REVIEW

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α,β-Unsaturated acyl ammonium species as reactive intermediates in organocatalysis: an update

Jacqueline Bitai, Matthew T. Westwood and Andrew D. Smith [iD] *

α,β-Unsaturated acyl ammonium species are versatile intermediates that have been applied in a variety of transformations including Michael additions, domino reactions and cycloadditions. Many of these transformations are promoted by chiral Lewis base catalysts, enabling the rapid generation of molecular complexity with high stereochemical control. This review highlights recent developments in the generation and application of α,β-unsaturated acyl ammonium intermediates reported since a previous review of this area in 2016. Particular emphasis will be placed on reports providing mechanistic insight into catalytic transformations and observed selectivities. A perspective on current challenges and potential future developments in the field of α,β-unsaturated acyl ammonium catalysis is also provided.

1. Introduction

The development and advancement of catalytic transformations to generate complex molecular scaffolds in a stereodefined manner is of great importance to the synthetic chemistry community. Organocatalysis has proven to be a versatile tool in this regard, as it can provide selective "activation" of simple substrates under mild reaction conditions and allow the preparation of stereodefined functional molecules.[1] The transformation of substrates at the carboxylic acid oxidation

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level by tertiary amine Lewis base catalysis has seen increasing interest within the last decade and is the focus of this review.[2] Amongst the family of species accessible through covalent activation using tertiary amine Lewis bases (Fig. 1), acyl ammonium[3] and C(1)–ammonium enolate[4] intermediates have found widespread application (*e.g.* in acyl transfer reactions, and in the α-functionalization of carboxylic acids and esters, respectively). However, the use of α,β-unsaturated acyl ammonium intermediates has received comparatively little attention. Initially accessed in the 1960s by Yamamura and co-workers,[5] it took nearly 40 years before their utility in enantioselective catalysis was explored. In 2006, seminal work by the group of Fu demonstrated the synthetic potential of

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Matthew Westwood obtained his MChem degree from Heriot-Watt University (2019) with his final year project under the supervision of Dr Ai-Lan Lee. During this time he undertook an exchange year at UNSW Sydney and research placements with Prof. Martina Stenzel, Dr. Ai-Lan Lee and Dr. Ruiraidh McIntosh. Matthew is currently a second year PhD student under the supervision of Prof. Andy Smith at the University of St Andrews, researching enantioselective organocatalysis.

Matthew T. Westwood
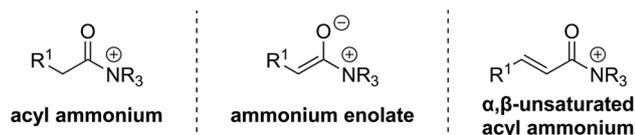
Fig. 1 Intermediates accessible from the carboxylic acid oxidation level using tertiary amine Lewis bases (NR$_3$).
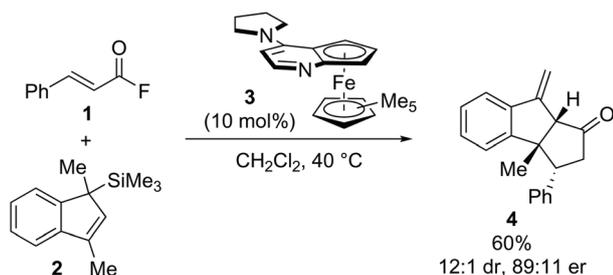
Scheme 1 First report of α,β-unsaturated acyl ammonium intermediates in enantioselective catalysis.

Fig. 2 (a) Common precursors to generate α,β-unsaturated acyl ammonium intermediates; (b) common tertiary amine Lewis base catalysts.

α,β-unsaturated acyl ammonium intermediates in a (3 + 2) annulation between acyl fluorides **1** and silylated indenes **2** catalysed by planar-chiral DMAP derivative **3** to generate the corresponding diquinane products **4** (Scheme 1).[6] Subsequent to this, α,β-unsaturated acyl ammonium intermediates have been explored in a variety of enantioselective organocatalytic processes.

In general, the formation of α,β-unsaturated acyl ammonium intermediates proceeds through acylation of the Lewis base catalyst by an appropriate precursor, forming an α,β-unsaturated acyl ammonium ion pair (Fig. 2a). These intermediates display increased electrophilicity at the C(3)-position relative to the parent substrate, increasing their reactivity. α,β-Unsaturated carboxylic acid derivatives used as precursors include acid chlorides, acid anhydrides, thioesters and electron deficient aryl esters. Among potential Lewis base catalysts suitable for the preparation of α,β-unsaturated acyl ammonium

intermediates, scaffolds based on pyridine (*e.g.* DMAP **5** or PPY **6**),[7] cinchona alkaloids,[8] amidines and isothioureas[9] are commonly used (Fig. 2b). Chiral isothiourea derivatives have proven particularly viable and are the most common catalysts used to access α,β-unsaturated acyl ammonium intermediates for enantioselective transformations to date. The stereochemical outcome for each class of catalyst relies on facial differentiation of the catalyst bound substrate.

This review provides an update on recent progress in the field of catalytic processes that proceed *via* α,β-unsaturated acyl ammonium intermediates. For a comprehensive discussion on processes developed prior to early 2016, readers are directed to a previous excellent review from Romo and co-workers.[10] For ease of navigation, this review will be divided into two main parts based on the initial bond forming step: conjugate additions and cycloadditions (Scheme 2). Within conjugate additions, further distinctions are made based upon selective employment of the potential reactive sites within the α,β-unsaturated acyl ammonium intermediate during the catalytic process. These include Michael additions featuring aryloxide facilitated catalyst turnover (one reactive site used),

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Andrew D. Smith
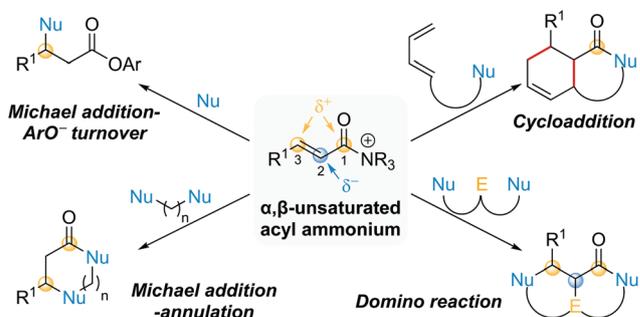
Scheme 2 Review organisation based on exploited reactive sites (Nu = nucleophile, E = electrophile).

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Michael addition–annulation sequences (two reactive sites used) and domino reactions (three reactive sites used). In addition, a section highlighting miscellaneous processes that have suggested the involvement of α,β-unsaturated acyl ammonium intermediates is included. Where applicable, particular emphasis will be placed on mechanistic insights.

2. Conjugate additions

2.1. Aryloxide facilitated catalyst turnover

The most conceptually simple method of functionalising α,β-unsaturated acyl ammonium intermediates involves addition of a nucleophile at the β-position to generate a monofunctionalised product. This transformation requires the counterion generated upon catalyst acylation to facilitate catalyst turnover without interfering in the conjugate addition step. Electron deficient aryloxide ions have proven particularly suitable for this task, making "activated" electron deficient aryl esters (such as 4-nitrophenyl or pentafluorophenyl esters) ideal precursors for simple conjugate addition reactions. This aryloxide facilitated catalyst turnover strategy is showcased in a generalised catalytic cycle (Scheme 3). Starting from an unsaturated aryl ester, acylation of the catalyst gives an α,β-unsaturated acyl ammonium ion pair, releasing the aryloxide counterion. Reaction of the aryloxide with the post-reaction acyl ammonium ion regenerates the catalyst and yields the corresponding aryl ester product. As aryl esters can prove rather unstable to isolation and purification, an *in situ*, post catalysis transformation into more stable and isolable ester or amide products is often necessary. This strategy has been successfully implemented in other tertiary amine Lewis base catalysed processes that proceed *via* C(1)–ammonium enolates[4c] and related ammonium ylide rearrangements[11] and allows for the use of simple monofunctional reaction partners. Unification of this strategy with α,β-unsaturated acyl

ammonium chemistry allows for the construction of acyclic β-functionalised products.

In 2017, Smith and co-workers utilised this strategy in the enantioselective conjugate addition of simple nitroalkanes to α,β-unsaturated aryl ester Michael acceptors (Scheme 4a).[12] A range of electron deficient aryl esters 7 underwent reaction with nitroalkane pronucleophile 8 as solvent to give β-functionalised products 10. High enantioselectivity was observed for simple symmetric nitroalkanes ($R^2 = R^3$). However, when unsymmetrical, disubstituted nitroalkanes ($R^2 \neq R^3$) were employed, poor diastereoselectivity was observed, presumably due to post reaction equilibration. Mechanistic studies elucidated the reaction mechanism (Scheme 4b). Quantitative *in situ* reaction monitoring using $^{19}F\{^1H\}$ NMR spectroscopy, employing ^{19}F labelled aryl ester 11 and (2R,3S)-8F-HyperBTM 12 identified acyl ammonium species 14 and its corresponding post conjugate addition species 15 as reaction intermediates as well as catalyst deactivation *via* protonation (Scheme 4b). Kinetic analysis using the variable time normalisation graphical analysis method developed by Burés[13] revealed the reaction to be first order with respect to both aryl ester 11 and catalyst 12. Product inhibition *via* competitive acylation of catalyst 12 was also observed. Owing to the lability of the *p*-nitrophenyl ester moiety during isolation, a nucleophilic quench using an amine or alcohol was performed to give the stable amide or ester products, respectively.
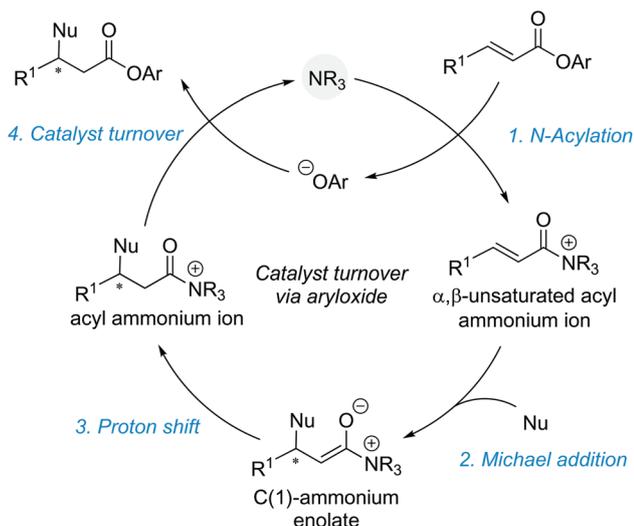
Scheme 3 Aryloxide facilitated catalyst turnover strategy.


Scheme 4 (a) Aryloxide turnover in the conjugate addition of nitroalkanes; (b) mechanistic investigation.

