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# Investigating the effect of hydrothermal carbonisation reaction times on the photoluminescence of bio-oil-derived carbon polymer dots†

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Carbon dots (CDs) have favourable properties such as broad spectral absorption, strong photoluminescence, low toxicity, and high specific surface area. Here, carbon polymer dots (CPDs), which are CDs with a carbon core and polymeric surface, were synthesised from chitin-derived bio-oil, supporting the transition away from fossil-based feedstocks in carbon nanomaterial synthesis. The influence of hydrothermal carbonisation (HTC) reaction times on the structural, morphological, and optical properties were studied. Structural analysis revealed the formation of nitrogen-doped CPDs with a cross-linked polymeric shell surrounding a crystalline graphitic carbon core, with the size, structure, and surface composition all influenced by HTC reaction time. All CPD samples showed the same excitation dependent emission, with a  $\lambda_{\text{max}}$  of 394 nm. Deconvolution of the photoluminescence showed multiple components with contributions identified from both molecular luminophores and the carbon core. Overall, this work investigates the mechanism of photoluminescence in CPDs, providing insights that could promote application in areas such as bioimaging, sensing, and optoelectronics.

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## 1. Introduction

Carbon dots (CDs) are an emergent class of photoluminescent carbon nanomaterial, discovered in 2004 by Xu *et al.* during the purification of single-walled carbon nanotubes fabricated by arc-discharge from soot.<sup>1</sup> Their favourable properties such as broad spectral absorption,<sup>2</sup> strong photoluminescence,<sup>3</sup> tunable emission,<sup>4–6</sup> low toxicity,<sup>7,8</sup> conductivity,<sup>9</sup> and high specific surface area<sup>10</sup> have facilitated their application in biomedical sciences and as energy materials.<sup>11–13</sup> However, in the literature, the term “CDs” is often used to refer broadly to a range of carbon nanomaterials, which can vary significantly in structure and properties. The classification of CDs in the literature contains some discrepancies, CDs can be loosely classified into four categories (Table 1). More research is needed to elucidate mechanisms of formation and structure–activity relationships.<sup>6</sup> In particular, the influence of synthesis methodology on the size, structure, and optical properties of CDs is poorly understood.<sup>14</sup>

In this introduction, the term CD will be used collectively to refer to the broad range of carbon nanomaterials, including graphene quantum dots, carbon quantum dots, carbon nanodots, and carbon polymer dots, as classified in Table 1, to discuss the general trends observed in their structure, morphology, and properties.

Doping CDs with heteroatoms effectively tunes their electronic and optical properties. This can be achieved through in-plane substitution, intercalation, or surface replacement. Various heteroatoms are used such as boron, phosphorus, silicon, and metals.<sup>19,27</sup> For example, Zhai *et al.* (2012) found that increasing nitrogen content in CDs *via* microwave-assisted pyrolysis boosted the fluorescence quantum yield (QY) from 2.2% to 30.2%.<sup>28</sup> While Qian *et al.* (2014) observed that the incorporation of nitrogen atoms significantly boosted the QY of N-CQDs, achieving a maximum emission efficiency of 36.3%.<sup>29</sup>

Biomass (waste and residues) is abundant, low-cost and naturally incorporates dopants, making it a cost-effective method for synthesising doped CDs.<sup>30–32</sup> Improvements in photoluminescence have been observed where the enhanced nitrogen content contributes to increased QY and tunable optical properties by further functionalisation.<sup>33</sup> Chitin, a by-product of the fishing industry processed from prawn and crab shell waste, was selected for its high nitrogen content (~7 wt%).<sup>28</sup> Previously, Briscoe *et al.* (2017) synthesised a series of biomass derived CDs

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**Table 1** The classification of CDs and their associated size, structure, and proposed mechanism of photoluminescence

