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Model reactions for the evaluation of poly- and multifunctional molecules as potential interfacial agents for the compatibilization of polyethylene/ poly(ethylene-co-vinyl alcohol) blends†

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In aiming to identify an interfacial agent for polyethylene (PE)/poly(ethylene-co-vinyl alcohol) (EVOH) blends, which are the main components found in flexible food packaging, triallyl isocyanurate and a molecule bearing two (2,2,6,6-tetramethylpiperidin-1-yl)oxyl (TEMPO) groups were initially investigated with model molecules of targeted polymers, but the results were only moderate. The design of a suitable interfacial agent led to 4-glycidyl-2,2,6,6-tetramethylpiperidin-1-oxyl, which demonstrated covalent coupling of the TEMPO group with the model molecule of PE and the glycidyl group with the hydroxyl group of the model molecule of EVOH. This approach with model molecules could be a way to screen molecules that could have potential as interfacial agents to compatibilize polymers from materials that need to be upcycled.

Introduction

The protection of the environment and the need to save planetary resources have become major issues for our society in recent years.1 Plastics do not appear in this context as materials of the future and have been blamed as very polluting due to their poor biodegradability and, in most cases, their exhaustive resources. However, their production continues to increase doubling between 2000 and 20192 and exceeding in 2022 the threshold of 450 Mt per year. Their properties such as, light weight, thermal and electrical insulation, impermeability to gases and liquids, and ease of transformation into different shapes and volumes, designate them as materials with unequalled levels of performance in all fields rendering unrealistic the consideration of stopping their production. A more adaptable solution would be to develop strategies to better collect plastics after use (22 Mt being disposed of in the environment), facilitate their sorting, and validate them by different recycling approaches to satisfy the new model of a circular economy of production as it is currently estimated that only 9% of plastic waste is recycled worldwide.³

The packaging sector is by far the largest consumer of plastics in the world with a need close to 160 Mt annually with the manufacture mainly of single-use packaging. Many packages are produced for the food industry because of their barrier properties, which guarantee an extended shelf life for products to be consumed. To preserve fresh products, it is necessary to design multi-layered flexible packaging and therefore this comprises multiple materials to satisfy all the constraints of the functional specifications, in particular those concerning the oxygen and water tightness of the packaging. The superimposition of different plastic films of a few microns in thickness, each one linked together by a layer of polymer adhesive, constitutes an indissociable complex that is a major obstacle to the recycling of this packaging. Chemical recycling, currently very popular, 4 is not sufficiently selective to separate the different polymers present, while mechanical recycling,5 the most obvious to develop (economically and technologically) for thermoplastic polymeric materials, generates heterophased materials with non-stabilized interfaces leading to, for example, a decrease in macroscopic mechanical properties.⁶ These products are, therefore, generally incinerated when possible or buried more conventionally. To meet this challenge and thus be able to offer a credible recycling alternative to these plastics, the use of an agent able to stabilize the interface between the phases of the polymer blends has been considered using copolymers (block, graft, and random copolymers), fillers (e.g. carbon black, glass fibers, carbon nanotubes, and inorganic particles), and Janus particles.⁷ A new method of recycling polymeric materials in a mixture has been

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developed to be complementary to the existing traditional processes (mechanical,^{5,8} chemical,^{9,10} or thermal¹¹ recycling). This concept called mechanical-chemical recycling (MCR) consists of synthesizing in situ, i.e. in the molten state of the polymer mixture, compatibilizing agents to stabilize the different phases that are present. This method has been developed since the late 1980s under the impulse of plastics producers who wished to complete their range of materials by the elaboration of polymer blends necessitating its deployment on a large scale. The first examples have involved branched- and ideally block-copolymer compatibilizing agents. 12-14 Not too many reactions are suitable for the formation of the copolymer because they have to meet strict requirements, such as fast kinetics, temperature resistance without degradation or side reactions, and irreversibility. In this context, Orr et al. have compared the kinetics of different coupling reactions between targeted chemical functions. 15 It appears from this work that each polymer blend requires a specific adaptation of the reactive system to satisfy the compatibility criteria, often with a prior synthesis of monofunctional macromolecular chains. Their approach consisted of exploiting the only macromolecular chains available in the polymer blend to develop the compatibilization copolymers. For that, the concept foresaw a preliminary synthesis of a universal agent called the recombination agent whose role was to be able to associate two macromolecular chains of different chemical natures and which could not carry reactive chemical functions. Peroxides¹⁶⁻¹⁸ have been commonly investigated as recombination agents for a wide range of polymer blends to improve interfacial adhesion. Upon heating, the peroxide will produce primary radicals able to abstract hydrogens on polymer chains. This radical formed on a polymer chain could react with a radical present on another polymer chain to create bridges between polymer chains. The use of maleic anhydride 19,20 in the presence of a thermal initiator has been also considered to graft in situ maleic anhydrides on polymer chains, while the succinic anhydride formed could react with functional groups present on the polymer of the blend. Existing maleic anhydride-grafted polymers (e.g. polyolefins, aliphatic polyesters, copolymers) have also been used as compatibilizing agents by adding them to a polymer blend. 21-24 Other strategies in reactive compatibilization have been reported and include the use of silane-, isocyanate-, oxazoline-, epoxide-, and phosphitebased coupling agents to induce interfacial stability in polymer blends.

