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Negligible increase in indoor endotoxin activity by 222 nm far-UVC illumination on bioaerosols†

Zhancong Liang, Tim Yiu Cheung, Wing Lam Chan, Chee Kent Lim, Alvin. C. K. Lai, Patrick. K. H. Lee and Chak K. Chan ‡*

Far-UVC irradiation (222 nm) is an emerging approach for disinfection due to its effectiveness and potentially harmless nature to humans by direct irradiation compared with other UV wavelengths. However, the indirect risk caused by 222 nm irradiance, such as changes in the inhalation hazard of irradiated bioaerosols, is poorly studied. In particular, Gram-negative bacteria (GNB) lysis releases endotoxins, which can cause respiratory diseases *via* inhalation. Herein, we measured the endotoxin activity of illuminated GNB bioaerosols in a chamber using the limulus amebocyte lysate (LAL) assay. A 4-fold higher endotoxin activity ratio (EAR) of the UV-irradiated cells to fresh cells was found in the GNB bioaerosols illuminated by 222 nm than 254 nm, which can be explained by the different inactivation mechanisms. Compared with 254 nm, 222 nm illumination excited the cell membrane components (e.g., proteins) more effectively, leading to the formation of more reactive oxygen species (ROS) and membrane damage, followed by exposing lipid A to release the free-endotoxins into the aqueous environment of the aerosols. The increase in EAR, membrane damage, and ROS level at 222 nm were significantly higher than at 254 nm ($p < 0.05$). The EAR increased linearly with the UV dose up to 50 mJ cm⁻². The EAR increase rate constant (k_{EAR}) was insensitive to the KCl concentration in the aerosol, but it decreased by more than 80% when the droplets became solid particles. At a 222 nm UV dose of 50 mJ cm⁻², the increase in indoor endotoxin activity due to illuminated GNB in typical indoor environments was estimated to be 3 orders of magnitude lower than the pre-existing endotoxin activity. Thus, our work suggests a negligible increase in endotoxin risk due to 222 nm indoor disinfection.

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Environmental significance

Humans suffer from significant hazards of respiratory diseases *via* airborne transmission, especially indoors. Recently, far-UVC at 222 nm has become increasingly popular due to its claimed harmless nature to the human eye and skin by direct irradiation, besides its high efficiency in disinfecting pathogens. This technique has high potential to afford effective indoor disinfection. However, in addition to direct irradiation on humans, the “invisible” risks, such as that *via* the inhalation of airborne particles, should be carefully assessed. By investigating the endotoxin activity of irradiated bioaerosols at 222 nm, this study provides new insights into the impact of far-UVC applications on indoor air quality.

1. Introduction

Increasing evidence shows that COVID can be airborne and transmitted *via* aerosol,^{1–4} which suggests an exposure risk from respiratory aerosol and droplets exhaled by pathogen carriers. Therefore, techniques are urgently needed for the effective disinfection of high human-density areas, *i.e.*, a sufficiently high log reduction in pathogen viability.⁵ Ultra-violet (UV)

illumination is one of the most popular approaches in disinfection, especially during the COVID-19 epidemic.^{6–8} Conventional UV disinfection mainly relies on 254 nm UVC, which can achieve around 99% inactivation of bacteria and virus bioaerosols using a UV dose of about 1 mJ cm⁻².^{9,10} However, 254 nm UVC can deeply penetrate human tissues and lead to severe diseases such as skin cancer and keratitis, limiting its application to no-human zones only.^{11,12}

Different from UVC at 254 nm, far-UVC at 222 nm has a transmittance of $\leq 1\%$ in keratin and corneal, given that light can be effectively absorbed by proteinaceous matter.^{13–18} Thus, it may not penetrate the stratum corneum of human skin and the cornea of the human eye, protecting humans from UV-induced diseases.^{16,19} Currently, the exposure limit for 222 nm far-UVC light is set at 240 J m⁻² by the International Commission on Non-Ionizing Radiation Protection (ICNIRP), which is 4-fold

School of Energy and Environment, City University of Hong Kong, 83 Tat Chee Avenue, Kowloon, Hong Kong, China. E-mail: chak.chan@kaust.edu.sa; chak.k.chan@cityu.edu.hk

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‡ Current address: Division of Physical Science and Engineering, King Abdullah University of Science and Technology, Thuwal, 23955-6900, Saudi Arabia.



