup>66</sup> Until now, doses employed for exposure studies<sup>62</sup> (50  $\text{mg mL}^{-1}$  to 0.025  $\mu\text{g mL}^{-1}$ , both *in vitro* and *in vivo*) seem unrealistic for environmental exposure of NPs with sizes between 20 and 100 nm. In addition, variation in results may be explained by differences in chemical nature of MNPs such as;

size, shape, surface chemistry, other physicochemical properties and different exposure routes. The production of commercially available nanoplastics with varying shapes and sizes needs to be expanded to support the development of toxicological and analytical studies. In Fig. 1, an overview is shown which summarizes the progress in risk assessment of MNPs.

### 3 Sampling and sample preparation

Contamination is the main issue in any sampling procedure for NP studies, because plastic equipment is widely used and therefore a significant risk for contamination is expected to be widespread. It is thus of great importance to identify potential sources that can contaminate the samples and prevent this as best as possible. Obviously, the role of negative blanks and positive controls is pivotal for data interpretation. Tools and setups should preferably not contain any plastics but rather non-polymer materials to avoid systematic contamination. Samples should be handled under a laminar flow hood and shielded against airborne contamination.

Standardized sampling procedures will make comparisons easier for all kinds of samples containing NPs. Hermsen *et al.*<sup>67</sup> provided a standardized protocol for the detection of ingested microplastics in biota comprising specific requirements for each step in a method, from sampling to detection. This

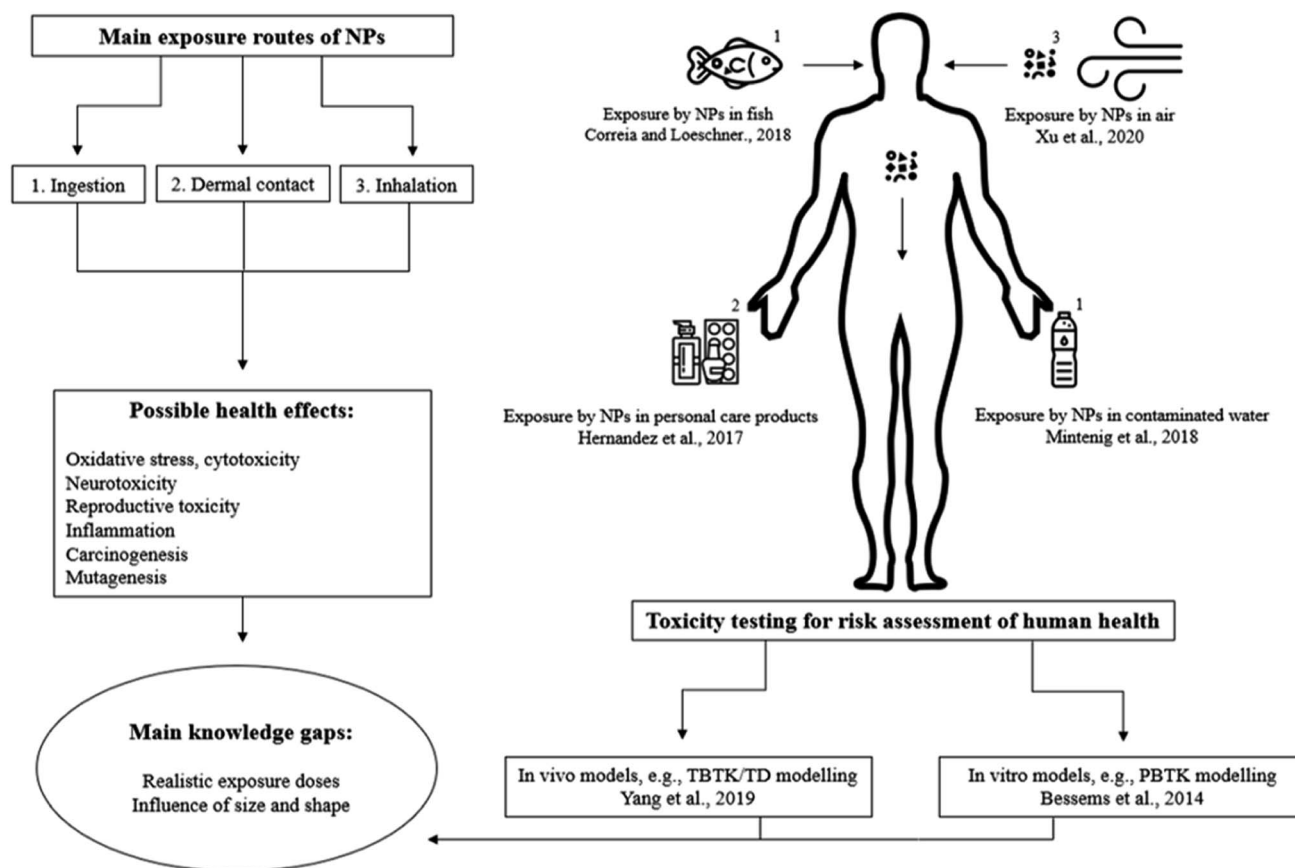


Fig. 1 Overview of the possible exposure routes of humans to MNPs; encountered health effects, and examples of toxicity testing which provide the risk assessment of MNPs to human health.



protocol also has potential for the extraction of nanoplastics from biota. It is recommended to follow these requirements before setting up a method, especially for contamination control and the use of positive (spiked samples) and negative controls (blanks). Reports involving extraction of sub-micron and nanoplastics from real samples, such as fish products from markets or environmental air samples, are currently still scarce.<sup>68</sup> This section provides an overview of extraction methods that may potentially be used for the sub-micron and nano-sized plastics that are present in matrices relevant for the exposure routes ingestion, inhalation and dermal contact. Pre-concentration and filtering methods that are needed for adequate collecting of sub-micron and nano sized plastics are summarized in Section 4.

### 3.1 Food and beverages

Any type of food and drink samples are relevant for MNP exposure through ingestion. Foods and drinks need to be treated under certain conditions and during sampling contamination with plastics must be avoided. Samples can be taken anywhere from markets, stores and the environment itself. Samples from living organisms have to be frozen at  $-21\text{ }^{\circ}\text{C}$  according to the International Council for the Exploration of the Sea,<sup>69</sup> or could also be preserved in fixatives like formaldehyde or ethanol.<sup>67</sup> This is because a living organism will start decomposing after 30 minutes,<sup>70</sup> hereafter the sample is not representative anymore.

