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# N-heterocyclic carbene-ligated metal complexes and clusters for photocatalytic CO<sub>2</sub> reduction

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Photocatalytic reduction of CO<sub>2</sub> to high value-added products is a green and sustainable approach to reducing excessive CO<sub>2</sub> emissions. N-heterocyclic carbenes (NHCs) are a class of structurally versatile ligands due to their strong  $\sigma$ -donor properties, forming covalent bonds with many metal centers, and ability to activate CO<sub>2</sub> molecules. The resulting NHC-ligated metal centers and clusters have high stability, tunable  $\pi$ -conjugation and unique reactivity, making them excellent candidates for CO<sub>2</sub> photoreduction. Herein, this frontier article provides a comprehensive review of the literatures on active NHC-stabilized metal complexes and clusters for photocatalytic CO<sub>2</sub> reduction. We discuss the synthetic strategies of NHC-ligated metal species, including their incorporation into porous matrices such as metal-organic frameworks (MOFs). We also summarize their photocatalytic applications in CO<sub>2</sub> reduction, providing guidance for the rational design and development of NHC-based MOF photocatalysts for efficient and sustainable CO<sub>2</sub> photoreduction.

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## 1 Introduction

Excessive carbon dioxide (CO<sub>2</sub>) emissions resulting from the combustion of fossil fuels are a global problem that causes serious environmental issues, including the greenhouse effect responsible for global warming.<sup>1,2</sup> To address these issues, the photocatalytic conversion of CO<sub>2</sub> into high-value products using solar energy has recently gained increasing attention since the discovery of the Fujishima-Honda effect in 1972.<sup>3</sup> The ultimate goal is to couple the half-reaction of CO<sub>2</sub> reduction with the half-reaction of water oxidation to mimic the natural photosynthesis.<sup>4,5</sup>

Metal complexes (e.g., porphyrins,<sup>6–10</sup> phthalocyanines,<sup>11,12</sup> pyridine and amine derivatives<sup>13–15</sup>) and metal clusters<sup>16,17</sup> have emerged to become a promising class of photocatalysts for CO<sub>2</sub> reduction. These catalysts allow for precise structural modifications at the atomic level, facilitating the rational design and understanding of the structure–property relationship for CO<sub>2</sub> photoreduction. The tunable activity and rich redox valence of metal species enable the modulation of their reduction potentials for photocatalysis. Importantly, the metal–ligand coordination motifs, especially the interface between metal clusters and surface ligands, play a critical role in improving the catalytic turnover numbers (TON) and turnover frequencies (TOF). Sufficient contact between the reaction

substrates and the catalytic sites often leads to high TON and TOF. Additionally, the plasmonic nanosized metals (e.g., Au, Ag and Cu) are able to harvest visible light by the localized surface plasmon resonance (LSPR) effect to enhance photon absorption and photo-induced hot electron transport.<sup>18–20</sup>

In recent years, N-heterocyclic carbenes (NHCs) have become a well-established family of strong  $\sigma$ -donor ligands with diverse stereochemical and electronic features, capable of forming covalent bonds with many metal centers.<sup>21,22</sup> More importantly, the reactive basic nature of NHCs finds them in potential applications for CO<sub>2</sub> activation and catalytic conversion.<sup>23–25</sup> Therefore, the unique properties and stability of metal–NHC catalysts make them highly desirable in photocatalytic CO<sub>2</sub> reduction. For example, Chang and colleagues recently reported a basic homogeneous Ni<sup>2+</sup>–NHC catalyst for solar-driven catalytic conversion of CO<sub>2</sub> into CO, with TON and TOF reaching 98 000 and 3.9 s<sup>−1</sup>, respectively.<sup>26</sup> Although photosensitizers and electron donors are still required, this represents the first system combining visible-light excitation with first-row transition metal centers to achieve sustainable CO<sub>2</sub> conversion with no detectable H<sub>2</sub> formation from the proton reduction process. This finding demonstrated the potential of NHC motifs as molecular building blocks for application in solar-to-fuel transformation reactions.

This frontier article provides a comprehensive summary of the literature on active NHC-stabilized metal complexes and clusters for photocatalytic CO<sub>2</sub> reduction. The review article discusses the synthetic strategies of NHC-ligated metal complexes and clusters, as well as their incorporation into a porous matrix such as metal-organic frameworks (MOFs).

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Moreover, the article summarizes the catalytic applications of NHC-based photocatalysts in CO<sub>2</sub> reduction. We hope the article will provide scientific insights into the rational design and development of NHC-based metal complexes and nano-clusters for efficient and selective CO<sub>2</sub> photoreduction.

