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Influence of water intercalation and hydration on chemical decomposition and ion transport in methylammonium lead halide perovskites

Un-Gi Jong,^{ab} Chol-Jun Yu,^{id}*^a Gum-Chol Ri,^a Andrew P. McMahon,^d Nicholas M. Harrison,^e Piers R. F. Barnes^d and Aron Walsh^{id}*^c

The application of methylammonium (MA) lead halide perovskites, $\text{CH}_3\text{NH}_3\text{PbX}_3$ ($X = \text{I}, \text{Br}, \text{Cl}$), in perovskite solar cells has made great recent progress in performance efficiency during recent years. However, the rapid decomposition of these materials in humid environments hinders outdoor application, and thus, a comprehensive understanding of the degradation mechanism is required. We investigate the effect of water intercalation and hydration of the decomposition and ion migration of $\text{CH}_3\text{NH}_3\text{PbX}_3$ using first-principles calculations. We find that water interacts with PbX_6 and MA through hydrogen bonding, and the former interaction increases gradually, while the latter hardly changes when going from $X = \text{I}$ to Br and to Cl. Thermodynamic calculations indicate that water exothermically intercalates into the perovskite, and suggest that the water intercalated and monohydrated compounds are stable with respect to decomposition. More importantly, the water intercalation reduces the activation energies for vacancy-mediated ion migration, which become higher going from $X = \text{I}$ to Br and to Cl. Our work indicates that hydration of halide perovskites must be avoided to prevent the degradation of solar cells upon moisture exposure.

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1 Introduction

Perovskite solar cells (PSCs) using methylammonium lead halide perovskites MAPbX_3 (MA = methylammonium cation CH_3NH_3^+ ; $X =$ halogen anion I^- , Br^- or Cl^-) as light harvesters have opened up a new stage in the field of photovoltaics. The rapid rise of power conversion efficiency of PSCs from the initial 3.81% reported by Kojima *et al.* in 2009 (ref. 1) to over 20% (ref. 2 and 3) within a few years is quite remarkable, as compared with other types of solar cells.^{4–6} Moreover, much low cost of cell fabrication by chemical methods and much abundance of raw materials have attracted great attention, inspiring people with hope that solar power will become competitive with fossil fuels in the electricity market in the near future. In spite of a great advance in research and development of PSCs during the past years, there still remain some obstacles to prevent commercialization of PSCs, such as

toxicity of lead⁷ and mostly poor material stability of these halide perovskites.^{8–12}

First-principles calculations revealed that MAPbI_3 , the most widely used hybrid perovskite halide in PSCs, may be decomposed exothermally¹³ and such intrinsic instability can be cured by mixing halogen ions.^{14,15} On the other hand, moisture has been reported to play a critical role as an extrinsic factor in the degradation of PSC performance.¹⁶ Although controlled humidity conditions or a small amount of H_2O during processing has positive effects on PSC performance such as enhancement of the reconstruction in perovskite film formation and triggering nucleation and crystallization of perovskite phase,^{17–19} H_2O molecule is known to damage the integrity of perovskite films. Some researchers asserted that MAPbI_3 may readily hydrolyze in the presence of water due to deprotonation of CH_3NH_3^+ by H_2O , resulting in degradation products such as CH_3NH_2 , HI and PbI_2 .^{8,20,21} In contrast different degradation reactions in perovskite films under humidity condition have been reported. Huang *et al.*²² focused on the interaction between H_2O and MAPbI_3 and suggested that degradation of MAPbI_3 under ambient condition may produce PbCO_3 and α - PbO rather than PbI_2 .

Some reports found that the formation of monohydrated $\text{CH}_3\text{NH}_3\text{PbI}_3 \cdot \text{H}_2\text{O}$ or dehydrated $(\text{CH}_3\text{NH}_3)_4\text{PbI}_6 \cdot 2\text{H}_2\text{O}$ intermediate phase is the initial step in the perovskite decomposition process under 80% humidity exposure.^{23–26} It was found subsequently that these intermediate phases are unstable in

^aDepartment of Computational Materials Design, Faculty of Materials Science, Kim Il Sung University, Ryongnam-Dong, Taesong District, Pyongyang, Democratic People's Republic of Korea. E-mail: ryongnam14@yahoo.com