Classification	Size (nm)	Structure	Photoluminescence
Graphene quantum dot (GQD) <sup>15</sup>	1–20	Crystalline, graphene lattice with sp <sup>2</sup> hybridised carbon domains. Functionalised groups on the edges and basal plane.	Quantum confinement <sup>16–18</sup> Edge effects <sup>17</sup> Surface states <sup>19,20</sup> Defects <sup>21</sup> Molecular fluorophores <sup>22</sup>
Carbon quantum dot (CQD) <sup>23</sup>	2–10	Quasi-spherical nanoparticles, crystalline carbon core and chemical groups on the surface (core-shell).	Quantum confinement <sup>24</sup> Core-shell structure <sup>24</sup> Surface states/defects Molecular fluorophores
Carbon nanodots (CNDs or C-dots) <sup>20</sup>	2–10	Amorphous nanoparticles highly carbonised sp <sup>3</sup> hybridised graphitic/turbostratic carbon core with groups on the surface (core-shell).	Quantum confinement <sup>24</sup> Core-shell structure <sup>24</sup> Surface states/defects Molecular fluorophores
Carbonised polymer dots (CPDs) <sup>25</sup>	< 100	Carbon core (CQD or CND) with surface cross-linked or aggregated functional groups.	Core-shell structure Surface states/defects Cross-link enhanced emission <sup>26</sup> Conjugated $\pi$ -domain Molecular fluorophores

by hydrothermal carbonisation (HTC) at 200 °C for 6 h from glucose, chitin, and chitosan (the deacylated form of chitin) with corresponding quantum yield (QY) of 1.4%, 11.6% and 13.4%. The results demonstrate a notable improvement in QY with nitrogen-doped CDs.<sup>34</sup> Jiang *et al.* (2022) achieved an impressive QY of 54% from spheroidal CDs derived from chitin, synthesised *via* HTC at 240 °C for 10 h. These CDs had an average diameter of 4.2 nm. The X-ray diffraction (XRD) pattern displayed a broad peak at 23° ( $d_{002} = 0.34$  nm), indicating the presence of amorphous carbon. The photoluminescence was excitation-dependent, accredited to quantum confinement and surface states.<sup>35</sup> Chitin-CQDs have also been synthesised directly from prawn shells by (i) deproteinisation with NaOH (ii) demineralisation with HCl (iii) then HTC at 200 °C for 8 h. Transmission electron microscopy (TEM) and XRD analysis confirmed the presence of homogeneous spherical nanoparticles composed of amorphous carbon. Blue excitation dependent emission was recorded with a QY of 25.8%.<sup>36</sup> A literature review of CDs produced from chitin, chitosan, and combinations such as chitosan with *p*-phenylenediamine or  $\kappa$ -carrageenan by HTC was conducted (Table S1, ESI†). The operating conditions ranged from 180–220 °C with reaction times between 5–24 h. The size of the resulting CDs was between 0.5 nm and 20 nm. For the majority of the CDs, photoluminescence was attributed to surface emission sites and carbon cores. The emission wavelengths ( $\lambda_{\text{max}}$ ) ranged from 400–to 533 nm, with QY up to 59%. Lifetimes, reported in nanoseconds, reflected complex decay profiles, indicating multiple emissive states. More research is required to understand the structure–property relationships of CDs; particularly the mechanisms underlying their photoluminescence, which could lead to enhanced control over their optical properties for optoelectronic applications.<sup>6,37</sup> Challenges persist in the synthesis of biomass-derived carbon CDs as the varying composition of different biomass sources results in inconsistencies in their properties. Determining the optimal synthetic conditions, such as temperature, reaction time, pH, and precursor concentration is crucial. Additionally, the mechanism of CD formation from biomass remains unclear, highlighting the need for methods to

control and modify CD properties more effectively. In this work, nitrogen doped carbon polymer dots (N-CPDs) were synthesised from chitin.<sup>38</sup> N-CPDs were synthesised from the crude bio-oil derived from the pyrolysis of chitin, followed by HTC. The synthesised dots were classified as carbon polymer dots (CPDs) due to their size (17–45 nm) and morphology. The reaction time of the hydrothermal treatment was varied to examine how changes in morphology, chemical composition, and structure affected the photoluminescence. The proposed formation mechanism involves pyrolysis degrading chitin into a mixture of nitrogen (N) & oxygen (O) containing heterocyclics and small molecules, with high temperature (700 °C) being sufficient to induce graphitization.<sup>4</sup> During the HTC, these fragments serve as nucleation sites for cross-linking polymerisation, where dehydration and condensation reactions lead to the formation of a cross-linked polymeric shell around the carbon core.<sup>39–42</sup>