## 2 Synthesis of and photocatalytic CO<sub>2</sub> reduction by metal–NHC complexes

### 2.1 Ni complexes

Rational modification of the NHC ligand structure shows great promise in developing new molecular photocatalysts for CO<sub>2</sub> reduction with enhanced catalytic rates, selectivity and longevity. Two reliable strategies for designing CO<sub>2</sub> reduction photocatalysts include strengthening the  $\pi$ -conjugation system and tuning the substituents of the NHC ligands.

First, expanding  $\pi$ -conjugation can stabilize key intermediates by electron delocalization. For instance, Chang and co-workers reported that catalytic activities can be significantly improved by expanding the  $\pi$ -conjugated systems of tetradentate NHC–pyridine complexes.<sup>26</sup> This was indeed the first type of metal–NHC complex for visible-light photocatalytic CO<sub>2</sub> reduction. The typical synthesis involves the treatment of an imidazolium salt with Ag<sub>2</sub>O to form the Ag<sup>I</sup>–NHC complex, followed by transmetalation with Ni<sup>II</sup>. The resulting Ni<sup>II</sup>–NHC–isoquinoline complex, [Ni(<sup>Pr</sup>bimiq1)]<sup>2+</sup> (<sup>Pr</sup>bimiq1 = bis(3-(imidazolyl)isoquinoliny)propane), was employed in photocatalytic CO<sub>2</sub> reduction, in conjunction with Ir(ppy)<sub>3</sub> (ppy = 2-phenylpyridine) as the photosensitizer and triethylamine (TEA) as the electron donor (Fig. 1). The photocatalytic system achieved the highest TON and TOF values of 98 000 and 3.9 s<sup>-1</sup>, respectively, as determined by CO production and the [Ni(<sup>Pr</sup>bimiq1)]<sup>2+</sup> complex (Table 1, entry 1). These values may have been limited by the generation of an active nickel center and the CO<sub>2</sub> conversion by the reduced nickel center. The high CO<sub>2</sub> reduction selectivity over proton reduction was confirmed by no detectable H<sub>2</sub> evolution. Compared to CdS semiconduc-

tive powders, this Ni–NHC molecular photocatalytic system exhibited two orders of magnitude higher solar-to-fuel efficiency.<sup>27,28</sup> The limiting factor for long-term CO<sub>2</sub> reduction is the photosensitizer degradation rather than catalyst deactivation. Despite the use of a noble-metal photosensitizer and a sacrificial agent, these results revealed the ability of the NHC–amine skeleton to form molecular building blocks for metal–NHC photocatalysis in solar-to-fuel transformations.

Second, the stronger electron-donating group often facilitates CO<sub>2</sub> activation, which is usually considered the rate-limiting step for CO formation. Webster, Delcamp, Papish and co-workers revealed a surprising effect from a remote O-group on a pincer Ni<sup>II</sup> complex, resulting in dramatically enhanced activity.<sup>29</sup> They used a Ni<sup>II</sup>–pyridinol–NHC–pincer complex in place of the Ru metal center to continue research on the electronic modifications at the metal center by introducing a protic donor group (–OH) at the *para* (to N) position of the pincers. The Ni<sup>II</sup>–NHC–pyridinol–NHC–pincer catalyst can effectively catalyse the photoreduction of CO<sub>2</sub> to CO in the presence of Ir(ppy)<sub>3</sub> and 1,3-dimethyl-2-phenyl-2,3-dihydro-1*H*-benzo[*d*]-imidazole (BIH), with a TON of 10.6 (Table 1, entry 2). However, the photocatalytic activity only lasts for 6 hours in the presence of a photosensitizer. In contrast, the pyridine–NHC complex without a remote O-substituent demonstrated negligible catalytic activity. Moreover, this catalyst exhibited reversible *in situ* protonation or deprotonation, which enabled the switching on or off of its photocatalytic performance. The incorporation of oxygen-bearing groups into C<sub>NHC</sub>NC<sub>NHC</sub> pincer ligands was found to be highly effective in Ni<sup>II</sup>-catalyzed CO<sub>2</sub> photoreduction, which effectively lowered the redox potentials and facilitated the electron transfer from the photosensitizer to the catalyst and from the catalyst to CO<sub>2</sub> during the first reduction potential.