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The stereochemical outcome observed for these isothiourea catalysts is significantly influenced by a 1,5-O···S interaction between the acyl oxygen atom and sulfur atom of the catalyst (n_O to σ^*_{C-S}), which restricts the conformational freedom of the catalyst bound intermediate (Scheme 5a).[14] This not only increases the electrophilicity at the C(3)-position, but also induces facial differentiation of the substrate. *N*-acylation to generate the α,β-unsaturated acyl ammonium intermediate forces the stereodirecting phenyl substituent to adopt a pseudoaxial position to minimize 1,2 strain. This effectively blocks the *Re* face of the intermediate and thus, nucleophilic attack occurs preferentially from the *Si* face. As part of a study into the significance of this 1,5-Ch···Ch (Ch = chalcogen) interaction within isothiourea organocatalysis, two structural analogues of isothiourea catalyst (2*R*,3*S*)-HyperBTM **9** were reported (Scheme 5b).[14g] Replacement of the sulfur atom with either oxygen or selenium led to isourea **16** and isoselenourea **17**, respectively. The strength of the intramolecular 1,5-Ch···O interaction within an acylated intermediate was predicted to be substantially weaker when Ch = O and significantly enhanced when Ch = Se.[15] This interaction is postulated to be key to guiding reactivity and selectivity using isothiourea catalysts, with an enhanced 1,5-Ch···Ch interaction expected to result in increased reactivity. This postulate was borne out experimentally using the previously reported conjugate addition reaction between aryl ester **18** or **11** and nitromethane
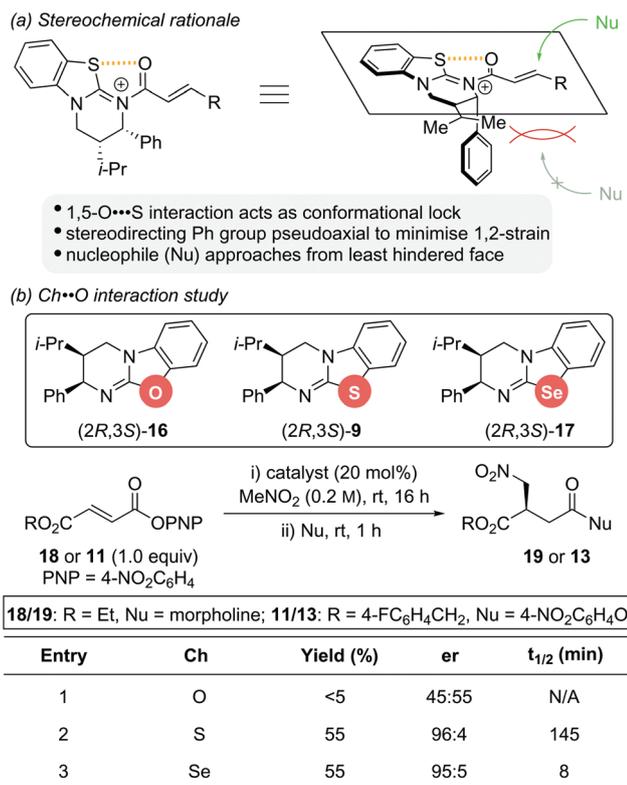
as a benchmark reaction. The isourea **16** showed only trace reactivity and essentially gave racemic conjugate addition product **19** or **13** (Scheme 5b, entry 1). Isothiourea **9** and isoselenourea **17** both performed well with similar enantioselectivity (~95:5 er) and yield (55%) (Scheme 5b, entries 2 and 3). Significantly, when monitored by $^{19}F\{^1H\}$ NMR spectroscopy the reaction catalysed by isoselenourea **17** showed a drastic increase in reaction rate ($t_{1/2}$ = 8 min) relative to the same reaction catalysed by isothiourea **9** ($t_{1/2}$ = 145 min).

In a related reaction, Smith and Lupton utilised silyl nitronates **24** as both nucleophile and to facilitate catalyst turnover *via* silyl ester formation (Scheme 6b).[16] This unexpected silyl group migration was first observed in the reaction of aryl ester **18** with silyl nitronate **20**, yielding a trace amount of silyl ester **22** (5%) alongside the expected conjugate addition product **21** (Scheme 6a). The use of symmetric anhydrides **23** as an α,β-unsaturated acyl ammonium precursor proved optimal, allowing access to a range of γ-nitro substituted silyl esters **25** with excellent enantioselectivity (>94:6 er) with reaction times of less than an hour. Intriguingly, the presence of a β-phenyl substituent did not give the expected silyl ester product but instead gave the nitroso ester **26**. Silyl nitronates appear to show greater reactivity than the corresponding nitroalkanes previously described in Scheme 4. Use of a range of unsymmetrically substituted silyl nitronates ($R^2 \neq R^3$) generated products with two contiguous stereogenic centres with excellent enantioselectivity and higher diastereoselectivity than observed with the corresponding nitroalkanes. Epimerisation studies were performed to gain insight into the origin of the diastereoselectivities observed. For disubstituted nitronates (where $R^2 \neq R^3 \neq H$), no epimerisation could be observed, indicating that the diastereomeric ratio is kinetically controlled. In contrast, monosubstituted nitronates (R^3 = H) give products

(a) *Stereochemical rationale*

- 1,5-O•••S interaction acts as conformational lock
- stereodirecting Ph group pseudoaxial to minimise 1,2-strain
- nucleophile (Nu) approaches from least hindered face

(b) *Ch••O interaction study*

(2*R*,3*S*)-**16**   (2*R*,3*S*)-**9**   (2*R*,3*S*)-**17**

18 or **11** (1.0 equiv)
PNP = 4-NO$_2$C$_6$H$_4$

i) catalyst (20 mol%)
MeNO$_2$ (0.2 M), rt, 16 h
ii) Nu, rt, 1 h

19 or **13**

18/19: R = Et, Nu = morpholine; **11/13**: R = 4-FC$_6$H$_4$CH$_2$, Nu = 4-NO$_2$C$_6$H$_4$O

Entry	Ch	Yield (%)	er	$t_{1/2}$ (min)
1	O	<5	45:55	N/A
2	S	55	96:4	145
3	Se	55	95:5	8

Scheme 5 (a) Stereochemical rational for isothiourea catalysis; (b) structural analogues of HyperBTM **9** and their catalytic activity.

(a) *Initial observation*

18
PNP = 4-NO$_2$C$_6$H$_4$

+

20 (1.0 equiv)

(2*S*,3*R*)-HyperBTM **9**
(20 mol%)
i-Pr$_2$NEt (1.0 equiv)
MeCN, rt, 5 h

21 R = PNP; 45%, 95:5 er
22 R = TIPS; 5%, er ND

(b) *Optimised conditions*

23
+
TIPSO
24 (1.5 equiv)

(2*S*,3*R*)-HyperBTM **9**
(20 mol%)
i-Pr$_2$NEt (1.0 equiv)
MeCN, rt, 30–60 min

26

25
13 examples
up to 80:20 dr
up to >99:1 er

R^1 = CO$_2$R, C(O)NR$_2$ R^2 = alkyl, aryl   R^3 = H, alkyl

Scheme 6 Michael addition using silyl nitronate nucleophiles: (a) initial observation and (b) optimised conditions.

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that readily epimerise α- to the nitro group, resulting in a thermodynamic ratio of diastereoisomers. Whilst several control experiments were performed to elucidate the formation of the silyl ester product, the mechanism of the silyl group transfer remains unclear.

In 2020, Smith and co-workers reported the use of heterocyclic pro-nucleophiles as monofunctional reaction partners, expanding the applicability of the aryloxide turnover strategy (Scheme 7a).[17] Dihydropyrazol-3-one **27** and 3-phenyloxindole **29** proved to be viable reaction partners for conjugate addition to a range of α,β-unsaturated aryl esters. Reaction of dihydropyrazol-3-ones **27** with aryl ester **7** in the presence of (2R,3S)-HyperBTM **9** gave the corresponding products **28** in uniformly excellent enantioselectivity (>92 : 8 er), but with modest diastereoselectivity. The reaction was high yielding and tolerant of various substituents within the nucleophile, including alkyl, allyl, and both aromatic and heteroaromatic substitution (Scheme 7a, top). Addition of 3-aryloxindoles **29** to aryl esters **7** also proceeded smoothly with excellent enantio- and uniformly high diastereocontrol, with the majority of examples showing >90 : 10 dr and >95 : 5 er. A range of functionalities were toler-

ated on each reaction partner with only minor variations in enantioselectivity and yield observed (Scheme 7a, bottom). Notably, medicinally interesting polyhalogenated substituents within the aryl ester (R^1, X = F, Cl, Br) gave the corresponding products in high yields, and excellent enantio- and diastereoselectivity. Moreover, crotonic and cinnamic acid derived substrates (R^1 = Me or Ph, respectively), typically unreactive in these processes, were also successfully employed. Other heterocyclic pro-nucleophiles showed almost no reactivity, attributed to their comparatively higher pK_a values disfavouring enolate formation. The observed diastereoselectivity can be rationalised through a pre-transition state assembly with a potential stabilising C–H⋯O interaction between the enolate oxygen and the catalyst α-C–H (Scheme 7b).

2.2. Michael addition–annulation reactions

A Michael addition–annulation approach harnesses the electrophilic reactivity of the α,β-unsaturated acyl ammonium intermediate at the C(1) and C(3) positions, employing bis-(pro)nucleophiles to generate cyclic products. A generalised catalytic cycle (Scheme 8) demonstrates the overall reaction, starting with acylation of the Lewis base by addition to an appropriate precursor to generate an α,β-unsaturated acyl ammonium ion pair. Michael addition of a nucleophile (Nu⁻) generates an intermediate C(1)–ammonium enolate. Proton transfer leads to an acyl ammonium ion, which undergoes intramolecular nucleophilic attack by the second nucleophile (Y), promoting catalyst release and generating the cyclic product. Importantly, the use of a bis-nucleophile leads to potential regioselectivity issues, which must be controlled generally by either employing nucleophiles with distinct reactivities or generating/unveiling the second nucleophile *in situ*. This Michael addition–annulation sequence is a convenient way of generating functionalised lactones and lactams in a
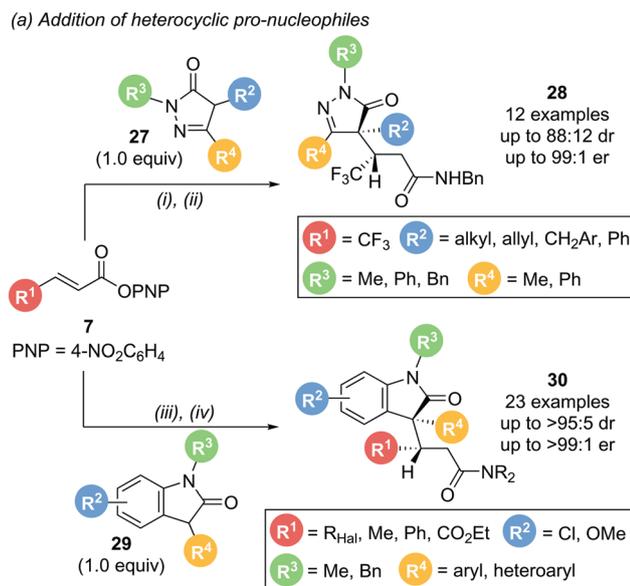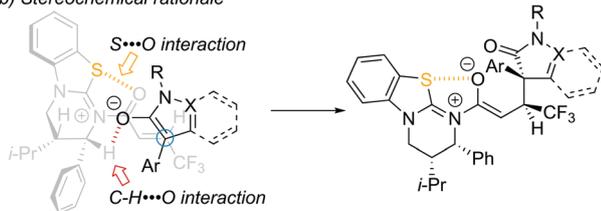
Scheme 7 (a) Conjugate addition of heterocyclic pro-nucleophiles; (b) pre-transition state assembly as stereochemical rational.
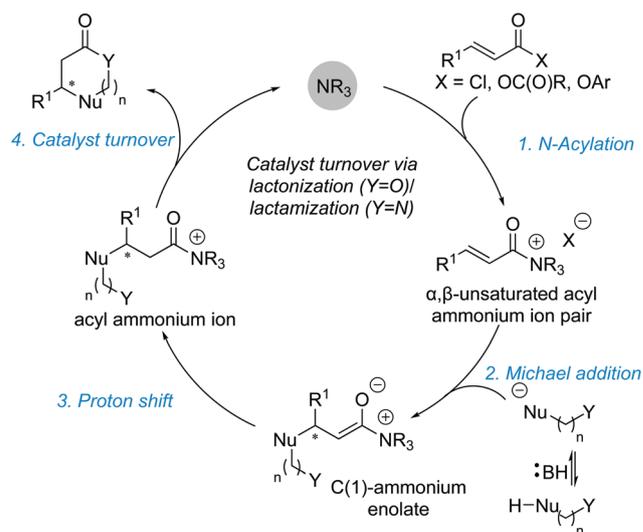
Scheme 8 General catalytic cycle for a Michael addition–annulation reaction.