Photoluminescence was found to have contributions from both the crystalline carbon core and a luminescent molecule; namely 3-aminopyridine.<sup>43–45</sup> Overall, our work demonstrates that bio-oil derived from chitin can serve as an efficient feedstock for producing nanomaterials. This work introduces a methodology that can be extended to other bio-oil streams, offering a versatile approach for producing nanomaterials from various bio-oil sources (including waste). This approach provides benefits such as resource efficiency, carbon sequestration, and reduced environmental impact.<sup>46</sup> Utilising biomass waste aligns with the circular economy, which prioritises resource reuse and waste minimisation according to the waste hierarchy.<sup>47,48</sup>

## 2. Results

### 2.1. Synthesis of N-CPDs

Chitin was pyrolysed at 700 °C in an inert atmosphere to produce a biochar (~35%), liquid known as bio-oil (~50%), and gas (~15%). This temperature was selected to ensure complete conversion of the biomass and achieve a sufficiently high bio-oil yield.<sup>49</sup> A temperature of 700 °C was found to give a



higher yield of bio-oil than 450 °C (~36%) (Table S2, ESI<sup>†</sup>). Analysis of the bio-oil produced at 700 °C by gas chromatography mass spectrometry (GC-MS) showed that acetic acid and acetamide were the dominant products formed (Fig. S1, ESI<sup>†</sup>). The production of large amounts of acetic acid was expected due to the hydrolysis of the acetyl amide side chain. A large mixture of O and N-heterocyclics were observed (Table S3, ESI<sup>†</sup>), which is consistent with other work.<sup>50</sup>

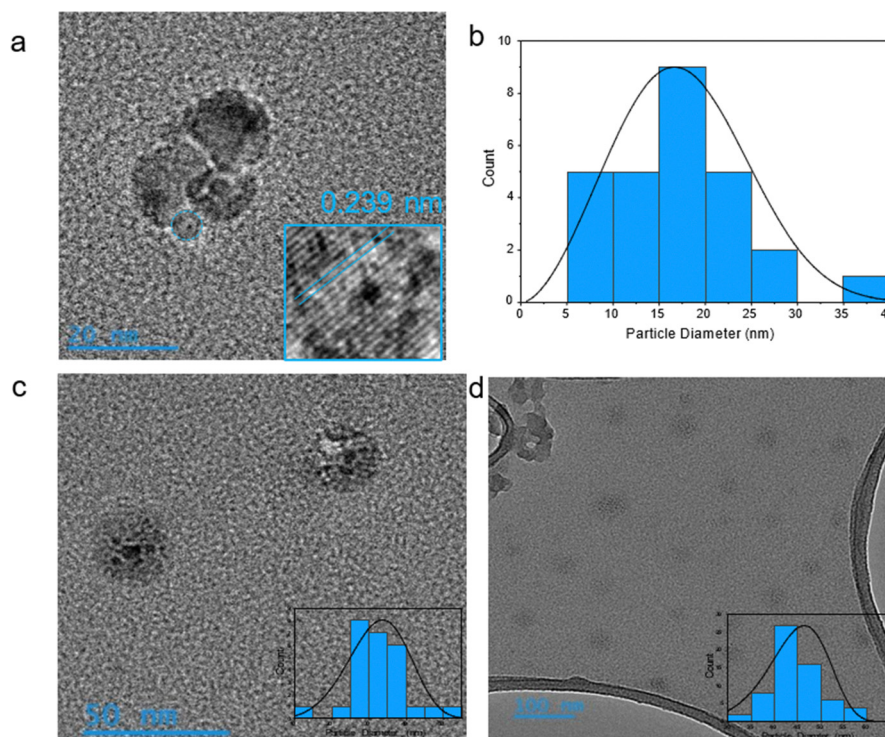
The bio-oil was further processed by HTC at 200 °C over various holding times to understand the effect of reaction time on the product's structure, morphology, surface chemistry, and photoluminescence. Typical HTC temperatures for chitosan/chitin CD synthesis range from 180–220 °C.<sup>32</sup> The temperature of 200 °C was chosen to ensure the efficient formation of the N-CPDs while avoiding excessive thermal degradation. A midpoint of 200 °C facilitated the comparison with previously synthesised chitin/chitosan derived CDs. The reaction time was varied from 2, to 4, and 8 h, with resulting samples labelled 2H-CPD, 4H-CPD, and 8H-CPD, respectively. After the HTC reaction, the N-CPDs were purified by filtration, followed by centrifugation, and solvent extraction with ether to remove impurities. Previous experiments incorporated an additional step of dialysis; however, it had no discernible effect on the purification process. The aqueous fraction containing the N-CPDs was freeze-dried and afterwards washed and sonicated with ether again until the solid N-CPDs crashed out of solution. This protocol was developed to ensure that no impurities or residual solvents are adsorbed onto the surface or trapped within the structure of

the N-CPDs during freeze-drying. Vigorous purification, including centrifugation, filtration, and solvent extraction is essential to ensure accurate characterisation of the luminescence as it eliminates byproducts that can otherwise distort the true emission properties.<sup>51</sup>

