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## From CO<sub>2</sub> activation to catalytic reduction: a metal-free approach

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Over exploitation of natural resources and human activities are relentlessly fueling the emission of CO<sub>2</sub> in the atmosphere. Accordingly, continuous efforts are required to find solutions to address the issue of excessive CO<sub>2</sub> emission and its potential effects on climate change. It is imperative that the world looks towards a portfolio of carbon mitigation solutions, rather than a single strategy. In this regard, the use of CO<sub>2</sub> as a C1 source is an attractive strategy as CO<sub>2</sub> has the potential to be a great asset for the industrial sector and consumers across the globe. In particular, the reduction of CO<sub>2</sub> offers an alternative to fossil fuels for various organic industrial feedstocks and fuels. Consequently, efficient and scalable approaches for the reduction of CO<sub>2</sub> to products such as methane and methanol can generate value from its emissions. Accordingly, in recent years, metal-free catalysis has emerged as a sustainable approach because of the mild reaction conditions by which CO<sub>2</sub> can be reduced to various value-added products. The metal-free catalytic reduction of CO<sub>2</sub> offers the development of chemical processes with low cost, earth-abundant, non-toxic reagents, and low carbon-footprint. Thus, this perspective aims to present the developments in both the reduction and reductive functionalization chemistry of CO<sub>2</sub> during the last decade using various metal-free catalysts.

### 1. Introduction

The dream of a decarbonized economy is realistically far from reality since the global energy-related CO<sub>2</sub> emissions over the

last 120 years have continuously increased with a few sporadic dips.<sup>1a</sup> For example, the global CO<sub>2</sub> emissions are expected to drop by 8% in 2020, which will be the lowest since 2010.<sup>1b</sup> This sharp decline in CO<sub>2</sub> emission comes at the expense of the COVID-19 pandemic, which has resulted in a severe health crisis and tremendous economic hardship globally, restricting human activities and hence is not sustainable.

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In 2019, the carbon dioxide emissions from anthropogenic activity reached 34 Gt,<sup>1c</sup> and Fig. 1 presents the steady increase in CO<sub>2</sub> emission over the last two decades. The CO<sub>2</sub> emissions from power plants and other industrial facilities are considered primarily as a waste product and liability. Accordingly, to address the issue of CO<sub>2</sub> emissions and their potential effects on climate change, society must continue to accelerate the exploitation of new energy technologies. It has been predicted that the CO<sub>2</sub> emissions need to decline by at least 50% to restrict an increase in the global average temperature by 2 °C by the year 2050.<sup>2</sup> Consequently, single technology solution is not expected to resolve this issue, rather the world will require a portfolio of carbon mitigation solutions relying on low-carbon or zero-carbon energy sources. Thus, one of the options that may be beneficial in achieving this target is the carbon capture and storage (CCS), which is being considered worldwide.<sup>3a-c</sup> CCS technologies have the potential to mitigate a significant amount of CO<sub>2</sub>; however, the storage of captured carbon faces multiple challenges in terms of economic viability. Hence, recently, a relatively new alternative of carbon capture and recycling (CCR) has been gaining momentum. CCR involves the transformation of CO<sub>2</sub> into fuels and chemicals, while creating an added value, and thus can compensate the cost of its capture. In this regard, CO<sub>2</sub> is considered an attractive carbon feedstock in chemical synthesis since it is non-toxic, increasingly abundant, and environmentally well-distributed. Among the chemical processes, the reduction of CO<sub>2</sub> into various chemicals such as formic acid and methanol or light hydrocarbons such as methane is an extremely useful conversion owing to the wide applications of these products such as hydrogen carriers and their direct use as fuels. Thus, the reduction of CO<sub>2</sub> into various energy intensive chemicals and fuels is considered to have the most potential for chemical transformation of CO<sub>2</sub>.<sup>4,5</sup> However, it should be noted that the current use of CO<sub>2</sub> in chemical synthesis is very limited,<sup>4,6</sup> which may be overcome by addressing two major issues. Firstly, the high kinetic and thermodynamic stability of CO<sub>2</sub> requires suitable catalysts for its reduction. Traditionally, over the years, various homogenous and heterogeneous methods have been developed for the catalytic reduction of CO<sub>2</sub> mainly using transition metal-based catalysts under harsh reaction conditions.<sup>7-11</sup> The transition

metals used in the catalytic reduction of CO<sub>2</sub> are usually rare, and hence expensive, and also toxic to the environment. Secondly, the economics of the catalytic process must be competitive with the products obtained from the petrochemical industry. This may be achieved by replacing the expensive transition metals with metal-free catalysts operating under mild conditions. An ideal catalyst will ensure that the activation energy remains low, and thus the overall carbon balance is not compromised by the thermal loading since the energy input should be as carbon-free as possible. In recent years, metal-free catalysis along with transition metal-based catalysis have emerged as a sustainable approach because of the milder reaction conditions under which CO<sub>2</sub> can be reduced into various value-added products using boranes and silanes as reductants. The metal-free catalytic reduction of CO<sub>2</sub> can allow the development of chemical processes with low cost, earth-abundant, non-toxic reagents, and a significantly reduced carbon-footprint.

In this regard, it is worth mentioning that excellent reviews are available in literature, which either highlighted reduction or reductive functionalization of CO<sub>2</sub>.<sup>6,11-20</sup> However, a detailed article covering the activation, reduction and reductive functionalization of CO<sub>2</sub> in an integrated way using metal-free catalysts and reducing agents such as boranes, silanes and hydrogen is lacking. Thus, this perspective aims at documenting these developments in both the reduction and reductive functionalization chemistry of CO<sub>2</sub> during the last decade using metal-free catalysts. We begin by highlighting the various activation modes of CO<sub>2</sub> by major classes of metal-free systems. The various conceptual aspects of CO<sub>2</sub> activation are described and correlated with how metal-free systems can mimic transition metals. This understanding is considered to be fundamental in the design of appropriate catalysts. Subsequently, two aspects of the reduction chemistry of CO<sub>2</sub> are widely covered. The first part deals with recent developments in the reduction of CO<sub>2</sub> into formic acid, methanol and methane. The second part documents the catalytic reduction of CO<sub>2</sub> together with its functionalization, forming new C–N bonds to yield *N*-formylamines, *N*-formylamides, *N*-methylamines and amins.

## 2. Activation of CO<sub>2</sub> by various metal-free systems

The activation of CO<sub>2</sub> molecules is an uphill task owing to its high thermodynamic and kinetic stability.<sup>21</sup> Nevertheless, this process is considered to be the key step in the design of any catalytic process for the conversion of CO<sub>2</sub> into value-added products. Although CO<sub>2</sub> has a zero dipole moment, it is a charge-separated molecule with an inherent difference in polarity between its oxygen and carbon atoms. Thus, in contrast to other inert molecules, such as N<sub>2</sub>, the presence of this internal dipole along the C–O bonds makes the CO<sub>2</sub> molecule ambiphilic in nature. The HOMO of the CO<sub>2</sub> molecule is centred on the oxygen atoms, while its LUMO is located on the carbon atom. Consequently, the carbon centre is susceptible to nucleophilic attack, while the oxygen centres can interact with



Fig. 1 Energy-related global CO<sub>2</sub> emission in the last two decades.<sup>1c</sup>



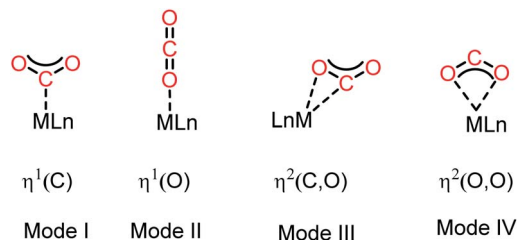


Fig. 2 Common binding modes of the CO<sub>2</sub> molecule with metal (considering a single metal).

electrophiles. Traditionally, transition metal-based catalysts have been considered as the most efficient for activation of CO<sub>2</sub> owing to their ability to interact with CO<sub>2</sub> molecules in various ways. In this regard, the most common strategy for CO<sub>2</sub> activation involves coordination with metal ions. The commonly observed binding modes of CO<sub>2</sub> with metals are demonstrated in Fig. 2.<sup>22</sup> One of the most common binding modes involves  $\eta^1$ –(C) bonding, in which the metal activates CO<sub>2</sub> upon interaction with its C centre (Mode I, Fig. 2). This binding mode is generally observed in electron-rich metals, where electron transfer is facilitated by metal coordination with the electrophilic carbon centre. In this type of coordination with electron-rich metal ions, the LUMO of CO<sub>2</sub> gets populated, resulting in the re-hybridization of the C atom and a transformation in its geometry from linear to bent. The other mode of interaction involves one of the oxygen atoms with a metal ion generating the  $\eta^1$ –(O) binding mode (Mode II, Fig. 2). In this interaction, an electron-deficient metal ion binds with the nucleophilic ‘O’ centre of CO<sub>2</sub>. The third type of interaction (Mode III, Fig. 2) corresponds to the bidentate binding mode, in which the metal ion functions as an ambiphilic centre coordinating in an  $\eta^2$ (C, O) fashion, where the metal ion binds with both the carbon and oxygen centres. Here, the metal ion acts in a synergistic fashion, in which a suitable metal orbital filled with electrons interacts with the C-centred LUMO, while the O-centred HOMO interacts with the empty d-orbital of the metal ion. Mode IV bonding represents the  $\eta^2$  (O,O) bonding mode (Fig. 2), which is usually known as the “metal carboxylate” type of binding.

In this regard, it should be noted that catalytic processes involving CO<sub>2</sub> without a metal is challenging. Consequently, researchers assumed that CO<sub>2</sub> cannot be activated under metal-free conditions. However, recent developments have made it possible to overcome this challenge, where the transition metal-free activation of CO<sub>2</sub> has been demonstrated. The most prominent example of metal-free CO<sub>2</sub> activation can be cited from the nature itself, where an enzyme known as ribulose-1,5-bisphosphate carboxylase oxygenase (RuBisCO) can fix atmospheric CO<sub>2</sub> during photosynthesis.<sup>23</sup> The active site of the RuBisCO enzyme consists of the amino acid lysine, which is where the activation of CO<sub>2</sub> occurs. During this enzymatic process, the carbamate intermediate is formed through capture of CO<sub>2</sub> molecule by the nucleophilic amine group present in the active site of RuBisCO (Fig. 3). This understanding clearly highlights the affinity of the nitrogen lone pair of electrons to form an adduct with CO<sub>2</sub>. During this interaction with the CO<sub>2</sub>

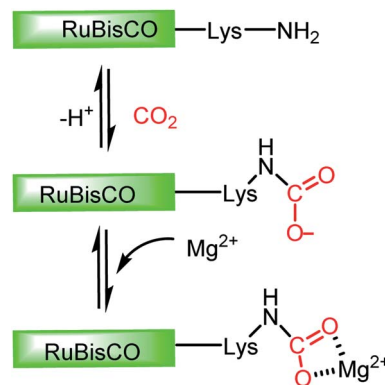


Fig. 3 Schematic representation of CO<sub>2</sub> activation in the active site of RuBisCO.

molecule, the electron density is transferred from the nitrogen to the low lying empty molecular orbital (LUMO) of CO<sub>2</sub>, resulting in the bending of its linear structure in order to minimize the energy of the transition state. This nucleophilic interaction by the nitrogen of the amine group is similar to the metal binding Mode I, as mentioned in Fig. 2. Infact, the activation modes of CO<sub>2</sub> by metal ions can be considered as strategic guidelines for conceiving metal-free activation. To date, most of the metal-free activations follow the Mode 1- or Mode III-type interaction, as described in Fig. 2. However, this knowledge from nature clearly inspires the logical extension using various nitrogen bases for the activation of CO<sub>2</sub> as a viable route to replace the expensive and rare transition metals. To date, the reported metal-free activation of CO<sub>2</sub> can be divided into three distinct subclasses: (a) organic nucleophile-mediated activation (including nitrogen and phosphorus bases and N-heterocyclic carbenes (NHCs)); (b) ionic liquid (IL)-mediated activation and (c) ambiphilic activation by frustrated Lewis acid base pairs (FLPs). Organic nucleophiles are probably the most studied metal-free systems with regard to CO<sub>2</sub> activation owing to their availability and structural diversity, including alkyl/aryl mono- and polyamines, N-heterocyclic carbenes, amidine-like and guanidine-like derivatives. Among the organic bases, amidines and guanidines show better activity towards CO<sub>2</sub> activation. For example, 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU) and 1,5,7-triazabicyclo[4.4.0]dec-5-ene (TBD) are the most recognised organic bases (Fig. 4), which are widely used in various transformations for the activation of the CO<sub>2</sub> molecule. The interaction between DBU and CO<sub>2</sub> molecule can be traced back to 1978 when Iwatani *et al.* reported the formation of a white solid upon the exposure of liquid DBU to CO<sub>2</sub> gas, which was characterized by IR spectroscopy and elemental analysis.<sup>24</sup> The high basicity of DBU is beneficial in the adduct formation with CO<sub>2</sub> by nucleophilic attack. In 2002, Franco and co-workers used this adduct for the synthesis of *N*-alkyl carbamates.<sup>25</sup> In 2004, Pérez *et al.* attempted to crystallize the DBU–CO<sub>2</sub> adduct by exposing a DBU solution in acetonitrile (ACN) to CO<sub>2</sub>, but failed to isolate the desired crystals.<sup>26</sup> However, they were able to characterize the DBU–CO<sub>2</sub> adduct by NMR spectroscopy and the <sup>13</sup>C NMR spectrum in D<sub>2</sub>O, which displayed a resonance at



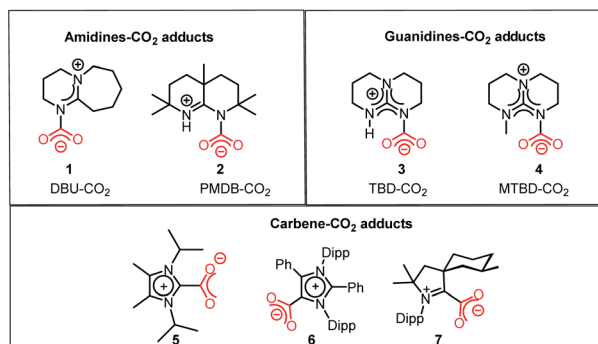


Fig. 4 Representative examples of isolated and well-characterized nitrogen base-CO<sub>2</sub> (1–4) adducts and NHC–CO<sub>2</sub> adducts (5–7).

$\delta$  160.7 ppm. Up to 2010, the solid-state characterization of this nitrogen base-CO<sub>2</sub> adduct remained unsuccessful, until Villiers *et al.* first isolated the TBD–CO<sub>2</sub> adduct and characterised it *via* single crystal X-ray crystallography.<sup>27</sup> Dissolving TBD in anhydrous THF, and subsequent purging with CO<sub>2</sub> resulted in the formation of an off-white powder, which was crystallized at 70 °C under a CO<sub>2</sub> atmosphere. The N–C(CO<sub>2</sub>) bond length was measured to be 1.48 Å, which is slightly longer than the typical N–C bond length (~1.35 Å) observed in various carbamates. The <sup>13</sup>C NMR spectrum recorded in CD<sub>3</sub>CN revealed that the <sup>13</sup>C resonance arising from the CO<sub>2</sub> molecule displayed a downfield shift at  $\delta$  154.4 ppm. The reaction was carried out under anhydrous conditions in order to avoid the possible formation of bicarbonate. Subsequently, various nitrogen bases were used for the activation of CO<sub>2</sub>. Some of the representative examples of these isolated adducts (1–4) are presented in Fig. 4.

