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## **Influence of Anchoring Group on Charge Transport across Self-Assembled Monolayer-Based Molecular Tunnel Junctions**

[View Article Online](#)[DOI: 10.1039/D5NH00347D](#)

Molecular junctions, in the form of metal-molecule-metal, have been found important in a large range of areas including catalysis, energy generation and storage, sensing, nano-electronics. A long-standing open question is “how do molecules conduct charge carriers at the smallest possible length scales where quantum effects dominate?”. The conductivity and the dielectric response of molecular junctions depend on many variables such as molecular backbone, electrode material, anchoring and terminal functional groups, etc. Although the role of the impacts of molecular backbone, electrode or terminal functional groups on junction conductance have been widely studied, the role of the anchoring groups are rarely studied in large area junctions. To fill this gap, we conducted current density and impedance characterizations for junctions with monolayers of different anchoring groups. We found the change in anchoring group resulted in large differences in the conductivity and dielectric constant of the junctions. The change in anchoring group induced difference in dielectric responses and the shifts of HOMO and LUMO levels with respect to the Fermi levels of the electrodes explaining the observed changes in conductivity. Our work provides new insights into the factors that influence the charge transport rates and dielectric responses of molecular junctions.



## Influence of Anchoring Group on Charge Transport across Self-Assembled Monolayer-Based Molecular Tunnel Junctions

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Accepted 00th January 20xx

DOI: 10.1039/x0xx00000x

Predicting the charge transport rate, mechanism and the dielectric response of solid-state molecular electronics is challenging since these properties depend on many variables such as molecular backbone, electrode material, junction contact geometry, anchoring and terminal functional groups, and so on. Although the effects of anchoring group (X) on the conductance of single-molecule junctions have been widely investigated, in large-area junctions examples are rare although the latter makes it possible to also explore the role of dielectric properties on charge transport rates. Here we report a change of 2.5 orders of magnitude in the charge transport rate along with a factor of 3 change in the measured dielectric constant ( $\epsilon_r$ ) across monolayers of  $X(C_6H_4)_nH$  with  $n=1$  or 2 and  $X=NO_2$ , SH,  $NH_2$ , CN, and Pyr. Our combined study involving current-voltage measurements and impedance spectroscopy allowed us to isolate the contact ( $R_c$ ) and monolayer resistance ( $R_{SAM}$ ), and found that the  $R_c$  increased with the X order. This change in  $R_c$  goes hand-in-hand with the shift of HOMO and LUMO energy levels with respect to the Fermi levels of the electrodes explaining the large observed change in charge transport rate. Surprisingly, the increase in tunneling rates (or decrease in  $R_{SAM}$ ) scales with  $\epsilon_r$ . Our work provides new insights into the factors that influence the charge transport rate and dielectric response of molecular junctions besides widely studied changes to the molecular backbone or terminal functional groups.

## Introduction

Understanding and precisely controlling the charge transport rate across molecules attached to interfaces is important in a large range of areas including catalysis,<sup>1</sup> energy storages,<sup>2, 3</sup> sensing,<sup>4</sup> nano-electronics<sup>5, 6</sup>, and artificial intelligence<sup>7</sup>, for example. Solid-state molecular junctions make it possible to obtain detailed new insights into the charge transport mechanisms of molecular diodes,<sup>8-10</sup> switches,<sup>11</sup> memristors<sup>12-15</sup> or transistors,<sup>16, 17</sup> or to study thermoelectric,<sup>18, 19</sup> spintronic,<sup>20</sup> or plasmonic<sup>21</sup> effects at the molecular length scales. In principle, by designing the molecular structure of the junctions the desired charge transport rate and electronic function can be achieved. So far, this envisioned predictive molecular control has been challenging to achieve because the molecules interact with the electrodes and form a new physical-organic system whose properties depend on several intertwined parameters. In large-area junctions (normally in the form of M-XB<sub>n</sub>T//M, here M=metal electrode, X=anchoring group, B=backbone,  $n$ =number of repeating unit, T=terminal group, “-” represents a covalent bond, and “//” indicates a physical contact interface),

systematic studies of structural changes of self-assembled monolayer (SAM) on charge transport tend to be focused on T which forms a physical contact with the top electrode<sup>22-24</sup> or changes to B<sup>5, 25-27</sup>. The effects of X on charge transport rate are largely unexplored<sup>28-31</sup> (although in single-molecule junctions the role of X has been studied in more detail<sup>32-37</sup>). In addition, the role of dielectric response on charge transport rate is still rarely explored in molecular junctions despite of initial theoretical and experimental studies demonstrating its important role.<sup>22, 38-40</sup> Here, we show that the X of  $Au-X(C_6H_4)_nH//GaO_x/EGaIn$  ( $n=1$  or 2 and  $X=NO_2$ , -SH, - $NH_2$ , -CN, and -Pyr, EGaIn represents eutectic alloy of gallium and indium) junctions changes the charge transport rate by 2.5 orders of magnitude and the  $\epsilon_r$  by a factor of 3 (from 1.2 to 3.5). This work reinforces earlier observations where molecules in junctions have surprising large  $\epsilon_r$  leading to a large increase in the tunnelling rate.<sup>22, 39</sup>

