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Water formation on interstellar silicates: the role of $\text{Fe}^{2+}/\text{H}_2$ interactions in the $\text{O} + \text{H}_2 \rightarrow \text{H}_2\text{O}$ reaction†

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Water is the most abundant molecule in the solid state of the interstellar medium, and its presence is critically important for life in space. Interstellar water is thought to be effectively synthesised by reactions occurring on the surfaces of interstellar grains, as gas-phase reactions are not efficient enough to justify its high abundance. In the present work, DFT simulations have been performed to investigate the formation of interstellar water through the $\text{O} + \text{H}_2 \rightarrow \text{H}_2\text{O}$ reaction on olivine silicate surfaces that contain Fe^{2+} cations. The surfaces have been modeled adopting both periodic and cluster approaches. This study focuses on: (i) the stability of the surface models as a function of the electronic states (i.e., quintuplet, triplet and singlet) arising from the presence of the Fe^{2+} centers, (ii) the adsorption of H_2 on the silicate surfaces and its likely activation due to the $\text{Fe}^{2+}/\text{H}_2$ interactions, and (iii) characterising the energy profiles of the H_2O formation reaction complemented with kinetics that include tunneling effects. The results indicate that quintuplet is the most stable electronic state in all the bare surface models. H_2 adsorption, however, does not show a clear trend on the relative stabilities of the H_2 /surface complexes with the electronic states, which is in general more favourable on singlet state surfaces. Finally, reactions simulated on the periodic surfaces show elementary high energy barriers but the reaction is kinetically feasible (considering the long lifetime of interstellar clouds) due to the dominance of tunnelling. In contrast, in the nanocluster models, tunneling effects cannot contribute due to the presence of endoenergetic elementary steps. It is predicted that the reactions on the nanoclusters are only possible if the energy released during the adsorption of the O atom is used to overcome the energy barriers.

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1 Introduction

The interstellar medium (ISM) is the dilute matter and radiation that fill the space between stars. It plays a central role in the formation of stellar systems and galaxies because it is involved in different phases of their life cycles.^{1,2} As a result, these astrophysical regions are inhomogeneous, with temperatures ranging from 10 to more than 10^6 K, and atomic densities from 10^{-4} to 10^8 cm^{-3} .³

The matter of the ISM, which aggregates forming the so-called interstellar clouds, is found in the gas-phase state and in

the form of solid-state dust grains. In the gas phase, more than 240 species have been detected through rovibrational and IR emission observations,⁴ of which the H_2 molecule is the most abundant. Depending on the C/O ratio at which they form, the dust grains are built up by refractory materials of carbonaceous materials or silicates. The main families of interstellar silicates are pyroxenes and olivines,⁵ with general compositions of $\text{Mg}_x\text{Fe}_{(1-x)}\text{SiO}_3$ and $\text{Mg}_{2x}\text{Fe}_{(2-2x)}\text{SiO}_4$ (with $x = 0-1$). These dust particles lock up nearly 100% of the silicon, magnesium and iron, and around 30% of the oxygen.⁶ Although their mean size is about 0.1 μm , the majority of the surface available for heterogeneous reactions comes from the 0.001 μm -sized grains.⁷ Commonly, interstellar grain silicates are structurally amorphous (although crystalline silicates have also been identified^{8,9}) and, thus, the outer surface morphology and composition of the grains depend on the type of the interstellar cloud where they are found. Most of the surface sites are suited for physisorption, but there is also space for chemisorption, depending on the nature of the interactions between the adsorbate species and the surface binding sites.¹⁰⁻¹²

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† Electronic supplementary information (ESI) available: Table with the lattice parameters of the optimized periodic Mg-pure (forsterite, Mg_2SiO_4) and Fe-containing (olivine, $(\text{Mg},\text{Fe})\text{SiO}_4$) surfaces; description of the calculation of rate constants and of tunneling correction schemes adopting a semi-classical approach; optimized fractionary coordinates of the periodic surfaces; and optimized Cartesian coordinates of the nanocluster systems. See DOI: <https://doi.org/10.1039/d2cp04051d>

Water is the most abundant solid-state component in the ISM^{17,21–23} and, as aforementioned, it is mostly found covering the core grains in the form of ice. The abundance of interstellar gas-phase water is different depending on the regions of the ISM, as the rate of ice sublimation and desorption varies with temperature, leading to densities $<10^{-8}$ to $>10^{-4}$ with respect to H_2 .^{22,24,25} As solid and gas, water takes out most of the elemental oxygen, in which the chemical composition of these regions is governed by how the available oxygen is used to form other species.^{6,26,27} The importance of interstellar water is also due to its crucial role in life: its presence is considered a mandatory criterion for the habitability of exoplanets.^{28,29} Therefore, studying the evolution of the dust grains in protoplanetary discs (prior to planet formation) including the presence of water ice is of paramount importance,³⁰ and the formation of water in astrophysical environments is a research subject of fundamental interest.

Interstellar water can be produced through gas-phase and surface chemical reactions.⁶ In the gas phase, two main synthetic routes are considered: (1) low-temperature ion-neutral, and (2) high-temperature neutral-neutral³¹ reactions. However, it has been long recognized that the large abundances of interstellar water cannot properly be explained by only gas-phase reactions and, therefore, it is considered to be efficiently synthesized by reactions occurring on the surfaces of grains.^{6,18}

The different proposed paths through which water can be produced are summarized in Fig. 1. In general, they can be based on: (i) reaction of H (the most abundant gas-phase atomic species in ISM), mostly to O, O₂, and O₃ (although as yet there is no evidence of the presence of interstellar ozone⁴), and (ii) reaction of H₂ (the most abundant gas-phase molecular species in ISM), mostly with O and OH. Different experimental studies have investigated these possible pathways in interstellar

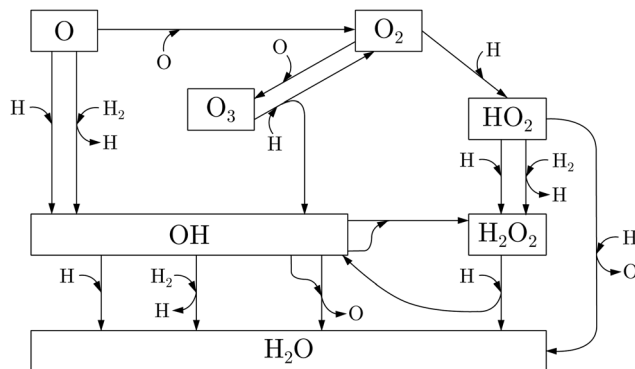
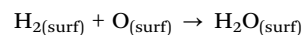


Fig. 1 Scheme of the chemical reaction network relative to the H_2O formation on interstellar grain surfaces. Adapted from.^{1,2,6}

clouds (see Table 1). Most of them are centered on the reaction of $\text{O}_2 + \text{H}$, which leads to mainly H_2O through H_2O_2 as the intermediate. In addition, for some of these reactions, the effective rate constants have been estimated³² and analyzed *via* astrochemical modeling.³³

At variance with the extensive experimental work, theoretical studies are practically missing, the available works mainly addressing the adsorption of water on silicate surfaces by means of classical potential or quantum chemical methods.^{49–54} An interesting study on water formation was published by Goumans *et al.*⁵⁵ The authors investigated the hydrogenation of atomic O by means of QM/MM simulations using a cluster model of 34 atoms mimicking a Mg_2SiO_4 (010) silicate surface. Results indicated that the reaction steps are exoergonic with low activation energies (below 7 kcal mol⁻¹). Another similar study was done by Molpeceres *et al.*,¹² which characterized the potential energy surfaces of the hydrogenation of atomic O using a periodic model for the (010) Mg_2SiO_4 surface, including a kinetic study, showing the relevance of tunneling effects. Other theoretical studies investigated the formation of H_2O on the surfaces of water ice mantles,^{56,57} instead of silicate surfaces. The authors also included a kinetic study to evaluate the tunneling effect, which was also shown to be of great importance.