Delcamp and colleagues investigated the influence of macrocyclization and ligand planar geometry on catalytic reactivity of the redox-active Ni<sup>II</sup>–NHC–bipyridyl complexes under aqueous conditions.<sup>30</sup> Their study revealed that both macrocyclic structures exhibit high selectivity for CO<sub>2</sub> reduction over proton reduction, while the less planar macrocycle structure demonstrates greater catalytic activity with a TON of 19 000 for CO<sub>2</sub> to CH<sub>4</sub> conversion in the presence of water (Table 1, entries 4 and 5). The introduction of an additional aliquot of Ir(ppy)<sub>3</sub> will again turn-on the photocatalysis process, suggesting the robust nature of the active nickel photocatalyst. This unique reactivity from a tunable, highly durable macrocyclic framework was studied *via* a series of photocatalytic and electrocatalytic reactions at different atmosphere compositions. The Ni<sup>II</sup>–NHC–bipyridyl complex afforded a TON of 12 000 for CH<sub>4</sub> under a 1 : 1 CO<sub>2</sub> : H<sub>2</sub> atmosphere, while a substantially increased TON of 570 000 was achieved under a 1 : 1 CO : H<sub>2</sub> atmosphere (CO in place of CO<sub>2</sub>) (Fig. 2). The isotope labeling experiments revealed that both H<sub>2</sub> and CO were essential for increasing the CH<sub>4</sub> TON value. These results demonstrate the potential of NHC and bipyridyl as ligand scaffolds for Ni<sup>II</sup>-photocatalysis.

Inspired by previous studies, Ke and coworkers were motivated to simultaneously apply these two strategies on one

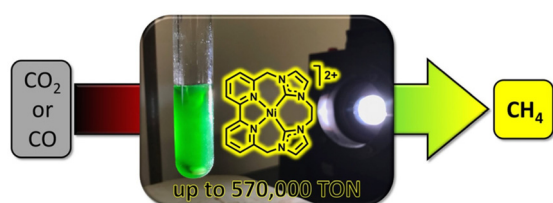


Fig. 1 Photocatalytic CO<sub>2</sub> reduction process by the [Ni(<sup>Pr</sup>bimiq1)]<sup>2+</sup> complex. Reprinted with permission from ref. 26. Copyright 2013 American Chemical Society.

**Table 1** NHC-ligated metal complexes for photocatalytic CO<sub>2</sub> reduction<sup>a</sup>

| Entry | Compound | Photosensitizer      | Sacrificial reductant       | QY (%)                 | Selectivity <sup>b</sup> (CS) (%) | TON <sub>CO</sub>    | TON <sub>H<sub>2</sub></sub> | TON <sub>CH<sub>4</sub></sub> | Ref. |
|-------|----------|----------------------|-----------------------------|------------------------|-----------------------------------|----------------------|------------------------------|-------------------------------|------|
| 1     | 1        | Ir(ppy) <sub>3</sub> | TEA                         | 0.01                   | 100                               | 98 000 <sup>c</sup>  | 0                            | Trace                         | 26   |
| 2     | 2        | Ir(ppy) <sub>3</sub> | BIH, TEA                    | —                      | —                                 | 10.6 <sup>d</sup>    | —                            | —                             | 29   |
| 3     | 2        | Ir(ppy) <sub>3</sub> | BIH, TEA                    | —                      | —                                 | 9 <sup>e</sup>       | —                            | —                             | 29   |
| 4     | 3        | Ir(ppy) <sub>3</sub> | BIH, TEA                    | —                      | 90                                | 310 000 <sup>f</sup> | 33 000                       | 0                             | 30   |
| 5     | 3        | Ir(ppy) <sub>3</sub> | BIH, TEA, H <sub>2</sub> O  | 5 × 10 <sup>-3</sup>   | 87                                | 175 000 <sup>g</sup> | 29 000                       | 19 000                        | 30   |
| 6     | 4        | Ir(ppy) <sub>3</sub> | BIH, TEA                    | —                      | 100                               | 102.6 <sup>h</sup>   | 0                            | 0                             | 31   |
| 7     | 4        | Pheno                | BIH, TEAB, H <sub>2</sub> O | 11.2                   | 100                               | 101 <sup>i</sup>     | 0                            | 0                             | 31   |
| 8     | 5        | Ir(ppy) <sub>3</sub> | BIH, TEA                    | —                      | 100                               | 250 <sup>j</sup>     | 0                            | 0                             | 32   |
| 9     | 6        | Self-sensitized      | BIH, TEA                    | 5.4 × 10 <sup>-5</sup> | —                                 | 33 000 <sup>k</sup>  | —                            | —                             | 33   |
| 10    | 7        | Self-sensitized      | BIH, TEA                    | —                      | —                                 | 32 <sup>l</sup>      | —                            | —                             | 34   |
| 11    | 8        | Ir(ppy) <sub>3</sub> | BIH, TEA                    | —                      | > 97.8                            | 45 <sup>m</sup>      | Trace                        | 0                             | 36   |