The cohesion of the stacking into multilayered films of a few micrometers in thickness is ensured by an adhesive deposited between each layer such as OREVAC®, a unique range of functionalized polymers with maleic anhydride functions. These functions can be advantageously used to react with the alcohol functions of EVOH^{25,26} during the melt blending of the multilayer flexible food packaging, thus allowing the *in situ* development of copolymers adapted to the compatibility of the blend.²⁷ As most food packaging does not benefit from these functionalized copolymers,²⁸ it is essential to develop an alternative method to the one described previously with the

use of a recombination agent. In order to evaluate the relevance of this method, we chose to work with the same grades of polymeric materials used for the concoction of films intended for flexible packaging. With the aim to treat flexible plastic food packaging structured in alternating layers of apolar non-functionalized polyolefins (polyethylene, PE or polypropylene, PP) and vinyl alcohol-based copolymers (EVOH), 29 we decided in this study to investigate model molecules of PE and EVOH to identify suitable compatibilizing agents for this polymer blend. The reactions were conducted at high temperature in the presence of a radical initiator to induce proton abstractions on the polymer chains. These macroradicals were expected to react with molecules that would link two polymer chains, preferably of different natures. Various molecules were investigated from commercially available triallyl isocyanurate (TAIC) to designed molecules bearing one (2,2,6,6-tetramethylpiperidin-1-yl)oxyl (TEMPO) group and one glycidyl function to promote simultaneously specific reactions with each polymer. The formed products were investigated by ¹H NMR spectroscopy, mass spectrometry, electron paramagnetic resonance (EPR), and capillary viscometry to evaluate the potential of these molecules to act as compatibilizing agents for PE/EVOH blends.

Results and discussion

Triallyl isocyanurate as a coupling agent

Triallyl isocyanurate (TAIC) is commonly used upon irradiation or thermal treatment to improve the heat resistance and mechanical properties of polymers by crosslinking polymers, 30-32 to compensate for the degradation of aliphatic polyesters during sterilization processes, 33,34 and to promote in situ reactive compatibilization of polymer blends. In the latter case, only a few polymer systems have been reported, such as polyolefin/styrenic (co)polymers^{35,36} and polyesters.³⁷ TAIC in the presence of a source of radicals was investigated here as a promoter of compatibilization by thermal treatment for a blend of PE and EVOH. Octadecane (C18) and 2-undecanol (C11-OH) were selected as model molecules of PE and EVOH, respectively, in order to overcome the solubility issues associated with the use of the corresponding polymers (Fig. 1a) as C18, C11-OH, and the resulting products were fully soluble in chloroform and dichloromethane at room temperature permitting the characterizations to be performed at room temperature and the identification of the most promising compatibilizing agent to be tested with polymers. Dicumyl peroxide (DCP) was chosen as the source of radicals upon heating (i.e. 1 h half-life of 135 °C). DCP heated above its 1 h half-life temperature will abstract a proton from the model molecules forming a radical on the chain that could react with one of the allyl groups present in TAIC. The investigation started with C18 using 4 mol% of TAIC and 2 mol% of DCP over a period of 15 min at 150 °C leading to the formation of a white solid that was insoluble in CDCl3 indicating that the extent of crosslinking was too high for the targeted application as the flexi-

c) [TAIC+C18x2+Na]+ $C_{48}H_{91}N_3O_3Na$ Calculated: 780.70 Found: 780.59 [TAIC+C18x3+Na]+ $C_{66}H_{129}N_3O_3Na$ [TAIC+C11-OHx1+Na]+ Calculated: 1034.99 $C_{23}H_{39}N_3O_4Na$ Found: 1034.87 Calculated: 444.28 Found: 444.28 [TAIC+C11-OHx3+Na]+ + C18, DCP, 15 min $C_{45}H_{87}N_3O_6Na$ Calculated: 788.65 Found: 788.63 [TAIC+C18x1+C11-OHx2+Na]+ $C_{52}H_{101}N_3O_5Na$ Calculated: 870.76 Found: 870.73 [TAIC+C11-OHx2+Na]+ + C11-OH, DCP, 15 min $C_{34}H_{63}N_3O_5Na$ Calculated: 616.47 [TAIC+C18x1+C11-OHx1+Na]+ Found: 616.46 C₄₁H₇₇N₃O₄Na Calculated: 698.58 Found: 698.61 + C18/C11-OH, DCP, 30 min 6.5 6.0 5.5 5.0 4.5

