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# SERS nanostructures with engineered active peptides against an immune checkpoint protein†

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The immune checkpoint programmed death ligand 1 (PD-L1) protein is expressed by tumor cells and it suppresses the killer activity of CD8<sup>+</sup> T-lymphocyte cells binding to the programmed death 1 (PD-1) protein of these immune cells. Binding to either PD-L1 or PD1 is used for avoiding the inactivation of CD8<sup>+</sup> T-lymphocyte cells. We report, for the first time, Au plasmonic nanostructures with surface-enhanced Raman scattering (SERS) properties (SERS nanostructures) and functionalized with an engineered peptide (CLP002: Trp-His-Arg-Ser-Tyr-Tyr-Thr-Trp-Asn-Leu-Asn-Thr), which targets PD-L1. Molecular dynamics calculations are used to describe the interaction of the targeting peptide with PD-L1 in the region where the interaction with PD-1 occurs, showing also the poor targeting activity of a peptide with the same amino acids, but a scrambled sequence. The results are confirmed experimentally since a very good targeting activity is observed against the MDA-MB-231 breast adenocarcinoma cancer cell line, which overexpresses PD-L1. A good activity is observed, in particular, for SERS nanostructures where the CLP002-engineered peptide is linked to the nanostructure surface with a short charged amino acid sequence and a long PEG chain. The results show that the functionalized SERS nanostructures show very good targeting of the immune checkpoint PD-L1.

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## 1. Introduction

Immunotherapy approaches include advanced strategies for activating immune responses against tumors. Immune actions are complex cascades of processes, which start with the recognition of tumor-associated antigens (TAAs) overexpressed on tumor cells.<sup>1</sup> TAAs are present in the tumor environment and are taken by dendritic cells (DCs) for their recognition by CD4<sup>+</sup> helper T-lymphocyte cells ( $T_h$ ). Activated  $T_h$  release cytokines, such as tumor necrosis factor- $\alpha$ , interleukin-12 and interferon- $\gamma$ , induce the suppression of tumor growth and increase the presentation of TAAs on the tumor cell surface. This process favors the activation of CD8<sup>+</sup> T-lymphocyte cells for the recognition of TAAs on the tumor cells, which follows the trigger-

ing of the programmed death of tumor cells.  $T_h$  also interact with B cells and induce the differentiation of memory B-lymphocyte cells that produce specific antibodies for TAAs for long-term surveillance.

However, different mechanisms can also be activated by the tumor for suppressing the immune response. Among them, some derive from surface proteins called immune checkpoints that can inactivate CD8<sup>+</sup> T-cells. The programmed death ligand 1 (PD-L1, CD274 or B7-H1) is a checkpoint expressed by tumor cells because it can link to the programmed death 1 (PD-1, CD279) expressed by the CD8<sup>+</sup> T-cells, suppressing their activity.<sup>2,3</sup> This is one of the important processes which inactivate the immune response against a tumor. Therefore, a strategy for preserving the immune response activity of CD8<sup>+</sup> T-cells is to avoid the interaction between PD-1 and PD-L1. This is usually obtained with monoclonal antibodies (mAbs), which bind to PD-1 or PD-L1 and therefore avoid their interaction. However, the administration of efficacious concentrations of these mAbs is usually associated with adverse related events, such as diarrhea, colitis or more serious effects like diabetes, which cause discontinuation of the treatment.<sup>4</sup>

Using nanostructures is a valid approach to solve these problems because they are platforms that can be engineered to give theragnostic responses, namely diagnostic and therapeutic responses, exploiting only one type of nanostructure.<sup>5–7</sup>

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The results show that the SERS nanostructures functionalized with engineered peptides are an important tool for targeting specific antigens on the cell surface and are therefore very useful as a platform for immunotherapeutic approaches.

Table 1) similar to L3 but with a scrambled sequence of the targeting peptide (sCLP002 in Table 1) was also prepared.

All ligands were synthesized by the solid phase peptide synthesis method. Their characterization by analytical high performance liquid chromatography and mass spectrometry (HPLC-MS) is reported in the ESI.†

## 2.2. Construction of the SERS nanostructures and their functionalization

The SERS nanostructures used in the present work are plasmonic nanostructures of aggregated gold nanoparticles synthesized by laser ablation in solution.

