

# Polymer-drug conjugate



Showcasing research from Dr. Thompson laboratory,  
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Polymer-drug conjugates as nano-sized multi-targeting  
systems for the treatment of Alzheimer's disease

Our pioneering research focuses on nanomedicine  
and targeted drug delivery systems for treating  
neurodegenerative diseases, particularly Alzheimer's disease.  
We integrate polymer chemistry, nanotechnology, cell  
and molecular biology to develop nanosized drug delivery  
systems designed to enhance bioavailability, minimize  
side effects and provide targeted delivery. Our goal is to  
develop novel treatments to slow and reverse the effects of  
Alzheimer's through multitarget polymer-drug conjugates.

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## REVIEW

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# Polymer–drug conjugates as nano-sized multi-targeting systems for the treatment of Alzheimer's disease

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Alzheimer's disease (AD) is a progressive, neurodegenerative condition. There are clear markers for the presence and progression of the disease, including  $\beta$ -amyloid ( $A\beta$ ) plaques and Tau tangles, with many potential causes debated in the scientific community. Most existing treatments only provide symptomatic solutions. Due to poor aqueous solubility and possibly limited uptake across the blood–brain barrier (BBB), medications targeting the hallmarks of AD are still under study despite enormous efforts. Recently, nanoparticle-based drug delivery systems have demonstrated remarkable promise as precision medicines that may effectively increase bioavailability, permeate the BBB, and improve the targeting ability of a variety of pharmaceuticals. Polymer therapeutics have made tremendous progress in recent years, particularly in cancer treatment. Polymer–drug conjugates (PDCs) typically have a longer half-life, higher stability, and enhanced water solubility. Polymers serve as carriers for the administration of drugs, proteins, targeting moieties, and imaging agents in polymeric and macromolecular prodrugs. Numerous commercially viable PDCs for the treatment of various diseases have already proved their potential. This paper focuses mainly on the rationale for the design, synthesis, and potential use of PDCs as a multi-target treatment for neurodegenerative diseases.

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## 1. Introduction

Neurological disorders such as Alzheimer's disease and diseases resulting in dementia, and epilepsy are the most significant global health and social care concerns.<sup>1</sup> Alzheimer's disease (AD), the most prevalent type of dementia, is a progressive, neurodegenerative disease.<sup>2</sup> Over 27 million people worldwide, or 70% of all dementia cases, are affected by AD, and most of these cases are sporadic with age being the primary risk factor.<sup>3,4</sup> As the World Health Organisation (WHO) reported, AD and various forms of dementia ranked seventh among the leading causes of mortality in 2019.<sup>5</sup> Several drug therapies based on small molecules, monoclonal antibodies, and CRISPR/CAS9 are being developed or assessed in clinical trials based on two well-established AD mechanisms, namely the Amyloid  $\beta$  ( $A\beta$ ) plaques and Neurofibrillary Tangles (NFTs), which are regarded as key pathological hallmarks in disease progression.<sup>2,6–8</sup> As with contemporary AD therapy, however, symptomatic treatment is the primary countermeasure due to the disease's complex aetiology, relating to many endogenous (genetic) factors, and the environment.<sup>2</sup> The Food and Drug Administration (FDA) has approved just

seven drugs for the treatment of AD, four of which are acetylcholinesterase inhibitors (AChEIs): tacrine (withdrawn due to hepatotoxicity), donepezil, galantamine, and rivastigmine, and approved an *N*-methyl-D-aspartate (NMDA) receptor antagonist known as memantine.<sup>9,10</sup> Even though the marketed AChE inhibitors have been shown to improve cognitive performance, none of these treatments can delay or reverse AD progression, underscoring the complexity of the disease's genesis.<sup>11</sup> Recently the FDA approved monoclonal antibodies-based therapy, aducanumab (approved on June 7, 2021) and lecanemab (approved on January 6, 2023). They were authorised under the accelerated approval pathway and are only disease-modifying treatments for patients with mild AD.<sup>12,13</sup> It is noteworthy to mention that approval of aducanumab is still contentious due to its low efficacy in phase III trials.<sup>12</sup> Lecanemab is undergoing regulatory review in the European Union, Japan, and China, and clinical development is ongoing in several countries throughout the world.<sup>13</sup> However, the benefits of cholinesterase inhibitors surpass those of the amyloid antibody-based therapy. Many drugs are also under development to treat various AD-related causes, including neuroinflammation, neurotransmitter imbalance, oxidative stress, mitochondrial dysfunction, and neuron loss and degeneration.<sup>9</sup>

However, there are various limitations connected with existing therapy. AChEIs are associated with gastrointestinal

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adverse effects like stomach pain, nausea, weight loss, and vomiting, which most often result in the termination of treatment.<sup>14,15</sup> In addition, the therapeutic efficacy of the medicine may be hindered by biological barriers that prevent drugs from reaching the brain. These include the drug's poor physicochemical properties, resulting in low bioavailability, poor pharmacokinetics, and pharmacodynamics and due to adverse effects.<sup>16</sup>

Advanced drug-delivery systems are being developed to guide drugs more precisely towards the site of action and away from sites of toxicity, and/or to maintain drugs at a therapeutic concentration for extended periods of time.<sup>17</sup> Controlled drug delivery systems based on nanotechnology, such as polymeric nanoparticles, offer an intriguing strategy to bypass these reported and recognised disadvantages. Polymeric nanoparticles can preserve drugs from early degradation, enhance their water solubility, and ease-controlled drug release, consequently enhancing plasma circulation time, oral bioavailability, and efficacy. Synthetic polymer materials are employed in a growing number of applications and have several advantages over natural polymers. Synthetic polymers are chosen over natural polymers for the development of nanoparticles due to their superior repeatability and purity. Knowledge of the physicochemical properties of nanoparticles is crucial because it may be used to predict their physical and chemical stability, interactions between drug and polymer, *in vivo* behaviour, and trajectory of nanoparticles.<sup>18</sup>

Recently, polymer therapeutics have made tremendous progress in the treatment of cancer.<sup>17</sup> Ruth Duncan<sup>19</sup> invented the phrase “polymer therapeutics” to describe a novel class of

drugs/advanced drug delivery systems that include “polymeric drugs, polymer–drug conjugates, polymer–protein conjugates, polymeric micelles to which drugs are covalently bound, and multicomponent polyplexes being developed as non-viral vectors” (see Fig. 1).

For enhanced drug, protein, or gene delivery, all subclasses employ specialised hydrophilic polymers and sometimes amphiphilic polymers, either as the bioactive itself or as an inert functional component of a multifunctional construct.<sup>19,20</sup> From an industrial aspect, these nanosized medications are more akin to novel chemical entities than traditional “drug delivery systems or formulations” that merely entrap, solubilize, or control drug release without the need for chemical conjugation.<sup>21</sup> Conjugation of the therapeutics to the polymer enhances the pharmacokinetic and pharmacodynamic properties of pharmaceuticals in many ways. This includes improved plasma half-life, protection of the therapeutic from proteolytic enzymes, reduction in immunogenicity, improved protein stability, enhanced solubility of low molecular weight ( $M_w$ ) drugs, and the potential for targeted delivery. Most polymer therapeutics are intended for anticancer treatments, although other ailments, such as rheumatoid arthritis, diabetes, and hepatitis B and C, have also been targeted<sup>22</sup> (see Table 1).

However, polymer therapeutics have not been very well studied in the treatment of neurodegenerative diseases. Therefore, looking at the various advantages of polymer therapeutics, the current work here, provides a review on how polymer–drug conjugates can be used as an advanced drug delivery system to improve the treatment of AD and other neurodegenerative diseases.



Fig. 1 Schematic representation of polymer therapeutics (redrawn using BioRender from ref. 19).



Table 1 Marketed polymer therapeutics

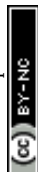
| Trade name and company                             | Polymeric carrier            | Drug                                                  | Application                                                                          | Year of approval                                                                     | Ref. |
|----------------------------------------------------|------------------------------|-------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|------|
| EMPAVELI-Apellis Pharmaceuticals, Inc.             | Polyethylene glycol (PEG)    | Cyclic peptide complements C3 & C3b inhibitor         | Paroxysmal nocturnal hemoglobinuria                                                  | 2021                                                                                 | 23   |
| SKYTROFA-Ascendis Pharma                           | mPEG                         | Human growth hormone                                  | Growth hormone deficiency                                                            | 2021                                                                                 | 24   |
| ESPEROCT™-Novo Nordisk                             | PEG (40 kDa branched PEG)    | Factor VIII                                           | Hemophilia A                                                                         | 2019                                                                                 | 25   |
| ELOCTATE™-Biogen Idec, Inc.                        | PEG                          | Factor VIII                                           | Hemophilia A                                                                         | 2019                                                                                 | 26   |
| JIVI™-Bayer HealthCare LLC                         | PEG                          | Factor VIII                                           | Hemophilia A                                                                         | 2018                                                                                 | 27   |
| ASPARLAS™-Servier Pharmaceuticals LLC              | mPEG                         | L-Asparaginase                                        | Acute lymphoblastic leukemia                                                         | 2018                                                                                 | 28   |
| REVCOTI™-Leadiant Biosciences Inc.                 | mPEG                         | Recombinant adenosine deaminase                       | Adenosine deaminase severe combined immunodeficiency                                 | 2018                                                                                 | 29   |
| PALYNZIQ-BioMarin Pharmaceutical Inc.              | PEG                          | Pegvaliase (phenylalanine ammonia-lyase)              | Phenyl ketonuria                                                                     | 2018                                                                                 | 30   |
| ADYNOVATE-Baxalta US Inc.                          | PEG                          | Factor VIII                                           | Hemophilia A                                                                         | 2015                                                                                 | 31   |
| MOVANTIK™-AstraZeneca Pharmaceuticals              | PEG                          | Naloxone                                              | Opioid-induced constipation                                                          | 2014                                                                                 | 32   |
| PLEGRIDY™-Biogen Idec Inc.                         | PEG                          | Interferon $\beta$ 1a                                 | Relapsing multiple sclerosis                                                         | 2014                                                                                 | 33   |
| KRYSTEXXA®-Savient Pharmaceuticals, Inc.           | PEG                          | Uricase                                               | Chronic gout                                                                         | 2010                                                                                 | 34   |
| OPAXIO™-Cell Therapeutics, Inc.                    | Poliglumex                   | Paclitaxel                                            | Non-small cell lung cancer                                                           | 2008 (withdrawn). In 2013 FDA approved trials on pancreatic cancer as an orphan drug | 35   |
| CIMZIA®-UCB, Inc.                                  | PEG (40 kDa branched PEG)    | Anti-TNF Fab'                                         | Rheumatoid arthritis, psoriatic arthritis, Crohn's disease, & ankylosing spondylitis | 2008                                                                                 | 36   |
| MIRCERA®-Vifor, Roche                              | PEG (30 kDa branched PEG)    | $\beta$ -Epoetin                                      | Anemia associated with chronic kidney disease                                        | 2007                                                                                 | 37   |
| Macugen (Bausch & Lomb)                            | PEG                          | Anti-VEGF aptamer                                     | Neovascular age-related macular degeneration                                         | 2004                                                                                 | 38   |
| SOMAVERT-Pfizer (Pharmacia and Upjohn Company LLC) | PEG                          | Human growth hormone receptor antagonist; pegvisomant | Acromegaly                                                                           | 2003                                                                                 | 39   |
| NEULASTA®-Amgen Inc.                               | PEG (20 kDa branched PEG)    | Granulocyte colony-stimulating factor                 | Chemotherapy-induced neutropenia                                                     | 2002                                                                                 | 40   |
| PEGASYS®-Hoffmann-La Roche Inc.                    | PEG (40 kDa branched PEG)    | Interferon $\alpha$ 2a                                | Hepatitis B and hepatitis C                                                          | 2002                                                                                 | 41   |
| PEGINTRON®-Merck & Co., Inc.                       | PEG                          | Interferon $\alpha$ 2b                                | Hepatitis C                                                                          | 2001                                                                                 | 42   |
| ONCASPAR®-Sigma-Tau Pharmaceuticals, Inc.          | L-Asparaginase-PEG           | L-Asparaginase                                        | Acute lymphoblastic leukemia                                                         | 1994                                                                                 | 43   |
| SMANCS-Astellas Pharma                             | Poly(styrene-co-maleic acid) | Neocarzinostatin                                      | Advanced and recurrent hepatocellular carcinoma                                      | 1993                                                                                 | 44   |
| ADAGEN®-ENZON Pharmaceuticals, Inc.                | PEG (5 kDa MW)               | L-Asparaginase                                        | Adenosine deaminase severe combined immunodeficiency                                 | 1990                                                                                 | 45   |