Fig. 1 Coupling of TAIC (1 mol%) on C18 and/or C11-OH in the presence of DCP (0.5 mol%) at 150 °C: (a) reaction scheme, (b) monitoring of the consumption of the allyl group using ¹H NMR spectroscopy in CDCl₃ (full spectra in ESI, Fig. S1†), and (c) ESI-MS spectrum of the raw product obtained from the reaction performed on the mixture of C18 and C11-OH (1/1) (zoom on the molecular peaks and their simulation in ESI, Fig. S2†).

bility of the material would be lost. The amount of TAIC was decreased to 0.5 and 1 mol% while keeping the TAIC/DCP molar ratio identical. The reaction mixtures were cloudy, but the products were soluble in CDCl₃ permitting their characterization by ¹H NMR spectroscopy. The characteristic peaks of the allyl groups of TAIC at 4.49, 5.28, and 5.87 ppm disappeared indicating their complete consumption (Fig. 1b, full spectra available in ESI, Fig. S1†). The same phenomenon was observed when conducting the reaction solely with C11-OH or with an equimolar mixture of C18 and C11-OH. The latter product was further characterized by electrospray ionization mass spectrometry (ESI-MS) showing the formation of different species corresponding to the coupling of one or multiple molecules of C18 and C11-OH with TAIC (Fig. 1c, zoom available in ESI, Fig. S2†). To estimate the extent of this coupling reaction, capillary viscometry was performed using a micro-Ostwald viscometer in a thermostated bath at 25 °C by

chemical shift (ppm)

dissolving the raw product in CH2Cl2 at a concentration of 400 mg mL⁻¹. The difference in kinematic viscosity between the reaction mixture before the reaction and after 30 min of reaction $(\Delta \eta)$ for the equimolar mixture C18/C11-OH was 0.05 ± 0.01 mm² s⁻¹, suggesting an increase in molecular weight of at least a fraction of the C18 and C11-OH introduced and thus confirming the results observed by ESI-MS. The decrease of the amount of TAIC by half, while keeping the TAIC/DCP ratio constant, led to a decrease of $\Delta \eta$, which was expected as the number of coupling reactions will be lower. The effect of the TAIC/DCP ratio was also investigated by keeping the amount of TAIC introduced to 1 mol% and varying the amount of DCP (0.5, 1.0, and 1.5 mol%). No trend was observed, but the highest $\Delta \eta$ was obtained for the reaction conducted with the lowest amount of DCP suggesting that the production of a higher concentration of the primary radical may not be suitable in favoring the coupling reaction between TAIC and the

model molecules, and may induce a larger amount of β-hydrogen abstraction reactions, which is unavoidable under these conditions.³⁸ However, a better understanding of the extent of this reaction was not possible due to the insolubility of longer alkyl chains and some of the inconsistencies observed in the capillary viscosity measurements.

TEMPO-based molecules as coupling agents

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(2,2,6,6-Tetramethylpiperidin-1-yl)oxyl (TEMPO) is a persistent nitroxide radical that does not induce initiation, but is able to react with other radical species. This property has been exploited in the field of polymer science when using TEMPO, for example, as a controlling agent in radical polymerization,³⁹ as a reversible redox-active moiety on polymer chains for battery applications, 40 and as a scavenger of reactive oxygen species for the development of polymers with therapeutic activities.41 Nitroxide radical coupling is an attractive postpolymerization modification strategy for polymer functionalization and crosslinking.42 The main approach involves the coupling of the nitroxide with a carbon-centered macroradical formed by hydrogen abstraction on a polymer chain affording an alkoxyamine group that has been employed in peroxideinitiated functionalization of polyolefins. TEMPO has been explored by Nakatani et al. to create in situ a polystyrene-g-TEMPO that upon thermal treatment led to the formation of polystyrene-g-polypropylene acting as a compatibilizer of the polystyrene/polypropylene blends. 43 Another approach has been described by Park et al. involving first, the insertion of TEMPO on PE, which was then used as a macroinitiator to polymerize styrene with a small amount of maleic anhydride affording PE-g-poly(styrene-co-maleic anhydride), with the latter being used as a compatibilizer of PE and starch blends. 44 Herein, we propose developing TEMPO-based molecules as interfacial agents between PE and EVOH using model molecules.

