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Recent progress in metal–organic complexes for optoelectronic applications

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The design and characterization of metal–organic complexes for optoelectronic applications is an active area of research. The metal–organic complex offers unique optical and electronic properties arising from the interplay between the inorganic metal and the organic ligand. The ability to modify chemical structure through control over metal–ligand interaction on a molecular level could directly impact the properties of the complex. When deposited in thin film form, this class of materials enable the fabrication of a wide variety of low-cost electronic and optoelectronic devices. These include light emitting diodes, solar cells, photodetectors, field-effect transistors as well as chemical and biological sensors. Here we present an overview of recent development in metal–organic complexes with controlled molecular structures and tunable properties. Advances in extending the control of molecular structures to solid materials for energy conversion and information technology applications will be highlighted.

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1. Introduction

Metal–organic complexes, combining facile synthesis with tunable optical properties, have attracted much interest over the past decades owing to their enormous potential application in optoelectronic devices. For example, with the right set of optoelectronic properties, metal–organic complexes may point the way for the next generation of solar energy conversion, replacing fragile, high-temperature processing semiconductor materials with durable, low-cost printed plastic electronics.



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Fig. 1 shows important milestones in the development of metal–organic complexes for optoelectronic applications. Discovery of the first organic semiconductor copper phthalocyanine (CuPc) can be dated back to 1948.¹ In the late 1980s, Tang and Van Slyke at Kodak fabricated the first thin film light-emitting diode using tris(8-quinolinolato) aluminum(III) (Alq₃) as the emitting layer.² Research into organic light-emitting diodes culminated in 1998 with the work of Forrest and co-workers, who reported the discovery of organic light-emitting devices (OLEDs) employing phosphorescent metal–organic complexes.³ These pioneering studies have truly revolutionized our understanding of the correlation between the chemical structure and optical properties of the complexes and also led to remarkable progress in developing efficient optoelectronic devices at a moderate price.



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Qiang Sun was born in Shandong, China. He received his BS and MS degrees in Applied Chemistry from Qingdao Agricultural University. He is currently pursuing a PhD degree under the guidance of Professor Xiaogang Liu in the Department of Chemistry at the National University of Singapore. His research interest focuses on the synthesis and mechanistic investigation of lanthanide-doped nanomaterials.



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This review article provides an update on recent advances in the development and implementation of metal–organic complexes for optoelectronic applications. We begin by describing the basic properties of the metal ion and organic molecule, which have a strong influence on the performance of an optoelectronic device. Next, a brief overview of typical luminescence processes occurring in organic molecules or metal–organic complexes will be presented, followed by thorough coverage of the latest research on OLEDs involving metal–organic complexes. The emphasis will be placed on the illustration of different types of fluorescent and phosphorescent emitters, and on the discussion of recent efforts in device configurations for high-efficiency OLEDs. The application of metal complex-based donors in organic photovoltaics will be covered in a subsequent chapter. The last chapter of this review highlights the emerging field of triplet–triplet annihilation upconversion through use of metal–organic complexes. A major goal of this review is to provide illustrative accounts on recent work and systematize our knowledge of the subject, extracting fundamental principles from diverse research topics.

2. Basic properties of metal–organic complexes

2.1 Metal ions

A key to the design of high performance metal–organic-based optoelectronic devices is the understanding of the pivotal role of metal ions involved in controlling the optoelectronic properties of metal–organic complexes.



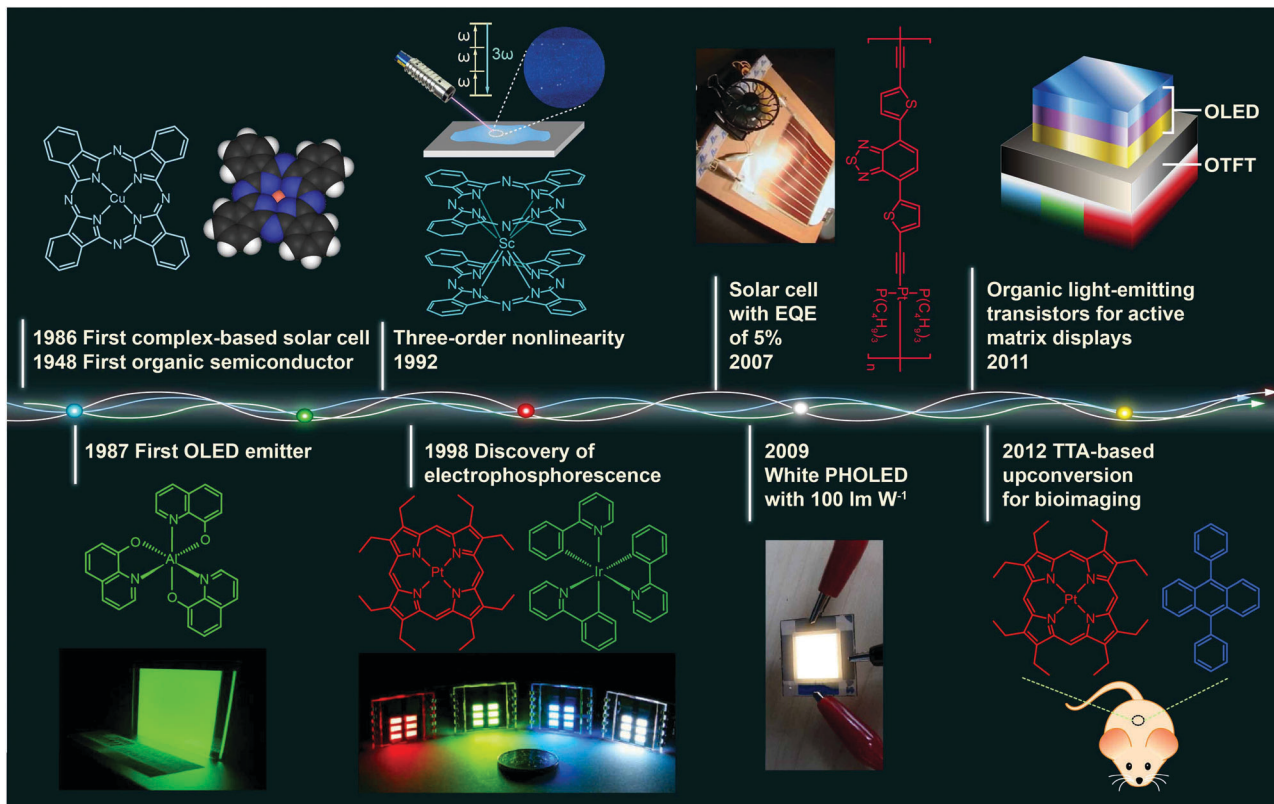


Fig. 1 Milestones in the development of optoelectronic complexes. Eley firstly demonstrated semiconducting behavior of CuPc complexes in 1948.¹ The p-type CuPc was utilized by Tang to fabricate the first organic p–n junction solar cell with an efficiency of 1% in 1986.⁴ In the following year, Tang and Van Slyke demonstrated the first OLED with practical luminance using another complex emitter Alq₃.² In 1992, a three-order nonlinear optical property was observed for phthalocyanine complex ScPc₂.⁵ Baldo *et al.* in 1998 reported electrophosphorescence phenomenon using a red phosphorescent complex dye 2,3,7,8,12,13,17,18-octaethyl-21*H*,23*H*-porphine platinum(II) (PtOEP) as emitter.³ In 2007, Wong *et al.* further improved the efficiency of complex-based solar cells to a record of 5% with a Pt(II) coordination polymer.⁶ In 2009, with light out-coupling technology, Reineke *et al.* achieved a maximum power efficiency of 100 lm W⁻¹ in phosphorescent OLEDs, which was comparable to that obtained from fluorescent tubes.⁷ In 2011, McCarthy *et al.* demonstrated low-voltage driving active-matrix display pixels by integrating OLED cells into OTFT.⁸ In 2012, the upconversion-emission nanoparticle containing triplet–triplet annihilation system was firstly applied in bio-imaging by Liu *et al.*⁹

2.1.1 Directing molecular coordination. The metal centers in metal–organic complexes are endowed with tailor-made functional capabilities that the organic components may not be able to duplicate. For chemists, the availability of coordination sites on metal enables unique structural design in the assembly of organic molecules. The great versatility and directionality offered by metal–ligand coordinative bonding allow for the precise control over the shape and stereochemistry of the assemblies. With multidentate ligands, metal–organic frameworks and coordination polymers with high porosity and chemical stability can be realized. The ability to tailor coordination geometry of the complexes is crucial to the rational control over mechanical, thermodynamic, and optoelectronic properties of the resulting metal–organic materials.

2.1.2 Enhancing radiative transition. For efficient optoelectronic devices, molecules with high radiative rates are generally selected as the emitters. As a rule of thumb, molecular rigidity reduces the possibility of non-radiative transitions by decreasing vibrations, thereby minimizing the intersystem crossing to triplet state and collision heat loss. The complexation of metal ions by conjugated organic molecules can improve structural rigidity and sustain many excitation–emission cycles. As a result, these metal–organic

complexes can exhibit enhanced fluorescence. Furthermore, the involvement of metal orbitals in excited states is capable of inducing new photophysical behaviors in pure organic compounds, such as highly efficient phosphorescent emission. Indeed, the introduction of heavy metal ions, such as Pt(II) or Ir(III), in molecules favors the formation of the triplet states through rapid intersystem crossing and thus enhances spin-forbidden phosphorescence.

2.1.3 Improving charge transport characteristics. The charge transport characteristics of organic semiconductors are key attributes that have important consequences for the device performance of these materials. For practical applications, it is important to realize high charge carrier mobilities within the organic semiconductor. Notably, the transport processes in these systems can be dramatically influenced by the addition of metal ions. Transition metals feature delocalized valence electrons and different oxidation states, which are beneficial for charge carrier injection and transportation.

2.2 Photoresponsive organic molecules

Owing to the weak electronic delocalization, photoresponsive organic materials have two distinctive features as compared to



their inorganic counterparts. One is the existence of well-defined spin (singlet and triplet) states in isolated molecules. The luminescence processes in organic materials are typically associated with the excited states of molecules, while the luminescence spectra in inorganic materials are attributed to either defects or impurities in the host lattice or to the excited states of the isolated atom or ion. A second important difference arises from the fact that the size of excitations (Frenkel excitons) tends to be small in organic molecular crystals, dominated by the strong coulomb interaction between an electron and a hole. Thus, the molecular excitons with a considerable amount of binding energy (0.1–1 eV) can be located on one molecule.

2.2.1 Luminescence processes. It must be emphasized that the luminescence spectrum is always investigated along with the absorption spectrum of a particular molecule. Fig. 2 shows the classic luminescence processes taking place in the π -electronic system of organic molecules. A complex is luminescent if it emits light upon absorption of a radiation. The absorption process, governed by quantum mechanical selection rules, occurs primarily between the ground state S_0 and the singlet states S_1 , S_2 , etc. Superimposed on each of the electronic levels is a set of sublevels associated with the vibrational and rotational energy of the molecule. The principal fluorescence emission is generally induced by the transition from the lowest excited singlet state S_1 to the ground state, irrespective of the initial state excited. This can be ascribed to the rapid non-radiative process of internal conversion between higher excited states S_2 , S_3 , ..., etc. and the lowest excited state S_1 . Nonradiative processes can also be observed in intersystem crossing from the singlet manifold to the triplet manifold and *vice versa*. These singlet–triplet transitions, albeit forbidden by quantum mechanics, will still occur but progress at significantly slower time scales than singlet–singlet transitions. The radiative decay from the excited triplet state back to a singlet state is known as phosphorescence. For a given molecule, the probability of nonradiative energy losses is much higher in the triplet state because of the substantially longer lifetime of the triplet state. Phosphorescent molecules have the ability to store light energy and release it gradually.

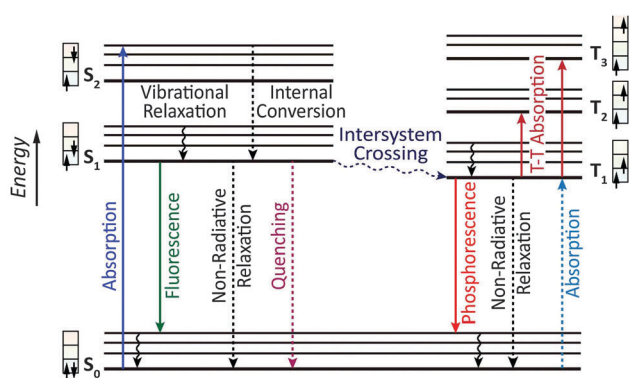


Fig. 2 Jablonski energy level diagram showing principal luminescence processes in an organic molecule (left: singlet manifold; right: triplet manifold). The full and dotted arrows represent radiative and non-radiative processes.

Pure organic compounds that exhibit room-temperature phosphorescence are very rare. However, the phosphorescence can be enhanced by the addition of metal ions that promote intersystem crossing *via* spin–orbital coupling.

The emphasis on the optoelectronic process can vary depending on specific applications. For organic photovoltaics, more attention is paid to the excitation process of organic molecules. The absorption spectra, corresponding to the excitation process, of the organic molecules should match well with incident solar energy to render high conversion efficiency. For light-emitting applications, the center of attention is given to the luminescence process which can be quantified by quantum efficiency. To achieve a high quantum efficiency, one has to boost the probability of radiative transition and suppress the energy loss transitions *via* vibrational relaxation or internal conversion. The relative photoluminescence quantum yield (Φ) of organic compounds in dilute solutions can be measured using conventional dyes as references according to the equation shown below:

$$\Phi_s = \Phi_r \cdot \frac{A_r}{A_s} \cdot \frac{D_s}{D_r} \cdot \left(\frac{n_s}{n_r} \right)^2 \quad (1)$$

where A is the absorbance, D is the integrated area of the emission peaks, and n is the refractive index of the solvent. The subscripts s and r represent the sample and reference, respectively. The absolute quantum yield is essentially the luminescence intensity integrated over the entire spectrum using an integrating sphere.

2.2.2 Energy transfer. Two common mechanisms of energy transfer are the Förster or coulombic energy transfer mechanism and the Dexter or exchange energy transfer mechanism (Fig. 3).

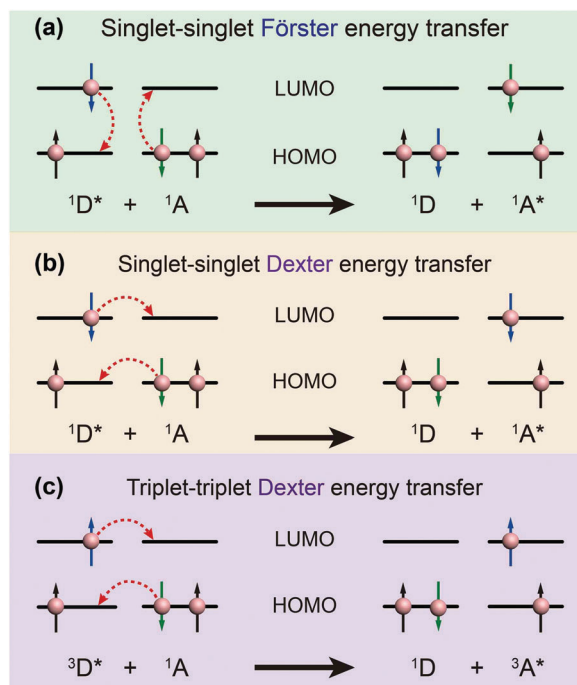


Fig. 3 Schematic diagrams of (a) Förster energy transfer and (b, c) Dexter energy transfer. D and A denote donor and acceptor, respectively.



For highly efficient Förster resonance energy transfer (FRET) to occur, three primary conditions need to be met: (i) the donor and acceptor molecules must be in close proximity to one another, typically in the range of 1 to 10 nm; (ii) the absorption spectrum of the acceptor must overlap the emission spectrum of the donor; and (iii) the orientations of donor and acceptor transition dipoles must be approximately parallel.¹⁰ The FRET efficiency (E) depends on the inverse sixth-distance between the donor and the acceptor as described in eqn (2):

$$E = \frac{R_0^6}{R_0^6 + r^6} \quad (2)$$

where r is the actual distance between the donor and the acceptor and R_0 is the Förster distance at which half the energy is transferred. Note that R_0 is dependent on a number of factors as expressed by eqn (3):

$$R_0 = 0.211 \left[\frac{\Gamma^2 n^{-4} \eta_D \int_0^\infty F_D(\lambda) \varepsilon_A \lambda^4 d\lambda}{\int_0^\infty F_D(\lambda) d\lambda} \right]^{\frac{1}{6}} \quad (3)$$

where Γ^2 is the orientational factor, n is the refractive index, η_D is the fluorescence quantum yield of the donor in the absence of the acceptor, F_D is the normalized donor emission spectrum and ε_A is the acceptor molar extinction coefficient.

In contrast, Dexter energy transfer can travel only short distances (<1 nm) for both singlet and triplet excited states as the exchange interactions are dictated by the wavefunction overlap of electron clouds.¹¹ The rate constant of Dexter energy transfer is given by eqn (4):

$$k = CJ \exp \left[\frac{-2r}{L} \right] \quad (4)$$

where C is an experimental constant, J is the normalized spectral overlap integral, r is the distance between the donor and the acceptor, and L is the sum of van der Waals radius.

The degree of spectral overlap between donor emission spectrum and acceptor absorption spectrum is clearly the dominant factor in determining the efficiency of energy transfer. To increase the probability of energy transfer, the excited energy gap between the donor and the acceptor generally needs to be controlled within the range of 2000–4000 cm^{-1} . Fortunately, a wealth of synthetic strategies and technologies for chemical synthesis allow for facile tailoring of the band gap and energy levels of organic molecules owing to their structure-dependent electronic properties.

3. Metal–organic complexes for OLEDs

An OLED is a thin, glowing component made of layers of organic semiconducting materials. A typical multilayer design is shown in Fig. 4. When a voltage is applied between two electrodes, the cathode pumps electrons into the dye-containing emission layer located between the two electrodes while the anode supplies electron holes. These holes can jump to the emission layer to form bound electron–hole pairs, namely the so-called excitons. The return of the excitons to

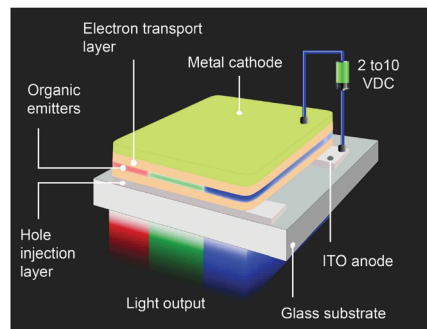


Fig. 4 Device structures of prototype sandwich-structured OLEDs.

the ground state, *i.e.* the recombination of holes and electrons, leads to a relaxation of the energy levels of the electrons in the form of light. The design of sandwiched layers facilitates charge injection and enhances the recombination rate of electrons and holes.

Presently, the power efficiency of three-color OLEDs, given in lumen per watt (lm W^{-1}), can reach up to 100 lm W^{-1} for green,¹² 30 lm W^{-1} for red,¹³ and 40 lm W^{-1} for blue.¹⁴ Similar to fluorescent tubes, white-emitting OLEDs can show remarkable efficiencies up to 100 lm W^{-1} through external out-coupling technologies that reduce light diffusion and scattering.⁷ To suppress the concentration quenching effect of the emitters and improve device efficiency, the emission layer is often prepared by blending guest emitters into host matrices. The choice of the emitters is of paramount importance in the device design as they affect recombination and radiative probability, and consequently determine the device performance, including IV characteristics, brightness and power efficiency. On the basis of different excited states, the emitters can be classified into two categories: fluorescent and phosphorescent metal–organic complexes.

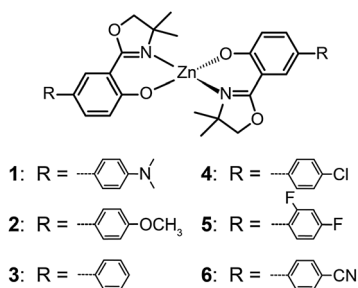
3.1 Electrofluorescent complexes

The ability of fluorescent complexes with different peripheral substituents to alter the emission profile of OLEDs is at the heart of their role as light-emitting centers. Since the pioneering work of Tang and Van Slyke on Alq_3 ,² significant progress has been made in developing highly efficient emitters or electron-transport materials containing Zn(II) , Mg(II) , Be(II) , Al(III) , and In(III) complexes.¹⁵

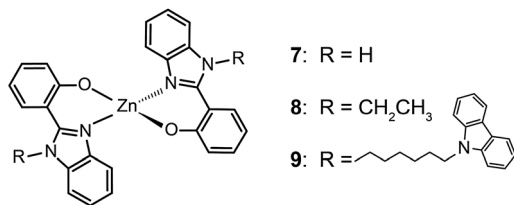
3.1.1 Electrofluorescent Zn(II) complexes. The interest in Zn(II) complexes with Schiff bases and benzoheterocycles has been recently boosted due to their strong photoluminescence emissions in the blue region. However, organic electroluminescent devices with a high luminance of $\sim 1000 \text{ cd m}^{-2}$ and photostability were reported only through use of a limited number of Zn(II) complexes. Son *et al.* reported a series of light-emitting $\text{Zn(N,O-OPh}^{\text{OxZ}}\text{ArX)}_2$ complexes (1–6)^{15b} in which the emission can be conveniently tuned from blue to green by introducing different substituents at the 4-position of the oxazolyphenolate ligand. They found that the electron-donating groups can induce a large red-shift of the emission,



while the electron-withdrawing groups only slightly shift the emission wavelength. The authors attributed this notable observation to the different influence of the substituents on HOMOs of the complexes. Although these complexes showed very low quantum yield of less than 20% in solution, the non-doped devices containing the complex **3** gave rise to a high luminance of 1720 cd m⁻² at 17 V with a moderate current efficiency of 0.2 cd A⁻¹.

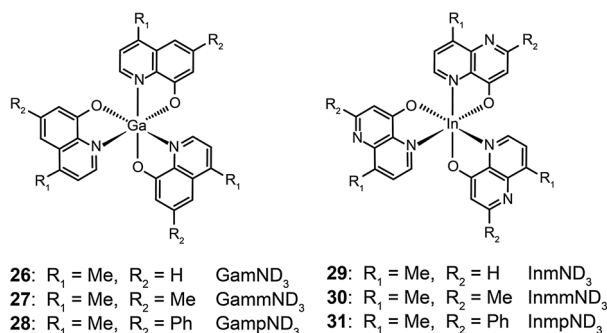
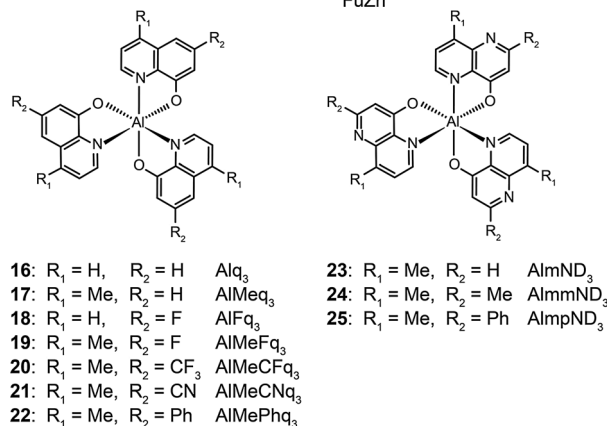
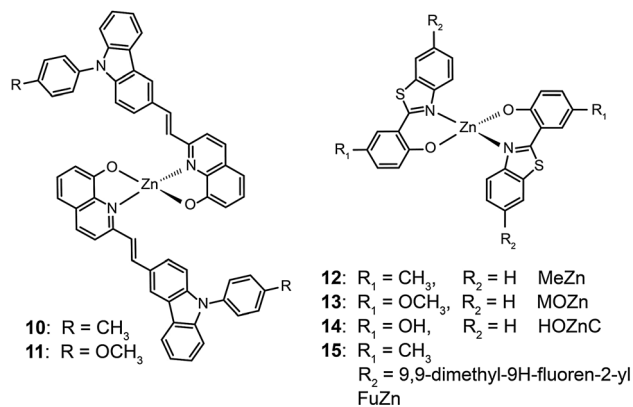


A subsequent study by Xu *et al.* reported the synthesis of blue-emitting Zn(II) complexes (**7–9**) using a phenylbenzimidazole ligand.^{15c} The authors found that the presence of a pendant *N*-hexylcarbazole group endows the complex **9** with a deep blue emission at 422 nm and a high quantum yield of 64%. Additionally, the carbazole substituent provides improved color purity and enhanced thermal stability with a decomposition temperature (T_d) of greater than 400 °C. This improvement is attributed to the largely elevated HOMO level (~ 0.6 eV) of the complex due to the existence of the peripheral carbazole moiety. These authors fabricated non-doped multilayer devices using the compound **9** and demonstrated much improved electroluminescence performance with a turn-on voltage of 4.5 V, a luminance of 2648 cd m⁻² and a maximum current efficiency of 0.54 cd A⁻¹. A parallel development by the group of Li also reported the effects of the carbazole functional group on the emission and electrical properties of the Zn(II) complexes (**10** and **11**).^{15d}



In 2009, Roh *et al.* investigated several green-emitting Zn(II) complexes (**12–15**) with benzothiazole and its derivatives to produce white-light emission.^{15e} Interestingly, the substitution of an electron-donating methoxyl group in the Zn(II)-chelated complex **13** triggered a large red shift in emission from 495 (λ_{max}) to 524 nm in organic solvents. The incorporation of a highly conjugated fluorenyl group in **15** induced a further bathochromic shift of the fluorescence emission. The authors argued that the large Stokes shift is due to the intramolecular proton transfer at the excited state. In multilayer electroluminescent devices, these authors reported a high luminance (1 cd m⁻² at 3.5 V, 10 400 cd m⁻² at 14 V) for the

fluorenyl-substituted Zn(II) complex **15**. This group also examined the electron transport property of the complexes and their suitability as electron-transporting layer materials as a replacement of widely used Alq₃.



