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Ring-opening terpolymerisation of phthalic thioanhydride with carbon dioxide and epoxides†

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In seeking to expand the portfolio of accessible polymer structures from CO₂ waste, we report the ring-opening terpolymerisation (ROTERP) of phthalic thioanhydride with CO₂ and epoxides, forming statistical poly(ester-thioester-carbonates) by employing heterobimetallic catalysts. Both metal choice and ligand chemistry modulate the amount of CO₂ incorporated into the polymer microstructure. Terpolymerisation occurs when maintaining polymerisation rates of the faster parent ring-opening copolymerisation and this finding led us to develop the formation of CO₂-derived terpolymers with butylene oxide at low CO₂ pressure under bicomponent catalysis. Tetrapolymerisation with added phthalic anhydride leads to the preferential polymerisation of phthalic anhydride before the polymerisation of sulfur derivatives with CO₂ and epoxides. Finally, we show that the presence of sulfur-containing thioester links leads to polymers with degradability benefits compared to those from all-oxygen derivatives.

Introduction

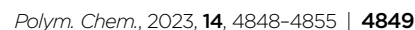
Heteroatom-containing polymers have great potential as degradable replacements for polymers based on backbones made up of --C--C-- bonds.^{1–4} An increasingly popular synthetic methodology for accessing heteroatom-containing polymers is the copolymerisation of three- or four-membered heterocycles **A** with heteroallenes or cyclic anhydrides **B** to generate alternating copolymers $(\text{AB})_n$ in the so-called ring-opening copolymerisation (ROCOP).⁵ Prominent examples include CO_2 /epoxide ROCOP forming polycarbonates or cyclic anhydride/epoxide ROCOP forming polyesters.^{6–8} Consequently, ROCOP has been identified as a promising methodology for the utilization of globally accumulating CO_2 waste.⁹ However, sulfur-containing variants also exist, such as cyclic thioanhydride/epoxide ROCOP forming poly(ester-*alt*-thioesters) or CS_2 /epoxide ROCOP yielding poly(thiocarbonates) with improved photochemical and oxidative degradability compared to their related all-oxygen analogues.^{10–22} Thermal properties can also be improved by formal sulfuration as recently reported for CS_2 /oxetane copolymers, which are semi-crystalline, whereas the CO_2 /oxetane analogues are amorphous.^{23–25} Moving to more complex monomer mixtures comprising three monomers **A**, **B** and **C** (e.g. epoxide

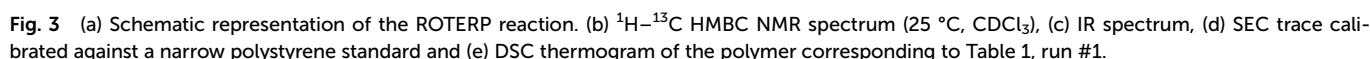
A, phthalic anhydride (PA) **B** and CO₂ **C**) yield either statistical terpolymers (poly(ester-carbonates), [(AB)₁(AC)_n]_m) or block terpolymers (polyester-*b*-polycarbonates, (AB)_n-(AC)_m).^{26–30} In these cases, the monomer sequences usually depend on catalyst selection. In the cases of sulfurated monomers, further insights into new terpolymerisation processes have been made. We, for example, recently realised sequence selective ring-opening terpolymerisation (ROTERP) of ternary monomer mixtures comprising phthalic thioanhydride (PTA), CS₂ or PhNCS and monosubstituted epoxide (propylene oxide PO and butylene oxide BO).^{31–34} Here, a simple lithium catalyst (*e.g.* lithium benzyloxide LiOBn) selectively forms poly(ester-*alt*-ester-*alt*-heterocarbonates). In another work directly relevant to this report, we achieved statistical terpolymerisation of CS₂ and CO₂ with epoxides by employing heterobimetallic Cr(III)Rb catalysts.³⁵ Here, CO₂ insertion into alkoxide intermediates formed from epoxide ring-opening appeared to be kinetically favourable over CS₂ insertion, so that the resulting terpolymers mostly contained all-oxygen carbonates and few sulfur-containing thiocarbonate links. Although there was some control over the amount of CS₂ *vs.* CO₂ incorporation by adjusting the amount of initially supplied CS₂ in the starting monomer feed, the obtained molecular weights deteriorated particularly with higher CS₂ loadings.