The first experiments were aimed at acquiring a better under-

Coupling of TEMPO on model molecules of PE and EVOH.

standing of the coupling of TEMPO on a model molecule of PE (C18) in the presence of a peroxide (either DCP or benzoyl peroxide) before investigating poly- and multifunctional coupling agents. C18 was mixed with TEMPO (4 mol%) and the initiator (2 mol%) upon melting at 50 °C and the mixture was degassed by bubbling argon for 15 min before increasing the temperature to 110 °C over a period of 22 h. For the reaction conducted with DCP the reaction mixture changed from orange to colorless indicating the consumption of TEMPO and new peaks of low intensity were observed on the ¹H NMR spectrum at 2.4 and 3.7 ppm, which was not the case when using benzoyl peroxide (ESI, Fig. S3†). Keeping DCP as the source of radicals, the effect of temperature was investigated between 110 and 170 °C (Fig. 2). By increasing the temperature, the discoloration of the reaction medium was faster and the appearance of the peaks corresponding to the species formed were more intense. Furthermore, the contribution of the peaks observed at 5.36 ppm, attributed to the elimination of the TEMPO grafted on C18 leading to the formation of a double bond, was

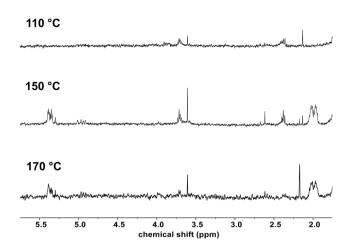


Fig. 2 ¹H NMR spectra (CDCl₃) of the reaction mixture of C18 with 4 mol% of TEMPO in the presence of 2 mol% of DCP at different temperatures.

more pronounced. However, above 150 °C, the reaction seemed reversible as the reaction medium after its discoloration became orange again. To better identify the peaks associated with the grafting of TEMPO to the model molecule of PE, the isolation of the TEMPO-grafted C18 was considered by distillation. However, due to the high boiling point of C18 the distillation was not possible, and the reaction was conducted with dodecane (C12) under the same conditions (4 mol% TEMPO, 2 mol% DCP) at 150 °C for 19 h. The reaction mixture was concentrated in TEMPO-grafted C12 product by distillation under vacuum using a Kugelrohr apparatus. The characteristic peaks of TEMPO were observed at 1.1, 1.4, and 1.7 ppm (Fig. 3), while the peak at 3.7 ppm was attributed to the proton on the carbon of the aliphatic chain to which TEMPO was grafted as reported in the literature. 45 Considering the reaction mixture before distillation, the grafting of TEMPO on C12 was estimated as 2 mol% of TEMPO-grafted C12 in the reaction mixture after 19 h of reaction using the peaks at 3.7 ppm, which was associated only with one proton of the TEMPOgrafted C12, and at 0.9 ppm corresponding to the protons of the terminal methyl groups on C12 and TEMPO-grafted C12. Similarly, in the reaction conducted with C18 using the same reaction conditions, the same grafting density was observed. The kinetics of the reaction was monitored by withdrawing aliquots during the reaction that were then characterized by ¹H NMR spectroscopy (ESI, Fig. S4†). The intensity of the peak at 3.7 ppm was reached rapidly for a grafting ratio of 2% within the first 15 min of the reaction. The consumption of TEMPO was similarly investigated by EPR spectroscopy. The classical EPR fingerprint of TEMPO was observed (Fig. 4, inset), with typical values of the isotropic g factor (ca. 2.006) and hyperfine coupling constant (ca. 1.6 mT), and its rapid decay in the same timeframe was clearly confirmed (Fig. 4). Finally, the molar ratio between C12 and TEMPO was then varied while maintaining a TEMPO to DCP molar ratio of 2 to 1 for a reaction conducted over a period of 1 h. The grafting ratio increased from

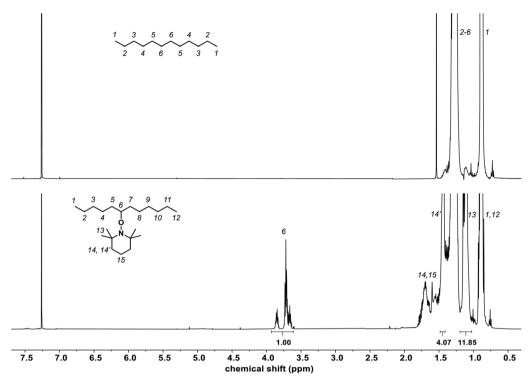


Fig. 3 ¹H NMR spectra (CDCl₃) of C12 (top) and TEMPO-grafted C12 (bottom) formed by the reaction of C12 with TEMPO (4 mol%) and DCP (2 mol%) at 150 °C after 19 h and concentrated by distillation. The NMR assignment of TEMPO-grafted C12 is illustrated with the TEMPO grafted in the center, but it could be on any carbon of the C12 chain.