During the purification of the N-CPDs, the organic fraction (ether) containing impurities was isolated from the solvent extraction for each sample (2H-CPD, 4H-CPD, and 8H-CPD). The fractions were analysed by GC-MS, and a mixture of ketone, phenol and pyridine derivatives were observed. 3-Acetamidopyridine was present in all the fractions and bio-oil (Table S4: 2H-CPD, Table S5: 4H-CPD, and Table S6: 8H-CPD, ESI<sup>†</sup>). The maximum yield from bio-oil to N-CPDs (8.8%) occurred at 8 h HTC time (Table S7, ESI<sup>†</sup>). The overall yield of chitin to N-CPDs was 4.5%. The N-CPDs were hygroscopic, which was attributed to their abundant hydrophilic surface groups, small size with a high surface area, and a potential crosslink-enhanced effect that allows them to effectively bind and retain water molecules.<sup>52</sup> All the samples were found to be hydrophilic; easily dispersing in water and with a zeta potential of –15 mV.

## 2.2. Morphology and structure of N-CPDs

TEM images of N-CPDs synthesised with HTC reaction times of 2, 4, and 8 h are shown in Fig. 1. The TEM results confirmed the synthesis of nanoscale N-CPDs by pyrolysis followed by HTC. The images collected show the overall size of the N-CPDs, including both the crystalline carbon core and the amorphous cross-linked polymeric shell. Due to the size and the structure,



**Fig. 1** N-CPD samples were prepared for TEM by dispersion in IPA and sonication, before deposition on a carbon grid. (a) TEM image of 2H-CPD and (inset) magnification showing the crystal lattice grating, and (b) size-distribution from TEM analysis of 2H-CPD. TEM image and size distribution for (c) 4H-CPD and (d) 8H-CPD. The size distributions were determined from averaging all imaged N-CPDs.







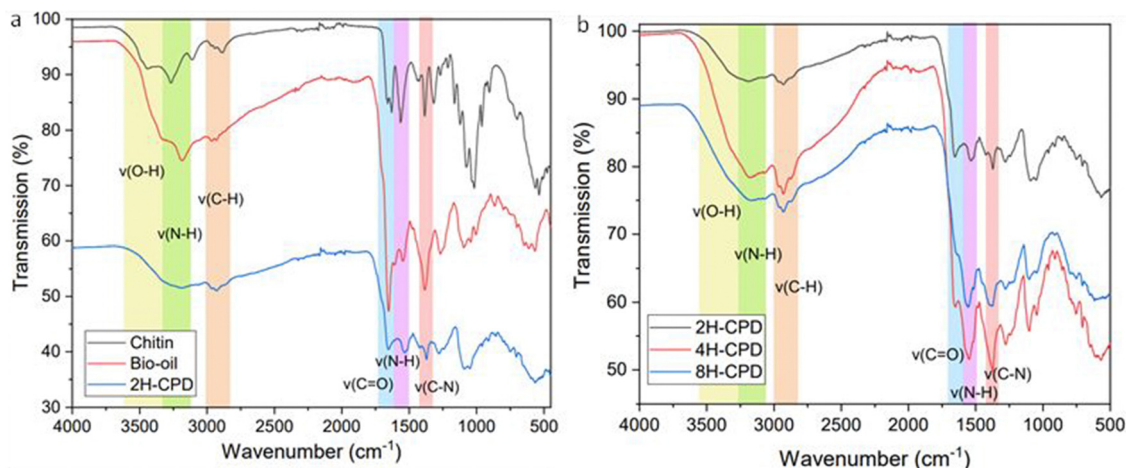


Fig. 3 (a) FT-IR spectra of chitin, bio-oil derived from the pyrolysis of chitin at 700 °C, and nitrogen doped CPDs synthesised from HTC at 200 °C (2H-CPD) (b) FT-IR spectra of nitrogen doped CPDs synthesised at 200 °C HTC at 2 h (2H-CPD), 4 h (4H-CPD), and 8 h (8H-CPD).

peak became weaker from 2H-CPD to 8H-CPD (Fig. 3b). This was consistent with the X-ray photoelectron spectroscopy (XPS) analysis of the surface composition of the CPDs (Fig. 4).