Aiming to expand the monomer scope of CO₂ terpolymerisation with sulfurated monomers, we hypothesized that PTA/CO₂/epoxide ROTERP could be possible, which we investigate here, particularly in relation to the parent CO₂/epoxide and PTA/epoxide ROCOPs (Fig. 1).

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Run	Cat. ^a	TOF ROTERP ^b [h ⁻¹]	Final TON ^c	Ester : thioester : carbonate ^d (%)	M _n ^e [kg mol ⁻¹]	<i>D</i> ^f	TOF (CHO/CO ₂) ^g [h ⁻¹]	TOF (CHO/PTA) ^h [h ⁻¹]	LC ⁱ
#1	L ¹ Cr ^{Rb}	200 ± 5	520	14 : 9 : 77	14.6	1.3	161 ± 1	51 ± 3	136 ± 1
#2	L ² Cr ^{Rb}	189 ± 7	570	16 : 9 : 75	10.3	1.2	149 ± 20	70 ± 6	129 ± 17
#3	L ³ Cr ^{Rb}	122 ± 8	460	16 : 12 : 72	7.9	1.2	110 ± 2	85 ± 8	103 ± 4
#4	L ⁴ Cr ^{Rb}	111 ± 9	280	52 : 19 : 23	5.1	1.3	40 ± 4	40 ± 5	40 ± 5
#5	L ⁵ Cr ^{Rb}	367 ± 32	440	23 : 11 : 66	12.1	1.3	273 ± 17	144 ± 9	229 ± 14
#6	L ⁶ Cr ^{Rb}	223 ± 13	550	17 : 7 : 76	13.3	1.3	261 ± 7	41 ± 1	208 ± 5
#7	L ¹ Cr ^{Li}	84 ± 4	270	55 : 18 : 27 ^k	5.5	1.4	38 ± 4 ^j	84 ± 5	72 ± 5
#8	L ¹ Cr ^{Na}	66 ± 2	260	16 : 13 : 71	6.4	1.2	76 ± 3 ^j	32 ± 4	63 ± 3
#9	L ¹ Cr ^K	360 ± 15	500	15 : 20 : 65	16.9	1.2	127 ± 4 ^j	33 ± 1	94 ± 3
#10	L ¹ Cr ^{Cs}	195 ± 8	400	19 : 20 : 61	13.0	1.3	162 ± 15 ^j	58 ± 2	121 ± 10

^a Terpolymerisation at 1 eq. cat.:100 eq. PTA:1000 eq. CHO, $T = 100\text{ }^{\circ}\text{C}$, 4 bar CO_2 . ^b Turnover frequency (TOF) determined by a linear fit to the eq. of CHO conversion per eq. of catalyst vs. time plot. Data points are excluded once conversion vs. time plots deviate from linearity. ^c Final turnover number (TON) at the specific endpoints of the polymerisations as depicted in the conversion vs. time plots of ESI section S3.† ^d Relative ratio of ester (4.9–5.2 ppm) *versus* thioester (3.7–4.2 ppm) *versus* carbonate (4.4–4.9 ppm) linkages assessed by the relative integrals of the tertiary CH resonances in the corresponding ^1H NMR spectrum of the precipitated polymers. ^e Determined by SEC measurements conducted in THF using narrow MW polystyrene standards to calibrate the instrument. ^f $D = M_w/M_n$. ^g CHO/ CO_2 copolymerisation at 1 eq. of Cat.:1000 eq. of CHO, $T = 100\text{ }^{\circ}\text{C}$, 4 bar CO_2 . ^h CHO/PTA copolymerisation at 1 eq. of Cat.:1000 eq. of PTA:1000 eq. of CHO, $T = 100\text{ }^{\circ}\text{C}$. ⁱ Proportionate linear combination (LC) of the TOFs of the parent ROCOPs. ^j According to ref. 35. ^k ca. 21% of ether and thioether links are part of the final polymer.

yielding α -X, ω -OH-chains, while the second distribution of chains is formed *via* ROCOP initiated from either H₂O or diol impurities in the monomers *via* chain-transfer processes yielding α , ω -OH-telechelic chains. Indeed, in all our catalysts L^XCr^{AM}, acetate coligands, which can be expected to serve as monofunctional initiators, are introduced which would produce aliphatic ester chain ends and these were identified to be part of the isolated polymers by ¹³C NMR (δ (C^q) = 171 ppm). To test whether chain transfer with diol impurities is the origin of bimodality, we deliberately added the bifunctional alcohol 1,4-benzenedimethanol (BDM) to an ROTERP run (employing 1 eq. of L¹Cr^{CS}:20 eq. of BDM:100 eq. of PTA:1000 eq. of CHO, 4 bar CO₂ and identical reaction time and temperature). Compared to the run without BDM, we