In addition to nitrogen bases, N-heterocyclic carbenes (NHCs) emerged as another class of nucleophiles for the activation of CO<sub>2</sub>. Soon after the isolation of the first NHC by Arduengo in 1991, NHC became the most versatile ligand for organometallic catalysis.<sup>28</sup> By virtue of their singlet ground state, the lone pair of electrons resides in a sp<sup>2</sup>-hybridized orbital on the carbene carbon atom along with an unoccupied p orbital. The lone pair of electrons in the carbene centre makes NHCs nucleophilic, and hence they can act as very efficient  $\sigma$  donors. In 1999, Kuhn and co-workers first introduced NHCs to capture CO<sub>2</sub> molecules, where they demonstrated the formation of a zwitterionic adduct (5, Fig. 4) and characterised this adduct crystallographically.<sup>29a</sup> Later in 2004, Louie and co-workers demonstrated the reversible binding of CO<sub>2</sub> with NHC, in which the addition of 1 atm CO<sub>2</sub> to 1,3-dimesitylimidazol-2-ylidene (IMes), or 1,3-bis(2,6-diisopropylphenyl)imidazol-2-ylidene (IPr) resulted in the formation of the corresponding zwitterionic imidazolium carboxylates.<sup>29b</sup> The IPr–CO<sub>2</sub> adduct was characterised *via* X-ray crystallography, and almost similar bond lengths of both C–O bonds in the adduct indicate that the negative charge is distributed equally. The <sup>13</sup>C NMR spectrum in CD<sub>2</sub>Cl<sub>2</sub> showed a peak at  $\delta$  152.3 ppm, which was assigned to the <sup>13</sup>C resonance arising from the carboxylate group. Following this study, CO<sub>2</sub> adducts (6 and 7, Fig. 4) with various newer versions of NHCs such as abnormal NHC (aNHC)<sup>30</sup> and

cyclic(alkyl)(amino) carbene (CAAC)<sup>31</sup> were isolated and characterized.

Another class of compounds commonly used for the activation of CO<sub>2</sub> molecules is ionic liquids (ILs). ILs are comprised of a cation and anion with one of the ions as an organic species possessing a delocalized charge. Ionic liquids exhibit high thermal stability and volatility, which can sustain both high temperature and pressure in the reaction medium, unlike organic solvents. Furthermore, the properties of ionic liquids can be tuned by varying the substituents on the organic part of the counterion, and hence they are often termed as “designer solvents”. In 2002, Davis and co-workers reported the chemisorption of CO<sub>2</sub> on an amino group-functionalized IL.<sup>32</sup> In 2010, Lei and Dai developed anion-functionalized protic ionic liquids (PIL).<sup>33</sup> In their work, the PILs were formed *via* the combination of different super bases such as 7-methyl-1,5,7-triazabicyclo [4.4.0]dec-5-ene (MTBD) with partially fluorinated alcohols/imidazoles/phenols, *etc.* These strong bases have very high proton affinity, deprotonating even weak proton donors to form thermodynamically stable PILs. These PILs could capture CO<sub>2</sub> efficiently (8, Fig. 5). The nucleophilic character of ILs is exploited during the activation of CO<sub>2</sub>, where the accumulated negative charge on the CO<sub>2</sub> molecule after its activation is stabilized by ion-pairing interactions with the cationic part of the IL, as shown in 8. The major ILs used for the activation of CO<sub>2</sub> are depicted in Fig. 5.<sup>33</sup>

Another common mode of metal-free CO<sub>2</sub> activation is observed through frustrated Lewis pairs (FLPs). The concept of FLPs was developed from the initial observation by H. C. Brown in 1942 while studying the interaction between lutidine and BMe<sub>3</sub>,<sup>34</sup> and later from the seminal work by Wittig and Benz,<sup>35</sup> Tochtermann,<sup>36</sup> Piers,<sup>37</sup> Oestreich<sup>38</sup> and many others. Based on the information gained through the years, FLPs are defined as a combination of a Lewis acid (LA) and Lewis base (LB) that is unable to form a classical acid–base adduct because of steric or geometric restrictions. The area of FLP research propelled in 2006 from the breakthrough made by Stephan and co-workers when Mes<sub>2</sub>P(C<sub>6</sub>F<sub>4</sub>)B(C<sub>6</sub>F<sub>5</sub>)<sub>2</sub> was observed to reversibly bind with molecular hydrogen under mild reaction conditions.<sup>39</sup> The activation of H<sub>2</sub> was carried out using an FLP following the concept of synergistic

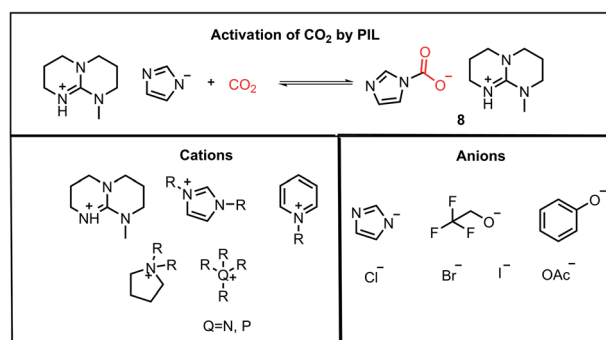


Fig. 5 Activation of CO<sub>2</sub> by PIL and combination of cations and anions for the construction of various ILs used for CO<sub>2</sub> activation.



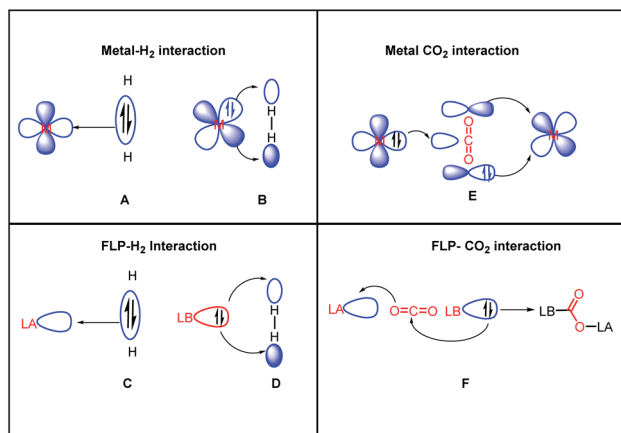
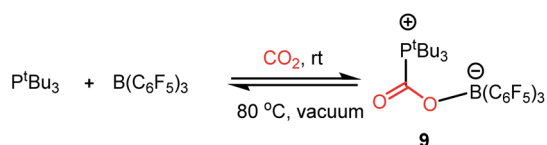


Fig. 6 Activation of  $\text{H}_2$  and  $\text{CO}_2$ , highlighting the resemblance between transition metal-mediated activation and FLP-mediated activation.

activation, which is similar to the ambiphilic activation mode of a transition metal for a small molecule such as  $\text{H}_2$ . In the case of FLP-mediated activation, the electrons from the bonding orbitals of  $\text{H}_2$  are transferred to the LUMO of the LA, while in the case of metal-mediated activation, the bonding electrons are transferred to a suitable empty d orbital (Fig. 6, A and C). Simultaneously, the electrons from the HOMO of the LB are transferred to the anti-bonding orbital of  $\text{H}_2$ , while in the case of metal-mediated activation, the d electrons are transferred to the anti-bonding orbital of the  $\text{H}_2$  molecule which results in the cleavage of the H–H bond (Fig. 6, B and D). This concept was logically extended to the activation of  $\text{CO}_2$  by FLPs. FLPs are capable of activating carbon dioxide since they are ambiphilic, allowing nucleophilic activation by the Lewis base (LB) at the carbon, and subsequent electrophilic activation by the Lewis acid (LA) at the oxygen atoms (Fig. 6, F). This bifunctional interaction was shown to be the key feature for the transition metal-mediated  $\text{CO}_2$  activation process (Fig. 6, E and mode III, Fig. 2). The first report on FLP  $\text{CO}_2$  activation appeared in 2009 by Stephen, Erker and co-workers, in which they reported a phosphino–borane LA–LB system for the formation of a zwitterionic product after activating the  $\text{CO}_2$  molecule.<sup>40</sup> Specifically,  $\text{B}(\text{C}_6\text{F}_5)_3$  and  $\text{P}^t\text{Bu}_3$  were added to bromobenzene under  $\text{CO}_2$  atmosphere, which resulted in the formation of a white precipitate. It was found that the electrophilic C centre binds with the Lewis basic phosphorus unit, while the nucleophilic O of  $\text{CO}_2$  binds with the Lewis acid boron, forming stable carboxylate adduct **9** (Scheme 1).



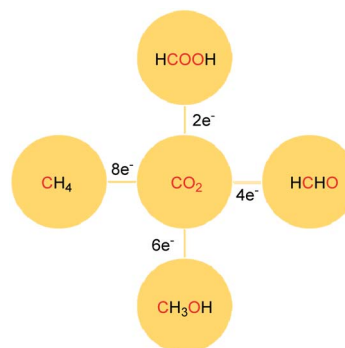
Scheme 1 Activation of  $\text{CO}_2$  by P/B FLP, forming a carboxylate adduct.

### 3. Metal-free catalytic reduction of $\text{CO}_2$

The reduction of  $\text{CO}_2$  to various value-added products leads to a decrease in the formal oxidation state of carbon centre of  $\text{CO}_2$  is of great interest.  $\text{CO}_2$  can be reduced sequentially to various energy intensive chemicals and fuels. For example, the 2e<sup>−</sup>, 4e<sup>−</sup>, 6e<sup>−</sup> and 8e<sup>−</sup> reduction of  $\text{CO}_2$  can lead to the formation of formic acid, formaldehyde, methanol and methane, respectively (Scheme 2). Traditionally, these reductions have been carried out using transition metal-based catalysts.<sup>41–43</sup> In recent years, catalytic metal-free  $\text{CO}_2$  reduction has emerged as an alternative to the expensive transition metal-mediated process. The previous section described various metal-free systems that can activate  $\text{CO}_2$  under ambient conditions, opening up the possibility of the catalytic reduction of  $\text{CO}_2$  under metal-free conditions.

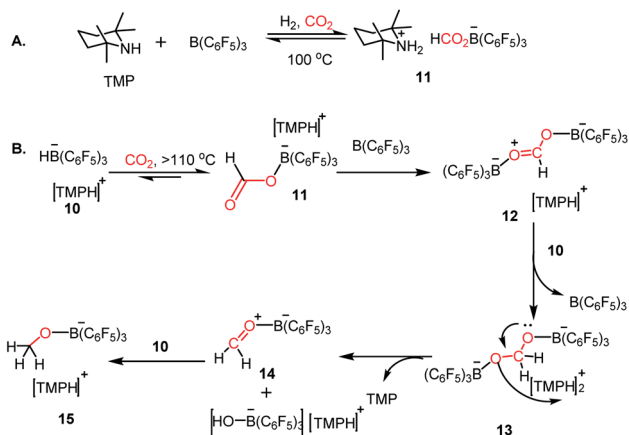
#### 3.1. Frustrated Lewis pairs for $\text{CO}_2$ reduction

Since the pioneering discovery in 2009 by Stephen, Erker and co-workers<sup>40</sup> on  $\text{CO}_2$  activation by a phosphino–borane FLP system,  $\text{CO}_2$  reduction has taken a quantum leap in the last decade. The first FLP-mediated  $\text{CO}_2$  activation followed by its reduction into methanol was reported in 2009 by Ashley and O'Hare.<sup>44</sup> The reduction of  $\text{CO}_2$  into methanol was accomplished using hydrogen gas in a stoichiometric amount. Their work took advantage of the fact that FLP can split the hydrogen molecule,<sup>39</sup> and subsequently the hydrogen activation is integrated with the  $\text{CO}_2$  activation step to realize the thermodynamically challenging conversion. In this work, the combination of  $\text{B}(\text{C}_6\text{F}_5)_3$  and TMP (TMP = 2,2,6,6-tetramethylpiperidine) in presence of  $\text{H}_2$  and  $\text{CO}_2$  resulted in the formation of a formatoborate complex,  $[\text{TMPH}][\text{HCO}_2\text{B}(\text{C}_6\text{F}_5)_3]$  (**11**), under heating (Scheme 3A). This observation can be explained considering stepwise activation of hydrogen and  $\text{CO}_2$  molecules. The reaction between an equimolar amount of TMP and  $\text{B}(\text{C}_6\text{F}_5)_3$  in the presence of  $\text{H}_2$  yields  $[\text{TMPH}][\text{HB}(\text{C}_6\text{F}_5)_3]$  (**10**), where the  $\text{H}_2$  molecule is heterolytically cleaved. This splitting of the hydrogen molecule by an FLP composed of TMP/ $\text{B}(\text{C}_6\text{F}_5)_3$  was previously proposed by Sumerin *et al.*<sup>45</sup>

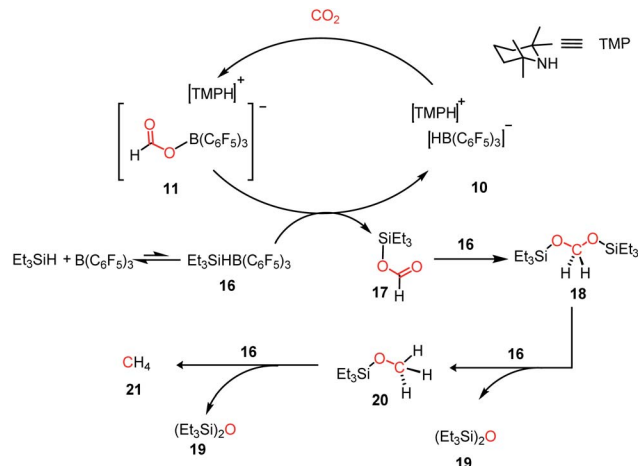


Scheme 2 Sequential reduction of  $\text{CO}_2$  by 2e<sup>−</sup>, 4e<sup>−</sup>, 6e<sup>−</sup> and 8e<sup>−</sup> leads to the formation of various chemicals and fuels.





Scheme 3 (A) CO<sub>2</sub> activation in the presence of TMP/B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>/H<sub>2</sub>. (B) Reduction of CO<sub>2</sub> with H<sub>2</sub> by FLP composed of TMP/B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>.

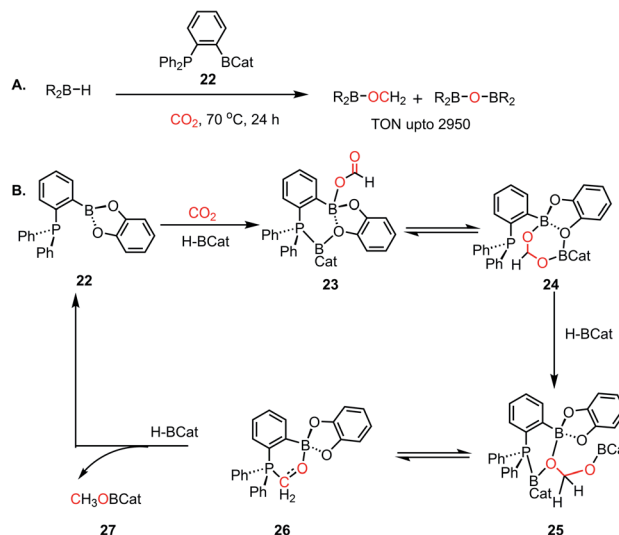


Scheme 4 Converting stoichiometric CO<sub>2</sub> reduction into catalytic process by adding excess B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> and Et<sub>3</sub>SiH to the TMP/B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> system.

Subsequently, heating **10** in toluene above 110 °C under 1 atm CO<sub>2</sub> led to the formation of the formateborate complex [TMPH]<sup>+</sup>[HCO<sub>2</sub>B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>]<sup>-</sup> (**11**, Scheme 3B). A carbonyl stretch at 1662 cm<sup>-1</sup> was observed in the IR spectrum of complex **11** and the <sup>13</sup>C NMR spectrum revealed a resonance at δ 169.9 ppm, confirming the incorporation of CO<sub>2</sub>. Furthermore, the structure of **11** was established by single crystal X-ray study. Finally, purging CO<sub>2</sub> in a mixture of TMP/B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> in C<sub>7</sub>D<sub>8</sub> under an H<sub>2</sub> atmosphere resulted in their quantitative conversion into [CH<sub>3</sub>OB(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>][TMPH]<sup>+</sup> (**15**) after 6 days of heating at 160 °C. The formation of methanol in ~17–25% yield was observed after vacuum distillation. This may be explained considering following steps presented in Scheme 3B. Specifically, upon heating, **11** reversibly decomposes to **10**, which stays in equilibrium with TMP, B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> and H<sub>2</sub>. The free B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> present in the medium can be arrested by the acyl oxygen of **11** to produce intermediate **12**. This activated formate intermediate **12** can be reduced by 1 equivalent of **10** to form acetal **13**, releasing B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>. Due to the instability of acetal in solution, the [TMPH]<sup>+</sup> counterion serves as the H<sup>+</sup> donor and **13** is converted into **14** and [TMPH]<sup>+</sup>[B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>OH]<sup>-</sup>. Next, **14** undergoes reduction in the presence of **10** in the medium to form **15**. The formation of methanol can be explained by considering the reaction of **15** with TMP or its conjugate acid. Even though this work represents the first reduction of CO<sub>2</sub> to methanol using an FLP-based system under a low pressure of CO<sub>2</sub> (1–2 atm), it suffers from a serious drawback since it is not a catalytic process. Thus, to address this shortcoming, Piers and co-workers reported that the addition of a hydrosilane to the reaction mixture in the presence of excess B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> transforms the process into a catalytic one.<sup>46</sup> In the presence of excess B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> and triethylsilane, the B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>/Et<sub>3</sub>SiH adduct **16** is generated, which can rapidly hydrosilate the formateborate complex **11** to form formatosilane compound **17**, regenerating [TMPH]<sup>+</sup>[HB(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>]<sup>-</sup> **10** (Scheme 4), thus making this process catalytic. Subsequently, the formatosilane **17** is further hydrosilated by **16** sequentially to (Et<sub>3</sub>SiO)<sub>2</sub>CH<sub>2</sub> (**18**), Et<sub>3</sub>SiOCH<sub>3</sub> (**20**) and CH<sub>4</sub> (**21**), leaving (Et<sub>3</sub>Si)<sub>2</sub>O (**19**) as a by-product.