<sup>a</sup> QY, quantum yields; TON, turnover numbers; TEA, triethylamine; MeCN, acetonitrile; BIH, 1,3-dimethyl-2-phenyl-2,3-dihydro-1H-benzo[d]-imidazole; DMF, *N,N*-dimethylformamide; Pheno, 3,7-di([1,1'-biphenyl]-4-yl)-10-(naphthalen-1-yl)-10H-phenoxazine; TEAB, tetraethylammonium bicarbonate. <sup>b</sup> CS (carbon-selective) reduction percentage is calculated as CS % = ((CO TON + CH<sub>4</sub> TON)/total observed products TON) × 100. <sup>c</sup> TON after photocatalysis for 7 h with 2 nM catalyst, 0.2 mM Ir(ppy)<sub>3</sub>, 0.07 M TEA. <sup>d</sup> TON after photocatalysis for 6 h in an acetonitrile solution with catalyst, Ir(ppy)<sub>3</sub>, BIH and TEA. <sup>e</sup> TON after photocatalysis for 6 h in a DMF solution with catalyst, Ir(ppy)<sub>3</sub>, BIH and TEA. <sup>f</sup> TON after photocatalysis for 72 h with 2 nM catalyst, 0.1 mM Ir(ppy)<sub>3</sub>, 10 mM BIH and 5% v/v TEA. <sup>g</sup> TON after photocatalysis for 72 h with 2 nM catalyst, 0.1 mM Ir(ppy)<sub>3</sub>, 10 mM BIH, 5% v/v TEA and 2% v/v H<sub>2</sub>O. <sup>h</sup> TON after photocatalysis for 4 h with 0.1 mM catalyst, 0.1 mM Ir(ppy)<sub>3</sub>, 11 mM BIH and 5% v/v TEA. <sup>i</sup> TON after photocatalysis for 1 h with 0.05 mM catalyst, 0.1 mM Pheno, 11 mM BIH, 0.1 M TEAB and 2% v/v H<sub>2</sub>O. <sup>j</sup> TON after photocatalysis for 40 h in an acetonitrile solution with catalyst, Ir(ppy)<sub>3</sub>, BIH and TEA. <sup>k</sup> TON after photocatalysis with 1 nM catalyst, BIH and TEA. <sup>l</sup> TON after photocatalysis for 4 h with 0.1 mM catalyst, 10 mM BIH and 5% v/v TEA. <sup>m</sup> TON after photocatalysis for 72 h with 1 μM catalyst, 0.1 mM Ir(ppy)<sub>3</sub>, 20 mM BIH and 5% v/v TEA.



**Fig. 2** Photocatalytic reduction of CO<sub>2</sub>/CO to CH<sub>4</sub> by the Ni<sup>II</sup>-NHC-bipyridyl complex. Reprinted with permission from ref. 30. Copyright 2019 American Chemical Society.

CO<sub>2</sub>-reduction catalyst to achieve enhanced catalytic performance by the introduction of a strong electron donor with large  $\pi$ -conjugation.<sup>31</sup> They reported the development of a highly

efficient Ni<sup>II</sup>-bis(NHC)-carbazolide catalyst for selective CO<sub>2</sub> photoreduction. The introduction of the carbazolide fragment into the scaffold significantly enhanced the photocatalytic activity, attributed to the strong characteristic  $\sigma/\pi$  donor and large  $\pi$ -conjugation of the carbazolide moieties. The Ni<sup>II</sup>-bis(NHC)-carbazolide catalyst exhibited TON and TOF values that were 8- to 9-fold higher than those of the Ni<sup>II</sup>-bis(NHC)-pyridinol catalyst at the same catalyst concentration using an identical photosensitizer (Table 1, entries 2 and 6).<sup>29</sup> The ligand modifications altering the electron density at the metal center were proved again to be a viable strategy to improve the reactivity and selectivity of the photocatalysts. Notably, the authors employed an organic dye to replace the Ir photosensitizer and develop a noble-metal-free photocatalytic system with an outstanding quantum yield of 11.2% (Table 1, entry 7).

## 2.2 Ru complexes

CNC-pincer ligands, which combine the strong s-donor and moderate p-acceptor properties of the NHC and pyridine rings, have been shown to exhibit higher thermal stability and have thus garnered significant attention. Papish and coworkers reported the synthesis of (<sup>OMe</sup>CNC)Ru<sup>II</sup>, a ruthenium complex coordinated by an NHC precursor, that was deprotonated with CsCO<sub>3</sub> and treated with [(*p*-cymene)RuCl<sub>2</sub>]<sub>2</sub> in acetonitrile.<sup>32</sup> By affixing a pyridinol–NHC ligand to the ruthenium center, the authors evaluated the effects of modulating the electron density of the metal centers by introducing the methoxy group at the *para* (to N) position. The resulting 4-methoxy-pyridine derived Ru<sup>II</sup>–NHC–pyridyl–NHC–pincer catalysts show greater durability in visible-light photocatalytic CO<sub>2</sub> reduction, reaching a TON of 250 over 40 h with an initial TOF of 15 h<sup>-1</sup> (Table 1, entry 8). The significant increase in durability is ascribed to the strongly chelating NHC and 4-methoxy-pyridine pincer ligand. The unsubstituted analog, with H in place of the methoxy group, was found to be inactive. These results suggest that the enhanced electron-donor properties of the 4-methoxy-pyridine substituent contribute to the increased catalyst stability through its strong chelation with metal centers.