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stereodefined manner, as showcased by various research groups.

In 2013, Smith and co-workers investigated the use of symmetric anhydrides as precursors in α,β-unsaturated acyl ammonium catalysis employing 1,3-dicarbonyl and 2-phenacylbenzothiazole nucleophiles (**31** and **34**, respectively) (Scheme 9a).[18] The use of the latter led to the formation of dihydropyridinone products **35** through lactamization rather than the expected dihydropyranone products **36** through lactonization. Intrigued by this result, the groups of Smith and Cheong reported a combined experimental and computational study probing the chemo- and enantioselectivity observed in the Michael addition – annulation processes using benzazole nucleophiles.[14d,18,19] Employing catalytic (2S,3R)-HyperBTM **9** (5 mol%), three types of benzazole nucleophiles (2-phenacyl-benzoxazole **37**, 2-phenacylbenzothiazole **34** and 2-N,N-dimethylacetamido-benzothiazole **38**) were investigated in the reaction with a range of symmetric anhydrides **23** (Scheme 9b). Employing 2-phenacylbenzoxazoles **37** exclusively afforded the respective lactone products **39** (>95:5 lactone:

lactam) with excellent enantiocontrol (>95:5 er). 2-Phenacylbenzothiazoles **34**, on the other hand, led to preferential lactam formation (typically >80:20 lactam:lactone) with up to 95:5 er, consistent with the original observation by Smith and co-workers. Attempts to interconvert the minor lactone product **36** into the corresponding lactam **35** were unsuccessful, indicating that the product ratio results from kinetic control. Employing benzothiazole amides **38** led to the exclusive formation of the corresponding lactam product **40** (>95:5 lactam:lactone) with excellent enantioselectivity (>96:4 er). This increase in chemoselectivity within the benzothiazole series can be attributed to the preferred formation of the aza-enolate on the benzothiazole rather than the corresponding enolate on the amide functionality, favouring reaction through the benzothiazole N-atom. Computational analysis of the involved intermediates and transition structures using the M06-2X DFT method elucidated the importance of long-range 1,5-S⋯O interactions in determining the chemoselectivity (Scheme 9c). In the case of both benzoxazole and benzothiazole nucleophiles, a 1,5-S⋯O interaction can be found in the
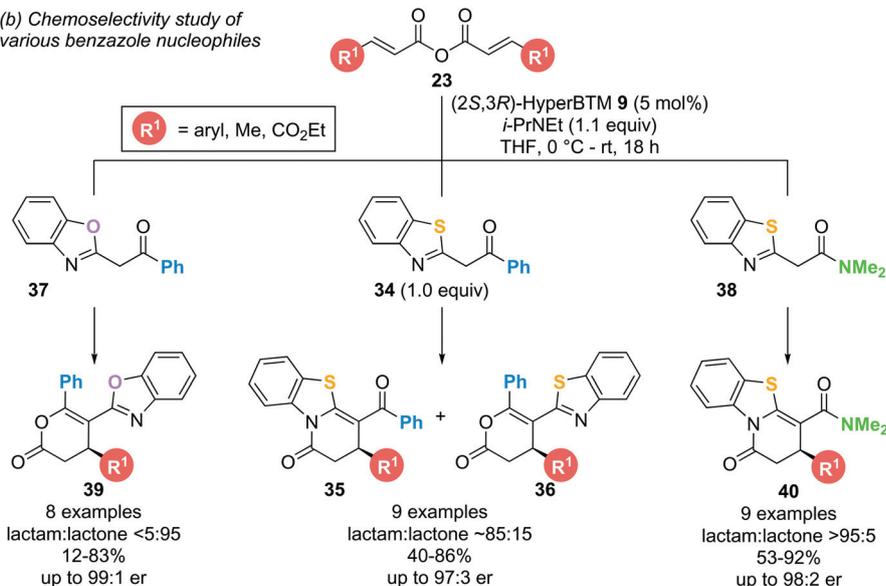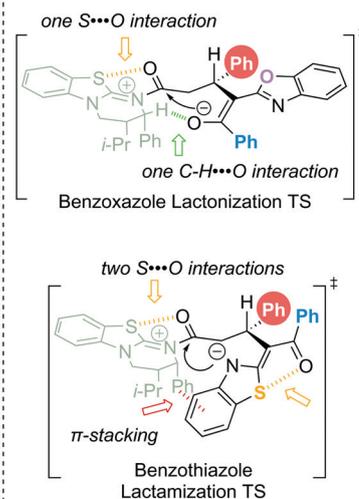
Scheme 9 (a) Original Michael addition−annulation sequence; (b) Michael-lactamization vs. Michael-lactonization governed by non-bonding interactions; (c) computed interactions in cyclisation transition states governing chemoselectivity.

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acylated catalyst between the isothiourea S-atom and the acyl C=O group. In addition, benzoxazoles exhibit a non-traditional hydrogen bonding C–H⋯O interaction between the catalyst α-C–H and the benzoxazole enolate, pre-organizing the cyclization transition state in favour of lactonization. However, for benzothiazoles a second 1,5-S⋯O interaction between the enol O-atom and the benzothiazole S-atom is energetically more favourable than a C–H⋯O interaction. With the enol O-atom unavailable to displace the catalyst, the cyclisation is forced to occur through the benzothiazole N-atom, leading to the corresponding lactam product.

This protocol was later extended to incorporate polyfluorinated substituents using polyfluoroalkyl-substituted α,β-unsaturated aryl esters **41** as precursors (Scheme 10a).[19] Reaction with various benzothiazole (**42**) and benzoxazole (**43**) nucleophiles in the presence of (2S,3R)-HyperBTM **9** (5 mol%) furnished the corresponding lactam or lactone products **44–46** in high yield and excellent enantioselectivity following a Michael addition–annulation sequence. Notably, incorporation of an isomerisation step at the end of the reaction drastically increased the chemoselectivity in benzothiazole derived products **44** to exclusively yield the corresponding lactam. Application of this strategy to benzoxazole nucleophiles allowed for the selective formation of the lactonization product **45** (without isomerisation) or lactamization product **46** (with

isomerisation) with excellent enantioselectivities. Symmetric anhydrides **23** used as α,β-unsaturated acyl ammonium precursors in the previous report were also compatible with this annulation–isomerisation protocol, yielding exclusively the corresponding lactam product **35** (Scheme 10b).

Mechanistic experiments revealed the importance of the aryloxide counterion, generated *in situ* upon formation of α,β-unsaturated acyl ammonium intermediate **47**, for the high chemoselectivity observed (Scheme 11). The aryloxide was found to play multiple roles in this annulation–isomerisation reaction:

(i) It can act as a Brønsted base to form the benzazole enolate **49** from benzazole **48**, circumventing the need for an auxiliary base. Trichlorophenol (TCP) proved optimal in this regard, as it did not promote a base-mediated background reaction, giving the products in high enantioselectivity.

(ii) It can act as a Lewis base, facilitating isomerisation of lactone **52** into lactam **54** *via* intermediate **53**. Notably, 3,5-bis(trifluoromethyl)phenoxide or 3,4,5-trifluorophenoxide proved optimal in the isomerisation step, as *ortho*-substituted phenoxides were ineffective.

(iii) For benzoxazole derived lactones, the aryloxide can also act as a Lewis acid in an alternative isomerisation pathway. Activation of the lactone through a hydrogen bonding interaction (**55**) enables a (2S,3R)-HyperBTM **9** catalysed isomerisation into lactam **54** *via* intermediate **56** in a kinetic resolution type process.

In 2020, these mechanistic experiments were complemented by a computational study by the groups of Wei and Ding to gain further mechanistic insight.[20] DFT calculations confirmed the proposed role of the aryloxide as Brønsted base/ acid facilitating proton transfer. Additional calculations highlighted the nucleophilicity of N *vs.* O in the corresponding intermediates as being important in determining the observed lactam *vs.* lactone product formation. In addition, Michael addition was identified as the enantiodetermining step, with non-covalent interaction (NCI) analysis highlighting three additional non-bonding interactions (classified as lone pair-π; C–H⋯S and C–H⋯O), as well as the previously identified 1,5-S⋯O and CH⋯O interactions, in governing the observed chemo- and stereoselectivity (Scheme 11b).

In 2017, Matsubara and co-workers reported a protocol for the selective formation of substituted 1,5-benzothiazepines.[21] Based on earlier work from the same group,[22] aminothiophenol **58** and mixed anhydride **57** could be converted into the corresponding 1,5-benzothiazepines **62–64** using isothiourea catalysts (Scheme 12). By choosing the appropriate anhydride precursor, 1,5-benzothiazepines bearing substituents in either the 2-position (**62**), the 3-position (**63**) or both 2- and 3-positions (**64**) were accessible in generally high yields (up to 99%) and enantioselectivities (up to 99 : 1 er). Notably, mechanistic investigations into the formation of 3-substituted 1,5-benzothiazepines revealed that thioester **59** is formed reversibly *in situ* and is likely to be the functioning α,β-unsaturated acyl ammonium precursor, as no formation of α,β-unsaturated acyl ammonium intermediate was observed when only mixed anhy-
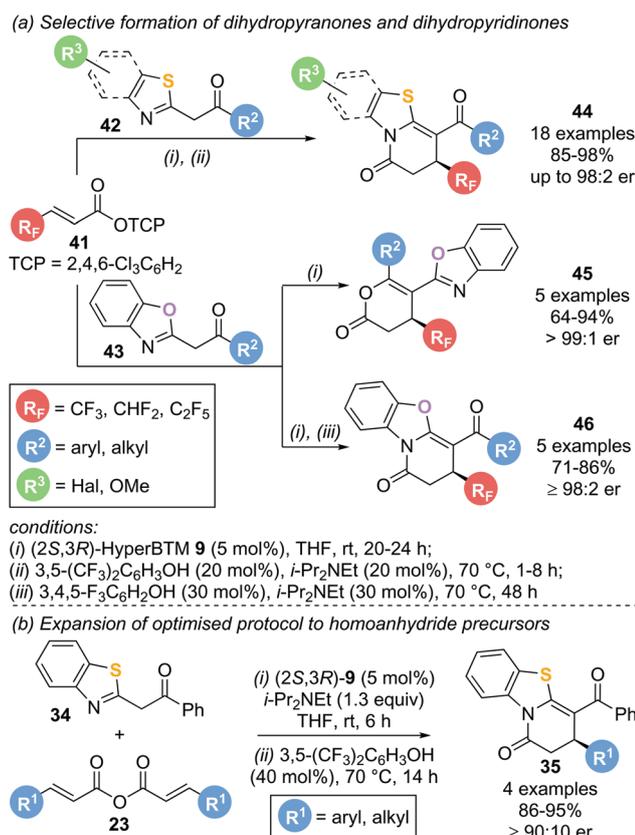
Scheme 10 Selective Michael-lactamization or Michael-lactonization using aryl esters.