Although, this reaction was catalytic, it still lacked good efficiency. The low efficiency was attributed to multiple factors such as the nature of the ion pair formed in the reaction medium<sup>47</sup> and the high entropy of the FLP system, as pointed by Fontaine and coworkers,<sup>12,48</sup> which originates from the use of discrete molecules of LA, LB and CO<sub>2</sub>. Thus, to favour the reaction entropically, the LA and LB centres were assembled within the same molecule. In this regard, in 2013, an aryl bridged phosphine–borane system was introduced for the catalytic hydroboration of CO<sub>2</sub> into methoxyborane, which could further be hydrolysed to methanol.<sup>48</sup> In this work, the phosphine–borane 1-Bcat-2-PPh<sub>2</sub>-C<sub>6</sub>H<sub>4</sub> (cat = catechol) (**22**) functions as an ambiphilic system for the reduction of carbon dioxide in presence of various hydroboranes, resulting in the formation of CH<sub>3</sub>OBR<sub>2</sub> or (CH<sub>3</sub>OBO)<sub>3</sub> as the product. The TON (turnover numbers) and TOF (turnover frequencies) reported were as high as >2950 and 853 h<sup>-1</sup>, respectively (Scheme 5A). In a typical catalytic run, exposing 1-Bcat-2-PPh<sub>2</sub>-C<sub>6</sub>H<sub>4</sub> to 1 atm of CO<sub>2</sub> at room temperature in the presence of 100 equiv. of HBcat resulted in the formation of a white precipitate. The white precipitate was characterized as catBOBcat. Analyzing the solution by <sup>1</sup>H NMR spectroscopy showed the presence of a new singlet at δ 3.37 ppm, which was attributed to CH<sub>3</sub>OBcat. Moreover, this FLP reduced CO<sub>2</sub> in the presence of BH<sub>3</sub>·SMe<sub>2</sub> at 70 °C with a TOF of 973 h<sup>-1</sup> and TON of up to 2950, which is comparable to that of transition metal catalysts. This was the first report where BH<sub>3</sub> was introduced as a hydrogen source for the catalytic reduction of CO<sub>2</sub>. A detailed DFT study was conducted to understand the mechanism of the reaction.<sup>49</sup> The reaction proceeds *via* a three-step process, in which CO<sub>2</sub> is first reduced to formateborate, followed by formaldehyde, and then the final product methoxyborane (Scheme 5B). Four different modes of activation of borane were considered during this calculation and the most feasible one involved the simultaneous activation and binding of both borane and CO<sub>2</sub> to the catalyst (Scheme 5B). As the first step, the Lewis basic phosphorus atom present in the FLP activates the boron centre of the

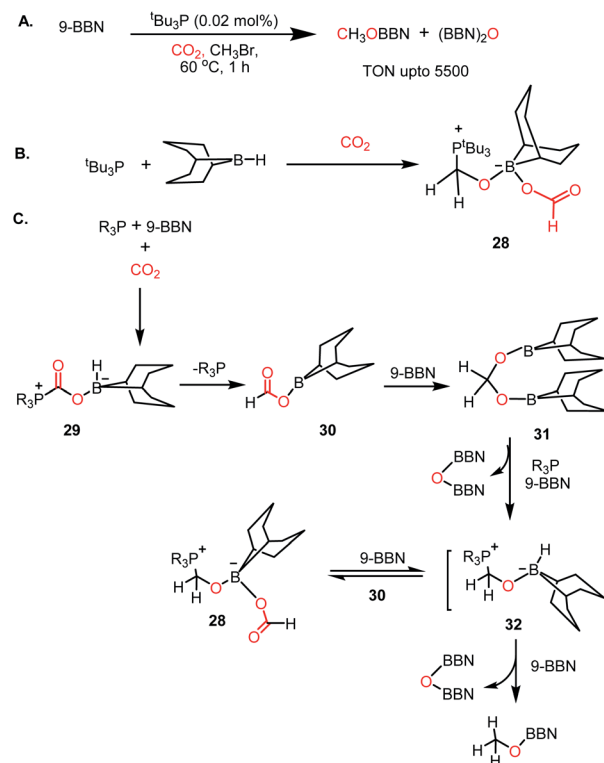




Scheme 5 (A) Catalytic reduction of  $\text{CO}_2$  using ambiphilic B/P FLP catalyst. (B) Plausible catalytic cycle using ambiphilic B/P FLP catalyst based on DFT calculation.

hydroborane by forming an adduct, and the enhanced nucleophilicity of the borane causes hydride to transfer to the electrophilic carbon of  $\text{CO}_2$ , forming a formate anion. Further, the formate anion can bind with the boron centre of the catalyst in a concerted way, thus resulting in intermediate **23** (see Scheme 5B). However, this type of activation contradicts the classical FLP-mediated  $\text{CO}_2$  activation. This simultaneous activation results in a four-coordinated boron centre observed in **23**, which can stay in equilibrium with compound **24**. The next step involves the formation of formaldehyde intermediate **26** (Scheme 5B) upon reduction by hydroborane through intermediate **25** (see Scheme 5B). In the next step, in the presence of hydroborane, **26** is further reduced to the corresponding methoxyborane (**27**), while regenerating the catalyst **22**. In subsequent study with  $^{13}\text{C}$  labeled  $\text{CO}_2$ ,<sup>50</sup> it was identified that **26** plays the role of an active catalyst instead of a reaction intermediate, as portrayed in Scheme 5B. The ambiphilic nature of the catalyst where LA-LB is present in the same molecule plays a key role in the efficacy of this FLP-based catalyst.

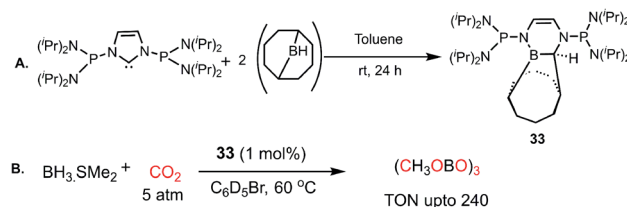
In 2014, Stephan's group reported an intermolecular P/B-based FLP for the catalytic reduction of  $\text{CO}_2$  (Scheme 6A).<sup>51</sup> In their report, a stoichiometric reaction between 9-BBN, phosphine ( $\text{R}_3\text{P}$ ;  $\text{R} = t\text{Bu}$ , 4-MeC<sub>6</sub>H<sub>4</sub>) and  $\text{CO}_2$  yielded  $(\text{R}_3\text{PCH}_2\text{-O})(\text{HC}(\text{O})\text{O})\text{B}(\text{C}_8\text{H}_{14})$  (**28**) (see Scheme 6B), in which  $\text{CO}_2$  is incorporated both in the form of formate and a phosphonium methoxy unit, and its structure was characterized by X-ray crystallography. This control experiment established the  $\text{CO}_2$  activation step using the P/B FLP. This observation prompted them to extend their study in a catalytic direction, where in the presence of a catalytic amount of  $t\text{Bu}_3\text{P}$ ,  $\text{CO}_2$  was successfully reduced using 9-BBN at  $60^\circ\text{C}$  to methoxyborane with the highest TON of 5500 (Scheme 6A). When the catalytic reaction was performed in the presence of 9-BBN, 4 mol%  $t\text{Bu}_3\text{P}$ , using  $\text{CO}_2$ , it yielded various reduction intermediates. The first step involves the formation of a boron formate intermediate **30** via



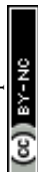
Scheme 6 (A) Intermolecular P/B FLP for the catalytic reduction of  $\text{CO}_2$ . (B) Activation of  $\text{CO}_2$  by B/P FLP. (C) Plausible mechanistic cycle for B/P FLP-mediated  $\text{CO}_2$  reduction.

intermediate **29**, which is then converted into diolate derivative **31** (Scheme 6C). Subsequently, **31** can further react with  $\text{R}_3\text{P}$  via the elimination of a B-O-B dimer to generate compound **32**. Compound **32** can react with boron formate **30** to generate compound **28**, which was characterized via X-ray crystallography, or it can be further reduced to methoxyborane by reaction with 9-BBN (Scheme 6C).

In 2012, Stephan's group reported an adduct of NHC with 9-borabicyclo[3.3.1]nonane (9-BBN) in the presence of  $\text{P}^t\text{Bu}_3$ , which could be used for the activation of  $\text{H}_2$ .<sup>52</sup> Inspired by this work, the same group introduced an intramolecular FLP by reacting a phosphine-bearing NHC derivative with 9-BBN. The reaction proceeds through C-N bond cleavage of NHC and insertion into the B-C bond of 9-BBN resulting in ring expansion (**33**, Scheme 7A).<sup>53</sup> This adduct consists of a strong basic site (P center) and weak Lewis acidic site (B center), making it a suitable intramolecular FLP, **33**. This FLP was used for the



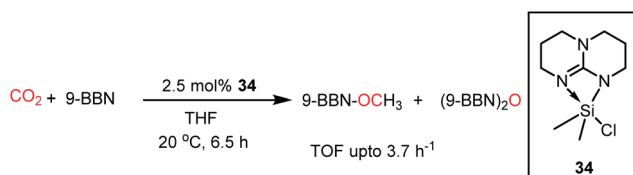
Scheme 7 (A) Preparation of intramolecular P/B FLP system. (B) Catalytic  $\text{CO}_2$  reduction using the P/B FLP **33**.



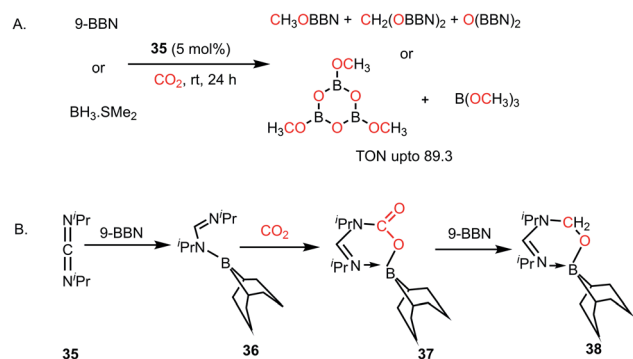
catalytic hydroboration of CO<sub>2</sub> in the presence of a boron hydride (Scheme 7B), exhibiting a TON of 240 and affording methoxyborane.

In 2016, Cantat and co-workers introduced another class of FLPs, in which a nitrogen-stabilized silylium cation was used for the activation of CO<sub>2</sub>.<sup>54</sup> It should be noted that being isoelectronic with boranes, silyl cations are considered as a good replacement for boranes as Lewis acids. A series of base-stabilized silylium species was prepared, which formed an N/Si FLP-CO<sub>2</sub> adduct (**34**) upon exposure to CO<sub>2</sub>. This adduct with CO<sub>2</sub> was characterized by X-ray study. These silicon species were found to be active catalysts in the hydroboration of CO<sub>2</sub> to methoxyborane with various boranes, giving the highest TOF of 3.7 h<sup>-1</sup> (Scheme 8). In these FLPs, the silicon centre exhibits affinity towards oxygen, while the N center binds with the C of CO<sub>2</sub> during the activation process.

In 2018, the viability of commercially available alkylic carbodiimides (CDIs) was demonstrated when they were treated with boranes such as 9-BBN or BH<sub>3</sub> in the catalytic hydroboration of CO<sub>2</sub>.<sup>55</sup> The catalytically-active FLP species were formed *in situ* via the reaction of CDIs with borane and CO<sub>2</sub>. The CDIs react with boron hydrides to form boron amidinates,<sup>56</sup> which have potential to act as an FLP owing to the presence of N/B combinations. Commercially available diisopropylcarbodiimide (CDI, **35**) was used as a catalyst for reduction of CO<sub>2</sub> using various hydride sources such as 9-BBN and BH<sub>3</sub>·SMe<sub>2</sub> under mild conditions (1 atm CO<sub>2</sub>, 25–60 °C) to give a mixture of methoxyborane and bis(boryl)acetal (Scheme 9A). Various stoichiometric reactions were carried out to elucidate the reaction mechanism, and it was proposed that the first step involves the hydroboration of **35** by borane to yield boron amidinate (**36**),



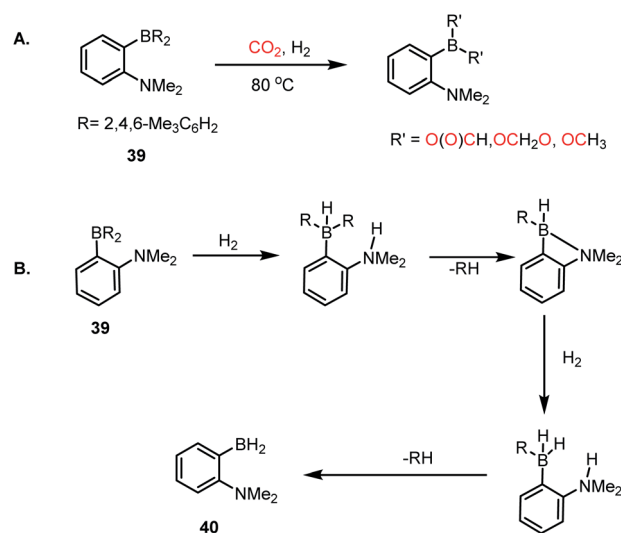
Scheme 8 Reduction of CO<sub>2</sub> using N/Si FLP system **34**.



Scheme 9 (A) Catalytic reduction of CO<sub>2</sub> using CDI, forming *in situ* FLP, which acts as a catalyst. (B) Formation of the active catalyst **38** for CO<sub>2</sub> reduction using CDI.

which further reacts with CO<sub>2</sub> to form a 1,2 addition intermediate (**37**), as shown in Scheme 9B. Further reaction with another unit of 9-BBN led to the formation of a formaldehyde adduct (**38**). All these intermediates (**36–38**) were identified and characterized *via* X-ray crystallography. Complex **38** was identified as the active catalyst, which reduces CO<sub>2</sub> to methoxyborane and bis(boryl)acetal upon the sequential addition of 9-BBN. It may be noted that in the case of BH<sub>3</sub>·SMe<sub>2</sub>, the products of CO<sub>2</sub> reduction are (OBOMe)<sub>3</sub> and B(OMe)<sub>3</sub> (Scheme 9A).

Thus far, we have discussed CO<sub>2</sub> reduction using various FLP-based catalysts in presence of hydrosilane or hydroborane as a reducing agent. Although these processes exhibit good catalytic efficacy, there is always room for further improvement since these reducing agents are expensive. In this regard, H<sub>2</sub> gas, owing to its low cost, can be a good replacement for the expensive silane/borane reagent. Consequently, the development of metal-free systems that can activate both CO<sub>2</sub> and H<sub>2</sub> simultaneously and selectively is highly rewarding, although very challenging. In 2015, Stephan and Fontaine *et al.* introduced an intramolecular B/N-based FLP, where CO<sub>2</sub> was reduced to formates, acetals and methoxides in the presence of molecular H<sub>2</sub> (Scheme 10A).<sup>57</sup> An ambiphilic B/N FLP **39** was developed, which upon exposure to H<sub>2</sub> gas at 80 °C resulted in the formation of **40** (Scheme 10B) with the sequential elimination of mesitylene, which was evident from the <sup>1</sup>H NMR spectroscopy of the reaction mixture. This observation suggests the possibility of a protodeborylation process as a result of H<sub>2</sub> activation. Further, compound **40** generated upon H<sub>2</sub> activation can act in dual fashion for the activation of CO<sub>2</sub> together with its reduction. For example, **40** can act as an ambiphilic FLP for CO<sub>2</sub> capture, and subsequently the BH<sub>2</sub> group present in **40** may function as a reducing agent. Although the selectivity of the process is somewhat compromised, resulting in a mixture of products, this result suggests that an appropriate strategy in designing FLPs can provide an avenue for the design of metal-



Scheme 10 (A) CO<sub>2</sub> reduction in the presence of a B/N FLP using molecular H<sub>2</sub>. (B) Heterolytic cleavage of H<sub>2</sub> in the presence of a B/N FLP, resulting in **40**.