3.1.2 Electrofluorescent group III-type complexes. Alq₃ (**16**) is one of the most stable and fluorescent solid-state materials with excellent electron-transport mobilities. It has been used as a green emitter and a common electron-transport layer in OLEDs. Its usual blue-green luminescence can be tuned through the addition of substitutional groups (**17–25**).^{15f,16} For example, the presence of methyl substituents in complex **17** leads to a blue shift of ~ 20 nm, which can be ascribed to the increased LUMO levels. Further modification with F or CN groups in complexes **18–22** can reduce HOMO energy levels, resulting in a blue emission at ~ 480 nm. The steric hindrance caused by double substitutions in **18–22** also increases their quantum yields. The non-doped multilayer devices containing



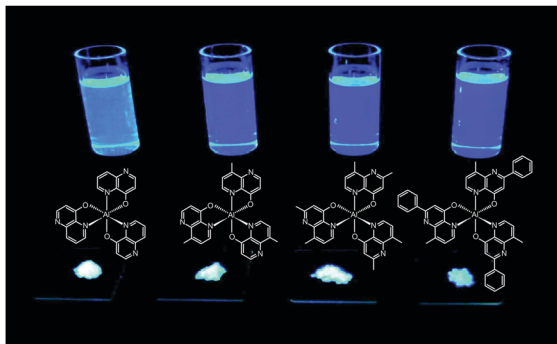
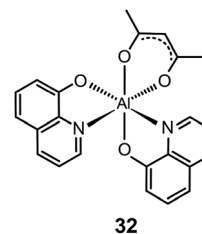


Fig. 5 Emission images of AlND₃, AlmND₃ **23**, AlmmND₃ **24**, and AlmpND₃ **25** recorded in solution and solid state under irradiation by UV light. (Reproduced with permission from ref. 16. Copyright 2009, American Chemical Society.)

the Alq₃ complex **19** exhibited a low turn-on voltage of 3.5 V and a high luminance of 31 040 cd m⁻². Measured maximum external quantum and current efficiencies for the devices were 4.0% and 10.4 cd A⁻¹, respectively.

Liao *et al.* synthesized a series of group III (Al, Ga, and In) metal chelates (**23–31**) with hydroxynaphthyridine derivatives as chelating ligands.¹⁶ These metal chelates exhibit deep blue emissions at 425–447 nm, wide band gaps and high thermal stability. The authors argued that the addition of an electron-donating group at the *para*-position of the pyridine ring promotes LUMO energy levels. Aluminium chelates **23–25** exhibited good fluorescence quantum yields around 45–47% in dichloromethane solution. Among the metal chelates, AlmmND₃ **24** has been shown to be the most efficient non-doped blue emitter with a maximum emission at 432 nm (Fig. 5). For AlmmND₃-based OLEDs, these authors achieved a high current efficiency of 2.00 cd A⁻¹ and an external quantum efficiency of 3.79%.



3.2 Electrophosphorescent complexes

The mechanism of phosphorescence involves intersystem crossing characterized by the nonradiative conversion of the initial excited state into another excited state of different multiplicity. The second state acts as an energy reservoir. Radiative decay back to the ground state can occur slowly if spin–orbital coupling causes a breakdown of the spin selection rule. As a result, the excited state of a phosphorescent complex

Table 1 Summary of physical properties and electroluminescence performance of fluorescent complexes

Emitter	Photophysical properties			Device performance					
	Emission peak ^a (nm)	Φ^a (%)	HOMO/LUMO ^b (eV)	Device structure ^c	Emission peak (nm)	Voltage (V) ^d	Max. brightness (cd m ⁻²)	Max. efficiency ^e	Ref.
3	428, 463	8	-5.78/-2.45	ITO/NPB/3/BCP/Alq ₃ /LiF/Al	430	—	1720	0.3, —, —	15b
9	422, 422	—	-5.74/-2.49	ITO/NPB/9/BCP/Alq ₃ /LiF/Al	452	4.5	2648	0.54, —, 0.4	15c
12	—, 505	—	-5.33/-2.67	ITO/2-TNATA/NPB/12/Alq ₃ /LiF/Al	495	<4.2	~4000	1.84, 0.91	15e
13	—, 532	—	-5.31/-2.77	ITO/2-TNATA/NPB/13/Alq ₃ /LiF/Al	524	<4.8	~2000	2.10, 0.91	15e
15	—, 532	—	-5.81/-3.20	ITO/2-TNATA/NPB/15/Alq ₃ /LiF/Al	513, 551	<3.5	~10 000	1.90, 1.17	15e
16	526, 508	15	-5.62/-2.43	PEDOT:PSS/NPD/16/CsF/Al	—	5.5	52 300	4.6, —, 1.4	15f
17	501, 489	38	-5.53/-2.32	PEDOT:PSS/NPD/17/CsF/Al	—	3.5	15 300	5.2, —, 1.9	15f
18	499, 484	35	-5.82/-2.60	PEDOT:PSS/MoO ₃ /TPD/TCTA/18/TPBI/Yb/Ag	—	4.0	8570	0.6, —, 0.2	15f
19	478, 482	57	-5.81/-2.44	PEDOT:PSS/MoO ₃ /TPD/TCTA/19/Yb/Ag	—	3.5	31 040	10.4, —, 4.0	15f
20	482, 462	46	-5.78/-2.39	PEDOT:PSS/MoO ₃ /TPD/TCTA/20/TPBI/Yb/Ag	—	4.2	16 800	10.1, —, 4.1	15f
23	415, 431	45	-6.4/-3.0	ITO/NPB/CBP/23/Bebq ₂ /LiF/Al	458	—	7140	1.8, 1.0, 1.8	16
24	416, 419	45	—	ITO/NPB/CBP/24/Alq ₃ /LiF/Al	432	—	4444	2.0, 0.9, 3.8	16
32	—	—	-6.49/-3.63	ITO/2-TNATA/NPB/32/LiF/Al	513	—	15 650	4.35, 3.03, —	17

^a Measured in solution. ^b Measured according to the analysis of cyclic voltammetric data and optical energy gaps. ^c See the Abbreviations section for full names. ^d Turn-on voltage. ^e In the order of current efficiency (cd A⁻¹), power efficiency (lm W⁻¹) and external quantum efficiency (%).



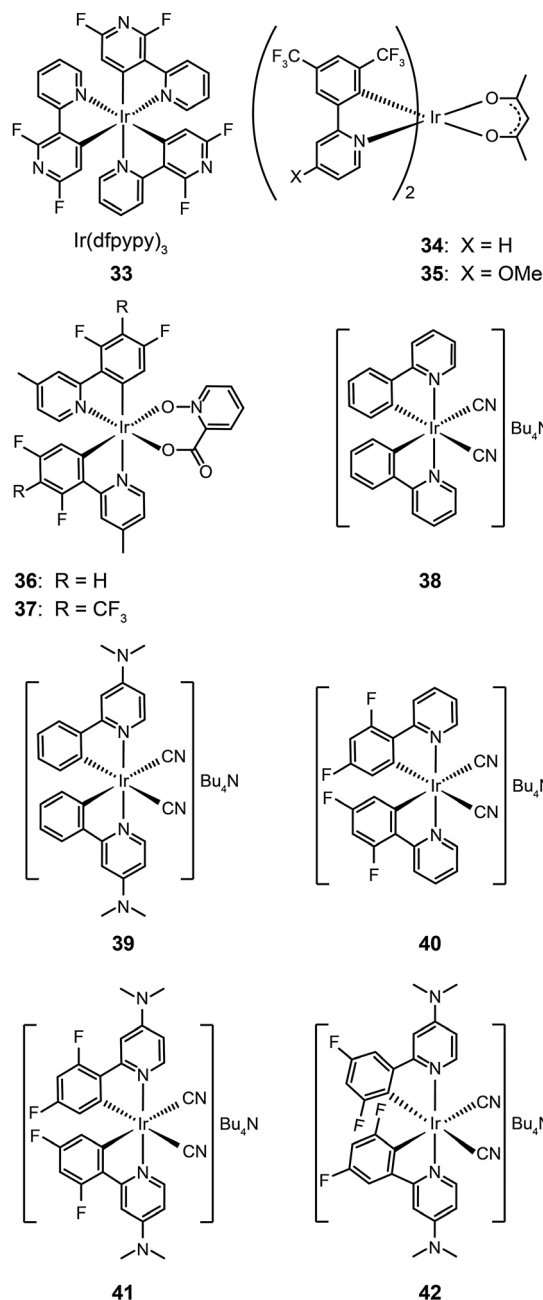
may survive for microseconds or even longer. Theoretically, the internal quantum efficiency of phosphorescent OLEDs can approach 100% because of the contributions from both singlet and triplet excitons. Several excellent reviews on electrophosphorescent complexes and devices have appeared during the past few years.¹⁸ Herein, we highlight recent advances in the development of high-performance OLEDs by means of phosphorescent complexes for multicolor displays and white light generation over a wide range of wavelengths.

3.2.1 Blue electrophosphorescent complexes

3.2.1.1 Blue-emitting Ir(III) complexes. An important example of phosphorescence is provided by blue-emitting Ir(III) complexes. These blue emitters are important for white light generation. One approach is to mix the blue phosphors with red and green phosphors, each exhibiting a relatively narrow emission spectrum, to create a spectral power distribution that appears white. Alternatively, the white light can be generated by the use of phosphors together with a blue emitter. When a yellow phosphor material is illuminated by the blue emitter, it renders yellow light having a fairly broad spectrum. The remaining blue light, when mixed with the yellow light, results in white light. However, it has been challenging to prepare blue phosphors with a high level of monochromaticity and photostability. For example, iridium(III) bis[[4,6-difluorophenyl]pyridinato-*N,C'*] picolinate (FIrpic), one of the most popular blue phosphors, emits at 475 nm with high electrophosphorescence, but is prone to quenching at high concentration and under large current density.¹⁹

The main approaches for achieving efficient blue phosphorescence include (i) increasing the energy gap by elevating LUMO level or lowering HOMO level; (ii) introducing ancillary ligands with strong field effects;²⁰ and (iii) shortening the effective conjugation length of molecules. For instance, Lee *et al.*²¹ recently demonstrated a large reduction (0.33 eV) in the HOMO energy level of a phosphorescent Ir(III) complex (33) bearing fluorine-substituted bipyridine (dfppy) through functional group modulation. The authors found that this complex exhibits intense blue emission at 438 nm with high color purity (CIE: $x = 0.14$, $y = 0.12$) and a higher photoluminescence quantum efficiency than that of FIrpic. The enhanced luminescence was thought to be due to improved metal–ligand charge-transfer. Density functional theory calculations of the complex lent support to this hypothesis.

Lee and Kim demonstrated that the LUMO energy level of a phenylpyridine-based Ir(III) complex (34) can be destabilized by the addition of a methoxy group to the ligand.²² The authors reported that the substitution of the electron-donating group in complex (35) results in a blue shift in emission. In a parallel investigation, Seo *et al.* reported a new series of highly efficient deep-blue phosphorescent Ir(III) complexes (36 and 37) bearing phenylpyridine-based ligands.²³ The authors found that the attachment of a trifluoromethyl (CF₃) group to the phenylpyridine ligand induces a bright blue emission at 454 nm due to stabilization of the HOMO level. The multilayer OLEDs based on complex 37 achieved a similar level of performance to that of FIrpic-based devices.

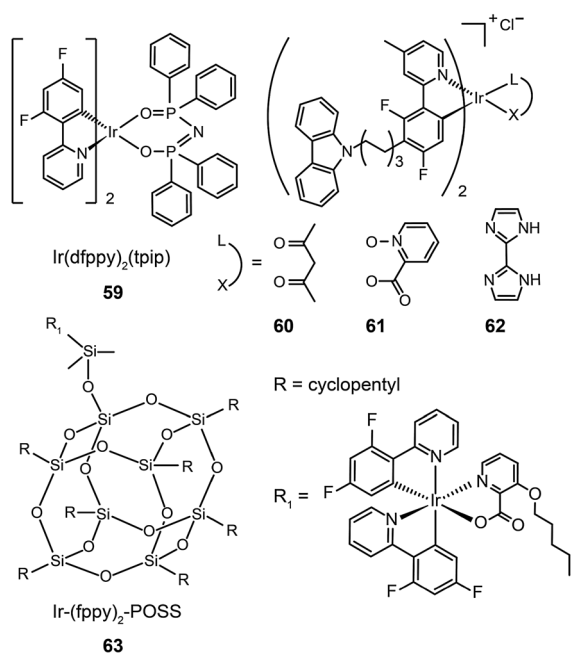


Ancillary ligands can interact strongly with metal ions, and the phosphorescent properties of the complex stem in large part from this interaction. Ancillary ligands with strong ligand field often induce hypochromatic shifts when they are present in a complex. This effect has been systematically investigated by Di Censo *et al.* using a series of cyano-stabilized Ir(III) complexes (38–42).²⁴ Replacement of one phenylpyridine ligand with two cyano groups leads to a blue shift (10 nm) in the emission spectrum of the resulting complex 38.²⁴ In a separate study, the optical properties of complex (43) containing triphenylphosphine ligands was analyzed.²⁵ This complex was found to exhibit a similar hypochromatic shift to that observed for cyano-stabilized complex 38. The spin-coated polymer light-emitting diodes comprising 43 revealed a moderate power

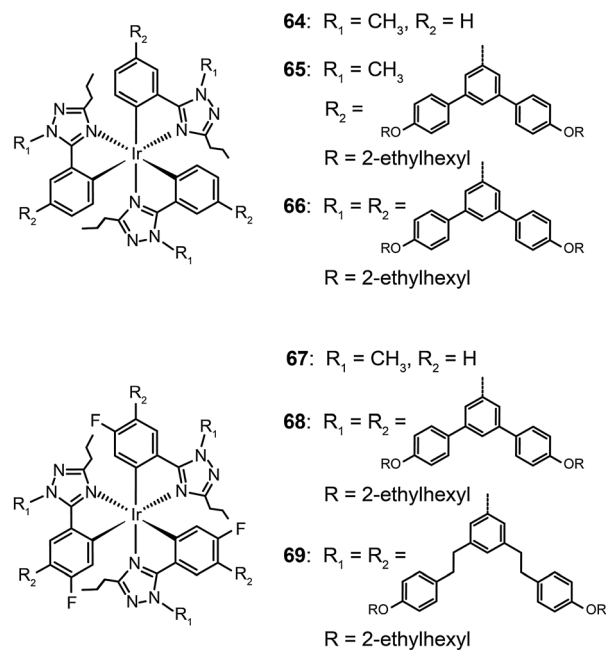


short-lived excited state in favor of suppressed triplet-triplet annihilation (TTA). In addition to its carrier transport ability, the ancillary phosphine oxide group provides the metal complex with a LUMO level of -2.87 eV suitable for efficient electron injection. Accordingly, an OLED device prepared with **59** showed a current efficiency of 25.5 cd A^{-1} , a power efficiency of 23.5 lm W^{-1} , and a 6.58% efficiency roll-off from 100 to 1000 cd m^{-2} . A paper from Seo *et al.* shows that the luminescence performance of blue-emitting Ir(III) complexes may be achieved using hole-transporting carbazole moieties.³⁵ The incorporation of peripheral carbazole groups into complexes (**60–62**) can provide added intramolecular energy transfer to the metal core and facilitate hole injection and transportation. These complexes emit blue-green light with emission peaks located at about 480 nm and CIE coordinates of (0.21, 0.55). Devices doped with these complexes achieved a maximum current efficiency of 20.75 cd A^{-1} , a maximum power efficiency of 5.83 lm W^{-1} , and a peak external quantum efficiency of 7.16%.

The quenching effect caused by intermolecular interaction is perhaps one of the most serious problems for light-emitting phosphors. Although doping in active host matrices can mitigate the issues of TTA and concentration quenching to certain extent, it is generally believed that the potential phase separation may lead to device degradation and decreased performance over time. An intriguing solution is to covalently link the Ir(III) complex to the host matrix. Yang *et al.*³⁶ reported the use of polyhedral oligomeric silsesquioxane (POSS) in the preparation of monochromatic- and white-emitting devices. Notably, the blue-emitting OLEDs with POSS-modified Ir(III) complex (**63**) showed a brightness of 1000 cd m^{-2} and peak external quantum efficiencies in the range of 5–9% with a voltage potential less than 10 V. Despite synthetic challenges, the prospects of using POSS materials are exciting for the development of solution-processable electrophosphorescent devices.



Concentration quenching can be avoided using dendrimers having highly branched molecular structures. An important property of dendrimers is the presence of dynamic internal cavities where luminescent ions or complexes can be comfortably embedded, resulting in a more protected metal center. In 2008, Lo *et al.* studied the effects caused on the luminescence properties of blue-emitting phenyltriazole Ir(III) complexes (**64–66**) by substitution of oligophenyl dendron units.³⁷ They showed that the dendron-modified molecules can host the metal in the interior site to suppress the quenching effect. For example, doubly dendronized complex (**66**) exhibited a blue emission at ~ 475 nm and a film photoluminescence quantum yield of 67%. It is particularly worth mentioning that the oligophenyl dendrons do not affect the electronic properties of the complexes. The spin-coated nondoped double-layer devices composed of **66** achieved a luminance of 142 cd m^{-2} at 3.8 V with an external quantum efficiency of 7.9%. One year later, the same group designed blue phosphorescent dendrimers (**67–70**) modified with both fluorine and methyl groups.³⁸ The involvement of fluorine atoms enables the emission tuning to a deep blue end, while the methyl group provides steric hindrance to mitigate the quenching effect induced by the fluorine atom. The doubly dendronized complex **70** showed good optical performance, with a high solution photoluminescence quantum yield of 94%, which is more than three times higher than was achievable by the parent complex core. The optimized double-layer devices showed deep blue emission with CIE coordinates of (0.16, 0.16) (Fig. 6).



3.2.1.2 Blue-emitting Pt(II) and Ce(III) complexes. Platinum(II) complexes, characterized by a large variety of emissive excited states, are an important class of phosphorescent materials as dopants for fabricating blue-emitting OLEDs. However, when compared to Ir(III) complexes, Pt(II) complexes are much less explored as electrophosphorescent blue emitters for OLED



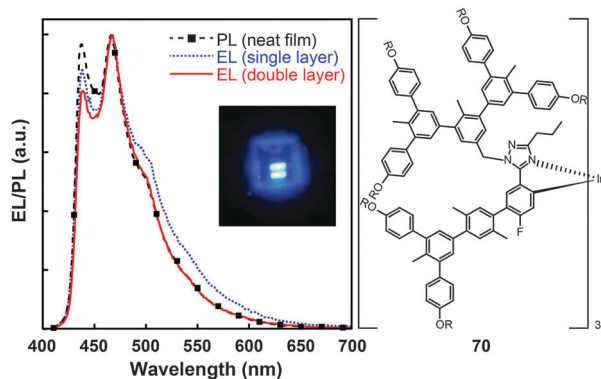
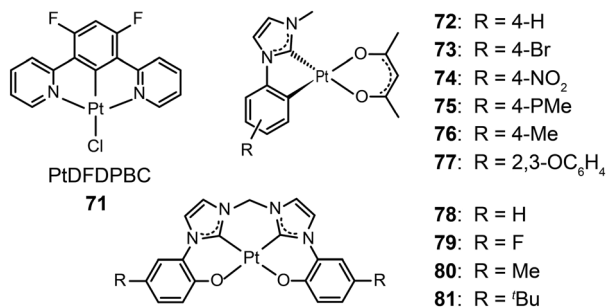


Fig. 6 Photoluminescence (PL) and electroluminescence (EL) spectra of **70** (inset: the corresponding digital image of the device derived from **70**). (Reproduced with permission from ref. 38. Copyright 2009, American Chemical Society.)

applications. Yang *et al.* developed a blue-emitting Pt(II) complex (**71**) based on a fluorinated tridentate pyridine ligand.³⁹ This cyclometallated complex exhibited a narrow emission at 470 nm (λ_{max}) and a photoluminescence efficiency of 46%. To boost the color saturation, the authors utilized high-field-strength carbene moieties, combined with an ancillary ligand of acac, to tune the emission of Pt(II) complexes (**72–77**) to the spectrally pure blue region.⁴⁰ Complex **77** exhibited high optical performance, achieving a photoluminescence efficiency of 92%. The optimized devices employing **77** with a doping concentration of 12% showed a peak external quantum efficiency of 6.2%. Recently, the Che group has carried out considerable work on bis(carbene)-based Pt(II) complexes (**78–81**) featuring highly efficient deep blue emission (Fig. 7).⁴¹ The OLED device doped with **80** achieved CIE coordinates of (0.16, 0.16) at 11 V and a maximum luminance of 1200 cd m⁻² at a driving voltage of 15 V.



Trivalent lanthanide complexes can also be designed as blue-emitting phosphors in OLED applications. Zheng *et al.* reported the luminescent cerium(III) complexes (**82–84**) with the metal ion encapsulated in the cavity formed by two face-to-face arranged tripodal ligands.⁴² These complexes emitted blue light at ~440 nm. Complex **83** showed a high photoluminescence efficiency of 55%. The devices based on dopant emitter **83** achieved a current efficiency of 1.5 cd A⁻¹ and a power efficiency of 0.52 lm W⁻¹ with CIE coordinates of (0.18, 0.21). The authors argued that the blue emission is attributed to both metal-based 5d → 4f transition and the ligand sensitization.

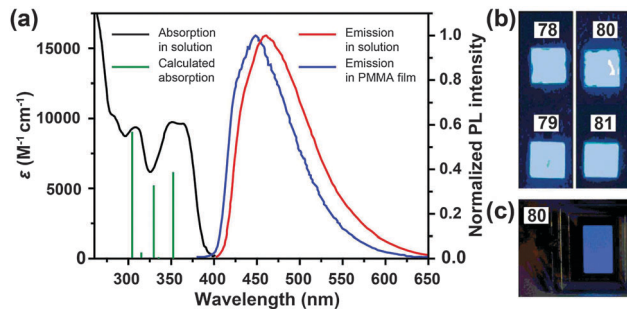
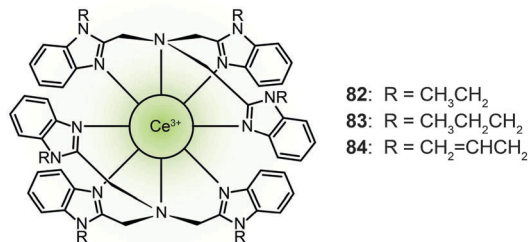


Fig. 7 (a) Absorption and photoluminescence spectra of **78** and **79**. (b) Photographs showing electroluminescent devices derived from **78–81** under UV irradiation. (c) Photograph showing electroluminescence emission of the device based on **80**. (Reproduced with permission from ref. 41. Copyright 2011, Royal Society of Chemistry.)

The ligand serves as a light-harvesting antenna that subsequently transfers the absorbed energy to the Ce(III) ion.



It is important to note that the development of phosphors for optoelectronics has been dominated by Ir(III) complexes since the discovery of electrophosphorescence. The main difference between Ir(III) and Pt(II) complexes is their different coordination modes. Compared with four-coordinated Pt(II) complexes, highly dimensional Ir(III) complexes with six-coordination mode are superior in controlling intermolecular interactions to suppress the quenching effects and in enhancing molecular rigidity to reduce vibronic progressions. In this regard, the Ir(III) complexes seem to be ideal as blue emitters. Furthermore, the yield of Pt(II) complexes containing ligands with strong coordination field is relatively low (<20%). To date, the highest external quantum efficiency realized by blue Pt(II) complexes is 15%, only half of that achieved by blue Ir(III) complexes.^{43a,b} Nevertheless, the simpler coordination mode of Pt(II) complexes can benefit material synthesis and configuration design.

Phosphorescent emissions from Ir(III) and Pt(II) complexes are mainly contributed by ³MLCT and ³ILCT states. Therefore, the emission properties of these materials are significantly dictated by their ligands. Different from Ir(III) and Pt(II) analogues, the blue emission by Ce(III) complexes originates from ⁴f → ⁵d_j (j = 1–4) transitions of the Ce(III) ion. Strongly emissive Ce(III) complexes require efficient energy transfer and high recombination rates from the ligands under an electrical field. Owing to the relatively high excited state energy of Ce(III) ions, it is difficult to construct suitable ligands as sensitizers, which limits the development of highly efficient electroluminescent Ce(III) complexes.

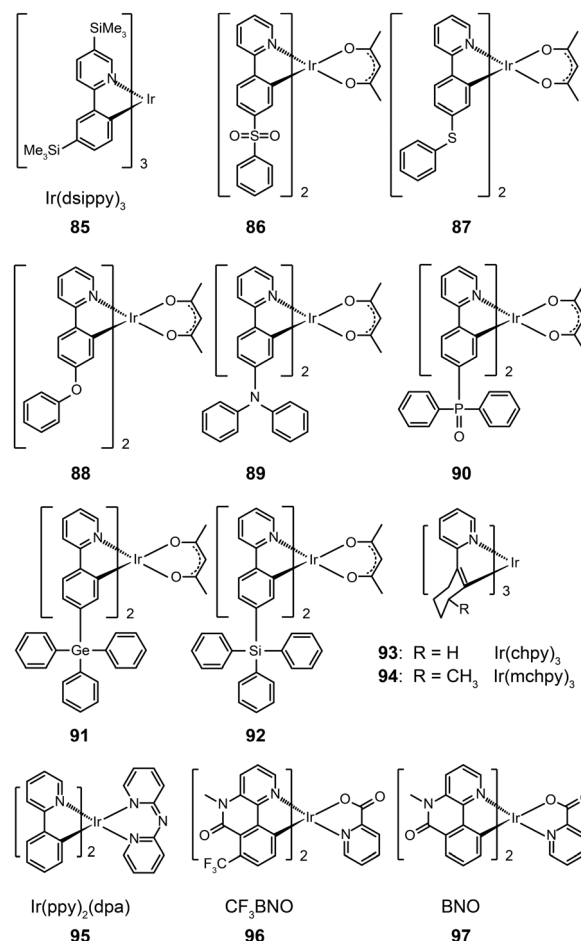


3.2.2 Green electrophosphorescent complexes. Ir(ppy)₃ is the first and also the most popular green-emitting phosphor used in OLEDs.⁴⁴ Although with a suitable host matrix the power efficiency of Ir(ppy)₃-based devices can exceed 100 lm W⁻¹,^{45a} there is still strong interest in developing high-performance green-emitting Ir(III) complexes with the aim of understanding the photophysics of these metal complexes and the relationship between molecular structures and device performance.^{45b,c}

3.2.2.1 Small molecule-based green-emitting Ir(III) complexes.

The effect of ligand substitution has been thought to be the primary factor for modulating the emission property of metal complexes. Ir(dsippy)₃ (**85**) demonstrates that the attachment of a trimethylsilyl group can induce significant steric hindrance.⁴⁶ Importantly, the substitution of this bulky ligand results in improved photostability of the complex and a relatively narrow emission peak. Compared with the device doped with Ir(ppy)₃, the device comprising Ir(dsippy)₃ enables a lower driving voltage and more stable power efficiency. To assess the influence of electron-donating and electron-withdrawing groups on the emission properties of metal complexes, Zhou *et al.* systematically investigated a series of Ir(III)-based complexes (**86–92**).⁴⁷ All of these complexes exhibit high thermal and morphological stability with a *T*_d value of greater than 350 °C. The authors suggested that the substituents have strong effects on the charge distribution within the molecular orbitals in that the electron-donating group can increase the HOMO energy, while the electron-withdrawing group has the opposite directing effect. As a result, the emissions of these complexes can be tuned from 505 to 550 nm. As the inductive effect of the sulfonyl and phosphine oxide groups can simultaneously reduce the LUMO energy, the authors observed remarkable red-shifts in the emissions of complexes **86** and **90**. The devices using these Ir(III)-based complexes have shown moderate electroluminescence performance, of which the complex **88** showcases a current efficiency of 35.02 cd A⁻¹, a power efficiency of 26.82 lm W⁻¹, and an external quantum efficiency of 11.05%.