The same group continued to report a series of pincer Ru<sup>II</sup>–NHC complexes for photocatalytic self-sensitized CO<sub>2</sub> reduction to CO.<sup>33</sup> The expanded ligand  $\pi$ -conjugated system of benzimidazole-derived NHC rings increases the light-harvesting ability of the photocatalysts, demonstrating that CO<sub>2</sub> reduction without an additional photosensitizer is feasible and can produce quantities of CO (TON of 33 000 and TOF of 250 h<sup>-1</sup>) comparable to the sensitized reactions (Table 1, entry 9).

## 2.3 Re complexes

A series of Re<sup>I</sup>–pyridyl–NHC (Re<sup>I</sup>–PyNHC) complexes with different substituents, including a resonance-donating –OC<sub>6</sub>H<sub>13</sub> group, an electron-neutral –H group and an electron-deficient –CF<sub>3</sub> group, have been synthesized by Delcamp and coworkers.<sup>34</sup> Among them, Re(PyNHC–PhCF<sub>3</sub>)(CO)<sub>3</sub>Br with the electron-withdrawing substituent was found to exhibit the highest photocatalytic CO<sub>2</sub> reduction activity, even outperforming the benchmark Re(bpy)(CO)<sub>3</sub>Br in the presence of BIH as electron donor and *fac*-Ir(ppy)<sub>3</sub> as photosensitizer. Indeed, the Re complex was also found to function without a photosensitizer to afford a TON of 32, exceeding the TON of 14 for Re(bpy)(CO)<sub>3</sub>Br (Table 1, entry 10). However, all photocatalysts were found to be inactive after 4 h irradiation. The robustness of the Re<sup>I</sup>–pyridyl–NHC catalyst, Re(PyNHC–PhCF<sub>3</sub>)(CO)<sub>3</sub>X (X = Cl/Br), was investigated by the same group with respect to the introduction of water and oxygen during photocatalytic CO<sub>2</sub> reduction.<sup>35</sup> The NHC ligands afford enhanced stability under anhydrous conditions by retaining 67% of their peak performance, while the benchmark Re(bpy)(CO)<sub>3</sub>Cl retains 36% reactivity under anhydrous conditions. The introduction of ambient air into the reaction also affected the reaction. Under 40% ambient atmosphere, Re(PyNHC–PhCF<sub>3</sub>)(CO)<sub>3</sub>Cl retained 50%

of the photocatalytic performance it had in a 100% CO<sub>2</sub> atmosphere, while 91% reduction in TON was observed in the benchmark Re(bpy)(CO)<sub>3</sub>Cl. These results highlight the contribution of high robustness of Re<sup>I</sup>-based photocatalysts through NHC ligands.

## 2.4 Au complexes

Nugegoda *et al.* reported the first examples of Au-based NHC-ligated molecular catalysts for CO<sub>2</sub> photoreduction.<sup>36</sup> They studied the photocatalytic performances of eleven Au–NHC complexes, which varied in their wingtip substituents, the saturated or unsaturated NHC backbone and the labile ligand (counterion) on the Au center. Among them, the mesitylene wingtip-bearing complex showed the highest TOF of 8.4 h<sup>-1</sup> and TON of 45, ascribed to the small wingtip ligand, unsaturated backbone and easily dissociated chloride ligand (Table 1, entry 11). Although the Au–NHC photocatalysts studied are relatively stable for prolonged photocatalysis compared to benchmark rhenium–NHC complexes,<sup>34,35</sup> the photoreaction lasts for 72 hours due to the decomposition of the photosensitizer.