In this work, we investigated the changes in endotoxin activity during far-UVC illumination of a GNB bioaerosol. Firstly, we compared the endotoxins released from *Escherichia coli* (*E. coli*) under 222 nm far-UVC with 254 nm UVC illumination as in conventional UV disinfection. The endotoxin activity was determined using the specific limulus amebocyte lysate (LAL) assay. The amount of endotoxins in a sample is proportional to the amount of color change that occurs when mixed with the lysate.³⁷ We focused on *E. coli*, an airborne GNB found indoors^{38,39} and widely adopted in airborne endotoxin-related research.^{36,40} We also used another atmospheric-relevant GNB, *i.e.*, *Pseudomonas putida*,^{41,42} to provide additional data supporting the key results obtained for *E. coli*. The experiments using DNA dyes and reactive oxygen species (ROS)

The aerosolized bacteria were sampled in a PBS solution using a Spot Sampler (Aerosol Devices Inc.) after 0, 2, 4, 6, 8, and 10 min of UV illumination. The Spot Sampler affords a high collection efficiency of viral bioaerosol particles based on the condensational growth of the particles followed by particle-to-liquid sampling.⁴⁸

The endotoxin activity (EU per mL) of the collected sample was determined using the specific LAL assay (Bioendo, China) (Text S2†). Endotoxin activity describes the total reactivity of endotoxins with LAL to reflect their hazard level.^{35,49,50} Free-endotoxins have higher reactivity than bound-endotoxins.³⁵ Briefly, the assay reacts with endotoxins to form light-absorbing compounds. In the assay-sample mixture, the endotoxin activity was linearly correlated with the light absorption intensity at 545 nm, which was measured using a molecule fluoroscope (SpectraMax M2e).

Given that our observation includes the conversion from bound-endotoxin to free-endotoxin, we did not report the absolute endotoxin concentration, which needs to assume comparable reactivity of individual endotoxin molecules. We used the endotoxin activity ratio (EAR) of the UV-irradiated cells to that conditioned in the dark to show the changes in endotoxin activity due to UV-irradiation. It should be noted that the EAR reported in this study refers to total endotoxin activity (*i.e.*, the sum of free-endotoxin and bound-endotoxin activity). The activities of total endotoxin and free-endotoxin were determined by applying the LAL assay to the cell suspension and the filtrate using 0.22 μm PTFE filters, respectively, at different intervals during illumination by 222 nm or 254 nm light. We assumed that the free-endotoxins penetrated the filter.^{29,36,51} The bound-endotoxin activity was determined by subtracting the free-endotoxin activity from the total endotoxin activity. All the materials used were pyrogen-free.

2.3 The relative viability, membrane damage and ROS generation

The relative viability (RV) of bacteria, which is defined as the viable cell concentration with UV to that without, was determined by the plate-counting method, as described in our previous work.⁴³ We incubated the collected samples after spreading on a plate and counted the colony numbers. Then, we estimated the initial cell concentration (*i.e.*, before UV on) in the chamber to be 10^6 CFU m^{-3} by dividing the colony number by the volume of air we sampled. To reduce the wall loss of the bioaerosols, we used a short sampling time of 5 min. Hence, a high concentration of airborne cells was used to satisfy the detection limit of assays. The cell damage and intracellular ROS level were characterized by the fluorescent dyes propidium iodide, SYBR® Green I, and 2',7'-dichlorodihydrofluorescein diacetate (H2DCFDA). A detailed description can be found in the ESI (Text S3 and S4†). All the uncertainties reported herein were from triplicate measurements.