## 2. Polymer–drug conjugates

With the clinical and pre-clinical development of polymer-based nanomedicines and other biomedical applications, polymers, and polymer-based drug delivery systems have seen immense growth in the past few years. The main characteristic of polymer–drug conjugates (PDCs) is that the drug is covalently conjugated to the polymeric carrier instead of being non-covalently encapsulated within the polymeric structure. In this context, difficulties related to “burst drug release” can be resolved to a considerable extent. The drug can be covalently

bonded to the polymer *via* linkers designed to release drugs within specified structures, or at a predetermined rate *in vivo*.<sup>46</sup>

PDCs were first proposed approximately sixty-five years ago. In 1955, Jatzkewitz<sup>47</sup> attached mescaline to water-soluble poly(vinyl pyrrolidone) *via* a dipeptide spacer to create the first PDC. In the mid-1970s, Ringsdorf was the first to provide a clear analysis of a comprehensive polymer-conjugated drug delivery system.<sup>48</sup> An ideal PDC is characterised by a water-soluble polymer backbone as a vehicle and bioactive agent(s) typically attached to the polymeric scaffold *via* a biological



response linker, as per the proposed method<sup>49</sup> (see Fig. 1(D)). The choice of linkers is crucial as it enables the likelihood of influencing the percentage loading of the drug to the carrier, drug stability, controlling the site, and the rate of release of the drug from the conjugates either by hydrolysis (such as a change in pH), enzymatic degradation, or sensitivity to over-expressed diseased organ/tissue groups, % loading of the drug to the carrier, drug stability.<sup>50,51</sup> Several linkers (see Fig. 2), such as hydrazine, azo, peptides, disulphides, and Schiff bases have been utilised for the conjugation of drugs to polymers.<sup>51,52</sup>

Occasionally, a targeting moiety, solubilizer, or imaging agent is also added to the conjugate to improve its therapeutic efficacy<sup>49</sup> (see Fig. 3). Targeting moiety plays a role in delivering the conjugate to desired active site, where the targeting moiety binds to the biological target and releases the drug. Solubilizer increases the water-solubility of the conjugates.<sup>51,53</sup> The addition of imaging agents increases the retention time of the conjugates in the biological target with increased concentrations, allowing for precise disease detection and characteris-

ation.<sup>54</sup> The drug's mechanism of action is the primary consideration in the design of administration routes for PDCs.<sup>49</sup>

PDCs are commonly synthesised using one of the following three methods (see Fig. 4): (1) conjugating the drug to a synthetic polymer, (2) conjugation of the drug to a monomer, which leads to polymerisation followed by reversible addition-fragmentation transfer (RAFT), ring-opening metathesis polymerisation (ROMP), or ring-opening polymerisation (ROP), and (3) using a drug with two or more functional groups as a monomer for poly-drug polymerisation (permitting polycondensation reaction).<sup>46,55</sup> The final two methods for synthesizing PDCs were recently designed to overcome the problems of uncontrolled conjugation to the polymer backbone leading to increased drug loading, and steric hindrance during conjugation.<sup>51,55</sup>

Several characteristics are needed for the successful design of PDCs. The polymer should be non-toxic and non-immunogenic, and its molecular weight ( $M_w$ ) must be high enough to ensure long circulation (for non-biodegradable polymeric carriers, this must be  $<40\,000\text{ g mol}^{-1}$  or below to ensure renal elimination of the carrier) but low enough to ensure endocytic internalisation (typically  $<100\,000\text{ g mol}^{-1}$ ). It must carry a payload equivalent to the drug's potency, and the linker must be stable throughout transport while releasing the drug at an optimal pace upon arrival at the target site.<sup>56</sup> It also improves *in vivo* drug stability and controlled drug release rate and site.<sup>46</sup> This objective is closely tied to the choice of the proper linker or spacer, which must be stable during circulation and capable of releasing the drug under specific conditions. Despite these benefits, the complete ability of PDCs as drug delivery platforms has yet to be realised, as most of the existing "nanomedicine" drug delivery systems continue to employ the less expensive drug encapsulation technique.<sup>46</sup>

## 2.1. PDC-based combination therapy

Due to the complex aetiology of diseases such as cancer, HIV/AIDS, AD, and other neurodegenerative diseases, PDCs may also be used in the development of combination/multi-target therapies. "Combination therapy" typically refers to the concurrent administration of two or more active drugs or the combination of multiple methods of therapy for the treatment of a disease (*e.g.*, chemotherapy and radiotherapy). Combination therapy, as opposed to single-agent therapy, can affect many signalling pathways in diseased cells, improve the therapeutic index by either improved efficacy or equivalent efficacy with decreased toxicity and potentially prevent the development of drug resistance.<sup>57</sup> To date, four types of PDC-based combination therapy have been proposed (see Fig. 5). These include the administration of (i) PDC + free drug(s) (Type I), (ii) PDC + PDC (Type II), (iii) multiple drugs conjugated to a single polymeric carrier (Type III), and (iv) polymer-directed enzyme prodrug therapy (PDEPT) and polymer enzyme liposome therapy (PELT) (Type IV). In PDEPT, a combination of PDC along with a polymer carrying the enzyme handles the release of the drug at the target site, while PELT is based on the combination of a liposome-encapsulated drug delivery system and

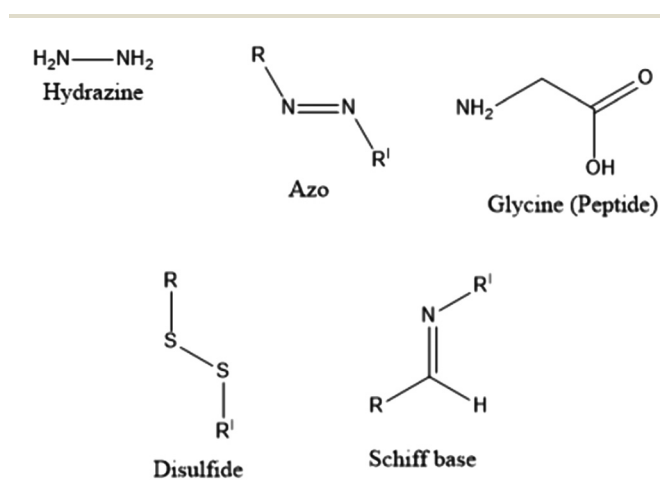


Fig. 2 Some examples of linkers utilised for the conjugation of drugs to polymers.

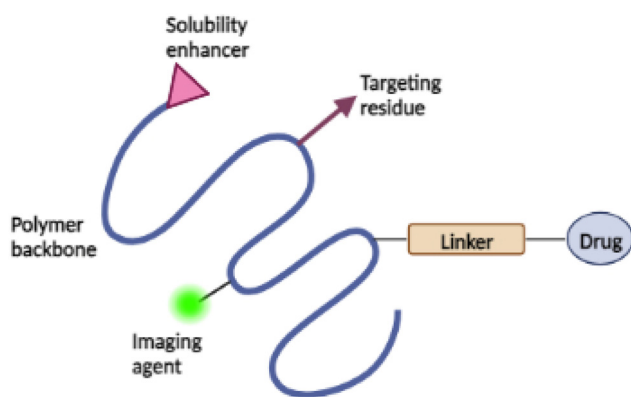


Fig. 3 Schematic representation of PDC with a targeting moiety, solubilizer, and imaging agent.