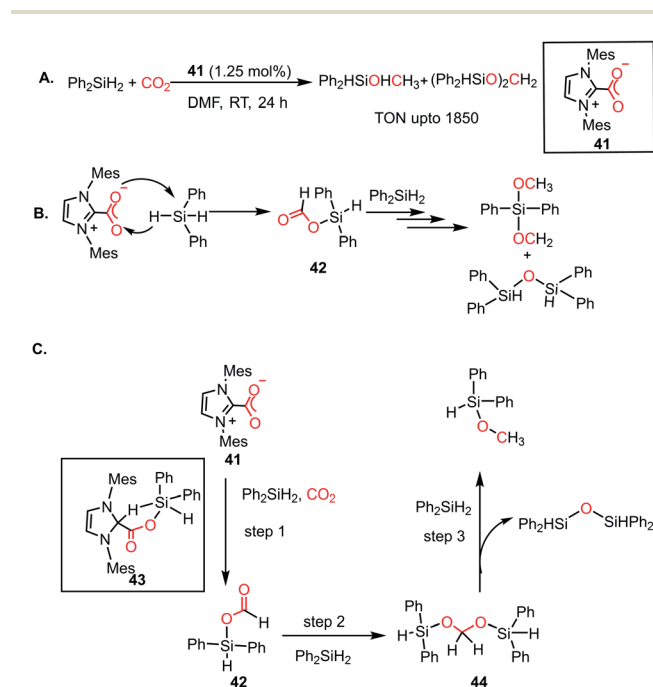
free catalysts for  $\text{H}_2/\text{CO}_2$  chemistry. Accordingly, this work represents a unique way where  $\text{H}_2$  was used as a reductant for the reduction of  $\text{CO}_2$ , avoiding borane/silane as reductant.

### 3.2 NHC mediated $\text{CO}_2$ reduction

As mentioned earlier, N-heterocyclic carbenes (NHCs), owing to their strong nucleophilicity, are known to form imidazolium carboxylates upon reaction with  $\text{CO}_2$ ,<sup>29</sup> which was explored towards the catalytic reduction of  $\text{CO}_2$  to form various value-added products. In 2009, Ying and co-workers first reported the hydrosilylation of  $\text{CO}_2$  in the presence of an NHC to form methanol under ambient conditions.<sup>58</sup> This was the first time a metal-free system was involved in the catalytic reduction of  $\text{CO}_2$  to methanol. It was observed that the 1,3-bis(2,4,6-trimethylphenyl)imidazolium carboxylate (**41**) under a  $\text{CO}_2$  atmosphere resulted in the hydrosilylation of  $\text{CO}_2$  by diphenylsilane at room temperature within 6 h (Scheme 11A).  $^1\text{H}$  NMR spectroscopy and GC-MS studies identified the presence of diphenylformoxysilane, diphenyldiformoxysilane, bis(silyl) acetal and silylmethoxide in the reaction mixture, which gave insight into the mechanistic pathway of this fascinating conversion. A peak at  $\delta$  3.5 ppm in the  $^1\text{H}$  NMR spectrum of the reaction mixture in  $\text{DMF-d}_7$  confirmed the formation of silyl methoxide, which can be easily hydrolysed to methanol. To understand the reaction mechanism, various control reactions were carried out using isotopically labelled  $^{13}\text{CO}_2$  gas. The  $^{13}\text{C}$   $\{^1\text{H}\}$  NMR spectroscopic studies revealed the appearance of new peaks at around  $\delta$  160 ppm, indicating the formation of formoxy and di-formoxy silane. The peak at  $\delta$  85 ppm was ascribed to the silyl acetal group and the resonance at  $\delta$  50 ppm was assigned to

silyl methoxide. During the course of the reaction, the resonance at  $\delta$  85 ppm diminished together with an increase in the intensity of the peak at  $\delta$  50 ppm, indicating the conversion of acetals into methoxide. This catalytic conversion led to the highest TON of 1850 and TOF of  $25.5\text{ h}^{-1}$  under ambient conditions (Scheme 11A). Finally, treatment of the reduced products with  $\text{NaOH}/\text{H}_2\text{O}$  produced methanol. The detailed mechanistic understanding remained unclear; however, a plausible cycle was proposed, in which imidazolium carboxylate **41** first attacks the silicon centre of diphenylsilane, which facilitates the hydride transfer in a concerted manner producing formoxysilane **42** (Scheme 11B). This releases a free NHC, which captures  $\text{CO}_2$  to regenerate **41**. Formoxysilane **42** can be reduced by hydrosilanes to form the silyl methoxide and the reduction continues until all the hydrosilanes are consumed (Scheme 11B). This mechanistic proposal later attracted considerable attention when Wang and co-workers came up with a detailed mechanistic proposal based on DFT calculations.<sup>59</sup> In their study, it was shown that the first step involves the activation of the Si-H of silane by NHC, which results in the transfer of hydride to the electrophilic carbon centre of  $\text{CO}_2$  to form a formate ion. Based on their calculations, the prior activation of the carbon centre of  $\text{CO}_2$  by NHC coordination was found to be energetically less favourable than silane activation. Further reduction of formate by additional equivalents of silane resulted in the formation of methoxyborane. They proposed a mechanistic path, where NHC directly coordinates to the silane centre instead of  $\text{CO}_2$ . However, Zhang and co-workers further came up with a detailed study to reveal the underlying mechanism.<sup>60</sup> The stoichiometric reaction performed between NHC and silane did not show any change in chemical shift values, even after prolonging the reaction for 2 weeks. Hence the possibility of NHC coordination to silane was discarded. In their study, it was uncovered that the reaction takes place through a three-step cascade pathway. The first step of the cascade mechanism involves the interaction of diphenylsilane with imidazolium carboxylate **41** under a  $\text{CO}_2$  atmosphere to form a formoxysilane intermediate (**42**, Scheme 11C) *via* a five-membered transition state **43**. This step represents the rate-limiting step since it possesses the highest energy barrier. The next step proceeds with the hydride transfer from diphenylsilane to the formoxysilane intermediate and the formation of bis(silyl)acetal (**44**, Scheme 11C), whereas the third step involves the formation of the methoxy silane product by reacting with another molecule of silane, while eliminating silyl ether (Scheme 11C).

The efficiency of NHC in the reduction of  $\text{CO}_2$  prompted the use of an abnormal NHC (aNHC), exhibiting superior nucleophilicity over normal NHC.<sup>30</sup> As mentioned in the previous section, the synthesis of the aNHC- $\text{CO}_2$  adduct (**6**) was reported by Bertrand and co-workers in 2009.<sup>30</sup> Later, the introduction of this adduct in synthesis of methanol was carried out by Mandal and co-workers in 2016 *via* the hydroborylative reduction of  $\text{CO}_2$ .<sup>61</sup> The use of an abnormal N-heterocyclic carbene and corresponding  $\text{CO}_2$  adduct was reported for the reduction of  $\text{CO}_2$  to methoxyborane under ambient conditions (1 atm  $\text{CO}_2$ , rt) using various hydride donors such as 9-BBN, HBPIn, CatBH,



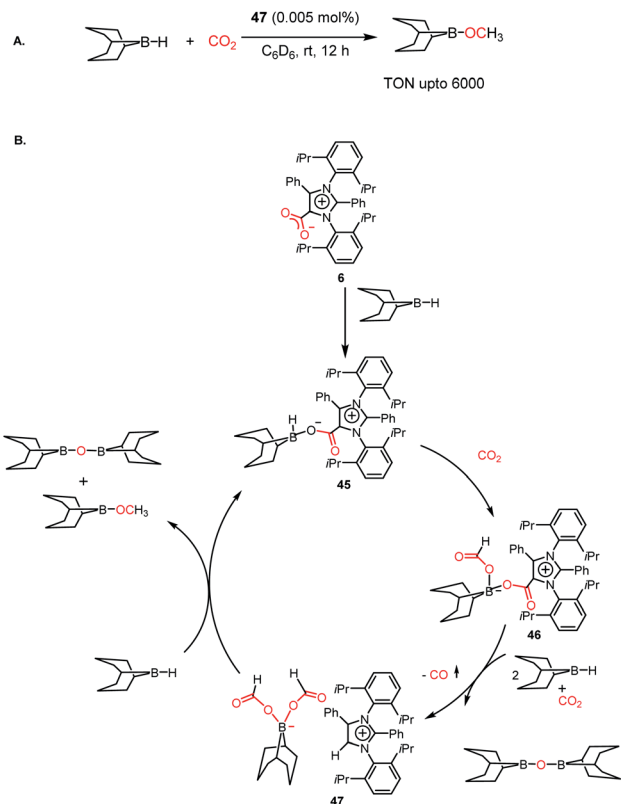
**Scheme 11** (A) Hydrosilylation of  $\text{CO}_2$  using NHC as a metal-free catalyst. (B) Reduction of  $\text{CO}_2$  to methoxide in the presence of NHC carboxylate **41**. (C) Three-step cascade pathway for the reduction of  $\text{CO}_2$  using NHC-carboxylate **41**.



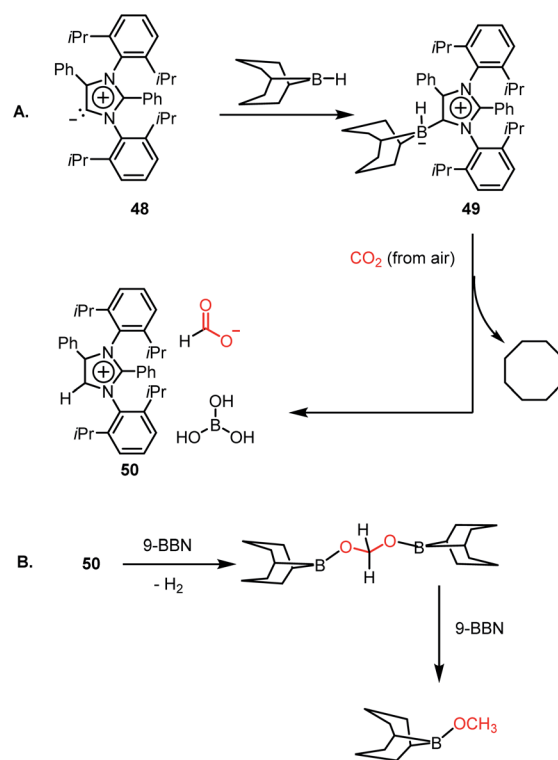
$\text{BH}_3 \cdot \text{SMe}_2$  and  $\text{BH}_3 \cdot \text{THF}$ . The stoichiometric reaction between the aNHC- $\text{CO}_2$  adduct and 9-BBN under a  $\text{CO}_2$  atmosphere resulted in the formation of a colourless solution, which was identified as a boron diformate (**47**), as established by various spectroscopic studies, and finally its solid-state structure was determined by X-ray crystallography. The  $^1\text{H}$  NMR spectrum showed two singlets at  $\delta$  8.51 and 8.33 ppm with a 2 : 1 intensity, which corresponds to formate and C5-H of aNHC, respectively. The reduction of  $\text{CO}_2$  was performed in the presence of 9-BBN using **47** as a catalyst, leading to a TON of 6000, which is among the highest TON reported using a metal-free catalyst under ambient conditions (Scheme 12A). Based on a series of control experiments together with DFT studies, the mechanistic cycle was proposed, in which aNHC- $\text{CO}_2$  (**6**) upon reaction with 9-BBN forms adduct **45**, activating the B-H bond of borane. Subsequently, **45** further undergoes an insertion reaction with  $\text{CO}_2$ , which results in the formation of a four-coordinated boron species **46**. The addition of two molecules of 9-BBN to **46** results in the formation of a zwitterionic borondifformate **47** together with the release of a B-O-B dimer. Subsequently, another molecule of 9-BBN reacts with **47**, which results in the regeneration of **45** together with the formation of a methoxide derivative (Scheme 12B).

However, all these catalytic systems reported thus far demand commercially available, often greater than 99.99% pure  $\text{CO}_2$ , which is available from a cylinder, to carry out the

reduction. Hence, the development of catalytic systems that can capture  $\text{CO}_2$  from the air and perform the reduction is of great interest. In 2019, Mandal and co-workers reported the use of an abnormal N-heterocyclic carbene borane adduct (**49**) obtained from aNHC **48** by reacting it with 9-BBN to capture atmospheric  $\text{CO}_2$ , and its reduction into formic acid and methanol under ambient conditions (Scheme 13A).<sup>62</sup> Upon the exposure of adduct **49** in benzene- $d_6$  to air overnight, it showed a sharp colour change from light yellow to green.  $^1\text{H}$  NMR spectroscopic analysis of the new compound showed two singlets at  $\delta$  8.53 and 8.55 ppm with the equal intensity, which were attributed to the formate anion and C5-H of the aNHC moiety, respectively indicating formation of **50**.  $^{13}\text{C}$  NMR spectroscopic studies also supported the presence of a formate group, which is evident from the appearance of a resonance at  $\delta$  169.2 ppm. This observation clearly indicates the activation of atmospheric  $\text{CO}_2$ . The  $^{11}\text{B}$  NMR spectrum of **50** showed a singlet at  $\delta$  20.8 ppm, supporting the presence of free boric acid, which was observed in the crystal lattice of **50** (Scheme 13A). The formation of boric acid may be attributed to hydrolysis of 9-BBN by the moisture present in air with the elimination of a cyclooctane molecule. X-ray studies illustrated that the exposure of  $\text{CO}_2$  to adduct **49** led to the incorporation of  $\text{CO}_2$  as a formate anion, resulting in the formation of **50** (Scheme 13A). Upon further treatment with NaOH solution, **50** formed sodium formate. On the other hand, in the presence of air, this aNHC-BBN adduct **50** underwent reduction with 10 equivalents of 9-BBN to form boronmethoxide together with the release of  $\text{H}_2$  gas (Scheme 13B). This



**Scheme 12** (A) Catalytic reduction of  $\text{CO}_2$  in the presence of aNHC borondifformate **47**. (B) Plausible mechanistic cycle for the reduction of  $\text{CO}_2$  using aNHC.



**Scheme 13** Capture of  $\text{CO}_2$  from the air under ambient conditions by aNHC-BBN adduct **49** and its reduction to formate (A) and methoxide (B).



work represents the first metal-free system where CO<sub>2</sub> is captured from the air under a low concentration of CO<sub>2</sub> (~400 ppm) and further reduced to formate or methoxide.

### 3.3. CO<sub>2</sub> reduction by various other metal-free catalysts

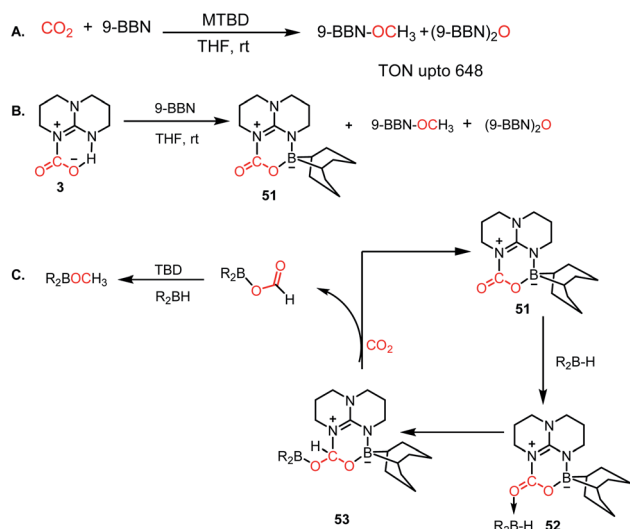
Besides FLP- and NHC-mediated conversion, several other methods have been reported for the metal-free reduction of CO<sub>2</sub>. Among them, nitrogen bases are known for the catalytic reduction of CO<sub>2</sub> into methoxyborane in the presence of a hydroborane. In 2014, Cantat and co-workers introduced TBD, Me-TBD (MTBD) and DBU and other nitrogen bases for the metal-free reduction of CO<sub>2</sub> in the presence of 9-BBN or CatBH at room temperature to achieve a TON of up to 648 (Scheme 14A).<sup>63</sup> NMR spectrometric monitoring of the reaction mixture revealed that over time, CO<sub>2</sub> is reduced to borylformate, which undergoes further reduction to acetal, and subsequently to methoxyborane. For long time, TBD has been known to activate CO<sub>2</sub>, which forms a TBD-CO<sub>2</sub> (3) adduct.<sup>27</sup> Hence, the formation of the TBD-CO<sub>2</sub> adduct is expected to be the first step in the reduction cycle. The stoichiometric reaction between 3 and 9-BBN in THF resulted in the formation of an adduct 51 together with other reduced products (Scheme 14B). X-ray study of 51 showed that the acidic NH proton of 3 is replaced with a dehydrido 9-BBN unit. 51 can be considered an intramolecular N/B FLP system captured with a CO<sub>2</sub> molecule. Based on further control reactions, a plausible scheme was proposed, in which the CO<sub>2</sub> molecule in 51 acts a Lewis base and coordinates with hydroborane to form adduct 52, which in turn facilitates the hydride transfer from borane to carbon, forming 53. In the presence of CO<sub>2</sub>, 53 is regenerated to 51, leaving boron formate, which is further reduced to methoxyborane. In the case of MTBD, it was found that the reaction proceeds with the activation of borane, followed by the incorporation of CO<sub>2</sub>.