In view of the lack of theoretical studies addressing the interstellar H_2O formation, the scope of this work is to investigate the formation of interstellar water adopting the reaction of



on the surfaces of silicates containing Fe^{2+} cations. The underlying idea is that the Fe^{2+} cations can activate H_2 , helping its dissociation, in which the resulting H atoms can react with O. To this end, we have characterized the potential energy surfaces of the elementary steps involved in the reaction, and calculated the corresponding rate constants taking into account quantum tunneling, which are expected to be dominant because of the participation of H atoms and the very cold temperatures of the ISM. The silicate surfaces have been modelled through crystalline periodic surfaces of $(\text{Mg},\text{Fe})_2\text{SiO}_4$ olivine and also through $\text{Mg}_3\text{FeSi}_2\text{O}_8$ and $\text{Mg}_3\text{FeSi}_3\text{O}_{12}$ nanoclusters. The results of this

Table 1 Routes for H₂O formation investigated experimentally and available in the literature

Reaction	Method	T_{surface} (K)	Observed products	Ref.
O + H	Sequential deposition of plasma-activated N ₂ O and D ₂	12	D ₂ O	34
	Co-deposition of microwave-discharged O ₂ and D ₂	10	HDO, D ₂ O	35
	Co-deposition of microwave-discharged ¹⁸ O ₂ and D ₂	15–25	D ₂ O, D ₂ O ₂ , O ₃	36
O ₂ + H	Exposure of microwave-discharged H ₂ to solid O ₂	20		37
	Exposure of microwave-discharged H ₂ (D ₂) to solid O ₂	10	H ₂ O ₂ , H ₂ O (D ₂ O ₂ , D ₂ O)	38
	Exposure of microwave-discharged H ₂ (D ₂) to solid O ₂	12–28	H ₂ O ₂ , H ₂ O (D ₂ O ₂ , D ₂ O)	39
	Exposure of microwave-discharged H ₂ to solid O ₂	15–27	H ₂ O ₂ , H ₂ O, O ₃	40
	Co-deposition of microwave-discharged H ₂ and molecular O ₂ gas	10–40	H ₂ O ₂ , H ₂ O	41
	Co-deposition of microwave-discharged H ₂ and molecular O ₂ gas	15–25	H ₂ O ₂ , H ₂ O, O ₃ , HO ₂	42
	Co-deposition of microwave-discharged D ₂ and molecular O ₂ gas	10	D ₂ O ₂ , D ₂ O	43
O ₃ + H	Exposure of microwave-discharged H ₂ to solid O ₃	10	H ₂ O, H ₂ O ₂	44
	Exposure of microwave-discharged H ₂ (D ₂) to solid O ₃	25–50	H ₂ O, H ₂ O ₂ (D ₂ O, D ₂ O ₂)	45
	Co-deposition of H ₂ gas and microwave-discharged H ₂ O	10	H ₂ O, H ₂ O ₂ , O ₃	46
OH + H ₂	Deposition of microwave-discharged H ₂ O	40–60	H ₂ O, H ₂ O ₂ , O ₃	47
OH + OH	Deposition of microwave-discharged H ₂ O with rare gases	3.5–30	OH, HO ₂ , H ₂ O	48

study give, for the first time, an atomistic, complete view, both energetically and kinetically, of the interstellar water formation on olivine surfaces through the aforementioned reaction, paying special attention to the role of the Fe²⁺ cations, with the aim of improving our understanding of the dust grains' reaction network relative to the formation of interstellar solid state H₂O.

2 Methods

In this work, the olivine surfaces have been modelled adopting both periodic and cluster approaches.

The periodic surfaces were based on the crystalline (010), (001), and (110) Mg₂SiO₄ surfaces, previously used by some of us.^{10,12,58} For each surface, the Mg²⁺ cations placed at the outermost positions of the surfaces were replaced by Fe²⁺, thus generating olivine surfaces containing one Fe center in the unit cell. This procedure has given rise to five different Fe-containing olivine surfaces, hereafter referred to as: (010)-Fe1, (001)-Fe1, (001)-Fe4, (110)-Fe2, and (110)-Fe8. All the periodic surfaces contain 56 atoms in the unit cell. Fig. 2(a)–(c) show the original Mg₂SiO₄ surfaces and the resulting Fe-containing slab models.

The periodic surfaces have been computed using the CRYSTAL17 code,⁵⁹ an all electron program that performs full periodic simulations adopting Gaussian-type orbitals centered to the atoms. Periodic calculations were performed using the hybrid B3LYP^{60,61} density functional in combination with the D3(BJ)^{62,63} empirical correction for dispersion interactions. In previous works by some of us^{12,64} as well as in others dealing with silicates theoretically,^{65–68} it is shown that B3LYP performs pretty well for the modeling of this kind of systems and simulation of their physico-chemical properties. Moreover, the inclusion of dispersion forces is of fundamental importance to properly describe the interaction of H₂ with silicate surfaces.^{10,58}

The lattice parameters of the optimized surfaces (both the Mg₂SiO₄ and the Fe-substituted analogues) are summarized in the ESI.† Geometry optimizations of the H₂/surface complexes and of the stationary points of the H₂O formation reaction were carried out keeping the optimized cell parameters of the bare

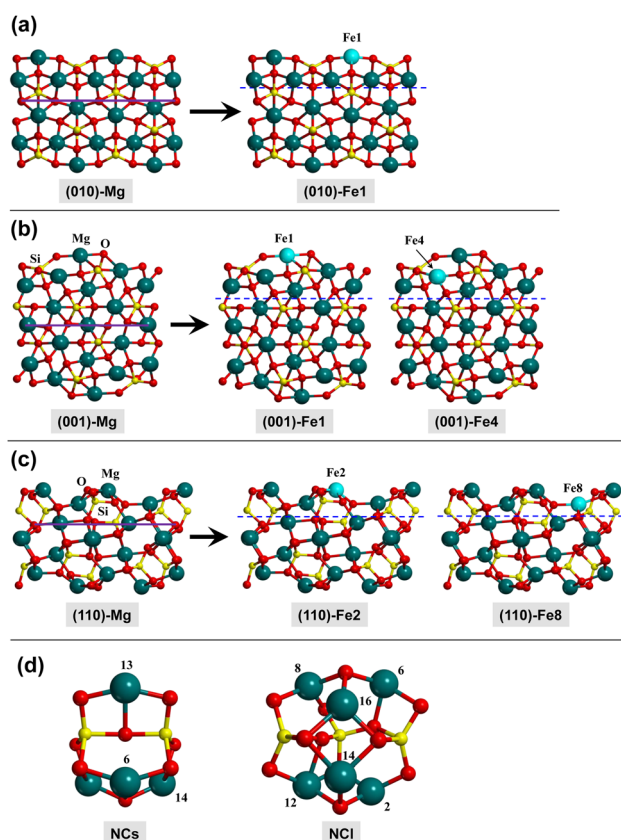


Fig. 2 Slab models for the (010), (001) and (110) Mg₂SiO₄ silicate surfaces and upon Fe²⁺ substitution (panels (a)–(c), respectively). The atoms above the blue dashed lines are those included in the frequency calculations. Panel (d): Mg₄Si₂O₈ and Mg₆Si₃O₁₂ nanocluster models used in this work. Labelled Mg atoms are those that are substituted by Fe²⁺ cations.

surfaces fixed and, accordingly, only the positions of the internal atoms were optimized through the analytic and energy gradients. The shrinking factor for the net of the reciprocal space was set to 3, requiring diagonalizing the Hamiltonian matrix in 5 *k* points. The SCF convergence was set to 10^{−7} Hartree, and the overlap integrals that control the Coulomb and exchange series to 10^{−6} and 10^{−16}. Zero-point energy (ZPE) and thermal

corrections to the calculated energetics were computed through the application of standard statistical thermodynamics formulae within the harmonic approximation. Frequencies were computed at the Γ point by diagonalizing the mass-weighted matrix. In CRYSTAL, this is done by numerical differentiation of the first-energy derivatives, in which for a given equilibrium geometry, the nuclear positions are displaced by 0.003 Å. To save computational cost, and considering that surface regions not involved in the adsorption/reactions remain unperturbed along the processes, this has been done considering a fragment of the surfaces (defined by the blue dashed lines in Fig. 2).