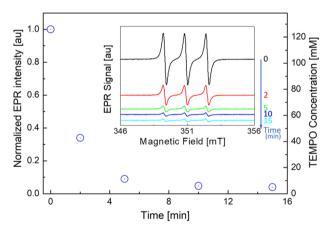


Fig. 4 EPR monitoring of the TEMPO concentration for the reaction of C18 with 4 mol% TEMPO and 2 mol% DCP at 150 °C: TEMPO-normalized EPR intensities $(l/l_0 = l(t)/l(t=0))$ were obtained at t=0, 2, 5, 10 and 15 min with corresponding EPR signals (inset).

2% to 4% when increasing the molar ratio of TEMPO from 4 to 8 mol% (ESI, Fig. S5†) indicating that half of the amount of TEMPO used was inserted on C12. This phenomenon could be attributed to the potential combination reaction of TEMPO with methyl radicals from the decomposition of DCP. 46,47

The grafting of TEMPO on C11-OH (the model molecule of EVOH) was also studied using 4 mol% TEMPO and 2 mol% DCP at 150 °C. After 1 h of reaction, the reaction medium was partially discolored. Unfortunately, the methine on which the

Scheme 1 Synthesis route of diTEMPO (a molecule with two TEMPO groups).

alcohol was attached had a chemical shift at 7.8 ppm (ESI, Fig. S6†), superimposing on the area within which was expected the characteristic peak of TEMPO-grafted C11-OH to appear, rendering the monitoring of the reaction difficult. However, the formation of TEMPO-grafted C11-OH was observed by mass spectrometry (ESI, Fig. S7†).

Evaluation of bis[4-(2,2,6,6-tetramethylpiperidine-1-oxyl)] phthalate (diTEMPO). To promote reactive compatibilization the compatibilizing agent should be inducing the covalent linkage between two polymer chains. From that perspective, a molecule bearing two TEMPO moieties with an aromatic spacing unit (diTEMPO) was synthesized by reacting terephthaloyl chloride with 4-hydroxy-TEMPO in the presence of triethylamine in dichloromethane, as depicted in Scheme 1. The product was characterized by ¹H NMR spectroscopy in CDCl₃ with one drop of phenylhydrazine to reduce the TEMPO radicals that would have interfered with the measurement into the corresponding amines. ⁴⁸ Despite the purification by column chromatography of the crude product, diTEMPO contained some 4-(2,2,6,6-tetramethylpiperidine-1-oxyl) phthalic

acid (terephthaloyl chloride modified with one TEMPO), 4-hydroxy-TEMPO, and triethylamine (ESI, Fig. S8†). The diTEMPO was used without further purification.

The grafting of diTEMPO was studied with C18 using 4 mol% diTEMPO and 4 mol% DCP at 150 °C for 30 min leading to a partial discoloration of the reaction medium. The monitoring of the reaction by ¹H NMR spectroscopy showed the grafting of diTEMPO on C18 by the appearance of the peak characteristic of the proton on the carbon on which a TEMPO group was grafted at 3.7 ppm (Fig. 5). The grafting was fast occurring within the first 5 min of the reaction without significant increase of the grafting at longer reaction times. The grafting was estimated to be the same as the number of molecules of diTEMPO corresponding to half of the amount of TEMPO groups as observed previously. However, ¹H NMR spectroscopy did not allow any distinguishing between the contribution of one or both TEMPO units of the diTEMPO in the grafting reaction. Capillary viscometry was used under similar conditions as previously described to determine the kinematic viscosity of the reaction mixture after the reaction, which was compared to the viscosity before the reaction. The kinematic viscosity increased from 0.595 mm² s⁻¹ (before the reaction) to 0.628 mm² s⁻¹ after 30 min of reaction, representing a small difference in kinematic viscosity ($\Delta \eta = 0.033 \text{ mm}^2 \text{ s}^{-1}$). By increasing the quantity of diTEMPO to 8 mol% (DCP 8 mol%), the difference in kinematic viscosity increased to 0.058 mm² s⁻¹. The same experiment was conducted (8 mol% diTEMPO, 8 mol% DCP) by replacing C18 with C11-OH, which led to no difference in kinematic viscosity ($\Delta \eta = 0 \text{ mm}^2 \text{ s}^{-1}$) suggesting that diTEMPO was able to covalently connect two C18 chains together, which was not the case for C11-OH, thus preventing the ability of diTEMPO to act as a compatibilizing agent for blends of PE and EVOH.