^bNatural Science Centre, Kim Il Sung University, Ryongnam-Dong, Taesong District, Pyongyang, Democratic People's Republic of Korea

^cDepartment of Materials, Imperial College London, London SW7 2AZ, UK. E-mail: a.walsh@imperial.ac.uk

^dDepartment of Physics, Imperial College London, London SW7 2AZ, UK

^eDepartment of Chemistry, Imperial College London, London SW7 2AZ, UK



ambient condition, so that further decomposition into the final products could occur.^{27–32} As a consequence, the decomposition of MAPbI₃ in humid air is a rather complicated process and their reaction processes or mechanisms are in an active controversy. To unveil the mechanism of MAPbI₃ decomposition upon humidity exposure, theoretical simulations based on the density functional theory (DFT) have been performed, focused on H₂O adsorption on MAPbI₃ surfaces.^{33–36} However, comprehensive understanding of water-assisted decomposition of MAPbI₃ is not yet fully established, and is urgent to facilitate materials engineering for enhanced material stability.

In this work, we investigate the influence of water intercalation and hydration on the decomposition of MAPbI₃ (X = I, Br, Cl) by performing first-principles calculations. The crystalline and atomistic structures of water intercalated phases, we denote as MAPbI₃·H₂O, and monohydrated phases MAPbX₃·H₂O are explored carefully. These phases can be regarded as the intermediates of the process of water-assisted decomposition of MAPbX₃. The intercalation energies of a water molecule into the pseudo-cubic phases and the decomposition energies of the water intercalated and monohydrated phases into PbX₂, CH₃NH₃X and H₂O are calculated to draw a meaningful conclusions about the stability. Finally we consider vacancy-mediated diffusion of an X[−] anion, MA⁺ cation, and H₂O molecule in pristine, water intercalated and monohydrated phases, since such migrations seem to give important implication of the material stability.^{37–41} Pb²⁺ migration is excluded due to high formation energy of the Pb vacancy, V_{Pb}. Our research provides an atomistic understanding of the interaction of water with halide perovskites, and indicates that hydration must be avoided to prevent the degradation upon exposure to moisture.

2 Computational methods

All of the primary DFT calculations were carried out using the pseudopotential plane wave method as implemented in Quantum-ESPRESSO package.⁴² We used the ultrasoft pseudopotentials provided in the package,[†] where the valence electronic configurations of atoms are H-1s¹, C-2s²2p³, N-2s²2p³, Cl-3s²3p⁵, Br-4s²4p⁵, I-5s²5p⁵, and Pb-5d¹⁰6s²6p². The exchange–correlation interaction between the valence electrons was estimated using the Perdew–Burke–Ernzerhof (PBE)⁴³ form within the generalized gradient approximation, which is augmented by dispersive van der Waals interaction (vdW-DF-OB86), already shown to be important for calculations of perovskite halides.^{44,45} The structures of MAPbX₃ and water intercalated phases were assumed to be pseudo-cubic. Unit cells containing one formula unit (f.u.) for these phases and two formula units for monohydrated phase were used for structural optimization and decomposition energetics. For diffusion process, (2 × 2 × 2) supercells for pseudo-cubic phase and (2 × 2 × 1) supercells for monohydrated phases, including 120 and 96 atoms, were used.

[†] The pseudopotentials C.pbe-n-rrkjus_psl.0.1.UPF, H.pbe-rrkjus_psl.0.1.UPF, N.pbe-n-rrkjus_psl.0.1.UPF, Pb.pbe-dn-rrkjus_psl.0.2.2.UPF, and I(Br, Cl).pbe-n-rrkjus_psl.0.2.UPF were used.

The cutoff energy for plane-wave basis set is as high as 40 Ry and *k*-points are (2 × 2 × 2) with Monkhorst–Pack method, which guarantee the total energy accuracy as 5 meV per unit cell. Atomic positions were fully relaxed until the forces converge to 5 × 10^{−5} Ry per Bohr. The activation energies for migrations were calculated using the climbing image nudged elastic band (NEB) method.⁴⁶