Fig. 4 (a) Plot of conversion vs. M_n and \bar{D} for Table 1 run #1 ROTERP; note that due to the viscosity of the reaction mixture, no aliquots could be taken between 25% and 55% conversions. (b) Overlaid GPC traces of Table 1 run #10 with and without the addition of 20 equiv. of 1,4-benzendi-methanol as a chain transfer agent. (c) The proposed PTA/CO₂/CHO ROTERP mechanism and intermediate speciation. $[M]$ = metal catalyst, R_n = polymer chain, and $R = -(C_4H_8)-$.

observed a clear decrease of the obtained M_n from 13.0 to 3.1 kg mol⁻¹ and furthermore, a narrowed monomodal distribution in the latter case with $\bar{D} = 1.1$. NMR analysis of this polymer unambiguously shows that BDM has been incorporated into the polymer structure. All findings support that BDM acts as a chain transfer agent by increasing the number of telechelic chains formed so that the polymer samples predominantly comprise α,ω -OH telechelic chains. This leads to apparent monomodality with decreased molecular weights at comparable monomer conversion, as now a similar number of monomers have to be distributed across more initiators. On a side note, our experiments also show that our new ROTERP tolerates chain-transfer agents to control the molecular weights and the chain-end chemistry. Our finding suggests that bimodality in the first place is caused by diol or H₂O impurities in the liquid monomers at the beginning of the polymerization, impurities introduced during the setup of the reaction, or impurities in the CO₂ supplied throughout the reaction since the degree of bimodality remains constant throughout ROTERP. This variable is intrinsically hard to control between monomer batches and reactions although all reaction parameters and purification methods are kept identical. Accordingly, the degree of bimodality varies between runs, as shown in Table 1. This variability furthermore extends to repeat runs although reaction rates, polymer compositions and molecular weights are reproducible. In terms of catalyst dependence, the precise ratio of ester:carbonate:thioester links depends on both the alkali metal and the backbone choice with regards to L^XCr^{AM} (Table 1), although no obvious trends could be identified. This suggests that an interplay, rather than a simple combination, of the electronic and steric nature of the chromium coordination site alongside the one imposed through alkali metal choice determines the respective insertion events. However, regardless of the catalyst employed, the ester:thioester ratio observed is always lower than the

perhaps expected 1:1 ratio resulting from PTA insertion following propagation, implying the loss of sulfur centres and concurrent oxygen enrichment of the polymer during ROTERP. Confirming that “sulfur-loss” is an inherent side-process of the PTA-CHO insertion events, we performed a separate PTA/CHO ROCOP reaction (1 eq. of L^1Cr^{CS} :1000 eq. of PTA:1000 eq. of CHO) and observed an ester to thioester ratio of *ca.* 1.8:1 deviating substantially from the ideal 1:1 ratio. The broadened polydispersity of the obtained polymer ($M_n = 19.8$ kg mol⁻¹ and $\bar{D} = 1.6$) also suggests that PTA/CHO insertion events during ROTERP could contribute to bimodality and the different extents to which this occurs for different catalysts could also cause different degrees of bimodality between different catalysts, as shown in Table 1. In fact, we observed a similar oxygen enrichment process of the polymer in our previous study on CS₂/CHO ROCOP and were able to conclusively show that O/S exchange processes at the chain end and consecutive thiirane elimination cause this.¹⁸ Accordingly, ¹H NMR aliquot analysis of the ROTERP process reveals steadily increasing amounts of thiirane cyclohexene sulfide (CHS) being generated alongside propagation, which accounts for the apparent sulfur loss and oxygen enrichment of the polymer. Given all our observations above, we propose the following mechanism (Fig. 4) in which initiation either happens from acetate coligands generating an alkoxide *via* CHO insertion or from deprotonated diol impurities after which two primary propagation cycles occur. Among them, CO₂ *versus* PTA insertion from the common alkoxide intermediate determines the (thio)ester:carbonate linkage ratio. Analogous to our previous report on CS₂/CHO ROCOP, polymer oxygen enrichment is proposed to originate from an O/S rearrangement step from alkoxide chain ends adjacent to thioester links that eliminate CHS to create a carboxylate chain end, which then inserts CHO to generate a diester link.³⁵ This effectively turns PTA and 2 equivalents of CHO into a diester link and