The cluster models are based on two nanoclusters previously described by Zamirri *et al.*:⁶⁹ Mg₄Si₂O₈ and Mg₆Si₃O₁₂ (see Fig. 2(d)), hereafter referred to as NCs (small nanocluster) and NCl (large nanocluster), respectively. To generate Fe-containing nanoclusters, a Mg atom was replaced by a Fe one. The resulting structures have been named NCs-FeX and NCl-FeX, where X is the label of the substituted Mg atom (see Fig. 2(d) for these labels). Accordingly, the substitution in NCs leads to three different nanoclusters (NCs-Fe6, NCs-Fe13 and NCs-Fe14), and substitution in NCl leads to six nanoclusters (NCl-Fe2, NCl-Fe6, NCl-Fe8, NCl-Fe12, NCl-Fe14 and NCl-Fe16).

Calculations for the nanoclusters have been done with the Gaussian 16 programs package.⁷⁰ Since Fe-containing nanoclusters have not been reported yet in the literature (and accordingly no methodological benchmark is available), in this work, the B3LYP-D3(BJ) method has been used, alongside the meta-hybrid M06-2X⁷¹ complemented with the D3 dispersion correction (M06-2X-D3). The employed basis set has been the 6-311++G(d,p) one. For the sake of accuracy, in some selected cases, single point energy calculations on the optimized-B3LYP-D3(BJ) geometries have been performed at the CCSD(T) level⁷² using an aug-cc-VTZ basis set (see below, in the Nanoclusters Section, the details on these selected cases). Nanocluster-based structures were characterized by the analytical calculation of the harmonic frequencies. ZPE-corrected values were obtained including thermochemical corrections computed at the B3LYP-D3(BJ) level resulting from the standard rigid-rotor/harmonic-oscillator treatment.

Due to the very low temperatures at which the reactions under study take place (namely, 80–100 K, in the diffuse clouds), and the fact that in the reactions H atoms participate, tunneling effects are expected to be of paramount importance. Accordingly, rate constants accounting for tunneling adopting a semi-classical approach, $k_{\text{SC}}(T)$, have been computed through the Eyring equation:⁷³

$$k_{\text{SC}}(T) = \kappa(T) \frac{k_{\text{B}} T}{h} e^{-\Delta G^\ddagger / k_{\text{B}} T}, \quad (1)$$

where $\Delta G^\ddagger$ is the free energy barrier calculated at temperature T , k_{B} is the Boltzmann constant, and $\kappa(T)$ is the tunneling transmission coefficient. This latter parameter is computed as follows:

$$\kappa(T) = \frac{\int_{E_0}^{+\infty} P^T(E) e^{-\beta E} dE}{\int_{E_0}^{+\infty} P^C(E) e^{-\beta E} dE}, \quad (2)$$

where $\beta = 1/k_{\text{B}}T$, $P^T(E)$ is the quantum transmission probability, $P^C(E)$ is the classical transmission probability, and $E_0 = \max(E_{\text{r}}, E_{\text{p}})$ with E_{r} and E_{p} being the energy of the reactants and the products, respectively, in which all the energies are ZPE-corrected.⁷⁴ Different models have been developed to calculate the transmission probability factor. In the present work, the following 1D tunneling corrections (*i.e.*, considering tunneling only along the reaction coordinate) have been adopted: (i) the asymmetric squared, (ii) the asymmetric parabolic, and (iii) the asymmetric Eckart potential barriers (the latter also being the basis for an Eckart approximated and the Wigner correction). For the calculation of the semi-classical rate constants, a program written in Python has been developed, the code and the documentation of which can be freely found in https://github.com/MarcSerraPeralta/k_tunneling. In the ESI,[†] the theoretical description of the rate constants including these tunneling correction models is provided.

3 Results and discussion

Results of this work are centered on the following aspects, for both the periodic surfaces and the nanocluster systems. First, the relative stabilities of the bare Fe-containing silicates as a function of the electronic state (which arise from the presence of one Fe²⁺ cation on at the surface) are studied. Then, the adsorption of H₂ on the Fe²⁺ center is performed, calculating the adsorption energies and considering the different electronic states. Finally, for one periodic surface and one nanocluster model, the reactivity towards H₂O formation is simulated, including the calculation of semi-classical rate constants associated with the different elementary steps. This section starts with the results for the periodic surfaces and then is followed by the results for the nanoclusters.

3.1 Periodic surfaces

The presence of one Fe²⁺ metal center in the unit cell of the slab surfaces gives rise to the following different electronic states: quintuplet (Q), triplet (T), and singlet (S). In a previous study by some of us,¹⁰ it was found that, for the crystalline (010) olivine surface containing a Fe²⁺ cation in the outermost positions, the electronic states follow the relative trend of (from more to less stable): Q > T > S. In the present work, we have performed the same stability study with the other Fe-containing surfaces. The obtained results are shown in Fig. 3. Unfortunately, the (001)-Fe1 T surface has not been possible to compute due to convergence energy problems, and cannot be reported in the graphs (labelled by an asterisk). As for the (010) olivine surface, the overall trend is the same: the Q high spin states are more stable than the T states, which in turn are more stable than the S states. This is in agreement with the fact that transition-metal unsaturated coordinations stabilize high spins *versus* low spins (or what is the same, saturated coordinated environments stabilize low spin states due to the large splitting of the 3d orbitals). For a given electronic state, the relative surface stabilities are in the following order (from more to less stable):

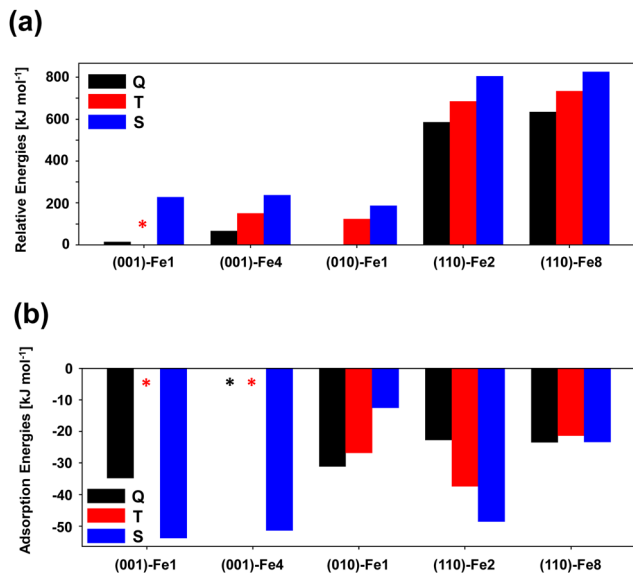


Fig. 3 Panel (a): relative energies, calculated at the B3LYP-D3(BJ) level, of the bare periodic Fe-containing silicate surfaces, considering the quintuplet (Q), triplet (T) and singlet (S) electronic states. Panel (b): calculated adsorption energies at the B3LYP-D3(BJ) level for H₂ on the periodic Fe-containing silicate surfaces considering the different electronic states. Asterisks refer to absent bars for systems that were not possible to calculate due to energy and/or optimization convergence problems. These cases are the bare (001)-Fe1 T, and the H₂ complexes with the (001)-Fe1 T, (001)-Fe4 Q and (001)-Fe4 T surfaces.

(010) > (001) > (110), the same trend as for the Mg-pure analogue surfaces.⁵⁸ This is because the (010) surface presents less unstable point defects (in this case, less unsaturated metal centers placed on the top of the surface) with respect to the other surfaces, which present more unsaturated metal centers and/or metal centers with less coordination numbers.

H₂ adsorption on Fe-containing olivine surfaces has been studied. Fig. 4 presents the structures of the optimized complexes, Table 2 reports the computed adsorption energies alongside interesting structural and vibrational parameters, and Fig. 3(b) is the trend of the adsorption energies as a function of the surface type and the electronic state.