The charge transport rate, the mechanism of charge transport, and the value of  $\epsilon_r$  of molecular junctions are determined by many factors, *i.e.*, the type of X,<sup>32, 35, 37, 41, 42</sup> B,<sup>43</sup> T,<sup>22-24</sup> or the type of electrode<sup>44, 45</sup>, which all affect the tunneling barrier height ( $\Delta E$ ), the molecule-electrode coupling strength ( $\Gamma$ ), and therefore the associated potential profile across the junctions. In molecular junctions, both the two molecule-electrode interfaces have to be considered. By introducing T to monolayers, one can influence the monolayer-top-electrode interface. Depending on the nature of T, the monolayer-top electrode interface can be, *e.g.*, covalent,<sup>44, 45</sup> van der Waals<sup>8, 9, 22, 38</sup> or ionic<sup>46</sup> in nature. Similarly, by changing X where X can be thiol (-SH), nitrite (-NO<sub>2</sub>), sulfonate (-SO<sub>3</sub><sup>-</sup>), hydroxyl (-OH), nitrile (-CN), amine (-NH<sub>2</sub>), carboxylic acid (-COOH), benzyl (-C<sub>6</sub>H<sub>6</sub>), and pyridyl (-C<sub>6</sub>H<sub>5</sub>N), the nature of the monolayer-bottom-electrode interactions can be changed.<sup>47, 48</sup> By changing X, however, the binding geometry (including tilt angle, orientation or packing) may vary but also the electronic structure of the junctions.<sup>32, 49, 50</sup> For

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Supplementary Information available: [details of any supplementary information available should be included here]. See DOI: 10.1039/x0xx00000x



$X=SH$ , the formation of S-Au bond can result in a highest occupied molecular orbital (HOMO) level localized at the S-Au, supporting HOMO mediated charge transport<sup>45, 51</sup>. In contrast, the  $X=NO_2$ , CN, or Pyr interacts with the electrode via the O and N atom, respectively, resulting in a lowest unoccupied molecular orbital (LUMO) dominated conduction.<sup>32, 44, 52</sup> Therefore, differences in X can lead to variations in conductance and rectification behaviour of junctions.<sup>31, 53, 54</sup>

The conductance of single molecule junctions with different X of the general form of  $M-XB_nX-M$  has been widely investigated, but there is no consensus on the effects of X on conductance.<sup>32-37</sup> For example, for conjugated backbones, Borguet's group reported conductance of single-porphyrin junctions of  $Au-X(\text{porphyrin})X-Au$  with different X following the sequence of  $Pyr > NH_2 > -SO_3^- > CN > COOH$ , the Pyr exhibited higher conductance than others due to a strong binding interaction of the N-Au bond.<sup>33</sup> In contrast, Wandlowski's group found that the conductance of junctions of functionalized oligoynes ( $Au-X(C_6H_4)C\equiv C(C_6H_4)C\equiv C(C_6H_4)X-Au$ ) and tolanes ( $Au-X(C_6H_4)C\equiv C(C_6H_4)X-Au$ ) followed the sequence of  $bisthiol>SH > NH_2 > Pyr > CN$ ,<sup>34, 55</sup> which agreed with Ratner and coworkers' computational results.<sup>56, 57</sup> In the case of  $X=NO_2$ , Erbe and coworkers found junctions of  $Au-X(C_6H_4)C\equiv C(C_6H_4)X-Au$  with a conductance sequence of  $NO_2>SH>CN$  where for  $X=NO_2$  the conductance was  $\sim 17$  times larger than for  $X=CN$ .<sup>32</sup> For junctions with saturated molecular backbones the behavior is different. Tao and coworkers found for  $Au-X(CH_2)_nX-Au$  junctions that the binding strength follows the sequence of  $SH>NH_2>COOH$  but the conductance follows the sequence of  $SH > COOH > NH_2$ .<sup>36</sup>