The use of cycloalkenyl (chpy or mchpy) group instead of the phenyl in Ir(ppy)₃ also can lead to increased steric hindrance.⁴⁸ The corresponding complexes, Ir(chpy)₃ (**93**) and Ir(mchpy)₃ (**94**), emit green yellowish color with the peaks centered around 530 nm. Their HOMO and LUMO energies were modified to -5.0 and -2.5 eV, matching the energy levels of carrier transporting layers. In 2011, Rai *et al.* reported an interesting dipyriddyamido-supported cyclometalated Ir(III) complex, Ir(ppy)₂(dpa) (**95**), which emits green electroluminescence with high current efficiency (123.5 cd A⁻¹) and power efficiency (43.2 lm W⁻¹) (Fig. 8).⁴⁹ Owing to increased charge transfer between the ligand and the metal, the lifetime of the complex was reduced to 0.17 μs. The authors observed a high photoluminescence quantum yield (87%) of complex **95** in a chloroform solution, which was believed to be due to minimized phosphorescence self-quenching by suppression of triplet-triplet annihilation. High-molecular-weight Ir(III) complexes (**96** and **97**) also can display relatively short excited-state lifetimes (0.30 and 0.32 μs, respectively) with improved phosphorescence quantum yields.⁵⁰



Notably, **96** and **97** are solution processible. The phosphorescent organic light-emitting diode (PHOLED) obtained from the spin-coated complex **96** emits blue-green color with a high current efficiency of 89.1 cd A⁻¹ (69.8 lm W⁻¹) at 100 cd m⁻².

Recently, the non-doped, solution-processed phosphorescent devices containing small-sized molecular phosphors have

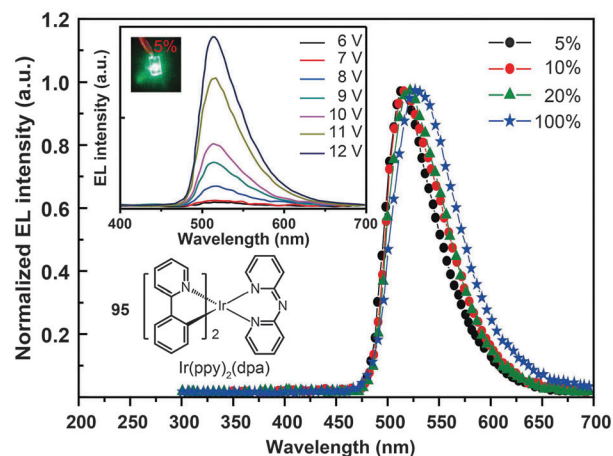


Fig. 8 Electroluminescence spectra of PHOLEDs doped with **95** at different concentrations. (Reproduced with permission from ref. 49. Copyright 2011, Royal Society of Chemistry.)



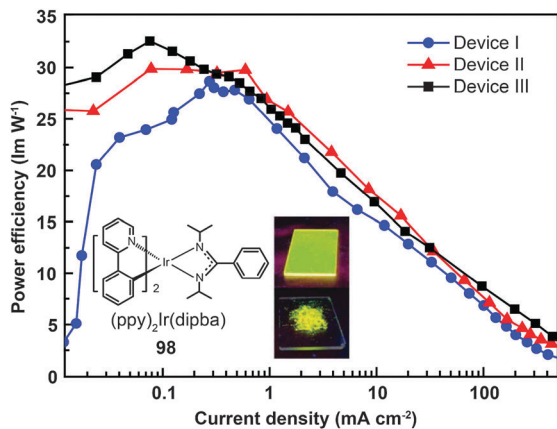


Fig. 9 Power efficiency vs. current density curves of PHOLEDs using **98** as phosphor (inset: photographs showing phosphorescent emission of **98** in its film and powder states). (Reproduced with permission from ref. 51. Copyright 2009, Royal Society of Chemistry.)

attracted considerable research interest because of their potential for facile, large-scale fabrication. Ir(III)-based complex (**98**) features a sterically hindered chelating amidinate ligand which has proven to be effective in solving the self-quenching problem (Fig. 9).⁵¹ Weak intermolecular interaction of the complex and its short lifetime of 0.34 μs are believed to be the two main factors. The contribution of the amidinate ligand to the HOMO also improves the hole-injection ability of the complex. The non-doped device of **98** showed rather low driving voltages of 2.40 V for the onset voltage, 3.15 V at 100 cd m^{-2} , and 4.30 V at 1000 cd m^{-2} . The dramatically reduced self-quenching was further confirmed by high power efficiency (32.5 lm W^{-1}), in combination with the excellent stability of the device (28 and 22 lm W^{-1} at 100 and 1000 cd m^{-2} , respectively).

Xu *et al.* utilized a combined modification of charge-transfer moieties and aliphatic chains to construct solution-processible phosphors (**99–101**).⁵² The peripheral carbazolyl groups can facilitate the hole carrier injection and transport while the long hexyl chain improves the solubility of the complexes in common solvents. Furthermore, the surrounding rigid carbazolyl moieties are able to encapsulate the emitting metal center, protecting the latter from self-quenching. This design improved the phosphorescence quantum yield of the six-armed Ir(Cz_2PhBI)₃ **101** to ~70% without significantly altering its characteristic properties. A low turn-on voltage of 2.5 V and a high external quantum efficiency of 5.9% at 100 cd m^{-2} were achieved for the non-doped devices derived from complex **101**. However, the unbalanced carrier currents arising from asymmetric injection and transport characteristics of the carbazole groups allow rapid recombination of hole–electron pairs at the electrode, resulting in luminescence quenching. To balance the carrier injection and transport in metal complexes, the same group further prepared a series of Ir(III) complexes (**102–105**) having electron-transporting functional groups.⁵³ The space-filling model shows that substituted oxadiazole ligands at multiple positions in complex **105** are effective in segregating the metal centers. As anticipated, the authors observed a high

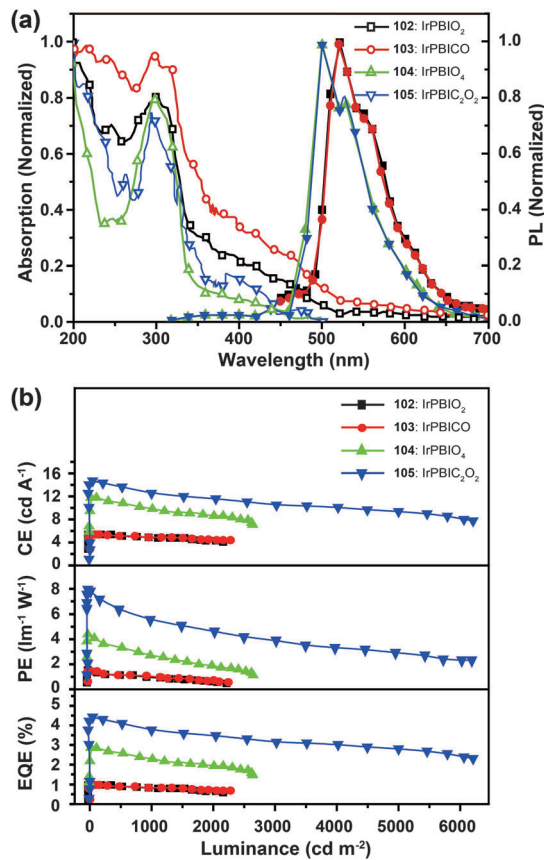
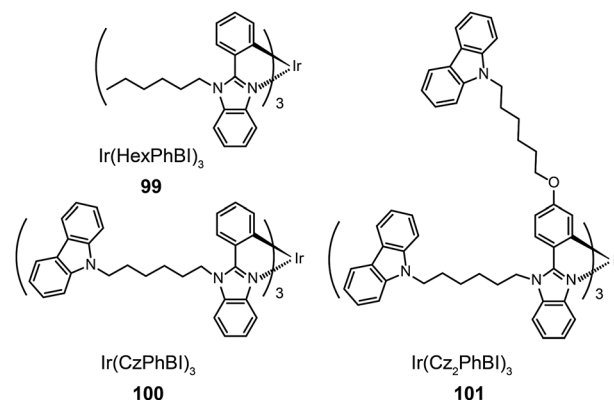


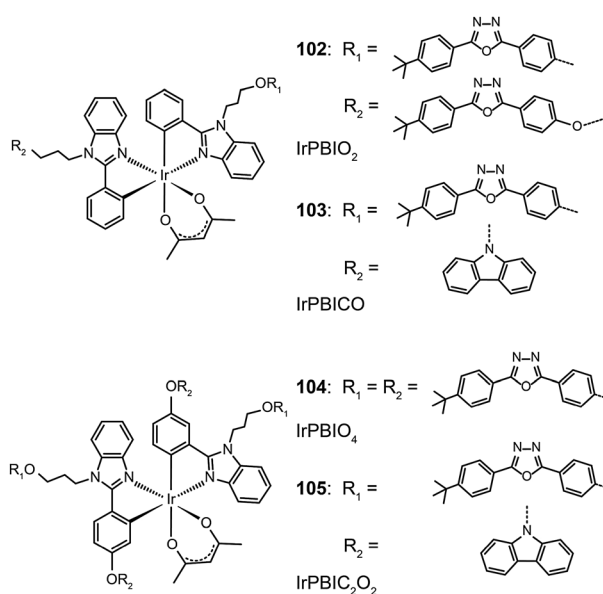
Fig. 10 (a) Absorption and photoluminescence spectra of **102–105** dissolved in dilute CH_2Cl_2 solution. (b) The corresponding efficiency curves of the PHOLEDs derived from **102–105**. (Reproduced with permission from ref. 53. Copyright 2011, Royal Society of Chemistry.)

photoluminescence performance with a balanced carrier injecting/transporting ability for complex **105** (Fig. 10). Its non-doped spin-coated devices achieved a current efficiency of 15.41 cd A^{-1} and a power efficiency of 8.08 lm W^{-1} .

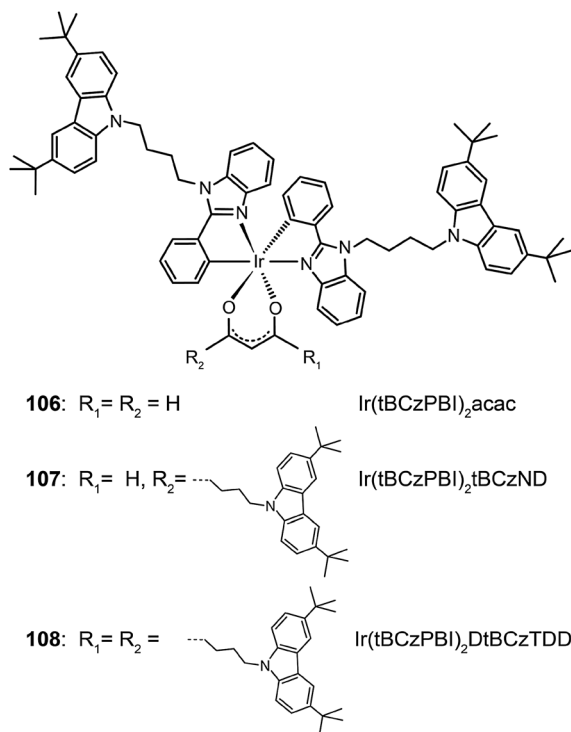


Recently, Chen *et al.* reported a similar study by employing Ir(III) complexes (**106–108**) comprising substituted oxadiazole ligands.⁵⁴ Through device optimization, complex **108** with four extended arms was used to realize a current efficiency of 23.4 cd A^{-1} and a power efficiency of 16.3 lm W^{-1} . These reports clearly demonstrate that the charge-transfer approach



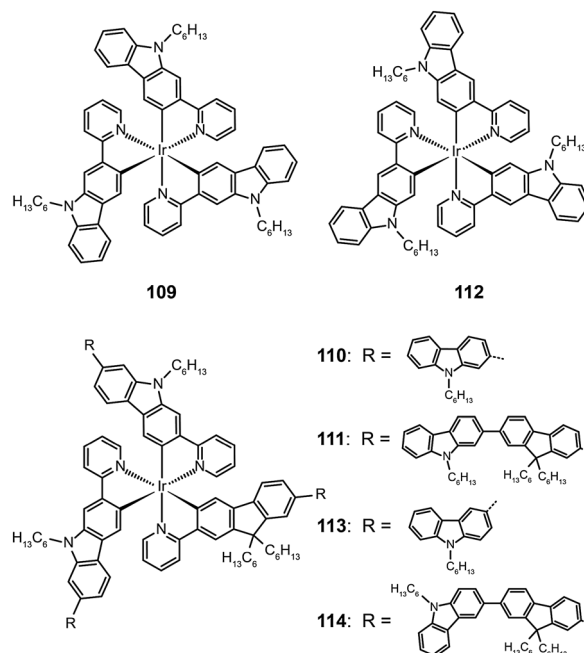


and the aliphatic chain strategy provide largely complementary benefits, which can be combined to construct high performance solution-processible phosphors with reduced quenching effects.



The attachment of oligomeric ligands to a metal ion often markedly influences the optical properties of the metal center. The Ir(III) complexes based on 2-carbazolylpyridine (**109–111**) or 3-carbazolylpyridine (**112–114**) have different emissions at 500 and 590 nm, respectively, which can be attributed to their difference in the length of π conjugation.⁵⁵ However, this difference is readily eliminated by introducing an oligofluorene linker between the carbazolyl and pyridine. The emission of

both types of Ir(III) oligomers shifts to 550 nm with increasing numbers of the fluorenyl unit.

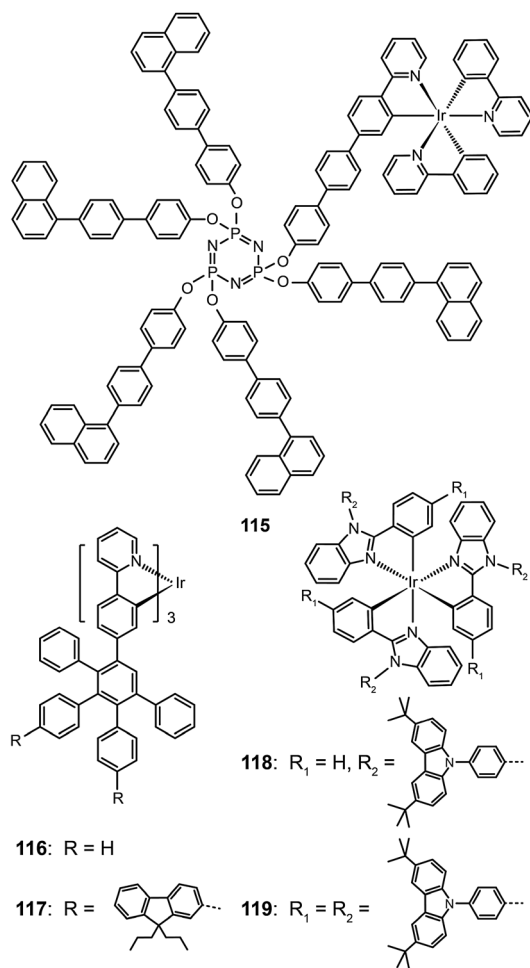


Bolink *et al.* in 2008 attached the Ir(ppy)₃ emitting unit to a solution processable dendrimer based on cyclic phosphazene to form complex **115**.⁵⁶ The cyclic phosphazene is an inert core used to link the dendronic groups for enhanced thermal and morphological stability. This dendrimer complex reveals optical properties similar to Ir(ppy)₃, but its emission peak shifts to 550 nm due to the extended conjugation by the diphenyl linker. The corresponding spin-coated devices derived from complex **115** can be turned on at 3 V, with a maximum current efficiency of 24.0 cd A⁻¹, a power efficiency of 16.7 lm W⁻¹, and an external quantum efficiency of 7.0%. The current efficiency *versus* luminance curves were rather flat as evident by the essentially unaltered current efficiency (19.4 cd A⁻¹) at maximum brightness (3362 cd m⁻²). The authors ascribed this to the occurrence of balanced carrier injection and transport in emitting layers.

As the green-emitting dendrimers have been extensively studied, the research focus has recently shifted to developing effective strategies for dendronization. Many functional groups have shown strong effects on the optoelectronic performance of the dendrimers. As shown in Ir(III) complexes (**116** and **117**), the modification of the dendrons with functional groups further decreases the intermolecular interaction between the emitting cores, thereby resulting in improved luminescence performance.⁵⁷ On the other hand, increasing the density of substitution can boost the electroluminescence efficiency of the dendrons. Comparable electroluminescence performance for Ir(III) complexes with low density of high-generation dendrons can be achieved with high density of low-generation dendrons. Representative examples are Ir(III) complexes (**118** and **119**), which can be relatively easily synthesized.⁵⁸ Complex **119** with six carbazole groups endowed its nondoped spin-coated devices



with a high current efficiency of 45.7 cd A^{-1} (with an external quantum efficiency of 13.4%), which is higher than that achieved with a similar Ir(III) complex modified with three second-generation dendrons (34.7 cd A^{-1} ; 10.3%).



Müllen and co-workers reported in 2009 on a divergent approach to prepare high generation (up to fourth) polyphenylene dendrimers (**120–123**) with an Ir(III) core.⁵⁹ These hybranched Müllen-type dendrons can fill the empty space surrounding the core to the greatest extent. Complex **123**, which has nine phenyl groups spanning from the outer shell to the Ir(III) core, is perhaps the largest Ir(III) dendrimer reported thus far. The energy transfer between discrete Ir(III) cores can be efficiently suppressed due to the enormous size of the dendrons. The emission profiles of complex **123** in solid state and in solution were similar, with emission peaks at around 516 nm. The nondoped spin-coated devices incorporating complex **122** exhibited the best electroluminescence performance among the dendrimers under investigation, with a high current efficiency of 21.9 cd A^{-1} and an external quantum efficiency of 6.1%. The pioneering work of Müllen on Ir(III) dendrimers has provided a platform upon which new and emerging applications could be established.

3.2.2.2 Green-emitting Cu(I) complexes. Cu(I) complexes are important green-emitting metallophosphors because of their

high reliability, low cost and rich luminescent properties. However, tetrahedral Cu(I) complexes often exhibit weak luminescence intensities caused by the distortion of excited states and consequently non-radiative decay. One notable solution to this problem is to enhance the structural rigidity of the complexes by adding bulky ligands. Deaton *et al.* in 2010 reported the fabrication of OLEDs derived from a binuclear Cu(I) complex (**124**), leading to a high external quantum efficiency of 8.7% with the emission maximum at 512 nm.⁶⁰ In the following year, Hashimoto *et al.* synthesized highly emissive three-coordinate Cu(I) complexes (**125–127**) with high degrees of symmetry.⁶¹ These complexes showed intense green emissions with high photoluminescence quantum yields (57–71%) in amorphous films. The OLEDs containing **126** in the emitting layer exhibited a low turn-on voltage of 2.7 V, a high current efficiency of 65.3 cd A^{-1} , and a peak external quantum efficiency of 21.3%.

In 2011, Liu *et al.* developed a convenient route to high performance OLEDs based on green-emitting Cu(I) complexes as emissive layers (Fig. 11).⁶² In their design, they co-deposited 3,5-bis(carbazol-9-yl)pyridine (mCPy) and CuI to form a CuI complex as emissive material in OLEDs. The pyridine-based mCPy ligand serves a dual role as both a ligand for stabilizing the metal and an accommodating host material for the complex, thereby resulting in the formation of a uniform film of the $[\text{CuI}(\text{mCPy})_2]_2$ complex (**128**). With a simple three-layer device structure, the authors achieved pure green luminescence at 530 nm, a maximum luminance of 9700 cd m^{-2} , and a peak external quantum efficiency of 4.4%. In a separate note, Hsu *et al.* systematically investigated the influence of group 11 metal d-orbitals on the luminescence performance of the corresponding metal complexes (**129–136**).⁶³ They showed that Cu(I) complexes exhibit a substantially higher rate of intersystem crossing and more efficient phosphorescence than their Ag(I) and Au(I) analogues. Among the series of metal d^{10} -complexes studied, complex **129** exhibited the most intensive

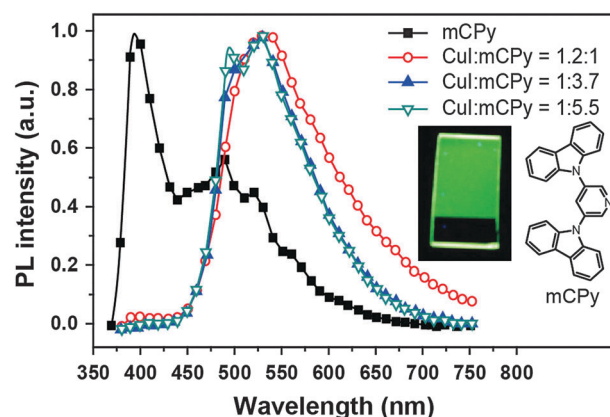


Fig. 11 Photoluminescence spectra of a neat mCPy film (at 77 K) and CuI:mCPy films with different ratios at room temperature under excitation at 350 nm (inset: photographic image of a CuI:mCPy film excited at 365 nm using a UV lamp). (Reproduced with permission from ref. 62. Copyright 2011, American Chemical Society.)



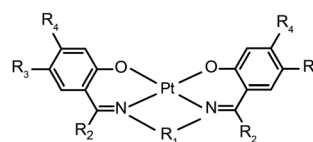
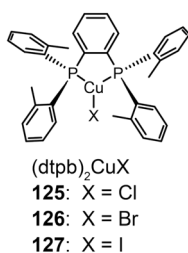


green emission with a photoluminescence quantum yield of 35% in the solid state. Although the maximum brightness of complex **129** is only $\sim 500 \text{ cd m}^{-2}$, its doped devices yield peak electroluminescence efficiencies of 20.0 cd A^{-1} , 14.9 lm W^{-1} , and 6.6%.

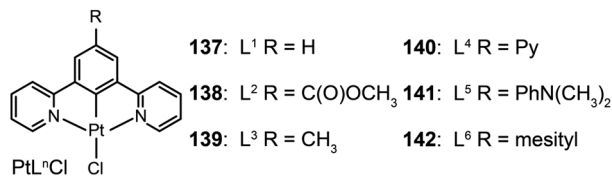
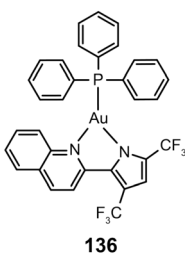
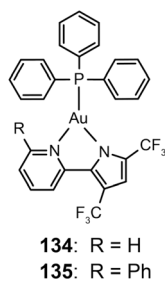
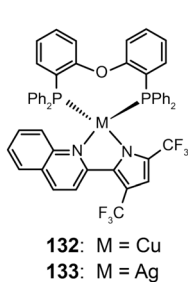
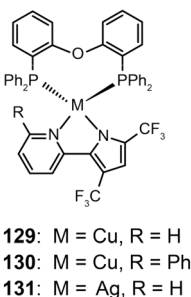
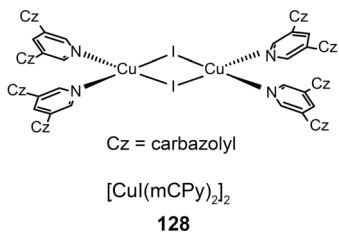
3.2.2.3 Green-emitting Pt(II) complexes. Square-planar Pt(II) complexes are commonly used as green phosphors in OLEDs. Characteristic reactions for these complexes are ligand substitution and oxidative addition. In 2007, Cocchi *et al.* constructed

a series of terdentate cyclometallated Pt(II) complexes (**137–142**) for electroluminescence applications.⁶⁴ The emissions of these complexes can be precisely tuned from green to yellow by varying the substituents on the N[^]C[^]N[^]-coordinating ligand 1,3-di(2-pyridyl)benzene. The electron-donating substituents are able to considerably elevate HOMOs of the complexes and to facilitate hole injections. High efficiencies up to 16% for the peak external quantum efficiency and 40 cd A^{-1} for current efficiency were measured for OLEDs composed of Pt(II) complexes in a blended host matrix.





- 143:** R₁ = , R₂ = H, R₃ = H, R₄ = H
144: R₁ = , R₂ = H, R₃ = Br, R₄ = H
145: R₁ = , R₂ = H, R₃ = H, R₄ = H
146: R₁ = , R₂ = H, R₃ = H, R₄ = H
147: R₁ = , R₂ = H, R₃ = CH₃, R₄ = H
148: R₁ = , R₂ = H, R₃ = C(CH₃)₃, R₄ = H
149: R₁ = , R₂ = H, R₃ = F, R₄ = H
150: R₁ = , R₂ = CH₃, R₃ = H, R₄ = H
151: R₁ = , R₂ = CH₃, R₃ = H, R₄ = F
152: R₁ = , R₂ = CH₃, R₃ = OCH₃, R₄ = H
153: R₁ = , R₂ = H, R₃ = H, R₄ = H
154: R₁ = , R₂ = H, R₃ = C(CH₃)₃, R₄ = H
155: R₁ = , R₂ = H, R₃ = H, R₄ = H
156: R₁ = , R₂ = H, R₃ = H, R₄ = H
157: R₁ = , R₂ = H, R₃ = H, R₄ = H
158: R₁ = , R₂ = H, R₃ = F, R₄ = H



The Che group recently reported a series of highly luminescent Pt(II) complexes (**143–158**) with bidentate Schiff base ligands.⁶⁵ As a result of ligand rigidity, these complexes have high thermal stability with T_d values of more than 300 °C. The planar and conjugated structure of the Schiff base ligand benefits carrier injection and transport with HOMO and LUMO energies at -5.4 and -2.4 eV, respectively. The **146**-doped devices achieved a bright green-yellowish emission, with a maximum luminance of 23 000 cd m⁻², a turn-on voltage of 4 V, and a high current efficiency of 31 cd A⁻¹. To evaluate potential application in solution-processed devices, the same group further prepared a range of Pt(II) complexes (**159–177**) bearing tridentate ligands with fluorene oligomers.⁶⁶ The utilization of oligomeric organic ligands coordinated to the metal ions offers the prospect of low-cost, solution-processable optoelectronic devices as the fluorene moieties increase the solubility of the complexes in common solvents. The extended π -conjugation can also induce bathochromic shifts in the emission of the complexes. The emission spectra ($\lambda_{\text{max}} = 558\text{--}601$ nm) of these complexes feature a vibronic structure partially resolved as usually observed for fluorene-based polymers. High emission

quantum yields up to 76% were attained in degassed dichloromethane. All of the complexes showed excellent carrier-injection abilities with HOMO and LUMO levels of -5.2 and -3.0 eV, respectively. The **164**-doped devices achieved a maximum brightness of 27 000 cd m⁻² with maximum current (14.7 cd A⁻¹) and luminous power efficiencies (9.2 lm W⁻¹).