Similar to nitrogen bases, a carbanion such as *N*-methyl-4,5-diazafluorenone (54) was used for the catalytic reduction of CO<sub>2</sub> by Song and co-workers.<sup>64</sup> The reaction between 54 and CO<sub>2</sub>

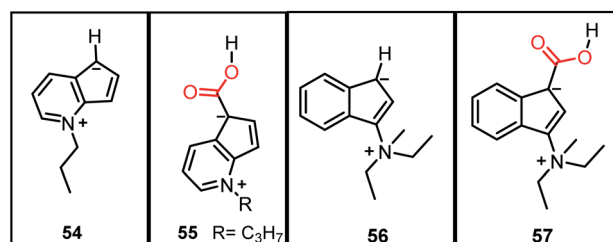
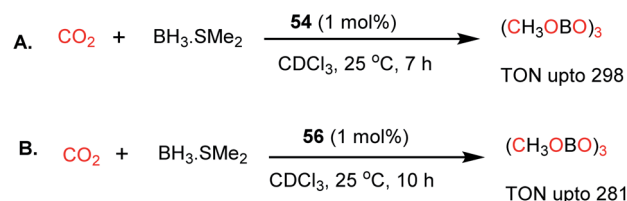
resulted in the formation of a carboxylic acid adduct (55) (Scheme 15). The carboxylic acid proton of 55 showed a resonance at  $\delta$  11.02 ppm in DMSO-d<sub>6</sub>, whereas the <sup>13</sup>C NMR spectrum showed a resonance at  $\delta$  166.4 ppm attributable to the carbon of CO<sub>2</sub>. The reduction of CO<sub>2</sub> with various hydroboranes such as HBPi, 9-BBN, BH<sub>3</sub>·SMe<sub>2</sub>, and HBCat in the presence of 1 mol% 54 resulted in the formation of the corresponding methoxyboranes (Scheme 15A). In this case, the catalytic activity originates from the charged carbanionic form, which is actually in resonance with the uncharged form. The charged species can interact with the CO<sub>2</sub> molecule by forming an adduct. To avoid the contribution from the uncharged form of resonance, the same group introduced zwitterionic complex 56 (Scheme 15B).<sup>65</sup> Upon treatment of 56 with 1 atm CO<sub>2</sub> under ambient conditions, an immediate colour change was observed, and the product was confirmed to be the CO<sub>2</sub> adduct (57) by X-ray crystallography. The catalyst efficiently performed hydroboration of CO<sub>2</sub> using 9-BBN or BH<sub>3</sub>·SMe<sub>2</sub> under ambient conditions, resulting in a TON of 281 (Scheme 15B).

In addition to nucleophiles, electrophilic reagents such as Lewis acids also can act as catalysts for CO<sub>2</sub> reduction. For example, in 2016, Okuda and co-workers reported the use of a weak Lewis acid BPh<sub>3</sub> for the selective hydrosilylation of CO<sub>2</sub> to silyl formate.<sup>66</sup> It should be noted that the selective reduction to silyl formate is a challenging task owing to the affinity of carbonyls to undergo over reduction. In the presence of PhMeSiH<sub>2</sub> and 10 mol% BPh<sub>3</sub> in acetonitrile, CO<sub>2</sub> was selectively hydrosilylated to PhMeSiH(O<sub>2</sub>CH) at 40 °C (Scheme 16). It was proposed that BPh<sub>3</sub> plays a dual role in this catalytic process, where it activates the hydride of silane by hydrogen bonding with the electrophilic boron centre. BPh<sub>3</sub> also can activate CO<sub>2</sub> by interaction between the B of BPh<sub>3</sub> and O of CO<sub>2</sub> (Scheme 16). This report highlighted that weaker non-fluorinated borane was efficient for the catalytic reduction of CO<sub>2</sub> into formate, opening the possibility of using Lewis acid-based catalysts.

In 2018, Wegner and co-workers introduced the concept of using a bidentate bis(borane) for the catalytic reduction of CO<sub>2</sub> into methane and methanol.<sup>67</sup> The presence of two oxygen

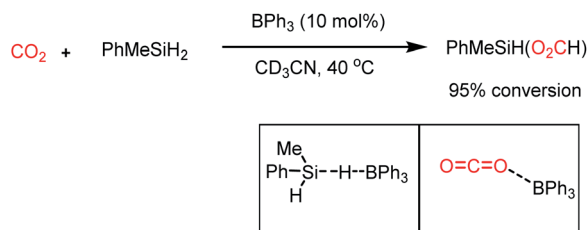


Scheme 14 (A) Catalytic CO<sub>2</sub> reduction by MTBD. (B) Formation of TBD-CO<sub>2</sub>-BBN adduct 51. (C) Plausible mechanistic cycle.



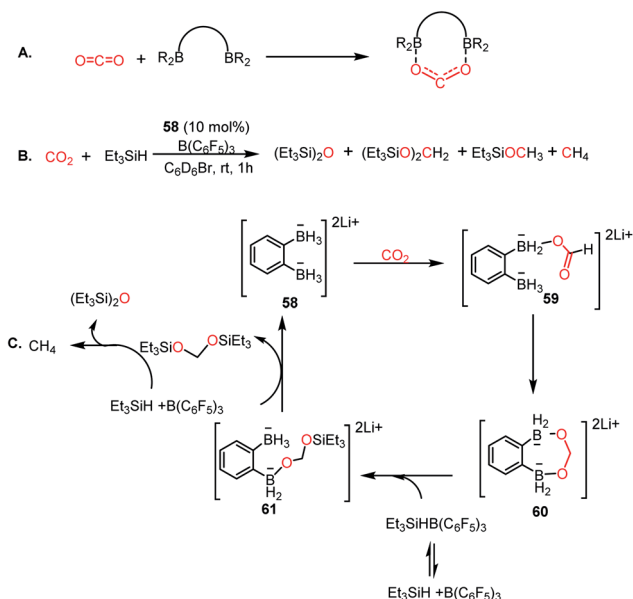
Scheme 15 Catalytic reduction of CO<sub>2</sub> using a carbanions; (A) 54 and (B) 56.



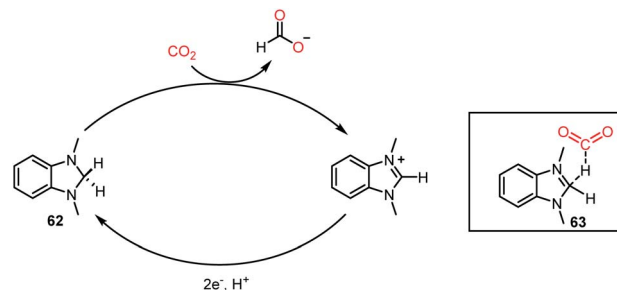


Scheme 16 Selective hydrosilylation of CO<sub>2</sub> into silylformate using a Lewis acid (BPh<sub>3</sub>) as the catalyst.

atoms in CO<sub>2</sub> favours a bidentate mode of binding to the pair of intramolecularly integrated boron centres (Scheme 17A). Lithium *o*-phenylbisborate (**58**) was used as a catalyst in the presence of triethylsilane and B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> to reduce CO<sub>2</sub> into a mixture of CH<sub>4</sub>, (Et<sub>3</sub>SiO)<sub>2</sub>CH<sub>2</sub> and Et<sub>3</sub>SiOMe (Scheme 17B). The presence of CH<sub>4</sub> was confirmed by the singlet at  $\delta$  0.14 ppm *via* <sup>1</sup>H NMR spectroscopy. The necessity of the bisborane catalyst was judged by a control reaction, which was performed using the monoborohydride LiPhBH<sub>3</sub>, leading to a dramatic reduction in the efficiency. The reaction was selective towards methanol when the reducing agent was changed to HBpin instead of silane. Based on various control experiments, a plausible mechanism was proposed (Scheme 17C). Catalyst **58** reacts with CO<sub>2</sub> to form a precipitate, the NMR spectroscopic characterization of which was not possible because of its poor solubility. However, the IR spectroscopic analysis showed a strong absorption at 1592 cm<sup>-1</sup>, which accounts for the presence of the C=O group, suggesting the formation of a CO<sub>2</sub> adduct with the catalyst. The next step involves intramolecular hydride transfer to generate formate **59** (Scheme 17C). This can further be reduced by the second BH<sub>3</sub> group of **59** to form acetal



Scheme 17 (A) Activation of CO<sub>2</sub> using bidentate binding mode. (B) Catalytic reduction of CO<sub>2</sub> using a bis-borohydride ligand in the presence of a silane. (C) Plausible mechanistic cycle for the reduction of CO<sub>2</sub>.



Scheme 18 Activation of CO<sub>2</sub> by an organic hydride donor.

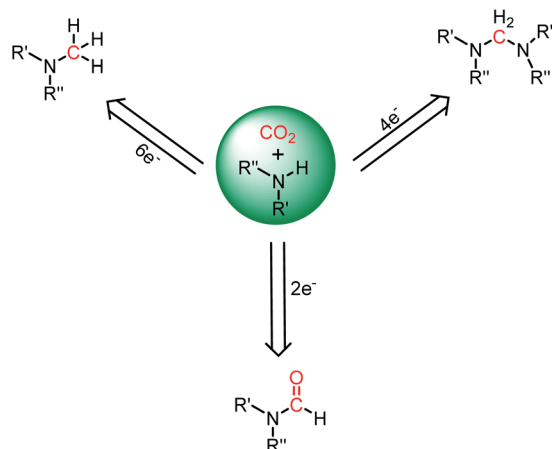
**60** (Scheme 17C). Next, the silane cleaves the acetal to form a disilylacetal (Scheme 17C) *via* intermediate **61**, which in turn can be reduced to methane.

Recently, a different class of catalysts was introduced by Lim, Musgrave and co-workers, where a benzimidazole hydride was used for the activation and reduction of CO<sub>2</sub>.<sup>68</sup> Thus far, a sacrificial reductant together with a catalyst was mandatory to carry out the reduction of CO<sub>2</sub>. In sharp contrast, an organic hydride donor was used to reduce CO<sub>2</sub> to HCOO<sup>-</sup>, and the organic hydride donor could be regenerated *via* an electrochemical method, opening the possibility to act as a reductant and catalyst simultaneously. Organic hydrides can chemically reduce CO<sub>2</sub> to HCOO<sup>-</sup>, but these reactions are limited to a stoichiometric version because of the limitation in regenerating the active catalyst. Interestingly, here, this challenge was addressed *via* an electrochemical method using metal electrodes. The reaction was performed using catalyst **62** in the presence of 20 psig of CO<sub>2</sub>, and KBF<sub>4</sub> in DMSO-d<sub>6</sub> at 50 °C for 18 h, resulting in 66% yield of formate (Scheme 18). It was anticipated from DFT calculations that the interaction between CO<sub>2</sub> and hydride occurs through transition state **63**. The presence of salts provides a suitable polar environment to stabilize the ionic products and shifts the equilibrium towards product formation. This report represented the first approach where an organic hydride donor was used in the reduction of CO<sub>2</sub> to formate and integrated in the catalytic cycle by regenerating the active catalyst with the help of electrochemistry. Besides the catalytic systems described above, the reduction of CO<sub>2</sub> has been performed using various other catalysts such as phosphazene,<sup>69</sup> NaBH<sub>4</sub>,<sup>70</sup> carbenoid compound,<sup>71</sup> and formamidinates.<sup>72</sup>

## 4. Metal-free reductive functionalization of CO<sub>2</sub>

In addition to the reduction of CO<sub>2</sub> into formic acid, methanol and methane, the utilization of CO<sub>2</sub> may find application by undergoing reduction together with functionalization by forming new C–N bonds. As it is known, the major products of CO<sub>2</sub> functionalization without formal reduction include urea, polycarbonates, and salicylic acid. However, since the functionalization of CO<sub>2</sub> does not require any formal reduction in the carbon centre, energy storage cannot be achieved efficiently. Thus, to maintain energy economy, Cantat and co-workers introduced a diagonal approach, in which CO<sub>2</sub> undergoes



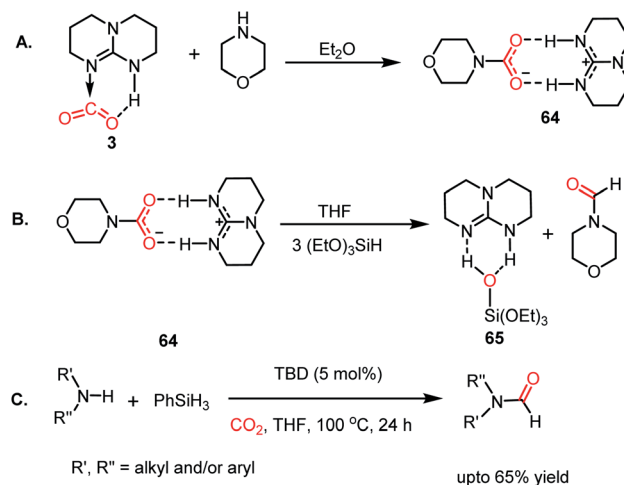
Scheme 19 Reductive functionalization of CO<sub>2</sub> with amines.

simultaneous reduction and functionalization in the presence of a reducing agent and functionalizing reagent to form a new C–N bond.<sup>73</sup> The major type of reductive functionalization involves the reduction of CO<sub>2</sub> in the presence of an amine to synthesize various energy storage materials such as formamides, amins and methylamines, which are the 2-, 4-, and 6-electron reduced products of CO<sub>2</sub>, respectively (Scheme 19). It is worth mentioning that the synthesis of formamides *via* the reduction of CO<sub>2</sub> in the presence of amines was achieved by Vaska and co-workers in 1988.<sup>74</sup> Subsequently, various metal-free catalysts such as nitrogen bases, NHC, FLP, IL and others were introduced for this reductive functionalization of CO<sub>2</sub>, and the following sections describe these developments.