In relation to the adsorption energies, there is no clear trend here with the electronic state. For the most stable (010)-Fe1 surface, the relative stability of the electronic states when an H₂ molecule adsorbs on the Fe²⁺ center is kept with respect to the bare surface (Q > T > S). In contrast, for (110)-Fe2 and (001)-Fe1, the trend is the opposite (Q < T < S), while for (001)-Fe8, the three electronic states present similar stabilities (the S state being the most stable one). Thus, it seems that the adsorption of H₂ exerts important changes in the electronic structure of the olivine surfaces. It is worth mentioning that, in the (001)-Fe4 Q and T surfaces, the H₂ adsorption was not possible because the H₂ molecule, during the geometry optimization, moves towards a nearby Mg²⁺ metal center. In this surface, the Fe²⁺ cation is somewhat buried and, since the H₂ interaction with Fe²⁺ in these electronic states are weak (by analogy with the (001)-Fe1 surface), H₂ prefers to interact with the outermost Mg²⁺ cation.

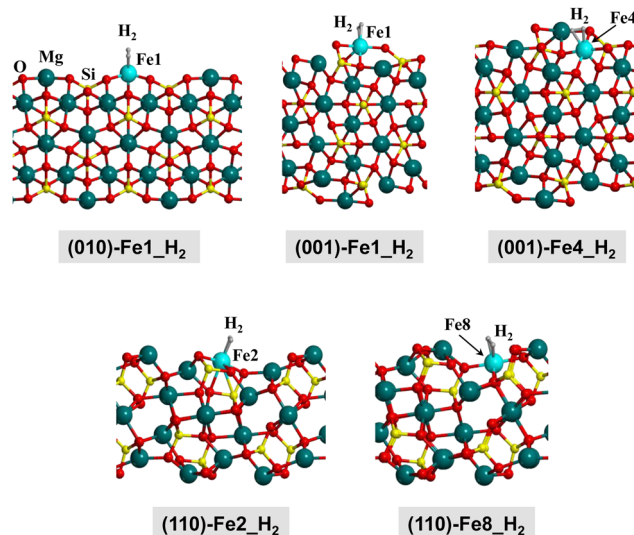


Fig. 4 B3LYP-D3(BJ)-optimized complexes for the H₂ adsorption on the Fe-containing olivine surfaces. Since the complexes in the Q, T and S electronic states do not present significant structural changes, here we only show the complexes at the S state for a qualitative view. Energetic, structural and vibrational parameters of all the complexes are reported in Table 2.

Table 2 B3LYP-D3(BJ)-optimized structural parameters of H₂ adsorption complexes on the periodic surfaces: H–H distance $d(\text{H–H})$, Fe–H distance $d(\text{Fe–H})$ and H₂ vibrational frequency $\nu(\text{H–H})$; and calculated adsorption energies ΔE_{ads} . Distances are in Å, frequencies in cm⁻¹, and energies in kJ mol⁻¹. For the sake of comparison, the structural parameters of the isolated gas-phase H₂ molecule are $d(\text{H–H}) = 0.741$ Å and $\nu(\text{H–H}) = 4451$ cm⁻¹.

Surface	State	$d(\text{H–H})$	$d(\text{Fe–H})$	$\nu(\text{H–H})$	ΔE_{ads}
(010)-Fe1	Q	0.786	1.844/1.829	3698	–31.2
	T	0.783	1.777/1.758	3796	–26.9
	S	0.791	1.716/1.722	3592	–12.5
(001)-Fe1	Q	0.772	1.915/1.897	3925	–34.9
	T	—	—	—	—
	S	0.792	1.672/1.730	3608	–53.8
(001)-Fe4	Q	—	—	—	—
	T	—	—	—	—
	S	0.816	1.686/1.626	3294	–51.4
(110)-Fe2	Q	0.769	1.972/1.989	3953	–22.8
	T	0.783	1.982/2.004	3828	–37.4
	S	0.799	1.657/1.647	3556	–48.6
(110)-Fe8	Q	0.756	2.189/2.279	4117	–23.5
	T	0.776	1.916/1.867	3898	–21.4
	S	0.793	1.703/1.672	3600	–23.3

This does not happen on the (001)-Fe4 S surface because the Fe²⁺/H₂ interactions in this electronic state are strong enough (ca. 50 kJ mol⁻¹) to retain H₂ on the Fe²⁺ center.

For the set of computed adsorption complexes, the Fe²⁺/H₂ interaction has also been assessed by analysing the H–H and Fe–H distances, and the $\nu(\text{H–H})$ frequency (reported in Table 2). In general, the S state induces the strongest bathochromic shifts on the $\nu(\text{H–H})$ vibration, the largest enlargement of the H–H bond length, and the shortest Fe–H distances. The average values for Q, T and S, respectively, are: $\nu(\text{H–H})$ of 3923, 3817

and 3347 cm^{-1} ; H–H distances of 0.77, 0.78 and $0.80\text{ \AA}$; and Fe–H distances of 1.99, 1.88 and $1.68\text{ \AA}$.

From the optimized H_2 /olivine adsorption complexes, we have studied the water formation through the $\text{H}_2 + \text{O} \rightarrow \text{H}_2\text{O}$ reaction. However, instead of characterising the full potential energy surfaces (PESs) for all the adsorption complexes, we have performed a preliminary energetic assessment. That is, we have computed all the minima stationary points (*i.e.*, reactants, products and intermediates) of the PESs to elucidate if the reactive paths are feasible, at least from a thermodynamic standpoint. This has been useful to rule out those paths that are unfavourable energetically and focus on the most favourable ones. This preliminary energetic assessment, moreover, has been done by taking as pre-reactants the most stable H_2 /olivine complexes (considering the surface type and the electronic states). That is: (001)-Fe1 S, (001)-Fe4 S, (010)-Fe1 Q, (110)-Fe2 S, and (110)-Fe8 S. The minima stationary points have been computed considering two different mechanisms (Mech1 and Mech2), as represented in Fig. 5. The main difference among the two mechanisms is that, in Mech1, the H_2 dissociation occurs before the O adsorption, while in Mech2 it takes place after the O adsorption. A priori, Mech2 has an advantage over Mech1 in that the energy released by the O adsorption can be used to dissociate the H_2 on the Fe^{2+} center.

Fig. 6(a) and (b) show the relative stabilities of the computed minima for Mech1 and Mech2, respectively, taking as the 0th reference the “surface + $\text{H}_2 + \text{O}(\text{}^3\text{P})$ ” point (*i.e.*, the asymptote). Computed results indicate that Mech2 is overall energetically more favourable than Mech1: all the computed minima

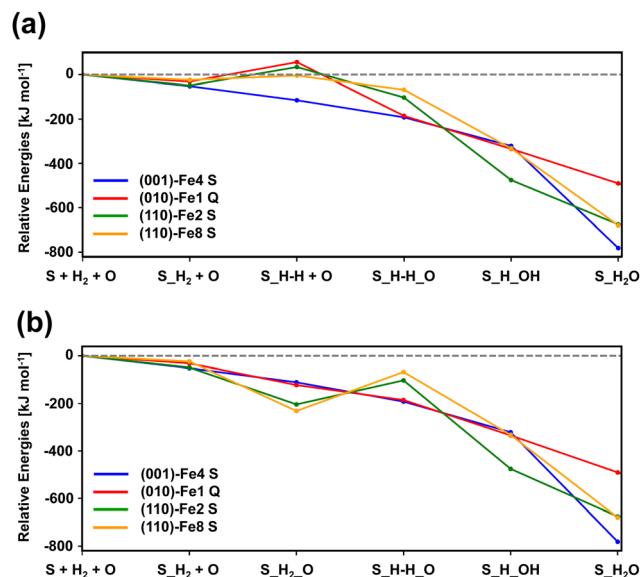


Fig. 6 B3LYP-D3(BJ)-relative energies (with respect to the “S + $\text{H}_2 + \text{O}$ ” asymptote) of the minima stationary points involved in Mech1 and Mech2 (panels (a) and (b), respectively) for the water formation reaction on the Fe-containing periodic silicate surfaces.

stationary points of Mech2 have negative relative energies, while this is not the case in Mech1 (some of them are positive). Indeed, in the later mechanism, the minima stationary points involving the dissociation of H_2 , in most of the cases have positive relative energies (they are more unstable than the asymptote), while those in Mech2 are negative. This difference is due to what we anticipated above: the adsorption of atomic O before the dissociation (here adopted in Mech2) is very favorable in such a way that the subsequent H_2 dissociation leaves the dissociated complex submerged in energy with respect to the asymptote. For the adsorption of atomic O, it is worth mentioning that, although gas-phase O atom has a ^3P electronic state, its adsorption on silicate surfaces renders the singlet state as the most stable one.¹² Accordingly, we assume that, in the O adsorption, an inter-system crossing from the triplet (gas-phase) to the singlet (adsorbed) states takes place.