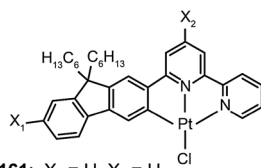
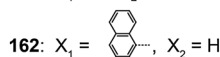
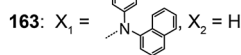
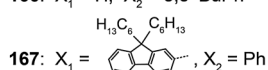
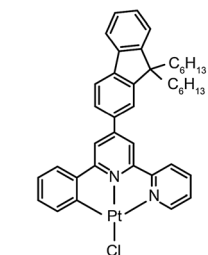
Recently, Tam *et al.* reported a dibenzimidazole-based Pt(II) complex (**178**).⁶⁷ Large d-d orbital splitting caused by the anionic phenyl ligand reduces nonradiative energy transfer processes and improves the quantum yields of the complex to 19% in solution and 45% in solid state. The **178**-doped devices yielded intensive green emission with high quantum (11.5%) and luminous power (27.2 lm W⁻¹) efficiencies. The authors also developed a novel alkynylgold(III) compound (**179**) with much improved luminescence.⁶⁸ The σ -donating alkynyl ligand strongly enhances the optical performance of the complex with a high quantum yield of 34% in poly(methyl methacrylate), and also provides main contribution to the HOMO energy level. As a result, a bright green electroluminescence with a peak at 528 nm was realized with high current (37.4 cd A⁻¹), luminous power (26.2 lm W⁻¹), and quantum efficiencies (11.5%).

3.2.2.4 Green-emitting Re(I) complexes. To date, Re(I) complexes have not been subject to the same systematic treatment as other transition metal complexes, although the Re(I) complexes are known to exhibit bright photoluminescence. The main issue with the limited use of these complexes for device

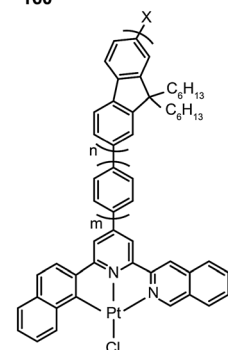




159

161: X₁ = H, X₂ = H162: X₁ = , X₂ = H163: X₁ = , X₂ = H164: X₁ = H, X₂ = Ph165: X₁ = Br, X₂ = Ph166: X₁ = H, X₂ = 3,5-'BuPh167: X₁ = , X₂ = Ph

160

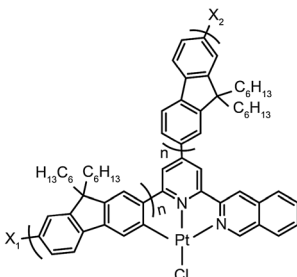
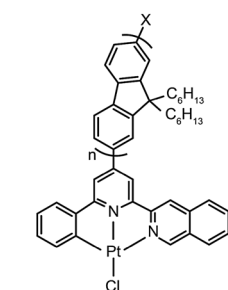


168: m = 0, n = 1, X = H

169: m = 0, n = 1, X = Br

170: m = 0, n = 2, X = H

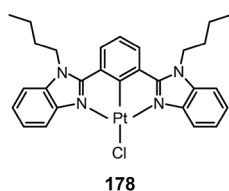
171: m = 1, n = 1, X = H

175: n = 1, X₁ = X₂ = H176: n = 1, X₁ = X₂ = Br177: n = 2, X₁ = X₂ = H

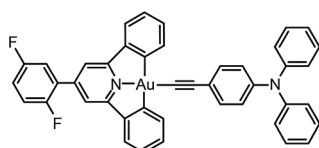
172: n = 1, X = H

173: n = 1, X = Br

174: n = 2, X = H

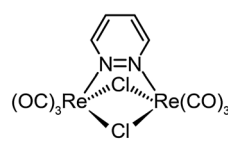


178

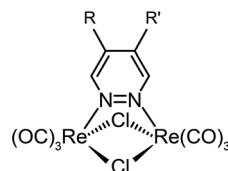


179

maximum current efficiency of 11.0 cd A⁻¹ at a luminance of 27 cd m⁻².



180



182: R = H, R' = n-hexyl

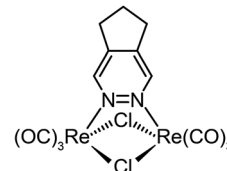
183: R = H, R' = 'Bu

184: R = Me, R' = Me

185: R = Me, R' = n-Pr



181



186

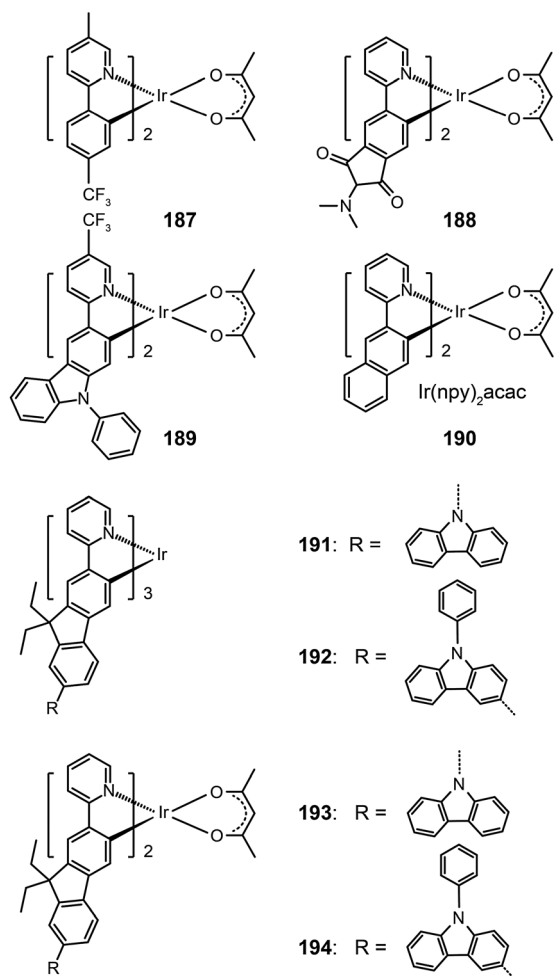
3.2.3 Yellow/orange electrophosphorescent complexes.

Yellow/orange emitters have recently gained significant interest for their use in the fabrication of white OLEDs. As described previously, a white OLED typically consists of a blue fluorophore, doped in a layer spatially separated from red and green phosphorescent layers. Alternatively, a combination of sky blue and yellow/orange phosphorescent dopants is able to generate white light. Considering the challenge and the high cost for designing deep-blue emitting phosphors, the strategy of using light sky blue phosphors is more desirable. In this context, yellow/orange phosphorescent emitters have become the second most important light sources apart from the three primary colors. The yellow/orange light can be readily obtained from Ir(ppy)₃-based complexes (**187–194**) through ligand modification. For example, the substitution of electron-donating methyl groups on 2-phenylpyridine can render a 35 nm red-shift in the emission of complex **187**.⁷⁰ This complex has a high quantum yield (47%) and a short lifetime (0.92 μs). The HOMO and LUMO energy levels of complex **187** are -5.32 and -2.84 eV, respectively, which are well aligned for double-carrier injection. The devices doped with **187** have a turn-on voltage of 4.2 V, a maximum current efficiency of 63.0 cd A⁻¹, and a peak power efficiency of 36.6 lm W⁻¹. In contrast, the functionalization of (impy)₂Ir(acac) complex **188** with strong electron-withdrawing imide groups at the phenyl position can reduce both HOMO and LUMO energy levels to -5.8 and -3.5 eV, respectively.⁷¹ The emission peak shifts to 560 nm. The devices doped with complex **188** (2–4%) exhibit intense orange electroluminescence at 560–572 nm, with high current (34.2–34.7 cd A⁻¹) and luminous power efficiencies (29.5–31.0 lm W⁻¹), corresponding to relatively high external quantum efficiencies (12.5–12.7%). The authors ascribed this to the suppressed quenching effect on intermolecular interaction exerted by the bulky iso-propyl group.

In 2008, Ho *et al.* developed a multifunctional Ir(III) complex **189**, which features the substitution of an electron-withdrawing CF₃ group on a phenylcarbazole-based ligand.⁷² The authors

applications is their poor processability. Recently, Mauro *et al.* investigated a series of neutral, dinuclear, Re(I) complexes (**180–186**) as phosphorescent emitters in OLEDs.⁶⁹ Through functionalization of diazine-based ligands with alkyl groups at different positions, the emission color of these complexes can be tuned from yellowish-green to orange, with high quantum yields up to 22%. These complexes also exhibited high electrochemical stability and suitable HOMO and LUMO energy levels for efficient carrier injection. Notably, the OLEDs obtained with complex **186**, using spin-coating and vacuum evaporation, showed a turn-on voltage of 4.1 V and a





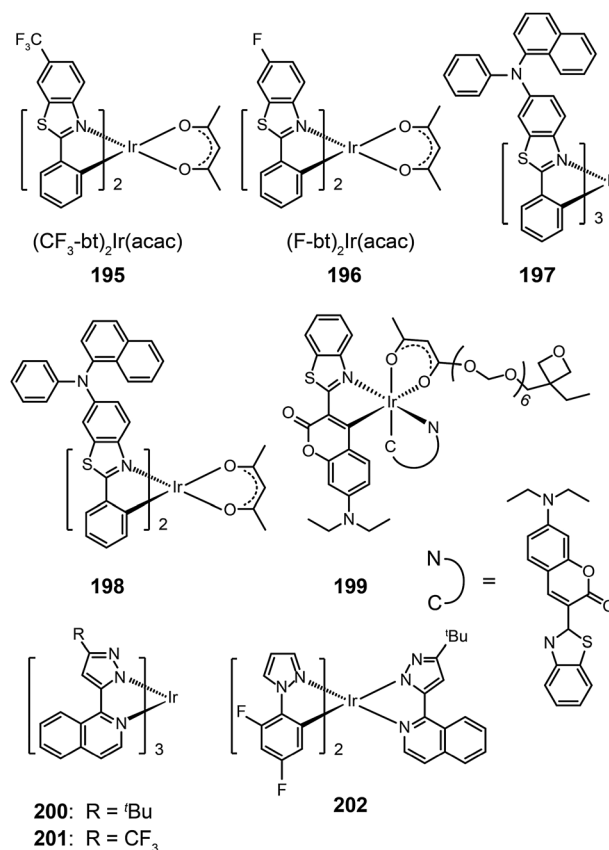
argued that the incorporation of the carbazolyl moiety can promote hole transport, while the CF_3 group can suppress the self-quenching behavior and enhance the electron mobility. Remarkably, the CF_3 substituent induced a 50 nm red shift, leading to an emission at 567 nm with a quantum yield of 19% in solution and a short lifetime of 0.58 μs . The devices derived from complex **189** generated a maximum current efficiency of 40 cd A^{-1} , corresponding to an external quantum efficiency of 12% and a power efficiency of 24 lm W^{-1} .

Replacement of the phenyl group in the $\text{Ir}(\text{ppy})_2\text{acac}$ complex with a naphthyl group results in complex $\text{Ir}(\text{npy})_2\text{acac}$ **190**, which exhibits an emission at 550 nm.⁷³ Complex **190** has a high quantum yield of 22% in solution and suitable HOMO and LUMO energy levels (−5.1 and −2.8 eV, respectively), making it a promising candidate for OLED applications. Indeed, the **190**-doped devices achieved good quantum efficiency (10.5%) and luminous power efficiencies (21.8 lm W^{-1}). The substitution of the phenyl group with fluorene or its derivatives also can lead to yellow/orange emissions due to the extension of π -conjugation.⁷⁴ The resulting complexes **191**–**194** showed elevated HOMO levels for efficient carrier injection. In addition, the oligomeric ligand can also facilitate the formation of an amorphous state, enabling the fabrication of doped devices by wet-processing approaches. Among the series

of fluorene-based complexes investigated, **192** supported its spin-coated doped devices with the highest electroluminescence efficiencies of 29.77 cd A^{-1} , 13.35 lm W^{-1} , and 9.58%.

$\text{Ir}(\text{bt})_2\text{acac}$, which gives emission at 557 nm with a quantum yield of 26%, is one of the most popular orange-emitting complexes.⁷⁵ Substitution of the benzothiazole unit with a CF_3 group or a fluorine atom can shift the emission of $(\text{CF}_3\text{-bt})_2\text{Ir}(\text{acac})$ (**195**) and $(\text{F-bt})_2\text{Ir}(\text{acac})$ (**196**) complexes to 564 and 554 nm, respectively.⁷⁶ The devices prepared with these two complexes showed a low onset driving voltage of ~ 4 V, a driving voltage of ~ 10 V at brightness $>60\,000$ cd m^{-2} , and high efficiencies ($>20\%$, ~ 40 lm W^{-1} , 70 cd A^{-1}). In comparison, the substitution by an electron-donating naphthylphenylamine group resulted in much larger bathochromic shifts (>20 nm) of the emission band position for $\text{Ir}(\text{III})$ complexes (**197** and **198**).⁷⁷ The spectral shift mainly originates from the elevation of HOMO levels promoted by the aryl amine group. However, the quantum yields of the two complexes were lowered to 12% and 9%, presumably due to structural relaxation of the aryl amine group. The spin-coated doped devices of **197** and **198** gave moderate electroluminescence performance.

In 2008, Rehmann *et al.* reported a photo-crosslinkable $\text{Ir}(\text{III})$ complex (**199**; also known as x-emitter) containing oxetane moieties.⁷⁸ For a well-balanced charge transport, the authors utilized an additional electron-conducting layer on top of the emissive layer, leading to significantly improved device performance. The spin-coated doped devices of **199** realized a maximum current efficiency of 18.4 cd A^{-1} at an operating voltage



of 5 V and a brightness of 100 cd m⁻². Notably, the maximum efficiency was improved by a factor of 30 relative to a device without the electron-transporting layer. Ir(bipz)₃ (**200**) and Ir(fipz)₃ (**201**) complexes were constructed by Chou and co-workers in 2010 according to a contrary strategy of utilizing high-field-strength ligands to induce hypochromatic shift in emission wavelength.⁷⁹ These two complexes showed bright yellow luminescence with the emission peaks at 567 and 545 nm, respectively. The **200**-doped devices exhibited higher efficiencies (14.6%, 26.1 lm W⁻¹, 34.8 cd A⁻¹) than those based on (dfpz)₂Ir(bipz) complex (**202**) (11.6%, 17.6 lm W⁻¹, 28.6 cd A⁻¹). The high efficiencies for complex **200** result from the balancing effect of the ancillary ligand on carrier injection and transport.

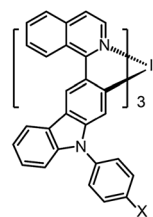
3.2.4 Red electrophosphorescent complexes

3.2.4.1 Red-emitting Ir(III) complexes. Owing to structural constraints and strong intermolecular interaction, red-emitting Ir(III) complexes often suffer from unbalanced carrier injection and transport, luminescence quenching, and poor device performance.⁸⁰ Introduction of electron-donating groups can effectively enhance the carrier injecting/transporting ability.⁸⁰ For instance, carbazole moieties in Ir(III) complexes (**203–206**) strongly elevate the HOMO levels of these complexes by coupling of the carbazole unit to the iridium isoquinoline core. They represent materials that emit in the red region of the optical spectrum with quantum yields up to 30%. These complexes also have excellent thermal and morphological stability (*T*_d > 340 °C and glass transition temperature *T*_g > 110 °C). Complex **205** exhibited a higher external quantum efficiency (11.76%) than **203** (10.87%), which could be attributed to the suppression of nonradiative transitions by the high field strength of the acac ligand in **205**. However, complex **203** displayed higher current (11.00 cd A⁻¹) and power efficiencies (6.16 lm W⁻¹) as compared with **205** (10.15 cd A⁻¹, 5.25 lm W⁻¹).

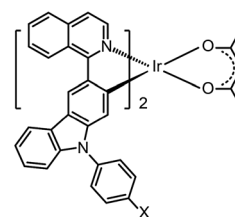
A similar effect of the carbazolyl group on quinoline-based Ir(III) complexes, (Et/EO-CVz-PhQ)₂Ir(pic-N-O), (Et/EO-CVz-PhQ)₂Ir(pic) and (Et/EO-CVz-PhQ)₂Ir(acac) (**207–212**), was demonstrated by Lee *et al.*⁸¹ These complexes also have improved thermal and morphological stability with *T*_d of 385–344 °C and *T*_g of 150–287 °C. The ancillary ligands showed a strong effect on the optical properties of the complexes. The acac-based complexes displayed much lower photoluminescence quantum yields (3–5%) than other complexes, of which **210** gave the highest quantum yield (33%). The emission peaks of the acac-based complexes are centered at around 623 nm, while the emissions of other complexes are at about 604 nm. Complex **208**-doped spin-coated devices achieved the best electroluminescence performance. The maximum efficiencies reached 8.89 cd A⁻¹, 3.41 lm W⁻¹ and 5.51%. The carbazole group clearly improves the rigidity of the complexes and hence decreases the probability of nonradiative transition. The roll-offs of external quantum efficiency were less than 1% at 20 mA cm⁻².

One common approach to suppress intermolecular interaction is to increase the steric hindrance of molecules by introducing bulky groups. A representative example was demonstrated in (tmq)₂Ir(acac) complex (**213**) by substituting a methyl group at the 4-position of quinoline. Complex **213**

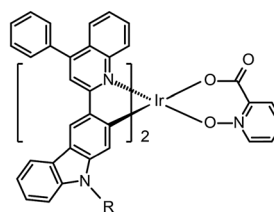
emits at 611 nm with a full-width-at-half-maximum of 48 nm, which is much narrower than that of complex (piq)₂Ir(acac).¹³ With a conventional 4,4'-bis(9-carbazolyl)-2,2'-biphenyl (CBP) host, complex **213** realized a low turn-on voltage of 3.2 V and a high luminance of 54 508 cd m⁻², as well as high efficiencies of 21.5 cd A⁻¹, 19.3 lm W⁻¹ and 15.1%. For (BIQS)/**213**-based devices, the best electroluminescence performance to date was obtained, including extremely high efficiencies of 37.3 cd A⁻¹, 32.9 lm W⁻¹, and 25.9%.¹³ The increase in the number of methyl and *tert*-butyl substituents in Ir(III) complexes (**214–217**) can further increase the intermolecular steric hindrance.⁸² The electron-donating methyl group induced the elevation of both HOMO and LUMO levels. The iso-propyl substituent in (bt)₂Ir(dipba) complex (**218**) also revealed the ability to reduce intermolecular interaction.⁸³ It was found that the use of the amidinate ligand changed HOMO and LUMO levels to -4.88 and -2.81 eV. The suppressed quenching effect due to enhanced carrier injection in **218** was confirmed using its



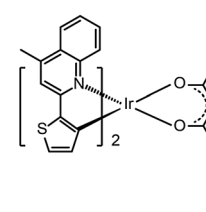
203: X = H
204: X = OMe



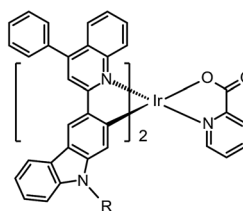
205: X = H
206: X = OMe



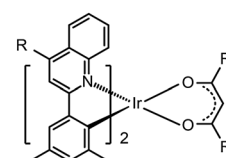
207: R = ethyl
208: R = 2-(2-methoxyethoxy)ethyl



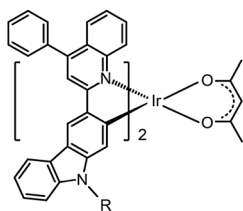
(tmq)₂Ir(acac)
213



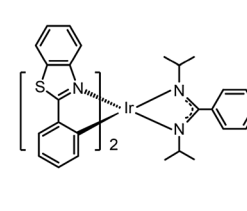
209: R = ethyl
210: R = 2-(2-methoxyethoxy)ethyl



214: R = H, R' = Me
215: R = Me, R' = Me
216: R = H, R' = *t*Bu
217: R = Me, R' = *t*Bu



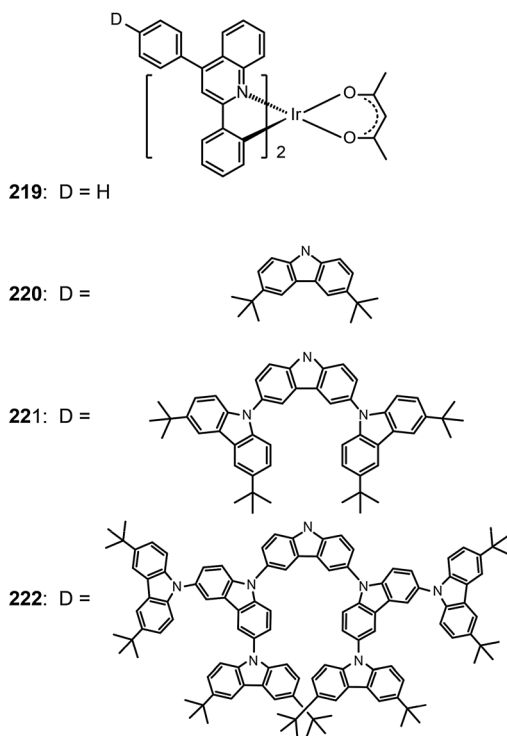
211: R = ethyl
212: R = 2-(2-methoxyethoxy)ethyl



(bt)₂Ir(dipba)
218

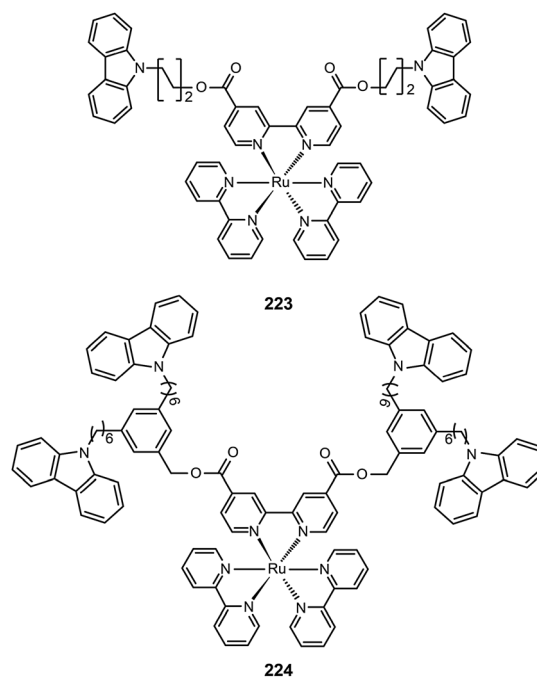


heavily doped (30%) devices. Except for the low driving voltages of 2.5 V obtained for onset and 3.3 V at 100 cd m^{-2} , high efficiencies of 18.1 cd A^{-1} , 18.4 lm W^{-1} , and 15.4% were also realized. The nondoped devices of **218** also achieved high efficiencies of 8.4 cd A^{-1} , 6.9 lm W^{-1} , and 7.5% reported thus far. Another notable development was reported by Ding *et al.*, who showed that the use of dendritic protection, as shown in $\text{Ir}(\text{phq})_2(\text{acac})$ -type dendrimers (**219–222**), can improve electroluminescence performance.⁸⁴ The efficiencies of nondoped devices based on **222** reached 3.3 cd A^{-1} , 1.3 lm W^{-1} , and 5.0%, while devices doped with 5% of **222** had the efficiencies of 13.0 cd A^{-1} , 7.2 lm W^{-1} , and 11.8%.



3.2.4.2 Red-emitting Ru(II) complexes. For the oxidation states (II–IV) of ruthenium, there is great complexity as many complexes can undergo reversible oxidation and reduction reactions. Ru(II) complexes with nitrogen-based ligands now attract great attention for application in OLEDs. Zhu *et al.* recently reported an intriguing class of phosphorescent ruthenium(II) complexes (**223** and **224**) with peripheral carbazole substituents. These complexes exhibit intense deep red emission at ~ 660 nm, and can form high quality films using the electrochemical deposition technique.⁸⁵ Despite the encapsulation of the Ru(II) core by the peripheral carbazole group, the optical properties of **223** and **224** are essentially unaltered. However, the HOMO energy levels of **223** and **224** are raised by 0.1 eV, while their LUMOs are reduced by 0.4 eV. The device made with **223** achieved maximum luminous current (3.9 cd A^{-1}) and power efficiencies (1.1 lm W^{-1}) at a maximum brightness of 293 cd m^{-2} .