#### 2.4. X-ray photoelectron spectroscopy

The elemental composition of carbon and nitrogen for the N-CPDs samples was found to remain consistent, with a nitrogen

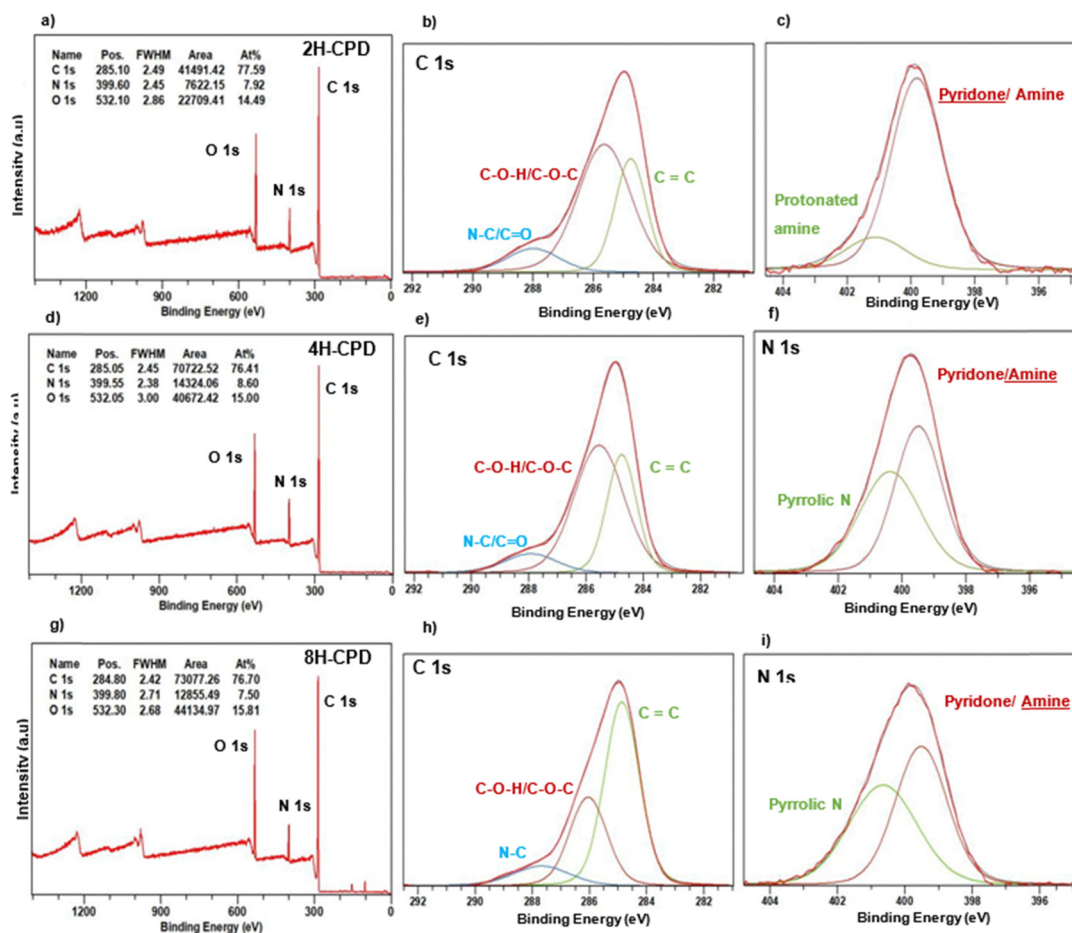


Fig. 4 Row (a) 2H-CPDs, from left elemental composition, C 1s fitted XPS spectrum, and N 1s fitted spectrum. Row (b) 4H-CPDs, from left elemental composition, C 1s fitted XPS spectrum, and N 1s fitted spectrum. Row (c) 8H-CPDs, from left elemental composition, C 1s fitted XPS spectrum, and N 1s fitted spectrum.