In contrast, in large area SAM-based molecular junctions the role of X is rarely investigated.<sup>28-31</sup> Frisbie and coworkers found the change of X in  $Au-X(\text{phenyl})_n/Au$  junctions from NC to SH affected the resistance of SAM by almost one order due to the change in HOMO with respect to the Fermi level of electrode ( $E_F$ ) but the  $\beta$  values were close to each other.<sup>30</sup> Whitesides and coworkers found that junctions of  $M-X(C_6H_4)_n//GaO_x/EGaIn$  ( $X=SH$  and  $HC\equiv C$ ) showed similar values of  $\beta$  and contact resistance, while the  $X=HSCH_2$  series decoupled the phenyl ring from SH and confined the HOMO orbital onto the S atom, resulting in an increase in  $\beta$ .<sup>29</sup> Cahen and colleagues could largely tune the  $Si(111)-(CH_2)_2(C_6H_4)X/Hg$  junctions from Ohmic behaviour to strong rectifiers and the associated potential profiles by changing X from Br to  $CH_3$  or H.<sup>58</sup> Recently, Li and colleagues extended the X from SH to diselenide and achieved extremely stable junctions for over 200 days.<sup>28</sup>

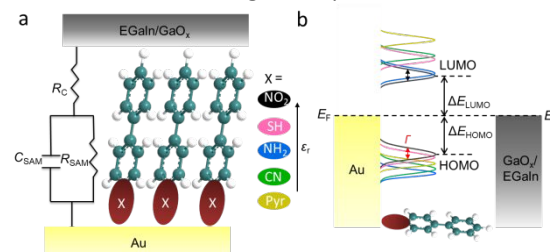
In principle, strong dipoles at the metal-molecule interfaces or molecular dipoles could lead to changes in energy level alignment and electrostatic potential profile of the junction thereby changing the measured tunneling rates,<sup>23, 41, 57</sup> but usually those effects are relative small leading to changes in measured current of no more than one order of magnitude.<sup>26, 59</sup> Recently we found that molecular polarizability can have large effects on the charge transport rates<sup>22, 38, 60</sup> and even lead to changes in the mechanism of charge transport.<sup>8, 25, 27, 38</sup> The role of polarizability can be investigated by measuring the dielectric constant  $\epsilon_r$  via capacitance measurements (but the capacitance of single-molecule junctions is too small to be measurable).<sup>39, 61</sup> For example, the tunnelling rate changed by 4 orders of magnitude along with a 4-fold increase in  $\epsilon_r$  in large area junctions of  $Ag-S(CH_2)_nT//GaO_x/EGaIn$  ( $T=F, Cl, Br, \text{ or } I$ ) by only

changing the polarizability of T.<sup>22</sup> In contrast, changing permanent dipoles in large area junctions with the same electrodes did not change the tunneling rates significantly across a broad range of different types of T.<sup>23, 24, 62, 63</sup> For aromatic junctions of the form of  $Au-S(C_6H_4)_nT//GaO_x/EGaIn$ , the polarizability of T had no significant effect on the measured tunneling rates or  $\epsilon_r$  for  $T=F, Cl, Br \text{ or } I$  due to collective electrostatic effects. These collective effects arise from induced opposite electric fields in neighbouring molecules resulting in small effective dipoles and are important to consider in conjugated, densely packed SAMs.<sup>23, 63</sup> These strong collective electrostatic effects in  $Au-S(C_6H_4)_nT//GaO_x/EGaIn$  junctions lead to confinement of the electrostatic potential at the terminal position T and to similar effective tunnelling barrier heights regardless of T.

For all of these reasons, it is important to measure both changes in current and  $\epsilon_r$  in charge transport studies across large area junctions. Here, we applied soft EGaIn as top electrode and SAMs of  $X(C_6H_4)_nH$  to study the influence of X on the charge transport rate and  $\epsilon_r$  of  $Au-X(C_6H_4)_nH//GaO_x/EGaIn$  junctions. The current changes by 2.5 orders of magnitude and  $\epsilon_r$  by a factor of 3 in a descending order of  $X=NO_2, -SH, -NH_2, -CN$ , and  $-Pyr$ . The shifts in HOMO and LUMO with respect of the  $E_F$  of the electrodes, and the changes in  $\epsilon_r$  and  $\Gamma$  induced by X were responsible for the large change in the measured charge transport rates. Our work deepens the understanding of the charge transport mechanisms across molecular electronic devices and shows that changes in X can have profound effects on their electronic and dielectric properties.

## Results and discussion

Figure 1a shows the schematic illustration of the  $Au-X(C_6H_4)_nH//GaO_x/EGaIn$  junction consisting of a SAM on Au ( $Au-SAM$ ) with conjugated backbone and different X. Using large-area junctions, we were not only able to derive the effects of X on charge transport rate, but also to determine the contributions of the resistance of SAM ( $R_{SAM}$ , in  $\Omega\cdot cm^2$ ), the contact resistance of the junction ( $R_C$ , in  $m\Omega\cdot cm^2$ ), the capacitance of SAM ( $C_{SAM}$ , in  $\mu F/cm^2$ ) and the  $\epsilon_r$  of SAM from impedance spectroscopy.<sup>39, 61</sup> Figure 1b shows the shift of HOMO, LUMO, and the associated tunneling barrier height  $\Delta E$  defined by the HOMO ( $\Delta E_{HOMO}$ ) or LUMO ( $\Delta E_{LUMO}$ ) which is the energy offset between HOMO or LUMO and  $E_F$  of the electrodes. The  $\Gamma$  between the X and Au electrode is indicated by the broadening of the molecular levels. With the possible changes in  $\Delta E$  and  $\Gamma$  as a function of X, we anticipated to measure differences in charge transport rate and  $\epsilon_r$ .