Nanoparticles were obtained by the ablation of bulk gold under a micromolar water solution of NaCl using 8 ns laser pulses at 1064 nm, the fundamental frequency of a Nd:YAG laser.<sup>11</sup> As previously shown, ablation using a focused beam with a fluence of  $2 \text{ J cm}^{-2}$  allows easy synthesis in a solution (LASiS) of spherical nanoparticles with an average dimension of 20 nm and a negative surface charge due to the partial oxidation of surface gold atoms.<sup>19</sup> The colloidal solution is very stable without the presence of stabilizing molecules due to the Coulomb interaction. The functionalization of these nanoparticles is very easy because without the presence of a stabilizing coating, it is a direct process that does not need the exchange of stabilizing molecules with those of interest.

The SERS effect produces a huge enhancement of the vibrational Raman signal of molecules in proximity to the surface of metallic nanoparticles due to the excitation of their localized plasmon resonance.<sup>20</sup> One can calculate the enhancement, which is very large, in particular, near the contact space between the aggregated nanoparticles (hot spots) where enhancement factors also of one billion are found.<sup>8</sup> Aggregation of the nanoparticles can be obtained with a Raman-active thiolated molecule, which remains trapped within the hot spots and can be used as a SERS reporter. This is called electromagnetic enhancement, the main effect for SERS with respect to the chemical enhancement.<sup>20</sup> Further enhancement derives from the resonance with the electronic transitions of the SERS reporter. That is why a tiny quantity of a thiol derivative of Texas Red (TR-SH) was used as a SERS reporter.

The functionalization of the nanostructures is easy because the surface of the nanoparticles is clean. In the first step, we functionalized the nanoparticles obtained by LASiS with a very small amount of TR-SH (see the Experimental section) to induce aggregation and produce the SERS nanostructures. In the second step, the nanostructures were functionalized with the engineered peptides exploiting their thiol function for binding to the gold surface.

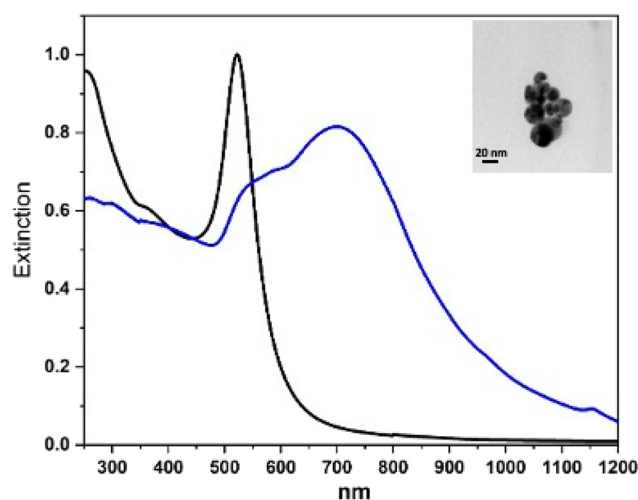
We evaluated the average number of peptides per nanoparticle from the difference of the UV absorption spectra at 280 nm of the incubation solution of the ligands and that of the supernatant solution recovered after the functionalization and centrifugation of the nanostructures. We estimated that, on average, several thousand engineered peptides functionalized the nanoparticles: 6500 peptides/nanoparticles for

L1@SERS-NS, 5700 for L2@SERS-NS, 12 500 for L3@SERS-NS and 2600 for L4@SERS-NS, where Ln@SERS-NS indicates the SERS nanostructure functionalized with the Ln peptide (see Table 1).

Fig. 1 shows, as an example, the UV-vis-NIR spectra of the Au nanoparticle starting solution and that of the final solution for L3@SERS-NS. This spectrum is representative of all the other Ln@SERS-NS samples because all these spectra are dominated by the extinction spectra of the similar aggregation of Au nanoparticles.