2.4 Statistical analysis

All data in the figures are presented as mean \pm standard deviation (SD). The change in the inactivation value and ozone concentration between the different experimental groups over the experimental period was compared using the repeated-measure analysis of variance (ANOVA) (Igor Pro 8). The differences in the results between the 222 nm- and 254 nm-irradiated samples were analyzed by one-way ANOVA. A *p*-value of <0.05 was regarded as statistically significant.

3. Results and discussions

3.1 Increase in endotoxin activity upon UV illumination

Fig. 1a shows the EAR as a function of illumination time. At 222 nm illumination, the EAR exhibited a linear increase with time to around 16 at 10 min. At 254 nm illumination, the EAR increased but much slower (*i.e.*, only reached ~ 3.7 after 10 min). This difference indicates that the endotoxic risk under a comparable UV dose (42 mJ cm^{-2} at 10 min) is around 4 times under 222 nm than 254 nm. A negligible increase in endotoxin activity was observed in the dark (Fig. S3†).

The increase in EAR upon photochemical aging can be attributed to the lysis of cells that release free-endotoxins,⁵² which have higher reactivity than bound-endotoxins. As shown in Fig. 1b, the fraction of free-endotoxins increased significantly from 0.04 to 0.76 and 0.98 after 2 min and 4 min of 222 nm illumination, respectively, and remained high for a longer illumination time. In contrast, the fraction of free-endotoxins in the 254 nm-illuminated sample only reached ~ 0.23 after 10 min of illumination.

The bound-endotoxin activity of the 222 nm-irradiated cells decreased, due to the conversion to free-endotoxins. Similar to that reported by Huang *et al.*,⁴⁹ the activity of bound-endotoxins (as well as the total activity of endotoxins) increased after 254 nm aging (Fig. S4†). Endotoxins are composed of O-specific antigen (the outermost component exposed to the environment), core polysaccharides (located at the middle of the endotoxins), and lipid A (embedded in the cell membrane but

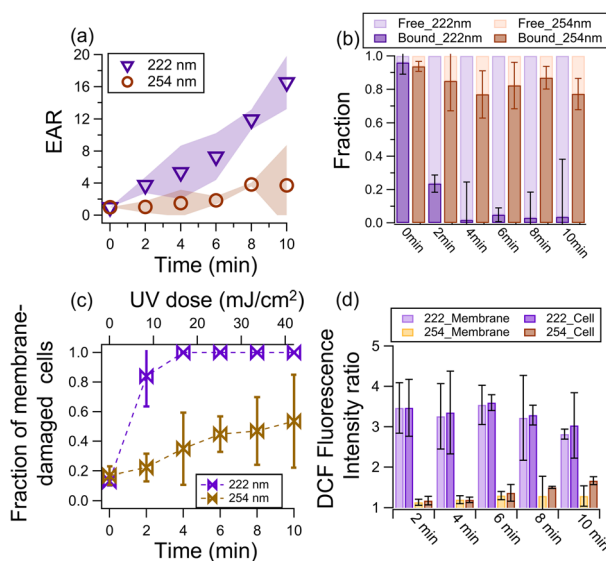


Fig. 1 (a) Total endotoxin activity ratio (EAR) as a function of illumination time. (b) Fractions of free- and bound-endotoxin activity of the total endotoxin activity. (c) Fraction of membrane-damaged cells as a function of illumination time. (d) DCF fluorescence intensity ratio of illuminated samples to fresh samples as a function of illumination time. 222_Membrane and 222_Cell denote the membrane components and intact cells under 222 nm irradiation, respectively. The one-way ANOVA test indicates that at $\alpha = 0.05$, the 222 nm and 254 nm data shown in (a–d) have *p* from 3×10^{-7} to 0.43. Thus, the 222 nm data were considered statistically different from the 254 nm data.



has been considered as the endotoxic component).^{53,54} Lipid A is the main endotoxic unit of endotoxin molecules,⁵⁵ and it was no longer protected by the UV exposed and damaged membrane although the endotoxin unit was still bound to the cell. Consequently, the activity of the cell-bound endotoxin increased.