3.2.4.3 Red-emitting porphyrin-type complexes. Tetrapyrrole macrocyclic rings, such as porphyrins, are of major biological and medical importance. But as emitters for OLED applications, these compounds are conventionally thought to be constrained



by the quenching effect because of strong intermolecular interaction. The quenching effect can be circumvented using donor–acceptor (D–A) systems. To prevent the potential phase separation during the preparation of the D–A system, Montes *et al.* designed Alq_3 –PtTPP-based systems (**225–228**), linked by oligofluorene bridges, for the investigation of triplet dynamics in D–A electroluminescent materials (Fig. 12).⁸⁶ Importantly, the linkers

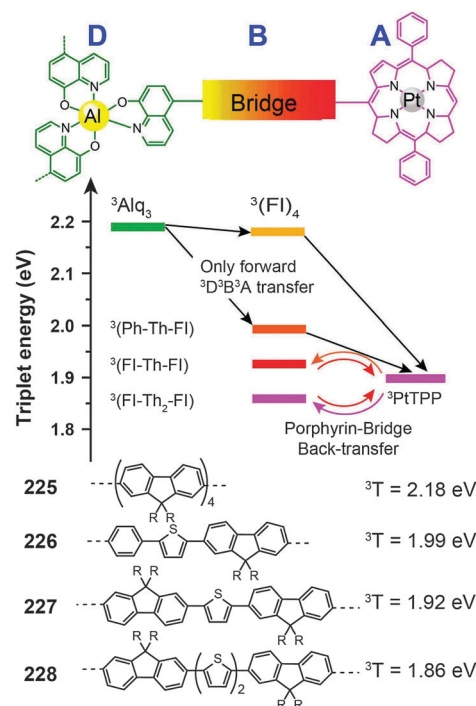


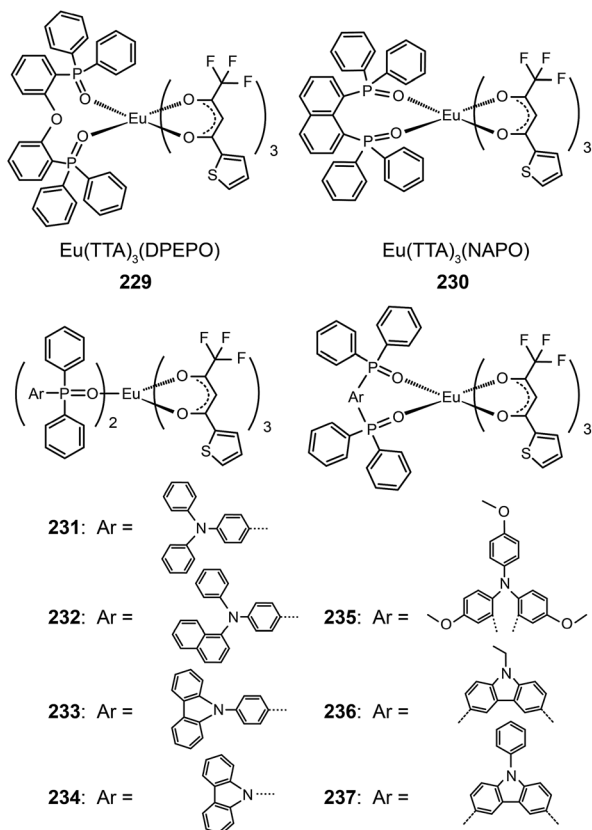
Fig. 12 Intramolecular energy transfer involving compounds **225–228** (D, B and A refer to donor, bridge and acceptor, respectively). (Reproduced with permission from ref. 86. Copyright 2007, American Chemical Society.)



facilitate exothermic triplet energy transfer. The red emission at ~ 650 nm from PtTPP is sensitized by the Alq₃ moiety. The nondoped spin-coated devices based on **225** and **226** achieved external quantum efficiencies of 0.21% and 0.18%, respectively.

3.2.4.4 Red-emitting Eu(III) complexes. Luminescent Eu(III) complexes are most famous for their intense monochromic red emissions at ~ 615 nm with high quantum yields.⁸⁷ As there are several excellent recent reviews on light-emitting lanthanide materials,⁸⁸ we herein only focus on recent development of functional phosphine oxide-based Eu(III) complexes. Tertiary phosphine oxides are a series of oxide ligands having the general formula R₃PO.⁸⁹ They are obtained by oxidation of trisubstituted phosphines, and constitute one of a few series of ligands in which electronic and steric properties can be precisely controlled by varying R.

The Huang group presented first reports on the synthesis of electroluminescent Eu(III) complexes, Eu(TTA)₃DPEPO (**229**)^{89a} and Eu(TTA)₃NAPO (**230**),^{89b,c} with phosphine oxide chelating ligands. The phosphine oxide ligands form more stable coordination bonds with lanthanide ions compared with N-heterocyclic ligands, such as pyridine. The phosphine oxide complexes thus have high thermal and morphological stability ($T_d > 300$ °C and $T_g \approx 100$ °C). Complex **229** reveals a very high quantum yield of 0.55 owing to the reduction in nonradiative loss. The compact and rigid structure and strong electron-transporting ability of the PO ligand endow **229**-coupled devices with very high efficiencies of 4.58 cd A⁻¹, 2.05 lm W⁻¹, and 2.89%.^{89a}



The same group further prepared Eu(TTA)₃(TAPO)₂, Eu(TTA)₃(NADAPO)₂, Eu(TTA)₃(CPPO)₂, and Eu(TTA)₃(CPO)₂ complexes (**231–234**) with hole-transporting groups incorporated into monodentate ligands in order to balance the carrier injection and transport in emissive layers.⁹⁰ These complexes have high quantum yields (up to 42%) that are attributable to the reduced quenching effect imparted by the bulky ligands. The hole-transporting groups enhanced the hole injection by elevating the HOMO level from -6.3 to about -5.5 eV. On the other hand, the LUMO levels of these complexes remained at -3.0 eV, which is similar to that of triphenylphosphine oxide-based Eu(III) complexes. The Eu(III) complex **232** with the bulkiest PO ligand in the series under investigation provided its nondoped devices with a high luminance of 59 cd m⁻¹. The electroluminescence performance of devices doped with **232** is among the best results reported thus far, with maximum efficiencies of 5.88 cd A⁻¹, 3.69 lm W⁻¹ and 3.71%. In a following paper, the authors investigated the effect of different ligand structures on optoelectronic performance using D-A type Eu(III) complexes appended with PO ligands.⁹¹ They discovered that the reductive effect of Eu(III) ions induces the migration of electron cloud from electron-rich hole-transporting groups to electron-deficient PO groups. Consequently, the abilities of both functional groups to inject or transport charge carriers are weakened.

Nevertheless, the insertion of a π -spacer between the donor and the acceptor group of a ligand does effectively diminish the inductive effect of Eu(III) ions on frontier molecular orbital electron cloud distributions of the ligand. Xu *et al.* duplicated this strategy in Eu(TTA)₃(TMOADPO), Eu(TTA)₃(EtCzDPO), and Eu(TTA)₃(PhCzDPO) complexes (**235–237**).⁹² The use of rigid bidentate ligands enhanced the thermal and morphological stability of the complexes and also reduced nonradiative transitions due to structural relaxation. The devices based on these complexes achieved a maximum luminance of >1000 cd m⁻² and a high external quantum efficiency of $>3.5\%$. By taking advantages of the strong chelating effect of the PO ligand toward lanthanide ions, the authors recently introduced PO-based Eu(III) complexes into polymeric light-emitting Eu(III) materials.⁹³

3.2.5 Infrared electrophosphorescent complexes. Infrared (IR) emitting materials are important with huge potential for application in optical communication, biosensing, and defence. However, the IR-emitting materials are scarce as the emission at around 1000 nm occurs only in a few pure organic compounds with strong electron-withdrawing groups and complexed structures.⁹⁴ For good measure, the emissions in the IR region are relatively readily achieved in porphyrin and phthalocyanine derivatives as well as organolanthanide complexes.

3.2.5.1 IR-emitting Pt(II) complexes. In 2007, the Thompson group reported the first highly efficient metalloporphyrin near-infrared (NIR) dye, Pt(tpbp) (**238**).⁹⁵ The HOMO and LUMO levels of **238** are -4.9 and -2.5 eV, respectively, suitable for carrier injection. The strong emissive ability of this complex is manifested by its high quantum yield of 70%. Combined with



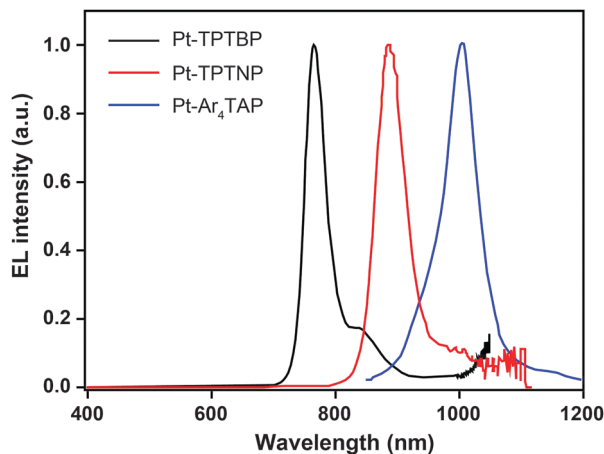
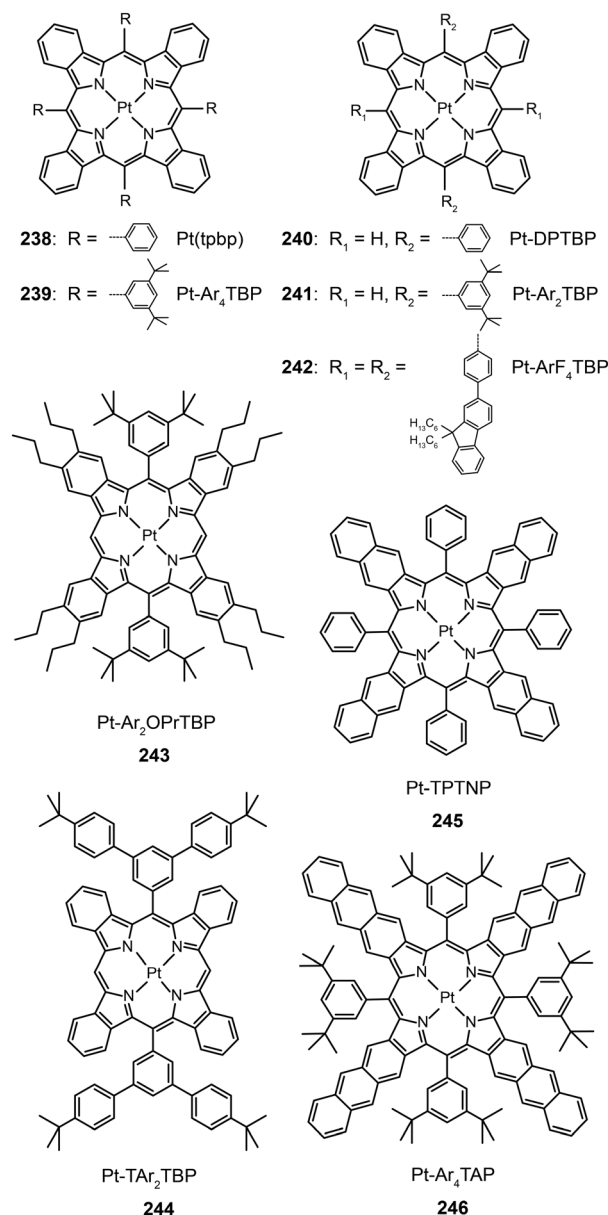


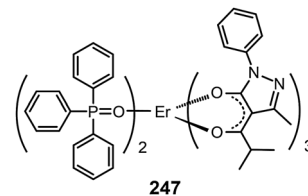
Fig. 13 Electroluminescence spectra of PHOLEDs using **238**, **245** and **246** as the emitter, respectively, in the PVK:PBD host matrix. The bathochromic shifts in emission from 750 to 1000 nm are due to conjugation extension from phenyl to naphthyl to anthryl in their porphyrin rings. (Reproduced with permission from ref. 96. Copyright 2011, American Chemical Society.)

an electron-transporting host Alq₃, **238** exhibited an excellent electroluminescence performance with a high maximum output of 750 $\mu\text{W cm}^{-2}$ and a maximum external quantum efficiency of 6.0%. The efficiency roll-off was gradual and the lifetime of the devices was measured up to 1000 h. However, the emission wavelength (765 nm) of this material is considered to be too short for optical communication applications. Recently, Graham *et al.* reported on the development of IR emissive Pt-porphyrin derivatives (**239–246**), with emission wavelength stretched out to 1022 nm for Pt-TPTNP complex **245** and Pt-Ar₄TAP complex **246** by increasing the conjugation length from **238** (Fig. 13).⁹⁶ However, **237** exhibited the worst electroluminescence performance with a maximum efficiency of only 0.2%. The Pt-porphyrin derivatives of **238**, **239–244**, with limited conjugation lengths have relatively high quantum yields of ~45%. Their polymeric light-emitting devices achieved external quantum efficiencies of 2.07–3.2% using polyvinylcarbazole as the host. Their OLEDs made with Alq₃ as the host realized high external quantum efficiencies of 5.0–9.2%.

3.2.5.2 IR-emitting lanthanide complexes. Lanthanide-doped inorganic materials are well-known for their successful optical applications as upconversion emitters and fiber amplifiers in optical communications. The Piguet group has developed a series of lanthanide-transition metal hybrid complexes to realize upconversion processes at the molecular level.⁹⁷ Common optical communication windows at 1550, 1300 and 980 nm correspond to the emission from Er(III), Nd(III) and Yb(III) complexes, respectively. Therefore, IR devices based on lanthanide complexes have been proposed. However, such developments are constrained by the strong absorption of IR radiation by C–H vibration and the inherent poor electrical properties of the complexes.^{87a} A notable effort was demonstrated by Li *et al.*, who reported the synthesis of an Er(PM)₃(TP)₂ complex (**247**) based on pyrazolone and TPPO



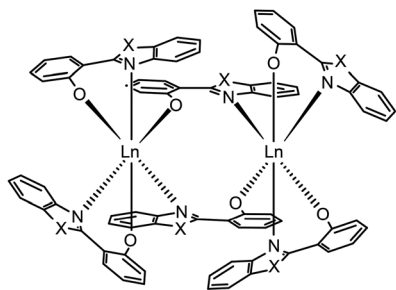
ligands.^{98a} The two ligands possess an antenna effect that enhances the emission from Er(III) at 1540 nm. The nondoped devices based on **247** achieved a maximum output of 0.21 $\mu\text{W cm}^{-2}$.



Katkova *et al.* prepared a range of NIR emitting Pr(III), Nd(III), Ho(III), Er(III), Tm(III), and Yb(III) complexes (**248–262**), sensitized by 2-(2-benzimidazol-2-yl)phenolate, 2-(2-benzoxazol-2-yl)phenolate or 2-(2-benzothiazol-2-yl)phenolate ligands.^{98b} These complexes tend to form dimers comprising two lanthanide ions and six organic ligands. The nondoped devices of



Nd(III) complexes **252** and **253** yielded strong light outputs at 1069 nm (74 and 29 $\mu\text{W cm}^{-2}$, respectively), while their Yb(III) counterparts **261** and **262** exhibited much higher light outputs at 982 nm (154 and 286 $\mu\text{W cm}^{-2}$, respectively).



248: Ln = Pr, X = N	256: Ln = Er, X = O
249: Ln = Pr, X = O	257: Ln = Er, X = S
250: Ln = Pr, X = S	258: Ln = Tm, X = O
251: Ln = Nd, X = N	259: Ln = Tm, X = S
252: Ln = Nd, X = O	260: Ln = Yb, X = N
253: Ln = Nd, X = S	261: Ln = Yb, X = O
254: Ln = Ho, X = O	262: Ln = Yb, X = S
255: Ln = Ho, X = S	

Full-color phosphorescent OLEDs are now realized with extremely high efficiencies using various metal–organic complexes. For instance, external quantum efficiencies approaching 25% have been achieved for blue, green and red emissions (Table 2). Nevertheless, it is worth noting that efficient blue phosphors are less abundant due to the difficulty in achieving high energy metal-to-ligand charge-transfer ($^3\text{MLCT}$) and ligand-to-ligand charge-transfer ($^3\text{LLCT}$) states. Furthermore, relatively low performances of OLEDs are typically observed for red phosphors as they are prone to quenching. This stimulates continuing efforts to develop novel red-emitting complexes with low polarity, weak intermolecular interaction, and short emission lifetime.

3.3 Recent progress on metal complex-based OLEDs

Since the invention of OLEDs, the device fabrication has been inseparable from the synthesis of organic materials. The first two-layer sandwich-type configuration designed by Tang and Van Slyke² has led to a remarkable improvement in the maximum brightness of OLEDs and a significant reduction in the driving voltage from hundreds of volts for bulk single-crystal

Table 2 Physical properties and electroluminescence performance of typical phosphorescent complexes

Emitter	Photophysical properties			Device performance			Ref.
	Emission peak ^a (nm)	Φ^a (%)	HOMO/LUMO ^c (eV)	Voltage (V) ^d	Max. brightness (cd m ⁻²)	Max. efficiency ^e	
37	454(482), —	—	−6.2/−3.2	~6	~2000	36.1, 17.3, 23.3	23
49	455, —	—	5.2/3.2	3.2	20 649	22.3, 19.8, 15.1	30
56	458, —	—	—	4	—	6.3, 4.0, 6.0	32
57	430(458), —	0.11	—	5.4	1817	11.4, 7.9, 11.9	33
58	428(455), —	0.4	—	4.4	4044	11.3, 8.6, 11.7	33
59	485(515), 510	3.81	−5.51/−2.87	3.2	38 963	25.45, 23.52, —	34
66	468(495), —	76	—	<3.8	—	17, 14, 7.9	37
70	435(465), 438(466)	94	−5.4/−2.2	—	—	5.4, 3.4, 3.9	38
71	—	46	—	—	—	20.6, 6.4, 12.6	39
80	460, —	7	—	—	1200	0.5, —, —	41
84	441, —	55	—	—	—	1.5, 0.52, —	42
88	505, —	40	−5.22/−2.00	3.3	48 295	35.02, 26.82, 11.05	47
93	536, —	—	−5.0/−2.5	3.4	—	69.0, 62.0, 18.7	48
94	535, —	—	−5.1/−2.6	3.7	—	62.5, 53.1, 17.1	48
95	480(510), 530	87	—	3.5	46 808	123.5, 43.2, —	49
96	—	95	−5.1/−2.6	—	—	89.2, 69.8, 23.6	50
98	543, 553	30	−4.78/−2.29	2.4	—	—, 32.5, —	51
101	491(517), —	72	−5.13/−2.9	2.5	6150	—, —, 5.9	52
105	493(523), 520(528)	37	−5.36/−2.49	4.9	6255	15.41, 8.08, 4.68	53
115	—	—	—	3	3362	24.0, 16.7, 7.0	56
119	517, 521	83	−5.06/−2.63	—	19 000	45.7, 37.8, 13.4	58
128	—, 495(528)	—	—	3.6	9700	10.2, 13.8, 4.4	62
137	491, —	60	—	—	—	40, —, 16	64
178	506(505 ^b), —	19	−6.32/−3.86	—	—	38.9, 27.2, 11.5	67
179	669(537 ^b), 590	0.85	−5.6/−3.4	—	—	37.4, 26.2, 11.5	68
188	560(595), —	—	−5.8/−3.5	3.3	—	34.2, 31.0, 12.7	71
192	562(605), 561(610)	12	−4.96, −2.54	6.7	21 570	29.77, 13.35, 9.58	74
195	564(600), —	36	—	4.0	80 190	76.0, 39.8, 27.2	76
203	620, 608(658)	19	−4.96/−2.43	4.6	5846	11.0, 6.16, 10.87	80
213	611, —	55	—	3.1	58 688	37.3, 32.9, 25.9	13
218	609–616	—	−4.88/−2.81	2.5	15 701	8.4, 6.9, 7.5	83
232	611, 615	36.1	−5.29/−3.08	4.8	1158	5.88, 3.69, 3.71	90
236	612, 615	36.3	−5.56/−3.21	7.6	1276	5.60, 2.26, 3.54	92
238	765, —	—	−4.9/−2.5	—	740($\mu\text{W cm}^{-2}$)	—, —, 6.3	95

^a Measured in solution. The values in parentheses indicate the shoulder peak. ^b Measured in solid matrices. ^c Measured according to the analysis of cyclic voltammetric data and energy bandgaps. ^d Turn-on voltage. ^e In the order of current efficiency (cd A⁻¹), power efficiency (lm W⁻¹) and external quantum efficiency (%).



devices to just a few volts. This achievement clearly underscores the paramount importance of device fabrication in improving the overall electroluminescence performance. Till the present time, there are three major types of organic light-emitting devices, namely organic light-emitting diodes,^{18d,99} light-emitting electrochemical cells (LECs),¹⁰⁰ and organic light-emitting transistors (OLETs).¹⁰¹

3.3.1 New approaches to modifying electrodes. Experimental results have shown that the electrode of a device not only determines charge-carrier transport and injection, but also influences the stability, processability and lifetime of the device.¹⁰² The large barrier between the low LUMO level of organic semiconductors and the high work function metal (e.g., Al) cathode often prevents the injection of electrons. Low work function metal (e.g., Ca and Ba) cathodes can improve the carrier injection. However, they also facilitate the formation of bipolarons at metal–polymer interfaces and enhance nonradiative decay. By inserting an inert layer of BaF₂ with a thickness of ~3 nm between an emissive layer and the overlying Ca layer, the nonradiative decay can be effectively minimized. A reaction between the BaF₂ layer and the Ca layer typically takes place during the deposition to generate a mixed region of BaF₂, Ba, CaF₂, and Ca in favor of electron injection. The trilayer cathode of BaF₂:Ba/CaF₂/Ca can prolong the device lifetime two times longer than that achieved with a similar bilayer cathode.

Rapid patterning of metal cathodes onto different substrates is an important issue for high throughput, large-scale production of OLEDs.¹⁰³ Lee¹⁰² and Chae¹⁰³ developed a simple but practical technology named selective metal transfer (SMT) (Fig. 14). This technology obviates the need for a mask or mold typically required in conventional techniques involving either vacuum evaporation or screen printing. The adhesion strength of the Al metal strongly depends on the surface properties of the polymer used. Polyvinylcarbazole (PVK) and poly[2-methoxy-5-(2'-ethyl-hexyloxy)-1,4-phenylene vinylene] (MEH-PPV) have a much stronger adhesion strength with Al surface than hydrophilic poly(vinyl pyrrolidone) (PVP). This difference can be utilized to selectively transfer Al onto PVK-containing emissive layers.

Indium tin oxide (ITO) is a common anode material for OLEDs due to the close match of its high work function to the HOMO level of organic semiconductors and its high transmittance to visible light. However, the high cost and difficult processing procedure of ITO limit its commercial applications in OLEDs. Therefore, solution-processable, conductive carbon-based anode materials become an attractive candidate for the replacement of ITO.

Ou *et al.* used a PEDOT:PSS composite (PS^C) to modify the morphology of carbon nanotubes (CNTs) (Fig. 15a and b).¹⁰⁴ When treated with PS^C, the CNT thin film anode exhibited a 40–70% reduction in roughness. The thin film anode also showed an excellent tensile strength as compared with ITO-based anodes. The utilization of CNTs remarkably improved the electroluminescence performance of the devices with a high luminance of over 8000 cd m⁻² and a maximum efficiency of

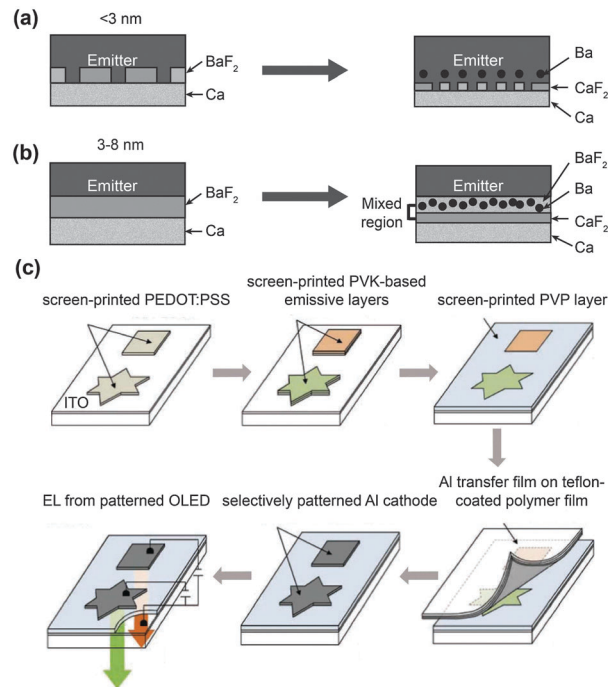


Fig. 14 (a and b) Formation of a triple-layer cathode of BaF₂:Ba/CaF₂/Ca adjacent to an emitting layer by the reaction of BaF₂ with Ca layers. (a) With a thickness of BaF₂ less than 3 nm, direct contact between Ca and emitting layers facilitates nonradiative decay of excitons. (b) With a BaF₂ thickness of greater than 3 nm, a complete trilayer cathode can be formed to realize both efficient electron injection and the separation of Ca and emitting layers. (c) Schematic showing the fabrication procedure of patterned Al cathodes on the surface of PVK-containing emitting layers by a selective metal transfer technique. (Reproduced with permission from ref. 102 and 103. Copyright 2009 and 2011, Wiley-VCH Verlag GmbH & Co. KGaA.)

10.7 cd A⁻¹. Relative to CNT, graphene has a high carrier mobility and a comparable resistance and light outcoupling properties. The sheet resistance (R_{sh}) of a graphene film can be estimated according to eqn (5):

$$R_{sh} = \frac{1}{e\mu N_i N} = \frac{62.4 \Omega}{N} \quad (5)$$

where μ is charge carrier mobility (about 10⁵ cm² V⁻¹ s⁻¹), N_i refers to doping concentration (approximately 10¹² cm⁻²), and N is the number of graphene layers.

Wu *et al.* prepared graphene films with a thickness of ~7 nm and a surface roughness less than 3 nm (Fig. 15c).¹⁰⁵ The driving voltages of the graphene-based devices, obtained at 4.5 V for onset and 11.7 V for 100 cd m⁻², are comparable to those of ITO-based devices with 3.8 and 9.9 V for onset and 100 cd m⁻², respectively. However, the efficiencies of the graphene-based devices were slightly lower than those of ITO-based devices because of the higher sheet resistance and mismatched work function of the graphene film. Matyba *et al.* further replaced the metal cathode and the ITO anode with graphene and PEDOT:PSS, respectively, to construct a solution-processable LEC based on full carbon materials.¹⁰⁶ They constructed the emitting layer with a blend of a light-emitting polymer, a 'super yellow' dye, and an electrolyte.