One notes that the sharp extinction peak at 522 nm of the nanoparticles of the ablated solution transforms into a broader structure for the aggregated nanoparticles centered at about 700 nm with a shoulder at about 530 nm and a fast decreasing extinction toward 1200 nm. The sharp peak of the nanoparticles of the ablated solution can be fitted with the calculated spectrum of isolated nanoparticles with an average diameter of 20 nm and this makes it possible to evaluate their concentration, whereas the broader one can be fitted with that of an ensemble of aggregated nanostructures with up to 10 nanoparticles.<sup>8</sup> For the SERS nanostructures, one can also predict<sup>8</sup> a huge enhancement of the SERS signals up to  $10^9$ – $10^{10}$ . The nanoparticles usually aggregate into more or less rounded nanostructures with the largest dimension of the order of 100 nm.<sup>12,13</sup>

The SERS spectrum of L3@SERS-NS is presented in Fig. 2 as a representative of all Ln@SERS-NS spectra, which are always dominated by the SERS spectrum of the SERS reporter, TR-SH, characterized by two bands at  $1505$  and  $1649 \text{ cm}^{-1}$  originating from the normal mode of vibrations with important contributions of C–N and C–C stretching vibrations, respectively. These signals are used to identify the presence of the nanostructures in the incubated cells, which appear when



**Fig. 1** UV-vis-NIR spectra of LASiS nanoparticles (black line, normalized at 522 nm) and L3@SERS-NS after the functionalization of SERS-NS with the engineered peptide L3 (blue line, normalized in the gold interband transition region with that of the LASiS nanoparticles). Inset: TEM image of a SERS nanoaggregate.



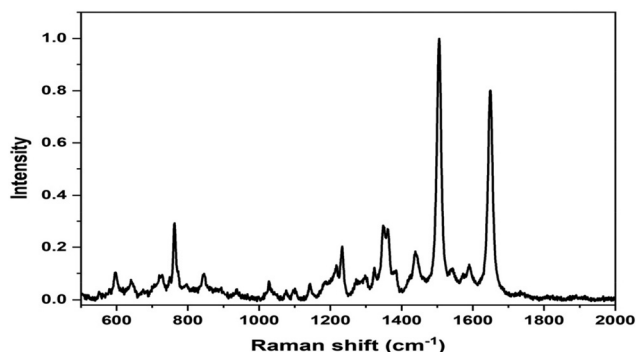


Fig. 2 SERS spectrum of L3@SERS-NS where the dominant features are those of the SERS spectrum of TR-SH at 1505 and 1649  $\text{cm}^{-1}$ .

the peptides have targeted the antigen on the cell surface and, therefore, are not washed out in the washing steps of the protocol.

### 2.3. Molecular dynamics calculations of the interaction of the targeting peptide with PD-L1

Detailed information on the interaction of CLP002 with PD-L1 was obtained by molecular dynamics (MD) simulations. A study of the interaction of the scrambled peptide (sCLP002) with PD-L1 was also performed. In both cases, the starting peptide structure was the most stable conformation obtained by MD simulations of a single peptide in water solution. For CLP002, the MD simulations showed the presence of a main ensemble of calculated structures with 90% of all the obtained conformations. The mean conformation (Fig. 3a) shows an elongated shape stabilized by one intramolecular hydrogen bond between Asn9 and Asn11 and many  $\pi$ - $\pi$  interactions between the side chains Trp1, His2, and Tyr5, as well as between Tyr6 and Trp8. In the case of the sCLP002 peptide, only one ensemble (98% of total conformations) was obtained, characterized by a hairpin-like structure stabilized by four hydrogen bonds (Fig. 3b). Differences in the adopted secondary structure were confirmed from both the CD and FTIR

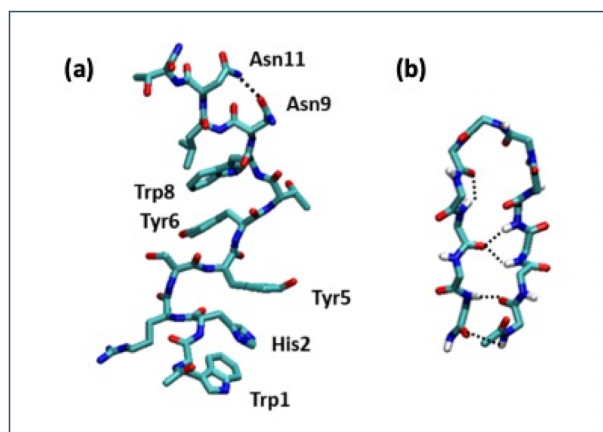


Fig. 3 Stable conformers of CLP002 (a) and sCLP002 (b) in water.

spectra (Fig. S3 in the ESI†). Scrambling the primary sequence of peptides leads to interesting changes in the conformations adopted by the peptide.