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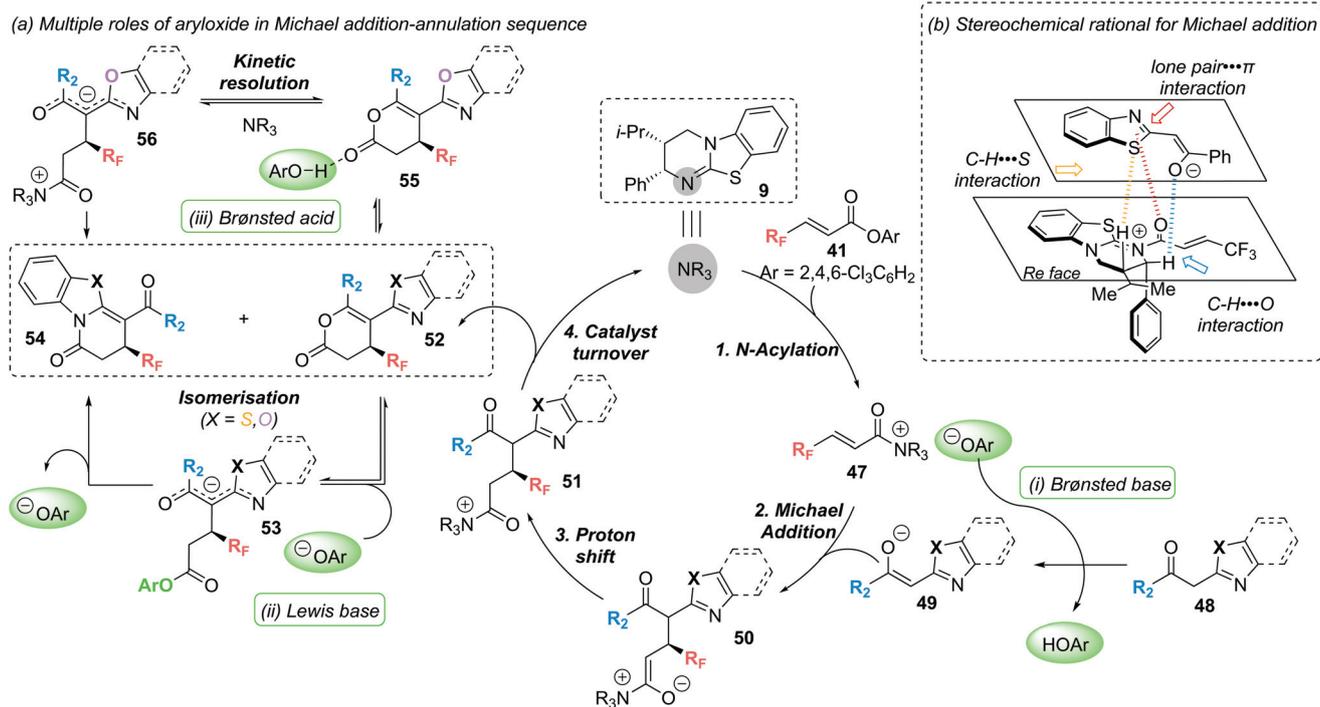
Scheme 11 Michael addition-annulation using 2-acylbenzazoles and fluoroalkyl substituted unsaturated aryl esters: (a) proposed catalytic cycle highlighting multiple roles of aryloxide; (b) stereochemical rational for enantiodetermining Michael addition.
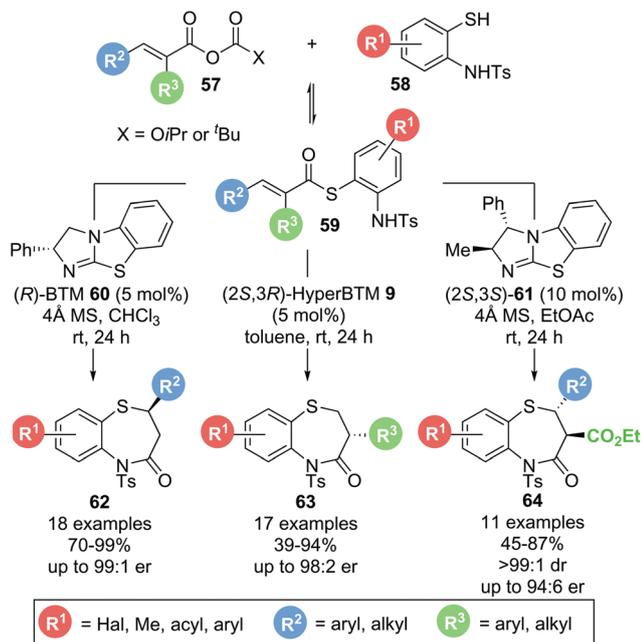
Scheme 12 Thia-Michael addition–lactamization to generate 1,5-benzothiazepines.

dride and catalyst were present. Moreover, thia-Michael addition is postulated to be reversible, making this process a dynamic kinetic asymmetric transformation with the enantioselectivity dictated by the different cyclization rates of diastereomeric intermediates.

In 2018, Romo and co-workers reported a related transformation for the formation of medium sized lactams using amino malonate **65** as bis-nucleophile in combination with acid chlorides **66** as α,β-unsaturated acyl ammonium precursors (Scheme 13).[23] The use of cinchona alkaloid TMSQD **69** as Lewis base catalyst and thermodynamic enolization conditions (i-Pr$_2$NEt and LiCl) were essential for obtaining good yields (up to 87%) and high enantioselectivities (>92 : 8 er). Although only a limited scope of this Michael addition–lactamization process was reported, derivatization of the obtained medium-sized lactam products **67** and **68** to ring opened or ring contracted derivatives demonstrated the synthetic utility of the process.

In 2019, Birman used achiral, electron-rich amidine organocatalyst DHIP **71** in the Michael addition–annulation of aliphatic S-phenacyl thiocinnamate **70**.[24] Based on their earlier work on thiochromenes[25] (see Scheme 24) and thiochromanes[26] (see Scheme 25) they predicted the formation of β-lactam **77** or dihydrothiophene **78** via an analogous domino pathway. However, dihydrothiophene **72** was the only product observed. Its formation can be rationalised proceeding through a thia-Michael addition followed by proton transfer and subsequent ring closure via a Dieckmann-like cyclisation (Scheme 14a). Substrates with electron donating, electron withdrawing and heteroaromatic aryl substituents (R^1, R^3) all reacted smoothly. A β-dimethyl substituted Michael acceptor also gave the desired product, whereas α-substitution was not tolerated. As the synthesis of certain α,β-unsaturated thioester substrates proved troublesome, an alternative two component

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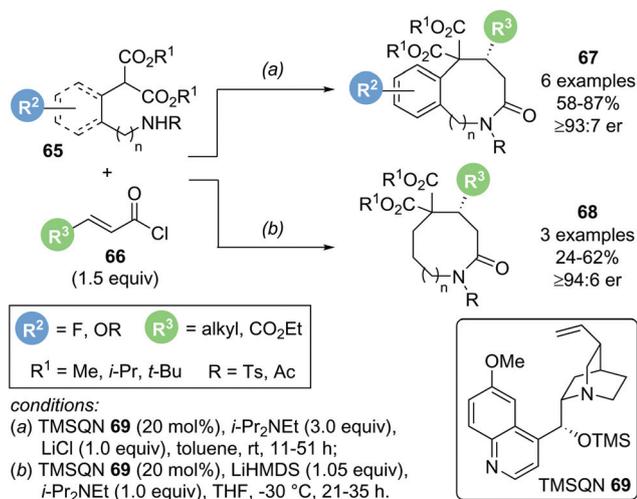
**Scheme 13** Michael-lactamization sequence to generate medium sized lactams.

strategy starting with α,β-unsaturated TCP ester **79** and thiol **80,** was devised, giving the corresponding dihydrothiophenes **81** in comparable yields (Scheme 14b). Unfortunately, studies towards an enantioselective variant of this protocol by using chiral analogues of the amidine catalyst were unsuccessful. This was speculated to be due to a racemic background reac-
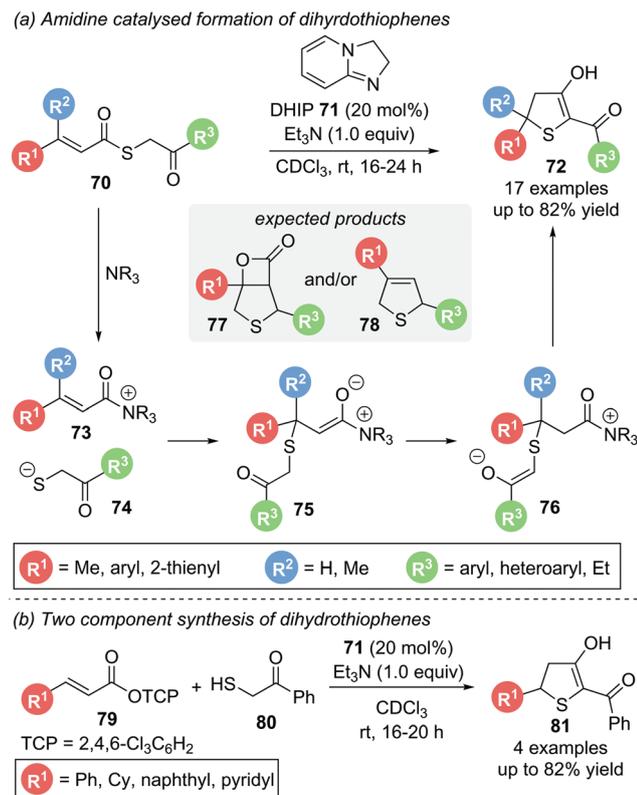
Scheme 14 Thia-Michael addition – Dieckmann cyclisation for the synthesis of dihydrothiophenes.

tion operating *via* an alternative reaction pathway without the involvement of an organocatalyst.

The applicability of such Michael addition–annulation sequences to the construction of heterocyclic molecules was further demonstrated by Luo, Deng and co-workers.[27] Using symmetric anhydrides **23** as α,β-unsaturated acyl ammonium precursors and indoline-2-thiones **82** as pronucleophiles with isothiourea catalyst (2*S*,3*R*)-HyperBTM **9** furnished indolo[2,3-*b*]-dihydrothiopyranones **83** in high yields (61–97%) and generally excellent enantioselectivities (up to 99 : 1 er) (Scheme 15). Notably, the reaction did not require any auxiliary base as the *in situ* generated carboxylate anion was assumed to deprotonate the indoline-2-thione to generate the thioenolate nucleophile required for initial Michael addition.
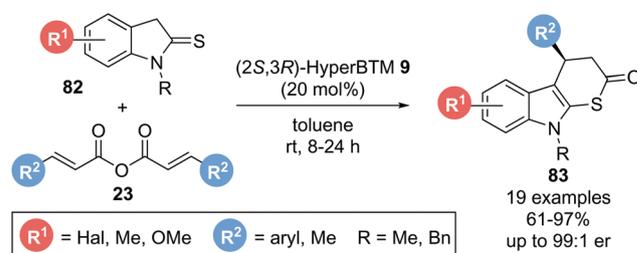
Scheme 15 Michael addition-thioester formation to generate dihydrothiopyranones.

In a related study, Smith and co-workers reported the enantioselective synthesis of tetrahydro-α-carbolinones.[28] Employing α,β-unsaturated *p*-nitrophenyl esters **7** and indoline-2-imines **84** as starting materials in the presence of isothiourea catalyst (2*S*,3*R*)-HyperBTM **9**, the corresponding products **85** could be obtained in good yields (32–99%) and excellent enantioselectivities (up to 99 : 1 er) (Scheme 16). In this case the use of NaHCO$_3$ as auxiliary base was necessary to generate an enamine nucleophile for the desired Michael addition. Notably, variation of the β-substituent within the ester (R^2) allowed successful incorporation of a variety of polyhalogenated substituents. In addition, generally challenging aliphatic substituents (R^2) were also tolerated, furnishing the desired products with excellent enantiocontrol, albeit in reduced yield (38–59%).
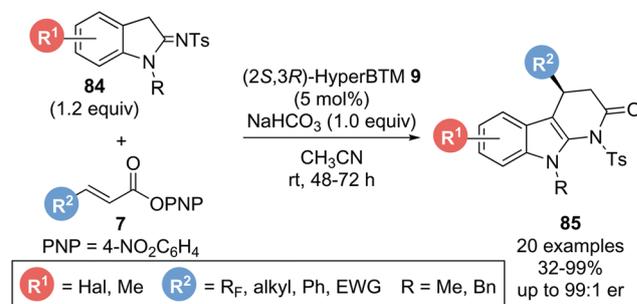
Scheme 16 Michael-lactamization for the synthesis of tetrahydro-α-carbolinones.