3.2 Bacterial inactivation mechanism affects endotoxin release

The bacteria showed RV reduction to below the detection limit after 10 min of 222 nm or 254 nm illumination. Nevertheless, the endotoxin activity was 5-fold higher at 222 nm than that at 254 nm. The steady-state ozone concentrations upon 222 nm and 254 nm illumination were low, *i.e.*, 3.6 ± 1.1 ppb and 2.4 ± 2.2 ppb, respectively. The control experiments using 10 ppb ozone in the dark only showed an EAR of 1.3 after 10 min, indicating the minor role of ozone in disinfection. Therefore, the discrepancy in endotoxin activity was likely due to the different inactivation mechanisms between far-UVC and UVC.

Intercellular proteins and some lipids have the maximum light absorbance at around 220 nm, while that of nucleic acid is at 260 nm.^{56,57} The excitation energy for lipids and proteins on the bacterial membrane was reported to be 5.4–6.2 eV and 4.4–4.8 eV, respectively,^{58–60} which is comparable to or lower than the photon energy at 222 nm (5.59 eV). Thus, 222 nm illumination can excite these membrane chromophores to trigger ROS generation,^{13,61} which then damaged the DNA and membrane (Fig. 2a).^{56,62–64} The generation of ROS may be triggered by photolysis and photosensitization of the excited membrane components, depending on their complex bond dissociation energy.^{58,65} In contrast, 254 nm UVC disinfection mainly relies on degrading the DNA or forming DNA-pyrimidine dimers, which inhibit transcription and translation (Fig. 2b).^{64,66} The low light absorption ability of membrane components at 254 nm limits the effectiveness of membrane degradation.^{56,57}

The fraction of cells with damaged membranes abruptly increased from 0.2 to around 0.8 and 1 after 2 and 4 min of 222 nm irradiation, respectively. In contrast, the membrane-damaged cell fraction only increased to 0.47 after 10 min of 254 nm illumination (Fig. 1c). To shed light on the potential mechanisms of membrane damage, we determined the ROS

level in the samples using the H₂DCFDA probe of fluorescent DCF to obtain the DCF fluorescence intensity ratio (FIR) of illuminated samples to fresh samples as an indicator of the ROS level.^{67,68} A larger FIR indicates a higher ROS level after illumination. The FIR reached ~ 3.5 after 2 min of 222 nm irradiation, which gradually decreased to around ~ 2.8 at 10 min. The decrease in ROS level was probably due to the photolytic consumption of the light-absorbing compounds that generate ROS. In contrast, the maximum FIR was only 1.3 at 254 nm irradiance. Besides, there are more substrates (*e.g.*, membrane and proteins) that could be excited and generate ROS at 222 nm irradiance. Also, the 222 nm light could also effectively inactivate the enzymes that generate a membrane potential to resist ROS.⁵⁶ Consequently, the cells illuminated at 222 nm exhibited a much larger increase in ROS level. The one-way ANOVA test indicated that at $\alpha = 0.05$, the 222 nm and 254 nm data shown in Fig. 1a–d have a *p* of less than 0.05 (*i.e.*, $3 \times 10^{-7} - 0.43$).