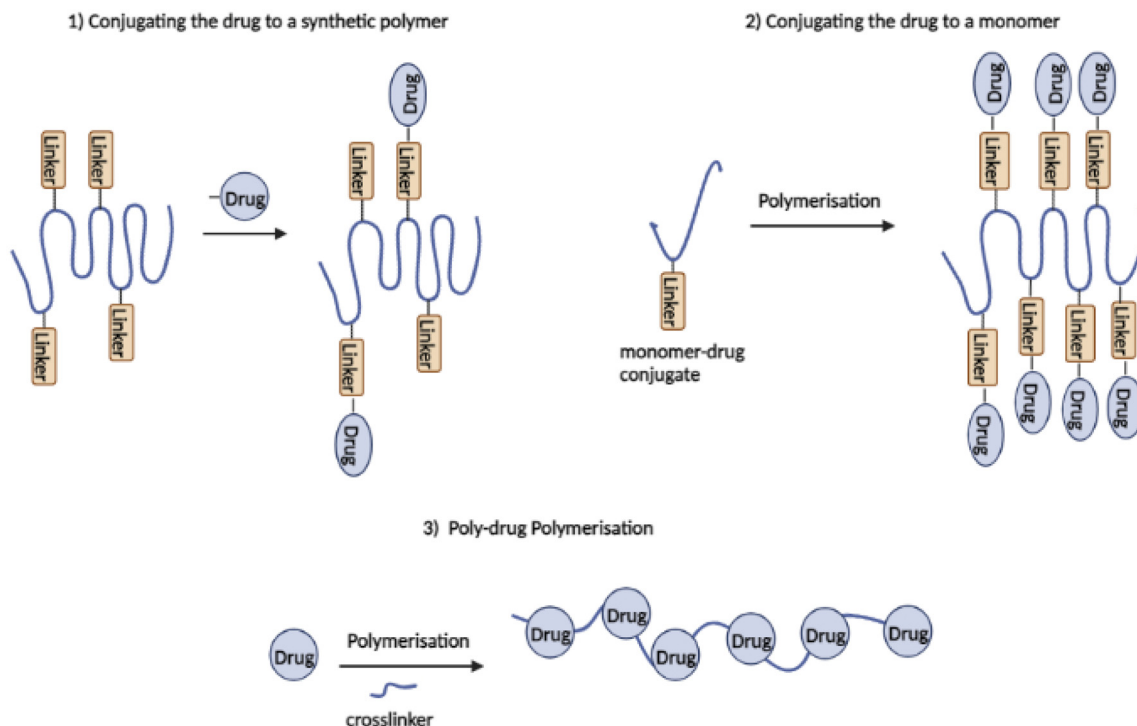


Fig. 4 Three synthetic strategies of polymer–drug conjugates (redrawn using BioRender from ref. 55).

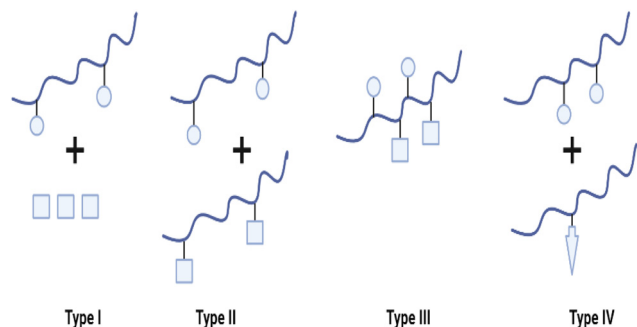


Fig. 5 Diverse types of polymer-based combination therapy: (I) a PDC carrying a single drug administered with a small  $M_w$  drug or another type of therapy (e.g. radiotherapy), (II) a combination of two different PDC each containing a single therapeutic agent, and (IV) PDEPT is based on the combination of a PDC with a PELT is an analogous technique in which a polymer–enzyme conjugate is administered in conjunction with the liposome to stimulate its breakdown, thereby allowing the encapsulated medication to be released (redrawn using BioRender from ref. 57).

a polymeric carrier carrying the enzyme responsible for liposome degradation and drug release.<sup>57,58</sup>

The administration of low  $M_w$  drug combinations is well-established clinically, especially in the treatment of cancer. However, there is no assurance that the co-administered medication reaches the active target at the same time and in the same proportion as the initially administered intravenous doses. Possibly they may not reach the active target due to

differences in the whole body and cellular pharmacokinetics. In the case of PDC-based combinations, if the PDC reaches the active site, the drug release rate can be tuned to maximise the synergistic effect.<sup>20</sup> The rationale for developing PDC-based combination therapy is straightforward. Vicent *et al.*<sup>59</sup> designed the first PDC combination HPMA copolymer–aminoglutethimide–doxorubicin conjugate (see Fig. 6). The aim of that research was to develop a polymer conjugate that would combine endocrine therapy and chemotherapy for the first time with the hope of evoking enhanced antitumor activity in breast cancer. The conjugate HPMA copolymer–aminoglutethimide–doxorubicin demonstrated significantly greater *in vitro* cytotoxicity activity against MCF-7 breast cancer cells than the parent drugs, combinations of parent drugs, or parent drug conjugated HPMA individually and mixed. For example, *in vitro* cytotoxicity ( $IC_{50}$ ) of HPMA copolymer–aminoglutethimide–doxorubicin conjugate was 40.9  $\mu M$  which was greater than HPMA copolymer–aminoglutethimide ( $IC_{50} \geq 1377 \mu M$ ) and doxorubicin ( $IC_{50} = 5238 \mu M$ ), respectively. Its enhanced activity may be attributable to several variables, including the kinetics of drug release rate, conjugate structure in solution, and the activation of specific biochemical pathways (e.g. induction of apoptosis downregulation of Bcl-2 protein).<sup>57</sup>

Another strategy for developing a Type II PDC combination is to develop a polyelectrolyte complex (PEC) between two individual polymers with opposing charges (cationic polymers, such as polyallylamine hydrochloride, polyethylenimine, chitosan, and anionic polymer, such as polyacrylic acid, sodium alginate), in which the individual drug is conjugated to two



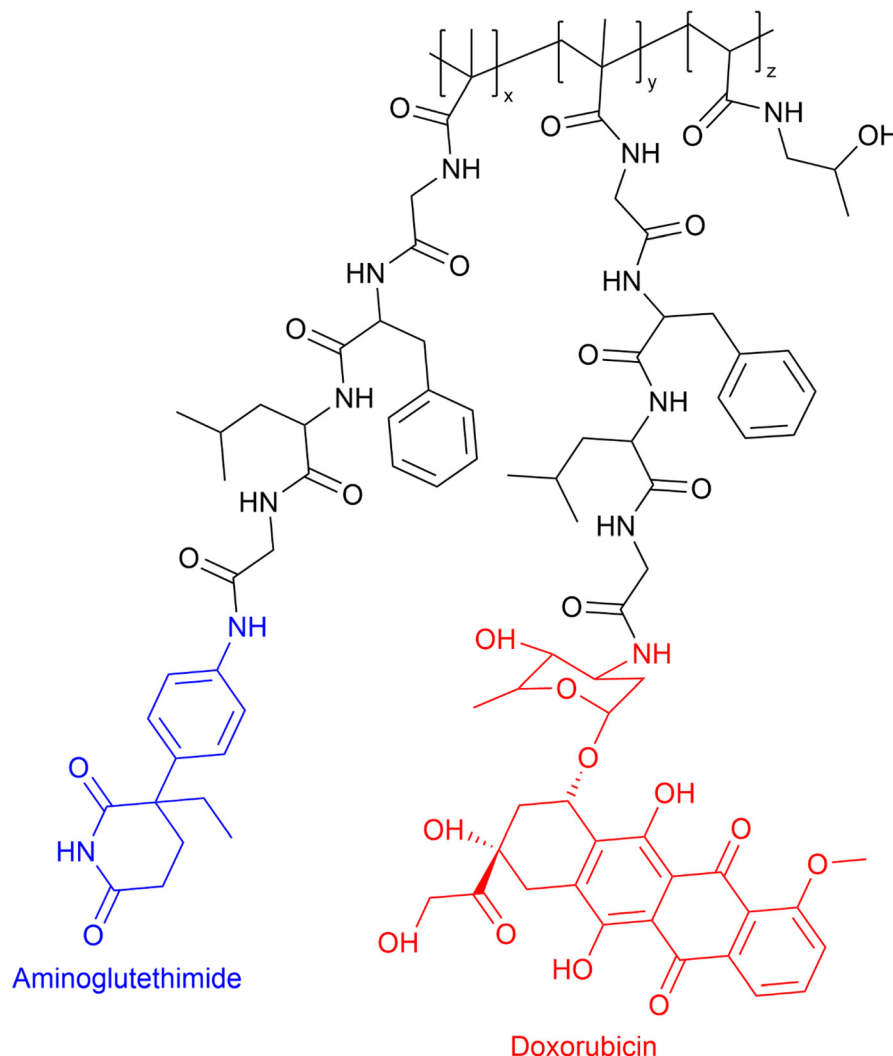


Fig. 6 First PDC combination: HPMa copolymer-aminogluthethimide-doxorubicin conjugate.

different polymers. The opposing charges on each conjugate will allow the formation of nano-polyplexes between them and facilitate both active moieties to be delivered in a single formulation<sup>60</sup> (see Fig. 7).

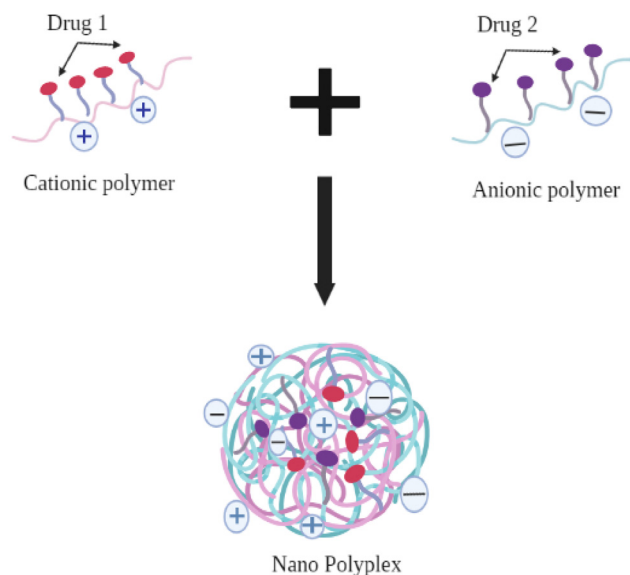
The PECs are formed because of strong electrostatic (Coulomb's) interactions between charged counterparts of at least two oppositely charged polyelectrolytes.<sup>61</sup> Quantitatively, PECs are categorized as either stoichiometric (S-PECs; PECs prepared by polymers in equimolar ratio), or non-stoichiometric (N-PECs; one polymer in excess compared to another, so that the resulting complex becomes more hydrophilic and soluble). A range of factors such as the mode of mixing polyelectrolyte solutions, ionic strength, pH,  $M_w$ , should be taken into consideration while developing a PEC complex.<sup>61</sup> PECs have been discovered to have potential applications in the formulation of oral protein delivery systems such as polymer-insulin polyelectrolyte complex as well as in the delivery of polymer-sRNA polyplex.<sup>60,62</sup>

Curcumin (see Fig. 8), a hydrophobic polyphenol, has triggered a lot of interest among scientists. Curcumin's anti-

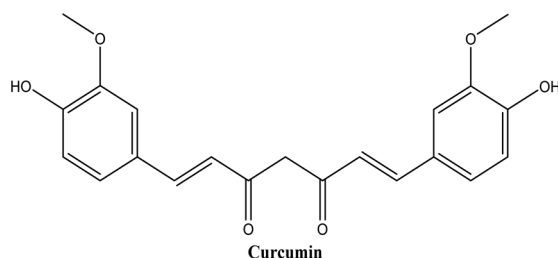
inflammatory and anti-oxidant properties have shown potential in the prevention and treatment of a variety of diseases, including cancer, cardiovascular disease, neurodegenerative disorders, and inflammatory disorders.<sup>63</sup> Regardless of its remarkable advancements in pharmaceutical applications, the therapeutic value of curcumin remains uncertain due to its poor aqueous solubility, low absorption rate, rapid metabolism, and poor bioavailability.<sup>64</sup>