Focusing on the different profiles based on Mech2 (Fig. 6(b)), although all the minima structures are lower than the asymptote, two types of paths can be distinguished: (i) those in which all the elementary steps are exoenergetic (namely, (001)-Fe4 S and (010)-Fe1 Q) and (ii) those in which the H_2 dissociation is endoenergetic (namely, (110)-Fe2 S and (110)-Fe8 S). Thus, the first two are more favourable than the latter two. Accordingly, and by considering this preliminary energetic assessment, we decided to compute the full PES towards H_2O formation considering the path on the (010)-Fe1 Q surface. The choice is based on (i) all the elementary steps are exoenergetic, and (ii) it is the most stable surface (also considering the spin multiplicity) among the investigated ones (and hence excluding the (001)-Fe4 S one).

The computed PES (corrected for ZPE) of the $\text{O} + \text{H}_2 \rightarrow \text{H}_2\text{O}$ reaction adopting Mech2 on the (010)-Fe1 Q surface is shown in

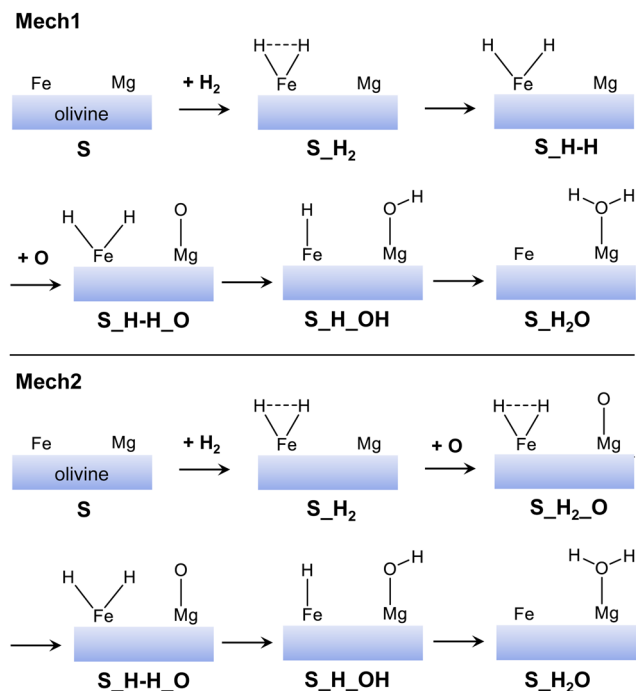


Fig. 5 Sketch of the mechanisms adopted in this work for the water formation. In mechanism 1 (Mech1), the H_2 dissociation takes place before the O adsorption, while in mechanism 2 (Mech2) after.

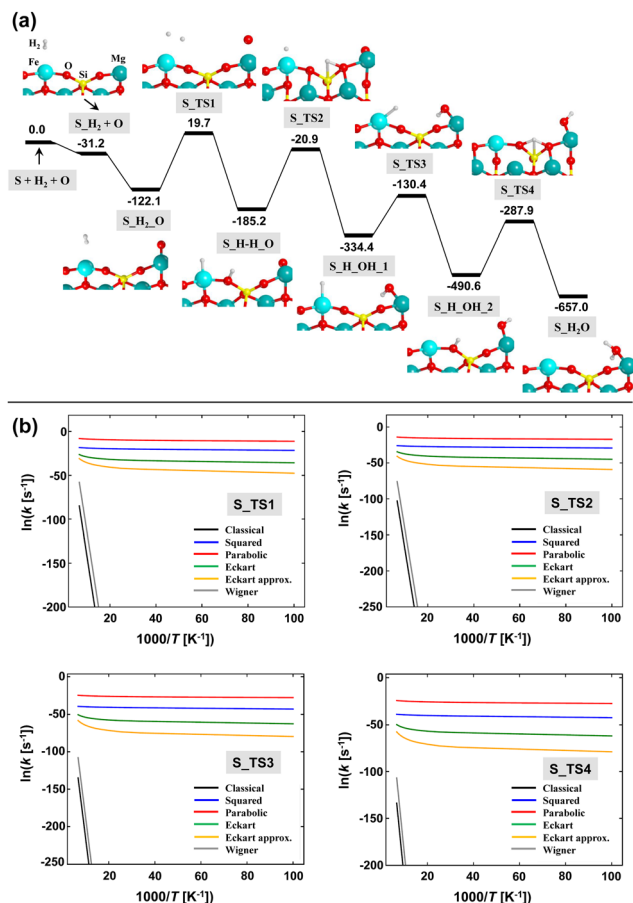


Fig. 7 Panel (a): B3LYP-D3(BJ) ZPE-corrected PES for H₂O formation on the (010)-Fe1 Q surface. Energy units are in kJ mol⁻¹. Panel (b): Arrhenius plots based on the calculated semi-classical rate constants (adopting different tunneling schemes) of the elementary steps associated with the reaction mechanism shown in panel (a).

Fig. 7(a). According to this mechanism, the first and second steps correspond to the adsorption of H₂ on the Fe²⁺ metal center (structure S_{H₂}) and to the adsorption of the atomic O (O_{ads}) on the Mg²⁺ cation (structure S_{H₂-O}), respectively. Both steps are barrierless and largely exoenergetic, specially the O adsorption (−90.0 kJ mol⁻¹). These values are similar to those reported in the literature.^{11,12} The next, crucial step is the dissociation of H₂, in which one resulting H atom lies on the Fe²⁺ center and the other forms a silanol (SiOH) surface group (see structure S_{H-H-O}). This dissociation presents a very high energy barrier (141.8 kJ mol⁻¹ with respect to S_{H₂-O}) and is +19.7 kJ mol⁻¹ above the asymptote. Despite these values, the resulting structure (*i.e.*, with the H₂ dissociated) is more stable than the undissociated complex. The next steps concern the diffusion of the generated H atoms towards O_{ads} to form H₂O. To this end, three elementary steps have been identified, the sequence of which is S_{H-H-O} → S_{H-OH_1} → S_{H-OH_2} → S_{H₂O}. Calculations indicate that all these elementary steps present very high intrinsic energy barriers, between 160 and 200 kJ mol⁻¹.

These computed energy barriers are impractical to classically overcome under the interstellar conditions. However, all

the steps involve H atoms and, accordingly, tunneling effects can be dominant. Because of this, we have computed the rate constants taking into account tunneling in a semi-classical way (see the Methods section above). The Arrhenius plots for each elementary step is shown in Fig. 7(b). It can be clearly seen that tunneling dominates the kinetics of the processes at the considered range of temperatures (10–100 K), since the slope of the plots is almost null, rendering the rate constants independent of T and with similar values at these temperatures (at variance with the plots without tunneling). Among the different models used, the parabolic barrier model exhibits the largest tunneling effects, providing semi-classical rate constants of very few negative orders of magnitude (in s⁻¹) at the considered interstellar temperatures. Thus, considering the long lifetimes of interstellar clouds (between 10–50 Myrs), formation of H₂O through the simulated mechanism on Fe-containing olivines seems to be feasible.

3.2 Nanoclusters

A similar study to that done for the periodic surfaces is here presented for the nanocluster systems, that is, their relative stability as a function of the electronic spin state, the H₂ adsorption energies, and the reactivity towards H₂O formation. As mentioned in the Methods section, for the nanoclusters, calculations have been performed at the B3LYP-D3(BJ) and M06-2X-D3 levels. Moreover, for the sake of accuracy, for the small nanoclusters, single point energy calculations at CCSD(T) have also been performed when (i) calculating the different electronic states of the bare nanoclusters, and (ii) calculating the energetics of the water formation reaction. The reason for point (i) is because, to the best of our knowledge and at variance with the crystalline surfaces, no methodological benchmarking studies are available for Fe-containing silicate nanoclusters, in such a way that we here present a calibration study relative to these systems. The reason for point (ii) stands for accuracy reasons, that is, to obtain highly accurate values in relation to the energetics of the reaction. It is worth mentioning that these single point energy CCSD(T) calculations have only been done on small nanoclusters because, due to their size, calculations are computationally affordable, which is not the case in large nanoclusters (they are extremely expensive).

