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Emerging investigator series: the rise of nano-enabled photothermal materials for water evaporation and clean water production by sunlight

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Solar driven water evaporation and distillation is an ancient technology, but has been rejuvenated by nano-enabled photothermal materials in the past 4 years. The nano-enabled state-of-the-art photothermal materials are able to harvest a full solar spectrum and convert it to heat with extremely high efficiency. Moreover, photothermal structures with heat loss management have evolved in parallel. These together have led to the steadily and significantly improved energy efficiency of solar evaporation and distillation in the past 4 years. Some unprecedented clean water production rates have been reported in small-scale and fully solar-driven devices. This frontier presents a timely and systematic review of the impressive developments in photothermal nanomaterial discovery, selection, optimization, and photothermal structural designs along with their applications especially in clean water production. The current challenges and future perspectives are provided. This article helps inspire more research efforts from environmental nano communities to push forward practical solar-driven clean water production.

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Environmental significance

Given the vast abundance and inexhaustibility of sunlight, tapping into solar energy to produce clean water is a viable solution to current global challenges of water scarcity and clean energy shortage. Nano-enabled solar driven water evaporation and distillation have experienced very significant growth in the past 4 years. The CO₂ free clean water production feature of solar evaporation and distillation makes them promising and attractive for small-scale water plants and point-of-use water supply systems. This article highlights recent developments and emerging applications of these solar-driven processes.

Introduction

Photothermal materials are able to effectively capture light and efficiently convert light energy to heat.^{1,2} The generated heat can be utilized for many kinds of applications, such as photothermal therapy,¹ solar electricity generation,³ clean water production,^{4–6} etc. Solar distillation is an ancient technology for solar driven clean water production.⁷ In a typical solar distillation apparatus, sunlight is captured and converted to heat by photothermal materials and the heat is used to generate water vapor, which is subsequently condensed to produce fresh water.⁸ Solar-driven water evaporation assisted by photothermal materials is an essentially integral part of solar distillation.⁹

The research on utilizing photothermal materials for both solar water evaporation and solar distillation has attracted a fast growing interest in the past 4 years.^{4–6} In particular, the zero CO₂ emission feature of solar distillation towards seawater desalination is very contemporarily appealing and relevant in the times of ongoing and ever worsening global warming, clean energy shortage, and water scarcity.^{10–13}

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Last but not least, the plasmonic effect of noble metal nanomaterials is wavelength specific and highly dependent on their shapes and sizes.²¹ To harvest a wide solar spectrum, metal nanoparticles with a wide size distribution and/or various shapes are combined in some works.^{22,23}

Development of solar photothermal nanomaterials in the past 4 years

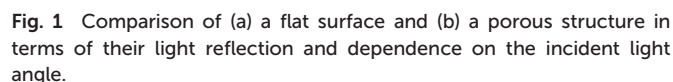
The first and foremost important parameter for photothermal materials in solar water evaporation and distillation is their light absorption capability. In comparison, the light-to-heat conversion performance of photothermal materials receives much less attention, not due to the lack of efforts but because most photothermal materials typically possess very high light-to-heat efficiency. In 2017, Wang *et al.* compared the light-to-heat conversion efficiency of MXene and carbon nanotubes (CNTs) and found no discernible difference, with both being close to 100%.²⁴ This result agreed with the 100% light-to-heat conversion of Ti_2O_3 reported by Wu *et al.*²⁵ and of Au nanoparticles reported by Govorov *et al.*²⁶

Various nanomaterials with strong light absorbance in the wide solar spectrum have been intensively investigated in the past 4 years, including carbon based materials, metals, metal oxides, and polymers, among others. Broadly speaking, the light, once absorbed by a photothermal material, induces an electric field which drives mobile carriers inside the crystals of the material, and the energy gained by the carriers turns into heat.⁴

Carbon based materials

Due to the closely spaced energy levels of the loosely-held π electrons in carbon-based materials, they absorb widely in the entire solar spectrum and the excited electrons relax to their ground state, releasing heat. Carbon-based materials, including carbon black, CNTs, graphene, GO, rGO, *etc.* are among the most well-known photothermal materials because of their broad light absorption, high stability, light weight and low cost. Carbon-based materials contain no toxic metals, possess much better stability under sunlight than polymers, and can be easily made into all kinds of structures with macro-, micro- and nanoscale morphologies desirable for solar thermal applications.^{5,18,27–37}

Secondly, light absorption of a flat surface has a very strong dependence on the incident light angle, while porous nanostructures of the same material show a reduced dependence degree, which is beneficial for solar photothermal materials (Fig. 1).¹⁶ It has been reported that the light absorp-



structure with self-floating and self-cleaning properties for solar steam generation.⁴¹