Szczepanowicz *et al.*<sup>65</sup> developed nanodroplets of curcumin encapsulated in biocompatible polyelectrolyte shells: cationic polymer poly-L-lysine (PLL) and anionic polymer poly-L-glutamic acid (PGA). The average particle size of the polyelectrolyte nano-capsules was approximately 100 nm, and their zeta potential was -40 mV. The MTT assay revealed that PLL-coated nano-capsules were significantly toxic to the SH-SY5Y human neuroblastoma cell line (20–60% cell viability), whereas PLL/PGA-coated nano-capsules had no toxic effects on SH-SY5Y cells (cell viability above 80%). Curcumin encapsulated in PLL/PGA demonstrated comparable neuroprotective activity against hydrogen peroxide ( $H_2O_2$ )-induced cell injury as 5  $\mu M$  curcu-





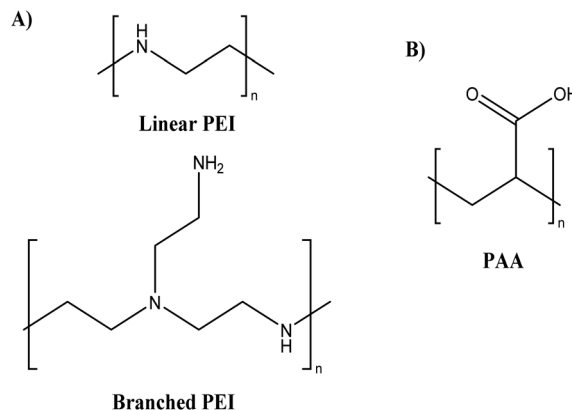
**Fig. 7** Formation of nano polyplex between cationic and anionic polymers in solution.



**Fig. 8** Chemical structure of curcumin.

min pre-dissolved in DMSO (approximately 16% protection). Determination of curcumin concentration in cell lysate confirmed that curcumin in nano-capsules has a cell-protective effect at concentrations (at least 20-fold) lower than when given alone. Intracellular mechanisms of encapsulated curcumin-mediated protection involved the prevention of the  $\text{H}_2\text{O}_2$ -induced decrease in mitochondrial membrane potential (MMP) but did not inhibit the formation of reactive oxygen species (ROS). These findings imply that polyelectrolyte shell nano-capsules could be an alternative method for the delivery of hydrophobic drugs like curcumin for Alzheimer's and other neurodegenerative disorders.

Lately, polyethyleneimines (PEIs) (see Fig. 9A), a hydrophilic, weak polyelectrolyte (polycationic), and highly basic aliphatic polymer with a 1 : 2 : 1 ratio of primary, secondary, and tertiary amino groups, has gained considerable interest.<sup>66</sup> As a non-viral gene delivery technology, PEI has been regarded as the gold standard for polymer-based gene carriers due to the exceptional transfection efficiencies of its polyplexes (complex of nucleic acid and polymer) in both *in vitro* and *in vivo* models.<sup>66</sup> However, PEI is known to have varying degree of toxic effects on cells based on its chemical structure (*i.e.*,



**Fig. 9** Chemical structure of (A) PEI and (B) PAA.

amount of primary and secondary amine).<sup>67,68</sup> Various methods have been utilised for reducing the toxicity of cationic polymers, such as PEGylation,<sup>69</sup> glycosylation,<sup>70</sup> and quaternisation<sup>71</sup> of the amino group. Hwang *et al.*<sup>72</sup> developed encapsulated anionic miRNA into thiolated disulphide-crosslinked branched PEI (SSPEI) along with rabies virus glycoprotein (RVG) peptide labelled to SSPEI polymer mediated by an NHS-PEG-MAL linker. This polyplexes structure resulted in the formation of nanosized particles (290.5 nm) by electrostatic interaction. The Cell Counting Kit 8 (CCK-8) assay revealed that the branched PEI/miRNA complex caused severe dose-dependent cellular toxicity, whereas the RVG-SSPEI/miRNA-treated group was less toxic in acetylcholine receptor-positive Neuro2a cells. This study provides evidence that the disulphide linkage-modified SSPEI exhibited less cellular toxicity when reacting with the synthetic miRNA compared to the toxicity of the branched PEI polymer. In conclusion, polymer polyplex systems can be developed as potential drug delivery systems for the treatment of neurological diseases.

Another strategy to reduce toxicity is the introduction of anionic polymers, such as poly(acrylic acid) (PAA) (see Fig. 9B), which can reduce the positive charge density by electrostatic interaction with the cationic polymers (development of PEC).<sup>73</sup> PAA is an anionic synthetic polymer having a wide range of  $M_w$  composed of acrylic acid monomers. PAA is a synthetic weak polyelectrolyte (polyanionic), non-toxic, biocompatible, and biodegradable polymer that has attracted significant attention in recent years. Due to their stability and biocompatibility, nanoparticles derived from PAA derivatives can be employed to deliver drugs.<sup>74</sup> Tripathi *et al.*<sup>75</sup> developed nanocomposite/pDNA complexes by electrostatically and covalently linked nanocomposites of polyethyleneimine (PEI) and PAA and evaluated them for their cytotoxicity on HEK293 and CHO cell lines. The MTT results revealed that both complexes of nanostructures showed no cytotoxicity (cell viability  $\geq 80\%$ ) at their best working w/w ratios compared to the complexes of branched PEI/pDNA (cell viability = 53%) and Lipofectamine (cell viability = 47%), respectively, in both cell lines. These results showed that a reduction in the density of primary



amines through electrostatic and covalent interaction with PAA significantly reduced the toxicity of the complexes.

Attaching poorly soluble drugs to these water-soluble polymers will increase their aqueous solubility.<sup>76</sup> Regarding uptake, this can be improved by increasing the circulation time of the active compounds and by easing the crossing of the BBB. For the former, it is well established that increasing the molar mass of active compounds will increase their circulation time as this class of drugs accumulates at the targeting site.<sup>56,76</sup> Thereby, reducing the amount of drug which is cleared/metabolised by, *e.g.*, reducing glomerular filtration.<sup>77</sup> To promote transport across the Blood-Brain Barrier (BBB), five fundamental transport pathways that allow solute molecules to cross the BBB can be utilised as per the conjugates mechanism which is discussed in Section 3.1 in detail.<sup>78</sup> Additionally, the efficacy of some drugs is their propensity for degradation *in vivo*.<sup>79</sup> This means that, although they produce promising data *in vitro*, those are not translated *in vivo*. By conjugating the drug to a polymer and its formulation as a polyplex, it should be shielded from degradation, whether by changes in pH, or enzymatic.<sup>60</sup>

PDC-based-PEC combination can provide various advantages such as controlled drug delivery, reduced toxicity, increased bioavailability, and prevent degradation of drugs. However, this strategy is still yet to be explored as a multitarget treatment for neurodegenerative and other diseases.

### 3. PDCs for the treatment of neurodegenerative diseases

Nanotechnology has the potential to treat neurodegenerative diseases by providing multiple solutions to overcome BBB and to deliver drugs to a specific target in the brain and release them in a controlled manner.<sup>80</sup> PDCs are potential options for the treatment of neurodegenerative diseases because they possess important qualities such as (i) enhanced bioavailability and stability, (ii) reduced toxicity (iii) enhanced circulation time (iv) addition of targeting moiety and imaging agent for specific active targeting, and (v) controlled release using cleavable linkers under specific environments. These characteristics allow PDCs to circumvent the primary limitations of low  $M_w$  drugs. PDCs are additionally more chemically stable in plasma, less immunogenic, and contain particle sizes below 50 nm for enhanced penetration in comparison to other nanocarriers such as micelles and liposomes.<sup>81</sup>

N-Acetylcysteine (NAC) (see Fig. 10A), a glutathione precursor with strong antioxidant, pro-neurogenesis, and anti-inflammatory properties, has acquired considerable potential in clinical applications for stroke, neuroinflammation, and neurodegenerative diseases.<sup>82,83</sup> However, NAC must be administered in higher and repetitive doses. Due to the presence of unbound sulfhydryl groups in NAC, which are capable of spontaneous oxidation and forming disulphide bonds with plasma proteins, NAC has poor bioavailability and blood stability.<sup>82</sup> Early pharmacokinetic studies have demonstrated limited oral

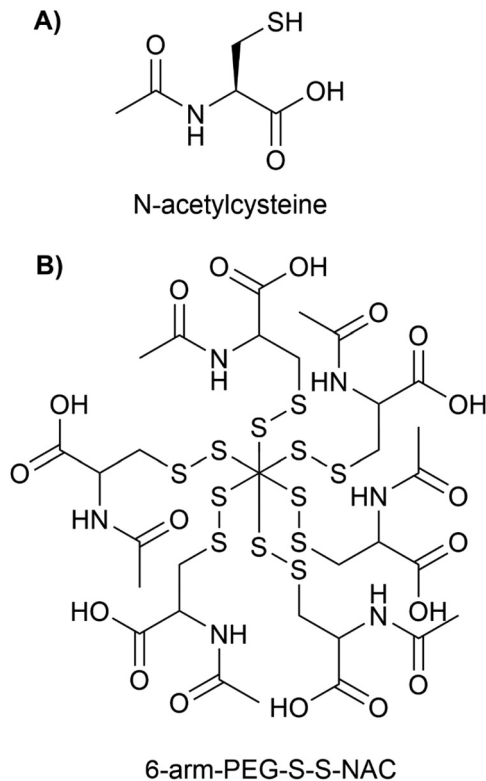


Fig. 10 Chemical structure of (A) N-acetylcysteine and (B) 6-arm-PEG-S-S-NAC.

bioavailability of NAC (in the range of 6% and 10%), which was attributed to low blood concentrations of NAC.<sup>84,85</sup> The administration of large doses can result in cytotoxicity and adverse effects, such as elevated blood pressure.<sup>83</sup> Navath *et al.*<sup>86</sup> developed conjugates of NAC with thiol-terminated multi-arm (6 and 8) poly(ethylene-glycol) (PEG) with disulfide linkages involving sulfhydryls of NAC for the treatment of neuroinflammation in maternal-fetal applications (see Fig. 10B for the structure of 6-arm-PEG-S-S-NAC).