Fig. 8 presents bar graphs representing the relative stabilities of the bare Fe-containing nanoclusters, considering the different electronic spin states (Q, T and S) computed at the two DFT theory levels. The observed trends are very similar irrespective of the method, indicating that both DFT functionals are robust as far as the electronic structure of the bare nanoclusters is concerned. Moreover, the stability trend as a function of the electronic state found in the periodic surfaces is kept in the nanoclusters, *i.e.*, from more to less stable, Q > T > S. Both methods give as the most stable Fe-containing nanocluster NCs-Fe14 and NCl-Fe2 for the small and large nanocluster sets, respectively, the latter one followed by NCl-Fe6, in which Fe occupies a similar position in the nanocluster structure (see Fig. 2(d)).

H₂ adsorption on all the Fe positions for all the nanoclusters in their different electronic states have been computed.

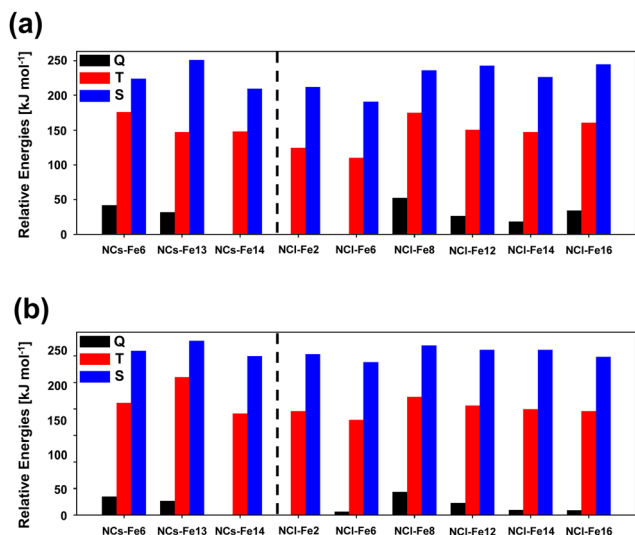


Fig. 8 Relative energies, calculated at the B3LYP-D3(BJ) and M06-2X-D3 levels of theory (panels (a) and (b), respectively), of the bare Fe-containing silicate nanoclusters (NCs-FeX and NCI-FeX as small and large nanoclusters), considering the quintuplet (Q), triplet (T) and singlet (S) electronic states.

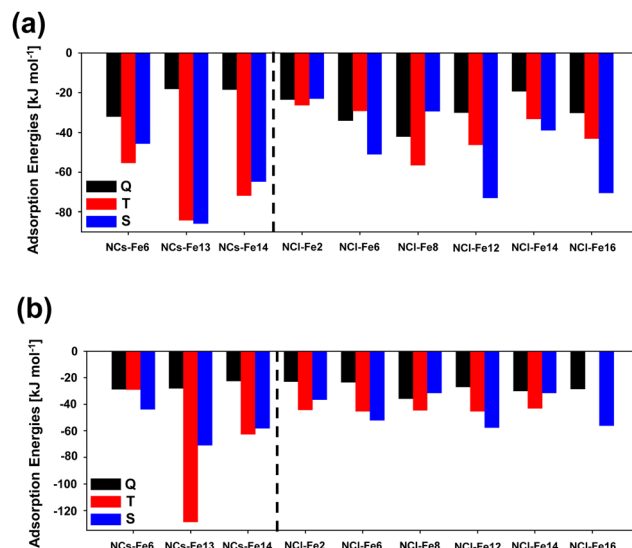


Fig. 10 H₂ adsorption energies, calculated at the B3LYP-D3(BJ) and M06-2X-D3 levels of theory (panels (a) and (b), respectively), on Fe-containing silicate nanoclusters, considering the quintuplet (Q), triplet (T) and singlet (S) electronic states.

Fig. 9 presents the structures of the optimized complexes. The calculated adsorption energies are represented in the bar

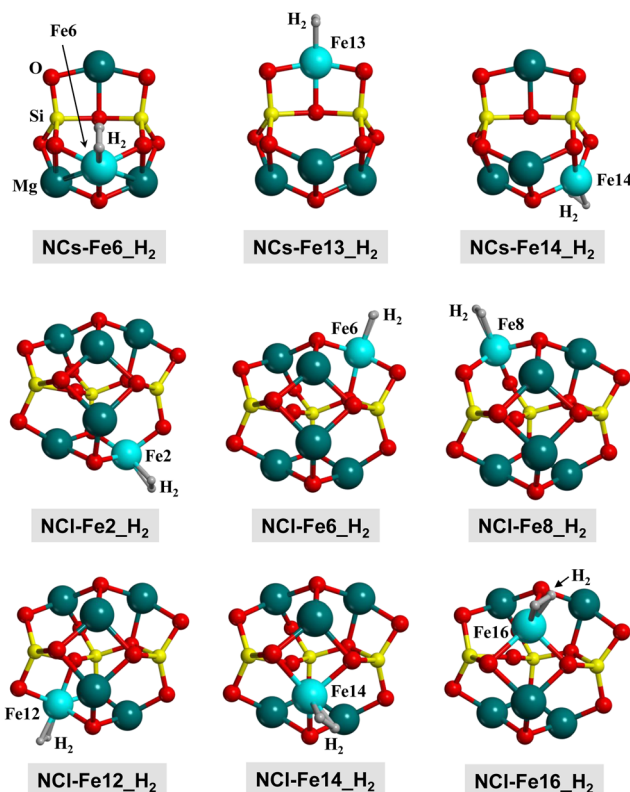


Fig. 9 B3LYP-D3(BJ)-optimized complexes for the H₂ adsorption on the nanocluster models. Since the complexes in the Q, T and S electronic states do not present significant structural changes, here we only show the complexes at the S state for a qualitative view. Energetic, structural and vibrational parameters of all the complexes are reported in Table 4.

graphs of Fig. 10(a) and (b)) (B3LYP-D3(BJ) and M06-2X-D3, respectively).

According to these results, it is clear that the electronic states providing the most favourable adsorption complexes are either S or T, but not Q. This was also observed for the periodic surfaces. In general, both DFT methods agree in the most stable complexes as a function of the electronic state for each nanocluster system. That is, both methods give NCs-Fe14 T as the most stable H₂ adsorption complex for this nanocluster type, and NCI-Fe2 T, NCI-Fe6 S, NCI-Fe8 T, NCI-Fe12 S and NCI-Fe16 S as the most stable complexes of the corresponding nanocluster families. However, discrepancies are also found among the two methods. This is particularly the case of the small nanocluster set: M06-2X-D3 gives NCs-Fe6 S and NCs-Fe13 T as the most stable complexes, while, at the B3LYP-D3(BJ) level, NCs-Fe6 T is the most stable structure, and for NCs-Fe13, the T and S states are nearly degenerate. Similarly, there is a swap in stability between the T and S states in the NCI-Fe14 nanocluster. With the aim to shed light onto the accuracy of the DFT methods in the energetics of the adsorption complexes, we performed single point energy calculations at the CCSD(T) theory level for the NC set, and compared the results with the DFT ones. Results are reported in Table 3. According to these data, B3LYP-D3(BJ) provides more comparable H₂ adsorption energies to the CCSD(T) ones. Indeed, for all the nanocluster sets, the stability trend as a function of the electronic state is the same for B3LYP-D3(BJ) and CCSD(T) (see the NCs-Fe6 and NCs-Fe13 families). Moreover, for NCs-Fe6 T and NCs-Fe13 T, calculated adsorption energies are in better agreement between these methods, while at the M06-2X-D3 level the values are dramatically different.