Our findings are consistent with a study in which far-UVC illumination resulted in 100% and 80% more cells with elevated ROS levels and cells with membrane permeabilization, respectively, than UVC of the same dose (80 mJ cm⁻²).⁶⁴ Under 222 nm irradiation, the ROS levels generated by the membrane component (222_Membrane, Text S5†) contributed to 98% of the ROS levels generated by the cells (222_Cell, Fig. 1d). Although the ROS level of 254_M was initially (*i.e.*, upon 1 min of irradiance) comparable to that of the cells (254_Cell), its contribution to 254_C decreased to 60% at 10 min, suggesting the increasingly important role of intracellular photochemistry. Taking all these together, we proposed that 222 nm irradiance excited the membrane components to generate more ROS than 254 nm, and these ROS damaged the membrane and exposed the lipid A of the bound-endotoxins and formed free-endotoxins. UVC irradiation also damages the cell membrane and wall of bacteria, causing them to rupture and release endotoxins into the air.³⁶ The 254 nm irradiation was mainly absorbed by the nuclei acids to generate ROS intercellularly (Fig. 1d) rather than on the membrane. However, these ROS generated within the cell could still oxidize the membrane to release free endotoxins, given that membrane damage was also found in the 254 nm-irradiated cells (Fig. 1c). It is also possible that the chemical transformation (*e.g.*, functionalization) of the free-endotoxins changed their activity.^{69,70}



Fig. 2 Possible different inactivation mechanisms between (a) 222 nm and (b) 254 nm that affect the increase in EAR.

3.3 Effects of UV dose, RH and particle phase states

The average UV irradiance to the chamber was adjusted by the number of lamps. The data of low UV irradiance (35 $\mu\text{W cm}^{-2}$), which is commonly applied for airborne disinfection, was also included.^{19,71,72} We found that for all UV irradiance, the endotoxin activity ratio linearly increased with the UV dose ($R^2 = 0.74$), supporting that the release of endotoxins was due to photochemistry (Fig. 3a). The good linearity of all the data at different light intensities indicates that the UV irradiance and time are interchangeable in terms of equivalent dosage for endotoxin release.

Human saliva is a very diluted aqueous solution. However, after exhalation, the water from the droplets and aerosol



particles will evaporate until it equilibrates with the ambient water vapor, or the particles undergo a phase transition to form solids.^{73–75} During evaporation, the concentrations of cells and solute increase. Fig. 3b shows the EAR increase rate constant (k_{EAR}) as a function of RH. Although the solute concentrations increased from 2 g L⁻¹ at 98%RH to 660 g L⁻¹ at 54% RH, the k_{EAR} remained almost constant (around 0.5 UV dose⁻¹).

Our previous work demonstrated that the RV of bacteria strongly depends on the solute concentration of the expiratory particles.⁴³ Specifically, an elevated solute concentration induces high osmotic stress on the cells. Water can be extracted from cells, leading to cellular atrophy and membrane damage after the osmotic stress exceeds a certain threshold.⁷⁶ The control experiments showed that the threshold of osmotic stress tolerance is around 6 g L⁻¹ KCl (equivalent to an equilibrium [KCl] at approximately 98% RH, Fig. S8†). However, there were no significant changes in the k_{EAR} when the RH dropped to as low as 54%, suggesting that the osmosis-induced membrane damage has a negligible effect on endotoxin release.

At RHs below 50%, the k_{EAR} decreased to ~0.1 UV dose⁻¹ under 222 nm illumination irrespective of RH. This observation is attributed to the crystallization of KCl, which occurs upon evaporation at RHs below 50%, irrespective of the cell concentration (Fig. S9 and Text S6†). The concentration effect no longer exists in crystalline particles, and the poor diffusion in the crystalline matrix greatly hinders chemical reactions.^{77,78} Comparably low k_{EAR} values were found in the illuminated crystalline particles containing cells using a flow cell (Text S8†).