The conjugates 6-arm-PEG-S-S-NAC and 8-arm-PEG-S-S-NAC had particle size and zeta-potential of 21–28 nm, −12.68 mV and 34–43 nm, −10.75 mV respectively. Both the conjugates released 74% of NAC within 2 hours *via* thiol exchange reactions in the intracellular redox milieu provided by glutathione (GSH) (2–10 mM). Both conjugates were stable at physiological extracellular glutathione concentrations (2  $\mu$ M) and did not release NAC. The MTT results revealed that both conjugates at concentrations varying from 0.05 to 5 mM exhibited no cytotoxicity (cell viability > 80%) in BV-2 microglial cell lines. The conjugates inhibited lipopolysaccharide (LPS)-induced ROS, free radical nitrile (NO), GSH, and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) production in BV-2 cells by 2-fold as effectively as the free NAC. In conclusion, conjugates surmount the limitations of free NAC and demonstrated greater anti-inflammatory efficacy at lower doses than free NAC. To demonstrate its promise in treating neuroinflammation, however, extensive *in vivo* testing and evidence of transport across the BBB, are



still required to ensure the therapeutic usefulness of this novel PDC.

Donepezil (see Fig. 11A), is a piperidine-derived cholinergic medication that is reversible and non-competitively inhibits acetylcholinesterase. Furthermore, donepezil functions at the molecular and cellular level in the pathogenesis of AD, inhibiting different aspects of glutamate-induced excitotoxicity, reducing early production of inflammatory cytokines, inducing a neuroprotective isoform of AChE, and decreasing oxidative stress-induced effects. It was authorised for the treatment of mild to moderate cases of AD in 1996.<sup>87</sup> Singh *et al.*<sup>88</sup> synthesised dendrimer–donepezil conjugates to treat AD with enhanced brain delivery and enhanced *in vivo* pharmacokinetics. It has been documented in the scientific literature that positively charged NPs in conjunction with mucus have improved cellular uptake.<sup>89</sup> This suggests that positively charged dendrimers may aid in the transfer of drugs to the brain through parenteral administration. This strategy is based on the premise that polyamidoamine (PAMAM) dendrimers may be suitable for improved brain delivery of donepezil *via* conjugation. Amine-terminated 4.0 G PAMAM dendrimers with an ethylenediamine core were produced. After conjugating the synthesised PAMAM dendrimer with the donepezil-ester, the donepezil (ester)–PAMAM conjugate (PDZ) was produced (see Fig. 11B). Approximately 26% of donepezil molecules were coupled with PAMAM molecules, and 16 donepezil

molecules were attached to each PAMAM molecule. The reported PDZ conjugate size and zeta-potential were  $122 \pm 1.88$  nm and  $0.434 \pm 0.322$  mV, respectively. Release tests (*in vitro*) indicated that donepezil was released in a sustained manner for 120 hours at physiological pH. The *in vitro* AChE inhibitory activity of PDZ formulation at  $1 \mu\text{M}$  dosage was substantially greater than that of donepezil alone ( $p < 0.05$ ). In *in vivo* investigations, the brain absorption of PDZ following intravenous treatment in Sprague-Dawley rats was significantly greater than that of the parent drug. Improved pharmacokinetic characteristics were the result of plasma drug concentration investigations. Half-life, volume of distribution, and clearance were determined to be  $5.75 \pm 0.41 \text{ h}^{-1}$ ,  $0.135 \pm 0.02 \text{ L}$ , and  $0.016 \pm 0.0021 \text{ L h}^{-1}$  for the PDZ formulation and  $1.09 \pm 0.10 \text{ h}^{-1}$ ,  $0.172 \pm 0.016 \text{ L}$ , and  $0.108 \pm 0.014 \text{ L h}^{-1}$  for the donepezil solution, respectively. In the case of the dendrimer-conjugated formulation, a longer half-life and a fourfold increase in brain absorption were reported, indicating that the synthesised conjugates give much more donepezil brain delivery. The developed PDZ-conjugated formulation enhanced both *in vitro* AChE inhibition and *in vivo* brain delivery.

Various combination therapies for AD are under clinical trials that target different pathways, such as cromolyn and ibuprofen (ALZT-OP1, AZTherapies Inc., phase 3) targeting amyloid and inflammation, dextromethorphan and quinidine (AVP-923/Nuedexta, Avanir Therapeutics, phase 4) targeting

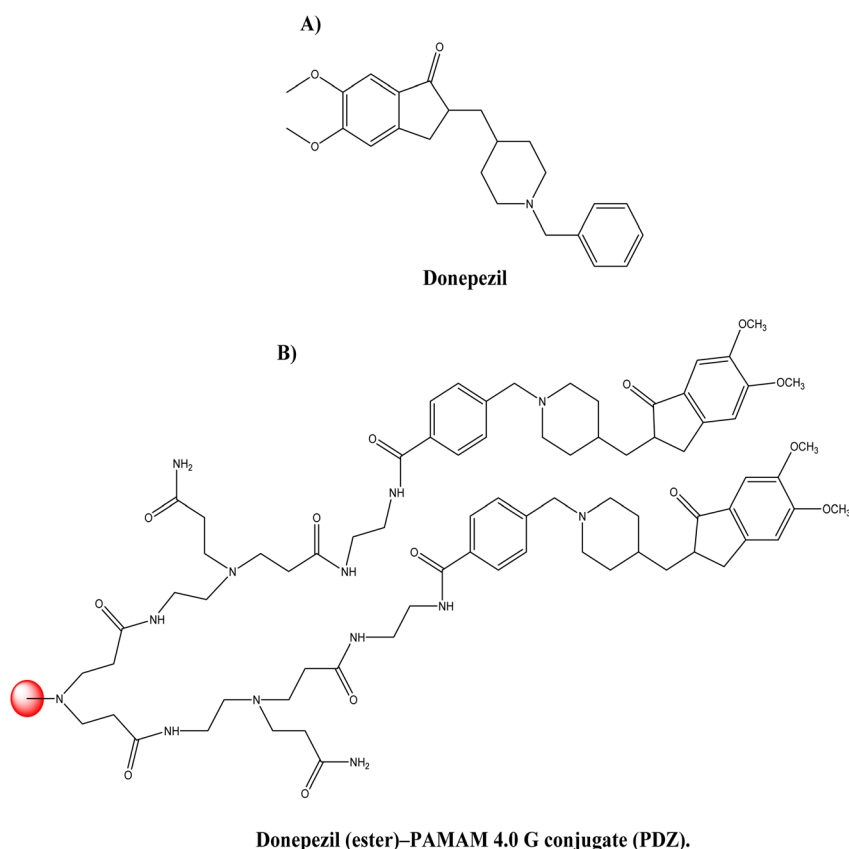


Fig. 11 Chemical structure of (A) donepezil and (B) donepezil (ester)–PAMAM 4.0 G conjugate (PDZ).



neurotransmitters.<sup>90–92</sup> Following this strategy, Castano *et al.*<sup>92</sup> modified St-Cl-polyglutamic acids (PGAs) by postpolymerization through rationally designed linkers (amide, disulphide, and ester linkers) to bear a combination of neuroprotective propargylamine residues (Pr) (see Fig. 12A) and bisdemethoxycurcumin (BDMC) (see Fig. 12B), a polyphenolic curcuminoid that targets different AD pathological pathways.

To improve the ability of the combination polypeptide-based nanoconjugates to cross the BBB, the conjugates were modified to carry Angiopep-2 (ANG), a specific peptide ligand for the low-density lipoprotein receptor-related protein 1 (LRP1) that promotes transcytosis from blood to brain and thus serves as a target for substance delivery into the CNS (Central Nervous System). In AD, LRP1 expression in the brain endothelium mediates the rapid elimination of A $\beta$  from the brain *via* transport across the BBB, making LRP1 a potential therapeutic target. The particle size and zeta-potential of the St-Cl-Pr-BDMC-ANG conjugate were  $22 \pm 4$  nm and  $-30 \pm 2$  mV, respectively. On the SH-SY5Y neuroblastoma cell line and primary neuronal cells, the toxicity of the St-Cl-Pr-BDMC-ANG conjugate was insignificant. In organotypic hippocampal cultures, an increase in the dendritic density of pyramidal neurons demonstrated the neuroprotective and neurotrophic effects of nanoconjugate ( $P > 0.001$ ). The targeting moiety of Angiopep-2 improved nanoconjugate passage through the BBB and modulated brain distribution, with nanoconjugate accumulation in neurogenic regions, such as the olfactory bulb. Nanoconjugate treatment effectively decreased neurotoxic amyloid aggregate levels ( $P \leq 0.05$ ) and reversed impairments in olfactory memory and object recognition ( $P \leq 0.0001$ ) in APP/PS1 transgenic AD model mice. Overall, this study provides a description of a targeted combination polyglutamate-based nanoconjugate with neuroprotective and neurotrophic potential for AD treatment. Zhang *et al.*<sup>93</sup> designed thermodynamically ultra-stable insulin-containing dextran-nateglinide conjugated prodrug micelles (NA/INS) (see Fig. 13A and B) and dextran-vitamin E succinate conjugated prodrug micelles (VES/INS) (see Fig. 13C and D) to synergistically treat dual symptoms of oxidative stress and glycometabolic abnormality by activating the PI3K/AKT and AMPK signal pathways. In comparison to macromolecular micelles, dextran-conjugated prodrug micelles with ultra-low CMC exhibit superior anti-electrolyte and anti-surfactant stability. The particle size of the conjugates VES/INS and NA/INS was  $114.1 \pm 1.2$  nm and  $105.7 \pm 2.5$  nm, respectively. According to the cell viability results, neither conjugate at a concentration of  $1 \text{ mg mL}^{-1}$  was cytotoxic to SH-SY5Y cell lines. NA/INS and VES/INS conjugates were efficiently cleaved by ROS, resulting in the release of over 80% of the encapsulated and conjugated drugs. NA/INS conjugates increased glucose uptake and insulin sensitivity *via* activation of the AKT and AMPK1 pathways, whereas VES/INS conjugates decreased neuron oxidative stress *via* reduction of ROS. Additionally, the conjugates improved metabolic dysfunctions in SAMP8 mice by repairing damaged mitochondria, lowering insulin resistance, and reducing oxidative stress. This study demonstrated a study for AD combination therapies with high specificity and efficacy.