Making porous structures has been an effective strategy to increase the light absorption of many carbon materials, among which, highly porous graphene and rGO have been popularly explored.^{30,31,35,37} Moreover, carbon materials possess quite high thermal conductivity, which undesirably leads to a fast heat conduction loss to bulk water. In 2015, Ito *et al.* found that by doping nitrogen, the thermal conductivity of a graphene membrane could be significantly decreased, which led to a higher solar evaporation energy efficiency (80%) compared to the undoped graphene sample (67%).⁴² In 2016, Ghasemi *et al.* fabricated a graphite flake coated porous PDMS foam to reduce heat loss to bulk water.⁴³ In 2017, an rGO/polyurethane composite foam was reported following the same idea.⁴⁴

In 2014, Chen *et al.* first reported a bi-layered structure of an exfoliated graphite layer on top of a carbon foam.⁴⁵ The bottom layers served as an insulating heat barrier to improve the solar evaporation efficiency and at the same time as a support to improve the mechanical properties. Many similar bi-layered rGO and CNT based photothermal structures have since been synthesized with the bottom heat barrier materials being air-laden papers,⁴⁶ bacterial nanocellulose aerogel,⁴⁷ polystyrene foam,^{32,48,49} a mixed cellulose ester membrane,³³ PDMS foam,⁵⁰ a macroporous silica substrate,⁵¹ wood block,^{52,53} or a porous poly(vinylidene fluoride) (PVDF) membrane.³⁶

Surface carbonized wood block is another attractive photothermal material because wood is widely available, biodegradable, has excellent thermal insulation properties and at the same time has abundant water channel networks for fast water transport.^{54–58} Also reported were other carbon based photothermal materials, such as carbonized mushrooms,⁵⁹ microporous coke synthesized from zeolite templates,³⁴ a 3D printed CNT/GO/nanofibrous cellulose composite with a box structure.⁶⁰

Metals

Many metals are known to have strong plasmon resonance. When the frequency of incident light matches the oscillation frequency of delocalized electrons in the metals, it triggers a collective excitation of the electrons, generating hot electrons. The hot electrons oscillate coherently with the incident electromagnetic field, resulting in heat generation by a Joule mechanism.^{4,6,61} Gold (Au) distinguishes itself among its peers due to its high tunability of light adsorption within the solar spectrum, chemical stability, availability of rich synthesis methods, and nontoxicity.^{21,62}

In 2013 and 2014, Halas's group pioneered Au nanoparticle-enabled solar vapor generation which inspired wide research interest in solar water evaporation and beyond.^{63–65} Deng *et al.* fabricated films of Au nanoparticles that could self-float at the air–water interface in 2014 (ref. 66) and further produced free-standing photothermal films by

depositing Au nanoparticles onto air-laden paper⁶⁷ and AAO.^{68,69} With these self-floating photothermal films, localized heating occurred only at the air–water interface, leading to an improved water evaporation efficiency.

Given the inherently narrow absorption bandwidth of well-sorted Au nanoparticles, in 2015, Kim *et al.* employed adiabatic plasmonic nanofocusing to attain ultra-broadband light absorption and flexible thin-film black Au membranes, which absorbed 91% at 400–2500 nm and showed effective solar water evaporation.⁷⁰ In 2016, Singamaneni and co-workers demonstrated the efficacy of a Au nanorod loaded biomaterial derived 3D aerogel for solar water evaporation.⁷¹

To further expand the absorption of solar radiation, in 2017, Zhu *et al.* loaded Au nanoparticles with random sizes and distributions into an AAO template and achieved an absorbance of ~99% across wavelengths from 400 nm to 10 μm , which resulted in an water evaporation efficiency of 90% under 4-sun intensity (4 kW m^{-2}).²² By coating a 12 nm Al_2O_3 layer onto the surface of Au nanoparticles, the thermal stability of the Au nanoparticles was increased to 1073 K.⁷² In 2017, Han and Fratalocchi developed a dark metasurface by dispersing special gold dimers of nanorods connected with nanospheres on a filter paper, which exhibited efficient solar evaporation.⁷³ Hu *et al.* coated plasmonic Pd, Au and Ag nanoparticles in the pores of natural wood block, which exhibited a high light absorption ability ($\approx 99\%$) over a broad wavelength range from 200 to 2500 nm and a high solar conversion efficiency of 85% under 10 sun illumination with superior stability longer than 144 h.²⁰