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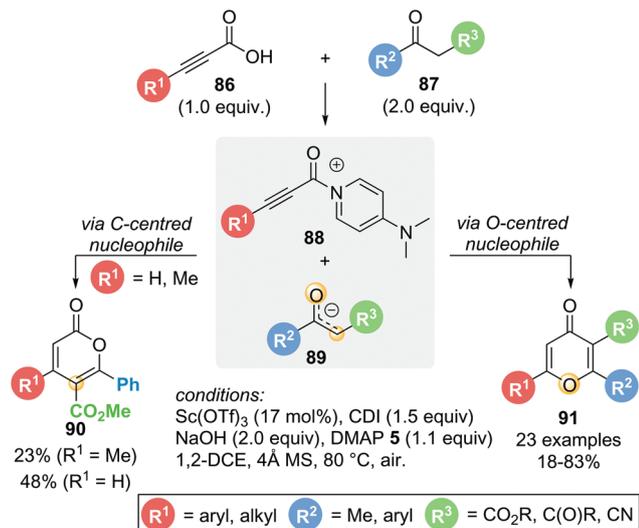
Scheme 17 Dual Lewis acid/base strategy for the synthesis of 4H-pyran-4-ones.

Scheme 18 Cooperative Lewis base/Brønsted acid catalysis for the synthesis of dihydropyridinones.

In 2016, Du showcased a dual Lewis acid/base strategy in the regioselective synthesis of 4H-pyran-4-ones **91** from *in situ* activated alkynyl acids **86** and 1,3-dicarbonyl compounds **87** (Scheme 17).[29] The reaction proceeds through Michael addition to acyl ammonium intermediate **88** *via* an O-centred enolate nucleophile, giving the corresponding 4H-pyran-4-ones **91** in good yields (18–83%). Interestingly, the formation of isomeric lactone 2H-pyran-2-one **90** *via* C-centred enolate nucleophilic addition was only observed in two cases (R[1] = H or Me). Aromatic and heteroaromatic substituents (R[1]) exclusively gave 4H-pyran-4-one **91**. With R[1] being the only variable in this series of substrates, these results suggest that the substitution present on the alkynyl acid (R[1]) is important in determining product selectivity. Notably, though theoretically catalytic in Lewis base, stoichiometric DMAP **5** was required to obtain acceptable yields. The role of the Lewis acid (Sc(OTf)$_3$) remains unclear, as the reaction also proceeds in its absence, albeit with lower yield (47% without Sc(OTf)$_3$ *vs.* 65% with Sc(OTf)$_3$).

In 2020, Gong and co-workers presented a dual isothiourea/Brønsted acid catalysed Michael addition–lactamization sequence using α,β-unsaturated trichlorophenyl (TCP) esters **79** and isolated, acyclic enamines **92** to yield enantioenriched functionalised 3,4-dihydropyridin-2-ones **94** (Scheme 18).[30] The presence of a Brønsted acid co-catalyst (Ph$_2$PO$_2$H) led to a significant rate enhancement in product formation as demonstrated by ^{1}H NMR experiments. This was attributed to a hydrogen bonding interaction between the phosphate counterion and the enamine, enhancing the nucleophilicity of the latter. A high degree of substitution on both α,β-unsaturated ester **79** and enamine **92** were tolerated, including aryl and alkyl substituents (R[2], R[3]), and electron withdrawing groups (R[1], R[3]), with excellent yields and enantioselectivities maintained throughout. Notably, a selection of polyfluorinated substituents (R[1]) could be incorporated with high yields (71–87%)
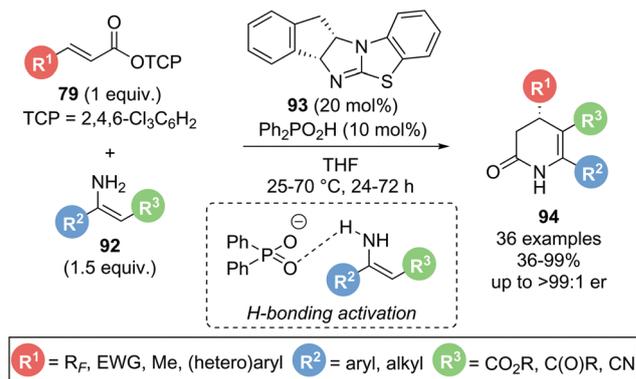
and excellent stereocontrol (>96 : 4 er). In addition, usually challenging crotonic (R[1] = Me) and cinnamic (R[1] = Ph) ester derivatives also gave the desired products in moderate yields (77% and 57%) but high enantioselectivities (91 : 9 er and 95 : 5 er, respectively).

2.3. Domino (cascade) reactions

Domino reactions are becoming increasingly popular as they allow for the rapid generation of molecular complexity, often in a chemo- and stereoselective fashion. In the context of α,β-unsaturated acyl ammonium catalysis, a general domino sequence depicted in Scheme 19 can be envisioned. Nucleophilic addition of the Lewis base catalyst (NR$_3$) into an appropriate precursor generates an α,β-unsaturated acyl ammonium ion pair. Michael addition of a nucleophile (Nu⁻) initiates the domino sequence, as the generated C(1)–ammonium enolate can react with a pendant electrophile (typically a carbonyl derivative or Michael acceptor). This generates another nucleophile (Y⁻), which can displace the catalyst
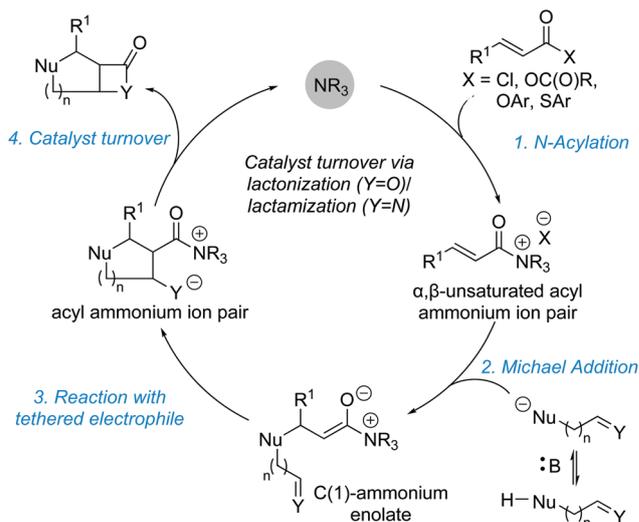
**Scheme 19** General catalytic cycle for domino reactions.

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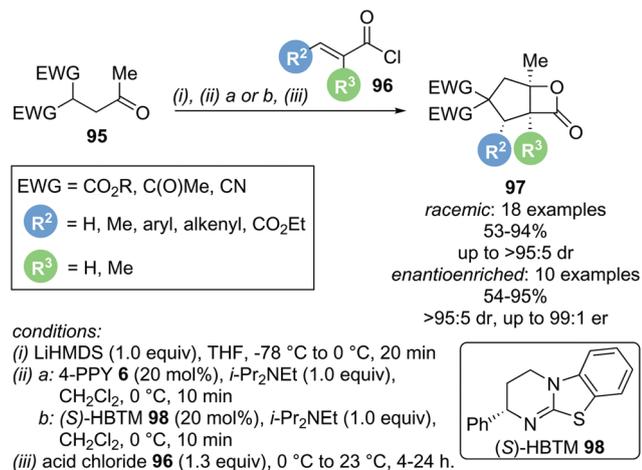
Scheme 20 Michael-aldol-lactonization cascade.

Scheme 21 (a) Michael–Michael-lactonization cascade for the synthesis of cyclopentanes; (b) kinetic analysis using an Eyring plot; (c) substrate organisation in transition state.

(often *via* lactonization or lactamization). This strategy can rapidly generate polycyclic structures in a stereoselective fashion and has been utilised recently by the groups of Romo, Smith and Birman.

In 2013, Romo and co-workers reported a domino Michael-aldol-lactonization sequence forming bicyclic β-lactones using readily available acid chlorides **96** and keto malonates **95** (Scheme 20).[31] This protocol allowed the incorporation of various substituents, generating products with up to 4 contiguous stereocentres, either in racemic or enantioenriched form, in good yields (up to 95%) and high selectivities (up to >95 : 5 dr and 99 : 1 er). Importantly, the use of a lithium base was necessary to obtain good reactivity, as more weakly coordinating counter ions (Na⁺ or K⁺) led to drastically lower yields.

As an expansion to Romo's work, Smith and co-workers reported the enantioselective synthesis of cyclopentane annulated δ-lactones **100** *via* a Michael–Michael-lactonization cascade (Scheme 21a).[32] This protocol uses Michael donor–acceptor substrates **99** containing a malonate and a tethered enone, in combination with acid chlorides **66** as α,β-unsaturated acyl ammonium precursors. A range of malonate ester nucleophiles (variation of R^1) and electron rich aryl substituents on the enone (R^2) and the acid chloride (R^3) were well tolerated, giving the desired lactones in good yield (up to 64%) and with good stereocontrol (>81 : 19 dr, up to 95 : 5 er). However, incorporation of aryl substituents with electron withdrawing groups (R^3) required the isolation of the corresponding products as the ring opened cyclopentanes **101**, as the δ-lactone reaction products proved unstable to chromatographic purification. The use of (2S,3R)-HyperBTM **9** as catalyst and LiHMDS as stoichiometric base was crucial for selective promotion of initial 1,4-addition over 1,2-addition. Notably, an increase in enantioselectivity with increasing reaction temperature was observed, prompting kinetic investigations using Eyring analysis. The rate of formation of the two product enantiomers (K) is related to the differential activation enthalpy ($\Delta\Delta H^{\ddagger}$) and differential activation entropy ($\Delta\Delta S^{\ddagger}$) according to
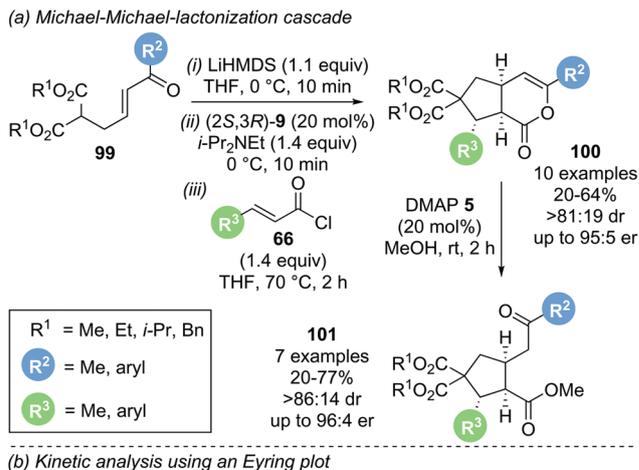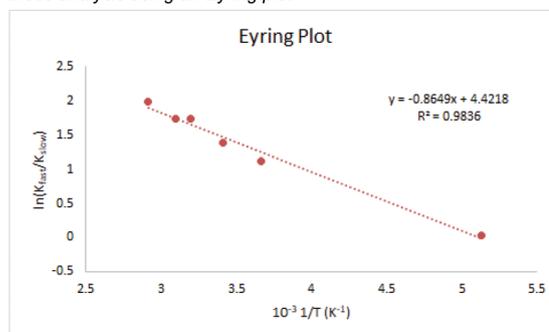
the differential Eyring equation (Scheme 21b). Plotting the natural logarithm of the enantiomeric ratio as a function of reciprocal temperature gave the activation parameters $\Delta\Delta H^{\ddagger}$ (−0.865 kJ mol⁻¹) and $\Delta\Delta S^{\ddagger}$ (+4.42 J mol⁻¹ K⁻¹). A dominant entropic term suggests that enantioselectivity is entropically controlled, indicating conformational flexibility in the transition state. Hence, conformational control through chelation may play a key role in determining enantioselectivity and would explain the importance of a lithium base for obtaining high reactivity and selectivity (Scheme 21c).