There are some interesting studies that performed multiple digestion methods for microplastics extraction in fish. Dehaut *et al.* created a benchmark protocol for the extraction of microplastics (1–1000  $\mu\text{m}$ ) in fish species using 10% KOH solution.<sup>71</sup> The incubation was performed at  $60\text{ }^{\circ}\text{C}$  for 24 hours. The most common polymers found were PE, polyester and rayon. This treatment was not efficient for gill samples. Karami *et al.*<sup>72</sup> reported an incubation time of 72 hours at a lower temperature ( $40\text{ }^{\circ}\text{C}$ ) for the successful treatment of gill samples. It seems that treatments have to be altered for specific parts of the tissue which is not desired for a standardized protocol. Rist *et al.*<sup>73</sup> tested multiple treatments on exposed *Daphnia pulex* to MNPs such as alkaline digestion with NaOH, 30%  $\text{H}_2\text{O}_2$  treatment, acid digestion (nitric acid,  $\text{HNO}_3$ ), 25% tetramethyl ammonium hydroxide (TMAH) and an enzymatic digestion with Proteinase K. Although, *Daphnia pulex* is not indicated as eatable food, the findings in terms of sample treatment were interesting. Consequences of the treatments with NaOH,  $\text{H}_2\text{O}_2$  and  $\text{HNO}_3$  were strong agglomeration of particles and loss of particle fluorescence. The use of TMAH resulted in an incomplete dissolution of the tissues and Proteinase K only gave minor agglomeration of the particles, however, the particle fluorescence signal was completely maintained. The protocol employed for enzymatic treatment (3 hours) was less time consuming compared to alkaline and acid digestion (few days).<sup>74</sup> Alkaline digestion with KOH was not tested in this protocol, however, alkaline digestion with NaOH resulted in a significant loss of fluorescence and more agglomeration of the particles. Therefore, it appears that enzymatic digestion is more

suitable for the analysis of MNPs with fluorescence detection. When using thermal fragmentation and spectroscopy techniques, digestion with KOH appears to be more suitable.<sup>71</sup> Although, enzymatic treatment was not tested in this protocol as it was assumed to be difficult to implement and present digestion efficacy issues.

It is clear that for a reliable and accurate result, the sample treatment used should not alter the MNPs present in the samples. For example, with the use of optical microscopy and dynamic light scattering it has been shown that aggressive methods such as acid, alkaline or  $\text{H}_2\text{O}_2$  treatment can cause aggregation of the particles.<sup>68</sup> The aggregation could be caused by the significant change of the ionic strength. Furthermore, these treatments could also have negative effects on the fluorescence signal of labeled MNPs (*e.g.* in toxicology experiments). Enzymatic digestion is milder than acid digestion, alkaline and  $\text{H}_2\text{O}_2$  treatment, and therefore, it is likely to be more suitable as treatment protocol before fluorescence or light scattering analysis, as it has been demonstrated to cause no or less aggregation of the particles in food matrices.<sup>68</sup> In the study of Correia and Loeschner, the authors have successfully used an enzymatic digestion with Proteinase K for the characterization of spiked PS nanoplastics (600–60 nm) with asymmetrical flow-FFF-multi-angle light scattering (AF4-MALS) (method further elaborated in Section 5.1). The treatment of samples that are indicated as drinkable products such as drinking water is more straightforward as digestion procedures are not required. Murray and Örmeci tested multiple treatments for nanoplastics (<400 nm) from water, where bench-scale filtration, centrifugation, and ballasted flocculation were successfully used.<sup>75</sup> All samples relevant for the ingestion route will need pre-concentration and filtering steps for the collection of sub-micron and nanosized plastics (Section 4).

The above-mentioned studies that are efficient for the extraction of microplastics from complex samples, can be used as a starting point in future studies on the extraction and analysis of sub-micron and nano-sized plastics.

### 3.2 Airborne samples

Air samples can be collected through a stand-alone pump,<sup>76</sup> vacuum cleaner,<sup>77</sup> filters installed indoors or outdoors, or with innovative technologies such as a breathing thermal manikin.<sup>33</sup> The limitation of each technique is related to the mesh sizes of filters used, which limits and impacts the collection of plastic samples especially difficult for the nanosized range ones. Therefore, additional pre-concentration and filtering steps can be necessary (Section 4).

Compared to other sample types, air samples need to be treated extra carefully as they are mainly consisting of fibers. For this purpose, the filtration system employed needs to be thoroughly cleaned between samples and the used filters need to be exposed to very high temperatures in order to remove the fibers and other contaminants.<sup>78</sup> Airborne contamination by synthetic fibers originating from atmospheric fall out, clothing or gear is probably the most difficult to avoid. To tackle this, blank



samples and recovery studies using the proposed analytical method should be performed at all times.

There are some interesting studies that performed microplastic extraction from air samples and might be used in the future for sub-micron and nanosized plastics. For instance, the most common treatment reported involves density separation with ZnCl or NaI.<sup>76,79</sup> Prata *et al.*<sup>78</sup> used a different approach that involved an initial step to remove the organic matter by using 15% H<sub>2</sub>O<sub>2</sub> during an 8 day treatment prior to filtration over a washed glass fiber filter and transferring to a NaI solution for density separation. Two procedural blanks were added and subjected to same treatment as the samples. The blanks contained 27 fiber particles which were likely released from the cotton lab coat and paper towels. This method delivered 94.4% recovery of PS spiked in common textile fibers and was applied to real indoor and outdoor samples. The method highlights the need for organic matter removal, providing a satisfactory recovery value. Nevertheless, the methods reported is very time consuming as the studies take several days.<sup>8-14</sup>

The studies mentioned above provide an insight in treatment procedures for air samples containing microplastics, which need to be adjusted for adequate collecting and treatment of sub-micron and nanosized plastics.

### 3.3 Personal care products

Samples that are representative for the exposure of NPs through dermal contact are mostly personal care products such as facial scrubs. Although there are limited studies on this matrix, in our belief such a matrix is relevant because nanoplastics could potentially transverse the dermal barrier.<sup>34</sup> Hernandez *et al.* reported a study on the extraction and analysis of nanoplastics in facial scrubs.<sup>35</sup> In this study, a simple extraction was performed by adding 10 mL of reverse osmosis water, which reduced the viscosity of the samples. This was followed by multiple consecutive filtering steps to isolate the NPs. The analysis was performed by dynamic light scattering. Again, such a study is limited to the mesh size of the filter (100 nm) and therefore nanoparticles around and below 100 nm cannot be isolated. This technique was also used by Gopinath *et al.*, which automatically was restricted by the same size limit.<sup>36</sup> Therefore, the isolation of NPs within this matrix also needs improvements in the preconcentration and filtering steps to isolate nanoplastics below 100 nm. Other potential sources of NP exposure by dermal contact could be sand or snow, which are matrices that have shown to contain NPs.<sup>80,81</sup> Although it has not been proven that such matrices can transfer NPs across the dermal barrier and they are less likely to cause human exposure compared to personal care products.

## 4 Preconcentration and filtering

For all the relevant matrices, preconcentration and filtering steps are needed after extraction of sub-micron and nanosized plastics and to improve the limit of detection and limit of quantification (LOD and LOQ) of existing methods. The filtering techniques used within microplastic research are not applicable

because of the high mesh size of the filters. Multiple studies showed that collecting nanoparticles can be rather tricky.<sup>82</sup> In fact, all type of samples should undergo preconcentration and filtering steps due to the extremely low amounts of sub-micron and nano sized plastic particles. Multiple techniques can be used for this purpose, such as membrane filtration, ultrafiltration, ultracentrifugation, continuous flow centrifugation and cloud point extraction<sup>83-86</sup> which can aid in the collection and enrichment of nanoparticles.<sup>87,88</sup> Other standard techniques such as freeze-drying and evaporation of the solvent can also be used for this purpose dependent on the type of sample.

However, techniques like membrane filtration and ultrafiltration are limited to the sizes of their inner channels, which still complicates the collection of NPs with sizes below 100 nm. Liu *et al.*, reported the use of surface tension gradients<sup>89</sup> but this technique is limited by the amount of sample and it is also not able to distinguish the nanoplastics (<100 nm) from the sub-micron plastics (100–1000 nm). For this purpose, it is more likely that non-destructive separation techniques like FFF (Section 5.1) are more suitable to deliver fractions of particle size distributions (PSD).