Other plasmonic metal nanomaterials have also been investigated towards solar evaporation and distillation. In 2016, Zhu *et al.* *in situ* synthesized self-assembled aluminum (Al) nanoparticles in a AAO membrane and the modified membrane absorbed a broad solar spectrum ($>96\%$), leading to an improved seawater desalination performance.²³ In 2017, Ozin *et al.* reported the size-dependent photothermal effect of germanium (Ge) nanocrystals and pointed out their potential application to solar desalination.⁷⁴ In 2016, He *et al.* studied photothermal effects of silver (Ag) and Ag–Au blended plasmonic nanoparticles.⁷⁵

Furthermore, bimetallic nanocomposites with rationally designed nanostructures have shown some benefits in light harvesting. In 2016, Ag/Au bimetallic hollow mesoporous plasmonic nanoshells were fabricated which yielded a higher solar evaporation rate compared with their solid-core counterparts.⁷⁶

Metal oxide/mixed metal oxides (MMOs)

In metal oxide type of semiconductors, light is absorbed to generate electron–hole pairs. Solar light, which has a higher energy than the bandgap of the semiconductors, would lead to the creation of above-bandgap electron–hole pairs, which would then relax to the band edges and convert the extra energy into heat. The electron–hole pairs in narrow-bandgap



semiconductors would ultimately recombine to give rise to heat generation.⁷⁷

In 2016, Huang *et al.* synthesized titania nanocages with a high light trapping capability for solar water evaporation.⁷⁸ In 2017, TiO_x ($x < 2$) and Ti_2O_3 nanoparticles with strong light absorption were reported and their application to solar evaporation and desalination was demonstrated.^{25,79} In 2017, oxygen-deficient molybdenum oxide (MoO_3) quantum dots, which possessed a matching-absorption-spectrum to solar light in both visible and near infrared regions, were used for solar water evaporation.⁸⁰ In 2017, Ye *et al.* prepared Fe_3O_4 , MnFe_2O_4 , ZnFe_2O_4 , and CoFe_2O_4 hydrophobic microspheres, which self-assembled into self-floating thin films for solar thermal evaporation.⁸¹ In 2018, Wang *et al.* reported a CuCr_2O_4 loaded quartz glass fiber membrane as an extremely thermal stable solar photothermal material which provided potential fouling control for practical solar evaporation and distillation applications.⁸²

Other inorganic nanomaterials

Wang *et al.* investigated the solar driven heating performance of PbS nanoparticles uniformly dispersed in water in 2013.⁸³ In 2016, Nagao *et al.* reported that the lossy plasmonic resonances of titanium nitride (TiN) nanoparticles were broad enough to cover and effectively absorb the solar spectrum and the TiN nanoparticles, when dispersed in water, showed better performance than Au and carbon black nanoparticles in solar water evaporation.⁸⁴ In 2017, Wang *et al.* first reported MXene (Ti_3C_2) as a full solar spectrum absorber and effective photothermal material for solar water evaporation.²⁴ Synthesis of hierarchical graphdiyne-based architecture was reported in 2017 for solar thermal evaporation.⁸⁵ In 2016, the assembled Cu_7S_4 nanocrystal dense film,⁸⁶ and in 2017, porous floating copper phosphate combined with PDMS⁸⁷ were separately reported as photothermal materials for solar-driven water evaporation.

Polymers

Polymers provide flexibility and easy moldability that their inorganic counterparts cannot. However, compared with rich inorganic photothermal materials, the choices of stable and water compatible photothermal polymers working within a broad solar spectrum are very limited. In 2015, polypyrrole (PPY), a conjugated conductive polymer, was coated onto a metal mesh using a simple anodization method and the PPY coated mesh showed satisfactory performances in solar water evaporation⁸⁸ and desalination.⁸⁹ In 2017, polydopamine was introduced as a low-cost and biodegradable photothermal material and has since been used for solar water evaporation and seawater desalination.^{90,91} In 2018, Wei and co-workers synthesized a new solar photothermal polymer (poly(1,3,5-hexahydro-1,3,5-triazine)), which could be easily solution processed into a self-floating monolithic and single component foam).⁹² The photothermal foams were mechanically

strong, thermally stable to 300 °C and chemically resistant to organic solvents.