#### 4.1 Formylation of amines using CO<sub>2</sub>

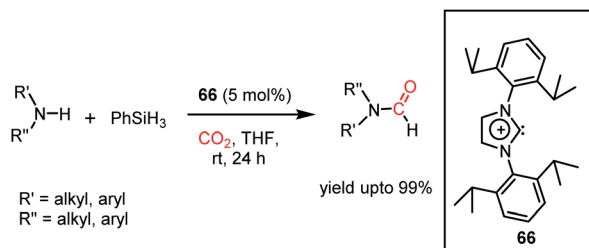
The formylation of amines using CO<sub>2</sub> produces formamides. Formamides represent the 2e-reduction products of CO<sub>2</sub> together with the formation of a new C–N bond, which are considered as one of the primary building blocks in organic synthesis. Traditionally, formamides are often synthesized from carbon monoxide under harsh reaction conditions.<sup>75</sup> The use of CO can be effectively replaced by CO<sub>2</sub> together with an appropriate reducing agent. In this regard, various transition metal catalysts have emerged using H<sub>2</sub> as a reductant. However, the harsh reaction conditions and poor selectivity with the use of H<sub>2</sub> as a reductant prompted the use of hydrosilanes as a milder alternative owing to their similar reduction potential.<sup>76</sup> In addition, the use of efficient metal-free catalysts with mild reaction conditions is preferable over expensive transition metals. The last decade has witnessed the development of efficient metal-free catalysts for the formylation of amines using CO<sub>2</sub>, which are described herein. In 2012, Cantat and co-workers introduced TBD for the first metal-free catalytic reductive formylation of amines using a silane and CO<sub>2</sub>.<sup>73</sup> Their preliminary computational study indicated that to carry out the functionalization of CO<sub>2</sub> using silanes, a functionalizing group (such as morpholine) with greater affinity towards carbon and less affinity towards silicon is required. The reaction between

a secondary amine such as morpholine and TBD–CO<sub>2</sub> (3) in the presence of diethyl ether results in the formation of a hydrogen-bonded carbamate (64, Scheme 20A), in which CO<sub>2</sub> is transferred from TBD to morpholine, as confirmed by X-ray crystallography. Further, the reaction was performed using carbamate 64 and three equivalents of (EtO)<sub>3</sub>SiH in THF, which resulted in the reduction of the carbon centre to form the corresponding formamide together with the formation of 65 (Scheme 20B). This result represents the first report on the reduction of carbamates using silane as a reducing agent. Here, silicon plays a dual role, in which it promotes the reduction of the carbon centre and facilitates the cleavage of the C–O bond of CO<sub>2</sub>. Subsequently, to develop a catalytic strategy for the reductive functionalization of amines, a series of control reactions was performed. Initially, different nitrogen bases such as TBD, MTBD, DBU, DABCO and DMAP were screened, where TBD exhibited the highest catalytic efficiency. It was found that solvent-free conditions showed better activity. In the presence of 5 mol% TBD, CO<sub>2</sub> and PhSiH<sub>3</sub>, morpholine was completely consumed to produce *N*-formylmorpholine at 100 °C in 24 h. Using the optimized reaction conditions, various amines underwent reductive formylation, which include aromatic and aliphatic amines to give up to 65% yield (Scheme 20C). This work represents the first report on the reductive functionalization of amines using CO<sub>2</sub> under metal-free conditions. However, this system is specific to amines and high temperature (100 °C) is needed since TBD is not nucleophilic enough to activate organic silanes. This drawback was addressed by introducing a stronger nucleophilic NHC for the formylation of amines.<sup>77</sup> Among the various NHC catalysts screened (IPr, IMes, s-IPr, s-IMes, Cl<sub>2</sub>-IPr and I<sup>t</sup>Bu), IPr (66) showed the highest reactivity, which was over 2000 times greater than that for the formylation of morpholine using TBD, CO<sub>2</sub>, and PhSiH<sub>3</sub> at rt (Scheme 21). The scope of the formylation of various amines was tested using the optimized reaction conditions, and it was observed that the reaction proceeded smoothly for primary and



Scheme 20 (A) Formation of carbamate from TBD–CO<sub>2</sub> adduct and morpholine. (B) Reduction of carbamate to formamide in the presence of a silane. (C) Optimized catalytic condition for the formylation of amines using TBD as a catalyst.



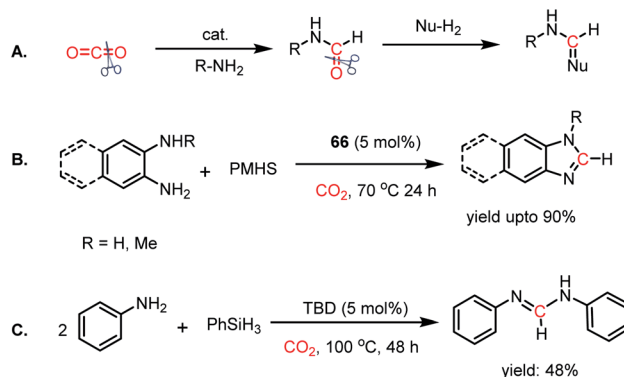


**Scheme 21** Reductive functionalization of CO<sub>2</sub> with amines using NHC **66** as a catalyst under ambient conditions.

secondary aliphatic amines, aromatic amines, imines, hydrazines, and N-heterocycles. The NHC outperformed the yield of the desired product (87%) compared to that by TBD (10%) in the case of *i*Pr<sub>2</sub>NH, which indicates that the steric crowding around the nitrogen centre becomes less significant due to the strong nucleophilicity of the NHC. To develop a cost effective and sustainable system, less expensive and abundant silanes were also screened, and consequently, polymethylhydrosiloxane (PMHS), which is a by-product of the silicon industry, emerged as an excellent choice, giving 90% *N*-formylmorpholine. Since PMHS is nontoxic and moisture stable, it is an ideal replacement for the expensive PhSiH<sub>3</sub>. PMHS displayed good reactivity towards aliphatic and aromatic amines, hydrazines and heterocycles to form *N*-formylated products. This work represented the first metal-free system using PMHS as a reducing agent for the formylation reaction with CO<sub>2</sub>.

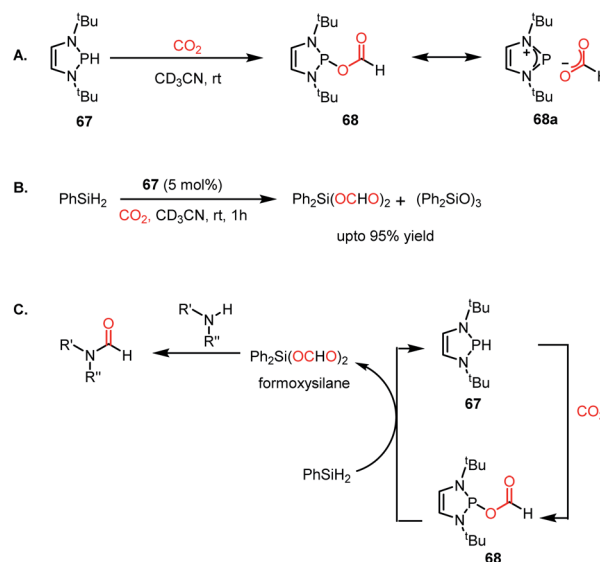
Thus far, the 2e-reductive functionalization of CO<sub>2</sub> to form formylated products has been reported. However, the utilization of CO<sub>2</sub> as a building block of C1 chemicals is possible when the absolute deoxygenation of CO<sub>2</sub> occurs to prepare a wide array of chemicals. In 2013, Cantat and co-workers introduced a cascade reductive functionalization, which led to the complete deoxygenation of CO<sub>2</sub>.<sup>78</sup> This resulted in the synthesis of various heterocycles, which have wide applications in the pharmaceutical industry. In the presence of IPr or TBD as a catalyst, CO<sub>2</sub> underwent reductive functionalization with *o*-diamines, and subsequent intramolecular condensation resulted in the formation of benzimidazole, quinazolinone, formamidines or their derivatives. This one pot reaction may be viewed as “cut and paste” approach, where four C–O bonds of CO<sub>2</sub> are chopped off to build four new bonds (C=N, C–N and C–H, Scheme 22A). However, at room temperature, this reaction was not selective towards heterocycles and a mixture of mono and diformylated products was also formed together with heterocycles.

To address this problem, an elevated temperature (70 °C) was applied to achieve selectivity. In the presence of 5 mol% IPr (**66**) and 1 atm CO<sub>2</sub>, 1,2 diamines were efficiently transformed into benzimidazole derivatives with a yield of up to 90% using PMHS as a reducing agent at 70 °C for 24 h (Scheme 22B). Further, a 4-component reaction was accomplished, where two functionalizing agents were linked by a linker as a result of intermolecular reactions (Scheme 22C). In 2015, Kinjo *et al.* introduced a phosphorus-based catalyst for the reductive functionalization of CO<sub>2</sub>.<sup>79</sup> In their work, 1,3,2-



**Scheme 22** (A) Deoxygenation of CO<sub>2</sub> via cascade reductive functionalization. (B) Reductive functionalization of CO<sub>2</sub> using diamines and IPr (**66**) as a catalyst. (C) Deoxygenation of CO<sub>2</sub> via the four-component approach.

diazaphospholene (**67**) was introduced for the activation of CO<sub>2</sub>. In the presence of CO<sub>2</sub> in acetonitrile-*d*<sub>3</sub> under ambient conditions, **67** underwent a rapid colour change from yellow to deep brown. The peak in the <sup>31</sup>P NMR spectrum showed a downfield shift from  $\delta$  57.8 ppm to 111.5 ppm, whereas the <sup>1</sup>H and <sup>13</sup>C NMR spectra showed new peaks at  $\delta$  8.10 ppm and 164.2 ppm, indicating the presence of a formate (**68**, Scheme 23A), which was further established by X-ray crystallography. The formation of **68** represents the first hydrophosphination of CO<sub>2</sub>. The down-fielded <sup>31</sup>P NMR chemical shift and X-ray structure revealed that the P–O bond distance (1.808(1) Å) is 11% longer than that of the typical P–O single bond (1.63 Å), suggesting a significant contribution from the zwitterionic form (**68a**) in resonance with **68** (Scheme 23A). This ionic property of the bond that induces zwitterionic character perhaps facilitates the formate group transfer to the appropriate receptor. The



**Scheme 23** (A) Activation of CO<sub>2</sub> by 1,3,2-diazaphospholene **67**. (B) Hydrosilylation of CO<sub>2</sub> in the presence of **67**. (C) Plausible mechanistic cycle for the formylation of amines using **67** as a catalyst.



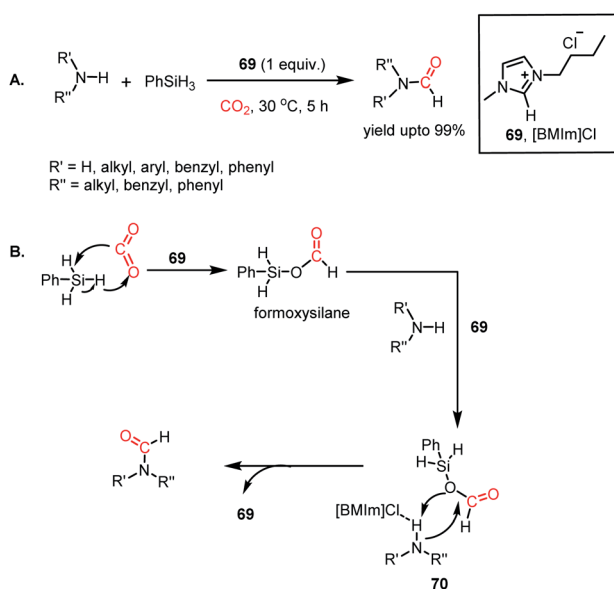
reaction between **67** and a silane in a CO<sub>2</sub> atmosphere led to the formation of a formoxysilane (Scheme 23B). Encouraged by this result, the reductive functionalization of CO<sub>2</sub> in the presence of an amine was attempted. It was found that the combination of 5 mol% of **67** with diphenylsilane in the presence of acetonitrile at rt for 4 h resulted in the successful formylation of a variety of amines, which include both primary and secondary amines, with 61–99% yield. A plausible cycle was proposed, in which the first step involves the incorporation of CO<sub>2</sub> in the P–H bond, which in turn transfers the formate group to silane to form formoxysilane and regenerates **67**. Formoxysilane upon reaction with amines forms formamides and other by-products (Scheme 23C). This work represents the first hydrophosphination of CO<sub>2</sub>.

In 2015, Liu and co-workers introduced ionic liquids for the formylation of amines for the first time.<sup>80</sup> It was found that imidazolium-based ILs could perform the *N*-formylation of various amines with CO<sub>2</sub> under ambient conditions using PhSiH<sub>3</sub> as a reductant. Consequently, 1-butyl-3-methylimidazolium chloride ([BMim]Cl, **69**) emerged as an efficient catalyst for the formylation of various aromatic and aliphatic amines, resulting in up to 99% yield (Scheme 24A). The reaction between **69** and phenylsilane displayed a noticeable change in the NMR spectra, which indicates that the first step involves the activation of the Si–H bond of phenylsilane by **69**. Further, the reaction between phenylsilane and **69** in a CO<sub>2</sub> atmosphere led to a signal in the <sup>13</sup>C NMR spectrum at  $\delta$  163.0 ppm and  $\delta$  8.27 ppm in the <sup>1</sup>H NMR spectrum. These signals were attributed to the formation of a formoxysilane, which is the key intermediate of the reaction. This result suggests that the activation of phenylsilane by **69** favours facile insertion of CO<sub>2</sub>. Earlier, Wang and co-workers reported this kind of silane activation for the NHC-mediated hydrosilylation of CO<sub>2</sub>.<sup>59</sup> On the other hand, the reaction between **69** and *N*-methylaniline experienced a change in signal for the N–H

proton of the amine. This observation may be attributed to the hydrogen bonding between the N–H proton of the amine and the anionic fragment of IL **69**.

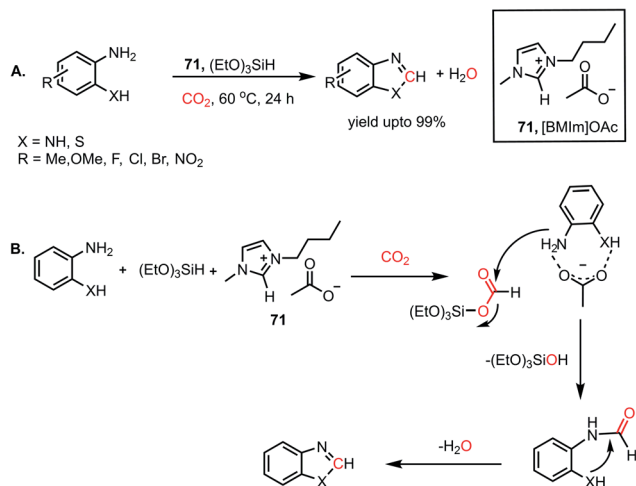
Combining these observations, it can be concluded that **69** plays a dual role for the activation of phenylsilane, resulting in the insertion of CO<sub>2</sub> and activation of amines *via* hydrogen bonding. Based on these experimental evidence, a possible cycle was proposed, where the first step involves activation of the Si–H bond of phenylsilane by **69**, which leads to the insertion of CO<sub>2</sub> to form formoxysilane. Further, the N–H bond of the amine is involved in hydrogen bonding with **69**, and thus the amine nitrogen participates in a nucleophilic attack on the carbon atom of formoxysilane to yield the desired product *via* **70** (Scheme 24B). This report represents the first ionic liquid-mediated reductive functionalization of CO<sub>2</sub>. In 2015, the same group introduced ionic liquids in cascade reductive functionalization using aminophenols for the construction of new C–S bonds to form various benzothiazole derivatives.<sup>81</sup> Sulphur-containing organic compounds exhibit numerous applications in the agriculture, pharmaceutical and chemical industries.<sup>82</sup> Benzothiazoles in particular are useful as enzyme inhibitors, anti-inflammatory agents, antioxidants and fluorescent materials. Hence there is a high demand for developing cost-effective methods for the synthesis of various benzothiazoles. Accordingly, an efficient protocol was developed using an ionic liquid, 1-butyl-3-methylimidazolium acetate ([Bmim][OAc], **71**), for the cyclization of 2-aminophenols in the presence of CO<sub>2</sub> and hydrosilane under ambient conditions. Using (EtO)<sub>3</sub>SiH and 0.5 MPa CO<sub>2</sub>, different substituted 2-aminophenols and diamines were transformed into various benzothiazoles (up to yield 99%) and benzimidazoles (up to 96%) at 60 °C (Scheme 25A). The NMR spectroscopic analysis of the control reactions revealed strong hydrogen bonding interaction between **71**, 2-aminophenol and silane. A plausible mechanistic scheme was proposed, in which the first step involves activation of CO<sub>2</sub> by the ionic liquid to the carboxylate adduct, which further interacts with the activated silane to form formoxysilane. Subsequently, formoxysilane undergoes nucleophilic attack by the hydrogen-bonded amine to form the formylated product, which in turn takes part in intramolecular condensation to obtain the desired product (Scheme 25B). Here, IL **71** acts as a tri-functional catalyst, which activates CO<sub>2</sub>, amine and silane to develop an efficient strategy for the synthesis of various heterocycles.

Next, the ionic liquid-mediated reductive functionalization of CO<sub>2</sub> resulted in the synthesis of *N,N*-disubstituted formamides (NNFAs). NNFA represents a class of formamides with wide applications in the pharmaceutical field, but their synthesis remains a challenging task. In 2017, Liu and co-workers further extended their ionic liquid-mediated reductive functionalization of CO<sub>2</sub> strategy towards the synthesis of NNFAs.<sup>83</sup> In their work, 1-butyl-3-methylimidazolium chloride ([BMim]Cl, **69**)-mediated three-component coupling between CO<sub>2</sub>, primary amines and aldehydes was developed to synthesize NNFA in the presence of phenylsilane as a reducing agent under ambient conditions. **69** was used to perform the coupling between aryl amines and aryl aldehydes followed by reductive formylation in



Scheme 24 (A) Ionic liquid (**69**)-mediated amine formylation with CO<sub>2</sub>. (B) Plausible mechanistic steps for amine formylation using **69**.