## 5 Characterization and identification of MNPs

In this section the methods reported for the characterization and identification of submicron and nanosized plastics are reviewed and discussed. Fig. 2 shows an overview of the different strategies that can be used for the relevant matrices from sample treatment to analysis. Table 1 includes the relevant reports on the treatment and analysis of the sub-micron and nanosized plastics in such samples. In addition, it also contains reports that could be used in the future.

### 5.1 Size and shape characterization

MNPs can be very different in physical and chemical properties such as hydrodynamic radius, zeta potential, geometry and surface characteristics. These parameters have an influence on their identification and quantitation, and therefore, detailed characterization is crucial. In this section multiple techniques that are able to characterize the size and shape of MNPs are discussed.

**5.1.1 Microscopic techniques.** These techniques provide information on the morphology of a sample including the geometry and surface characteristics. Optical microscopy is a technique used for single particle analysis of microplastic particles, where the stereomicroscope is often reported in literature. However, it was reported that this technique is limited due to the difficulty of distinguishing microplastics from other small organic/inorganic debris particles which may lead to false positives and false negatives.<sup>105</sup> In addition, a stereomicroscope is not capable of visualizing nanoplastics due to restricted diffraction limits. On the other hand, microscopy is often used in combination with fluorescence for the tracking and translocation of MNPs. Forte *et al.* performed an *in vitro* study where human gastric cells were exposed to



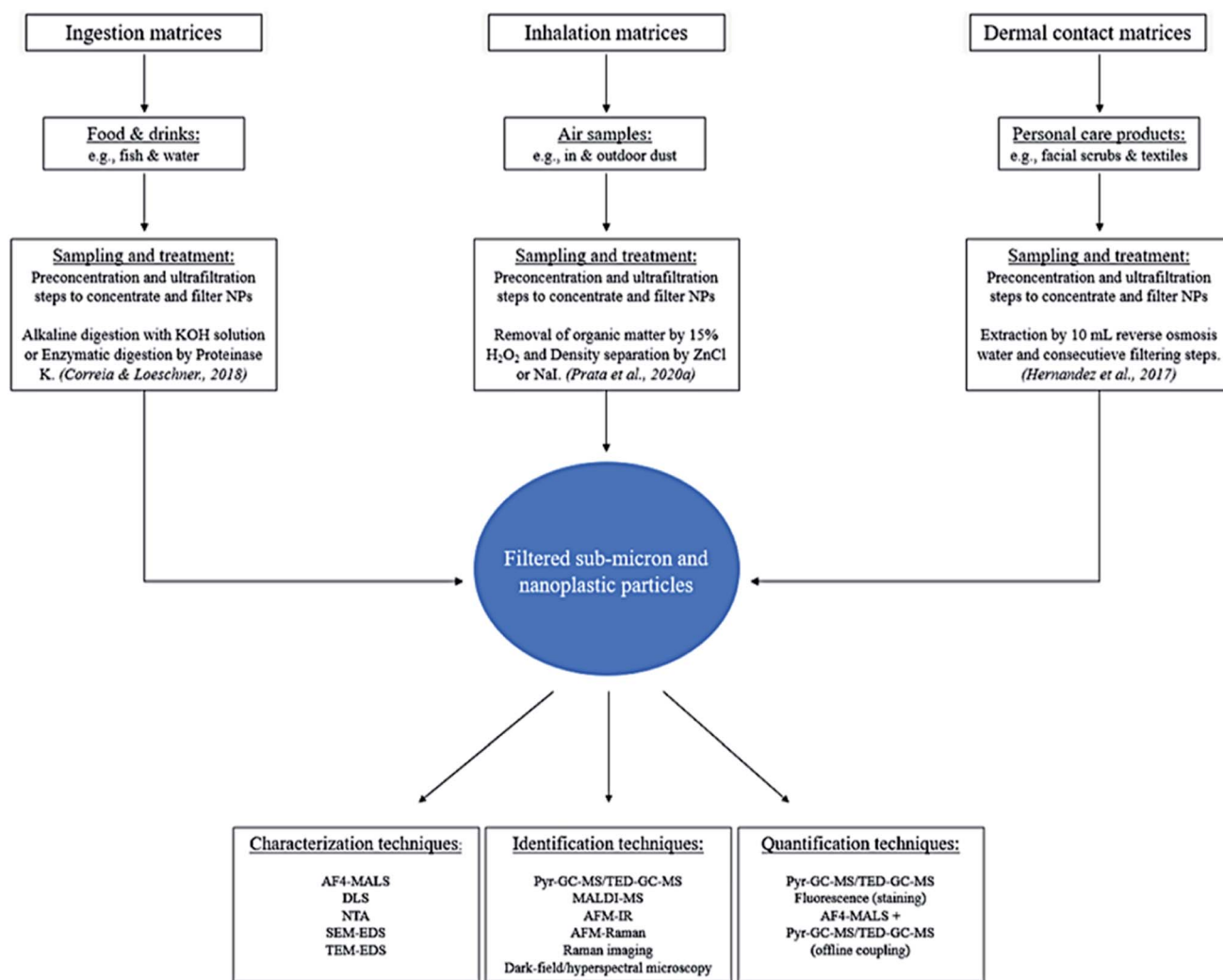


Fig. 2 Overview of the used and possible analytical strategies from sample treatment till analysis for the indicated matrices relevant to the exposure routes (ingestion, inhalation and dermal contact).

unmodified PS nanoparticles.<sup>39</sup> In this study, fluorescence microscopy was used to track and localize dyed PS nanoparticles and the observed intensities were compared with the maximal intensity to calculate exact concentrations. Rist *et al.* performed an *in vivo* study where mussels were exposed to PS beads of 2  $\mu\text{m}$  and 100 nm and fluorescence was used for the same principle (see Table 1).<sup>24</sup> The quantification of MNPs with use of fluorescence is discussed in Section 6.

Besides optical microscopy, electron microscopy (EM) is a very powerful technique for detailed information of MNPs. It can observe very small differences between the wavelengths of high energy electrons which illustrates its resolution, which makes it possible to image nanosized particles.<sup>106</sup> EM can be divided into the TEM and SEM techniques. Both techniques have high resolution and are mostly coupled to energy dispersive X-ray spectrometer (EDS) allowing visualization of the sample whilst simultaneously gaining qualitative information on the elemental composition. SEM-EDS is powerful combination for the characterization of MNPs, although there are some

limitations. The technique is expensive and very time consuming with many sample preparations steps, hence limiting the number of samples that may be analyzed in a given timeframe. In addition, SEM cannot provide colored images which means that the colors of particles cannot be used as identifiers. EDS can detect trace amounts of specific elements (including Na, Al, Ca *etc.*), and it may therefore determine the presence of additives by the chemical signature of these elements. The major limitation of EDS spectra is its inability to differentiate between elemental signatures originating from the polymer and elemental signatures originating from additives.<sup>107</sup> In the discussed study of Correia and Loeschner, SEM was also used for the conformation of the particle size and morphology of the spherical PS nanoplastics.<sup>68</sup> These techniques are very useful to visualize the presence of nanosized particles.