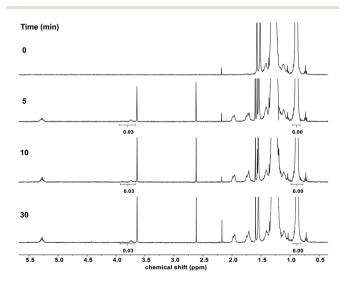


Fig. 5 Monitoring of the grafting of diTEMPO (4 mol%) on C18 in the presence of DCP (4 mol%) at 150 °C. The peak at 0.9 ppm was taken as a reference for all the terminal methyl groups of modified and unmodified C18, while the peak at 3.7 ppm corresponded to the proton on the carbon onto which a TEMPO group was grafted.

Evaluation of 4-glycidyl-2,2,6,6-tetramethylpiperidin-1-oxyl (TEMPO-epoxy). The synthesis of a molecule bearing one TEMPO group and one epoxy group was considered to promote the grafting of TEMPO on PE model molecules and the reaction of the epoxy group with the hydroxyl present on the model molecule of EVOH (Fig. 6). TEMPO-epoxy was synthesized according to the literature from 4-hydroxy-TEMPO and epichlorohydrin.⁴⁹ TEMPO-epoxy was evaluated for its ability to graft on C18 using 4 mol% of TEMPO-epoxy and 2 mol% of DCP at 150 °C for 15 min. The reaction led to the formation of the expected grafted product as detected by mass spectrometry (ESI, Fig. S9a†). The same experiment was conducted by replacing C18 with C11-OH affording the expected coupling product (ESI, Fig. S9b†). The experiment was also conducted by mixing C18 and C11-OH in equimolar quantities using the same reaction conditions. Mass spectrometry afforded the detection of the product formed by the grafting of the TEMPO group of the TEMPO-epoxy on C18, but also the product from the coupling reaction of the hydroxyl group of C11-OH with the glycidyl group of the TEMPO-epoxy (Fig. 7). However, the product corresponding to both reactions occurring simultaneously $([M + H]^{+} = 654.64)$ was not detected. This could be explained by the low probability of the reaction occurring due to large quantities of C18 and C11-OH compared to TEMPO-epoxy. The increasing viscosity of the reaction mixture was not observed for this system. However, when increasing the concentration of TEMPO-epoxy to 8 mol%, a slight viscosity increase ($\Delta \eta = 0.012 \text{ mm}^2 \text{ s}^{-1}$) was observed. As the increase in viscosity could not be attributed to the grafting of TEMPO-epoxy to one molecule of C18 or C11-OH, as was observed in the case with 4 mol% of TEMPO-epoxy, these results with 8 mol% of TEMPO-epoxy suggest that under these conditions molecules of higher molecular weight could have been formed, which could be attributed to some coupling reactions involving at least two model molecules.

Summary

The design of suitable and efficient interfacial agents to promote the compatibilization of polymer blends is still a challenge and is worth pursuing specially to provide solutions to the upcycling of plastic waste. The identification of a universal molecule that could be used for any type of polymer blend remains the Holy Grail in this field. TAIC and diTEMPO were demonstrated here as inappropriate to compatibilize PE/EVOH blends through the use of model molecules. This work showed a reasoned approach to conceive a suitable interfacial agent for this polymer blend. A molecule bearing a TEMPO and a glycidyl group has been demonstrated as a promising candidate to add to a blend of PE and EVOH. The design of recombination agents is a unique and attractive approach for researchers in this field to identify compatibilizing agents for specific blends of polymers. These results pave the way to investigations aimed at developing adequate interfacial agents for other polymer blends in existing waste composed of different incompatible polymers.

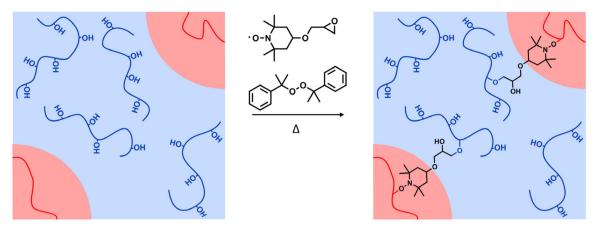


Fig. 6 TEMPO-epoxy as a proposed compatibilizing agent to stabilize the interface between PE (in red) and EVOH (in blue) phases through the grafting of the TEMPO group of TEMPO-epoxy onto PE and the coupling reaction of the epoxy group of TEMPO-epoxy with the hydroxyl groups of FVOH