Focusing, then, on the B3LYP-D3(BJ) results, the likely perturbation of H₂ due to its interaction with the Fe²⁺ center

Table 3 Adsorption energies obtained from the B3LYP-D3(BJ)- and M06-2X-D3-optimized complexes, and from CCSD(T) single point energy calculations on the B3LYP-D3(BJ)-optimized systems, for the small nanocluster (NC) sets. Units are in kJ mol^{-1}

Nanocluster	State	B3LYP-D3(BJ)	M06-2X-D3	CCSD(T)
NCs-Fe6	Q	-31.9	-28.7	-29.6
	T	-55.3	-29.1	-49.4
	S	-45.6	-43.9	-42.2
NCs-Fe13	Q	-18.2	-28.0	-16.9
	T	-84.3	-128.5	-70.6
	S	-86.0	-70.9	-80.3
NCs-Fe14	Q	-18.5	-22.6	-16.7
	T	-71.9	-62.7	-66.2
	S	-64.8	-58.1	-60.5

Table 4 B3LYP-D3(BJ)-optimized structural parameters of the H_2 adsorption complexes on the nanocluster systems: H–H distance $d(\text{H}-\text{H})$, Fe–H distance $d(\text{Fe}-\text{H})$ and vibrational frequency of H_2 upon adsorption $\nu(\text{H}-\text{H})$; and calculated adsorption energies ΔE_{ads} . Distances are in Å, frequencies in cm^{-1} , and energies in kJ/mol . For the sake of comparison, the structural parameters of the isolated gas-phase H_2 molecule are $d(\text{H}-\text{H}) = 0.744 \text{ Å}$ and $\nu(\text{H}-\text{H}) = 4416 \text{ cm}^{-1}$

Surface	State	$d(\text{H}-\text{H})$	$d(\text{Fe}-\text{H})$	$\nu(\text{H}-\text{H})$	ΔE_{ads}
NCs-Fe6	Q	0.785	1.830/1.861	3784	-31.9
	T	0.803	1.670/1.679	3448	-55.3
	S	0.803	1.666/1.663	3467	-45.6
NCs-Fe13	Q	0.753	2.199/2.198	4253	-18.2
	T	0.796	1.717/1.717	3611	-84.3
	S	0.813	1.639/1.626	3341	-86.0
NCs-Fe14	Q	0.763	1.971/1.993	4049	-18.5
	T	0.802	1.677/1.677	3476	-71.9
	S	0.810	1.637/1.646	3371	-64.8
NCl-Fe2	Q	0.771	1.910/1.904	3938	-23.5
	T	0.773	1.870/1.866	3904	-26.3
	S	0.792	1.679/1.680	3626	-23.1
NCl-Fe6	Q	0.777	1.861/1.865	3835	-34.1
	T	0.791	1.706/1.707	3653	-29.2
	S	0.801	1.660/1.653	3494	-51.0
NCl-Fe8	Q	0.776	1.880/1.873	3857	-42.1
	T	0.778	1.810/1.814	3910	-56.6
	S	0.781	1.784/1.769	4125	-29.3
NCl-Fe12	Q	0.780	1.853/1.848	3800	-30.0
	T	0.777	1.846/1.846	4012	-46.3
	S	0.804	1.649/1.661	3467	-73.1
NCl-Fe14	Q	0.772	1.910/1.899	3933	-19.3
	T	0.776	1.817/1.810	3887	-33.1
	S	0.799	1.657/1.663	3557	-38.9
NCl-Fe16	Q	0.768	1.948/1.926	3988	-30.1
	T	0.775	1.799/1.793	3904	-43.2
	S	0.797	1.662/1.668	3571	-70.6

has been quantified by the H–H and Fe–H distances and the vibrational $\nu(\text{H}-\text{H})$ frequency. The values are reported in Table 4. In general (and by averaging the values for all the nanocluster sets), one can identify the following trends: (i) for the H–H distance, $d(\text{H}-\text{H})^{\text{S}} > d(\text{H}-\text{H})^{\text{T}} > d(\text{H}-\text{H})^{\text{Q}}$; (ii) for the Fe–H distance, $d(\text{Fe}-\text{H})^{\text{S}} < d(\text{Fe}-\text{H})^{\text{T}} < d(\text{Fe}-\text{H})^{\text{Q}}$; and (iii) for the H–H frequency, $\nu(\text{H}-\text{H})^{\text{S}} < \nu(\text{H}-\text{H})^{\text{T}} < \nu(\text{H}-\text{H})^{\text{Q}}$. All these trends indicate that the singlet state is that in which the H_2 molecule is more activated than the other spin states. The interaction with Fe^{2+} is stronger in the S state (the Fe–H distances are the shortest ones), inducing the largest weakening of the H–H bond, which is reflected by the largest H–H

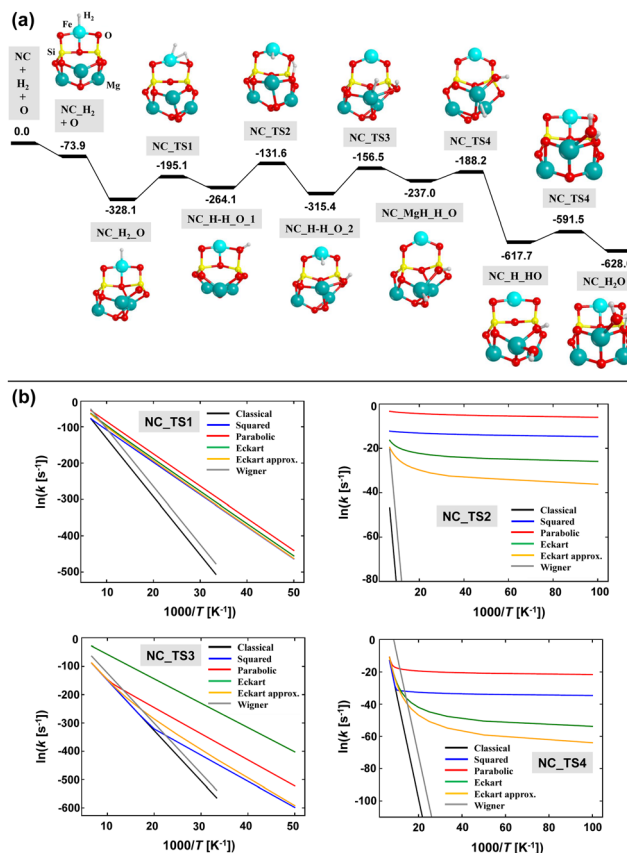


Fig. 11 ZPE-corrected PES for the H_2O formation on the NCs-Fe13 nanocluster. Relative energies (in kJ mol^{-1}) are based on CCSD(T) single point energy calculations onto B3LYP-D3(BJ)-optimized geometries, and including ZPE corrections obtained at the B3LYP-D3(BJ) theory level. Panel (b): Arrhenius plots based on the calculated semi-classical rate constants (adopting different tunneling schemes) of the elementary steps associated with the four first energy barriers shown in panel (a).

distances and the largest bathochromic shifts of the H–H frequency.

As the H_2 interaction with the Fe^{2+} -containing nanoclusters is more favorable when the complexes have a singlet state, this electronic state has been chosen to study the H_2O formation. In particular, we have chosen the NCs-Fe13 S nanocluster because it is the one presenting the largest H_2 adsorption and perturbation with respect to its discrete, gas-phase state. Moreover, by choosing this electronic state, the present work takes into account two different limit cases: the less H_2 /surface activated complex (quintuplet state, adopting a periodic surface) and the most H_2 /surface activated complex (singlet state adopting a nanocluster structure).

The calculated energy profile adopting Mech2 is shown in Fig. 11(a). Taking advantage of the small size of the NCs-Fe13 nanocluster, the relative energies of this reaction profile are based on single point energy calculations at CCSD(T) on the B3LYP-D3(BJ)-optimized geometries and including the ZPE corrections obtained at this DFT theory level. Interestingly, the linear regression $E_{\text{CCSD(T)}} = 1.02E_{\text{B3LYP-D3(BJ)}} + 64.65$ ($r^2 = 0.997$) indicates that the B3LYP-D3(BJ) energy values are accurate enough.