El Hadri *et al.* studied the degradation of primary plastics (PS, PE) into MNPs where plastics were mechanically degraded using a planetary ball mill.<sup>108</sup> In addition, environmentally degraded plastics collected from the beach were also tested. The





Table 1 Overview of the recent studies for the characterization, identification and/or quantification of sub-micron and nanosized plastics as commercial standards or in relevant matrices<sup>a</sup>

| Polymer, size range           | Sample                                   | Sample treatment  | Analysis techniques                                     | Quantitation (LOD/detected levels)  | Ref.             |
|-------------------------------|--|---|---|---|------------------|
| PS, 2 µm to 100 nm            | Blue mussel larvae                       | Exposure of PS beads, 2 µm to 100 nm  | Fluorescence  | 0.8, 0.3, and 0.5 ng per larvae of the 100 nm beads<br>4.9, 3.4, 3.1 ng per larvae of the 2 µm beads<br>LOD 25 mg L <sup>-1</sup> | 24               |
| PS, PET, 300–500 nm           | Fish                                     | 1% KOH at 50 °C for 36 h<br>NaCl solution, thermal treatment  | TEM, DLS, MALDI-TOF MS                                  |   | 23               |
| PS, 100 nm                    | Spike fish samples                       | Acid digestion and enzymatic digestion with proteinase K  | AF4 MALS, DLS, fluorescence                             | LOD 52 µg g <sup>-1</sup>   | 68               |
| PS, 60, 200, 600 nm           | Egg shell samples spiked                 | Digestion with 0.01 HCl and ultra-centrifugation  | AF4 coupled online with MALS and UV                     | —   | 90               |
| PS, 600, 300 100 nm           | Paint-polishing dust samples             | Removal of organic matter with a H <sub>2</sub> SO <sub>4</sub> : H <sub>2</sub> O <sub>2</sub> (2 : 1, v/v) solution | Raman imaging, SEM-EDS                                  | —   | 91               |
| PE, <100 nm                   | Commercial facial scrubs                 | 10 mL of reverse osmosis water, consecutive filtering   | ATR-FTIR, XPS, SEM, TEM, DLS                            | —   | 35               |
| PS, PMMA, 360, 500 nm         | Air                                      | 30% H <sub>2</sub> O <sub>2</sub>   | SERS (define acronym)                                   | —   | 92               |
| PS, 100–600 nm                | Commercial spherical beads               | Dissolved in ultrapure water  | FFF-MALS-UV-online Raman with 2D tweezers               | —   | 93 <sup>b</sup>  |
| PS, 20–200 nm                 | Commercial spherical beads               | Dissolved in ultrapure water  | TEM, AF4-MALS-UV  | LOD 15–33 µg mL <sup>-1</sup>   | 94 <sup>b</sup>  |
| PP, PS, PVC, 100 µm to 100 nm | Drink water                              | PTFE membrane filtering   | Pyr-GC-MS   | LOD < 50 µg L <sup>-1</sup>   | 95               |
| PS, 420 ± 20 nm               | Drink water                              | Au particle labeling  | SEM, ICP-MS   | 8.4 × 10 <sup>5</sup> nanoparticles per L   | 96               |
| PS, 40–400 nm                 | Commercial spherical beads               | Nile red staining   | Fluorescence video microscopy, single particle tracking | 2 × 10 <sup>6</sup> nanoparticles per mL  | 97 <sup>b</sup>  |
| PS, PET, < 200 nm             | Snow                                     | Melting and filtering with PFTE filter 0.2 µm pore size   | TD-PTR-MS   | PS < 1 ng<br>PET 4.6–23.6 ng mL <sup>-1</sup>   | 81               |
| PS, PVC, 1 µm to 200 nm       | Sand                                     | Sand water extraction, filtering with 0.8 & 0.2 µm filters  | TEM-EDS, DLS, Pyr-GC-MS, ICP-MS                         | —   | 80               |
| PS, PET, <1 µm                | River sediment                           | Density separation ZnCl <sub>2</sub> , filtering < 1 µm   | MALDI-TOF-MS  | PS 8.56 ± 0.04 and PET 28.71 ± 0.20 mg kg <sup>-1</sup>   | 98 <sup>b</sup>  |
| PE, 10–398 nm                 | Degraded MPs from commercial face scrubs | Extraction with water and filtering with PVDF filter 0.22 µm  | FTIR/Raman, SEM, DLS, NTA                               | 1.4 × 10 <sup>13</sup> part. per g of scrub   | 99               |
| PS, ~200 nm                   | Microplastic degradation                 | Four agitation methods: mixing, shaking, flowing, and standing without agitation                                      | FE-SEM, NTA   | —   | 100 <sup>b</sup> |
| PS, 20–200 nm                 | PS nanospheres                           | Dilution in ionized water and gentle mixing   | AF4-MALS-UV   | 50–1000 µg mL <sup>-1</sup>   | 101              |



Table 1 (Contd.)

| Polymer, size range        | Sample          | Sample treatment  | Analysis techniques                   | Quantitation (LOD/detected levels)   | Ref. |
|----------------------------|-----------------|---|---------------------------------------|--|------|
| PS, 100–1000 nm            | Aqueous media   | Metal particle labeling   | sp-ICP-MS                             | $4.6 \times 10^8$ nanoparticles per L (>269 nm)                                  | 102  |
| PS, PMMA, 50–500 nm        | Cucumber plants | Alkaline digestion coupled with cellulose precipitation and ultrasonic leaching   | Pyr-GC-MS, SEM, ICP-MS                | PS LOD 2.31–4.15 $\mu\text{g g}^{-1}$<br>PMMA LOD 3.87–8.20 $\mu\text{g g}^{-1}$ | 103  |
| PS, PO, PVC, PA, 58–255 nm | Tap water       | Filtration with micro/nano-porous membrane, salt removal by 0.1 M HCl and digestion of organic matter by 30% $\text{H}_2\text{O}_2$ | DLS, TEM-XPS, FTIR, AFM-IR, Pyr-GC-MS | Tentatively quantified in the range of 1.67–2.08 $\mu\text{g L}^{-1}$            | 104  |

<sup>a</sup> PS: polystyrene; PO: polyolefins; PA: polyamide; PET: polyethylene terephthalate; PP: polypropylene; PE: polyethylene; PMMA: poly(methyl methacrylate); P(E-MMA): poly(ethylene-methyl methacrylate copolymer); PBMA: poly(*n*-butyl methacrylate); MBS: methyl methacrylate-butadiene-styrene copolymer; PVC: poly(vinyl chloride); PTFE: polytetrafluoroethylene; TEM: transmission electron microscopy; SEM: scanning electron microscopy; FE-SEM: field emission-SEM; DLS: dynamic light scattering; MALS: multi-angle light scattering; MALDI-TOF: matrix-assisted laser desorption/ionization-time of flight; MS: mass spectrometry; AF4: asymmetrical flow-field flow fractionation FFF; field flow fractionation; EDS: energy dispersive X-ray spectroscopy; FTIR: Fourier-transform infrared spectroscopy; ATR-FTIR: attenuated total reflectance-FTIR; XPS: X-ray photoelectron spectroscopy; pyrGC-MS: pyrolysis gas chromatography-mass spectrometry; ICP-MS: inductively coupled plasma-mass spectrometry; TD-PTR-MS: thermal desorption-photon transfer reaction-mass spectrometry; NTA: nanoparticle tracking analysis; SERS: surface-enhanced Raman spectroscopy; LOD: limit of detection. <sup>b</sup> Methods that have the potential to be used in the future for the indicated relevant matrices.

samples were fully characterized by TEM, DLS and AF4-MALS. It was shown that representative environmental samples can be obtained through mechanical degradation, however this should be tested on multiple and various environmental samples as well. The degradation process of plastics may differ in all kinds of environmental matrices.