Naki *et al.*<sup>94</sup> synthesised a class of polyamidoamine-based PDCs containing tacrine, memantine and (*E*)-*N*-(3-aminopropyl)cinnamide alone as well in a combination of memantine and tacrine, tacrine and (*E*)-*N*-(3-aminopropyl)cinnamide, and memantine and (*E*)-*N*-(3-aminopropyl)cinnamide (see Fig. 14). All the conjugates exhibited particle size below 400 nm except for memantine conjugate ( $3075.0 \pm 831$  nm), tacrine, and *N*-(3-aminopropyl)cinnamide combined conjugate ( $499.4 \pm 74$  nm) while the tacrine conjugate particle size could not be determined. The drug release from the conjugates was sustained for 10 h in the range of 20–36%. All conjugates were more effective at inhibiting AChE ( $\text{IC}_{50} = 13\text{--}44.4 \text{ }\mu\text{M}$ ) than tacrine ( $\text{IC}_{50} = 1698.8 \text{ }\mu\text{M}$ ). Docking analyses confirmed that conjugates enhanced anti-acetylcholinesterase activity. However, extensive *in vivo* testing is still necessary to determine the therapeutic utility of this novel PDC in the treatment of AD.

It is important to note that the PDC studies described above are still in the initial stages of development, but the results are encouraging for the future prospects of achieving successful drug delivery for neurodegenerative disease.

3.1. Transport mechanism of PDCs in the blood-brain barrier

### 3.1. Transport mechanism of PDCs in the blood-brain barrier

There are promising treatments against A $\beta$  toxicity;<sup>95,96</sup> however, nanocarriers are needed to explore their maximum effect on CNS cells. The availability of medications in the CNS is the greatest challenge in AD therapy. The fundamental cause is the presence of a fully functional, semipermeable BBB, which impedes the migration of neurotherapeutic molecules (such as drugs, peptides, and vectors) into the CNS.<sup>97</sup> The BBB is primarily composed of the tight brain endothelium, which is surrounded by the basal lamina and regulated by the pericytes, glial cells, and neurons of the neurovascular unit. Neurovascular unit-affiliated cells influence the permeability of the brain endothelium, which is affected by the majority of CNS pathologies.<sup>98</sup> Numerous CNS pathologies are characterised by dysfunctional BBB endothelial cells. This dysfunction is frequently associated with neuroinflammation,

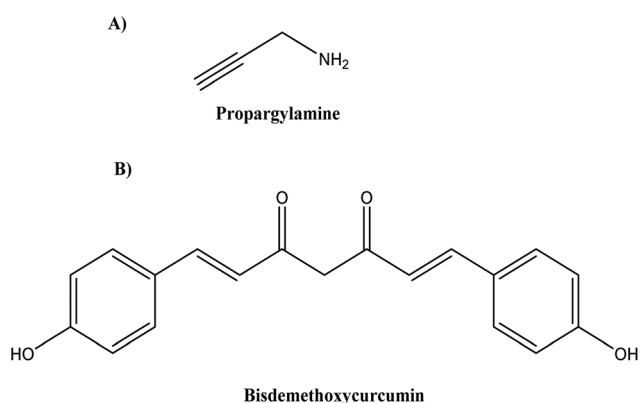
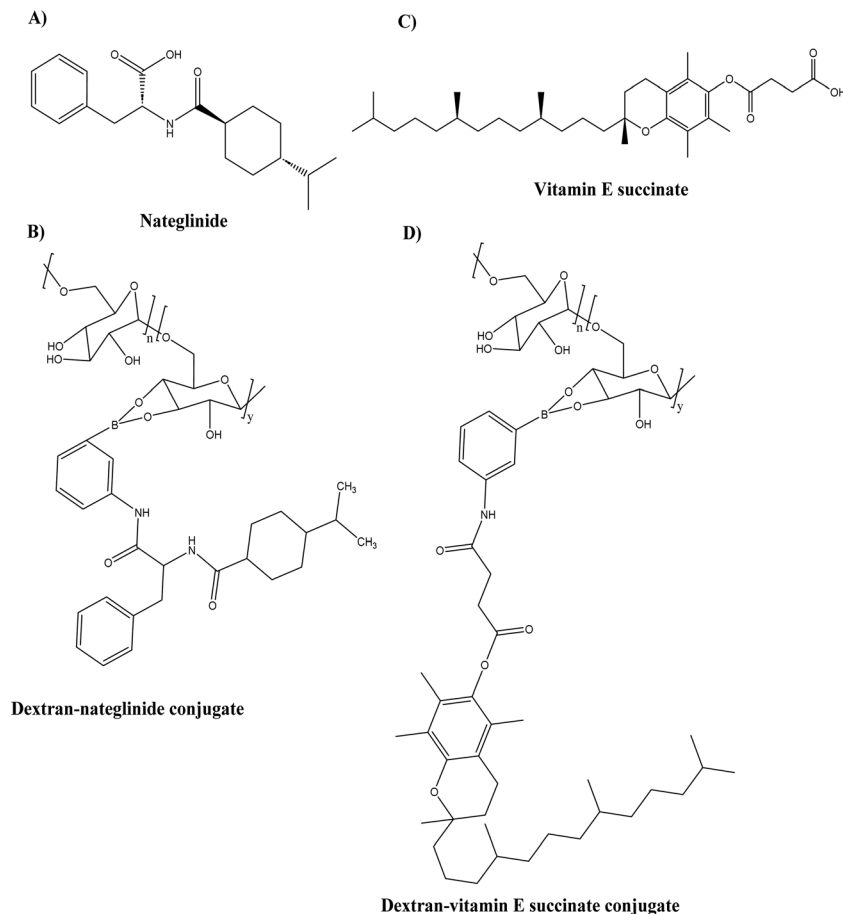


Fig. 12 Chemical structure of (A) propargylamine and (B) bisdemethoxycurcumin.





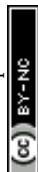
**Fig. 13** Chemical structure of (A) nateglinide, (B) dextran–nateglinide conjugate (C) vitamin E succinate, and (D) dextran–vitamin E succinate conjugate.

which alters the BBB's permeability. Therefore, the tight junctions between endothelial cells are disrupted, allowing additional substances to pass through the BBB or macrophages to penetrate the CNS. This permeability alteration can be seen in neuroinflammation caused by bacterial lipopolysaccharides (LPS). In the context of AD, the permeability of BBB is modified as a result of reduced expression of P-glycoprotein. This reduction in expression leads to a decrease in the effectiveness of efflux pumps in facilitating the transport of neurotoxic substances from the brain back into the bloodstream.<sup>99</sup> BBB dysfunction is typically only significant in the later stages of the disease and at the most severely affected sites.<sup>98</sup> Effective delivery of therapeutic agents is impeded by the BBB and its selective transport of molecules into the brain. In addition, the BBB has a negative impact on therapeutic efficacy and tolerance, as substantial dosages of medicines are needed to reach concentrations above the brain's minimal effective concentration. Nanotechnology, including nanoparticulate systems, can be employed as 'Trojan horses' to carry active compounds across the BBB, thereby decreasing toxicity and enhancing therapeutic efficacy.<sup>97</sup>

The BBB blocks many drugs from entering the CNS. Not only are large molecule drugs unable to cross the BBB, but

98% of all small compounds are also unable to easily traverse the BBB.<sup>78,100</sup> The BBB holds a variety of highly selective mechanisms for nutrition delivery into the brain. There are five fundamental transport pathways that allow molecules to cross the BBB (see Fig. 15).

The first is passive paracellular diffusion (PPD), which is the movement of molecules through epithelial cells *via* intercellular gaps. Due to the existence of tight junctions, this pathway is non-existent in a healthy BBB. The second process is passive transcellular diffusion (PTD), in which molecules diffuse past the bilayer cell membrane into the intracellular space. The third is solute carrier proteins (SCP) are a type of carrier-mediated endocytosis whereby solute molecules bind to certain membrane protein carriers, also from high to low concentration.<sup>100</sup> Fourth is transcytosis, a process by which big molecules traverse the BBB and enter the CNS. This process is dynamic, saturable, and pH- and temperature-dependent. There are two mechanisms to carry out transcytosis: receptor-mediated transcytosis (RMT) and adsorptive-mediated transcytosis (AMT). Electrostatically charged and polycationic AMT molecules or nanoparticles, such as protein and peptides, bind to the anionic molecules of the luminal surface of the endothelial cells, which are then internalised in vesicles and



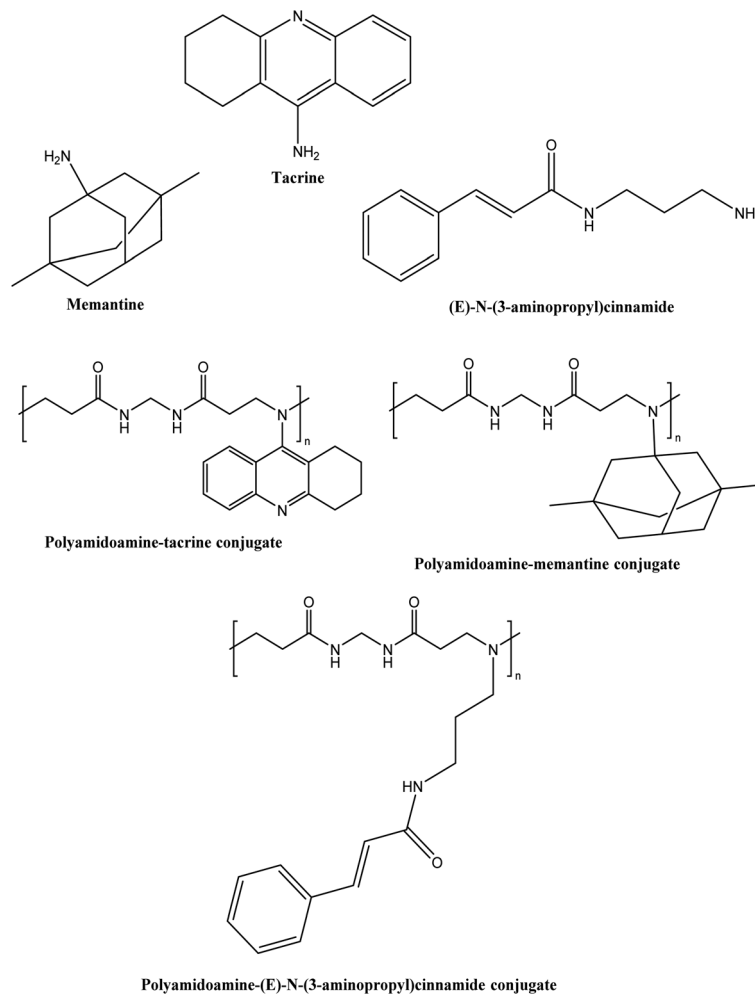


Fig. 14 Chemical structure of tacrine, memantine, (E)-N-(3-aminopropyl)cinnamide and their conjugates.