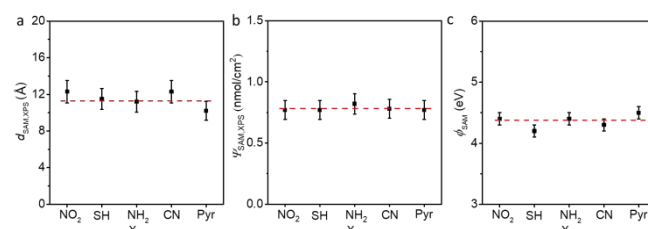
**Figure 1.** (a) Schematic illustration of the  $Au-X(C_6H_4)_nH//GaO_x/EGaIn$  junction with  $n=1$  or  $2$  and  $X=NO_2, -SH, -NH_2, -CN$ , and  $-Pyr$  where “-” indicates covalent bond, “//” indicates the non-covalent contact and “/” represents the



physical phase between  $\text{GaO}_x$  and  $\text{EGaIn}$ . In all experiments, the bottom gold electrode was grounded, while the voltage was applied to the top  $\text{EGaIn}$  electrode. (b) The proposed energy level alignment of the SAMs embedded in Au and  $\text{GaO}_x/\text{EGaIn}$  leads was based on our experimental results. The coupling strength between molecule and electrode  $\Gamma$  (schematically indicated by changes in the width given by the double arrow in red),  $\Delta E_{\text{HOMO}}$ , and  $\Delta E_{\text{LUMO}}$  (given by the double arrows in black) of the SAMs in junction vary with X.

**Surface Characterization of the SAMs on Au.** To study the structure and the packing quality of the Au-SAM, we formed the SAMs on template-stripped Au and characterized these SAMs using angle-resolved X-ray photoelectron spectroscopy (ARXPS) and ultraviolet photoelectron spectroscopy (UPS; see Section S3). The C 1s in Fig. S2 and N 1s in Fig. S3 show the presence of  $\text{NO}_2$ ,  $\text{NH}_2$ , CN, and Pyr, respectively. The S 2p spectra (Fig. S4a-b) are dominated by doublet peaks with the characteristic S 2p<sub>3/2</sub> signal at 161.8 eV, indicating the metal-thiolate bond formation.<sup>63</sup> The O 1s spectrum for X= $\text{NO}_2$  shows a single peak at 532.5 eV (Fig. S4c-d) which corresponds to  $\text{NO}_2$  interacting with the metal surface.<sup>64, 65</sup> From ARXPS at two emission angles  $\vartheta$  of 40° and 90°, we calculated the thickness ( $d_{\text{SAM,XPS}}$ , in Å) and surface coverage of SAM ( $\Psi_{\text{SAM,XPS}}$ , in 10<sup>-10</sup> mol/cm<sup>2</sup>). Figure 2a shows that the  $d_{\text{SAM,XPS}}$  for all investigated SAMs

is within error (the instrumental and fitting error of 10%) the same and in good agreement with the molecular length calculated from the space-filling model ( $L_{\text{CPK}}$ , Table 1). Figure 2b shows that the relative surface coverage of SAMs to  $\text{S}(\text{C}_6\text{H}_4)_2$  of  $7.69 \times 10^{-10}$  mol/cm<sup>2</sup> is also within error the same. From these XPS measurements we also derived the tilt angle  $\alpha$  (in °) with respect to the surface normal (Table 1) from which we conclude that all SAMs have similar up-right structure with comparable packing densities in agreement with previous reports.<sup>63, 64, 66</sup>



**Figure 2.** (a)  $d_{\text{SAM,XPS}}$  and (b)  $\Psi_{\text{SAM,XPS}}$  of the SAMs on Au obtained from ARXPS. (c)  $\Phi_{\text{SAM}}$  vs. X obtained from UPS. The error bars represent the instrumental and fitting error of 10%. The red dashed lines are visual guides.