In the study reported by Gigault *et al.* and discussed above, TEM analysis was used to determine the particle size and shape of all the types of nanoplastics PE nanoparticles (<100 nm) and PS nanoparticles (~500 nm). The shapes observed were very heterogeneous, which encourages to perform studies on particles of different shapes and chemistries, and not exclusively on spherical PS particles as it is currently done in most of reported studies. In the research of Caputo *et al.*, it was also stated that multiple complementary techniques are needed to provide accurate size and shape characterization of MNPs, which is also clear from the comparison of all the studies above. Until now, there is no technique that is capable of the complete characterization of both micro- and nanoplastics.<sup>109</sup> Therefore, combinations of the mentioned techniques are needed for the characterization of MNPs and to bridge the gap between sub-micron and nanosized plastics.

**5.1.2 Light scattering techniques.** Light scattering is a detection method used in many studies for the characterization of MNPs. For example, dynamic light scattering (DLS) can deliver a broad PSD in the range of 1 nm to 3  $\mu\text{m}$ .<sup>110</sup> Despite the broad range of particle sizes that can be measured, a mixture of particle sizes may cause problems, as the technique can only measure average hydrodynamic sizes. As a consequence, the measured radii can be skewed towards higher sizes. This is because larger particles will scatter with more intensity than smaller ones, and therefore, the signals of large particles will hinder the signals of the small particles which will be overlooked. Another problem might be caused by contamination of dust fibers or formed aggregates from the sample matrix. This could be a difficult issue for the relevant food, beverages, inhaled particles and personal care products, and therefore, strict measures should be taken for sample preparation when using this detection technique (Section 3). Another approach involves the use of (static) light scattering is multi-angle light scattering (MALS). This technique measures the scattered light from the sample by different angles and can determine the molecular weight and the size distribution (radii of gyration or root mean square radii) of molecules in solution. MALS is commonly used as online detector for size-based separation techniques such as size exclusion chromatography (SEC) or asymmetrical flow-field flow fractionation (AF4). The implementation of AF4-MALS for nanoplastics research is discussed in the next section.

Nanoparticle tracking analysis (NTA) is a light scattering technique complementary to DLS. Both techniques calculate the hydrodynamic size of particles based on the measured Brownian motion. NTA uses a microscope and a high-sensitivity video-camera which makes it possible to visualize (video image) and record every particle. Therefore, it can determine the hydrodynamic size of each individual particle instead of average size data as generated by DLS.<sup>111</sup> On the other hand, very



polydisperse particles (10 nm to 1 mm) or a very narrow size range (1–10 nm) cannot be measured with suitable accuracy, which makes NTA more limited on size range. However, this limitation can be overcome with the use of filtering, to narrow down the PSD. Hou *et al.* compared both techniques, where it turned out that DLS was more suitable to study sub-micron particles (>500–3000 nm), while NTA was more accurate in detecting small particles (>1000 nm).<sup>112</sup> Therefore, it may be hypothesized that DLS is more suitable for micron and high-submicron plastics and NTA for nanoplastics, although the mentioned study did not focus on plastics, but on cerium oxide nanoparticles. Lambert and Wagner successfully characterized PS, PLA, PP, PE and PET in the range of 30–2000 nm in two studies by using NTA.<sup>113,114</sup> It was shown that NTA is capable to quantify MNPs with commonly used models due to its software. These models and their applications are further described in Bayat *et al.* 2015,<sup>115</sup> Weipeng *et al.* 2015,<sup>116</sup> and Yang *et al.* 2012.<sup>117</sup>

**5.1.3 Field-flow fractionation (FFF).** FFF is one of the emerging techniques for the separation and size characterization of nanoplastics. The power of FFF is the broad range of particles that can be covered (1–1000 nm) and because it involves minimal to no shear stress and it is non-destructive.<sup>118</sup> Because of the minimal to no shear stress involved, the agglomeration behavior of nanoparticles can be studied using this technique.<sup>119</sup> The most common variant is asymmetrical flow-FFF (AF4), which is typically coupled to multiple detectors like UV-vis, refractive index, fluorescence, MALS and DLS.<sup>120</sup> These detection techniques in combination with AF4 provide information on concentration, number of particles, particle size distributions and molar masses for the characterization of MNPs.

Monikh *et al.* reported the use of AF4-MALS to successfully fractionate and characterize PS nanoparticles (60, 200, 300, 600 nm) spiked in eggshells at 100 mg L<sup>-1</sup> (see Table 1).<sup>90</sup> The developed method had a sufficient recovery (>60%) for nanoplastics and could be able to deliver suitable fractions for further identification. However, the results might be different for various types and non-spherical nanoplastics that are weathered in the environment. Correia and Loeschner used AF4-MALS for the analysis of fish tissue samples which were spiked with 100 nm PS particles (at a final concentration of 5.2 µg mL<sup>-1</sup>) (see Table 1).<sup>68</sup> As control, the authors have analyzed non-spiked fish samples. The authors reported the overlaid fractograms obtained by analyzing dye red aqueous fluorescent spherical polystyrene nanoparticles (FIPSNP) in ultrapure water and fish. It was observed that the particles extracted from the fish sample show minor deviation with the peak obtained from the PS standards. The results show the capability of AF4 to separate PS nanoparticles from such a complex matrix. Besides the study involving PS, the authors reported that after optimizing the carrier liquid composition for the AF4 experiments, it was also possible to analyze PE nanoparticles. For the PS nanoparticles, 0.47 mM NaHCO<sub>3</sub> (pH 7.7–7.9) was used as the carrier liquid, while FL-70 concentrate was used for PE nanoparticles. However, it was not possible to detect the PE nanoparticles when spiked (10 µg µL<sup>-1</sup>) to fish samples. The authors

attribute this to an elevated light scattering background signal from the organic fish residues in the AF4 running conditions. This could mean that a method developed for a certain type of polymer based nanoplastic may not be applicable to other types and this should be systematically investigated. This can make it complicated to standardize these protocols for multiple types of plastics, unless suitable studies are performed with a wide variety of MNPs of different chemistries. This technique is less likely to be useful when microplastics of sizes above 1 µm are present in the sample as the elution mode will be changed from normal to steric and the separation is jeopardized. In this case, the large particles (of micrometer range) undergo stronger forces from the laminar flow<sup>87</sup> and will elute faster than the smaller particles. To prevent this, a filtration step at the inversion point is needed to exclude the larger particles which can be studied by complementary techniques. Methods such as ultra-filtration, ultracentrifugation/centrifugation, can be used.<sup>87</sup> For example, Correia and Loeschner used centrifugation before AF4-MALS analysis of nanoplastics in fish.<sup>68</sup>

Gigault *et al.* reported the use of AF4-MALS for the characterization of nanoplastics (PS particles, 1 nm to 800 nm) in fish samples.<sup>121</sup> It was found that the selectivity increased significantly when the size range was divided in subpopulations. Therefore, the elution profile was tuned into four different subfractions (1–100 nm, 100–200 nm, 200–450 nm, 450–800 nm). Additionally, in these subfraction methods, it was also found that constant cross-flow rates (0.1 and 0.3 mL min<sup>-1</sup>) enhanced the fractionation power compared to a programmed cross flow rate. The developed method and additional four subfraction methods combined, may be used to study all the submicron populations in fish samples. This study demonstrates the advantages of AF4 coupled to MALS, and the developed methods were also used in a more recent follow up study where the degradation of microplastics to nanoplastics was studied.<sup>108</sup>