Table 2 Summary of peak assignments and corresponding binding energy (eV) and peak area (%) for C 1s and N 1s for all N-CPD samples

Sample	C 1s			N 1s		
	Peak	Binding energy (eV)	Area (%)	Peak	Binding energy (eV)	Area (%)
2H-CPD	C=C	284.8	30.3	O=CNC/H-N-H	399.8	83.44
	C-O-H/C-O-C	265.6	59.1	C-NH <sup>+</sup> /Hydrogen	401.1	16.56
	C-N	288.0	10.5	Bonded		
4H-CPD	C=C	284.8	32.3	O=C-N-C/H-N-H	399.5	53.2
	C-O-H/C-O-C	285.6	58.4	C-(NH)-C	400.4	46.8
	C-N	287.9	9.3			
8H-CPD	C=C	284.9	59.0	O=C-N-C/H-N-H	399.5	48.5
	C-O-H/C-O-C	285.1	30.6	C-(NH)-C	400.7	51.5
	C-N	287.7	10.4			

composition (7.5–8.6%) larger than the nitrogen content found from CHN analysis of the starting reagent chitin (6.57%). The oxygen composition increased with longer reaction times (2H-CPD: 14.5%, 4H-CPD: 15.0%, and 8H-CPD: 15.8%).

For 2H-CPD, three peaks were observed in the C 1s region (Table 2) at 284.8, 265.6, and 287.9 eV which were assigned to C=C, C-O-H/C-O-C, and C-N respectively.<sup>46,53</sup> In the N 1s region there is a peak at 399.8 eV which was assigned to O=C-N/H-N-H, and is characteristic of a pyridone/amine group.<sup>61–63</sup> Of the total N 1s peak area, the contribution from O=C-N/H-N-H functional groups was calculated to be ~83%. The remaining ~17% was assigned to H-N-H protonated amine at 401.1 eV.<sup>53,62,64,65</sup> This was confirmed by the N-H stretch (3200–3180 cm<sup>-1</sup>), N-H bending (1560 cm<sup>-1</sup>) and C-N bending (1310 cm<sup>-1</sup>) in the FT-IR (Fig. 3). For 4H-CPD, the C 1s spectrum closely resembled that of 2H-CPD. However, in the N 1s spectrum, a distinct peak at 400.4 eV was observed, which was attributed to pyrrolic nitrogen.<sup>61</sup> Additionally, a shift of the pyridone/amine peak to 399.5 eV was observed, along with a decrease in the intensity of the amide (C=O) mode around

1660 cm<sup>-1</sup> in the FT-IR (Fig. 3). The peak showed a more significant amine contribution.<sup>61,66</sup> For 8H-CPD the C 1s peak area of the C=C functional group significantly increased to 59.0% from 32.3% in 4H-CPD. The N 1s remained consistent in assignment, position, and distribution of peak area with 4H-CPD, with a further decrease observed in the sharpness of the amide (C=O) mode. After the 8 h HTC the cross-linked polymeric structure is still intact, and full carbonisation hasn't yet occurred as confirmed by XPS (Table 2). The C 1s peak area at 2 h and 4 h was predominantly composed of C-O-H/C-O-C bonds, accounting for 59.1% and 58.4%, respectively. Interestingly, after 8 h HTC it appears as though the process of cross-linked polymer to amorphous carbon is starting to occur. From 2 h to 8 h there is an increase in the amount of C=C bonds on the surface from 30.3% to 59.0%.

## 2.5. Optical properties

The UV-visible spectra of all three N-CPD samples (Fig. 5a and Fig. S5, ESI†) contained two broad peaks at approximately 280 nm corresponding to the  $\pi \rightarrow \pi^*$  transition of the aromatic