The structural optimizations of pseudo-cubic MAPbX₃ phases produced lattice constants of 6.330, 5.949, and 5.682 Å for X = I, Br, and Cl, which are in good agreement with the experimental values⁴⁷ within 1% relative errors. A water molecule was put into the interstitial space of these optimized cubic phases, which were re-optimized. The supercell shape becomes triclinic following optimization. We have also performed optimization of the monohydrated phases MAPbX₃·H₂O with monoclinic crystalline lattice and experimentally identified atomic positions.^{24,26,48,49} For the case of MAPbI₃·H₂O, the determined lattice constants *a* = 10.460 Å, *b* = 4.630 Å, *c* = 11.100 Å, and β = 101.50° agree well with the experimental results.⁴⁸ It is worth noting that the MAPbI₃ crystal has a 3-dimensional structure with corner-sharing PbI₆ octahedra, while the monohydrated phase MAPbI₃·H₂O is characterized by a 1-dimensional edge-sharing PbI₆ structure. The optimized atomistic structures of water intercalated phase MAPbI₃·H₂O and monohydrated phase MAPbI₃·H₂O are shown in Fig. 1. It should be emphasized that the total energies per formula unit of MAPbX₃·H₂O are typically 0.3 eV higher than those of MAPbX₃·H₂O, indicating that the water intercalated phase would be an intermediate phase in a transformation to the monohydrated phase.

In order to compare the free energies of formation for pristine and hydrated phases, additional calculations of the harmonic phonon density of states were calculated using the codes Phonopy⁵⁰ and VASP (PBESol functional).⁵¹ The Gibbs free energy of formation (*G*_{solid}) is calculated from the sum of the DFT internal energy (*U*), the vibrational entropy (*S*_{vib}), and the configurational entropy (*S*_{conf}):

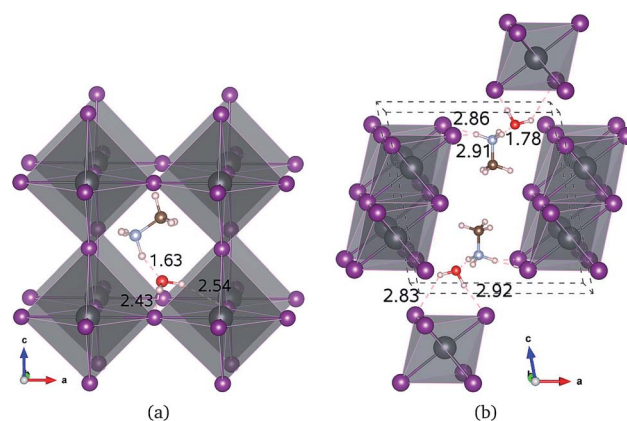


Fig. 1 Polyhedral view of water intercalated phase MAPbI₃·H₂O (a) and monohydrated phase MAPbI₃·H₂O (b). Hydrogen bonds are marked with dotted lines and the bond lengths are presented in units of angstrom (dark grey: Pb; purple: I; brown: C; light blue: N; red: O; light pink: H).



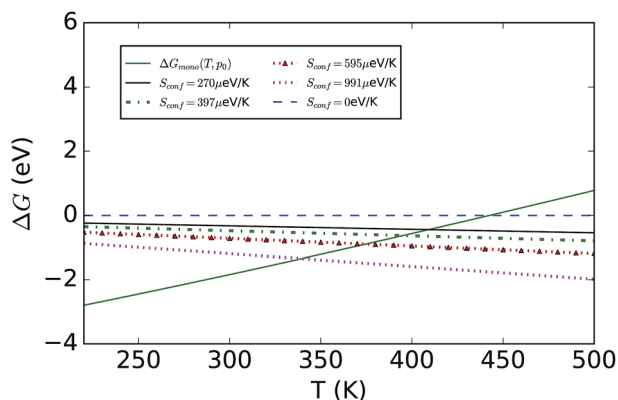


Fig. 2 Calculated Gibbs free energies as a function of temperature for the monohydrate with the water vapour pressure fixed at the standard state of $p_0 = 1$ bar. Also shown are the reference free energies of MAPbI_3 assuming different values for the (statistical mechanical) configurational entropy due to the motion of the MA^+ cation, S_{conf} . In the example shown, additional entropy shifts the transition temperature for hydrate formation to lower temperature values (from $T = 445$ K to $T = 345$ K).