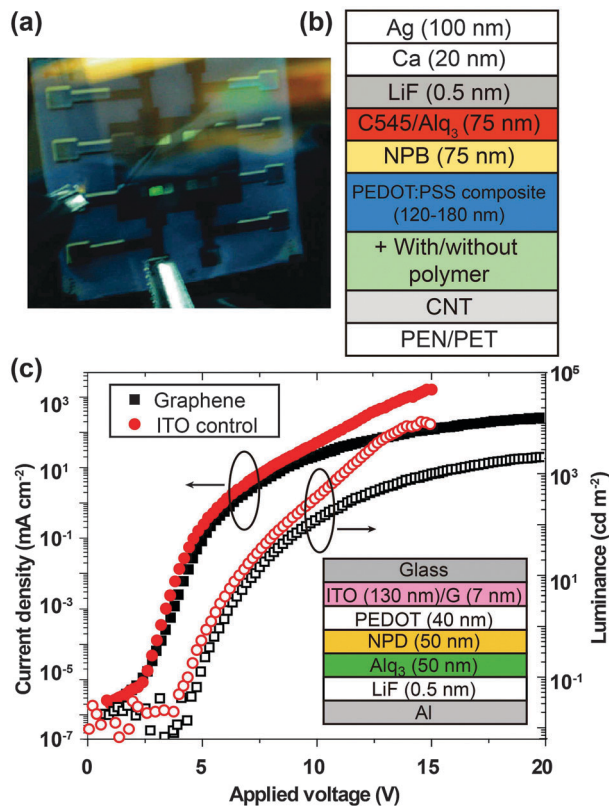


Fig. 15 (a) Photographic image of devices made with a carbon nanotube anode. (b) The corresponding device configuration. (c) The brightness–current density–voltage curves of the devices using graphene as the anode (inset: device configuration). (Reproduced with permission from ref. 104 and 105. Copyright 2009, American Chemical Society.)

The authors reasoned that the ions generated by dissolution of the electrolyte can rearrange at the interface between the emitting layer and the electrode and facilitate carrier injection, thus providing a solution to the high-work-function issue (4.2–4.6 eV) of the graphene. As a result, this type of devices achieved a low turn-on voltage of 2.8 V. Both transparent electrodes of graphene and PEDOT:PSS supported the double-side emissions with similar maximum brightness ($\sim 1000 \text{ cd m}^{-2}$ for each side).

3.3.2 New structures of tandem OLEDs. A tandem OLED design involves the stacking of two or more OLED units that are connected by special charge-generating interlayers. In contrast to single-cell devices, the tandem OLED device configuration offers improved luminance and efficiencies and allows facile tuning of color emission. In this regard, the Ma group has devoted significant efforts over the years.¹⁰⁷ In 2007, they used Al/WO₃/Au (2/3/16 nm) as the inter-connecting layer.^{107d} It should be noted that WO₃ is perhaps the most active layer used for improving device efficiency. The authors obtained current efficiencies for the double-unit device (Fig. 16a) twice as high as that of the single-unit counterparts (Fig. 16b). Recently, the Ma group reported the fabrication of devices comprising a series of thiophene–naphthalene derivatives (NaTn, 263–267) (Fig. 16c and d).¹⁰⁸ They used C₆₀ as the charge-generating layer. It was found that the two contributing factors to the high efficiency of the

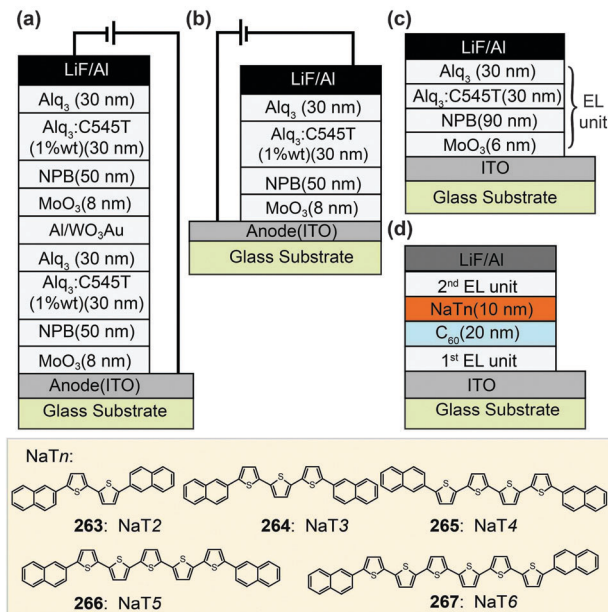


Fig. 16 (a and b) Device configurations of a double-unit tandem OLED and a single-unit OLED. (c and d) Device configurations of a single-unit OLED and a double-unit tandem OLED modified with a charge-generating bilayer of NaTn/C₆₀. (Reproduced with permission from ref. 107d and 108. Copyright 2007 and 2011, respectively, American Institute of Physics and Royal Society of Chemistry.)

tandem device are the high carrier mobility of the thiophene–naphthalene derivatives and the energy match between the HOMO of NaTn and the LUMO of C₆₀.

Hamwi *et al.* reported the utility of an intriguing design, based on an interconnecting unit that consists of a CsCO₃-doped 4,7-diphenyl-1,10-phenanthroline (BPhen) layer and a WO₃ film, for remarkably improved electroluminescence performance.¹⁰⁹ They used 4,4',4''-tris(*N*-carbazolyl)-triphenylamine (TCTA) and 1,3,5-tri(1-phenyl-1*H*-benzo[*d*]imidazol-2-yl)phenyl (TPBI) as the hole-transporting layer and the electron-transporting layer, respectively. The charge generation and separation mainly occurred at the interface between the WO₃ film and the hole-transporting layer. The CsCO₃-doped BPhen layer enables rapid electron transport into the TPBI layer (Fig. 17a). Cesium azide (CsN₃) was also doped in the electron-transporting layer because of its high chemical stability in air and its low evaporation temperature.¹¹⁰ The tandem OLEDs containing the interconnector of MoO₃/BPhen:CsN₃ realized a very high current efficiency over 80 cd A^{-1} (Fig. 17b). Recently, Perumal *et al.*¹¹¹ utilized the same concept of doped carrier transporting layers in tandem OLEDs (Fig. 17c). In their studies, the charge carriers were generated in carrier transporting layers under alternating current rather than injection through external electrodes. The maximum brightness ($\sim 1000 \text{ cd m}^{-2}$) of this type of devices indicates their potential use for display and lighting applications.

3.3.3 Solution-processible multilayer OLEDs through cross-linking technology. The cross-linking technology in polymer research, widely adopted for making negative photoresists



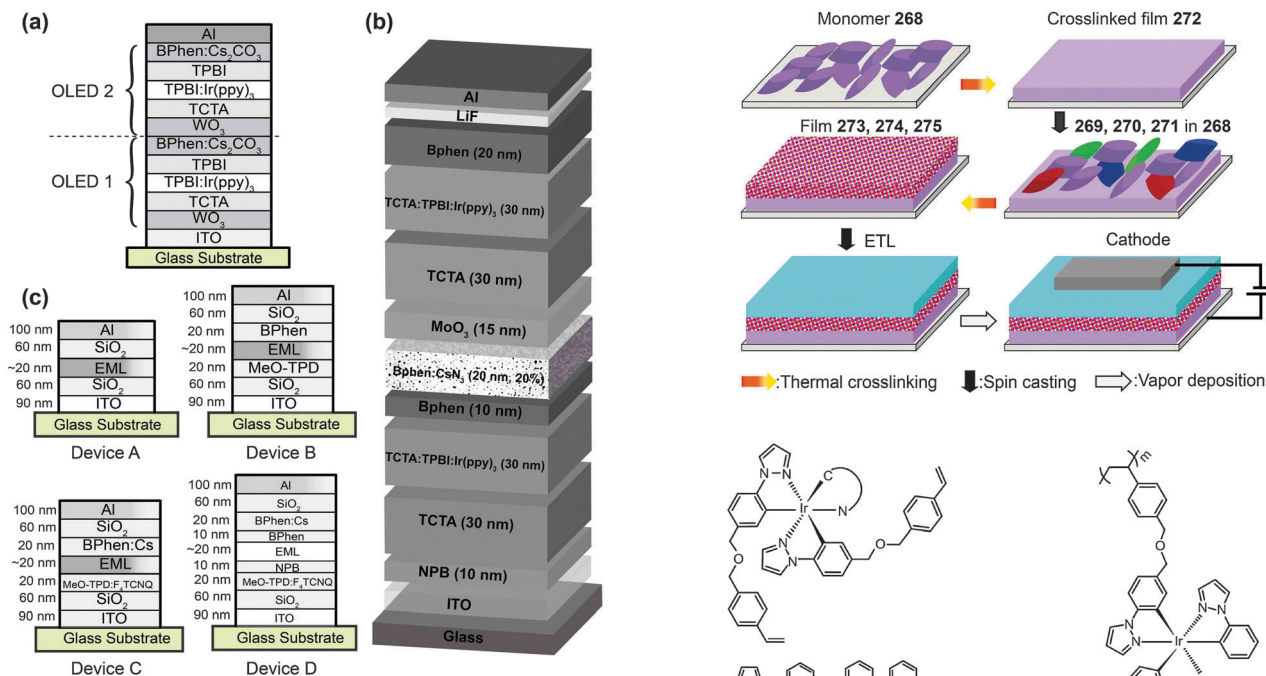


Fig. 17 Device configurations of tandem OLEDs using organic–inorganic hybrids, including (a) BPhen:Cs₂CO₃, (b) BPhen:CsN₃ and (c) BPhen:Cs, as the charge generation layer. (Reproduced with permission from ref. 109–111. Copyright 2010 and 2012, Wiley-VCH Verlag GmbH & Co. KGaA.)

in semiconductor industry,^{112a} plays a critical role in achieving multilayer OLEDs with enhanced device performance. If the cross-linking bond is introduced between adjacent molecular chains, the thermal stability of the polymers could be dramatically improved and the cross-linked molecular chains would be much more resistant to flow when stress is applied.¹¹² Many research groups, including Huang,¹¹³ Fréchet¹¹⁴ and Meerholz,⁷⁸ have developed a large array of charge-transporting materials and emitters with thermal- or photo-crosslinkable groups. In a recent review, Zuniga *et al.* highlighted the progress in the field of cross-linking technology for OLEDs.¹¹⁵ Owing to the difference in the solubility of the cross-linkable materials before and after crosslinking, solution-processed multilayer devices can also be realized. Ma *et al.* demonstrated the fabrication of an all-solution processed multi-layer PHOLED (Fig. 18).¹¹⁶ They first used an Ir(ppz)₃-based cross-linkable monomer (268) to form a hole-transporting and electron-blocking film made of polymeric Ir(III) complex (272). Phosphorescent monomers 269–271 were then spin-coated onto the top layer of 272 and subsequently crosslinked to form light-emitting films of 273 (blue), 274 (green) and 275 (red). Electron-transporting layers were formed by spin-coating of poly-OXA (276) onto these different color-emitting films. The three-layer OLEDs achieved moderate external quantum efficiencies (5–10%). Although the reported electroluminescence performance of these spin-coated multi-layer devices is far from ideal, the superiority of cross-linking technology in terms of low-cost and scalability offers unique opportunities for tailoring carrier fluxes in polymeric OLEDs.

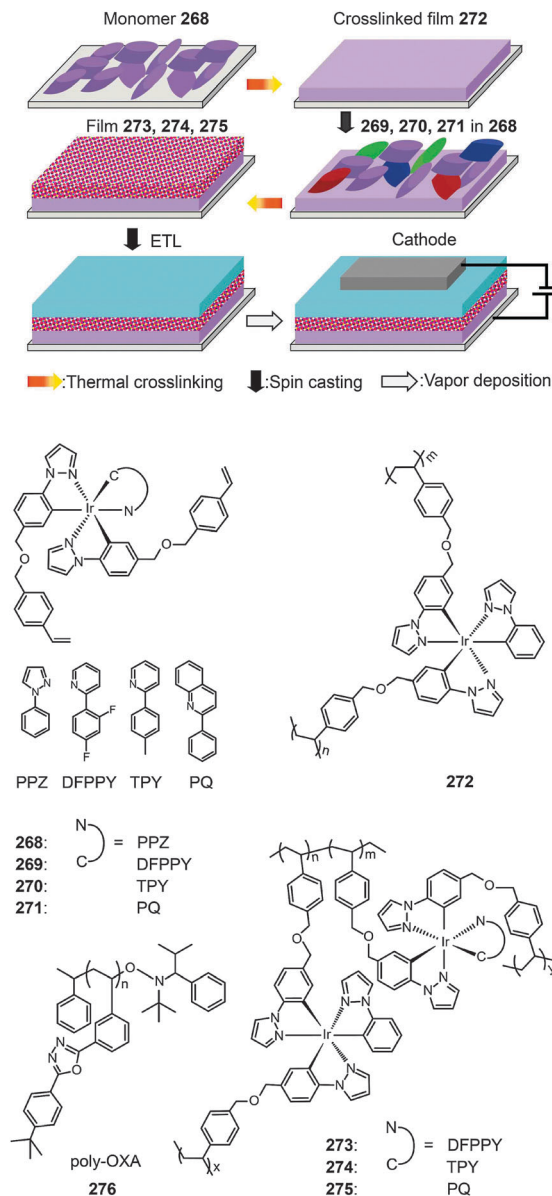


Fig. 18 procedure of solution-processed multi-layer devices on the basis of crosslinkable monomers 268–271 and the corresponding crosslinked polymeric polymers 272–275. (Reproduced with permission from ref. 116. Copyright 2009, Wiley-VCH Verlag GmbH & Co. KGaA.)

3.3.4 Enhancing out-coupling efficiency by morphological modification. Low refractive indices of organic materials, ITO and glass substrates are the main reason for the poor light extraction efficiency (~0.2) recorded for prototype OLEDs. In this regard, the out-coupling technology with the aim of enhancing light extraction efficiency is crucial for practical applications of OLEDs. A simple and cheap technology was recently developed by Wang and co-workers, who used Ta₂O₅ as the coating material instead of expensive high-index substrates to enhance the out-coupling efficiency (Fig. 19a).^{117a} With this new design, a green PHOLED with a conventional multilayer configuration achieved an extremely high external quantum efficiency of 63% (Fig. 19b and c). To solve the dependence of



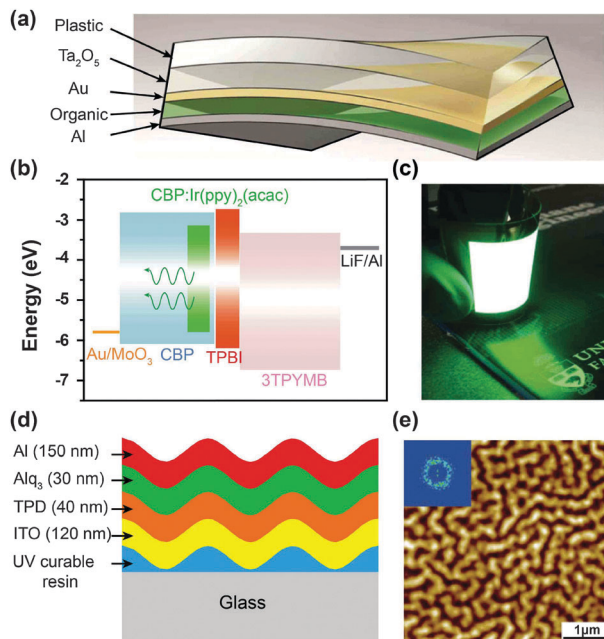


Fig. 19 (a) Device configuration of a PHOLED using Ta_2O_5 as the light out-coupling layer. (b) The energy level diagram of active layers used in PHOLEDs. (c) The photographic image of the device in operation. (d) A quasi-periodic buckling structure designed for enhancing light out-coupling. (e) Topographic AFM image of buckling patterns formed by a 10 nm-thick aluminium layer. (Reproduced with permission from ref. 117a and b. Copyright 2011 and 2010, Nature Publishing Group.)

light extraction on wavelength, Koo *et al.*^{117b} developed an interesting quasi-periodic buckling structure to substitute conventional periodic Bragg diffraction gratings (Fig. 19d and e). The corresponding Alq_3 -based OLEDs exhibited a two-fold increase in electroluminescence intensity with essentially unaltered spectral shifts.

3.3.5 Stretchable or polarizable displays. Stretchable organic electronics are attractive because of their potential applications in flexible displays, energy conversion devices, smart sensors and actuators. Previous studies on conductive rubbers have actually paved the way for the development of stretchable optoelectronic devices.¹¹⁸ Stretchable OLED panels could redefine the user experience with flexible displays for mobile devices and curved displays for televisions. Printable elastic conductors with conductivity over 100 S cm^{-1} and a stretchability of 100% have been developed by blending single-wall carbon nanotubes and a fluorinated rubber.¹¹⁹ This elastic conductor can be used as a substrate to integrate 16×16 active-matrix OLEDs into a tensile display with a stretchability of 30–50% (Fig. 20a).^{119a} The Pei group also used a similar strategy to realize stretchable polymeric light-emitting cells that can sustain strain over 120%.^{119b}

Another noteworthy development is the fabrication of polarized LED devices that would largely benefit general illumination with an improved contrast and minimize eye discomfort. In 2009, Rizzo *et al.* reported an interesting organic/inorganic polarized LED device based on ordered arrays of semiconducting nanorods (Fig. 20b–d).¹²⁰ Using a solution-based processing

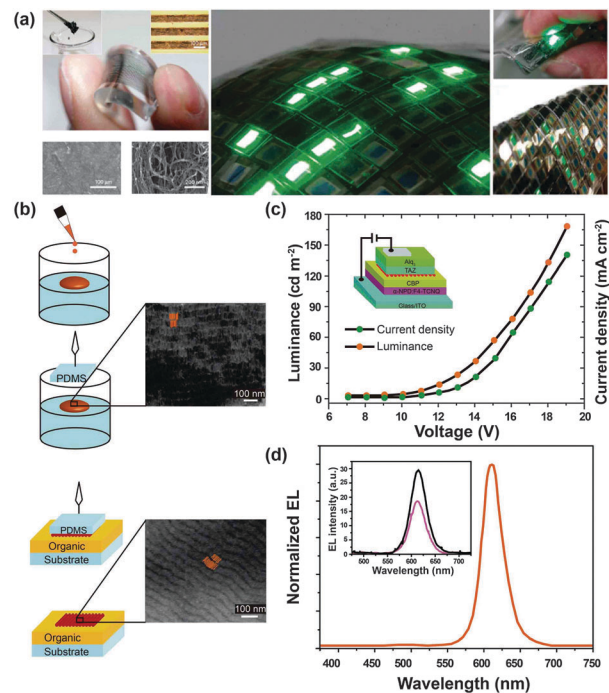


Fig. 20 (a) Characterization of stretchable PHOLEDs derived from printable elastic conductors comprising single-walled carbon nanotubes (SWNTs) uniformly dispersed in a fluorinated rubber. (b) Fabrication procedure for multilayer inorganic/organic polarized OLED devices with aligned nanorods as confirmed by TEM characterization. (c) Brightness– J –voltage curves and (d) electroluminescence spectrum of the nanorod-based OLEDs (insets show device configuration and the electroluminescence spectra in two orthogonal directions, respectively). (Reproduced with permission from ref. 119a and 120. Copyright 2009, Nature Publishing Group and American Chemical Society, respectively.)

method, the authors prepared CdSe@CdS core-shell nanorod-based films aligned in smectic or nematic phases on water surface. The films were subsequently transferred to LED devices by a contact printing technique. Charge injection and transportation in these devices were modified with organic carrier transporting layers. Polarized emissions along the nanorod alignment direction were observed from the nanorods with a maximum brightness of 170 cd m^{-2} and a maximum current efficiency of 0.24 cd A^{-1} .

3.3.6 Highly efficient OLETs. An OLET is an alternative form of light-emitting source with transistor characteristics.^{101b} In this sense, an OLET actually differs from an OLED in that an active matrix can be made entirely of an OLET. In comparison, a traditional OLED needs to be combined with a thin-film transistor (TFT) where the recombination of electrons and holes occurs to emit light. Despite this advantage, single-layer OLET displays are often constrained by unbalanced electron and hole injection from drain and source.

A substantial improvement over emission performance can be achieved using an OLET with a stacked trilayer configuration, in which hole- and electron-transporting layers are inserted between source/drain, the dielectric layer and emission layers to balance the flux of carriers. A representative example was demonstrated by Capelli *et al.*, who fabricated a



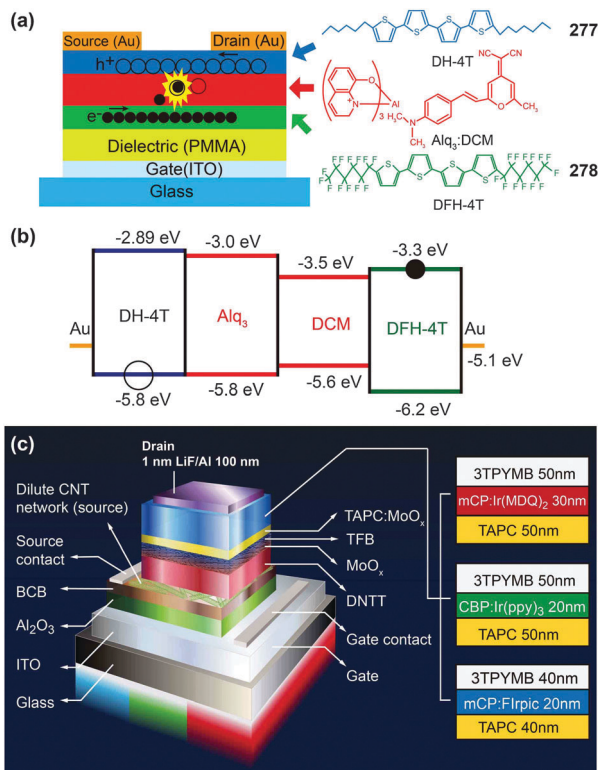


Fig. 21 (a and b) Device configuration and energy level scheme of a trilayer OLET using Alq₃:DCM, DH-4T and DFH-4T as emitting, hole-transporting, and electron-transporting layers, respectively. (c) Device structures of a trilayer OLET with direct integration of red, green and blue OLED and OTFT. (Reproduced with permission from ref. 8 and 121. Copyright 2011 and 2010, respectively, American Association for the Advancement of Science and Nature Publishing Group.)

trilayer OLET with the maximum luminance greater than 200 nW and the peak external quantum efficiency exceeding 4%.¹²¹ In their design, Alq₃ doped with 4-(dicyanomethylene)-2-methyl-6-(*p*-dimethylaminostyryl)-4*H*-pyran (DCM) was used as the emission layer, while thiophene derivatives, DH-4T (277) and DFH-4T (278), were used as hole- and electron-transporting layers, respectively (Fig. 21a and b). However, the requirement of a relatively high gate voltage for the OLETs is still a problem for their application.

McCarthy *et al.*⁸ recently reported active matrix displays using highly efficient OLETs with low driving voltage and low power dissipation (Fig. 21c). The key design is the use of a thin film of single-wall carbon nanotubes that permits the organic semiconductors to drive the high currents needed by OLED pixels, but at much lower operating voltages. The resulting OLETs achieved a high luminance of $\sim 10\,000\text{ cd m}^{-2}$ at a driving voltage of less than 10 V. Efficiencies of the devices obtained are comparable to those of conventional PHOLEDs, with $\sim 13\text{ cd A}^{-1}$ for red, $\sim 50\text{ cd A}^{-1}$ for green and $\sim 25\text{ cd A}^{-1}$ for blue. Significantly, the on/off states of these OLET displays can be readily switched by applying negative and positive gate voltages, thus offering potential applications in the fabrication of simplified pixels for active matrix displays.

In general, the low efficiency of electroluminescent devices is the most important limiting factor that stands in the way of

using OLEDs for practical applications. The development of outcoupling technology and integrated device configurations, such as tandem OLEDs and OLETs, would be likely to satisfy the constraints associated with current electroluminescent devices. For outcoupling technology, a key issue that needs to be addressed is to improve the performance of thin film-type coupling materials. Tandem OLEDs offer several advantages in terms of technological feasibility for enhancing efficiencies and realizing white emissions. However, this kind of devices suffer from repeatability problems as defects present even in a single layer would lead to significantly reduced performance. We believe that OLETs are more promising to be commercialized because of their reduced fabrication costs and new functionalities as well as easy integration in different substrates.

4. Photovoltaic complexes for OPVs

Most of the energy available to us on this planet comes from the Sun. Although the amount of solar energy reaching the Earth is enormous, only a small fraction of the energy is used to support life. Almost 30% of incoming solar radiation is immediately reflected back into space as short-wave radiation, while nearly half is converted to heat. The growing demand for sustainable energy supplies has triggered a rapid development of photovoltaic devices that can tap into the massive source of solar energy by converting sunlight into electrical current. These devices can be divided into five categories based on inorganic semiconductors (InGaN, GaN, GaAs, *etc.*), silicon (single crystal, polycrystalline, amorphous, *etc.*), dye-sensitizers (Ru-based complexes, quantum dots, *etc.*), organic compounds, and recently reported perovskite materials. In particular, solution-processable all-solid organic photovoltaics (OPVs) work well in all light conditions and have much greater flexibility.¹²² Over the past two years, we have seen an impressive acceleration in the field of OPVs. In 2011, Mitsubishi Chemical reportedly set a record conversion efficiency of 9.2%. In 2013, the Yang group updated the conversion efficiency over 10% using tandem device structures.¹²³ However, the technical challenge remains for material synthesis and device fabrication, particularly for organic solar cells requiring low batch-to-batch variation in conversion efficiency.¹²⁴

The layout of active layers, adopting either bilayer, grade, bulk, inverted,^{125a} or tandem^{125b,c} cell structures, significantly impacts the overall performance of the resulting OPVs (Fig. 22).¹²⁶ When selecting organic materials for photovoltaic devices, one needs to consider the following criteria: (i) organic semiconductors with high absorption coefficients for efficiently harvesting sunlight; (ii) suitable heterojunctions for separating photogenerated excitons; (iii) compatible HOMO and LUMO levels and high charge mobility for efficient transfer of the separated charge carries to the electrodes; (iv) high stability of the materials and long operating lifetimes of the devices; (v) good solution processability; and (vi) good scalability of the materials and devices for large area applications.