## 5.2 Chemical identification

**5.2.1 Spectroscopic techniques.** FTIR/µ-FTIR, Raman/µ-Raman spectroscopy are useful techniques for the identification of microplastics. However, the spatial resolution of FTIR is not sufficient to identify particles below 50 µm (ref. 122) and difficulties may arise from environmental matrix effects, unless proper sample preparation is used.<sup>123,124</sup> Liu *et al.* reported the use of µ-FTIR to reveal the presence of many kinds of microplastics above 10 µm in air samples.<sup>125</sup> Unfortunately, even when combined with microscopic techniques, a spatial resolution below 10 µm cannot be reached.<sup>126,127</sup> Therefore, the identification of nanoplastics by FTIR is not currently possible. Compared to FTIR, Raman has a better spatial resolution due to the shorter laser wavelengths that can be utilized, therefore particles down to 10 µm can be analyzed. Additionally, in the case of µ-Raman particles down to 1 µm can be analyzed.<sup>124</sup> In addition, Raman measurements have less interference from water and are not dependent on sample thickness.<sup>128</sup> On the other hand, sample clean-up is essential for Raman measurements to increase the signal to noise ratio and eliminate



potential fluorescence interferences from sample tissue or other compounds in the sample. UV degradation can also alter the Raman spectra, for example the intensity loss of the specific C–Cl bond in poly vinyl chloride (PVC).<sup>129</sup> Alternatively,  $\mu$ -Raman can be coupled to an Atomic Force Microscopy Based Tip-Enhanced Raman Spectroscopy (AFM-TERS) system which can deliver a spatial resolution of 10 nm.<sup>130</sup> This may have potential for the identification of nanoplastics, but has not been yet reported. Recently, Sobhani *et al.*<sup>91</sup> successfully analyzed NPs down to 100 nm by Raman imaging (see Table 1), where imaging particles can be visualized and identified. The produced method was also tested on real paint-polishing dust samples. These results are encouraging, but there are several limitations. The main limitation arises when the nanoplastic size is smaller than that of the laser spot. From the Raman image, the size of the imaged nanoplastic is actually determined and limited by the collected Raman signal, the stage-stepping resolution/pixel size and the laser spot size, rather than by the nanoplastic size itself. Therefore, the image resolution needs to be increased which is limited by the diffraction limit of the laser spot. This method was optimized in two recent follow-up studies. Firstly, the resolution was increased by decreasing the mapping pixel size in order to produce a high-resolution image.<sup>131</sup> This made it possible to categorize imaged NPs by size groups *via* their Raman intensity. Secondly, multiple algorithms such as logic-OR, logic-AND and logic-SUBTRACT were added and combined to prevent false positives and increase the mapping certainty for NP imaging.<sup>132</sup> Until now, this approach is the most promising for adequate identification and visualization of sub-micron and nanosized plastics.

The coupling between atomic force microscopy (AFM) and IR spectroscopy allows to characterize nanoparticles and may have potential for nanoplastics.<sup>133</sup> However, this coupling is not easy because AFM has a limited sample size which can cause problems for large microplastics that may be present in the sample. Additionally, it can only detect at the surface area of the sample, which means that a sufficient sample preparation is needed to discover smaller sized particles that could be present beyond the surface. AFM and its hyphenation to FTIR or Raman should be further explored for the characterization of MNPs. For instance, AFM-IR has been used for the identification of various types of nanoparticles (polylactic acid, silver & gold) already and has potential for quantification purposes.<sup>134,135</sup> Merzel *et al.* showed the applicability of AFM-IR recently with the characterization of PS nanoplastics (beads of 1000 nm) in mussel siphons.<sup>136</sup> However, improvements can be made by optimizing the sample preparation (see Section 3) to investigate nanoplastics beyond the surface.

In terms of imaging, hyperspectral imaging can turn a dark-field optical microscope into a powerful chemical characterization tool.<sup>137</sup> This technique has been used for the identification of various nanoparticles around sizes of 5–100 nm. It has the major advantage of imaging particles in unfixed wet samples, which means no sample treatment is needed. However, the major limitation of this technique is the interpretation of complex spectra, therefore, instrumental advances are required such as deconvolution software. Recently, this

technique has also been used for successful identification of sub-micron sized plastics (PS, 400–1000 nm) in *Caenorhabditis elegans*.<sup>138</sup>

**5.2.2 Mass spectrometry (MS).** A different approach with respect to microscopy and spectroscopy are mass spectrometry-based methods. MS is a powerful technique for the identification of MNPs based on their  $m/z$  ratio. Techniques such as pyrolysis gas chromatography-MS (Pyr-GC-MS),<sup>139,140</sup> thermal gravimetry/desorption gas chromatography MS (TED-GC-MS) or thermal desorption–proton transfer reaction-MS (TD-PTR-MS)<sup>81,141,142</sup> and matrix-assisted laser desorption/ionization-MS (MALDI-MS) can be used. These techniques have the advantage that samples can be analyzed in bulk, which is a solution for the lack of sensitivity posed in single-particle analysis. In addition, MS is not limited by the low particle sizes of NPs. However, the main disadvantage of mass spectrometry techniques for NP analysis is the fact that information on particle sizes cannot be obtained. Additionally, the concentration of NPs in environmental samples needs to be sufficient as every MS approach has a certain detection limit (down to ppm or ppt).<sup>143</sup> To overcome the limited knowledge on particle sizes, size-based separation techniques can be used prior the MS analysis to obtain a complete picture. In this context, chromatographic techniques such as size exclusion chromatography (SEC)<sup>144</sup> and hydrodynamic chromatography (HDC)<sup>145</sup> have been reported for the separation of engineered nanoparticles. Hence, they may be also suitable for the separation of nanoplastics. For instance, Pirok *et al.* combined HDC and SEC in a comprehensive 2D-LC system, where the combined two-dimensional distribution of particle sizes and molecular sizes of PS and polyacrylate particles was obtained successfully.<sup>146</sup> Such methods could contribute to the characterization of MNPs and may be combined with MS based approaches as they are non-destructive. The limitation of detection limits is not that easy to overcome, besides making the analysis as sensitive as possible by sufficient sample preparation and preconcentration.

As an example, Lin *et al.* recently reported a method where thermal fragmentation in combination with MALDI-MS was used (see Table 1).<sup>23</sup> Thermal fragmentation decomposes the sample and subsequently the MNPs are identified by fingerprint peaks in both low and high mass regions of the MALDI-MS spectra. Environmental samples are composed of heterogeneous MNPs with different molecular weights which causes many variations in peak intensities. Therefore, the low MS responses of the fingerprint peaks need greater intensity considering the low concentration of MNPs in environmental samples. This was done by a thermal fragmentation step which enhanced the intensities of fingerprint peaks and made quantification possible.