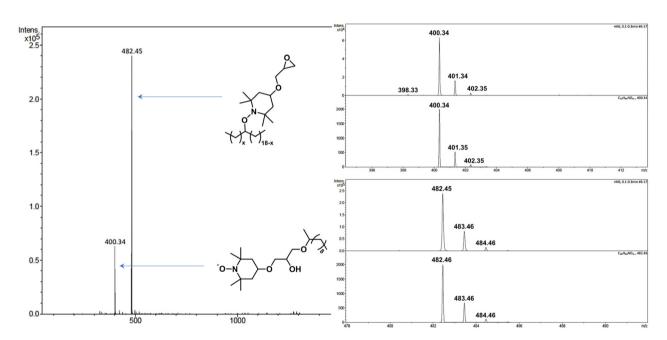


Fig. 7 Mass spectrum of the crude product from the reaction of C18 and C11-OH (1:1) with TEMPO-epoxy in the presence of DCP (2 mol%) at 150 °C for 15 min. For each molecular peak in the inset, the spectrum on top corresponds to the experimental results, and that on the bottom is the simulated pattern according to the molecular formula.

Experimental

Materials

Adipoyl chloride (TCI, >98.0%), benzoyl peroxide (BPO, Aldrich, 75%, remainder water), dicumyl peroxide (DCP, Aldrich, 98%), epichlorohydrin (99%, Alfa Aesar), 4-hydroxy-2,2,6,6-tetramethylpiperidine-1-oxyl free radical (4-hydroxy-TEMPO, TCI, >98.0%), *n*-dodecane (Alfa Aesar, 99%), *n*-octadecane (C18, Alfa Aesar, 99%), phenylhydrazine (Alfa Aesar, 97%), terephthaloyl chloride (TCI, >99.0%), tetrabutyl-ammonium hydrogen sulfate (TBABS, TCI, >98%,), 2,2,6,6-tetramethylpiperidine-1-oxyl radical (TEMPO, Alfa Aesar, 98+

%), triallyl isocyanurate (TAIC, TCI), triethylamine (NEt₃, Acros Organics, >97%), 2-undecanol (C11-OH, TCI, >98%), potassium carbonate (K_2CO_3 , Fisher Chemical, 99%), silica gel (Millipore Sigma, high purity grade, pore size 60 Å, 230–400 mesh), sodium sulfate (Na_2SO_4 , Fisher Chemical, anhydrous 99.6%), cyclohexane (Carlo Erba, RE), anhydrous dichloromethane (Sigma, \geq 99.8%, contains amylene as stabilizer), ethyl acetate (Carlo Erba, pure), and dichloromethane (Carlo Erba, pure-stabilized with amylene, RE) were used as received, except if noted otherwise. Anhydrous tetrahydrofuran (THF) was obtained on a GT S_{100} purification station. 4-Glycidyl-2,2,6,6-tetramethylpiperidin-1-oyl (TEMPO-epoxy)

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was synthesized as described in the literature, except the eluent used for the column chromatography (gradient of cyclohexane and ethyl acetate 70:30 to 50:50). 49

Characterization

¹H and ¹³C NMR spectra were recorded on a 400 MHz Bruker Avance III HD spectrometer equipped with a BBO-type probe at

Mass measurements were carried out on a Bruker Daltonics microTOF spectrometer (Bruker Daltonik GmbH, Bremen, Germany) equipped with an orthogonal electrospray (ESI) interface. Calibration was performed using Tuning mix (Agilent Technologies). Sample solutions were introduced into the spectrometer source with a syringe pump (Harvard type 55 1111: Harvard Apparatus Inc., South Natick, MA, USA) with a flow rate of 5 μ L min⁻¹.

Capillary viscosity measurements were conducted using a micro-Ostwald capillary (2 mL, $k = 0.01098 \text{ mm}^2 \text{ s}^{-2}$ or k =0.01064 mm² s⁻²) in dichloromethane with a concentration of 400 mg mL⁻¹, in a thermostated bath at 25 °C.

Resonance paramagnetic electronic (RPE) analyses were carried out on a continuous-wave EPR X-band spectrometer (EMXplus from Bruker Biospin GmbH, Germany) equipped with a high sensitivity resonator (4119HS-W1). This setup was used to record field-swept spectra from ca. 2 mg of C18-TEMPO resuspended in 1 mL of dichloromethane. The main experimental parameters were: microwave power 1.8 mW, modulation amplitude 1 G, time constant of ca. 40 ms, and 10 ms conversion time, 12 mT were swept in 30 s per scan and 2 scans were accumulated. All experiments were performed at room temperature (T = 295 K \pm 1 K). EPR intensity (I(t)) values were then obtained through careful double integration of the EPR signal after baseline subtraction (pure dichloromethane solution). TEMPO concentrations were then evaluated using the calculated TEMPO concentration at t = 0 as reference.