Considering their cost, availability, stability, and toxicity,²⁸ carbon based photothermal materials are largely favorable choices and have been more widely explored in solar evaporation and distillation applications than the others. Some comparative studies have hinted that more expensive Au nanocrystals and MXene had no clearly convincing advantages over relatively inexpensive carbon black and/or CNTs in terms of solar photothermal conversion efficiency.⁸³ It has to be pointed out that the purpose of this Frontier is to provide a concise overview of the state-of-the-art of this exciting field; more detailed and comprehensive summaries of photothermal materials, including their types, light-to-heat conversion mechanisms, light absorbing characteristics, *etc.* can be found in some recent review articles.^{4–6}

Development of photothermal structures utilizing sunlight

In a conventional bulk heating solar distillation apparatus, bulk water is heated to a high temperature (50–90 °C) for water vapor generation, which results in a slow response to sunlight and a bothersome heat management of using an elaborately installed thermal insulation material over the entire bulk water part.^{8,93,94} In the past 4 years, the interfacial heating idea has been widely accepted and utilized in the design of advanced solar distillation apparatuses.^{4–6} With an interfacial heating scheme, there is an ultra-fast response to sunlight and the generated heat is mainly confined in the surface water layer, which leaves the bulk water with a much lower temperature and therefore greatly removes the burden of the thermal insulation need of the bulk water body.

Fig. 2 presents the energy balance scheme in a typical interfacial solar water evaporation system, with a photothermal material floating at the air–water interface. In such a system, solar radiation (P_{sun}) is the only energy input which is ultimately split into and is balanced by five energy output pathways: (1) reflective solar radiation (P_{rf}), (2) water



Fig. 2 Energy balance diagram of a solar water evaporation system based on interfacial heating. Note: water evaporation is in open air in this case.



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One noteworthy work in the structural design for solar evaporation is that of Chen *et al.* who, in 2016, demonstrated a low-cost and scalable self-floating solar evaporation device capable of generating 100 °C water steam under ambient air conditions without optical concentration.⁹⁸ The high temperatures were achieved by using thermal concentration and by carefully reducing P_{rf} , P_{cv} , P_{cd} , and P_{rd} . The generation of high temperature water vapor under unconcentrated sunlight has the potential to expand the application scope of solar thermal conversion.

Applications of solar distillation and evaporation

Solar distillation

There have been considerable efforts to conduct solar distillation using bench-scale simple solar still devices with interfacial heating design. In these devices, a photothermal material with satisfactory sunlight absorption capability floats on the source water surface and a transparent cover (*e.g.*, glass, plastic) serves as the water condensation surface (Fig. 4a). These solar distillation devices are all able to produce potable water with good quality utilizing a variety of source waters, including synthetic seawater,^{36,37,48,50,67,92} real seawater,^{35,55,99} lab-prepared wastewater,^{46,68,97} river water,⁴⁹ lake water,¹⁰⁰ and sewage water.³⁷ Nevertheless, the water production rates of these devices represented only a small improvement relative to the ancient design of a solar still given the dilemma of both transparency and thermal conductivity of the condensation surface in this old-fashioned design.

Combination of solar-driven interfacial heating with MD

Membrane distillation (MD) is a thermally-driven separation process, in which only vapor molecules transfer through a microporous hydrophobic membrane. The driving force in the MD process is the vapor pressure difference induced by the temperature difference across the hydrophobic membrane.¹⁰¹ A conventional MD involves heating up the entire

bulk water to a uniform temperature and suffers from interfacial temperature polarization due to the removal of latent heat by water evaporation at the MD membrane.¹⁰² The interfacial temperature polarization decreases the membrane temperature and thus diminishes the net driving force to mass transport water across the MD membrane.¹⁰¹ The solar-thermal interfacial heating concept has been creatively combined with the MD process to alleviate the aforementioned drawbacks (Fig. 4b). Interfacial heating generates localized heating only on the MD membrane interface and thus eliminates the requirement of heating the entire bulk feed water and at the same time reduces the impact of interfacial temperature polarization.^{103–107}

From 2015 to 2017, Fujiwara together with coworkers demonstrated azobenzene dye loaded AAO and PTFE membranes for light driven seawater desalination.^{108–110} In 2017, Curcio *et al.* fabricated PVDF MD membranes filled with spherical silver nanoparticles with controlled sizes.¹⁰³ Under the illumination of UV light at 366 nm provided by a high pressure UV mercury lamp of 500 W, the membrane surface temperature was 23 K greater than the bulk water, which resulted in an 11 fold increase of the transmembrane water flux, with 0.5 M NaCl being the feed water. In the above designs, the photothermal species/materials were almost uniformly imbedded throughout the pores of the membranes.