The combination of pyrolysis-GC with mass spectrometry is promising and still in a developmental phase.<sup>147</sup> Other MS based approaches such as inductively coupled plasma-mass spectrometry operated in single-particle mode (sp-ICP-MS)<sup>80,96</sup> are interesting due to their identification and quantification (number of particles) qualities. In the approach of Jiménez-Lamana *et al.*,<sup>96</sup> conjugated nanoplastics with Au-



nanoparticles were used, which provides a very sensitive analysis. This technique was also widely discussed in the review of Velimirovic *et al.*<sup>143</sup> The labelling of NPs with metal probes has also been studied by Marigliano *et al.*, again Au-nanoparticles were used and showed most efficiency for NP identification and quantification.<sup>102</sup> Imaging with TOF-secondary ion mass spectrometry could also be used for the chemical identification of NPs, as the spatial resolution (>100 nm) is suitable.<sup>148</sup> Although it has limitations in long analysis times and only a small area can be covered by each analysis, while sufficient analysis of multiple spots are needed for representative data. This technique has not been used for NPs yet.<sup>13</sup> It is clear that MS based approaches are a very powerful tool that can be used for NP analysis, but most likely not as a stand-alone technique. On- and offline combinations remain needed to solve this broad range of research questions along NP analysis.

Additionally, some MS based methods also reported quantification of nanoplastics, which are discussed in Section 6.

## 6 Quantification of MNPs

The quantification of MNPs in different sample types is not an easy task, as shown by the lack of quantification methods present in literature. The adequate quantitation of particle number, mass, volume and concentration of MNPs is still lacking. Fluorescence is a technique that is capable of the identification and quantification of MNPs based on staining. This technique was mostly reported in toxicology studies where organisms are exposed to labelled PS nanoparticles with a known concentration to investigate the translocation of the particles in organisms and to hypothesize cytotoxicity and health effects, for example in the study of Pitt *et al.*<sup>149</sup> In a recent study of Molenaar *et al.*, fluorescence video microscopy was used in combination with Nile red staining and single particle tracking (SPT).<sup>97</sup> The developed method was able to detect

45 nm sized nanoparticles and concentrations of  $2 \times 10^6$  nanoparticles per mL were reported. Despite of the successful quantification, only spherical PS particles were used and the method is not tested on environmental samples yet, which could cause difficulties due to matrix effects.

Analytical techniques in combination with mass spectrometry (GC-MS) are used for the identification of MNPs, but are also used for quantification. Dümichen *et al.* reported multiple studies on the identification and quantification of microplastics with thermal fragmentation.<sup>141,142</sup> In 2015, the first paper published using TED-GC-MS demonstrated its capability of identifying and quantifying PE standards, but it has not been tested on real samples.<sup>142</sup> In 2019, an optimized method was published with an increased sample throughput and reproducible automated fractionated collection of decomposition products.<sup>141</sup> Quantification was achieved by the linear regression curves of PS, PP and PE standards which showed excellent linearities and an internal standard solution was used to compensate for instrumental errors. This method reached lower limits of quantification by a factor 10 (LOQ 0.395  $\mu\text{g}$ ) compared to the method from 2015.<sup>142</sup> The MS was used in a full scan mode and the method is expected to reach even lower limits in the single ion monitoring (SIM) mode. However, this method is not validated and tested on real samples yet, but it does shows promising results for routine analysis. Another successful quantification method with Pyr-GC-MS was developed by Sullivan *et al.*<sup>95</sup> Micro- and nanoplastics (PP, PS, PVC, 100  $\mu\text{m}$  to 100 nm) were quantified below 50  $\mu\text{g L}^{-1}$  (LOD) within water samples. Additionally, Materić *et al.* used TD-PTR-MS for the quantification of PET (<200 nm, 4.6–23.6  $\text{ng mL}^{-1}$ ) nanoplastics in snow samples<sup>81</sup> (see Table 1).

The limitation of a stand-alone pyrolysis or thermal desorption-GC-MS method is the lack of information on particle sizes within environmental samples. This could be tackled, by using AF4-MALS in combination with offline Pyr(or TD)-GC-MS,

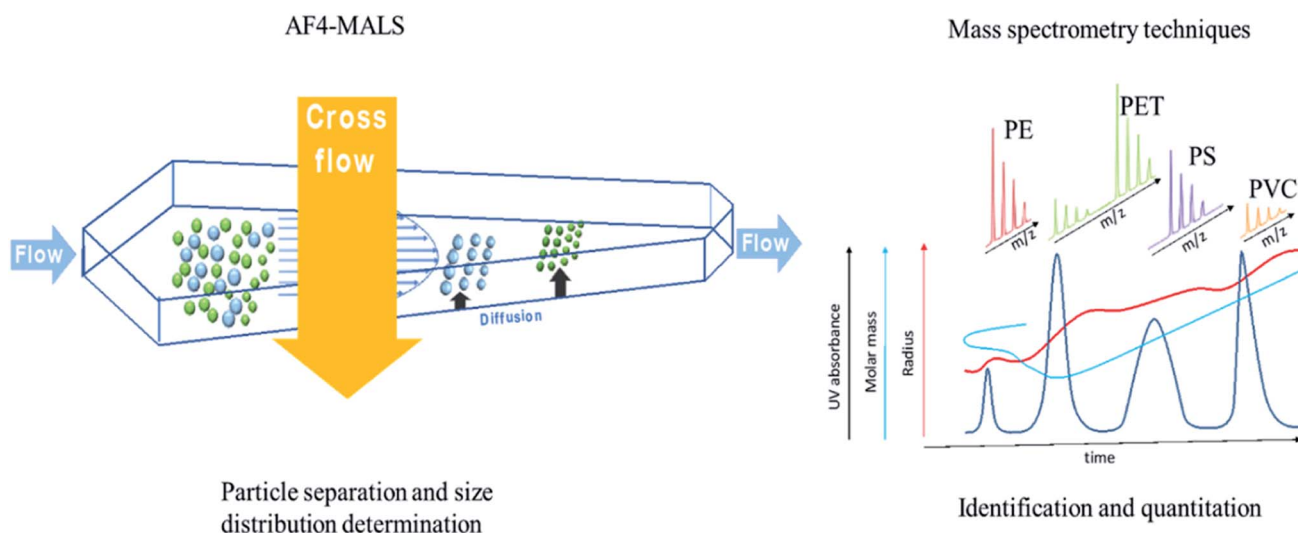


Fig. 3 An example of on-line or off-line combination of size-based fractionation methods (FFF) with MS-based analytical methods (Pyr-GC-MS or TD-PTR-MS) for a complete characterization of sub-micron or nanosized plastic particles.



as it can first separate MNP fractions according to their size and then chemical identification and quantitation can be further performed. This can be a very powerful combination for the detailed characterization, identification and quantification of MNPs, Fig. 3 illustrates this combination of techniques.

As regards AF4-MALS, Battistini *et al.* successfully validated an AF4-MALS method for the identification and quantification of nanosized PS particles (20–200 nm) at a LOD of 15–33  $\mu\text{g mL}^{-1}$ .<sup>94</sup> The same accounts for the protocol of Bocca *et al.* that was also able to quantify PS NPs (20–200 nm) at a LOD of 50  $\mu\text{g mL}^{-1}$ .<sup>101</sup> However, both methods are limited to nanoplastics that present UV absorbance.