**Table 1.** Summary of spectroscopy data of Au-X(C<sub>6</sub>H<sub>4</sub>)<sub>n</sub>H SAMs

X	$d_{\text{SAM,XPS}}$ (Å) <sup>†</sup>	$\Psi_{\text{SAM,XPS}}$ <sup>‡</sup> ( $\times 10^{-10}$ mol/cm <sup>2</sup> )	$L_{\text{CPK}}$	$\alpha$ (°) <sup>§</sup>	$E_{\text{HOMO}}$ (eV) <sup>§§</sup>	$\Delta E_{\text{HOMO}}$ (eV) <sup>§§</sup>	$\Phi_{\text{SAM}}$ (eV) <sup>§§</sup>	Optical gap (eV)	$E_{\text{LUMO}}$ (eV) <sup>§§§</sup>	$\Delta E_{\text{LUMO}}$ (eV) <sup>§§§</sup>
NO <sub>2</sub>	12.3	7.66	12.8	16.1	-6.2	1.8	-4.4	3.51	-2.69	1.71
SH	11.5	7.69	12.5	23.1	-6.1	1.9	-4.2	4.05	-2.05	2.15
NH <sub>2</sub>	11.2	8.17	12.2	23.3	-6.5	2.1	-4.4	3.84	-2.66	1.74
CN	12.3	7.84	13.4	23.4	-6.3	2.0	-4.3	4.11	-2.19	2.11
Pyr	10.2	7.70	10.8	19.2	-6.3	1.8	-4.5	4.31	-1.99	2.51

Figure S6 shows the UPS spectra from which we derived the work function of Au modified with SAM,  $\Phi_{\text{SAM}}$  (in eV), and the  $\Delta E_{\text{HOMO}}$  values (in eV) from the secondary electron cut-off and the valance band, respectively. Figure 2c shows that the  $\Phi_{\text{SAM}}$  values are essentially independent of X and close to 4.4 eV likely due to Fermi-level pinning.<sup>30, 45, 57</sup> The optical HOMO-LUMO gaps of the different molecules were characterized with UV-vis spectroscopy (Fig. S7 and Table 1). The optical HOMO-LUMO gap varies from 3.51 eV of X= $\text{NO}_2$  to 4.31 eV of X=Pyr. Based on these data, we constructed the energy level diagrams (Figure 1b) with the HOMO pinned and with varying values of  $E_{\text{LUMO}}$  (Table 1) calculated from the energy difference between  $E_{\text{HOMO}}$  and the optical HOMO-LUMO gap, and  $\Delta E_{\text{LUMO}}$  was calculated from the difference between  $E_{\text{LUMO}}$  and  $\Phi_{\text{SAM}}$ .

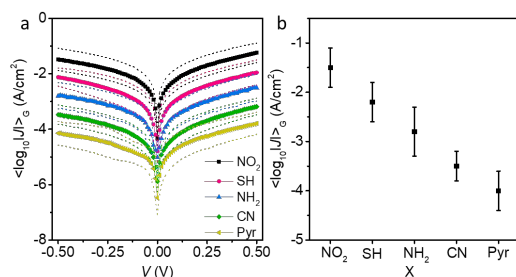
**J(V) Characterization of Molecular Junctions.** We recorded current density-voltage ( $J(V)$ ,  $J$  in A/cm<sup>2</sup> and  $V$  in V) curves for the various molecular junctions using the cone-shaped tips of  $\text{EGaIn}$  technique as described previously.<sup>9, 67</sup> For each type of junction, we recorded ~400  $J(V)$  curves from ~20 junctions and subsequently computed the Gaussian logarithmic mean of  $|J|$ ,  $\langle \log_{10}|J| \rangle_G$ , as well as the Gaussian logarithmic standard deviation,  $\sigma_{\log,G}$  (see Figure S8 and Table S1). Figure 3a shows  $\langle \log_{10}|J| \rangle_G$  vs.  $V$  for Au-X(C<sub>6</sub>H<sub>4</sub>)<sub>n</sub>H//GaO<sub>x</sub>/EGaIn junctions at  $V=\pm 0.5$  V. Figure 3b shows the

trend of  $\langle \log_{10}|J| \rangle_G$  as a function of X at -0.5 V. The data indicate a continuous decrease in  $\langle \log_{10}|J| \rangle_G$  from  $-1.5 \pm 0.4$  to  $-4.0 \pm 0.4$  A/cm<sup>2</sup> as X changes from  $\text{NO}_2$  to Pyr. These findings are different from previous studies on junctions of Au-S(C<sub>6</sub>H<sub>4</sub>)<sub>2</sub>T//GaO<sub>x</sub>/EGaIn<sup>63</sup> and Au-SC<sub>6</sub>H<sub>4</sub>T//GaO<sub>x</sub>/EGaIn<sup>23</sup> which also had aromatic backbones where a change in T along the series of halides (F, Cl, Br and I) had negligible influence on the charge transport rate and  $\epsilon_r$  due to collective electrostatic effects (also found in other types of conjugated junctions<sup>68-70</sup>). However, the evolution of  $\langle \log_{10}|J| \rangle_G$  vs. X generally follows the conductance measured in single-molecule junctions by Erbe,<sup>32</sup> Wandlowski,<sup>34, 55</sup> and Tao,<sup>36</sup> and theoretical calculations from Ratner<sup>56, 57</sup> which could be explained with changes in the  $\Gamma$  of molecules to electrode and associated shift of relevant molecular frontier orbitals. These findings indicate that collective electrostatic effects play roles for terminal functionalization at the top of the SAM, but apparently do not cancel contributions of the X. Data from single-molecule junctions, such as Au-X(porphyrin)X-Au, showed that X=Pyr exhibited higher conductance than X=CN since the  $\Gamma$  of N-Au bond is stronger.<sup>33</sup> In contrast, we found that X=Pyr has the lowest charge transport rate. Molecules with X=Pyr also have the largest optical HOMO-LUMO gap of 4.31 eV and associated  $\Delta E_{\text{LUMO}}$  of 2.51 eV in our study which could be the reason for the low conductivity. The increase of  $\langle \log_{10}|J| \rangle_G$  from X= $\text{NO}_2$  to X=Pyr is 2.5 orders of