## 3 Synthesis and photocatalytic CO<sub>2</sub> reduction by metal–NHC complexes in MOFs

Although homogeneous metal complexes are amenable for structural design and understanding the structure–property relationship,<sup>37</sup> heterogeneous photocatalytic CO<sub>2</sub> reduction catalysts offer a potentially robust platform to stabilize the metal–NHC species. Metal–organic frameworks (MOFs) are a unique class of porous crystalline materials constructed from metal–oxo nodes and tailorable organic struts, often possessing high surface area and well-defined porosity for gas absorption.<sup>38</sup> Since many of them have CO<sub>2</sub> capture abilities to increase local concentrations around catalytic sites, integration of photocatalytic active species into MOFs is highly desirable to realize efficient and stable CO<sub>2</sub> photoreduction.<sup>39</sup>

Inspired by synthetic strategies of homogeneous molecular catalysts, chemists have made great efforts to introduce metal–NHC species into MOFs for CO<sub>2</sub> activation. Direct synthesis of MOFs containing activated, metal-free NHC or metal–NHC species is not straightforward due to the moisture/oxygen sensitivity and versatile metal-coordination nature of NHC. Traditional approaches involving post-modification of imidazolium-functionalized MOFs with strong alkaline reagents are often not suitable for NHC incorporation due to the potential decomposition of the frameworks. For example, a decrease in crystallinity was observed by treating the Co<sup>II</sup>-imidazolate framework with *n*-butyl lithium to generate NHC sites, which also demonstrated that most of the generated NHC species are located on the MOF surface.<sup>40</sup> To overcome this issue, two viable strategies have been developed to access metal–NHC functional MOFs (Fig. 3). The first strategy employs a soluble

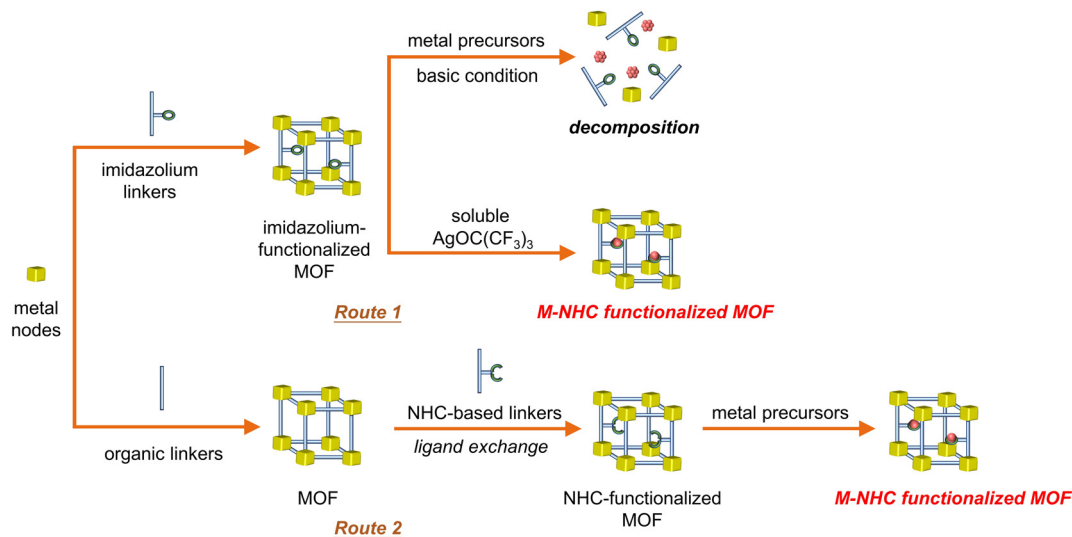


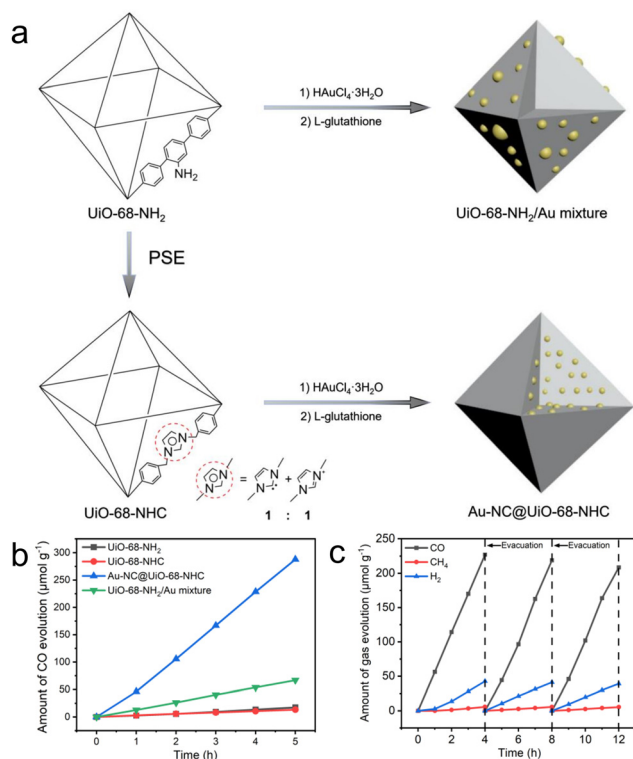
Fig. 3 Schematic representation of the synthesis of metal–NHC–functionalized MOFs.