## 7 Conclusions

It is clear that NPs are a serious environmental issue and a potential risk to human and ecosystem health. Multiple studies investigated the exposure of NPs to animals and negative health effects were found. Additionally, negative health effects were also found within *in vitro* studies using human cell lines. However, the exposure dose of NPs used in current studies are generally unrealistic as environmental samples are likely to contain low or trace amounts. The observed health effects in toxicity studies are mostly negative. Nevertheless, these studies show the intrinsic hazardous properties of NPs and the need of decreasing plastic debris around the world. The production of commercially available nanoplastic standards with varying shapes needs to be expanded to support the development of analytical studies. Contrary to MPs, NPs are hardly measured in real environmental matrices that are

relevant for human health such as drinking water, fish, air and personal care products. More studies are thus urgently required to study sub-micron and nanosized plastics in relevant matrices that are correlated with the main exposure routes: ingestion, inhalation and dermal contact. Recently, some great advances were made in the successful identification of NPs by mostly imaging techniques such as (Raman imaging and dark-field/hyperspectral microscopy). Advances in MS based methods are promising in terms of identification and quantification of NPs, but combinations with other techniques are necessary to characterize them as well (see Future perspectives). More research and improvements are especially necessary in the sampling and sample treatment of NPs in all relevant matrices. This is extremely important to support the progress in analytical techniques for characterization, identification and quantification of sub-micron and nanosized plastics. Further development, harmonization and in time also standardization of quantitation protocols is needed to deliver realistic exposure doses which will lead to accurate risk assessment of NPs on human health.

## 8 Future perspectives

The characterization and quantitation of sub-micron- and nanosized plastics remains a challenge. Therefore, new combinations of multiple analytical techniques are needed, to make progress and provide more useful data. Combining suitable techniques seems the only way to fully characterize, identify and quantify NPs, as no stand-alone technique is capable of doing all. The latest developments also showed that different

**Table 2** Overview of the most promising techniques and combinations with their corresponding advantages and disadvantages

| Promising techniques                                | Advantages  | Disadvantages   | Ref.                                 |
|---|---|---|--------------------------------------|
| AFM- $\mu$ -FTIR and AFM- $\mu$ -Raman spectroscopy | Decreased spatial resolution down to sizes of 10 nm particles   | Sample preparation of complex matrices remains a challenge. Technique is limited by surface detect  | 133–135                              |
| Raman imaging                                       | Sensitive technique where nanoparticles (>10 nm) can be visualized and identified   | Image resolution needs to be optimized, limited by diffraction limit of the laser spot. Complex data analysis and interpretation                              | 91, 131 and 132                      |
| Dark-field/hyperspectral microscopy                 | Nanoparticles (>5 nm) can be visualized and identified in unfixed wet samples. No sample preparation needed, which is a major advantage | Still limited by very complex data analysis and spectra interpretation  | 137 and 138                          |
| AF4-MALS-Pyr-GC-MS or Raman/SEM                     | Combination of such techniques can potentially deliver characterization, identification and quantification of nanoplastics (1–1000 nm)  | Very selective for nanoplastics, cannot be used for larger plastic particles anymore (>1000 nm). More time consuming and expensive with additional techniques | 68, 81, 90, 94, 95, 141, 142 and 151 |
| sp-ICP-MS   | Sensitive and specific identification, quantification possibilities based on number of particles  | Requires metal labelling and no information on particle sizes   | 80, 96, 102 and 103                  |
| CE-MS   | Characterization of nanoparticle such as gold and fullerene. Potential for nanoplastics   | Not used for nanoplastics yet   | 152–155                              |



combinations can be successful and make significant progress (see Table 2 for an overview). For example, AFM- $\mu$ -FTIR or AFM- $\mu$ -Raman spectroscopy are combinations of techniques that could be promising for NP identification. Currently, FTIR and Raman spectroscopy can only identify microplastics, because they are limited due to their spatial resolution. Coupling AFM to FTIR or Raman may help to overcome this limitation and identify nanoplastics successfully. This combination is expected to identify nanoplastics down to sizes of 10 nm as the spatial resolution will be lowered significantly. On the other hand, recent advances in Raman imaging and dark-field/hyperspectral microscopy are already very promising as they have shown confident identification of NPs. It is expected to see more studies in the future that utilizes these techniques. For the characterization of nanosized-plastics (10–1000 nm) AF4-MALS has great potential and new studies keep appearing.<sup>150</sup> It is capable to deliver the molecular weight, particle numbers, concentration by optional UV detection and particle size distribution. It has the advantage that this technique is non-destructive and it can collect fractions, which can be used for additional analysis with for example Pyr-GC-MS based approaches for identification and quantitation. AF4-MALS can also be combined with spectroscopic and microscopic techniques such as confocal Raman and SEM. This was shown recently by Valsesia *et al.*, where characterization (SEM/AF4-MALS), identification (Raman) and quantification (particle counting software and UV absorbance) was achieved.<sup>151</sup> Clustered particles on a chip were used to make the NPs detectable with confocal Raman and this study was successfully applied on *C. Robusta*. This approach has great potential, but it has to be mentioned that it was still only applied on PSNPs. Recently there was also an extensive combination made of DLS, TEM-XPS, FTIR, AFM-IR and Pyr-GC-MS to characterize and identify NPs (PO, PS, PVC and PA, 58–255 nm) in tap water.<sup>104</sup> Even plastic additives such as P(E-MMA), MBS and PBMA were found which is a relevant capability of this method regarding the enhanced toxicity of NP-additive complexes. The indicated example studies provided different combinations of techniques that show the most potential to analyze NPs to date.

Other MS based approaches such as sp-ICP-MS can also provide very sensitive and specific identification and quantification (number of particles) as well.<sup>80,96,102</sup> Capillary electrophoresis (CE) is a separation technique which separates analytes based on their charge to hydrodynamic radius ratio and could be suitable for the analysis of MNPs. CE has been reported for the analysis of different nanoparticles such as gold and fullerene nanoparticles.<sup>152–154</sup> In addition, CE-MS was also used for the characterization of nanomaterial in protein corona, where even PS microplastics were found as contamination.<sup>155</sup> Unfortunately, there is no CE study available yet that is focused on the analysis of MNPs to the best of our knowledge. There are still options and combinations of techniques that can be explored and may contribute to the analysis of sub-micron- or nanosized plastics. Besides making new combinations, specific sample preparations can simplify the analysis of NPs. For example, Li *et al.* used a unique extraction protocol with alkaline digestion and cellulose precipitation to characterize,

identify and quantify NPs (PS and PMMA, 50–500 nm) in cucumber plants with a combination of Pyr-GC-MS, SEM and ICP-MS.<sup>103</sup> Such approaches are desired to move forward in the field. In addition, newly developed or adapted data processing software is also necessary to strengthen the data analysis, which potential was shown in some recent studies. For example, Primpke *et al.* provided a new software tool (siMPle) for the systematic identification of microplastics within spectroscopic analysis.<sup>156</sup> The future developments need to improve the capabilities of the current methodologies for the adequate analysis of these extremely challenging nanoplastic particles. This review focused explicitly on external exposure matrices that can contain NPs. This is because, these sources are more suitable for routine monitoring compared to internal exposure matrices (*e.g.* NP concentration in blood). However, the described strategies and techniques could also be applied for internal exposure matrices. For example, the research of Gray *et al.* describes the extraction and analysis of Ag and Au nanoparticles in biological tissues.<sup>157</sup> A similar strategy with Proteinase K digestion and sp-ICP-MS analysis was used that also has potential for NPs as indicated in Sections 3.1 and 5.2. Internal exposure matrices will become more interesting within the future, as the concentration of NPs in the environment and exposure to organisms is still likely to increase in the upcoming years.

## Conflicts of interest

There are no conflicts to declare.

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