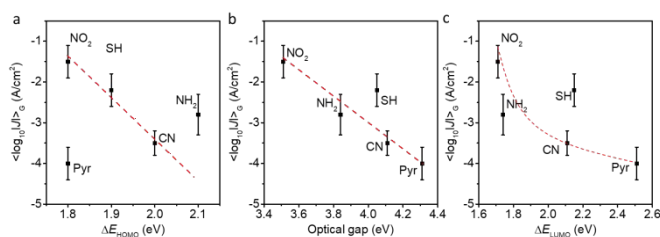


magnitude while from the simplified Simmons' equation of  $J = J_0 e^{-\beta d_{\text{SAM}}}$  where  $\beta \propto \sqrt{\Delta E_{\text{LUMO}}}$ ,  $J_0$  is the pre-exponential factor,  $\beta$  is the tunneling decay coefficient (in  $\text{\AA}^{-1}$ ),<sup>22, 39</sup> it can be estimated that the difference in  $\Delta E$  of 0.8 eV (see Table 1 for  $\Delta E_{\text{LUMO}}$  values) can roughly account for only one order of magnitude's difference in current. The difference in  $\Gamma$  and the dielectric properties of the junctions could contribute to the further change in charge transport rate.



**Figure 3.** (a) Curves of  $\langle \log_{10}|J| \rangle_G$  vs.  $V$  of Au-X( $\text{C}_6\text{H}_4$ ) $_n\text{H}$ //GaO $_x$ /EGaIn junctions. (b)  $\langle \log_{10}|J| \rangle_G$  vs.  $X$  for all the junctions. The dashed lines in Panel a and error bars in Panel b indicate the Gaussian logarithmic standard deviation,  $\sigma_{\log,G}$ .

According to prior works, SH bonded molecules have the HOMO level close to  $E_F$  of gold bottom electrode.<sup>45, 47, 57, 63</sup> The junctions with  $X=\text{SH}$  have a small  $\Delta E_{\text{HOMO}}$  of 1.9 eV (Fig. 4a and Table 1) and therefore the charge transport mechanism most likely proceeds by hole transport via the HOMO with good conductivity explaining why  $X=\text{SH}$  does not follow the trend in Fig. 4c. In contrast, for junctions with  $X$  of -NO $_2$ , -NH $_2$ , -CN, and -Pyr, the charge transport mechanism is expected to be mediated by the LUMO. From Fig. 4b-c, the optical gap of the SAMs generally increases in the sequence of NO $_2$ , NH $_2$ , CN, to Pyr, which results in an increase of  $\Delta E_{\text{LUMO}}$  and the decrease of current across the junctions, explaining the current trend plotted in Fig. 3b. All these observations also explain the lack of a clear trend in Fig. 4a. Figure 4 also shows that  $\Delta E_{\text{LUMO}} = 1.7$  eV for  $X=\text{NO}_2$  is smaller than  $\Delta E_{\text{HOMO}} = 1.9$  eV for  $X=\text{SH}$  explaining (at least in part) why the former results in higher tunneling rates than the latter.



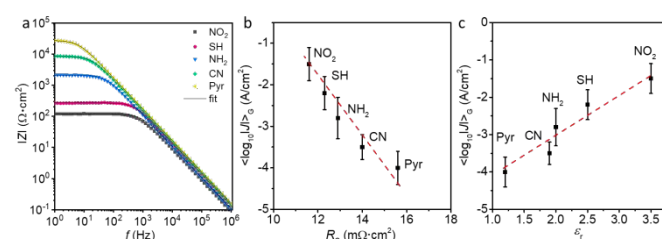
**Figure 4.**  $\langle \log_{10}|J| \rangle_G$  vs.  $\Delta E_{\text{HOMO}}$  (a), optical HOMO-LUMO gap (b), and  $\Delta E_{\text{LUMO}}$  (c) for Au-X( $\text{C}_6\text{H}_4$ ) $_n\text{H}$ //GaO $_x$ /EGaIn junctions with  $X$  indicated in the plots. The error bars indicate  $\sigma_{\log,G}$ . The red dashed lines are visual guides.