metal source instead of conventional insoluble species, such as  $\text{Ag}_2\text{O}$ , which is followed by transmetalation to afford M–NHC ( $\text{M} = \text{Pd}^{\text{II}}/\text{Ir}^{\text{I}}$ ) single-site catalysts inside the MOFs (Fig. 3, route 1).<sup>41</sup> The other strategy involves *in situ* generation of a metal-free NHC-functionalized MOF by post-synthetic ligand exchange, which generates NHC as functionalized backbone linkers of the MOF. The formation of activated, free NHC sites in MOFs has been evidenced by  $^1\text{H}$  NMR and  $^{13}\text{C}$  solid-state NMR spectroscopy (Fig. 3, route 2).<sup>42</sup> The MOF has the ability to strongly coordinate with a variety of metal sites (e.g.,  $\text{Zn}^{\text{II}}$ ,  $\text{Cu}^{\text{I}}$  and  $\text{Au}^{\text{I}}$ ), owing to the high  $\sigma$ -donor and low  $\pi$ -acceptor features of NHC.<sup>43,44</sup>

Besides their single M–NHC sites, metal nanoclusters have also garnered increasing attention in photocatalysis over the last decades, owing to their LSPR effect to enhance light harvesting.<sup>45</sup> Their formation requires strong covalent or coordination bonding by organic ligands, which is essential to prevent migration and aggregation. In recent years, NHC groups have emerged as a new type of ligand to functionalize metal nanoclusters, thanks to the pioneering work of ligand–surface coordination between 1-methyl-3-butyl imidazolylidene and  $\text{Ir}(0)$  nanoclusters by Finke *et al.*<sup>46</sup> The synthetic approaches of NHC-stabilized metal nanoclusters in MOFs include chemical reduction of M–NHC precursors, the reduction of metal cations in the presence of imidazole-containing ionic liquids, ligand exchange between free NHC ligands and pre-made metal nanoclusters, and thermal decomposition of active metal precursors.<sup>47</sup> By covalently loading NHC-protected plasmonic metal nanoclusters into a MOF platform, one is able to achieve the covalent interface between the MOF and metal nanoclusters for efficient carrier transport in photocatalysis. Considering the MOF advantages for  $\text{CO}_2$  adsorption and diffusion and the metal nanocluster advantages of strong light absorption, the NHC-stabilized

metal nanoclusters in MOFs should be a promising platform for photocatalytic  $\text{CO}_2$  reduction.

Our group demonstrates a heterogeneous nucleation approach to accommodate ultras-small and highly dispersed Au nanoclusters in an NHC-functionalized MOF (Fig. 4a).<sup>44</sup> We employed the parent UiO-68-NHC with free activated NHC sites and used it directly for nanocluster nucleation in a methanolic solution of  $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$ . After sufficient diffusion of the Au species in the NHC-functionalized MOF, the reduction of  $\text{Au}^{\text{III}}$  to  $\text{Au}^0$  by  $\gamma$ -glutathione affords ultra-small nanoclusters with sizes in the range of  $1.8 \pm 0.2$  nm. The formation of strong Au–carbene covalent bonds is confirmed by  $^{13}\text{C}$  solid-state NMR spectroscopy, which is crucial to stabilize Au nanoclusters. Control experiments using  $\text{NH}_2$  or imidazolium as the functional groups on MOFs form large Au aggregates due to the weak Au–support interactions, highlighting the key importance of NHC ligands. The resulting Au@UiO-68-NHC composite exhibited steady photoreduction of  $\text{CO}_2$  to CO at a rate of  $57.6 \mu\text{mol g}^{-1} \text{h}^{-1}$  under mild  $\text{CO}_2$  reduction conditions (0.5 atm  $\text{CO}_2$ ) with MeOH as the electron donor (Fig. 4b and c). This value is over four times higher than the control Au/UiO mixtures without NHC stabilization. Notably, excellent photocatalytic performance was obtained using diluted  $\text{CO}_2$  (0.5 atm) as the gas source. The high photocatalytic activity is largely contributed to by the efficient charge transport between the plasmonic process of Au nanoclusters and the photocatalytically active UiO-68, evidenced by both experimental studies and density functional theory calculations. This is one of the few studies to introduce the strong covalent metal–NHC interface into a heterogeneous matrix, which is a proof of concept for employing a wide range of structurally diverse NHC ligands to stabilize different metal nanoclusters in porous solid-state materials.