To perform the MD simulations of the PD-L1/peptide system, the X-ray structure of PD-L1<sup>21</sup> was used as the starting conformation of the protein, whereas the conformation reported in Fig. 3 was adopted for the peptides. For each peptide, three replicas of 100 ns were performed; in all cases, the starting distance between the peptide and the protein was greater than 1.4 nm and non-bonded interaction cutoffs were used.

The binding between PD-L1 and peptides was studied, in particular, in the region of contact between PD-L1 and PD1, as obtained from the X-ray structure present in the protein data bank.<sup>21</sup> The contact region shows both a hydrophobic part and a hydrophilic part (Fig. S4 in the ESI†).

To estimate the binding of peptides to PD-L1, the solvent accessible surface (SAS) of PD-L1 in the presence of the peptide was calculated during the simulation time (Fig. 4a).

The SAS of the hydrophobic residues of the PD-L1 contact region decreases strongly after 100 ns in the presence of CLP002 (red line) but not in the presence of sCLP002 (blue line), indicating that the former realizes a hydrophobic core similar to that of the PD-L1/PD-1 adduct. This result strongly suggests that CLP002 is more efficient than sCLP002 in preventing the binding of PD-1 to PD-L1.

The interaction of the PD-L1/peptide adduct was also analyzed in terms of the persistence time of the contacts (distance less than 5 Å) between the protein and the peptide residues in the last 10 ns of the simulations. As shown in Fig. 4b and c, all residues in the contact region of PD-L1 interact with those of CLP002 (Fig. 4b), whereas only some of them interact with sCLP002 (Fig. 4c).

The picture of PD-L1 interacting with CLP002, shown in red, after 100 ns is presented in Fig. 5 and shows that the elongated form of CLP002 fits to the PD-L1 contact region, giving the reason for the result reported in Fig. 4a.

The other two replicas of PD-L1/CLP002 (see Fig. S5, ESI†) allowed results like those reported in Fig. 5 to be found, demonstrating the good targeting of PD-L1 by CLP002.

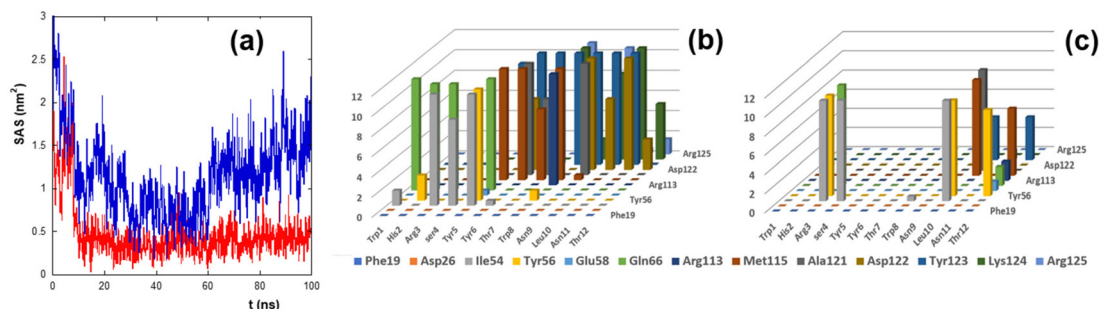
These results predict that a strong targeting activity difference between L3@SERS-NS and L4@SERS-NS should be observed.

### 2.4. Targeting of the PD-L1 immune checkpoint

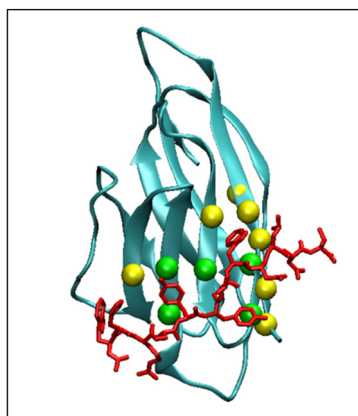
MDA-MB-231 breast adenocarcinoma cancer cells express a high level of PD-L1 and were used as a model for verifying the targeting activity of the prepared SERS-NS. The cells were treated in a well with different concentrations of Ln@SERS-NS for 2 h. Subsequently, the cells were fixed and washed before recording the SERS signals of single cells. The SERS signals indicate the presence of the nanostructures and therefore that a targeting activity occurred. The SERS signals were recorded with a micro-Raman instrument (Renishaw InVia), which allows recording the SERS signals of single cells. 100 or more cells were considered for each experiment. An excitation at