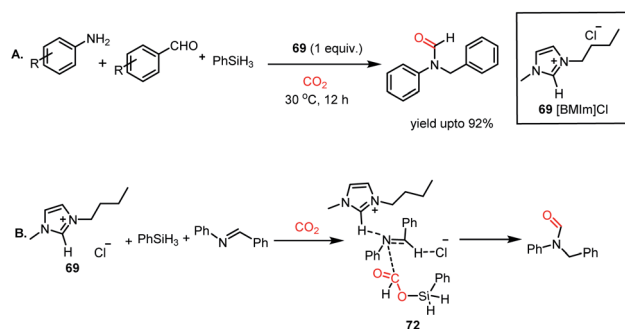




**Scheme 25** (A) Ionic liquid **71**-mediated reductive functionalization of amines with  $CO_2$  for the synthesis of heterocycles. (B) Plausible mechanistic steps for the reductive functionalization of diamines by IL **71**.

the presence of 10 atm  $CO_2$  and  $PhSiH_3$  at  $30\text{ }^\circ\text{C}$  to produce unsymmetrical  $N,N$ -formamides in 92% yield (Scheme 26A). **69** was reused consecutively five times without loss in its activity and the process was easily scaled to gram scale. The scope of this reaction was further extended to aliphatic amines and aldehydes to synthesize various  $N,N$ -formamides with alkyl substitution. In the presence of **69**,  $CO_2$  interacts with phenylsilane to form formoxysilane, which in turn reacts with benzyldeneaniline, an *in situ* generated product by reaction between amine and aldehyde, resulting in the formation of the final product *via* **72** (Scheme 26B).

Thus far, we have seen how both the cation and anion of ILs are required simultaneously for the reductive functionalization of  $CO_2$ . In contrast, tetrabutylammonium salt as a catalyst necessitates only the involvement of its anion, whereas its cation only serves as a counterion. The steric crowding of the tetrabutylammonium cation minimizes the cation-anion interaction and allows the anion to be available in the medium to take part in reaction. In 2016, Dyson and co-workers introduced tetrabutylammonium fluoride as an efficient catalyst for the  $N$ -formylation of amines with  $CO_2$  in the presence of

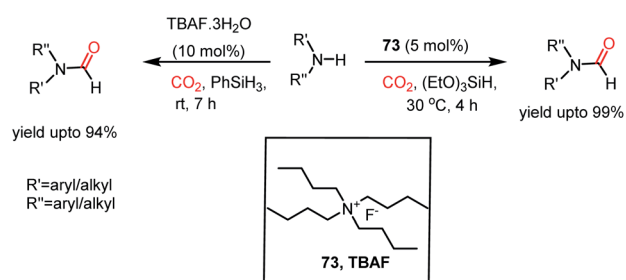


**Scheme 26** (A) Ionic liquid **69**-mediated synthesis of  $N,N$ -formamides. (B) Plausible mechanistic cycle for the synthesis of NNFA.

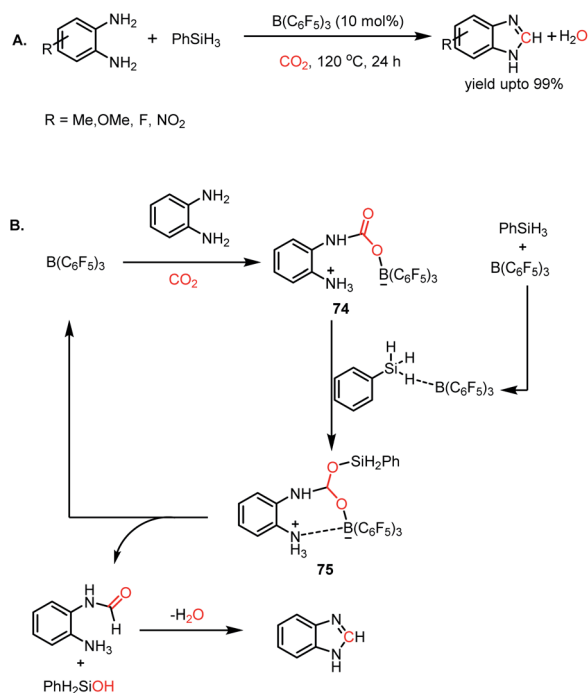
phenylsilane under ambient conditions.<sup>84</sup> It was found that in the presence of 10 mol%  $TBAF \cdot 3H_2O$ , various aromatic and aliphatic amines underwent formylation using phenylsilane and 0.1 MPa  $CO_2$  at room temperature to achieve a yield of up to 94% (Scheme 27). Moreover, this was demonstrated for the synthesis of  $^{11}C$ -labelled drug molecules using  $^{11}CO_2$ . In the same year, He and co-workers also reported the use of  $TBAF$  for the  $N$ -formylation of aromatic and aliphatic amines using triethoxysilane at  $30\text{ }^\circ\text{C}$  to attain a maximum yield of 99% (Scheme 27).<sup>85</sup>

To date, we have seen that the nucleophilicity of catalysts plays a pivotal role in activating  $CO_2$  and its catalytic reductive functionalization. Besides nucleophilic reagents, the report by Sun and co-workers first described the electrophile-mediated catalytic reductive functionalization of  $CO_2$ .<sup>86</sup>  $B(C_6F_5)_3$  was introduced as a catalyst for the synthesis of benzimidazoles from *o*-phenylenediamines. In the first step, the interaction of *o*-phenylenediamine with  $B(C_6F_5)_3$  generates an FLP based on the amine/borane pair. In the presence of 10 mol%  $B(C_6F_5)_3$  and 1 MPa of  $CO_2$ , various aryl diamines underwent formylation followed by condensation to form the corresponding benzimidazoles (Scheme 28A). A plausible mechanism was proposed, in which first  $B(C_6F_5)_3$  interacts with diamine to form an N/B FLP and  $CO_2$  interacts with the *in situ* formed FLP to form a  $CO_2$  adduct (**74**). Secondly,  $B(C_6F_5)_3$  activated  $PhSiH_3$  which in turn coordinated with **74** to form intermediate **75** which lead to the release of formamide and regeneration of  $B(C_6F_5)_3$ . Formamide underwent subsequent condensation to release benzimidazole as the final product (Scheme 28B). In addition, several other methods were also employed for the  $N$ -formylation of amines using  $CO_2$  such as thiazolium carbene,<sup>87</sup>  $N$ -heterocyclic olefins,<sup>88</sup> tetrabutylammonium-carboxylates,<sup>89</sup> acetates,<sup>90</sup> phosphorus ylides,<sup>91</sup>  $\gamma$ -valerolactone,<sup>92</sup> and ionic liquids.<sup>93,94</sup> Moreover, it was found that the catalytic formylation of amines is also possible in the presence of polar solvents such as DMF<sup>95</sup> and DMSO.<sup>96</sup> This can be attributed to the high solubility of  $CO_2$  and the enhanced basicity of amines in polar aprotic solvents.

All the above-mentioned reports required an amine functional group to carry out the reduction. The high basicity of amines makes them suitable candidates for the reductive functionalization of  $CO_2$ . On the contrary, amides represent very weak bases and less reactive in comparison to amines because of the conjugation of their nitrogen lone pair of



**Scheme 27** Fluoride anion-mediated  $N$ -formylation of amines.

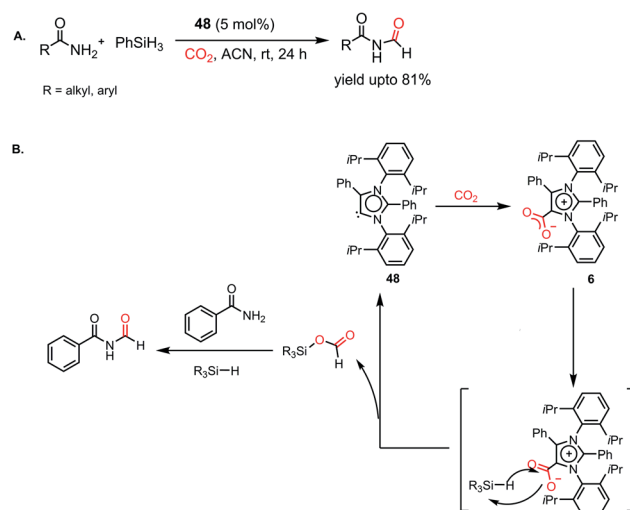


**Scheme 28** (A)  $B(C_6F_5)_3$ -mediated catalytic *N*-formylation of *o*-diamines. (B) Plausible mechanistic cycle for the catalytic *N*-formylation of diamines.

electrons with the C=O group. In 2018, Mandal and co-workers accomplished the *N*-formylation of primary amides by  $CO_2$  using an abnormal N-heterocyclic carbene for the first time.<sup>97</sup> Previously, DFT calculations showed that the HOMO of aNHC is higher in energy compared to isomeric NHC, which makes it more nucleophilic,<sup>26</sup> and hence can be involved in hydrogen bonding with the amide proton, facilitating its interaction with silane. It was found that 5 mol% aNHC (**48**) resulted in the reductive functionalization of primary amides with 1 atm  $CO_2$  using phenylsilane. Various aromatic and aliphatic amides underwent facile *N*-formylation with a yield of up to 81% (Scheme 29A). Moreover, this protocol was successful in synthesizing the precursors for two natural products, alatamide and lansiumamide, which exhibit strong larvicidal activity. The reaction initiates with the formation of the aNHC- $CO_2$  adduct (**6**). The stoichiometric reaction between **6** and triphenylsilane in acetonitrile in the absence of  $CO_2$  displayed a singlet at  $\delta$  8.71 ppm in  $^1H$  NMR spectroscopy and a signal at  $\delta$  168.1 ppm in  $^{13}C$  NMR spectroscopy, which confirmed the formation of a formoxysilane intermediate. Upon interaction with amide, formoxysilane transfers the formyl group to the amide molecule in the presence of another unit of silane to yield the desired product (Scheme 29B).

## 4.2 Aminals from $CO_2$

Aminals represent the 4e-reduced species of  $CO_2$ , in which carbon is reduced to C(0) from C(+4) *via* the C(+2) species. Arresting the C(0) species is a challenging task since it tends to undergo over reduction into C(−2) species. Therefore, only



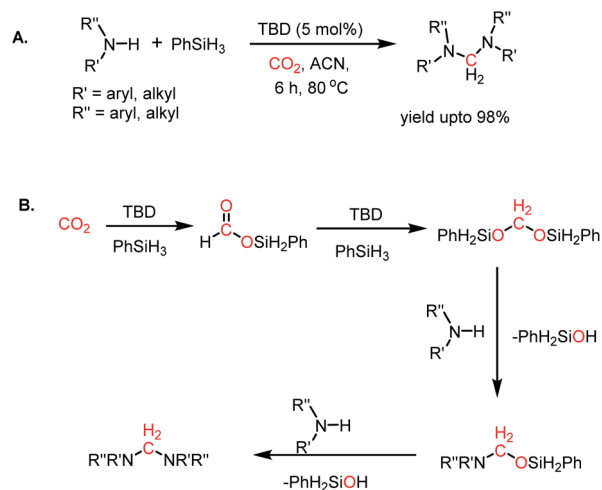
**Scheme 29** (A) aNHC-mediated *N*-formylation of primary amides. (B) Plausible mechanistic cycle for the formylation of amides.

a few reports are currently available that address the metal-free reductive functionalization of  $CO_2$  to aminals.<sup>98,99</sup> In 2015, Cantat and co-workers reported the first metal-free catalyst for the 4e-reductive functionalization of  $CO_2$ .<sup>98</sup> In the presence of 10 mol% TBD and  $CO_2$ , secondary aromatic amines underwent reduction by  $PhSiH_3$  at 80 °C in acetonitrile, resulting in aminals with 98% yield (Scheme 30A).

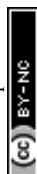
Moreover, this strategy was extended to obtain unsymmetrical aminals using two different amines. The reaction proceeds *via* the hydrosilylation of  $CO_2$  in the presence of TBD to form silyl acetals. The silyl acetal further undergoes sequential nucleophilic attack by amines to form the desired product (Scheme 30B).

## 4.3 Catalytic *N*-methylation by $CO_2$

The use of  $CO_2$  as a reagent for methylation represents a 6e-reduction process.  $CO_2$  can undergo reductive

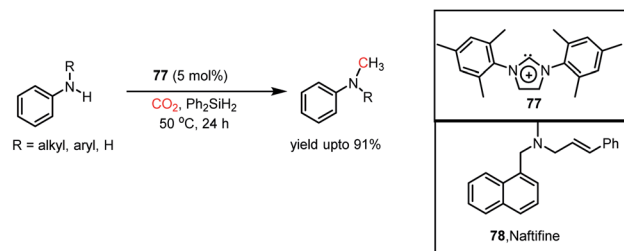


**Scheme 30** (A) Catalytic reductive functionalization of  $CO_2$  with amines to form aminals using TBD. (B) Mechanistic steps for aminal formation.



functionalization with amines to formylamines, which upon further reduction, leads to methylamines. Earlier, the *N*-methylation of amines by CO<sub>2</sub> used various transition metal catalysts such as Ru, Cu, and Zn.<sup>100–102</sup> In addition to the use of expensive metals, these systems required harsh reaction conditions, such as high pressure and temperature. In 2014, Cantat and co-workers introduced the first metal-free catalytic methylation of amines using CO<sub>2</sub>, in which a phosphine was used as the catalyst (Scheme 31A).<sup>103</sup> The affinity of phosphine toward borane to form a phosphine–borane adduct facilitates the hydride transfer process, and hence hydroboranes were chosen as the reductants for this transformation. Proazaphosphatranes (**76**) is known to have superior basicity over classical phosphines owing to the pπ–dπ back bonding from the lone pairs of electrons from nitrogen centres. **76** catalysed the *N*-methylation of aromatic and aliphatic secondary amines in the presence of CO<sub>2</sub> and 9-BBN at 90 °C with yield up to 99%. Furthermore, in the presence of excess borane, primary amines also underwent *N*-methylation. This study was further extended to the one-pot reduction and reductive functionalization, in which the nitro group undergoes reduction to form an amine *in situ*, which is further reduced to methylamine in the presence of CO<sub>2</sub>. It was found that amines undergo reduction by 9-BBN to afford *N*-borylated amine *via* the elimination of H<sub>2</sub> (Scheme 31B). Simultaneously, the formoxyborane formed by the reduction of CO<sub>2</sub> in the presence of 9-BBN and the catalyst interacts with *N*-borylated amine to form *N*-formyl-amine, forming a new C–N bond. *N*-Formyl-amine undergoes further reduction to yield the methylamine. The elevated temperature favours the reaction to undergo reductive functionalization over reduction.

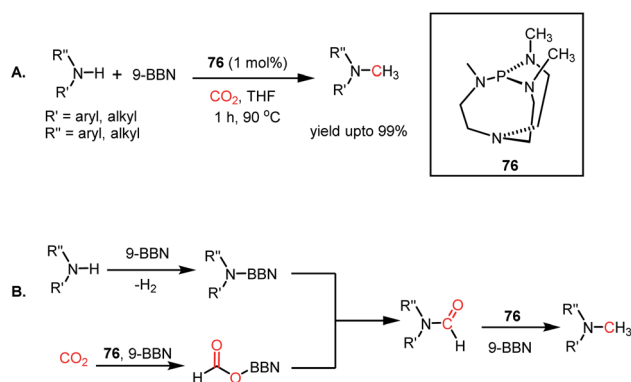
In the same year, Dyson and co-workers introduced *N*-heterocyclic carbenes as metal-free catalysts for the *N*-methylation of amines using CO<sub>2</sub>.<sup>104</sup> It was found that IMes (**77**) could perform the *N*-methylation of various aromatic, heteroaromatic, and aliphatic amines with CO<sub>2</sub> in the presence of Ph<sub>2</sub>SiH<sub>2</sub> as a reducing agent at 50 °C with a yield of up to 91% (Scheme 32). This catalytic protocol was efficient in preparing an antifungal agent naftifine **78** with an overall yield of 58% (Scheme 32). Moreover, this method exhibited good functional group



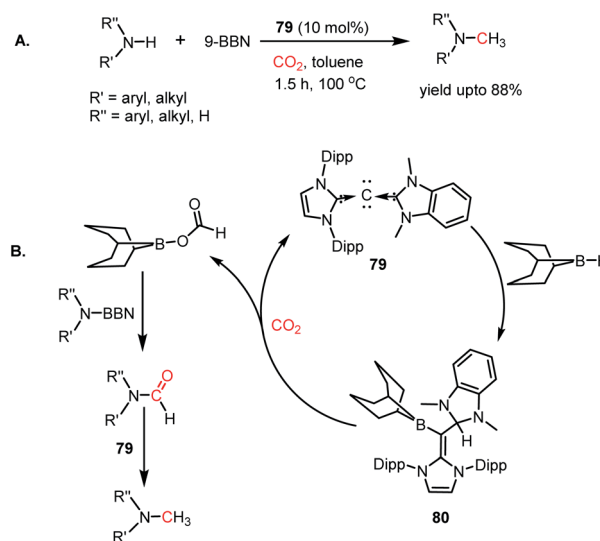
Scheme 32 Catalytic methylation of amines by CO<sub>2</sub> using **77**.

tolerance, in which NO<sub>2</sub>, CN, ester, double and triple bonds were well-tolerated.