To consider finite-temperature effects, additional calculations were performed to compute the Gibbs free energy of each phase (see Fig. 2). Formation of the hydrated compound is found to be favourable at lower temperatures due to the large energy gain from creation of the hydrate *versus* a state containing MAPbI_3 and water vapour. The calculations confirm the results stated earlier, but also predict that at higher temperatures the pristine MAPI_3 phase is stabilised relative to the monohydrate by the increasing entropy of the water vapour and the vibrational entropy of the MAPI_3 phase. The inclusion of configuration entropy associated with the rotational freedom of the MA^+ ion acts to further stabilize the material against hydration.

3.2 Dynamics of water incorporation and charged defects

We turn our attention to how the ions and water molecule diffuse inside the water intercalated or monohydrated perovskites, trying to find out their role in material instability. It is well known that diffusion of ions in crystalline solid is associated with point defects such as a site vacancy and/or interstitial. While such migrations of ions or defects can provide explicit explanations for the performance of PSC device such as ionic conduction, hysteresis, and field-switchable photovoltaic effect,^{37–41,55–57} these might have important implications for material stability.

It was established that, although as in other inorganic perovskite oxides several types of point defects could be formed in the hybrid perovskite halides, including vacancies (V_{MA} , V_{Pb} , V_{X}), interstitials (MA_i , Pb_i , X_i), cation substitutions (MA_{Pb} , Pb_{MA}) and antisite substitutions (MA_{X} , Pb_{X} , X_{MA} , X_{Pb}), vacancies except V_{Pb} have the lowest formation energies, while others are unstable both energetically and kinetically.^{41,57} In this work we thus considered only vacancies V_{MA} and V_{X} in the pristine, water-intercalated, and monohydrated phases, which could

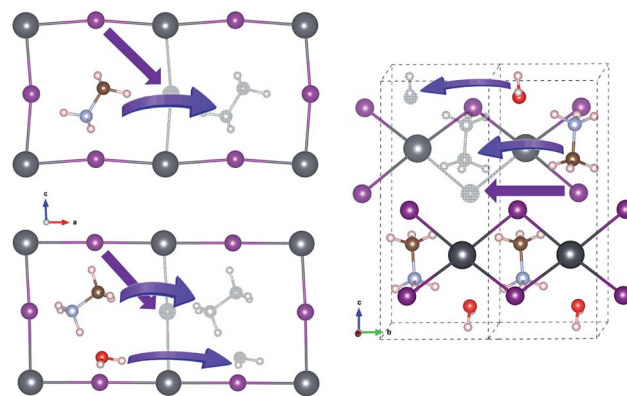


Fig. 3 Schematic view of vacancy-mediated ion and molecule migrations in the pristine (left-top), water-intercalated (left-bottom), and monohydrated (right) perovskite halides. Colour scheme for atoms is the same to Fig. 1.

support vacancy-mediated ionic diffusion. For migration of the water molecule inside the water intercalated or monohydrated phases, water vacancy $V_{\text{H}_2\text{O}}$ is formed and allowed to migrate.

For vacancy-mediated ion migration in the pristine and water intercalated MAPbX_3 phases, we follow the three vacancy transport mechanisms established in the previous works,^{37,38,40} where vacancies are allowed to conventionally hop between neighbouring equivalent sites. According to these mechanisms, X^- at a corner site of PbX_6 octahedron migrates along the octahedron edge towards a vacancy in another corner site, and MA^+ hops into a neighbouring vacant cage formed by the inorganic scaffold. Water molecule migrates along the similar path to MA^+ case. For the cases of monohydrated phase, we have devised plausible paths for each of the three defects, and pick out one that has the lowest activation energy, as discussed below in detail. Fig. 3 shows the schematic view of vacancy-mediated ion and molecule migration paths. Special attention was paid to obtaining the well-converged structures of the start and end point configurations with structural relaxations with convergence criteria as 0.01 eV \AA^{-1} atomic forces. The activation energies for these vacancy-mediated migrations are summarised in Table 3.