The resulting path follows, in general, the steps of Mech2 represented in Fig. 5, but particular differences have been found. After the H₂ adsorption on the Fe²⁺ center, the adsorption of atomic O (O_{ads}) takes place on Mg6 (which is the most stable Mg site among the available ones). As occurring for the periodic case, O adsorption is more stable in the singlet state than in the triplet one. Thus, along the reaction, the former electronic state has been considered. Interestingly, the O_{ads} atom forms a peroxo group with a nearby O atom of the nanocluster. This phenomenon was already observed and described by Molpeceres *et al.*¹² The next step is the H₂ dissociation, which results in the formation of Fe–H and SiOH groups. The following steps involve the diffusion of the H atoms to form finally H₂O. The diffusion of the H atom of the SiOH group occurs through jumps on different surface O atoms, forming different SiOH groups, towards reaching O_{ads}. In contrast, the H atom attached to the Fe²⁺ center jumps first to the Mg atoms where the O_{ads} is, and then couples with this atom.

As far as the reaction energetics is concerned, all the stationary points after the O adsorption (*i.e.*, after the NC_H₂_O structure) lie below in energy with respect to the stationary point before the O adsorption (*i.e.*, the “NC_H₂ + O” point). Since the reaction is occurring on a nanocluster silicate, to assess the feasibility of the reaction, two different scenarios can be considered: (i) the energy gain due to the O adsorption is not fully transferred to the nanocluster and dissipated, or, in contrast, (ii) the O adsorption energy is released and dissipated among the nanocluster through the silicate phonon modes. For the reaction occurring on the periodic surface, scenario (ii) was considered to be the operating one because the silicate surface is a model of interstellar grains of nm–μm sizes, in such a way that the energy released by O_{ads} is dissipated among the grains. On the nanoclusters, in contrast, due to their ultrasmall sizes, the O adsorption energy might not be fully dissipated (scenario (i)) in such a way that the extra energy can be used for the progress of the reaction. If this scenario (i) is operating, all the elementary steps can be achieved because the excess of energy retained by the system allows overcoming all the energy barriers, which are submerged below the “NC_H₂ + O” stationary point. In contrast, if scenario (ii) is dominant, some elementary steps present high energy barriers (particularly the first four). However, since H atoms are involved in the processes, tunneling effects can significantly contribute. Thus, a kinetic study as that done for the periodic surface has been performed. The resulting Arrhenius plots for the first four steps (the ones presenting the highest energy barriers) are shown in Fig. 11(b). Ruling out the Wigner model (which cannot be applied because the requirement of high temperatures is not satisfied in the ISM), the other tunneling schemes show similar trends. Taking the Eckart potential model, and considering a *T* of 90 K, the calculated semi-classical rate constants are (in Myear^{−1}): 1.8×10^{-28} , 7.3×10^{11} , 2.3×10^{-26} , and 5.4×10^5 , for the first four steps. These values clearly indicate that, under the scenario (ii), the reaction presents bottlenecks in the first and third steps. Interestingly, the reason is not because the energy barriers are

too high, but because they are endoenergetic steps. That is, as the interstellar temperatures are extremely low, the reactants are not thermally promoted to rotational and vibrational excited states, which is a compulsory condition to be converted into products. For the second and fourth steps, tunneling effects are shown to be of great importance for their evolution.

Finally, to study the role of the Fe²⁺/H₂ interactions in the silicate nanocluster, the H₂ dissociation step has been computed considering a Mg-pure nanocluster. Results indicate an energy barrier of 61.9 kJ mol^{−1}, significantly lower than on Fe²⁺ (145.9 kJ mol^{−1}). A possible explanation of this difference lies on the adsorption energy of H₂ when on Mg²⁺ (computed to be −22.3 kJ mol^{−1}) or on Fe²⁺ (−85.9 kJ mol^{−1}). Since the H₂ adsorption on Fe²⁺ is stronger than on Mg²⁺, H₂ dissociation on Fe²⁺ presents higher energy because of this enhanced stability of the H₂ adsorption complex with respect to the Mg-pure nanocluster. In this sense, our results point out that Fe-containing silicates can act as reservoirs of interstellar H₂ molecules.

4 Conclusions

In this work, the interstellar H₂O formation adopting the reaction of O + H₂ → H₂O on Fe-containing silicate surfaces has been investigated by means of quantum chemical simulations. Two different types of surface models have been adopted: one based on periodic crystalline slabs arising from the (010), (001), and (110) Mg₂SiO₄ surfaces, the other based on nanocluster systems of Mg₄Si₂O₈ and Mg₆Si₃O₁₂ stoichiometries, in which for both approaches a Mg²⁺ cation is replaced by one Fe²⁺ metal center. Periodic surfaces have been computed at the DFT B3LYP-D3(BJ) level, while nanoclusters at the B3LYP-D3(BJ) and M06-2X-D3 levels, complemented by single point CCSD(T) calculations, since no benchmarking studies are available for these systems.

The electronic structure of the bare surfaces, including the different electronic states arising from the presence of the Fe²⁺ cation, *i.e.*, spin multiplicities of quintuplet (Q), triplet (T) and singlet (S), and of the resulting complexes upon H₂ adsorption has been investigated, alongside the structural and vibrational features of the H₂/surface adsorption complexes. The potential energy surfaces (PESs) corrected for the zero-point energies (ZPEs) of the mechanisms for the H₂O formation have been characterized, which are based on the adsorption of atomic O, dissociation of H₂ and diffusion of the resulting H atoms to the adsorbed O atom. A kinetic study based on the calculation of semi-classical rate constants accounting for tunneling through different schemes has been performed.

From the present calculations, the following concluding points emerge:

- For both the periodic surfaces and the nanocluster systems, the stability of the bare Fe-containing silicates as a function of their electronic spin state is (from more to less stable): Q > T > S.
- The H₂ adsorption on the Fe²⁺ centers is favourable in all the electronic states. However, at variance with the bare

models, a robust trend relative to the adsorption energies as a function of the surface spin state is not found. On average, the most stable adsorption is for the S state, which is accompanied by significant H–H bond enlargements and $\nu(\text{H–H})$ bathochromic shifts, and the shortest Fe–H bond distances.

- Among the proposed mechanisms, the most energetically favourable one is that in which the H_2 dissociation occurs after the adsorption of the O atom because the energy released due to the O adsorption can be used to dissociate the H_2 molecules.

- On the periodic surfaces, the H_2O formation has been studied on the most stable bare slab model, the (010) Q one. The computed ZPE-corrected PES indicate that the reaction presents high energy barriers insurmountable from a classical perspective at the very low interstellar temperatures. However, tunneling effects have been found to be very important and allow the occurrence of the reaction in diffuse interstellar clouds.

- On the nanocluster systems, the H_2O formation has been studied on the most stable H_2 /surface complex, the NCs-Fe13 S one. The computed ZPE-corrected PES presents very high energy barriers and tunneling effects do not allow the evolution of the reaction due to presenting elementary endoenergetic steps. However, the reaction is predicted to be possible if the energy released by the O adsorption is not dissipated throughout the nanocluster (a plausible scenario due to the ultrasmall size of the silicate cluster). If this was the case, the extra energy retained by the system can be used to overcome the energy barriers.

- For the nanocluster system, a comparison of the reaction in the presence and absence of Fe^{2+} cations has been done. Results indicate that the reaction is energetically more favourable on Mg^{2+} -pure nanoclusters. This is because the $\text{Fe}^{2+}/\text{H}_2$ interactions are stronger than the $\text{Mg}^{2+}/\text{H}_2$ ones, thus increasing the H_2 dissociation energy barriers. Accordingly, Fe-containing silicates can represent reservoirs of interstellar H_2 molecules.

The results of the present work give an overview of the H_2O formation through the reaction of atomic O with H_2 on Fe-containing silicates, a reaction that has not been investigated experimentally. In this respect, new experimental astrochemical measurements stimulated by our promising results would be welcome to validate the present results, and in the case to be positive, to include this reaction channel in the chemical network of the H_2O formation on interstellar grains.

Author contributions

M. S.-P.: data curation (lead); formal analysis (equal); investigation (equal); software (lead); visualization (equal); and writing – review and editing (supporting). C. D.-D.: data curation (lead); formal analysis (equal); investigation (equal); validation (lead); visualization (equal); and writing – review and editing (supporting). A. R.: conceptualization (lead); formal analysis (equal); funding acquisition (lead); investigation (equal); project administration (lead); resources (lead); supervision (lead); visualization (equal); writing – original draft (lead); and writing – review and editing (lead).

Conflicts of interest

There are no conflicts to declare.

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