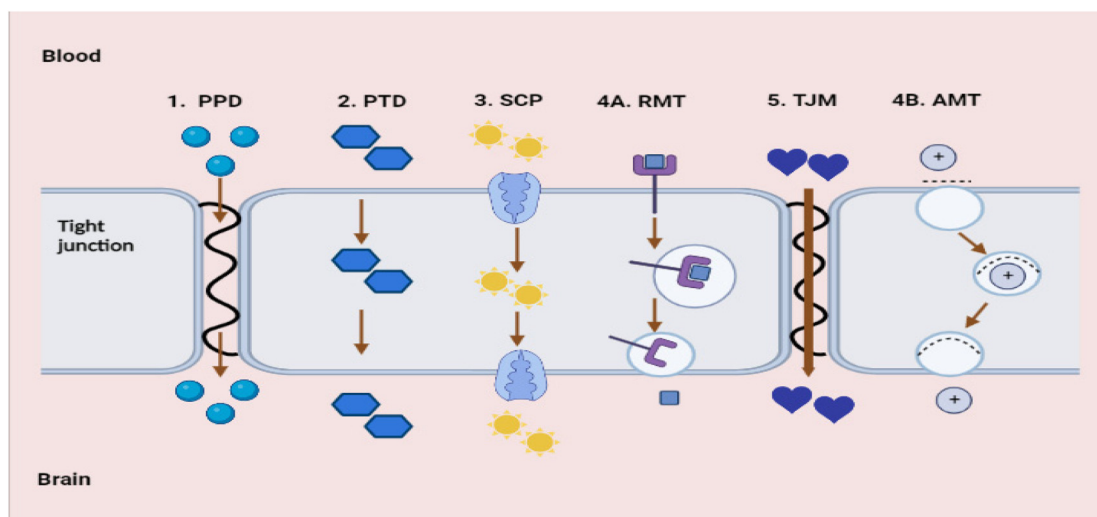


Fig. 15 Transport pathways allow molecules to cross the BBB (redrawn using BioRender from ref. 78). (1) PPD – passive paracellular diffusion. (2) PTS – passive transcellular diffusion. (3) SCP – solute carrier protein influx. (4A) RMT – receptor-mediated transcytosis. (4B) AMT – adsorptive-mediated transcytosis. (5) TJM – tight junction modulation.



transported through the membrane. On the luminal surface of the endothelial cells. During RMT, specialised receptors (transferrin receptors, insulin receptors) exist for specific substances. These receptors, unlike other receptors, bind to a set of extremely specific ligands; upon binding, the receptor molecules are internalised, and the ligand is delivered into the brain. This is the major method through which macromolecules including insulin, low-density lipoproteins, transferrin, and growth factors penetrate the BBB. Regarding RMT, there have been many breakthroughs in the field of medication administration; many receptors have been exploited to boost brain delivery. In AD, transferrin receptors carrying antibodies,<sup>101</sup> conjugated dopamine,<sup>102</sup> and insulin receptors targeting enhanced insulin delivery have been explored.<sup>103</sup> These are just a few instances of how RMT mechanisms can be used to distribute therapeutic nanoparticles throughout the CNS.<sup>104</sup>

Tight junction modulation (TJM) is the final mechanism, which does not readily occur in a healthy BBB and whose mechanism of action is not entirely understood.<sup>78</sup> Furthermore, small molecule compounds can be tailored to penetrate the BBB *via* endogenous carrier-mediated transport mechanisms expressed in the brain capillary endothelium. Utilizing molecular Trojan horse technology that targets the endogenous RMT systems produced within the brain capillary endothelium, large molecule drugs, like recombinant proteins, peptides, and antisense radiopharmaceuticals can be transported over the BBB.<sup>78</sup>

### 3.2. PDC delivery route targeting CNS

The intravenous (IV) route is the most commonly used in clinical settings, and the majority of polymer therapeutics developed to date are intended for this route. As discussed earlier, for IV treatment to be effective, PDCs must be designed with sufficient blood stability and compatibility (low interaction with plasma proteins and cells) and extended circulation times. In the case of brain disorders, targeting relevance remains essential to enhance brain accumulation after intravenous administration and prevent the majority of the administered dose from reaching the clearance organs.<sup>105</sup>

Regarding CNS drug delivery, two additional obstacles must be considered: crossing the BBB and diffusing throughout the brain parenchyma for the drug to reach its target once inside the brain.<sup>105</sup> Zheng *et al.*<sup>106</sup> developed an intravenous hybrid siRNA delivery system for AD based on polyethylene glycol-modified poly(2-(*N,N*-dimethylamino)ethyl methacrylate), an ideal carrier with relatively low toxicity and high transfection efficiency, conjugated with CGN peptide for brain targeting, and A $\beta$ -targeting peptide and tested whether the complexes could overcome the obstacles described above. In transgenic AD mice, the hybrid complex entered the brain in an intact manner and localised around the plaques after an IV injection. The precise delivery of amyloid plaques led to an increase in therapeutic activities, as evidenced by the strong mRNA (36.4%) knockdown of BACE1 (a therapeutic target of AD), reduction of soluble amyloid precursor protein (sAPP $\beta$ )

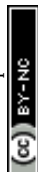
(42.6%) and resulted in better neurons protection than the single component complexes.

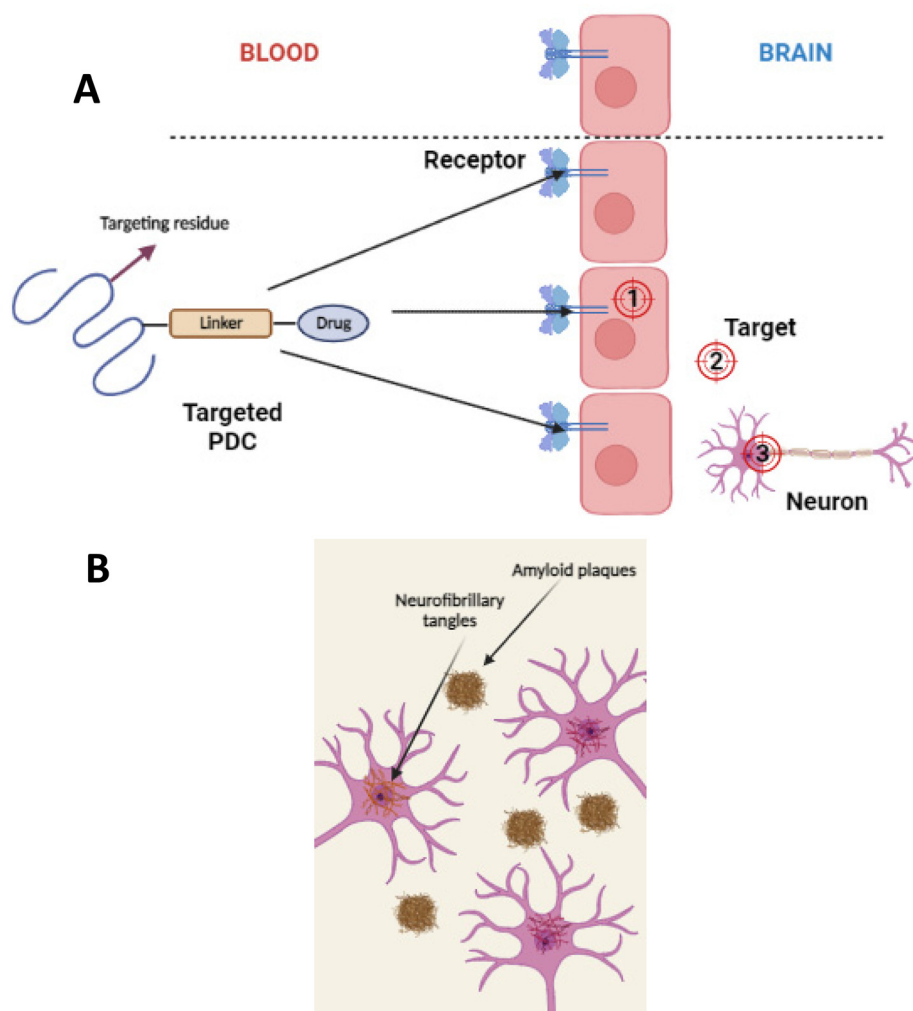
An additional alternative route to directly target therapeutics to the CNS while completely circumventing the BBB is an Intranasal (IN) administration.<sup>105</sup> Drug delivery after IN administration is based on the idea that drugs can exit the nose submucous space and cross the arachnoid membrane, entering olfactory CSF. This could potentially enter the brain from the CSF flow tracts. Two factors to consider are any drug that enters into olfactory CSF will exit the CSF flow tracts and enter the peripheral bloodstream. Another one is the high resistance of the arachnoid membrane, which separates olfactory CSF from the nasal spaces, and the volume of drug administered into the nose. Lipid-soluble small molecules can only cross the membrane without disruption, while physically or chemically disrupted membranes may allow drug entry. The human nasal cavity can only receive about 100  $\mu$ L per nostril without local injury.<sup>100</sup> Alternately, drugs may utilise axonal transport *via* the trigeminal nerves, which innervate the respiratory region, the largest region of the nasal cavity, thereby facilitating access to the caudal and rostral regions of the brain *via* the pons. IN permits increased brain targeting ( $\approx$ 10-fold) compared to IV administration, necessitating lower drug doses for effective clinical outcomes and minimising off-target effects. However, not all drugs/biologicals can cross the nasal barriers, and only 1% of the total dose of those can reach the brain, demonstrating the need for novel drug delivery systems to increase this proportion.<sup>105</sup> In the case of PDCs, this route is still yet to be explored for neurodegenerative diseases.

### 3.3. Targeted delivery using PDC

For PDC design, it is essential to figure out the location of the target. Whether the target is intracellular in the brain capillary endothelial cells, extracellular in the brain's extracellular space and/or the neuron/glia cell surface, or intracellular in brain cells (neurons, astrocyte, dendritic cells, and pericytes) (see Fig. 16(A)).<sup>107</sup> Based on the pathological hallmarks of AD *i.e.* A $\beta$  plaques (extracellular insoluble protein deposits) and NFTs (intracellular aggregation of tau), the target can be extracellular in the brain extracellular space or intracellular in brain cells<sup>108,109</sup> (see Fig. 16(B)).<sup>110</sup> Therefore, the PDCs should be able to reach the targeted location, and this can be achieved by attaching an imaging agent to the PDC also known as theranostic agents such as curcumin,<sup>111</sup> and Congo red<sup>112</sup> (see Fig. 17).<sup>113</sup>

The optical anisotropy of amyloids upon binding with Congo red and the resulting signature of apple-green birefringence makes this technique one of the most reliable for detecting amyloids in tissues and clinical samples.<sup>114</sup> Hu *et al.*<sup>112</sup> successfully developed Congo red/Rutin-magnetic nanoparticles as nano theranostics that could detect A $\beta$  plaques by magnetic resonance imaging (see Fig. 17). The nanoparticles achieved targeted delivery of AD therapeutic agents, controlled drug release by H<sub>2</sub>O<sub>2</sub> responses, and prevented oxidative stress in both *in vitro* and *in vivo* studies. In general, conjugating





**Fig. 16** (A) Divergent targeting of macromolecular drugs to the BBB/brain. (1) Intracellular targets in the capillary endothelial cells of the brain. (2) Extracellular targets in the extracellular space or neuronal/glia cell surface of the brain. (3) Intracellular targets in brain cells, including neurons, astrocytes, dendritic cells, and pericytes (redrawn using BioRender from ref. 107). (B) Formation of A $\beta$  plaques in the extracellular space of the brain and intracellular formation of NFTs in the neurons (redrawn using BioRender from ref. 110).