To see whether our computational models and parameters could give reasonable results for the ionic migrations, the

Table 3 Activation energies (eV) of vacancy-mediated X^- , MA^+ ions, and H_2O molecule migrations in the pristine pseudo-cubic phases MAPbX_3 , water-intercalated phases $\text{MAPbX}_3 \cdot \text{H}_2\text{O}$, and monohydrated phases $\text{MAPbX}_3 \cdot \text{H}_2\text{O}$

	X	X^-	MA^+	H_2O
MAPbX ₃	I	0.55	1.18	
	Br	0.58	1.20	
	Cl	0.62	1.24	
MAPbX ₃ ·H ₂ O	I	0.22	0.38	0.28
	Br	0.29	0.54	0.31
	Cl	0.35	0.63	0.42
MAPbX ₃ ·H ₂ O	I	0.44	1.14	0.78
	Br	0.47	1.18	0.89
	Cl	0.49	1.23	1.08



pseudo-cubic MAPbI_3 was first tested. As listed in Table 3, the activation energies for I^- and MA^+ migrations were calculated to be 0.55 and 1.18 eV, respectively, which are comparable with 0.58 and 0.84 eV reported in ref. 37, and 0.32–0.45 and 0.55–0.89 eV in ref. 38, but higher than 0.16 and 0.46 eV in ref. 40. With respect to the crystalline lattice, we used the pseudo-cubic lattice as in the work in ref. 37, while Haruyama *et al.*³⁸ and Azpiroz *et al.*⁴⁰ used the tetragonal lattice. An exchange–correlation (XC) functional including dispersion (vdW) interactions was used in our work and the work in ref. 38, whereas PBEsol and PBE without vdW correction were used in ref. 37 and 40, respectively. Therefore, the slight discrepancies might be associated with the different crystalline lattices and XC functionals without vdW correction, not with the supercell size. Most important, the activation energy for I^- migration is lower than that for MA^+ migration in all the above-mentioned works, convincing that the results obtained in this work can be used to find out the influence of water on ion diffusion.

For I^- migration in the monohydrated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$, which is structurally characterized by 1-dimensional edge sharing PbI_6 octahedra connected in [010] direction, we devised four migration pathways along the three octahedron edges in different directions and across the space between separated octahedra. The lowest activation energy was found for the migration along the edge in [010] direction, while the highest value over 2 eV was found for the one across the space, implying this pathway less likely. Fig. 4 shows the I^- migration pathways along the octahedron edge in the three kinds of phases and the corresponding activation energy profile. It is found that, when water intercalates into the perovskite, the activation energy

decreases, indicating more facile diffusion of I^- ion upon water intercalation. Meanwhile, the activation energy in the monohydrated phase is higher than in the water intercalated phase but still lower than in the pristine phase. This demonstrates that the intercalated water molecule enhances diffusion of ions in hybrid perovskite halides, facilitating the formation of hydrated phases. However, once the hydrated phase is formed, diffusion of ions becomes a little harder. Similar arguments hold for MAPbBr_3 and MAPbCl_3 .

An MA^+ ion in the monohydrated phase was enforced to migrate along the almost straight pathway in [010] direction since there is no channel in [100] direction due to the wall formed by PbI_6 octahedra and the channel in [001] direction has much longer distance. It should be noted that MA^+ cation in the water intercalated phase is allowed to diffuse equally both in [100] and [010] directions but not allowed to move in [001] direction due to the presence of water molecule on the path. Fig. 5 shows the intermediate states during MA^+ migrations in the pristine, water-intercalated, and monohydrated MAPbI_3 phases and the corresponding energy profile. We can see distortions of PbI_6 octahedra during migration, much more clearly in the case of the water intercalated phase due to the rather strong interaction between PbI_6 and methylammonium, which may cause difficult MA^+ ion migration.

Relatively high activation energies for MA^+ ion migrations were found for the pristine (1.18 eV) and monohydrated phases (1.14 eV), while low activation energy of 0.38 eV was found for the water intercalated phase. This indicates that inclusion of water in the perovskite halides reduces the activation energy for MA^+ ion migration as in the case of halogen ion migration. As discussed above, the volume expansion rate of the water intercalated phase is larger than that of the monohydrated phase, and thus, water intercalation can induce much more space expansion, resulting in the enhancement of MA^+ ion diffusion. When compared to I^- migration, MA^+ migration can be said to