**Impedance Characterization of Molecular Junctions.** The current response across molecular junctions to the DC bias applied is an overall response to all the resistances coming from the circuit. Impedance spectroscopy is a powerful technique to separate the  $R_{\text{SAM}}$ ,  $R_C$ , and  $C_{\text{SAM}}$ .<sup>39, 61</sup> Figure 1a shows the equivalent circuit to which our data was fitted. As reported before, this circuit contains a  $R_C$  in series with a parallel combination of  $R_{\text{SAM}}$  and  $C_{\text{SAM}}$ .<sup>39, 61</sup> As

described previously, we employed EGaIn top electrodes which were confined in the microchannels of polydimethylsiloxane (PDMS) to form the top electrical contacts to SAMs.<sup>22, 39, 61</sup> The impedance spectra were recorded using a 30 mV amplitude sinusoidal voltage at a DC bias of 0 V, across a frequency range from 1 Hz to 1 MHz with 10 points per decade. The acquired raw data were fitted to the equivalent circuit (Fig. 1a) to derive the  $R_{\text{SAM}}$ ,  $R_C$ ,  $C_{\text{SAM}}$  and the  $\epsilon_r$  (calculated from  $C_{\text{SAM}}$  by eq. S3) values. The fitted Bode, Nyquist, and phase plots are shown in Fig. S9. When  $X$  changes from NO $_2$  to Pyr, the complex impedance  $|Z|$  (Fig. 5a) and the value of  $R_{\text{SAM}}$  (Table S2) change by more than two orders of magnitude with  $R_{\text{SAM}}$  increasing from  $(1.6 \pm 0.9) \times 10^2 \Omega \cdot \text{cm}^2$  to  $(2.5 \pm 0.7) \times 10^4 \Omega \cdot \text{cm}^2$ , which agrees well with the trend of  $\langle \log_{10}|J| \rangle_G$ . Moreover, the values of  $R_C$  (Fig. 5b) changes from  $11.6 \pm 1.6 \text{ m}\Omega \cdot \text{cm}^2$  for  $X=\text{NO}_2$  to  $15.6 \pm 1.9 \text{ m}\Omega \cdot \text{cm}^2$  for  $X=\text{Pyr}$ . This change is small, but significant, given that we usually assume that  $R_C$  is dominated by the SAM//GaO $_x$ /EGaIn van der Waals interface where also the GaO $_x$  layer contributes to  $R_C$ .<sup>61</sup> Since for all SAMs the SAM//GaO $_x$ /EGaIn interface was not changed, the change in  $R_C$  reflects the changes in the  $X$  and associated changes in the Au-SAM interface. The change in  $R_C$  indicates a change in  $\Gamma$  at the SAM-Au interface which results in a larger transmission probability according to the Landauer's formalism.<sup>22</sup> Since  $R_C \ll R_{\text{SAM}}$ , changes in  $R_C$  alone cannot account for the large change in current densities shown in Fig. 3.

From the measured values of  $C_{\text{SAM}}$ , the  $\epsilon_r$  values of the junctions can be derived (using the parallel plate capacitor equation; Table S2). We note that presence of water can increase the measured value of  $\epsilon_r$  in case if hydrophilic oligoglycine and oligoglycol SAMs.<sup>38</sup> Due to the hydrophobic nature of the SAMs used in the present study, we believe that water does not play a significant role. Figure 5c shows that  $\langle \log_{10}|J| \rangle_G$  increases with  $\epsilon_r$  from  $X=\text{Pyr}$  ( $\epsilon_r=1.2 \pm 0.3$ ) to  $X=\text{NO}_2$  ( $\epsilon_r=3.5 \pm 0.1$ ). This observation agrees with our earlier findings that in general the measured tunneling rates increase with increasing dielectric response of molecular junctions. For example, in junctions of Ag-S( $\text{CH}_2$ ) $_{14}$ T//GaO $_x$ /EGaIn, the measured currents increased by 3 orders of magnitude along with a factor 4 times increase in  $\epsilon_r$  for  $\text{F} \rightarrow \text{I}$ .<sup>22</sup> On the contrary, when conjugated backbones were applied in the junctions with SAMs of  $\text{SC}_6\text{H}_4\text{T}^{23}$ ,  $\text{S}(\text{C}_6\text{H}_4)_2\text{T}^{63}$ , and  $\text{S}(\text{CH}_2)_{10}\text{OPhT}_y^8$ , the substituent of T had negligible effects on both the charge transport rate<sup>23, 63</sup> and dielectric responses<sup>8, 23, 63</sup> due to the collective electrostatic effects of the phenyl rings.<sup>68</sup> In contrast, for non-conjugated SAMs T can have large effects.<sup>22</sup>

Here, the charge transport rate and dielectric response are both affected by  $X$  despite the collective electrostatic effects from the aromatic backbone.