In 2018, Romo reported a related cascade reaction, generating the reactive substrate **104** *in situ* from a 1,3-dicarbonyl pronucleophile **102** and an alkylidene malonate **103** that undergoes a domino reaction with a catalytically generated α,β-unsaturated acyl ammonium species to form tricyclic β-lactone **105** (Scheme 22).[33] Preliminary investigations with achiral Lewis base catalyst 4-pyrrolidinopyridine **6** (4-PPY)

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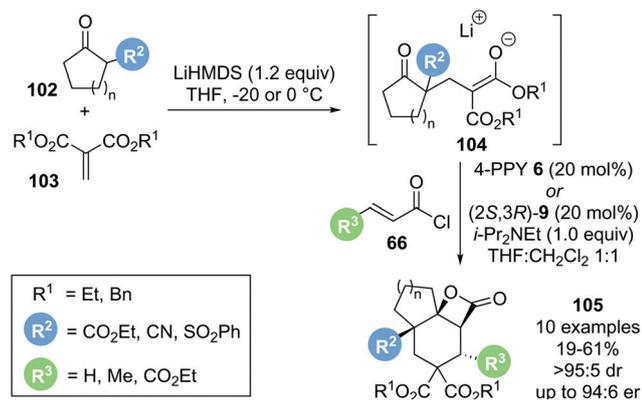
Scheme 22 Michael–Michael-aldol-lactonization cascade to generate tricyclic β-lactones.

showed that the scope for this process is rather limited. While acyclic ketoesters gave the desired β-lactone in promising yield (54–75%), the reaction was not diastereoselective. The use of cyclic β-ketoesters greatly improved the observed diastereoselectivity (>95 : 5 dr), albeit with drastically reduced yield (22%). The use of highly reactive acid chlorides **66** (R^3 = H or CO_2Et) led to improved yield (up to 58%) while maintaining high diastereoselectivity. Further optimisation with chiral Lewis base catalysts revealed that the product yields generally did not exceed 50%. It was postulated that this may indicate the occurrence of a kinetic resolution, as the initially formed Michael adduct **104** is obtained as a racemate. Attempts to further increase the yields through a dynamic kinetic asymmetric transformation (DYKAT) of **104** by increasing the reversibility of the Michael adduct formation were unsuccessful. Although limited in scope, this Michael–Michael-aldol-lactonization sequence furnished valuable tricyclic β-lactones **105** in excellent diastereoselectivity and, when using chiral Lewis base (2S,3R)-HyperBTM **9**, generally high enantioselectivity (>95 : 5 dr, up to 94 : 6 er).

In 2016, Smith and co-workers demonstrated that bench-stable activated aryl esters are viable precursors for isothiourea catalysed domino processes.[34] α,β-Unsaturated acyl ammonium precursor with a tethered Michael acceptor **106** were employed for the formation of complex, polycyclic products in high selectivity (Scheme 23). Three classes of nucleophile were used, with each resulting in a unique class of polycyclic structures. Employing 1,3-dicarbonyls **31** as nucleophiles resulted in the formation of tricyclic δ-lactones **108** in good yields (46–79%) with excellent diastereo- and enantiocontrol (>75 : 25 dr, up to 99 : 1 er) via a Michael–Michael-lactonization pathway. Using acyl benzothiazole nucleophiles **42** under the same conditions resulted in pentacyclic products **109** being formed in good yields (20–83%) with excellent stereocontrol (>95 : 5 dr, up to 97 : 3 er). Importantly, the major product observed results from lactamization through the benzothiazole N-atom rather than lactonization through the pendant enone, as has been observed in other methodologies using benzothiazole nucleophiles. This reaction outcome is rationalised

through a Michael-lactamization-Michael pathway being operative, with the pentacyclic lactam products **109** being formed with >90% selectivity for most examples. As a third class of nucleophiles, acyl benzimidazoles **107** were also investigated. A significant base promoted background reaction was observed, leading to the corresponding racemic pentacyclic lactam products **110** in good yields (49–94%) and diastereoselectivity (>70 : 30 dr) in the absence of the Lewis base catalyst. Further optimization allowed a small set of products to be obtained in enantiomerically enriched form (>78 : 22 er). By analogy to the domino pathway proposed for acyl benzothiazole nucleophiles **42**, a similar Michael-lactamization-Michael reaction pathway is likely to be operative for acyl benzimidazole nucleophiles **107**. However, due to the different heterocycle used, the second Michael addition proceeds via a different enolate, resulting in another distinct product topology.

In 2016, Birman and co-workers reported the enantioselective synthesis of 2-substituted thiochromenes **114** from α,β-unsaturated thioesters **111** via a thia-Michael addition-aldol-lactonization cascade, followed by decarboxylation of the formed β-lactone **113** (Scheme 24).[25] Notably, this report marked the first use of thioesters as substrates with isothiourea catalysts. Employing isothiourea catalyst (2R,3S)-HBTM-2 **112** (10 mol%), various substituents on the aromatic core (R^1) were well tolerated. Several different aryl and heteroaryl substituents on the Michael acceptor (R^2) could also be incorporated, giving the corresponding thiochromenes **114** in excellent yield (up to 99%) and enantioselectivity (>97 : 3 er). As the required thiolate nucleophile and α,β-unsaturated acyl ammonium species are both generated in situ from the thioester substrate during catalyst acylation, this protocol remarkably allows for the formation of enantioenriched thiochromenes without any additives and with CO_2 as the only by-product.

This protocol was extended to the synthesis of tricyclic thiochromanes **119** by employing α,β-unsaturated thioesters **118** bearing a pendant Michael acceptor (Scheme 25b).[26] Initial investigations showed that isothiourea catalyst DHPB **115** could facilitate the desired transformation with good diastereoselectivity, but exhibited poor reactivity. A DFT study showed that electron rich amidine based catalysts exhibit greater Lewis basicity than DHPB, suggesting they might exhibit greater reactivity in the desired transformation. An extensive screen revealed electron-rich isothiourea **116** and amidine H-PIP **117** to be the most reactive and selective catalysts (Scheme 25a). Employing H-PIP **117** (10 mol%), a variety of aryl substituents (R^1, R^2) could be incorporated, yielding the corresponding thiochromane annulated δ-lactone products **119** in good yields (60–93%) with excellent diastereo- and enantioselectivities (up to 95 : 5 dr, >90 : 10 er).

3. Cycloadditions

Cycloadditions using α,β-unsaturated acyl ammonium species are highly effective processes for the rapid assembly of mole-
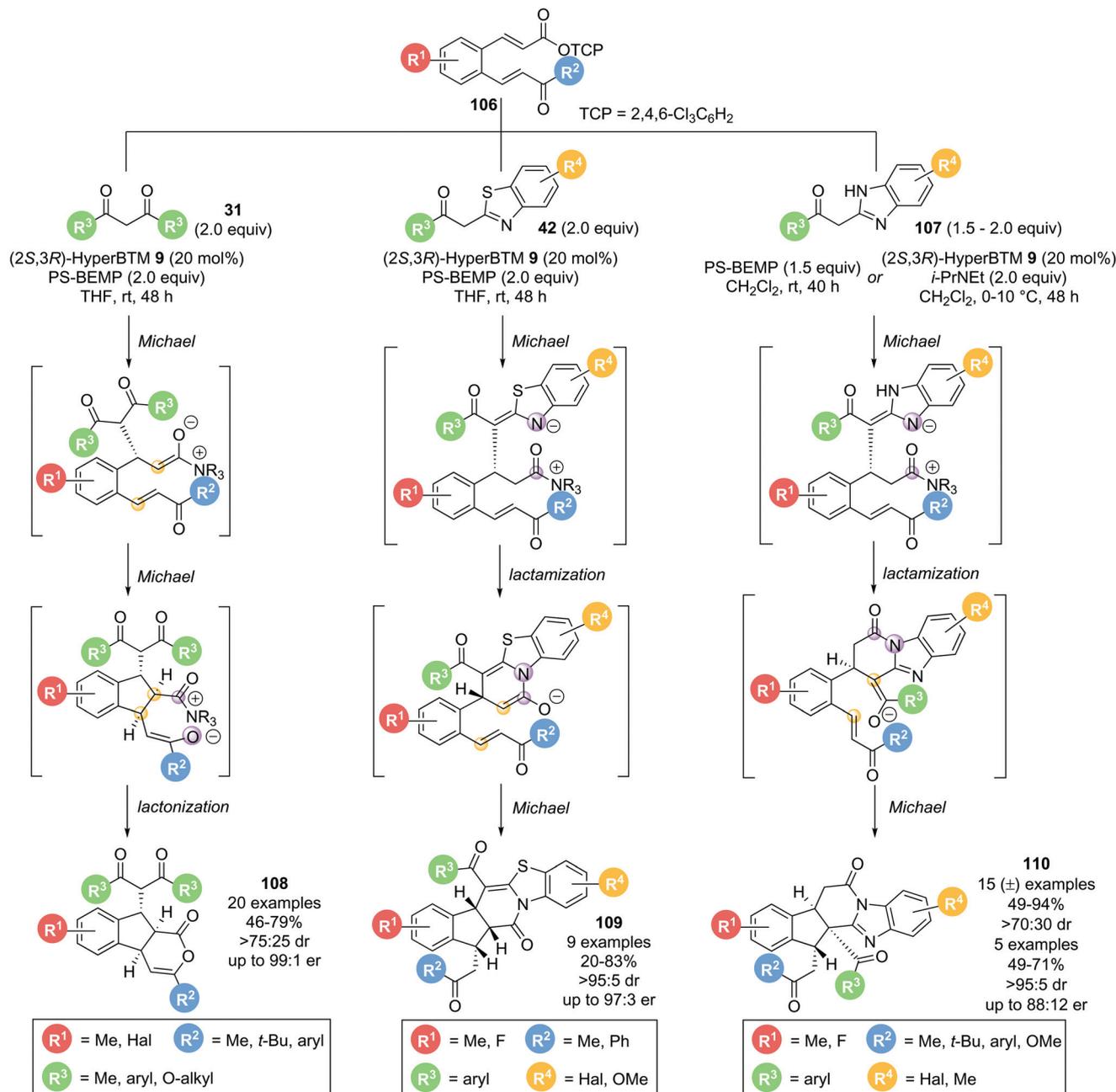
Scheme 23 Stereodivergent nucleophile dependent Michael addition–cyclisation cascade to generate polycyclic compounds.

cules with a high degree of structural complexity, often containing multiple contiguous stereogenic centres. A representative catalytic cycle is shown in Scheme 26. Reaction of the Lewis base catalyst with the corresponding acyl chloride or fluoride generates an α,β-unsaturated acyl ammonium species. Cycloaddition, followed by the reaction with a tethered nucleophile (Y) allows catalyst release and yields the corresponding cycloaddition product.