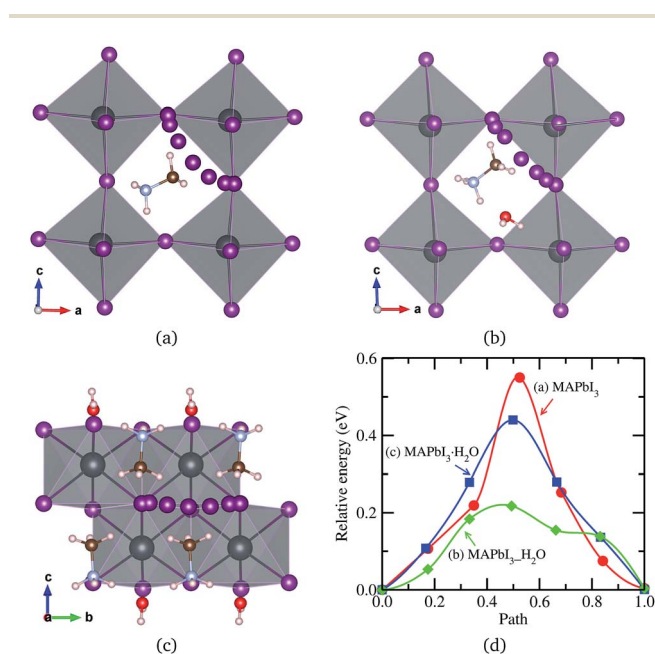


Fig. 4 I^- ion migrations in (a) pseudo-cubic MAPbI_3 , (b) water intercalated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$ and (c) monohydrated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$, and (d) corresponding activation energy profile. All the atoms are allowed to relax during migration, resulting in slight distortion of PbI_6 octahedra.

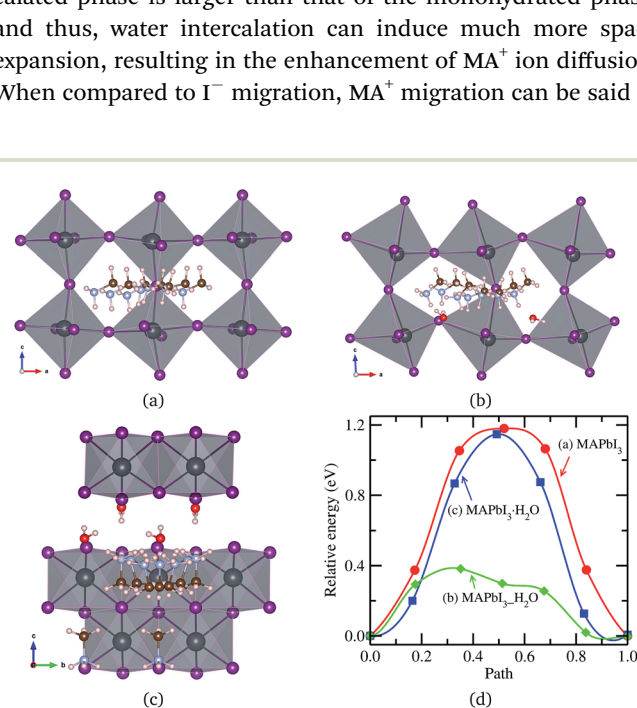


Fig. 5 MA^+ ion migration in (a) pseudo-cubic MAPbI_3 , (b) water intercalated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$ and (c) monohydrated phase $\text{MAPbI}_3 \cdot \text{H}_2\text{O}$, and (d) corresponding activation energy profile.



be more difficult due to higher activation energy in agreement with the previous works,^{37,38,40} in which the bottleneck comprising four I^- ions and the high level of orientational motion of the MA^+ ion were pointed out to be the reasons for such hard migration.

Finally, a H_2O molecule was allowed to migrate in the same direction as MA^+ ion in the water intercalated and monohydrated phases, as represented in Fig. 6. The activation energy in the water intercalated phase was calculated to be 0.28 eV, which is low enough to diffuse inside the bulk crystal and form the hydrated phase. As in the cases of ion migrations, this is lower than in the monohydrated phase. At this stage, it is worthwhile to compare with the initial process of water penetration into $MAPbI_3$ surface. From the first-principles calculations,^{33,36,58} it was found that, when water molecules are brought into contact with $MAPbI_3$ surface, the water molecule in the inside region is 0.2–0.3 eV more stable than the one in the outside region, and thus, the water molecule is strongly driven to diffuse into the inside of $MAPbI_3$. The activation barrier for this process was calculated to be 0.31 eV (ref. 33) or 0.27 eV (ref. 36) at low coverage of water and 0.82 eV (ref. 33) at high coverage. These are comparable to the barrier of water diffusion within the bulk crystal in this work, which can be regarded as a continuation of the water penetration, indicating easy formation of water intercalated and further hydrated phase. As can be seen in Table 3, a water molecule can migrate more easily than a MA^+ ion, which might be due to the larger molecular size of MA and its stronger interaction with environmental components, but has a lower activation barrier than X^- ion in both phases. On the other hand, the activation barrier of water migration in the monohydrated phase is higher than the one in