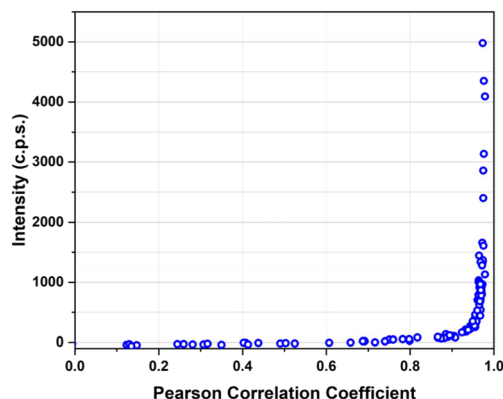




**Fig. 4** (a) Time evolution of the solvent accessible surface (SAS) of the hydrophobic residues of the PD-L1 contact region. The red and blue lines refer to the SASs of CLP002 and sCLP002, respectively. (b, c) Persistence time (ns, z-axis) of the residues of the contact region between PD-L1 and CLP002 (b) or sCLP002 (c). The peptide and PD-L1 residues are presented on the x-axis and y-axis, respectively.



**Fig. 5** MD simulation frame at 100 ns showing the binding of PD-L1 to CLP002. The spheres indicate the residues of PD-L1 that bind to PD-1. The residues involved in the hydrophobic core of the PD-L1/PD-1 adduct are colored green.



**Fig. 6** Intensity of the main peak of TR-SH at  $1505\text{ cm}^{-1}$  against the Pearson correlation coefficient of the spectrum for all the spectra recorded in single cells of MDA-MB-231 incubated with a solution of L3@SERS-NS at a concentration of 100 pM.

633 nm with a He-Ne laser was used because the SERS spectrum of TR-SH is strong with such an excitation. All the spectra were analyzed by finding the Pearson correlation coefficient for each spectrum using a reference SERS spectrum of TR-SH. A plot of the intensity of the main peak of the SERS spectrum at  $1505\text{ cm}^{-1}$  against the Pearson correlation coefficient (see Fig. 6) allowed a Pearson correlation coefficient threshold of 0.8 to be chosen as an indication of an efficient targeting.

The incubation solutions were obtained with decreasing concentrations of Ln@SERS-NS and the percentage of cells with a SERS spectrum above the threshold was determined.

We used the same procedure for all the Ln@SERS-NS samples.

The results of all the incubation solutions with all the Ln@SERS-NS samples are reported in Fig. 7.

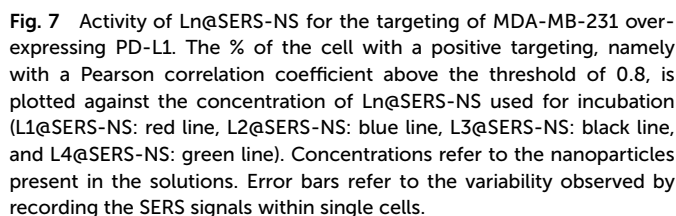
It is clear that L3@SERS-NS has a good targeting activity with respect to the other Ln@SERS-NS samples.

These results show different important characteristics of the engineered peptides. Comparison of the curves for L1@SERS-NS and L2@SERS-NS with that for L3@SERS-NS

shows the importance of the presence of the PEG chain and the charged amino acid sequence made from three lysines and two glycines (KKKGG). The peptides present in L1@SERS-NS and L2@SERS-NS are equal, but in one case the peptide is linked to the gold surface through the N-terminus and in the other case through the C-terminus (see Table 1). This makes part of the peptide more or less available for the interaction with PD-L1. However, the poor targeting behaviors of both L1@SERS-NS and L2@SERS-NS in Fig. 7 are similar. The very good targeting result observed for L3@SERS-NS, in which the peptide is the same, shows that the presence of the PEG chain and the short charged amino acid sequence strongly enhances the targeting activity of the nanostructure, reaching almost 90% of cell targeting.