It is imperative that a bicontinuous network, characterized by a domain width of $\sim 20\text{ nm}$ (approximately twice that of the exciton diffusion length) and a high donor/acceptor interfacial



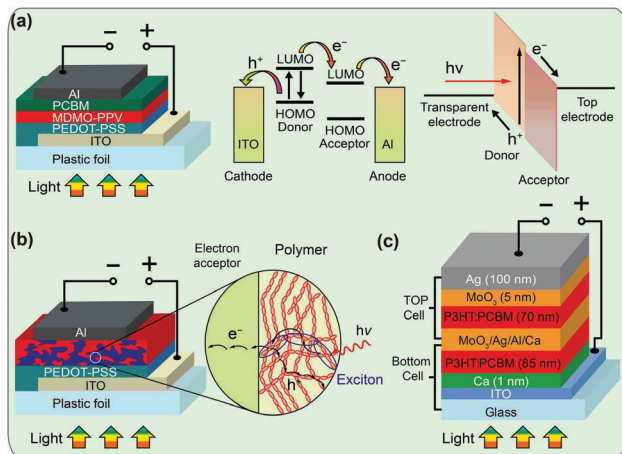


Fig. 22 General device designs of OPVs in (a) bilayer, (b) bulk, and (c) tandem heterojunction solar cells. (Reproduced with permission from ref. 126a–c. Copyright 2007, 2013 and 2010, respectively, American Chemical Society, In-Tech Open Access Publisher and American Institute of Physics.)

area,^{112d} favors the dissociation of excitons and the transport of the separated charge carriers to the collecting electrodes.¹²⁴ To achieve high efficiencies, the difference between the LUMO levels of the donors and acceptors needs to be within ~ 0.3 eV to facilitate the dissociation of the excitons, and a bandgap in the range of 1.2–1.7 eV is preferred to allow maximal absorption of sunlight. This would correspond to donor HOMO levels of -5.2 to -5.7 eV to provide a large open-circuit voltage (V_{oc}), when [6,6]-phenyl-C₆₁-butyric acid methyl ester (PCBM) with a LUMO level of approximately -4.3 eV is used as the acceptor (Fig. 23).¹²⁷ In addition, the material should possess a charge transfer channel with high charge carrier mobility and thus high short-circuit current density (J_{sc}) for high-efficiency carrier separation and collection at a given distance from the electrodes.¹²⁸ It should be noticed that morphology adjustment of the active composite layer plays a very important role too.^{112a} Bad morphology of the active layer will lead to a low fill factor (FF). Therefore, an ideal material used for PCBM-based bulk OPV

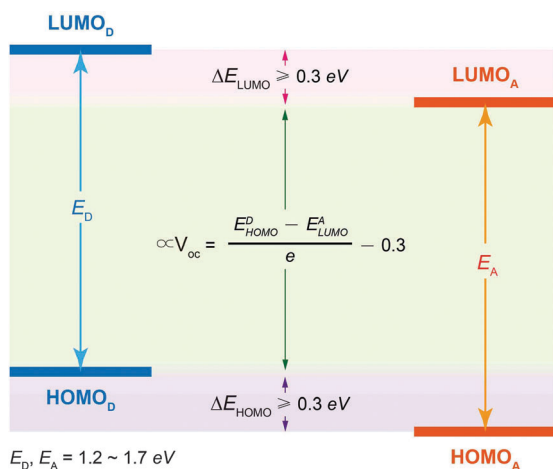


Fig. 23 Schematic drawing of the donor and acceptor energy levels for the OPV devices.

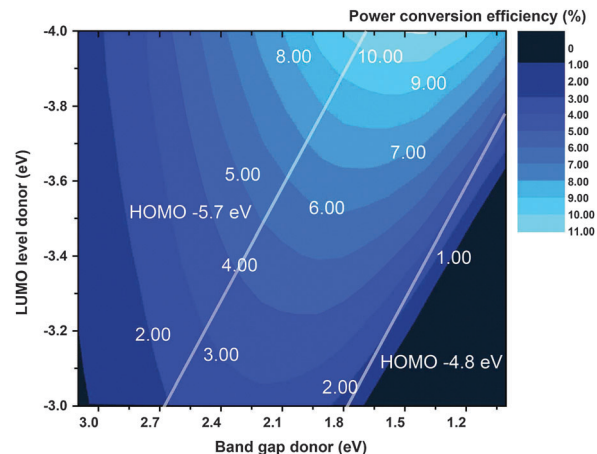


Fig. 24 Theoretical relations between the power conversion efficiency and the HOMO level of the donors in PCBM-based bulk OPVs. (Reproduced with permission from ref. 129. Copyright 2006, Wiley-VCH Verlag GmbH & Co. KGaA.)

devices should have the following attributes: a HOMO level lower than -5.20 eV, a bandgap in the range of 1.30–1.90 eV, and a hole mobility greater than $1 \times 10^{-3} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ (Fig. 24).¹²⁹

Several methods have been developed to minimize the energy loss of OPV devices by fine-tuning the bandgap and frontier orbital energy levels of organic semiconducting materials. These include D–A hybrid architecture, copolymerization, molecular topology, and supramolecular alignment.¹³⁰ Despite their usefulness in tuning the HOMO and LUMO levels, most of the organic molecules reported to date do not fulfill the stringent requirements as ideal donors for OPVs. Fig. 25 lists

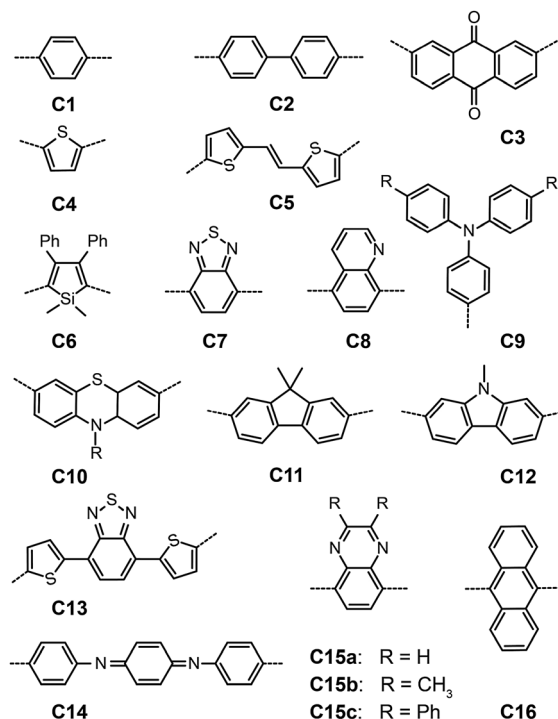


Fig. 25 Conventional building blocks for OPVs.



some widely used building blocks for donor molecules, which have been designed and assessed in bulk-heterojunction OPV devices.¹³¹ These building blocks are usually covalently attached to newly designed molecules to prepare desirable OPV materials.¹³²

Although organic oligomers and polymers, such as polythiophenes, dominate the field of OPVs,¹³³ their metal-containing derivatives represent another important class of organic semiconductors.^{134,135} Metal ions may provide redox-active and paramagnetic centers to generate active species for charge transport and thus greatly alter the electronic and optical properties of the organic π -systems. As already discussed in previous sections, the introduction of heavy metals into the organic system promotes the formation of triplet excited states. The lifetime of triplet excited states is typically in the microsecond regime, which is three orders of magnitude longer than the nanosecond decays typically observed for singlet excited states in conjugated molecules. Given that a significant increase in lifetime should lead to a longer exciton diffusion length, the use of metal-organic complexes would be attractive for developing highly efficient photovoltaic devices.

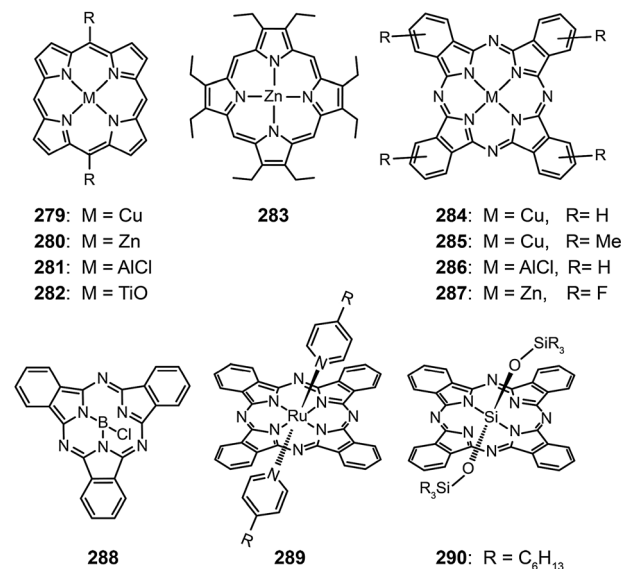
4.1 Porphyrins and phthalocyanines

Porphyrins and phthalocyanines are highly conjugated macrocyclic systems (26 π electrons) that exhibit excellent thermal and chemical stability.¹³⁶ These macrocycles also possess tunable flexibility over their electronic and optical properties either by varying the functional group at the peripheral position of the molecules or by introducing an appropriate metal atom (Cu, Zn, Al, and Ti as shown in complexes 279–282) into the interior region of the macrocycles.^{136a} In view of their large extinction coefficients and strong absorbing ability in the NIR range, porphyrins and phthalocyanines have been investigated for decades as photodynamic therapy (PDT) agencies to convert light into heat.^{136b,c} Therefore, wide chemical modification approaches and the great impacts on the molecular packing models and optoelectronic properties have made them intensively investigated as the active layer in organic optoelectronic devices. In addition, porphyrins and phthalocyanines can act as either a donor or an acceptor in the photovoltaic cells, depending on the electron-donating/accepting characteristics of the substitutions.

The first report on using metal-free porphyrins as donors in solar cells was in 1992 with a conversion efficiency of only 0.03%.¹³⁷ In 2007, Sun *et al.*¹³⁸ found that liquid crystalline porphyrin derivatives, substituted with long alkyl groups, have broad absorption wavelengths, closely matched energy levels with the PCBM acceptor and the electrodes, and unique homeotropical alignment in deposited films. The bulk heterojunction OPV cells showed conversion efficiencies of up to 0.78%. In 2011, Ryuzaki *et al.*¹³⁹ took one step further and synthesized a zinc-coordinated porphyrin complex (283). The authors carefully examined the structural effect of 283 used as a donor molecule on the conversion efficiency of OPV cells [ITO/283/C₆₀/Al]. They found that a crystalline film of 283 favors intermolecular charge transfer when compared to its amorphous

counterpart, leading to increased mobility of charge carriers and thus enhanced conversion efficiencies. It should be noted that metal-porphyrin complexes are mainly used as sensitizers in dye-sensitized solar cells. These complexes are rarely used as active layers in heterojunction solar cells, largely due to their poor charge transport properties.¹⁴⁰

In contrast to porphyrins, phthalocyanines exhibit excellent charge transport characteristics, thereby enabling their promising application in organic optoelectronics. In particular, metal-phthalocyanine complexes have gained increasing attention. CuPc (284) is the first OPV material, reported by Tang in 1986,⁴ with 1% conversion efficiency obtained from its two-layer structured device under simulated air-mass2 illumination. In a recent development, Roy and co-workers^{141a} reported bulk heterojunction OPV cells, based on tetramethyl-substituted Cu(II) phthalocyanine complex (285). Complex 285 displayed excellent photovoltaic performance with a J_{sc} of 16.3 mA cm⁻², a larger V_{oc} of 0.58 V, a FF of 0.56, and a conversion efficiency of 5.3%.^{141b}



When the copper in phthalocyanine complexes is replaced with aluminium chloride as shown in Al(III) complex (286), a non-planar phthalocyanine structure forms as evident by a significant absorption peak at 755 nm.¹⁴² A systematic investigation of the interfacial properties of ClAlPc-C₆₀ organic heterojunctions in an inverted device configuration¹⁴³ showed that the complex 286 adopts a lying configuration after being vacuum-deposited along with C₆₀ onto an ITO electrode. This structure promoted charge transport in heterojunction-based solar cell devices and resulted in a relatively large V_{oc} of 0.67 V, in contrast to 0.37 V obtained in the 284/C₆₀-based device. Consequently, the complex exhibited high device performance with conversion efficiencies of >4% (Fig. 26).¹⁴⁴

Like copper and aluminium, zinc also shows good device performance when incorporated in phthalocyanine. Meiss *et al.*¹⁴⁵ prepared an efficient single bulk heterojunction organic solar cell with a power conversion efficiency of 4.6%. The solar cell was based on a blend of a fluorinated zinc phthalocyanine (287, M = Zn) as an electron donor and fullerene C₆₀ as an



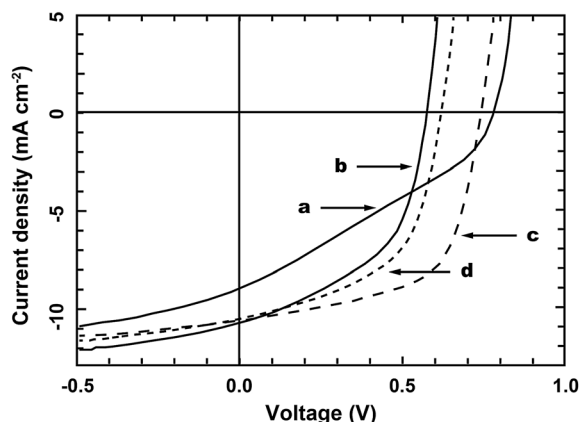


Fig. 26 Current density vs. voltage (J - V) curves under 100 mW cm^{-2} air mass 1.5G simulated solar illumination, of (a) ITO/MoO₃/**286**:C₆₀ (105 °C)/C₆₀/BCP/Ag; (b) ITO/MoO₃/FDTS/**286**:C₆₀ (105 °C)/C₆₀/BCP/Ag; (c) ITO/MoO₃/**286** (105 °C)/C₆₀/BCP/Ag; and (d) ITO/MoO₃/FDTS/**286** (105 °C)/C₆₀/BCP/Ag. (Reproduced with permission from ref. 144. Copyright 2010, Elsevier B.V.)

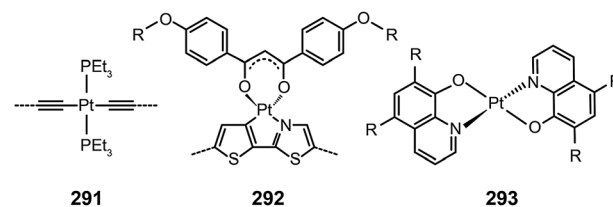
electron acceptor. When boron was introduced into phthalocyanine, the researchers still observed fairly good OPV performance. Pandey *et al.*¹⁴⁶ made both bilayer and bulk OPV devices with boron subphthalocyanine chloride (**288**) and C₆₀. The conversion efficiencies of the devices reached 3.05 and 3.44%, respectively. Additional information about using boron subphthalocyanines as an emerging class of high performance organoelectronic materials can be found in a recent review.¹⁴⁷

Fischer *et al.*¹⁴⁸ reported a highly soluble ruthenium phthalocyanine complex (**289**) using pyridine-functionalized dendritic oligothiophenes as axial ligands. The solution-processed bulk heterojunction solar cells derived from **289** showed a high conversion efficiency ($\sim 1.6\%$). Additionally, rare-earth metals can be incorporated into the phthalocyanine ligand. For example, Wang *et al.*¹⁴⁹ used Sm³⁺ to prepare a layered structure of phthalocyanine. They found that the hybrid solar cells possess the capability to harvest light with a broad wavelength range from UV-visible to NIR. In other attempts, Honda *et al.*¹⁵⁰ synthesized a silicon phthalocyanine derivative (**290**) and incorporated it into a bulk solar cell of poly(3-hexylthiophene) (P3HT) and PCBM. They observed an increased short-circuit current density and improved overall conversion efficiency by 20%.

Because of the low solubility of most phthalocyanine-based molecules in typical organic solvents, their devices were mostly fabricated by vacuum-deposited techniques. This significantly limits their application in solution-processed heterojunction solar cells. By introducing soluble long alkyl substitutes, Hori *et al.*¹⁵¹ prepared a soluble, mesogenic phthalocyanine derivative of 1,4,8,11,15,18,22,25-octahexylphthalocyanine (C₆PcH₂). Bulk heterojunction solar cells, fabricated by spin-coating of a mixed solution of C₆PcH₂ and PCBM, showed an energy conversion efficiency of 3.2%. For researchers working in the field of porphyrin- and phthalocyanine-based photovoltaic cells, we suggest further reading of the recent literature on state-of-the-art methodology.¹⁵²

4.2 Pt(II) complexes

Pt(II) complexes are famous for their anticancer activity. They also possess excellent optoelectronic properties that are suitable for application in OPVs, mainly due to their square planar structure and relatively strong Pt-Pt interaction.¹⁵³ Thus far, Pt(II)-containing polyethynylene (**291**),⁶ cyclometalated Pt(II) (**292**),¹⁵⁴ and bis(8-hydroxyquinolino) Pt(II) (**293**)¹⁵⁵ complexes are among the most extensively investigated Pt(II) complexes for OPVs. When platinum ions are conjugated with alkyne units to form a one-dimensional polymer chain, the d-orbitals of the platinum ions overlap with the p-orbitals of the alkyne units, leading to an enhancement of both π -electron delocalization and intra-chain charge transport along the polymer chain. This orbital overlap enhances the spin-orbit coupling and facilitates intersystem crossing from the singlet to triplet manifolds, thereby enhancing the formation of triplet excitons with extended diffusion lengths.¹⁵⁶ Another key advantage of using Pt(II) complexes is their good solubility in common solvents enabling film fabrication *via* solution processing.¹⁵⁷



The first report on the photovoltaic effect in a wide bandgap Pt(II) polyene was by Köhler *et al.*¹⁵⁸ in 1994, with a quantum yield up to 0.6%. Two years later, the same group improved the quantum yield up to $\sim 2\%$ in an OPV device that contains 7 wt% of C₆₀ to serve as an electron acceptor and assist in the dissociation of excitons.¹⁵⁹ For the most part, research into the electron transfer from the Pt(II)-polyene donor to the C₆₀ acceptor explained away the efficiency enhancement. The authors attributed it to ionization of the triplet exciton in the Pt(II)-polyene complex.

In 2007, Wong *et al.*⁶ reported an interesting platinum metallopolyene polymer (**294**) with a low-bandgap π -conjugation by employing a D-A molecular design (Fig. 27). Based on ninety OPV cells fabricated, the authors obtained remarkably high, albeit debatable,¹⁶⁰ conversion efficiencies (up to 5%).⁶ Several years later, Wang *et al.*¹⁶¹ further developed a new solution-processable Pt(II) metallopolyene polymer (**295**) functionalized with both triphenylamine and 2,1,3-benzothiazole in a donor- π bridge-acceptor- π bridge-donor (D- π -A- π -D) motif. The best conversion efficiency of 1.61% was achieved with V_{oc} of 0.77 V, J_{sc} of 4.94 mA cm^{-2} , and FF of 0.39 when illuminated with an air mass 1.5 solar cell simulator. Recently, they extended the use of the D-A motif to prepare a series of soluble Pt(II) metallopolyenes, for example complex **296**, containing Zn(II) porphyrin chromophores.¹⁶² These metallopolymers blended with PCBM showed a maximum conversion efficiency of 1.04%, with V_{oc} of 0.77 V, J_{sc} of 3.42 mA cm^{-2} , and FF of 0.39. OPV devices with further improved conversion efficiencies were fabricated by Wong



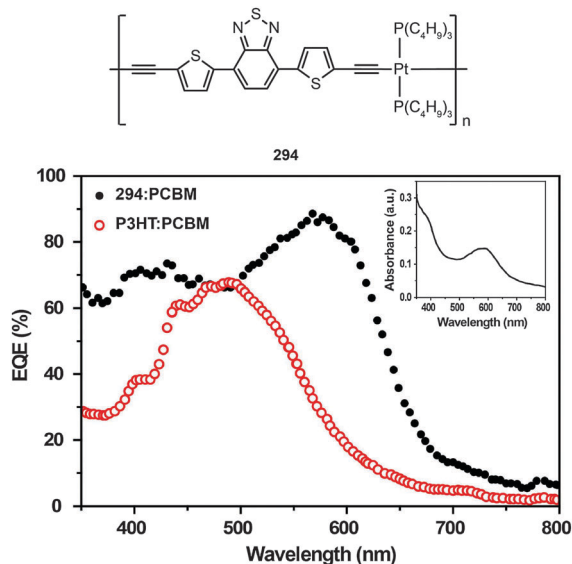
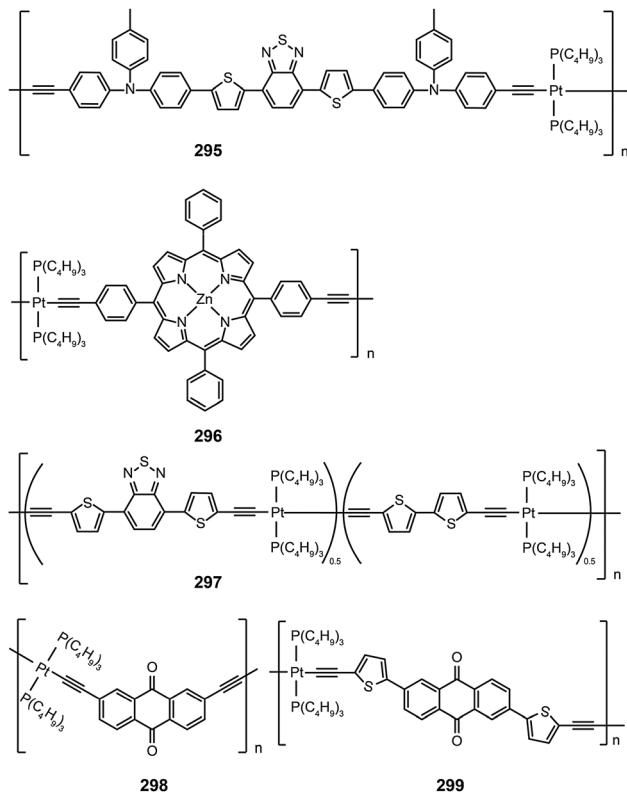


Fig. 27 Absorption wavelength-dependent external quantum efficiencies of an OPV device containing Pt(II)-based organometallic D–A conjugated polymers (inset: absorption spectra of **294**). (Reproduced with permission from ref. 6. Copyright 2007, Nature Publishing Group.)

and co-workers using Pt(II)-containing triphenylamine-based pseudo-3D polymers¹⁶³ or bis(aryleneethynylene)-derived compounds (**297**).¹⁶⁴



Several other groups have also made significant contributions to development of Pt(II)-based complexes for OPVs. Li *et al.*¹⁶⁵ prepared a solution-processable acetylide polymer (**298**) functionalized with an electron-deficient anthraquinone

spacer. Optical spectroscopy and electrochemical data revealed that **298** had a narrow bandgap and intra-molecular charge transfer occurred along the polymer backbone through involvement of the D–A structural motif. Li *et al.*¹⁶⁵ also found that the insertion of an anthraquinone unit between thiophene fragments, as shown in complex **299**, can expand the width of the absorption band for sunlight harvesting. Wu *et al.*¹⁶⁶ prepared Pt(II)-bridged organometallic D–A conjugated polymers (**300** and **301**) by incorporating various electron acceptors *via* Sonogashira reaction. The OPV device made of **300** reached a high conversion efficiency of 2.4%, which is in good agreement with the value of 2.2% theoretically predicted by Janssen and co-workers.¹⁶⁰ Clem *et al.*¹⁵⁴ reported the test of a series of low-bandgap cyclometalated Pt(II) polymer complexes (**302** and **303**) for OPVs. Their corresponding photovoltaic devices yielded conversion efficiencies up to 1.3%.

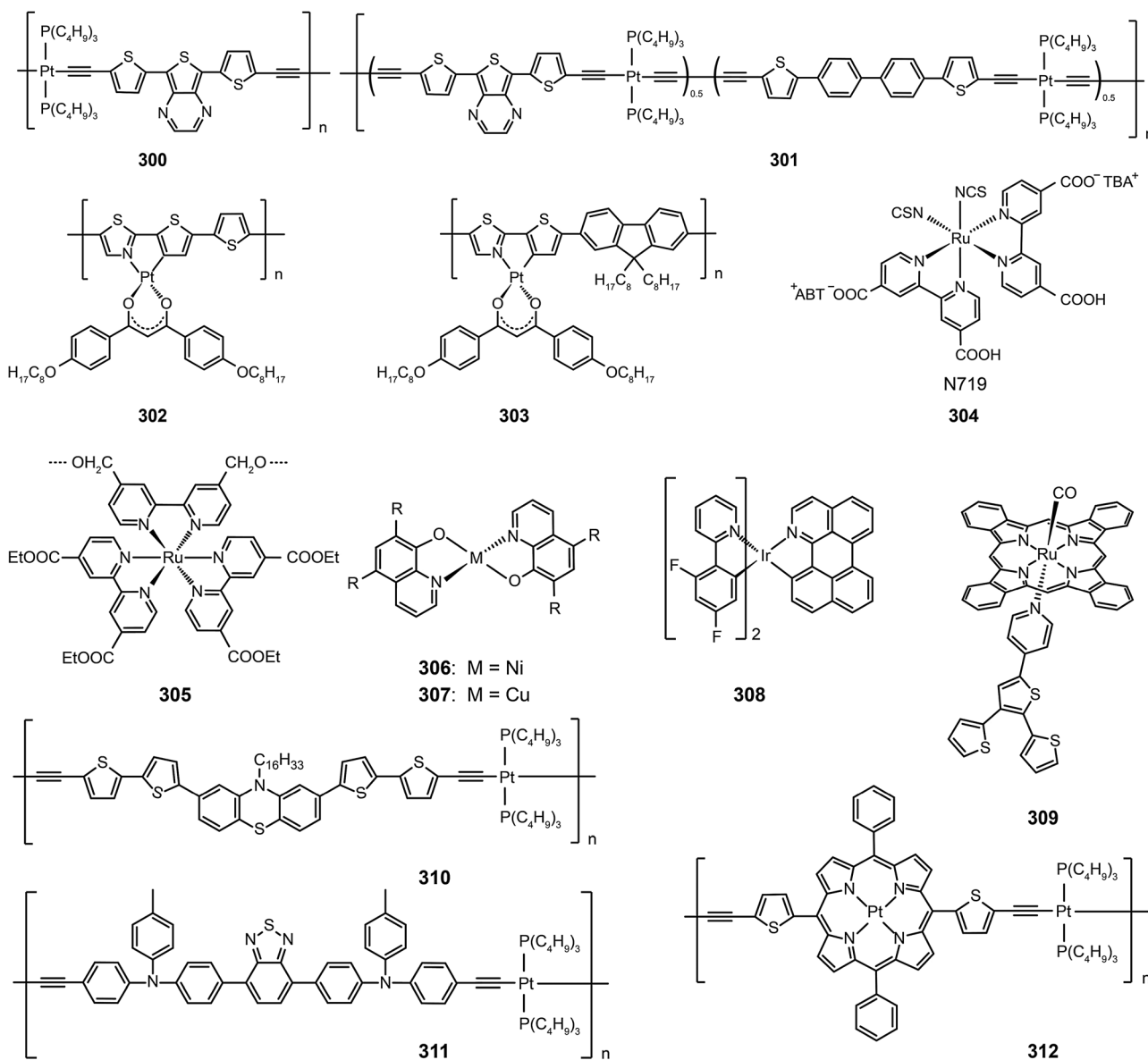
4.3 Other metal complexes

Despite the dominant use of Pt(II) complexes for OPVs over the past two decades, other metal complexes, however, are gaining momentum. For instance, Ru(II) complexes, especially N719 dye (**304**),¹⁶⁷ have been demonstrated to produce high short-circuit current in dye-sensitized solar cells (DSSCs). These Ru(II) complexes feature broad UV-visible absorption bands and relatively long excited-state lifetimes, resulting in respectable conversion efficiencies (higher than 10%). Wang *et al.*^{168a} introduced a $[\text{Ru}(\text{bpy})_3]^{2+}$ coordination complex (**305**) to a polyimide to prepare photovoltaic materials. The open-circuit voltages of the corresponding OPV devices were determined within the range of 0.19–0.52 V. Low *et al.*¹⁵⁵ also reported the replacement of Pt with Ni and Cu metals chelated to the 5,7-dimethyl-8-hydroxy-quinoline (HMe₂q) ligand. However, no photovoltaic phenomena were observed for the devices derived from $[\text{Ni}(\text{Me}_2\text{q})_2]$ (**306**) and $[\text{Cu}(\text{Me}_2\text{q})_2]$ (**307**) complexes. A fairly good power conversion efficiency of 2.8% was achieved by Fleetham *et al.*^{168b} using a bilayer device made from a cyclometalated Ir(III) complex (**308**) and C₆₀. Despite having an estimated exciton energy of only 1.55 eV, **308** rendered its device a dramatically improved V_{oc} (1 V).