4. Environmental implications

In this work, we presented a rapid increase in the EAR of illuminated GNB under 222 nm far-UVC compared to 254 nm UVC, which is mainly attributed to the photoexcitation of some

membrane components that induced the oxidation of bound-endotoxins to release free-endotoxins. We also conducted similar experiments using *Pseudomonas putida*, another GNB with atmospheric relevance (Fig. S10†).^{41,42} It has been reported that a UV dose of ≤50 mJ cm⁻² can lead to 4-log RV reductions of pathogenic bacteria such as Methicillin-Resistant *Staphylococcus aureus* (MRSA)^{79,80} and viruses such as SARS-CoV-2 in the air and on surfaces.^{15,71,81} This UV dose falls in the regime for a rapid increase in endotoxin activity due to GNB aging based on our results. Hence, evaluating this “invisible” health risk during 222 nm far-UVC disinfection is of great importance.

In typical indoor environments, the GNB concentration varies from 10 CFU m⁻³ in residential homes to 10⁴ CFU m⁻³ in wet markets (Fig. S11†).^{82–96} These GNB concentrations yield endotoxin activity (EA) of 5.3 × 10⁻⁴ to 2.7 × 10⁻¹ EU per m³, assuming an EA per GNB of 5.3 × 10⁻⁶ EU per CFU (Fig. S12†). Using a k_{EAR} of 0.5 UV dose⁻¹ as a reference (Fig. 2b), the increase in EA due to 222 nm at a UV dose of 50 mJ cm⁻² for an hour was only 10⁻⁴–10⁻¹ EU per m³, which is approximately 3-orders of magnitude lower than the pre-existing EA without 222 nm irradiation (Fig. S13†).

Recent works have found that a low UV dose (*e.g.*, 2 mJ cm⁻²) of 222 nm can afford effective inactivation of airborne microorganisms for indoor applications.^{21,44,45} By using a well-controlled chamber system, our results suggest a negligible endotoxin release under this UV dose. We encourage further investigations on the variation in endotoxin level due to 222 nm in different natural indoor environments. Genetic analysis will provide more direct evidence of bacterial inactivation mechanisms by different UV treatments. Nevertheless, other 222 nm photochemistry that may lead to indoor air pollution should be further evaluated. Using a kinetic model, Peng *et al.* reported the enhanced formation of secondary organic aerosol (SOA) under 222 nm over 254 nm disinfection at a comparable virus removal efficiency and low ventilation rate.⁹⁷ This modelling was based on typical indoor air conditions without human activity. However, human activities such as bleaching emit many new pollutants (*e.g.*, HOCl), which have high light-absorbing ability at 222 nm and can trigger multiphase chemistry.^{98,99} Future works are encouraged to explore atmospheric photochemistry in indoor environments initiated by 222 nm irradiance and its potential impacts on human health.

Author contributions

Zhancong Liang: conceptualization, organization, investigation, data analysis, writing – original draft writing – review & editing. Tim Yiu Cheung: investigation, writing – original draft writing. Wing Lam Chan: investigation, writing – original draft writing. Chee Kent Lim: investigation, writing – original draft writing. Alvin. C. K. Lai: writing – original draft writing – review & editing. Patrick. K. H. Lee: writing – original draft writing – review & editing. Chak K. Chan: conceptualization, organization, investigation, data analysis, writing – original draft writing – review & editing.



Fig. 3 (a) Endotoxin activity ratio as a function of UV dose, where 0.5x, 1x, and 2x represent the average UV irradiance of 35, 70, and 140 μW cm⁻², respectively. The bioaerosol was nebulized from water suspension. (b) Rate constant of the increase of endotoxin activity ratio as a function of RH. The [KCl] in the droplets (right region) was determined by hygroscopicity measurements at RH higher than efflorescence RH (Text S6, Fig. S5 and S6†). For an RH higher than 83%, which cannot be reached in the chamber, the results were obtained from illuminated aqueous suspension (Text S7 and Fig. S7†), and results of the illuminated crystalline particles were obtained from initially 2 g L⁻¹ KCl droplets with 10⁷ CFU mL⁻¹ cells using a flow cell (black rhombuses, Text S8 and Fig. S5†) and a teflon chamber (black squares).



Conflicts of interest

There are no conflicts to declare.

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