In 2017, as an expansion of their earlier Diels–Alder (DA)-lactonization strategy,[14c] Romo and Tantillo described an elegant stereodivergent DA-lactonization approach that gave

access to all stereoisomeric members of bi- and tricyclic γ-lactones **121** and **122** (Scheme 27).[35] Acid chloride **66** and O-silylated diene **120** undergo DA cycloaddition in the presence of (S)-BTM **60**, followed by lactonization with the racemic pendant alcohol, giving bi- and tricyclic γ-lactones **121** and **122** with good yields and exceptional endo- and enantioselectivity (all >95 : 5 endo : exo and ≥99 : 1 er). Acid chlorides bearing an electron withdrawing group (R¹ = CO₂Et) or a methyl substituent (R¹ = Me) reacted smoothly whereas acryloyl (R¹ = H) and cinnamoyl chloride (R¹ = Ph) were unsuccessful, as were non-siloxy substituted dienes. Brønsted base selec-

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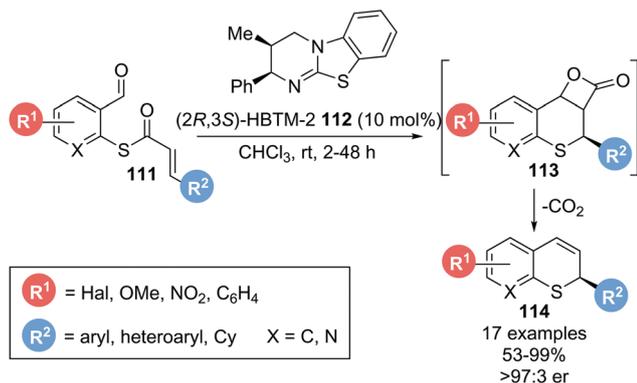
Scheme 24 Thia-Michael-aldol-lactonization cascade to generate thiochromenes.
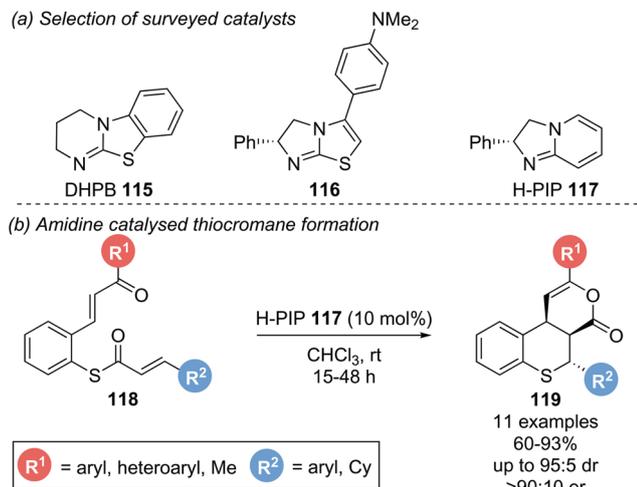
Scheme 25 Michael–Michael-lactonization cascade to generate tri-substituted thiochromanes.

Scheme 26 Catalyst turnover enabled by sequential cycloaddition-annulation.

tion played a key role in determining the stereoselectivity, with improved enantioselectivity observed using 2,6-lutidine and 2,6-di-*tert*-butylpyridine (>99 : 1 er) compared to pyridine, Et₃N and *i*-Pr₂NEt (80 : 20 to 93 : 7 er). Interestingly, this was not proposed to be a result of a competitive racemic cycloaddition. Instead, computational studies indicated that the ability of the Brønsted base to simultaneously engage in C–H···π, π···π stacking and hydrogen bonding interactions may govern the observed stereoselectivity. Notably reaction with judiciously selected Lewis and Brønsted bases, and the resulting tuning of intermolecular interaction, allowed for full access to all stereoisomers. ^{13}C NMR studies indicated that isothioureas may not lead to substrate activation *via* an appreciable lowering of the LUMO, but reduce the rate of intermolecular esterification, allowing for the DA cycloaddition to initiate the cascade. This is complemented by an earlier NMR spectroscopy study from the same group, which concluded that isothioureas increase the steric barrier for 1,2-addition to the carbonyl allowing for a chiral domain in which the latent 1,4-reactivity of the starting

acid chloride can predominate.[36] The products from this methodology could be used to greatly expedite the synthesis of natural products. For example, (+)-dihydrocompactin precursor (+)-**124** could be accessed enantioselectively in just 2 steps from (−)-**123** (6 steps overall) compared to the previous 14 step racemic synthesis (Scheme 27b).

Romo later incorporated the furan motif into a DA-lactamization cascade reaction (Scheme 28a).[37] The aromaticity of furans often renders them unproductive in DA cycloadditions as they readily undergo retro-DA processes. To counteract this reversibility, a pendant sulfonamide on the furan **125** was necessary for an irreversible *exo*-selective lactamization step following the DA cycloaddition. The choice of this pendant nucleophile was key, as replacement of the sulfonamide with an alcohol gave effectively racemic product, rationalised by either slow lactonization leading to a retro-DA after the initial cycloaddition, or by initial intermolecular ester formation followed by racemic DA cycloaddition. Only highly electron deficient and reactive dienophiles were able to overcome the barrier imposed by the inherently unreactive furan. Computational studies indicated that due to the presence of both reversible DA and lactamization steps, the manifold is operating under thermodynamic control (Scheme 28b). The retro-DA step was calculated to have an energy barrier of ~19–23 kcal mol^{-1}, indicating facile reversibility at room temperature. A potentially reversible lactamization step was identified, particularly for the *endo*-diastereoisomer meaning potential funnelling of the *endo*-diastereoisomer to the more thermodynamically favoured *exo*-diastereoisomer. The reversibility of the *endo*-diastereoisomer **128** was not experimentally tested as it could not be isolated. However, the isolable *exo*-diastereoisomer **127** did not appear to undergo retro-DA as no erosion of enantiopurity was observed after re-subjection to the reaction conditions.

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(a) Stereodivergent Diels-Alder-lactonisation
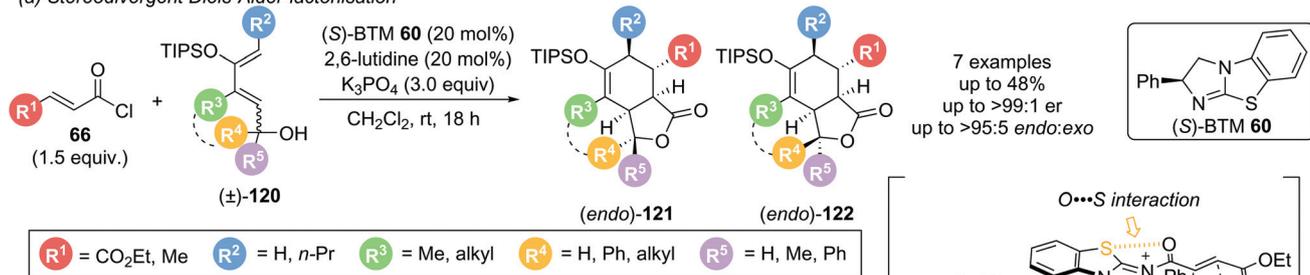
(b) Applications in natural product precursor synthesis

Previously: 14 steps (racemic)
Here: 6 steps (enantioselective)
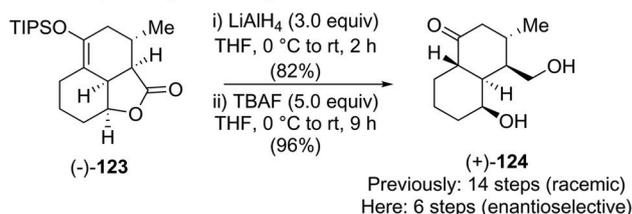
Scheme 27 Stereodivergent Diels−Alder-lactonization and its use in natural product precursor synthesis.

(a) Diels-Alder-lactamization
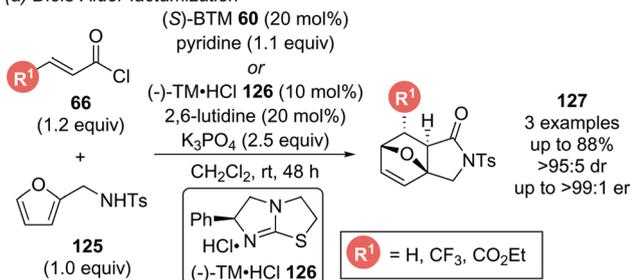
(b) Thermodynamic funnelling to the exo diastereoisomer
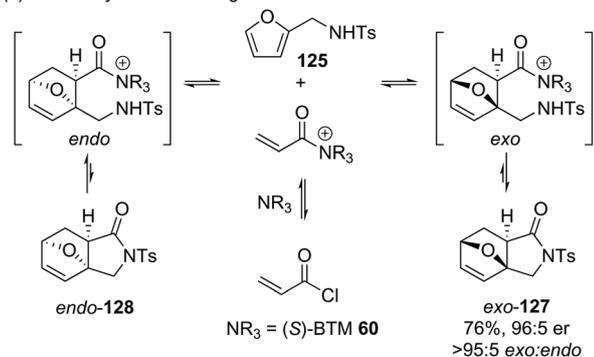
Scheme 28 Organocatalytic Diels−Alder-lactamization.

(a) [4+2] annulation to form trisubstituted benzenes
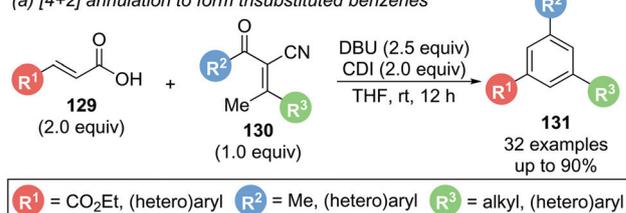
(b) Proposed mechanism
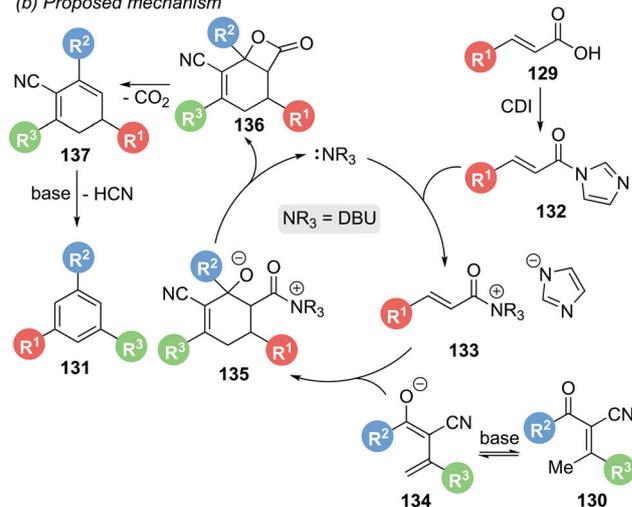
Scheme 29 [4 + 2] cycloaddition between α,β-unsaturated carboxylic acids and methylenones.

In 2018, Ye and co-workers demonstrated the use of DBU as a dual Lewis and Brønsted base in the [4 + 2] annulation of *in situ* activated carboxylic acids **129** and α-cyano-β-methylenones **130** to give the corresponding 1,3,5-trisubstituted benzenes **131** with generally good to excellent yields (Scheme 29).[38] Generation of a reactive acyl imidazole **132** *in situ* by treatment of the carboxylic acid **129** with 1,1′-carbonyldiimidazole (CDI), followed by *N*-acylation of DBU, yields α,β-unsaturated acyl ammonium intermediate **133**. [4 + 2] DA

cycloaddition between this intermediate and the enolate **134** of α-cyano-β-methylenone **130** affords cyclohexene **135**. Subsequent β-lactonization, followed by decarboxylation and aromatisation afforded benzene derivative **131**. Deuterium labelling studies supported a deprotonation/protonation aromatisation sequence from **137**. A large degree of functionality on

both the α,β-unsaturated carboxylic acid **129** and enone **130** were tolerated, including alkyl, aromatic and heteroaromatic substituents. However, carboxylic acids bearing aliphatic substituents in the β-position (R^3) were unreactive. Intriguingly, during a control experiment, reaction of a preformed acyl imidazole with a methylenone could be carried out with a catalytic quantity of DBU (20 mol%) and a stoichiometric amount of Cs_2CO_3 (2 equiv.) to give **131** in 77% yield, indicating that Lewis base catalytic turnover is possible within this system.

4. Miscellaneous

This section covers reports that invoke α,β-unsaturated acyl ammonium intermediates in functionalisation strategies but are not easily characterised into the sections discussed above.