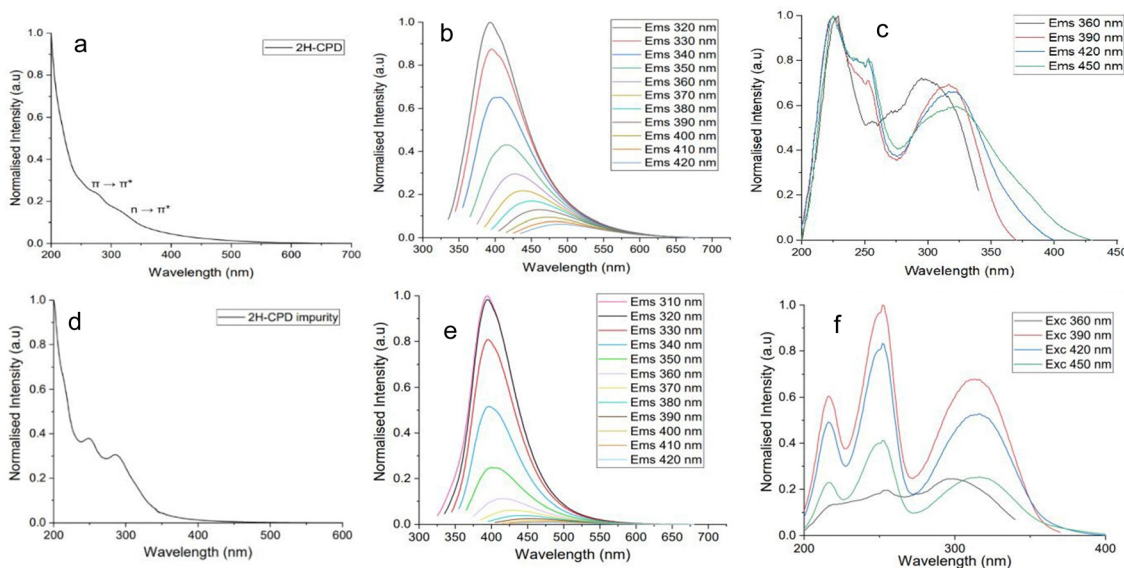


Fig. 5 (a) absorbance, (b) excitation-dependent photoluminescence and (c) excitation spectra for 2H-CPD. 2H-CPDs were synthesised from the 2H HTC of chitin-derived bio-oil, they dispersed in deionised water and were sonicated for 20 minutes prior to measurements. (d) absorbance, (e) photoluminescence and (f) excitation spectra for the impurity fraction isolated after the 2H HTC. The fraction was dispersed in deionised water and was sonicated for 20 minutes prior to measurements.



**Table 3** Summary of maximum emission wavelength ( $\lambda_{\text{max}}$ ), the quantum yield (QY), and lifetimes ( $\tau$ ). Quantum yield measurements were recorded at 320 nm and calculated relative to quinine sulphate in 0.5 M  $\text{H}_2\text{SO}_4$  which has a QY of 0.54. Photoluminescence lifetimes were recorded at an excitation wavelength of 440 nm

Sample	$\lambda_{\text{max}}$ (nm)	QY (%)	$\tau_1$ (ns)	$\tau_2$ (ns)
2H-CPD	394@320	6	$4.44 \pm 0.03$ (61%)	$12.44 \pm 0.08$ (28%)
4H-CPD	394@320	7	$4.65 \pm 0.04$ (62%)	$12.64 \pm 0.09$ (28%)
8H-CPD	394@320	8	$4.90 \pm 0.04$ (62%)	$12.94 \pm 0.09$ (28%)