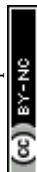
Congo red with PDCs as nano theranostics could provide a new avenue for applications in AD theranostics.

### 3.4. *In vitro* BBB models

The BBB continues to pose the greatest obstacles to drug development for neurological diseases. Despite the use of animal models, it is difficult to predict drug responses in humans due to species differences. In addition, the complex physiology of animal models makes it challenging to conduct mechanistic studies and direct quantitative analysis of nanoparticles with the barrier at the molecular and cellular levels in real-time. To overcome these obstacles, it is of utmost importance in developing an *in vitro* model that mimics the human BBB and reproduces the key relationships between healthy and dysfunctional barrier functions under controlled conditions.<sup>115</sup> *In vitro* models are viable options for gaining a comprehensive understanding in the progression of neurological diseases and for facilitating drug discovery.<sup>116</sup> Petri dish models and transwell

models are traditional *in vitro* BBB models.<sup>116–118</sup> The Petri dish model is highly effective in assessing the cytotoxicity of potential drug candidates, as well as elucidating the mechanism and function of transporter proteins. However, they are unsuitable for evaluating drug transport.<sup>117,118</sup> Alternatively, transwell models are commonly used in investigations on drug transportation across the BBB by culturing endothelial cells on semi-permeable microporous inserts.<sup>118</sup> Table 2 provides information on traditional *in vitro* BBB models used in AD research.

Recently, microfluidic models of the BBB have garnered significant interest due to their ability to incorporate various properties, including, flow-induced shear stress, selective permeability, fluid dynamics, cellular tension, measurement of transendothelial electrical resistance (TEER – which helps to detect the barrier integrity of the brain), and cultivation of multiple cell types. The effective cultivation of cells that can replicate *in vivo* physiological conditions involves the utilis-



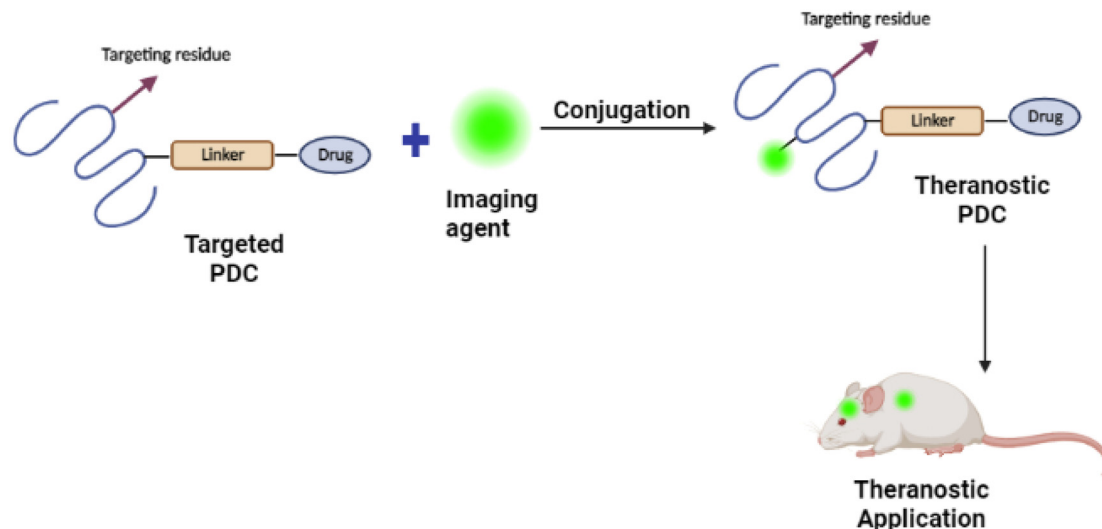


Fig. 17 Schematic representation of the development of PDC-based theranostic nanoparticles (redrawn using BioRender from ref. 113).

Table 2 Traditional *in vitro* BBB models used in AD<sup>116,117</sup>

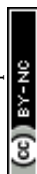
| <i>In vitro</i> BBB model | Application                                                                                               | Advantages                                                                                                                                                                                                                                                                          | Disadvantages                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|---------------------------|-----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Petri dish models         | Study of neuronal death through A $\beta$ -induced damage                                                 | <ul style="list-style-type: none"> <li>• Inexpensive</li> <li>• Easy to standardize</li> <li>• Large quantities</li> <li>• Simple fabrication</li> <li>• Control over the microenvironment optically</li> </ul>                                                                     | <ul style="list-style-type: none"> <li>• No shear stress</li> <li>• Limited to monolayers</li> <li>• Limited in understanding the mechanisms of AD pathology including AD-related BBB dysfunction and inflammation as well as neural damage</li> <li>• Unrealistic in terms of how they mimic the complex features of AD pathology</li> <li>• Lack features such as controlled physical stimuli such as direct cell-cell interactions, controlled flow dynamics, circulating blood cells, and a brain-specific microenvironment and extracellular matrix (ECM)</li> <li>• No shear stress</li> </ul> |
| Transwell models          | AD-related BBB dysfunction, AD-inflammation linked study, and A $\beta$ -associated microglial activation | <ul style="list-style-type: none"> <li>• Uses brain endothelial cells</li> <li>• Inexpensive as low fabrication cost</li> <li>• Allows co-culture</li> <li>• Simple fabrication</li> <li>• Moderate scalability</li> <li>• Highly convenient for high-throughput screens</li> </ul> | <ul style="list-style-type: none"> <li>• Limited cell differentiation</li> <li>• Unrealistic in terms of how they mimic the complex features of AD pathology</li> <li>• Lack features such as controlled physical stimuli such as direct cell-cell interactions, controlled flow dynamics, circulating blood cells, and a brain-specific microenvironment and ECM</li> </ul>                                                                                                                                                                                                                         |

ation of various membrane materials, a particular type of culture media, and hydrogels. These factors significantly impact the *in vitro* BBB model.<sup>119</sup>

Choi *et al.*<sup>120</sup> developed a bio-mimetic microfluidic system that generates spatial gradients of diffusible oligomeric assemblies to study the neurotoxicity of diffusible moieties by modulating microenvironments and evaluating their effects on cultured neurons. Integration of an osmotic pump into the system was used to induce osmotic pressure and generate a fluid flow comparable to brain interstitial flow in the study. It was discovered that amyloid beta exposure induced an atrophy effect and observed neurite extension during neural progenitor cell differentiation increased when cells were cultured

with continuous flow. The outcomes demonstrated the potential neurotoxicity of oligomeric assemblies and established a promising microfluidic platform for investigating the neurotoxicity of amyloid beta.

In addition, studies were performed to investigate molecular transport pathways through the BBB using a particular type of transmembrane proteins. Ahn *et al.*<sup>115</sup> developed a micro-physiological platform that replicates the fundamental structure and function of the human BBB and enables 3D mapping of high-density lipoprotein (HDL)-mimetic nanoparticles with apolipoprotein A1 (eHNP-A1) which replicate the physiologically pertinent size and composition of discoidal HDL nanoparticle distributions in the vascular and perivascu-



lar regions. They tested the hypothesis that eHNP-A1 primarily uses scavenger receptor class B type 1 (SR-B1) on brain endothelial cells to cross the BBB *via* transcytosis. Blocking SR-B1 activity reduces eHNP-A1 uptake by immortalized Human Brain Microvascular Endothelial Cells (HBMECs), whereas it may induce a compensatory upregulation of other receptors or determinants of endothelial transcytosis such as SNAREs. The results revealed that treatment with block lipid transporter-1 (BLT-1) reduced the quantity of eHNP-A1 entering the tissue (EC uptake and perivascular channel) by a factor of three. SR-B1 mediates the cellular absorption of eHNP-A1 in BBB models, with perivascular cells decreasing by 38.5% with BLT-1 treatment and 53.8% without. Whereas there was no change in the proportion of HBMECs with eHNP-A1. In conclusion, the model accurately reproduced 3D nanoparticle distributions at the cellular level and showed distinct cellular uptakes and BBB penetrations *via* RMT.

Microfluidic models of the BBB could be used as an alternative to animal models for pre-screening lead compounds and could serve as a valuable resource for translational medicine research, particularly in the treatment of neurodegenerative diseases.

#### 4. Future insight and conclusion

The design of new drug delivery systems utilising the many properties of polymers has been one of the most prominent areas of study for several decades. Polymers are commonly employed nowadays to increase the bioavailability of therapeutic molecules in the blood and to provide site-specific drug delivery. As opposed to others, PDCs are regarded as a novel field in the drug delivery system. The amount of PDCs on the market has increased significantly in recent years. In addition, given the increasing promise of PDCs in the treatment of cancer, it is a viable strategy to investigate the use of PDCs in the treatment of neurodegenerative diseases. Due to the complex aetiology of neurological disorders, it requires a multitargeted approach in its treatment strategy. However, this can be accomplished through PDC-based combination/multi-target therapies as opposed to low  $M_w$  drug combinations where there is no guarantee that the drug will reach the active target at the same time and in the same proportion. Similarly, the advantages provided by more advanced approaches, such as the incorporation of molecular targeting and an imaging agent on the same carrier, can aid in circumventing the BBB and releasing the drug at the targeted site. In addition, the use of *in vitro* BBB models that can simulate the human BBB can provide additional benefits for understanding the mechanism of PDCs despite the use of animal models in which it is challenging to predict drug responses in humans due to species differences. There is a tremendous deal of studies that can be conducted on future insight. Several intriguing avenues are likely to be explored: (1) design and development of multi-targeting systems in which PDCs are altered with targeting ligands that can recognise particular cell receptors; (2) PDCs

for the treatment of neurodegenerative diseases and their transport mechanism across the BBB. The use of PDCs can provide new insights into the delivery of drugs that can circumvent the BBB and treat neurodegenerative diseases.

#### Conflicts of interest

There are no conflicts of interest disclosed by the authors. The author is solely accountable for the article's content and writing.

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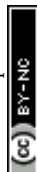
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