Instead of bivalent carbene possessing a pair of electrons, it was thought carbodicarbene (CDC), having an additional pair of electrons on the C(0) centre, may have enhanced nucleophilicity for the activation of CO<sub>2</sub>. In 2008, the first CDC molecules were synthesized,<sup>105,106</sup> and subsequently, these CDC molecules were successfully introduced with suitable metal ions in catalysis for various organic transformations.<sup>107</sup> In 2015, Ong and co-workers developed a series of unsymmetrical carbodicarbenes.<sup>108</sup> These CDCs were utilized as metal-free catalysts in the *N*-methylation of amines using CO<sub>2</sub> in the presence of 9-BBN (Scheme 33A). In the presence of 10 mol% CDC **79** and 9-BBN, primary and secondary amines underwent *N*-methylation using CO<sub>2</sub> in toluene at 100 °C to give a yield of up to 90%. The stoichiometric reaction between **79** and 9-BBN resulted in the formation of the CDC–BBN adduct **80**, which was characterised *via* X-ray crystallography. It was found that the hydrogen of 9-BBN migrated to the carbodicarbene, which was also confirmed by the signal at δ 5.26 ppm in the <sup>1</sup>H NMR spectrum. The catalytic reaction performed using **80** also yielded the desired product in 90% yield, which indicates that **80** is a catalytic intermediate. In the presence of CO<sub>2</sub>, the 9-BBN unit present in



Scheme 31 (A) Methylation of amines by proazaphosphatranes **76** in the presence of CO<sub>2</sub>. (B) Plausible mechanistic steps for the catalytic methylation of amines by **76** using CO<sub>2</sub>.



Scheme 33 (A) Methylation of amines using CO<sub>2</sub> in the presence of carbodicarbene **79**. (B) Plausible mechanistic cycle for the methylation of amines.

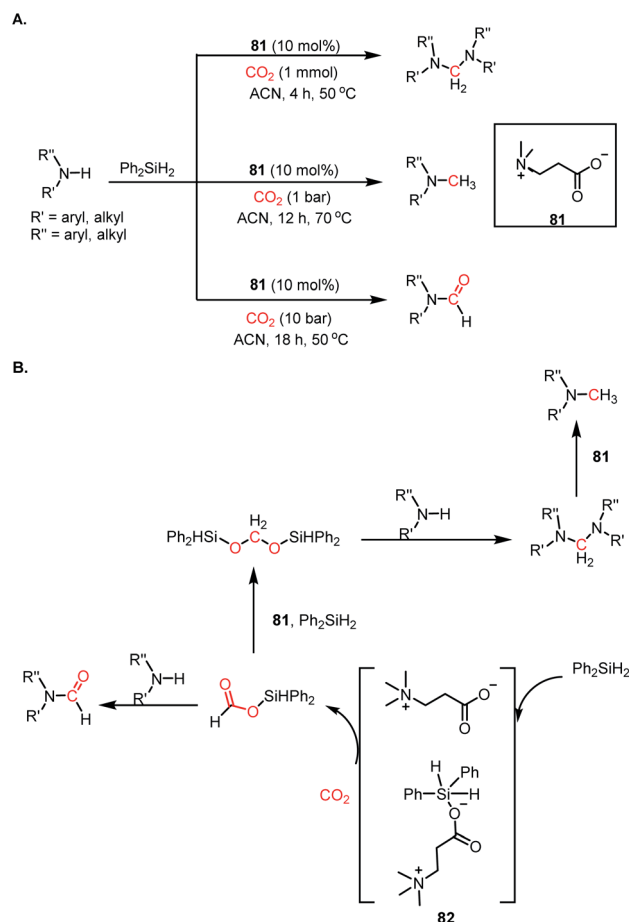


## Perspective

**80** migrates to CO<sub>2</sub> to form boronformate, which reacts with *N*-borylated amine formed *in situ* to produce *N*-formylated amines. In the presence of **79**, the *N*-formylated amine undergoes further reduction to yield *N*-methylated amines (Scheme 33B).

In addition to nucleophiles, electrophiles were also used for the methylation of amines. In 2015, Liu and co-workers introduced B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> for the reductive functionalization of CO<sub>2</sub> to form methylamines.<sup>109</sup> 5 mol% B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> in the presence of phenylsilane resulted in the *N*-methylation of primary and secondary amines in 93% yield using a CO<sub>2</sub> pressure of 0.5 MPa (Scheme 34A). The reaction proceeds *via* a synergistic pathway, in which B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> plays a triple role in activating all the reagents. B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> activates phenylsilane *via* a non-covalent interaction between the hydride and B centre (Scheme 34B). On the other hand, the presence of polar C–F bonds in B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> makes it suitable for electrostatic interaction with the carbon centre of CO<sub>2</sub>, leading to its activation. Simultaneously, amine can interact with B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> owing to the strong electrostatic interaction between nitrogen and boron, which was evident from NMR spectroscopy. These synergistic activations led to the formylation of amine, which in turn underwent reduction to generate methylamines. In addition to these reports, the catalytic methylation of amines was also carried out using DBU.<sup>110</sup> Lei and co-workers reported that an aprotic polar solvent such as DMF can also promote the *N*-methylation reaction.<sup>111</sup>

The previous section described how different metal-free catalysts were used to enable the reductive functionalized products. However, none of the them could perform the hierarchical reduction of CO<sub>2</sub>, in which a single catalyst can control the kinetics to obtain a range of reduced products sequentially. In this regard, in 2017, He and co-workers introduced glycine betaine (GB) **81** as a metal-free catalyst, which represents a zwitterionic salt consisting of tetraammonium cation and carboxylate anion.<sup>112</sup> By regulating the CO<sub>2</sub> pressure, **81** successfully produced formamides or amins or methylamine. It was found that in the presence of 10 bar of CO<sub>2</sub> at 50 °C, amines underwent *N*-formylation, whereas 1 bar CO<sub>2</sub> at 70 °C gave methylamines. Amins were formed when CO<sub>2</sub> was restricted to one equiv. with respect to amine in a closed system at 50 °C (Scheme 35A). It was proposed that the first step involves the nucleophilic attack by the oxygen centre of the catalyst to the silicon centre of hydrosilane to form a hyper-valent silicon species (**82**) (Scheme 35B). Next, the active hydride inserts a molecule of CO<sub>2</sub> to form a silylformate, regenerating

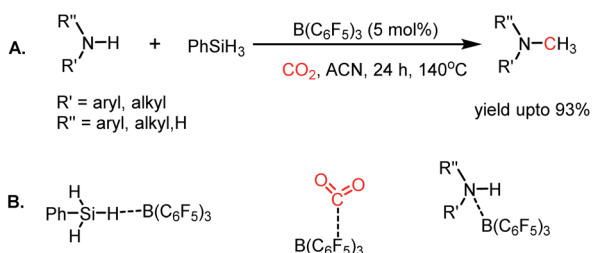


**Scheme 35** (A) Hierarchical reductive functionalization of CO<sub>2</sub> with amines using **81**. (B) Plausible mechanistic cycle of the hierarchical reductive functionalization of CO<sub>2</sub>.

the catalyst. At a high pressure of CO<sub>2</sub> (10 bar), amines interact with silylformates to form the formylated product. The excess pressure of CO<sub>2</sub> enhances the rate of formation of silylformate, consuming all the silanes, and hence no further reduction is possible. In the presence of excess silane, silylformate undergoes further reduction to form silylacetal, which in the presence of amine is transformed to amins *via* condensation. Amins may be transformed into methylamines in the presence of additional silane and catalyst (Scheme 35B). Subsequently, Nguyen *et al.* also reported the hierarchical reductive functionalization of CO<sub>2</sub> with amines using *N,N,N',N'*-tetramethylguanidine (TMG) as the catalyst with less control over the selectivity.<sup>113</sup>

## 5. Future outlook and conclusions

During the last decade, we have witnessed the emergence of metal-free catalysts as efficient alternatives to transition metal-based catalysts for CO<sub>2</sub> reduction. The stoichiometric activation of CO<sub>2</sub> to catalytic reduction has come a long way, and thus, herein, we attempted to showcase this journey. Specifically, there are a few methods available for the catalytic reduction of



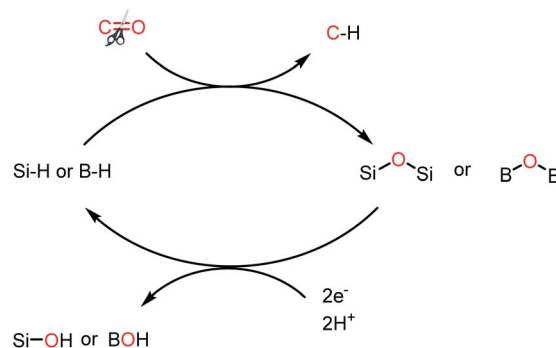
**Scheme 34** (A) Methylation of amines by CO<sub>2</sub> in the presence of B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>. (B) Triple role of B(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub> for the activation of all the reagents.



CO<sub>2</sub> into various chemicals and fuels on the laboratory scale under completely metal-free conditions. Moreover, these reductive transformations take place under very mild conditions. This is attributed to the combination of metal-free catalysts with silane or borane having optimal reduction potential to reduce the C–O bond. However, to translate these successes from the bench to market, one must pay serious attention to a number of factors. The marketability of these reduction processes will ultimately depend on their competitiveness between the market values of these products set by the petrochemical industry, which can be only addressed by making the process sustainable. Obviously, the catalytic reduction of thermodynamically inert C1 feedstock such as CO<sub>2</sub> for the production of fuels and chemicals is a significant challenge in catalysis for several reasons.

Firstly, the choice of the hydride donor or the reductant is very important, which is largely driven by its commercial availability, cost, and reactivity together with its selectivity and ease of handling. In this regard, Cantat in his excellent review discussed various hydride donors and their advantages/disadvantages in reduction chemistry.<sup>114</sup> Accordingly, dihydrogen, which is cheap and obtained through fossil technology, has been extensively utilized on the industrial scale, but its use for CO<sub>2</sub> reduction generally requires harsh reaction conditions and transition metal-based catalysts, making this process economically less attractive. Thermodynamically, the reduction of CO<sub>2</sub> producing C–H bonds requires only low energy since the redox potential of CO<sub>2</sub> couples falls within the range of 0.17 to –0.47 V *vs.* NHE (25 °C; pH = 0).<sup>114</sup> Thus, the reduction of CO<sub>2</sub> does not require a strong reductant such as the commercially available LiAlH<sub>4</sub> having quite a negative redox potential of  $E^0$  (Al<sup>3+</sup>, H<sub>2</sub>/AlH<sub>4</sub><sup>–</sup>) = –1.78 V (*vs.* NHE).<sup>115</sup> This negative redox potential leads to a large overpotential, and thus a high energy cost. Consequently, the use of common reducing agents such as LiAlH<sub>4</sub> and NaBH<sub>4</sub> in the large-scale reduction of CO<sub>2</sub> is not very attractive. In addition, their use is not atom-economic, which leads to the formation of a stoichiometric amount of waste.

On the other hand, hydrosilane or hydroborane as a reductant is very attractive from both thermodynamic and kinetic viewpoints. Their redox potential falls just in the optimum range (–0.6 V to 0 V ( $E^0$ (B(OMe)<sub>3</sub>/HB(OMe)<sub>2</sub>) = –0.54 V and  $E^0$ ((Me<sub>3</sub>Si)<sub>2</sub>O)/Me<sub>3</sub>SiH) = –0.1 V *vs.* NHE),<sup>114</sup> and matches very well with the required energy input for C–O bond reduction. Moreover, they are commercially available. Especially, polymethylhydrosiloxane (PMHS), which is a byproduct of the silicon industry, and tetramethyldisiloxane (TMDS) are cheap and non-toxic with great ease in handling since they are stable in moisture and air. The optimal reduction potential of these reductants brings significant advantages in achieving enhanced chemoselectivity. However, the major pitfall of the hydrosilylation/hydroboration method arises from the formation of strong Si–O and B–O bonds, driving the reactions towards the forward direction. The formation of oxidized products such as siloxanes or boroxanes is the major drawback, making the process non-atom economic. The currently available recycling process of these siloxanes or boroxanes to hydrosilanes or hydroboranes is chemically highly energy



**Scheme 36** Use of silane and borane for the reduction of CO<sub>2</sub> will be sustainable if they can be recycled in an energy efficient way.

intensive. Consequently, hydrosilanes and hydroboranes are considered as disposable hydrides. In this regard, an electrochemical approach over the chemical route may be attractive, leading to the electro-organo-recycling of these hydrides. The utilization of silanes or boranes in CO<sub>2</sub> reduction chemistry will remain in its infancy unless cost-efficient methods are developed to regenerate them (Scheme 36).

Additionally, for translating the metal-free catalytic CO<sub>2</sub> reduction into an industrial process, one must heterogenize the process by immobilizing the catalyst on a solid support. The heterogenization of the metal-free catalyst will make the process sustainable in terms of recyclability. In this regard, a few heterogeneous catalysts have been developed thus far. In 2016, Zhang and co-workers reported a solid poly-N-heterocyclic carbene for the reductive functionalization of CO<sub>2</sub> in the presence of amine.<sup>116</sup> Later, two more reports emerged using porous organic polymers<sup>117</sup> and nitrogen-doped graphene nanosheets.<sup>118</sup> These initial reports on heterogeneous processes using metal-free catalysts are certainly encouraging towards translating this laboratory process to a scaled-up process.

Finally, as a note of caution, one must pay attention to the environmental sustainability by considering the life cycle assessment (LCA)<sup>119,120</sup> of the CCR process. The practical potential of this process relies on its overall energy efficiency, including both the capture of CO<sub>2</sub> and its utilization, which require energy. The supply of energy may lead to indirect CO<sub>2</sub> emission. Thus, the anticipated environmental advantages of CCR may not be guaranteed always, for example, a tediously accomplished CCR process may finally be environmentally less sustainable than a classical fossil-based route. Thus, whether or not a specific CCR process is favorable from the viewpoint of environmental sustainability, one must consider its life cycle assessment (LCA), which takes into account the entire life cycle of products and processes, from the extraction of raw materials to the final disposal of waste, with respect to environmental impacts such as fossil resource depletion, global warming, and toxicities.

Moreover, as mentioned in the beginning, only a limited extent of total CO<sub>2</sub> emissions are currently utilized in chemical conversion to value-added products, although this can be potentially expanded. To achieve this goal, one must improve



the scope of chemicals available from the reduction of CO<sub>2</sub>, which presently remains very narrow in comparison to that currently available from fossil technology. For example, the basic functional groups encountered frequently in organic chemistry, such as ketones, amides and esters, featuring a carbon atom that is reduced, are unavailable from carbon dioxide currently. Thus, this chemical reduction is certainly welcome in the future to broaden the horizon of CO<sub>2</sub> reduction chemistry. To translate these initial successes into practical applications, multidisciplinary approaches combining metal-free catalysts immobilized on a suitable support together with the recycling of hydrosilanes or hydroboranes through electrochemical methods can offer an appealing strategy in the catalytic reduction chemistry of CO<sub>2</sub>.

## Conflicts of interest

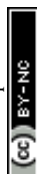
The authors declare no competing financial interest.

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