Model reaction of C18 and/or C11-OH in the presence of TAIC

C18 (3.00 g, 11.8 mmol) or C11-OH (2.00 mL, 9.63 mmol) was placed in a round-bottom flask. DCP and TAIC in the required amounts were added. The round-bottom flask was heated at 40 °C to melt the reagents and the solution was degassed by bubbling argon for 15 min. The reaction mixture was stirred in a bath thermostated at 150 °C for 15 min under argon. For the reactions conducted with a mixture of C18 and C11-OH, 1.00 g of C18 (3.93 mmol) and 0.82 mL of C11-OH (3.93 mmol) were used.

Model reactions of PE and EVOH model molecules in the presence of TEMPO

C18 (1.00 g, 3.93 mmol), C12 (1.00 mL, 4.40 mmol) or C11-OH (3.00 mL, 14.50 mmol) was placed in a round-bottom flask. DCP (or BPO) and TEMPO in the required amounts were added. For C18 the round-bottom flask was heated at 50 °C to melt the reagents, while for C12 and C11-OH the solution was stirred at room temperature. The solution was degassed by bubbling argon for 15 min. The reaction mixture was stirred in a bath thermostated at the required temperature under argon.

For the experiment with a purification step by distillation, the reaction mixture was washed with acetonitrile followed by distillation on a Kugelrohr apparatus (160 °C, 12 mbar).

Synthesis of diTEMPO

4-Hydroxy-TEMPO (2.09 g, 12.10 mmol) and NEt₃ (2.00 mL, 14.30 mmol) were placed in a round-bottom flask and dissolved in 17 mL of anhydrous CH₂Cl₂ under argon. The reaction mixture was cooled to 0 °C. A solution of terephthaloyl chloride (1.11 g, 5.49 mmol) in anhydrous THF (2 mL) was added dropwise into the round-bottom flask. The reaction mixture was stirred at room temperature for 20 h. The reaction mixture was then washed with an aqueous solution of K2CO3 (10% w/v, 20 mL), dried over Na₂SO₄, and concentrated by rotary evaporation. The crude product was purified by column chromatography on silica gel using a gradient of solvents, namely dichloromethane and ethyl acetate (95:5 to 90:10), as eluent affording a light orange solid (1.48 g). The integrals were not indicated on the ¹H NMR spectrum (ESI, Fig. S8†) as the product was not pure (presence of residual NEt₃, 4-hydroxy-TEMPO, and monofunctionalized terephthaloyl chloride). The structural characteristics reported below are only for the terephthaloyl with two TEMPO groups.

¹H NMR: δ (CDCl₃ + 1 drop of phenylhydrazine, 7.26 ppm) 7.97 (s, 4H), 5.23 (m, 2H), 1.98 (m, 4H), 1.74 (m, 4H), 1.21 (s, 12H), 1.19 (s, 12H). ESI (m/z): calculated from $C_{26}H_{38}N_2O_6$ 474.27; found 474.27.

Reaction of diTEMPO with C18 or C11-OH

C18 (3.00 g, 12 mmol) or C11-OH (2.5 mL, 12 mmol) was placed in a round-bottom flask. DCP (0.13 g, 0.48 mmol) and diTEMPO (0.23 g, 0.48 mmol) were added to the round-bottom flask, which was then heated to 50 °C and degassed by bubbling argon for 15 min. The reaction mixture was stirred in a bath thermostated at 150 °C for 30 min under argon.

Reaction of TEMPO-epoxy with C18 and/or C11-OH

C18 (1.00 g, 3.93 mmol) or C11-OH (0.82 mL, 3.93 mmol) was placed in a round-bottom flask. DCP (22 mg, 0.08 mmol) and TEMPO-epoxy (36 mg, 0.16 mmol) were added to the roundbottom flask, which was heated at 50 °C to melt the reagents and degassed by bubbling argon for 15 min. The reaction mixture was stirred in a thermostated bath at 150 °C for 15 min under argon. For the reactions conducted with a mixture of C18 and C11-OH, 1.00 g of C18 (3.93 mmol) and 0.82 mL of C11-OH (3.93 mmol) were used in the presence of the required amount of DCP and TEMPO-epoxy.

Author contributions

Elisa Gitzhofer: methodology, investigation, visualization. Bertrand Vileno: methodology, investigation, visualization. Michel Bouquey: supervision, funding acquisition, writing. Delphine Chan-Seng: conceptualization, methodology, supervision, visualization, writing.

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Conflicts of interest

There are no conflicts to declare.

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