In 2014, Bonne and Rodriguez investigated the use of α,β-unsaturated acyl cyanides **139** as bis-electrophiles for a Michael addition–annulation reaction with β-ketoamides **138** as bis-nucleophiles (Scheme 30a).[39] The transformation was conducted using Takemoto's thiourea catalyst **140** primarily as a hydrogen-bonding catalyst. However, involvement of the tertiary amine motif in the formation of an acyl ammonium intermediate was also tentatively proposed. Notably, Michael acceptors other than acyl cyanides, such as cinnamaldehyde, cinnamoyl chloride or 4-nitrophenol cinnamate, were unreactive under these conditions. To rationalise the stereochemical outcome, the substrate-catalyst interactions were considered

(Scheme 30b). The enolate nucleophile is coordinated to the thiourea moiety *via* hydrogen bonding while activation of the electrophile was proposed to proceed through one of two possible modes. A second hydrogen-bonding interaction (Scheme 30b, pre-TS A) and formation of a covalently bound acyl ammonium species (Scheme 30b, pre-TS B) were both proposed and would lead to the same stereochemical outcome. To gain insight into the activation mode operative under catalysis conditions, ^{1}H NMR experiments were performed. In deuterated acetonitrile, the formation of an acyl ammonium species was clearly observed and further verified by HRMS analysis. However, when the same NMR experiment was performed in deuterated benzene, no acyl ammonium was observed, indicative of substrate activation *via* hydrogen bonding in this case. As the catalysis was conducted in *m*-xylene, activation of the electrophile *via* hydrogen bonding will likely be operative in the reported reaction. However, these findings indicate that the activation mode for the electrophile with this type of catalyst is highly solvent dependent, so both possibilities ought to be considered.

In 2017, the groups of Lu and Du investigated the organocatalytic activation of cyclopropenones **143** in the presence of isatins **142** to obtain spirooxindoles **144** and **145** (Scheme 31a).[40] Interestingly, the nature of the catalyst was not only important for reactivity, but also determined the regioselectivity of the spirooxindole products obtained. Using DMAP **5** as catalyst, spirooxindole **144** was formed, with only trace amounts of isomeric **145** detectable. By employing a chiral DMAP derivative, promising enantioselectivities of up to

(a) *Michael addition-annulation using acyl cyanide precursors*

138 + **139** (2.0 equiv.) → **141**

(*S,S*)-**140** (10 mol%)
m-xylene
0 °C, 40 h

141
11 examples
71-87%
up to >95:5 dr
up to 97:3 er

R^1 = H, Me   R = (SO₂)aryl, Ns

R^2 = aryl, heteroaryl, Me, alkenyl, CO₂Et

(b) *Plausible activation modes dependent on reaction conditions*

pre-TS A
H-bonding mode

or

pre-TS B
acyl ammonium mode

Scheme 30 (a) Use of acyl cyanides in hydrogen bonding catalysis; (b) proposed transition states depending on catalyst mode of activation.

(a) *Formation of isomeric spirooxindoles*

142 + **143** (1.5 equiv.)

(a) → **144**
19 examples
10-99%
6 examples
72-88%
up to 84:16 er

(b) → **145**
6 examples
40-75%

conditions:
(a) DMAP **5** (20 mol%), toluene, 50 °C, 6 h;
(b) DHPB **115** (30 mol%), toluene, 65 °C, 48 h.

R^1 = Hal, OMe, Me R^2 = aryl   R = Bn, Me, Ac, Boc

(b) *Proposed catalytic intermediates*

DMAP
146
preferred 1,2 addition

DHPB
147
preferred 1,4 addition
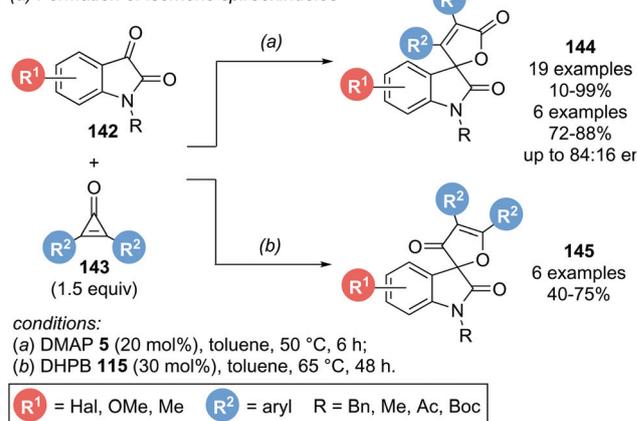
Scheme 31 (a) Use of cyclopropenones for the synthesis of spirooxindoles; (b) proposed catalytic intermediates dependent on Lewis base used.

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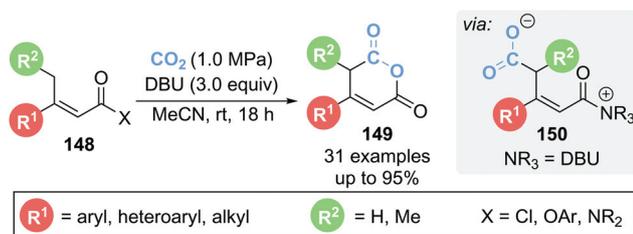
Scheme 32 The use of CO_2 as C(1) building block in the synthesis of glutaconic anhydride.

Scheme 33 α,β-Unsaturated acyl ammonium intermediates used in acyl transfer reaction using mixed anhydrides.

84 : 16 er were observed. In contrast, isothiourea catalyst DHPB **115** lead to the preferred formation of regioisomeric **145**. However, the reaction was generally lower yielding and less selective than DMAP catalysed reactions. Unfortunately, no enantioinduction could be achieved for this series by using chiral isothiourea catalysts. With Lewis base catalysts, activation of the cyclopropenone can be achieved *via* either 1,2-addition or 1,4-addition (Scheme 31b). Employing DMAP, 1,2-addition is postulated to give an α,β-unsaturated acyl ammonium intermediate **146**. The obtained intermediate is nucleophilic at the β-carbon, initiating attack on the isatin, followed by lactonization to give spirooxindole **144**. With DHPB as catalyst, 1,4-addition is postulated to lead to acyl anion intermediate **147**. Nucleophilic attack of C(1) of the intermediate on the isatin and subsequent oxa-Michael addition results in spirooxindole **145** as the major product. However, both pathways are highly speculative.

In 2020, Zhang and co-workers reported the γ-carboxylation of butenoate **148** using CO_2 which after cyclisation from α,β-unsaturated acyl ammonium intermediate **150** led to glutaconic anhydride **149** (Scheme 32).[41] DBU is proposed to play multiple roles within the reaction, acting as both a Lewis and Brønsted base as well as activating CO_2 *via* formation of a DBU-CO_2 adduct. A variety of leaving groups (X) could be tolerated on butenoate **148**, namely acid chlorides, acyl imidazole and several aryl esters. Notably the reaction proceeded smoothly with an atmosphere of CO_2 provided by a balloon. ^{13}C isotopic labelling studies using $^{13}CO_2$ were used to identify which carbonyl group in product **149** originated from CO_2.

An alternative use of α,β-unsaturated acyl ammonium species exploits the electrophilic character at the C(1)-position without involving reactive sites at the C(2) or C(3)-position utilised in Michael addition reactions. In 2020, Dong and co-workers demonstrated the use of α,β-unsaturated acyl ammonium intermediates in the formation of axially chiral anilides *via* atroposelective *N*-acylation (Scheme 33).[42] Employing α,β-unsaturated mixed anhydrides **57** and sulfonamides **151** in the presence of (*R*)-HBTM **98**, the desired anilides **152** were obtained in high yields (61–95%) and generally high enantioselectivities (>86 : 14 er). A variety of aryl substituents on the acyl donor (R¹) were well tolerated. Notably, alkyl substituents also gave the corresponding anilides in excellent enantioselectivity. Different sulfonyl groups could also be
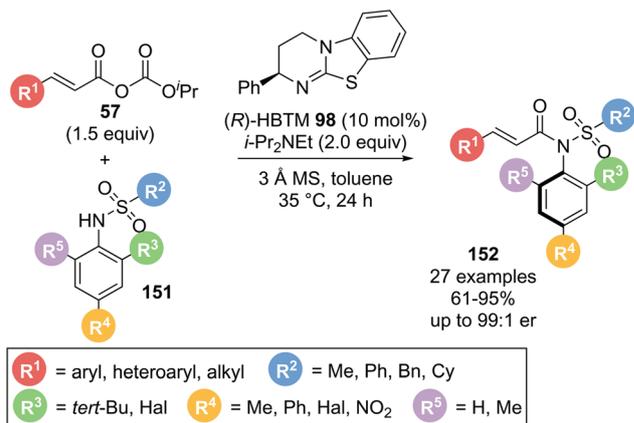
incorporated. Importantly, when the bulky *tert*-butyl group (R³) on the sulfonamide nucleophile was replaced with a smaller methyl or halogen substituent (R³, R⁴), a drop in enantioselectivity was observed. This was attributed to the *tert*-butyl group being required for effective facial differentiation of the sulfonamide through steric interactions.

In a complementary study, Zhao and co-workers also reported the atroposelective synthesis of anilides **152** (Scheme 34).[43] Reaction of symmetric anhydride **23** with a sulfonamide **151** possessing an *ortho*-halogen substituent in the presence of (*S*)-HBTM **98** yielded axially chiral sulfonamide **152** with excellent yields and good enantioselectivities. A wide range of both anhydrides and sulfonamides bearing a variety of aryl, heteroaryl and alkyl substituents were tolerated with minor variation in yield and enantioselectivity. The reduced size of the halogen substituents widely employed in this report likely explain the lower enantioselectivities observed as similarly substituted sulfonamides under Dong and co-workers conditions gave comparable enantioselectivities. In a notable application, the use of the products as catalysts was also explored.
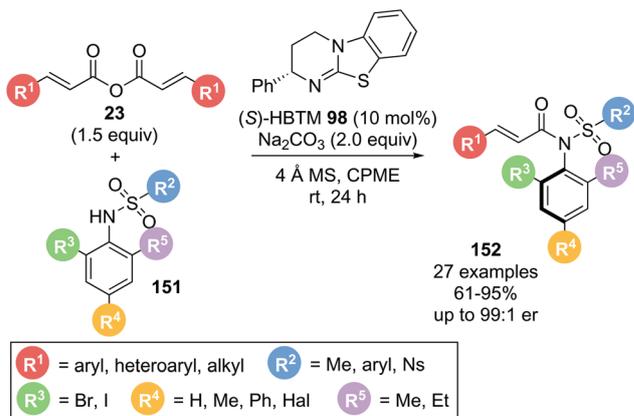
Scheme 34 α,β-Unsaturated acyl ammonium intermediates used in acyl transfer reaction using symmetric anhydrides.

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5. Conclusions

α,β-Unsaturated acyl ammonium species are powerful synthetic intermediates in asymmetric reactions. Whilst many highly efficient methodologies using these intermediates have been established, several areas for future development are still to be explored. Application of these species in target molecule orientated synthesis remain rare and could further highlight their synthetic utility. The advent of aryloxide catalytic turnover and the subsequent use of monofunctional reaction partners, offers the opportunity for 'simple' Michael addition reactions that would otherwise be inaccessible at the carboxylic acid oxidation level. Whilst some mechanistic and computational studies have been reported, further contributions would allow for greater rational design of novel enantioselective reaction processes. Strategies to overcome the limitations on the commonly unreactive alkyl and aryl β-substituted Michael acceptors have yet to be developed and may require the design of alternative catalysts. Further exciting opportunities may arise from the merging of α,β-unsaturated acyl ammonium intermediates with other intermediates arising from either transition metal or photoredox catalysis. Whilst previous work has shown the compatibility of these dual catalytic reaction modes with ammonium enolate chemistry, their combination with α,β-unsaturated intermediates has yet to be explored.

Note added in proof (December 07, 2020):

While this manuscript was at the proof stage, Romo and co-workers reported the application of enantioselective α,β-unsaturated acyl ammonium catalysis in the formal synthesis of (+)-neooxazolomycin[44] and Smith and co-workers demonstrated its applicability to the transfer hydrogenation of α,β-unsaturated *para*-nitrophenyl esters.[45] Birman also demonstrated further cascade cyclization reactions of α,β-unsaturated thioesters.[46]

Conflicts of interest

There are no conflicts to declare.

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