With regards to polymerisation rates, it is reasonable to hypothesise that the reaction rate in terms of CHO conversion of PTA/CO₂/CHO ROTERP should be in the first approximation of the proportionate linear combination of the rates of the parent PTA/CHO and CO₂/CHO ROCOPs. For example, if a polymer comprises 50% ester and thioester (from PTA/CHO) and 50% carbonate links (from CO₂/CHO), the TOF in the linear regime of the reaction kinetics where excess monomers are present should be $0.5 \times \text{TOF}(\text{PTA/CHO ROCOP}) + 0.5 \times \text{TOF}(\text{CO}_2/\text{CHO ROCOP})$ of the ROCOPs. To test this hypothesis, we monitored the ROTERP (1 eq. of L^xCr^{AM}:100 eq. of PTA:1000 eq. of CHO, 4 bar CO₂, 100 °C) and all the parent CO₂/CHO (1 eq. of L^xCr^{AM}:1000 eq. of CHO, 4 bar CO₂, 100 °C) and PTA/CHO (1 eq. of L^xCr^{AM}:100 eq. of PTA:1000 eq. of CHO, 100 °C) ROCOPs by aliquot analysis to determine and compare the initial TOFs. As can be seen in Table 1, we observe that ROTERP at least maintains the TOF of the faster ROCOP. In some cases (runs #2, #4 and #9), we observe perhaps a counter-intuitive modest rate enhancement when moving from the copolymerisations to terpolymerisation. Here, at every point in the conversion *versus* time plot, the CHO conversion for ROTERP exceeds those for the ROCOPs (ESI Fig. S27, S29 and S34†). At the current stage, we propose that this effect could originate from the fact that epoxide insertion is reversible during CO₂/epoxide ROCOP, *i.e.*, alkoxide intermediates eliminating epoxides to generate carbonates, a currently emerging notion in the literature.^{40,41} If PTA is present during the CO₂/epoxide ROCOP process, *i.e.*, the situation encountered during ROTERP, alkoxide intermediates are scavenged *via* PTA insertion, effectively suppressing the alkoxide-originated depropagation pathway. This proposition remains a mere hypothesis at the current stage and further mechanistic studies are underway. Motivated by the possibility that the presence of PTA could scavenge alkoxide intermediates, we turned towards the monosubstituted epoxide BO (ESI section S4†). ROCOP of CO₂ with monosubstituted epoxides usually requires high CO₂ pressures (>20 bar) to avoid backbiting pathways, which originate from alkoxide intermediates and yield small molecule cyclic carbonates instead of the desired polycarbonate products.⁴² Given the precedent of bicomponent catalysts comprising metal-salen complexes with μ -nitrido-bis(triphenylphosphan)-chloride (PPNCl) in the ROCOP of monosubstituted epoxides, we attempted PTA/CO₂/BO ROTERP (1 eq. of SalcyCrCl:1 eq. of PPNCl:100 eq. of PTA:1000 eq. of BO, 4 bar CO₂, 25 °C) and gratifyingly terpolymer (Table 2, run #1) formation was observed.⁴³ However, both the ¹³C and ¹H NMR spectra are very complex, which we attributed to regio-random polymer formation and O/S scrambling side-reaction links *via* a reaction pathway like the one outlined in Fig. 4. The latter was tentatively confirmed by ¹³C NMR showing more ester

Run	T [°C]	t [h]	Conv. PTA [%]	$-\text{OCO}_2$ – ^a [%]	M_n [kg mol ^{–1}] (\bar{D}) ^b
#1	25	40	80	53	8.7 (1.2)
#2	40	4	99	66	12.7 (1.1)
#3	60	1	99	36	9.8 (1.2)
#4	80	0.3	99	22	9.1 (1.3)