**Fig. 4** (a) Schematic representation of the synthesis of UiO-68-NHC, Au-NC@UiO-68-NHC and UiO-68-NH<sub>2</sub>/Au mixture. (b) Time courses of CO evolution by photocatalytic CO<sub>2</sub> reduction using UiO-68-NH<sub>2</sub> (black), UiO-68-NHC (red), Au-NC@UiO-68-NHC (blue) and UiO-68-NH<sub>2</sub>/Au mixture (green) as photocatalysts upon AM 1.5G irradiation. (c) Time courses of photocatalytic CO<sub>2</sub> reduction on Au-NC@UiO-68-NHC under AM 1.5G irradiation for 12 h, with evacuation every 4 h (dashed line). Reprinted with permission from ref. 44. Copyright 2021 Wiley-VCH.

## 4 Conclusions and future perspectives

This frontier article discusses recent developments of NHC-based photocatalysts for CO<sub>2</sub> reduction. These photocatalysts, which include NHC-ligated metal complexes and clusters, demonstrate the potential of NHC scaffolds as molecular building blocks to form homogeneous molecular entities or heterogeneous MOFs for solar-to-fuel conversion. The robust M–NHC covalent bonds in these catalysts exhibit a variety of advantages including stable coordination, easy synthesis and modification and versatile steric/electronic modulation, therefore showing improved catalytic reactivity in CO<sub>2</sub> conversion.

Despite the significant advances of NHC ligands, the utilization of NHC-stabilized metal species for photocatalytic CO<sub>2</sub> reduction is still in its early stages, especially in terms of NHC-functionalized MOFs.

First, the design of structurally diverse NHC ligands in the tailorable functionality of MOFs will be promising to enhance the performance of photocatalysts, but to date, the vast

majority of NHC-MOFs have limited  $\pi$ -conjugation for photocatalysis owing to the synthetic challenge. Introducing a variety of substituents on the NHC species of MOF ligands has the ability to strengthen the  $\pi$ -conjugation effect and modify the  $\sigma$ -donation, thus tuning the spatial and electronic levels of the MOFs. For instance, Zhang and coworkers have synthesized a series of mesoporous porphyrin MOFs with increasing macrocyclic  $\pi$ -conjugated units to tune the light absorption range and photocatalytic performances.<sup>48</sup>

Second, the current M–NHC species in MOFs are largely focused on noble metals (*e.g.* Pd, Ru, Ir, Au).<sup>49</sup> Therefore, the development of future NHC-ligated metal complexes should be expanded to a variety of Earth-abundant redox-active transition metal centers, such as Ni, Fe, Co and Mn, which have been described as power photocatalysts for visible-light-driven CO<sub>2</sub> reduction. For example, Zhang *et al.* have reported two newly developed MOFs *via* incorporating unsaturated Co or Zn ions into the porphyrin rings with the efficient ability of capturing and photoreducing CO<sub>2</sub> molecules.<sup>50</sup> The presence of unsaturated metal sites can not only absorb and activate CO<sub>2</sub> molecules but also boost electron–hole separation. Moreover, the type of metal cation can greatly influence the product selectivity for CO<sub>2</sub> photoreduction.<sup>51,52</sup> The covalent M–NHC coordination provides a great platform for charge transfer between metal species and the MOF skeleton in photocatalysis. At present, no C<sub>2</sub> or C<sub>2+</sub> production is observed in NHC photocatalysts. Developing bis-NHC photocatalysts with bimetallic sites is promising to achieve asymmetric charge distribution of the catalytic sites and promote C–C coupling.

Third, in addition to the aforementioned advantages of using NHC ligands, it is worth noting that most photocatalytic reactions in this field are conducted in organic solvents. However, it is more desirable to perform the CO<sub>2</sub> photoreduction in aqueous media, which requires high aqueous stability for the MOF-based photocatalysts. The gas-phase reactions between CO<sub>2</sub> and water vapor offer a new solution to reduce the competitiveness of the proton reduction process, as there is a higher potential barrier for proton reduction in the gas phase (5.39 eV, H<sub>2</sub>O to H<sub>2</sub>) compared to the liquid phase (1.25 eV, H<sup>+</sup> to H<sub>2</sub>).<sup>53,54</sup> Modulating the hydrophilic/hydrophobic nature of the catalyst may largely overcome the low solubility problem and slow diffusion kinetics of CO<sub>2</sub> in the aqueous phase.<sup>55</sup> Moreover, the confined MOF porosity is an ideal platform for the fast mass transport of gas-phase molecules, which is promising to achieve selective CO<sub>2</sub> photoreduction by M–NHC-functionalized MOFs. In summary, it is highly desirable to immobilize the NHC-based photocatalysts in MOFs to achieve artificial photosynthesis, which not only has high potential to develop highly efficient photocatalysts but also provides insights into the structure–property relationship.

## Conflicts of interest

There are no conflicts to declare.

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