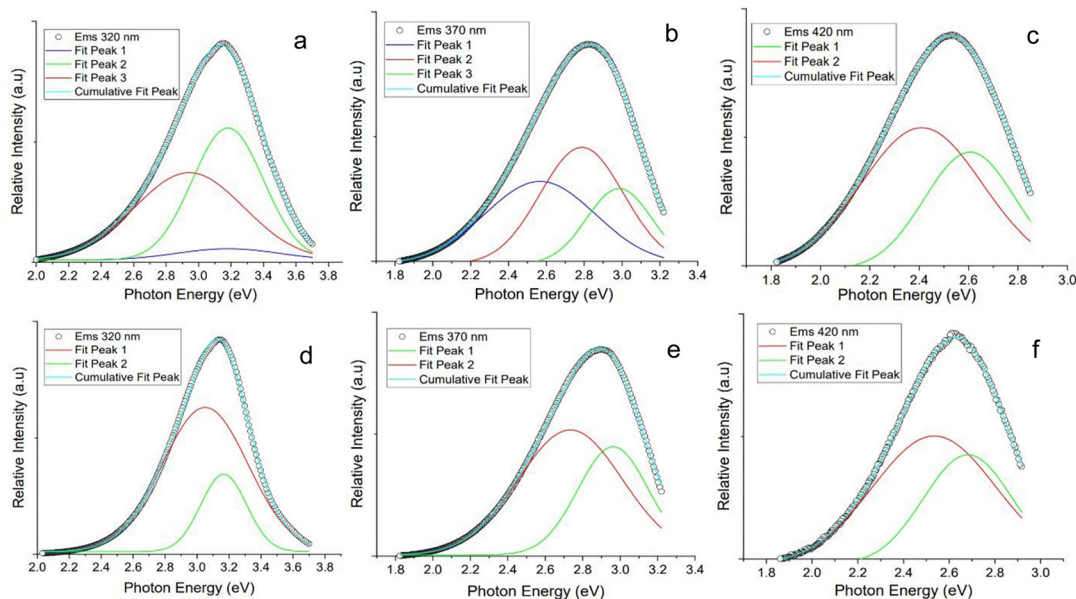
$\text{sp}^2$  domains,<sup>67</sup> and at 320 nm corresponding to a  $n \rightarrow \pi^*$  transition ( $\text{C}=\text{O}$ ).<sup>68,69</sup> The absorption occurring at longer wavelengths was assigned to the energy level transitions from the conjugated  $\pi$ -structure.<sup>69</sup> Strong photoluminescence was recorded in the blue and visible regions of the emission spectrum, and photoluminescence was excitation wavelength dependent, with a red shift as the excitation wavelength increased. The maximum emission wavelength ( $\lambda_{\text{max}}$ ) was 394 nm at an excitation wavelength of 320 nm (Fig. 5b and Table 3). Excitation spectra were measured to examine the absorption characteristics of the emissive state. The consistent structure of the peaks suggests the excitation of a similar emissive state (Fig. 5c and Fig. S5, ESI<sup>†</sup>). The photoluminescence decay was fitted to two exponential components, which were within the timescale ( $\sim 1$ –10 ns) (Table 3). This is consistent with previously reported CDs (Table S7, ESI<sup>†</sup>).<sup>25,70</sup> The optical properties of all N-CPDs samples were identical (Fig. S2, ESI<sup>†</sup>).

To investigate further whether an independent fluorophore contributed to the photoluminescence, the optical properties of the organic fraction containing impurities from the purification step was recorded and compared to that of the N-CPDs (Fig. 5). The optical properties of the organic fraction containing impurities remained consistent irrespective of the duration of the

HTC reaction (Fig. S3, ESI<sup>†</sup>). The UV-vis spectra contained two peaks, at 250 nm and 280 nm, with a small tail extending into the visible spectrum. The maximum emission wavelength ( $\lambda_{\text{max}}$ ) was 394 nm at an excitation wavelength of 310 nm. From an excitation wavelength of 310 nm to 350 nm, the emission is excitation-independent with a  $\lambda_{\text{max}}$  of 394 nm. However, from 360 nm excitation-dependent emission is observed corresponding with the beginning of the tail in the absorption spectra (Fig. 6d). Excitation spectra were recorded from an emission wavelength of 390–450 nm; the spectra are the same suggesting a similar emissive state. However, at an emission wavelength of 360 nm the excitation spectrum looks more like the absorption observed in Fig. 6d with peaks at 250 nm and 280 nm (Fig. 6f).

Gaussian deconvolution of the photoluminescence for both the N-CPDs and the isolated impurity was performed to identify the components contributing to the overall photoluminescence (Fig. 6). A large contribution to the total photoluminescence at an excitation wavelength 320 nm for the N-CPD shared a considerable overlap with the emission of the isolated impurity at the same wavelength. An additional small and broad component was identified in the N-CPD that was attributed to the contribution from the N-CPD. At an excitation wavelength of 370 nm, the contribution from a molecular luminophore in the isolated impurity remained, contributing strongly to the overall photoluminescence of the N-CPD. An additional component was observed at 2.5 eV (495 nm). At an excitation wavelength of 420 nm the Gaussian deconvolution of both the N-CPD and isolated impurity appeared identical.

GC-MS analysis of the organic fraction containing impurities consistently revealed the presence of 3-aminopyridine. The photoluminescence of 3-aminopyridine exhibited optical properties similar to the synthesised N-CPDs. The photoluminescence



**Fig. 6** Gaussian peak fitting of photoluminescence data of 2H-CPDs synthesised from the 2H HTC of chitin-derived bio-oil, with excitation wavelengths of (a) 320 nm, (b) 370 nm, and (c) 420 nm. Gaussian peak fitting of photoluminescence data of the impurity fraction isolated after the 2 h HTC, with excitation wavelengths of (d) 320 nm, (e) 370 nm, and (f) 420 nm.











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