than thioether links by integration of the quaternary carbon atoms, which we previously established to be a good measure for linkage ratios in related polymers.³³ Furthermore, previous reports on PTA/propylene oxide copolymerisation show that thiirane byproducts can themselves serve as monomers to re-enter the polymerisation forming thioether links.³⁷ In fact, the ¹H NMR spectra show signals centred around $\delta = 2.7$ ppm in the polymer formed at an elevated temperature (Table 2, runs #2-#4), which increase in intensity with an increasing reaction temperature and these can be assigned to thioether links in reference to Ren and coworkers.³⁷ Nevertheless, ¹³C NMR analysis unambiguously reveals the presence of polycarbonate linkages in all the produced polymers ($\delta(-O-(C^q=O)-O-) = 155$ ppm). Importantly, in the absence of PTA (*i.e.* 1 eq. of SalcyCrCl:1 eq. of PPNCI:1000 eq. of BO, 4 bar CO₂, 80 °C), no polycarbonate is present and only cyclic five-membered butylene carbonate is obtained supporting our initially formulated hypothesis of the limited lifetime of alkoxide intermediates due to the presence of PTA.

Moving to lower reaction temperatures (Table 2, runs #1–#3) results in an increasing amount of CO₂ incorporation into the final polymer, as evident from ¹³C NMR spectroscopy. Performing PTA/CO₂/BO ROTERP at room temperature (Table 2, run #1) further minimizes the side-reactions resulting in *e.g.* sharp single rather than multiple split resonances for quaternary ester, thioester and carbonate ¹³C resonances and no thioether links. 2D NMR spectra show thioester units adjacent to CH₂R₂ (often referred to as the “tail” position of ring-opened epoxides) while ester units are adjacent to CH₃R (or the “head” position), suggesting the formation of poly[(ester-*alt*-thioester)-carbonates] as shown in Fig. 5. In each case (Table 2, runs #1–#4), narrow bimodal molecular weight distributions were obtained similar to the above cases with CHO leading us to propose the same underlying reasons for this (*vide supra*). DSC shows glass transition temperatures of $T_g = 17\text{--}27\text{ }^{\circ}\text{C}$ for the obtained materials with no clear trends with regard to linkage composition.

As PTA/CO₂/CHO ROTERP yields statistical terpolymers while the all-oxygen combination of PA/CO₂/CHO yields block polymers with many catalysts as outlined in the introduction, the question arises what quaternary monomer mixtures com-



Fig. 5 ^1H , ^{13}C and ^1H – ^{13}C HMBC NMR spectrum of polymer corresponding to Table 2, run #4.

prising PA/PTA/ CO_2 /CHO would yield (ESI section S5†). To investigate this question, we conducted tetrapolymerisation by employing $\text{L}^1\text{Cr}^{\text{K}}$ at 1 eq. of Cat:50 eq. of PA:50 eq. of PTA:1000 eq. of CHO at 4 bar CO_2 pressure and 100 °C. The reaction was monitored by ^1H NMR analysis of aliquots removed at regular time intervals, which shows that statistical PA/ CO_2 /CHO ROTERP producing poly(ester-carbonates) occurs until PA is consumed, followed by statistical PTA/ CO_2 /CHO ROTERP producing poly(ester-thioester-carbonates), although some tapering was observed, producing a polymer with a final $M_n = 12.6 \text{ kg mol}^{-1}$, and $D = 1.2$ after 2 h. Our findings are in line with recent reports on PA/PTA/epoxide terpolymerisation employing related metal-based catalysts.⁴⁴ There, slower PA ROCOP occurred after faster PTA ROCOP due to faster PA compared to PTA insertion into alkoxide chain ends. However, for $\text{L}^1\text{Cr}^{\text{K}}$ we additionally found that PA/CHO copolymerisation is approximately 3 times faster than PTA/CHO copolymerisation when the stand-alone ROCOPs were investigated. This might contribute to the preferential polymerisation of PA over PTA in the above tetrapolymerisation. We previously showed that the formal incorporation of sulfur-containing links into CO_2 /CHO copolymers for polymers obtained from CS_2 / CO_2 /epoxide ROTERP bestowed typical degradability benefits of sulfurated polymers upon the parent CO_2 /CHO polycarbonates.^{35,45} Therefore, we hypothesized that the thioester links introduced in our PTA/ CO_2 /CHO ROTERP could serve a similar function. Accordingly, we subjected one of our terpolymers (Table 1 run #10) to broadband UV irradiation in CDCl_3 , conditions (see ESI section S6†) under which polycarbonates from CO_2 or polyesters from PA/epoxide copolymerisation show no appreciable degradation.^{31,35} Gratifyingly, our terpolymer (starting $M_n = 13.0$) degraded into oligomers with $M_n < 1.5 \text{ kg mol}^{-1}$ under UV irradiation. NMR analysis of the sample after photolysis reveals that while ester and carbonate links remain mostly intact, thioester links are completely degraded supporting the theory that these represent photochemical breaking points in the polymer structure. Interestingly, PTA can be identified as a major degradation product hinting towards the potential of chemical recycling to the monomer of these PTA-derived polymers, which should be investigated in the future.⁴⁶ Elsewhere, the statistical incorporation of thioester links into the polymer