The importance of the amino acid sequence of the peptide can be seen by comparing the behaviors of L3@SERS-NS and L4@SERS-NS. These two nanostructures have the same design ((SERS-NS)-PEG-KKKGG-peptide), but differ for the sequence of amino acid of the peptide, which is randomized (scrambled) in L4@SERS-NS. The low activity of L4@SERS-NS shows the importance of an appropriate amino acid sequence of the targeting peptide of L3@SERS-NS.





**Fig. 7** Activity of Ln@SERS-NS for the targeting of MDA-MB-231 over-expressing PD-L1. The % of the cell with a positive targeting, namely with a Pearson correlation coefficient above the threshold of 0.8, is plotted against the concentration of Ln@SERS-NS used for incubation (L1@SERS-NS: red line, L2@SERS-NS: blue line, L3@SERS-NS: black line, and L4@SERS-NS: green line). Concentrations refer to the nanoparticles present in the solutions. Error bars refer to the variability observed by recording the SERS signals within single cells.

The above results can be compared also with those of other nanostructures used for targeting other antigens, like EGFR<sup>13,14,22</sup> and integrins.<sup>12</sup> In these examples, the presence of the PEG chain and the charged sequence is always beneficial for the targeting activity, showing that the sequence (SERS-NS)-PEG-KKKGG-peptide is a general structure for obtaining a good targeting activity using peptides as targeting units.

### 3. Conclusions

Immune check points are important protein structures for immune therapies. Among them, PD-L1, expressed by many tumor cells, is a check point with important properties because it deactivates the killer activity of CD8<sup>+</sup> T-lymphocyte cells. Thus, PD-L1-targeting molecules allow its activity to be blocked, preserving the T-cells' killer function. The SERS nanostructures functionalized with an engineered peptide are shown to be good candidates to block PD-L1 activity. Molecular dynamic calculations helped in understanding the activity of the studied peptide in targeting the site of the interaction of PD-L1 with PD-1 and also showed the poor activity of a scrambled version of the same peptide. Incubation with MDA-MB-231 breast adenocarcinoma cancer cells, which express a high level of PD-L1, allowed quantification of the tar-

The results also allow us to conclude, from the present and previous studies,<sup>12–14,22</sup> that structures obtained by sequentially binding to SERS nanostructures a PEG chain, a charged short peptide sequence and the active targeting peptide ((SERS-NS)-PEG-KKKGG-peptide) are good for exploiting the peptide's activity against tumor antigens.

## 4. Experimental section

#### 4.1. Materials and Instrumentation

Unless otherwise indicated, peptides and conjugates were analysed using an Agilent 6100 Series single quadrupole LC/MS system coupled on-line *via* a UV-vis detector (detection at 220 and 280 nm) to the electrospray ionization (ESI) source and operating in positive mode. Samples were separated using a Kinetex column (100 × 4.6 mm, 3.5 µm XB-C18) combining mobile phases A (aqueous 0.1% trifluoroacetic acid (TFA)) and B (0.1% TFA in acetonitrile) to form binary gradients. Semi-preparative HPLC was performed using a Shimadzu series LC-6A chromatographer equipped with two independent pump units, a UV-vis detector and a Jupiter column (250 × 10 mm, 10 µm). Elutions were carried out with the same mobile phases described above using binary gradients. Mass spectral analysis of L3 and L4 was performed using a Xevo-G2S Q-TOF instrument (Waters, Milford, MA, USA) operating in positive mode with the ESI technique.

UV-vis spectra were recorded with a Cary5000 spectrometer (Agilent) in 0.2 or 1 cm quartz cells. DLS and zeta-potential measurements were performed with a Malvern Nano-ZS instrument equipped with a 633 nm He-Ne Laser. SERS spectra were recorded with a Renishaw inVia micro-Raman spectrometer under excitation at 633 nm (0.8 mW) with a 20× objective for 1 s. SERS spectra of the colloidal solutions were recorded with a 10× objective for 10 s.

Fourier-transform infrared (FTIR) absorption spectra of the peptide powders were recorded with a Thermo Fisher FTIR is50 spectrometer (Thermo Fisher Scientific Co., Madison, WI,



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