In 2013, a record conversion efficiency of 15.4% was achieved by perovskite solar cells using a metal-organic complex, namely $\text{CH}_3\text{NH}_3\text{Pb}(\text{I},\text{Cl})_3$, as the photoactive layer.^{169a} The perovskite solar cells are one kind of DSSCs with a typical heterojunction configuration. The perovskite with the same structure as calcium titanium dioxide shows a broad absorption edge beyond 1000 nm, enabling improved harvesting of solar energy.^{169b} Furthermore, the special metal-organic structure endows $\text{CH}_3\text{NH}_3\text{Pb}(\text{I},\text{Cl})_3$ with ambipolar characteristics to afford excellent electrical performance. Therefore, high charge mobility, long lifetime, and large diffusion distance (0.1–1 μm) of the generated carriers should be the main factors responsible for the high conversion efficiency of the perovskite solar cells.^{169c,d}

Table 3 shows the electronic properties and device performance for a wide range of metal complexes used as donors in OPVs. As discussed previously, an ideal donor for OPVs should





have a broad absorption band in the solar spectrum to ensure an effective harvesting of the solar photons, and a high charge carrier mobility for hole and electron transport. The donor should also have a low-lying HOMO energy level for a large V_{oc} and a suitable LUMO energy level to provide enough offset for charge separation. Furthermore, the energy levels of the polymer complexes must match those of the fullerides (*e.g.*, PCBM) used in bulk heterojunction solar cells. It is clear that the complexes based on phthalocyanines and Pt(II) arylene ethynyls meet the abovementioned criteria to improve the performance of OPVs. Apart from these two candidates, much remains to be learned from exploring other organometallic heterojunction materials for OPVs. In comparison with the low-bandgap polymers used in metal-free OPVs, the metal complexes as those described above generally allow facile control over absorption characteristics, energy levels, and charge-carrier mobilities *via* ligand substitution and modification. Through optimum design

of devices and subtle control over the interaction between an organic chelating ligand and a suitable metal, synergistic effects can be harnessed to drastically boost the efficiency of the corresponding solar cells.

5. TTA-based upconversion emission materials

Organic materials with fluorescence emission usually follow the famous principle of the Stokes' law, meaning that output photon energy is weaker than input photon energy. Anti-Stokes emission by two-photon absorption (TPA) that converts two low-energy pump photons into a high energy emitting photon was first observed in 1961 by Kaiser and Garrett in a CaF₂:Eu(II) crystal sample.¹⁷¹ Anti-Stokes emission or photon upconversion is a distinct optical process characterized by the emission



Table 3 Electronic properties and device performance of various donors and sensitizers for OPVs

Emitter	Building blocks ^a			Abs. (nm)	HOMO	LUMO	Acceptor	V_{oc}^b (V)	J_{sc}^c (mA cm ⁻²)	PCE ^d (%)	Ref.
	B1	B2	B3								
294	291	C13			-5.37	-3.14	PCBM	0.82	15.4	4.93	6
286	286						C ₆₀	0.74	10.7	4.80	144
287	285						C ₆₀	0.69	12.1	4.60	145
288	287						C ₆₀	1.10	7.10	3.44	146
294	291	C13		380, 572	-5.15	-3.02	PC ₇₁ BM	0.77	9.65	2.41	166
293	293						C ₆₀	0.42	14.8	2.4	155
295	291	C9	C7	380, 539	-5.78	-3.46	PCBM	0.78	4.94	1.61	161
309	289	C9		348, 651	-5.13	-3.58	PCBM	0.56	8.3	1.60	148
310	291	C10	C4	264, 430	-5.51	-3.00	PCBM	0.79	4.06	1.29	170
302	292	C11		375, 520	-5.40	-3.75	PCBM	0.65	5.3	1.29	154
311	291	C9	C3	363, 484	-6.09	-3.31	PCBM	0.80	4.00	1.09	161
312	291	C5a	C4		-5.53	-3.71	PCBM	0.77	3.42	1.04	162
284	284						Perylene	0.45	2.3	0.95	4
297	291	C13	C4	398, 546	-5.20	-3.17	PC ₇₁ BM	0.68	4.21	0.71	166
296	291	C5a	C1		-5.58	-3.64	PCBM	0.72	2.74	0.68	162
303	292	C4		335, 610	-5.60	-3.50	PCBM	0.38	3.5	0.40	154
300	291	C15a		415, 674	-4.82	-3.11	PC ₇₁ BM	0.52	2.71	0.36	166
299	291	C3	C4		-5.96	-3.78	PCBM	0.78	1.40	0.35	165
301	291	C13	C11	402, 540	-5.23	-3.09	PC ₇₁ BM	0.64	2.35	0.31	166
298	291	C3			-6.06	-3.76	PCBM	0.70	0.14	0.03	165

^a The first (B1), second (B2), and/or third (B3) building blocks of donors. ^b Open-circuit voltage (V_{oc}) in V. ^c Short-circuit current density (J_{sc}) in mA cm⁻². ^d Power conversion efficiency (PCE).

of photons with energies 10–100 times kT greater than the excitation energy.¹⁷²

5.1 Theory of TTA-based upconversion

Over the past decades, significant efforts have been devoted to the research of lanthanide-based materials that are essential to many aspects of our life.¹⁷² The lanthanides are a family of fifteen highly electropositive metals in the f-block that falls between the s- and d-block. In contrast to the wide variation in properties across each series of transition metals, the chemical properties of the lanthanides are remarkably uniform because of the shielding by the 5s- and 5p-electrons. The electron transitions within the 4fⁿ configuration of the lanthanides made possible the discovery of many fascinating optical properties, such as photon upconversion first introduced in the mid-1960s by Auzel, Ovsyankin, and Feofilov.¹⁷² When compared to conventional anti-Stokes processes such as TPA, photon upconversion emission is based on physically existing energy states, allowing for frequency conversion with much higher efficiency.

Unlike the well-established upconversion involving lanthanide-doped phosphors or nanocrystals,^{173–182} upconversion based on organic molecules has not been widely reported, much of which is due to the high excitation intensity (on the order of MW cm⁻²) required for organic molecules.¹⁸³ Recently, a new approach has been proposed involving the TTA of excitons in organic molecules where an upconversion-induced delayed fluorescence occurs. This approach requires two molecular entities that can interact in a triplet state to produce one molecular entity in an excited singlet state and another in its ground singlet state. This bimolecule-based upconversion process does not need a coherence excitation and the required excitation power density (a few mW cm⁻²) is significantly lower than that for TPA.¹⁸⁴ The latter characteristic of TTA is

particularly attractive for OPVs given that direct use of normal sunlight (power density of AM1.5G: ~100 mW cm⁻²) has an intuitive plausibility.

The upconversion-induced delayed fluorescence supported by bimolecular TTA generally involves a three-step process (Fig. 28). First, a phosphorescent donor molecule is excited from its ground state to a singlet excited state, followed by intersystem crossing to an excited triplet state. The second step is characterized by the triplet–triplet energy transfer occurring between the donor molecule and a nearby emitting acceptor. Subsequent collision of the acceptor molecules at the excited triplet state results in an energy level twice that of the lowest triplet energy gap.

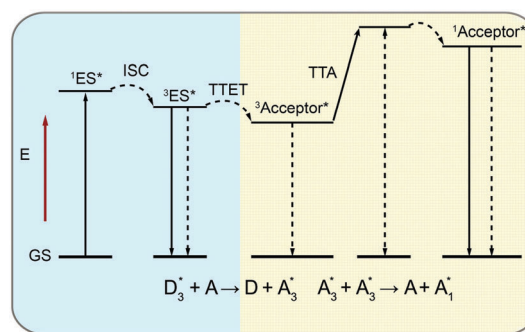


Fig. 28 Upconversion emission through radiative decay from the singlet excited state of an acceptor (A_1^*) via TTA. The ground state (GS) of a donor molecule is excited to its singlet excited state ($^1ES^*$), followed by intersystem crossing (ISC) to its triplet excited state ($^3ES^*$). Subsequently, the triplet–triplet energy transfer (TTET) between the donor and an acceptor molecule populates the triplet excited state of the acceptor. The singlet excited state of the acceptor is generated by collision of the acceptor molecules at the triplet excited state (A_3^*).



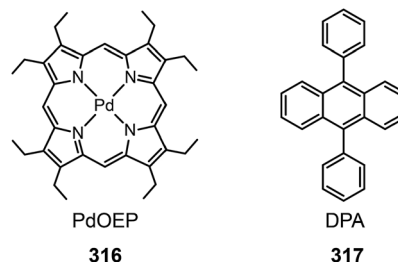
For TTA-based upconversion, the triplet state of the donor molecule should have a relatively long lifetime to ensure energy transfer to the triplet state of the acceptor molecule. In recent years, many groups¹⁸⁵ have demonstrated that the incorporation of metal-organic chromophores can markedly enhance upconversion yields because the metal center enables the population of the triplet state of the sensitizers with high efficiency following absorption of single photons.

5.2 Pd(II) complex-based sensitizers

In a study published in 2007,¹⁸⁶ a team led by Stanislav Balushev looked into upconversion of excitation at ~ 700 nm (1 W cm^{-2}) to blue-green emission (480–580 nm) using a sensitizer-emitter couple based on a Pd(II)-porphyrin complex (**313** or **314**) and bis(tetracene) (**315**) (Fig. 29). The external yield of this upconversion system was estimated to be more than 4%. The anti-Stokes shift between the excitation and the emission for the sensitizer-emitter couple was estimated to be as large as 0.7 eV.

Noncoherent TTA-based upconversion is typically observed in solution. Islangulov *et al.*¹⁸⁷ have taken one step further and reported noncoherent low-power upconversion in solid polymer films by blending high concentrations of Pd(II) octaethylporphyrin (PdOEP: **316**) sensitizer and 9,10-diphenylanthracene (DPA: **317**) emitter within a rubbery host polymer. The upconversion through

delayed singlet fluorescence of **317** was confirmed by quadratic incident power dependence studies and time-resolved emission data. These measurements indicate that the triplet sensitization of **317** can take place by selective excitation of **316**. This work also provides evidence that the use of a host polymer is quite effective for achieving TTA-based upconversion in the solid state.



5.3 Ru(II) complex-based sensitizers

In 2011, Ji *et al.*¹⁸⁸ reported a series of pyrene-containing Ru(II) polyimine complexes (**318–322**) with long-lived intraligand (³IL) excited states. The lifetime of the Ru(II) complex can be substantially prolonged by switching the emissive state from its usual ³MLCT to ³IL excited states, or by establishing an equilibrium between ³MLCT and ³IL excited states. [Ru(bpy)₂Phen]²⁺ **319** shows a typical ³MLCT emission with a lifetime of 0.45 μs . [Ru(bpy)₂PEPhen]²⁺ **322** has an ³IL excited state with a lifetime of 58.4 μs , which is much longer than that of **319** (Fig. 30). An equilibrium between the ³MLCT and ³IL excited states of [Ru(bpy)₂PPhen]²⁺ **321** results in a lifetime of 9.22 μs . The upconversion was studied using **317** as an acceptor. The anti-Stokes shift obtained is 0.48 eV, from 473 nm of the laser

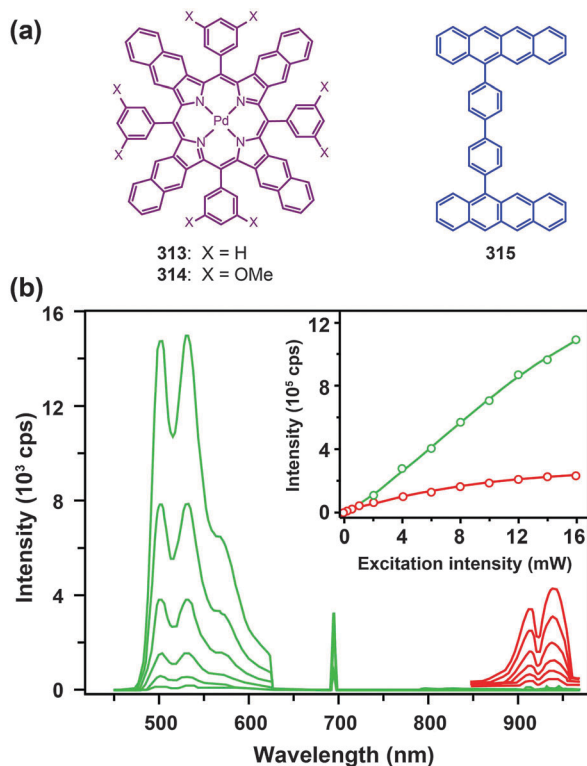


Fig. 29 (a) TTA systems based on the coupling of a Pd(II)-porphyrin complex **313** (or **314**) with bis(tetracene) **315**. (b) Emission spectra of **313** (red lines) and **313:315** (green lines) in toluene by a single-mode CW diode laser excitation at 695 nm with different intensities (inset: integral intensity comparison of emission from **313** (red circles) and **313:315** (green circles)). (Reproduced with permission from ref. 186. Copyright 2007, Wiley-VCH Verlag GmbH & Co. KGaA.)

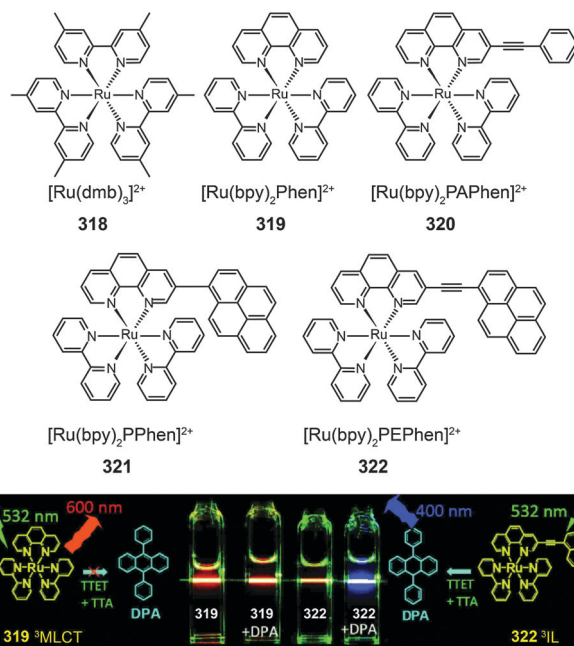
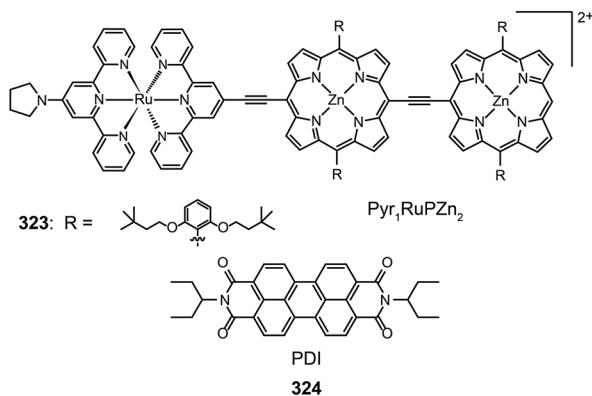


Fig. 30 Molecular structures of Ru(II) sensitizers **318–322** and photographic images of upconversion emissions by **319** and **322**. (Reproduced with permission from ref. 188. Copyright 2011, Wiley-VCH Verlag GmbH & Co. KGaA.)



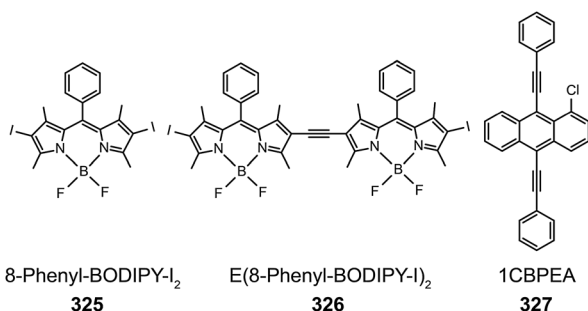
excitation to 400 nm in emission. $[\text{Ru}(\text{bpy})_2\text{PEPhen}]^{2+}$ **322** showed the strongest upconverted fluorescence, while **319** gave rise to a very weak upconverted fluorescence. These data suggest that ^3IL excited states are much more efficient in sensitizing the TTA-based upconversion than $^3\text{MLCT}$ excited states.

Another interesting investigation was reported by Singh-Rachford *et al.*¹⁸⁹ using a conjugated supermolecule $\text{Pyr}_1\text{RuPZn}_2$ (**323**) with appreciably long lifetime of excited states ($\tau > \mu\text{s}$) as the sensitizer and *N,N*-bis(ethylpropyl)perylene-3,4,9,10-tetracarboxylic diimide (PDI; **324**) as the acceptor. The selective NIR excitation at 780 nm of **323** in a solution containing **324** gives rise to a large anti-Stokes shift with an energy gain of 0.7 eV, resulting in the generation of yellow fluorescence at 541 nm.



5.4 BODIPY-type sensitizers

In addition to heavy metal-containing organic complexes, molecules based on a single chromophore of boron-dipyrromethene (BODIPY) also can be used as triplet sensitizers for TTA upconversion.^{190a} Wu *et al.*^{190b} reported a two BODIPY-derived sensitizers (**325** and **326**) with absorption in the range of 510–629 nm and long-lived triplet excited states of up to 66.3 μs . Upconversion emission with Φ_{UC} up to 6.1% was observed for the samples dissolved in solution or dispersed in polymer films using 1-chloro-9,10-bis(phenylethynyl)anthracene (CBPEA; **327**) as an acceptor.



In summary, TTA-based upconversion offers tunable excitation and emission wavelengths by independent selection of sensitizers and annihilators. The high absorption coefficient ($\sim 10^{17} \text{ cm}^{-2}$) of the available sensitizers enables the realization of high upconversion quantum yield under a low-threshold excitation. When compared to photon upconversion involving lanthanide doping, TTA-based upconversion seems to be more efficient with quantum yields (>5%) that are generally higher

than those of lanthanide-doped NaYF_4 upconversion nanoparticles (<3%). Another notable advantage of TTA-based upconversion is the ability to precisely control excitation and emission combinations, such as NIR-to-green¹⁸⁶ and red-to-blue,¹⁹¹ while there is relatively limited access to tunable emission wavelengths from upconversion nanoparticles due to the shortage of efficient lanthanide activators. Nevertheless, upconversion nanoparticles can realize larger anti-Stokes shifts owing to the involvement of three, four and even five photon upconversion processes. Furthermore, as a bimolecular system, the TTA upconversion material may face constraints in dynamic systems and *in vivo* applications because the intermolecular interactions between the donor and the acceptor would be strongly influenced by environmental factors.

6. Conclusions and perspectives

The increasing awareness of the benefit of metal-organic complexes for optoelectronic applications has fuelled a growing demand for new approaches to prepare more complex molecular structures with tunable optical and electronic properties. The performance in certain aspects of these judiciously designed metal-organic complexes has even exceeded that of pure organic compounds. This improvement signifies the importance of metal coordination in controlling photophysical properties of the complexes. We anticipate that new developments, which may shape the future of optoelectronic technology, will be on the rise in the following areas.

(i) *Multifunctionalization*. The limited availability of photo-functional complexes will drive innovative strategies for molecular multifunctionalization.^{192–196} The post-functionalization approach through direct modification of existing metal-organic complexes can simplify material design and render the complexes with well-defined optoelectronic properties and much improved device performance.

(ii) *New theories and viewpoints*. Will our classical theoretical bases always be intact when applied to grasp the mechanisms underlying the beneficial effects of metal-organic coordination? Perhaps this will not be the case. New models and challenging views, including the design of blue-emitting phosphors and elongation of the lifetime of ^3IL excited states for TTA, could have a profound effect on the development of highly efficient optoelectronic materials.^{197–202}

(iii) *Improvement on device compatibility*. There are important challenges to be tackled with the rise of flexible devices, in large part owing to the differences between the properties of inorganic and organic compounds. New device configurations and fabrication technologies, such as roll-to-roll technology, will take place in developing stretchable and biocompatible optoelectronic devices.^{119b}

Abbreviations

A	Acceptor
Alq ₃	Tris(8-quinolinolato) aluminum(III)
BCP	2,9-Dimethyl-4,7-diphenyl-1,10-phenanthroline



BIQS	Bis(4-(6 <i>H</i> -indolo[2,3- <i>b</i>]quinoxalin-6-yl)phenyl)-diphenylsilane	PS ^C	PEDOT:PSS composite
BODIPY	Boron-dipyrromethene	PVK	Polyvinylcarbazole
Bphen	4,7-Diphenyl-1,10-phenanthroline	PVP	Poly(vinyl pyrrolidone)
CBP	4,4'-Bis(9-carbazolyl)-2,2'-biphenyl	SCNT	Singe-walled carbon nanotube
CBPEA	1-Chloro-9,10-bis(phenylethynyl)anthracene	ScPc ₂	Scandium diphthalocyanine
CE	Current efficiency	SMT	Selective metal transfer
CF ₃	Trifluoromethyl	TCTA	4,4',4''-Tris(carbazol-9-yl)-triphenylamine
CIE	Commission internationale de L'Eclairage	T _d	Decomposition temperature
ClAlPc	Chloroaluminium phthalocyanine	TFT	Thin-film transistor
CNT	Carbon nanotube	T _g	Glass transition temperature
C6PcH ₂	1,4,8,11,15,18,22,25-Octaethylphthalocyanine	2-TNATA	4,4',4''-Tris[2-naphthyl(phenyl)amino]triphenylamine
CuPc	Copper(II) phthalocyanine	TPA	Two-photon absorption
D	Donor	TPBI	1,3,5-Tri(1-phenyl-1 <i>H</i> -benzo[<i>d</i>]imidazol-2-yl)phenyl
DCM	4-(Dicyanomethylene)-2-methyl-6-(<i>p</i> -dimethylaminostyryl)-4 <i>H</i> -pyran	TPD	<i>N,N'</i> -Diphenyl- <i>N,N'</i> -bis(3-methylphenyl)-[1,1'-biphenyl]-4,4'-diamine
DPA	9,10-Diphenylanthracene	TPP	Triphenylphosphine
DSSC	Dye sensitized solar cell	TPPO	Triphenylphosphine oxide
EL	Electroluminescence	TTA	Triplet-triplet annihilation
EQE	External quantum efficiency	TTET	Triplet-triplet energy transfer
FF	Fill factor	V _{oc}	Open-circuit voltage
Firpic	Bis[(4,6-difluorophenyl)pyridinato- <i>N,C</i> ^{2'}]-iridium(III)picolinate		
FRET	Förster resonance energy transfer		
HMe ₂ q	5,7-Dimethyl-8-hydroxy-quinoline		
HOMO	Highest occupied molecular orbital		
³ IL	Intraligand		
IR	Infrared		
ISC	Intersystem crossing		
ITO	Indium tin oxide		
J _{sc}	Short-circuit current density		
LEC	Light-emitting electrochemical cell		
³ LLCT	Ligand-to-ligand charge-transfer state		
LUMO	Lowest unoccupied molecular orbital		
MEH-PPV	Poly[2-methoxy-5-(2'-ethyl-hexyloxy)-1,4-phenylene vinylene]		
³ MLCT	Metal-to-ligand charge-transfer state		
NIR	Near-infrared		
NPB (NPD)	<i>N,N'</i> -Diphenyl- <i>N,N'</i> -bis(1-naphthyl)(1,1'-biphenyl)-4,4'-diamine		
OLED	Organic light-emitting diode		
OLET	Organic light-emitting transistor		
OPV	Organic photovoltaic		
P3HT	Poly(3-hexylthiophene)		
PBD	2-(4-Biphenyl)-5-(4- <i>tert</i> -butylphenyl)-1,3,4-oxadiazole		
PCBM	[6,6]-Phenyl-C61 butyric acid methyl ester		
PC ₇₁ BM	[6,6]-Phenyl-C ₇₁ butyric acid methyl ester		
PCE	Power conversion efficiency		
PDI	<i>N,N</i> -Bis(ethylpropyl)perylene-3,4,9,10-tetracarboxylicdiimide		
PE	Power efficiency		
PEDOT:PSS	Poly(3,4-ethylenedioxythiophene):poly(styrenesulfonate)		
PHOLED	Phosphorescent organic light-emitting diode		
PL	Photoluminescence		
POSS	Polyhedral oligomeric silsesquioxanes		

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