main chain of polyacrylates caused susceptibility to degradation by aminolysis and we found the same to be the case for our ROTERP terpolymers.⁴⁷ Subjecting a PTA/ CO_2 /CHO terpolymer (Table 1 run #10) to methanolic ammonia for 48 h leads to its complete degradation into oligomers with $M_n < 1 \text{ kg mol}^{-1}$. In contrast, the all-oxygen counterparts from either CO_2 /CHO or PA/CHO ROCOP do not degrade under identical conditions. This shows that again the thioester links in the ROTERP terpolymers act as breaking points for aminolysis.

Conclusions

In conclusion, we have investigated the ROTERP of alicyclic CHO with PTA and CO_2 by employing a series of heterobimetallic Cr(III)-based catalysts to produce novel poly(ester-thioester-carbonates). Molecular weights can be controlled by monomer conversion and the addition of chain transfer agents, while the linkage ratios can be affected by the catalyst for which both ligand electronics and metal choice are important. Kinetics studies revealed a rate maintenance of terpolymerisation compared to the faster parent copolymerisation, which can be tentatively explained by the suppression of depolymerisation pathways. This realisation led us to produce terpolymers from BO, PTA and CO_2 under conditions where the parent CO_2 /BO copolymerisation fails to produce polymers. Furthermore, the new terpolymerisation can be combined with PA/epoxide ROCOP in tetrapolymerisations. Our study indicates that there could be rate and selectivity benefits when moving to the polymerisation of more complex monomer mixtures, which should motivate further investigation of such, particularly as the introduced sulfur-containing links can be utilised as predetermined breaking points in the polymer main chain.

Experimental details

General complex synthesis

Under inert conditions, the proligand $\text{L}^{\text{X}}\text{H}_2$ (1 eq.) and Cr(OAc)₂ (1 eq.) were dissolved in degassed acetonitrile and stirred overnight at room temperature or under reflux. Glacial acetic acid (2 eq.) was added and the solution was refluxed for 8 h and then stirred overnight at room temperature. The solvent was removed under reduced pressure and the crude $\text{L}^{\text{X}}\text{Cr}$ was washed three times with diethyl ether to obtain a brown powder. The intermediate $\text{L}^{\text{X}}\text{Cr}$ (1 eq.) was suspended with AMOAc (1.05 eq., AM = Li, Na, K, Rb, Cs) in MeOH and refluxed briefly. All volatiles were removed under reduced pressure and the crude product was washed three times with diethyl ether to obtain $\text{L}^{\text{X}}\text{CrAM}$ as a brown powder.

General polymerisation protocol

Under inert conditions, the catalyst and the monomers were added to an oven-dried vial sealed with a melamine cap containing a Teflon inlay or an oven-dried Schlenk flask equipped



with a magnetic stirrer. The flask was brought outside the glovebox and placed in a pre-heated aluminium block or oil bath at the specified temperature for the specified time. If CO₂ was used, the mixture was briefly degassed under vacuum until gas evolution ceased before supplying CO₂ at 4 bar. Aliquots were taken by cooling the vial or Schlenk flask in an ice bath before removing 10 µL and then flushing the reaction system with CO₂ for 3 seconds in the case of CO₂ polymerisations and argon in the other cases. The polymerisation progress was monitored by ¹H NMR analysis in CDCl₃ of the crude aliquots. The polymerisations were terminated when mixtures became too viscous to be magnetically stirred or after 24 h. The highly viscous mixture was dissolved in 4 mL of CDCl₃ and a final aliquot was taken from which the overall final conversion was determined. The CDCl₃ solution was precipitated in 40 mL of MeOH and then further purified by precipitation from THF/pentane and DCM/methanol before drying the polymers under vacuum at 60 °C overnight.

Conflicts of interest

There are no conflicts to declare.

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