In 2017, Li *et al.* reported a carbon black nanoparticle or SiO₂/Au nanoshell coated MD membrane, which delivered an up to 33% increase in distillate flux when irradiated at 1 sun.¹⁰⁴ Later, Li along with Halas and coworkers fabricated a bi-layered MD membrane structure, consisting of a thin and carbon black nanoparticle impregnated electrospun polyvinyl alcohol (PVA) porous film stacked on a commercial PVDF MD membrane.^{12,106} The two designs by Li *et al.*^{104,106} had the photothermal materials only on one side of the MD membranes. The nanophotonics-enabled solar membrane distillation (NESMD) system was directly and entirely driven by solar energy. A pilot-scale device with an active area of $\sim 1 \times 1$ m, when tested outdoor locally at Houston, USA, was able to produce an average clean water flux of 0.5 kg m⁻² h, equivalent

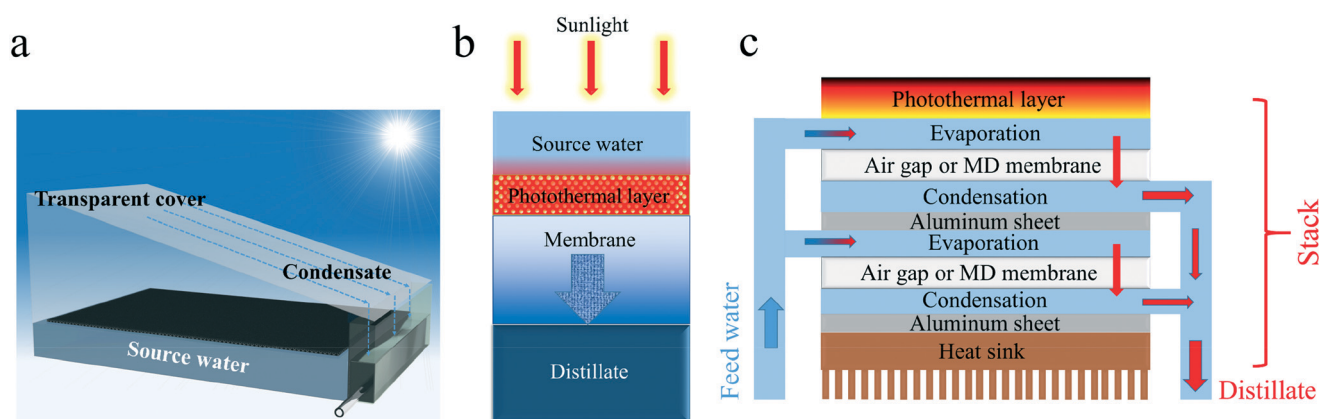


Fig. 4 (a) Simple solar distillation apparatus based on interfacial heating, (b) conceptual design of solar-thermal interfacial heating in MD, and (c) a solar distillation design with a latent heat recovery mechanism.



Applications based on solar water evaporation

Other emerging applications

Challenges and future perspectives

Challenges

(1) There has been no field-accepted guideline on performance evaluation in solar evaporation or distillation research. A review of the literature reveals a large variability in experimental conditions as well as in energy efficiency calculations, which makes it unreliable to directly compare various photothermal materials just by the literature values of their solar energy efficiency and water evaporation rates. (2) The long-term efficacy of many photothermal materials and designs in dealing with realistic waters, such as real seawater, groundwater, river water, and industrial wastewater, has not been confirmed. Dissolved salts, such as NaCl, CaSO₄, and MgSO₄, in seawater and hypersaline waters, would crystallize during solar evaporation and distillation and their crystallization behaviors are largely unknown in these solar-driven processes. In particular, the influence of salt fouling of the photothermal materials caused by Ca²⁺, Mg²⁺ and other insoluble or sparsely soluble matter has not been investigated. Equally importantly, bio-fouling of the photothermal materials resulting from dissolved organic matter and other bio species present in source waters would be expected, but has been paid little attention. (3) Many impaired source waters contain volatile organic compounds (VOCs), which would evaporate along and be collected together with water during solar distillation. The concentration of the VOCs in the collected distillate may even be enriched by distillation, which is the Achilles' heel of solar distillation and needs to be addressed by more advanced and multifunctional photothermal designs. (4) The intermittent nature of solar light has a profound effect on solar evaporation and distillation systems, but has not been looked at thoroughly enough.

Future perspectives

From the solar distillation perspective, it does not need any moving parts, electronic devices and high pressure operations, all of which make it attractive and economical especially for small sized water plants, off-grid and point-of-use (POU) potable clean water production apparatus.¹¹⁴ Moreover, solar distillation produces fresh water from a variety of water sources with impaired water quality and thus is suitable as an emergency response means for natural disaster-impacted, war-torn regions, as well as the sheer volume of impoverished people who lacks access to clean drinking water. Solar evaporation assisted by photothermal materials towards brine or hypersaline water treatment for the purpose

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