the water intercalated phase, as in the cases of X^- and MA^+ ion migrations.

When the atomic number of halogen component decreases from $X = I$ to Br and to Cl, the activation barriers for ions and water molecule migrations increases monotonically, as shown in Table 3. In fact, when changing from I with larger ionic radius of 2.2 Å to Br with smaller ionic radius of 1.96 Å and to Cl with further smaller ionic radius of 1.81 Å, the lattice spacing and PbX_6-MA bonding shrink while maintaining the intramolecular MA spacing.^{14,15} This results in the enhancement of Pb–X interaction and makes passages of ions and water molecule more difficult. Similar arguments hold for water intercalated and monohydrated phases. The increase of activation barrier for ion migrations going from $X = I$ to Cl describes enhancement of material stability when mixed I atom with Br or Cl atom, and is coincident with aforementioned decomposition energetics.

4 Conclusion

To understand the degradation mechanism of perovskite solar cells upon exposure of $MAPbI_3$ to moisture, we have investigated the influence of water intercalation and hydration on decomposition and ion migrations of $MAPbX_3$ ($X = I, Br, Cl$) by first-principles calculations. The crystalline lattices and atomistic structures of water intercalated $MAPbX_3$ phases were suggested and optimized, together with those of monohydrated phases $MAPbX_3 \cdot H_2O$. Water is found to interact with both the lead halide framework and the methylammonium ions through hydrogen bonding. The calculated results for water intercalation energies and decomposition energies indicate that water can exothermically intercalate into the hybrid perovskite halides, while the water intercalated and monohydrated compounds are stable with respect to decomposition. More importantly, the hydrogen bond interaction induced by water greatly affects the vacancy-mediated ion migrations, for which the activation barrier decreases upon the water inclusion inside the perovskite halides. The activation energies for ion and water molecule migrations become higher as going from $X = I$ to Br and to Cl. These results clarify that degradation of PSCs upon moisture exposure originates from a multi-step process: the formation of water intercalated and hydrated compounds, followed by decomposition of these compounds, which provides insights to preventing this degradation at the atomic level.

Conflicts of interest

The authors declare no competing financial interest.

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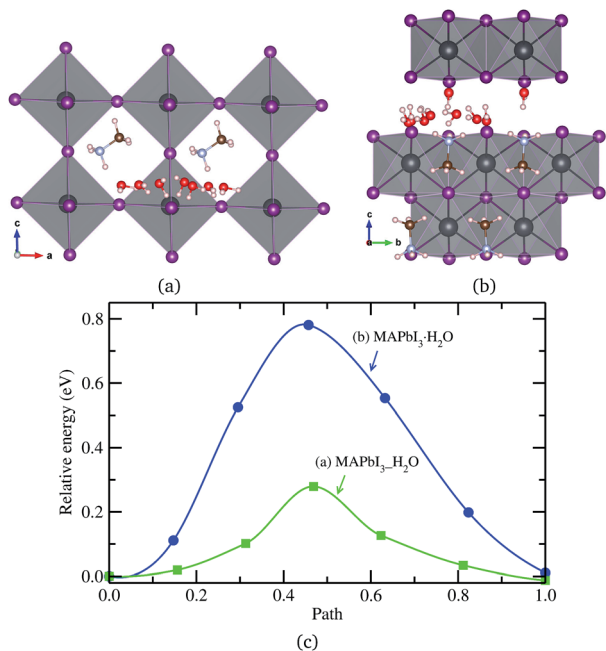


Fig. 6 H_2O molecule migrations in (a) water intercalated phase $MAPbI_3 \cdot H_2O$ and (b) monohydrated phase $MAPbI_3 \cdot H_2O$, and (c) corresponding activation energy profile.



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