**Figure 5.** Bode plots (a),  $\langle \log_{10}|J| \rangle_G$  vs.  $R_C$  (b) and  $\epsilon_r$  (c) for different types of junctions. The errors of  $\langle \log_{10}|J| \rangle_G$  come from  $\sigma_{\log,G}$ . The red dashed lines are visual guides.



## Conclusions

In this work, we employed SAMs with conjugated backbones and different X of  $X(C_6H_4)_2H$  to investigate the effects of X on energy level alignment, charge transport rates, and dielectric response of EGaIn-based molecular junctions. Previous works reported that collective electrostatic effects induced by the conjugated backbones compensate the effects of dipoles or polarizable groups,<sup>23, 63, 68</sup> but here we show that change in the X results in a significant increase in charge transport rate of 2.5 orders of magnitude along with 3 folds increase in  $\epsilon_r$ . Furthermore, depending on the X, the charge transport mechanism changes between HOMO or LUMO mediated tunneling. Although the X did change the tunneling  $\Delta E$  defined by the HOMO or LUMO by  $\sim 0.8$  eV, this change cannot quantitatively explain the observed changes in tunneling rate (or measured current). For similar reasons, the small change in  $R_C$  cannot also not explain the large changes in measured current. The dielectric properties, however, play an importance role in charge transport rate because it directly relates to the shape of the electrostatic potential profile. Since in our experiments we only changed the X, our results imply that an increase in  $\epsilon_r$  will mostly affect the potential drop at the bottom electrode—SAM interface which in turn enhances the transmission probability.<sup>22, 60</sup> A possible explanation why different polar moieties at the Au-SAM interface lead to much larger effects than for similar junctions but with the polar groups placed at the SAM//GaO<sub>x</sub>/EGaIn interface could be due to the covalent nature of the Au-SAM interface that the electrostatic potential profile extends deeper into the SAM than for physisorbed SAM//GaO<sub>x</sub>/EGaIn interfaces.<sup>22</sup> It would be interesting to study these effects for different bottom-electrode materials (such as Ag or Pt). Our work shows that anchoring groups can have a profound effect on the charge transport properties of large-area junctions providing an alternative for optimizing electronic properties and that it is important to consider the dielectric properties of molecular junctions in attempts to quantitatively model tunneling rates.

## Author contributions

X.C., Q. G., and S. H. characterised and analysed the compounds electrically. X.C., Q. G., and X. Y. characterised and analysed the XPS and UPS. Q. G. conducted the optical measurements. X.C. and C.A.N. conceptualised and led the study. All the authors discussed the data and wrote the manuscript together.

## Conflicts of interest

There are no conflicts to declare.

## Data availability

The data supporting this article have been included as part of the ESI.†

## Acknowledgements

View Article Online  
DOI: 10.1039/D5NH00347D

X. Chen thanks the support under the Eagle Project of Fujian Province, the National Natural Science Foundation of China (Grant No. 22404074), and the President's Fund of Minnan Normal University (Grant No. KJ2023002). C. Nijhuis acknowledges the Dutch Research Council (NWO), VI.C.222.037.

## Notes

<sup>†</sup>ARXPS was used to calculate  $d_{\text{SAM,XPS}}$  and relative  $\psi_{\text{SAM,XPS}}$  (relative to  $\psi_{\text{SAM}}$  of  $S(C_6H_4)_2$  SAM on Au of  $7.69 \times 10^{-10}$  mol/cm<sup>2</sup>)<sup>66</sup>. Errors for both  $d_{\text{SAM,XPS}}$  and  $\psi_{\text{SAM,XPS}}$  represent instrumental and fitting errors of 10%.

<sup>§</sup>The tilt angle was calculated from the molecular length from CPK model and  $d_{\text{SAM,XPS}}$ .

<sup>§§</sup>UPS was used to obtain  $E_{\text{HOMO}}$ ,  $\Delta E_{\text{HOMO}}$  and  $\Phi_{\text{SAM}}$ . The error represents the resolution of UPS which is  $\pm 0.1$  eV.

<sup>§§§</sup>The  $E_{\text{LUMO}}$  was calculated from  $E_{\text{HOMO}}$  + optical gap, the  $\Delta E_{\text{LUMO}}$  was calculated from  $E_{\text{LUMO}} - \Phi_{\text{SAM}}$ .

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- Data for this article, including  $J(V)$  results, UV-vis, XPS, and UPS, impedance are available at <https://dataverse.harvard.edu/dataset.xhtml?persistentId=doi:10.7910/DVN/5I0ME8>.  
View Article Online  
DOI: 10.1039/D5NH00347D
- The data supporting this article have been included as part of the Supplementary Information.

