



Electronic transport descriptors for the rapid screening of thermoelectric materials

Journal:	<i>Materials Horizons</i>
Manuscript ID	MH-COM-05-2021-000751.R1
Article Type:	Communication
Date Submitted by the Author:	31-May-2021
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New Concepts

In this work, we show for the first time with the aid of first principles thermoelectric simulations of charge scattering in highly doped semiconductors polar optical phonon scattering plays a substantial role. Then, we utilize the scattering time calculations in the emerging class of ABX_2 compounds to discover transport descriptors for both charge mobility and thermoelectric power factor that we expect to be widely utilized for screening of potential new thermoelectric materials, especially with the availability of calculated databases. We believe that this significantly advances the state-of-the-art: while general principles in terms of band structure of promising thermoelectric materials are known (band degeneracy, lower effective masses etc), the role of hard-to-calculate carrier relaxation times is often ignored, largely because of a lack of accurate, yet rapid calculations of scattering times. Our work not only introduces a framework for such calculations, but can be broadly applied to functional inorganic materials design, beyond thermoelectrics in photovoltaics and high-power electronics.

1 **Electronic transport descriptors for the rapid screening of** 2 **thermoelectric materials**

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22

23 **Abstract**

24

25 The discovery of novel materials for thermoelectric energy conversion has potential to be accelerated
26 by data-driven screening combined with high-throughput calculations. One way to increase the
27 efficacy of successfully choosing a candidate material is through its evaluation using transport
28 descriptors. Using a data-driven screening, we selected 12 potential candidates in the trigonal ABX₂
29 family, followed by charge transport property simulations from first principles. The results suggest
30 that carrier scattering processes in these materials are dominated by ionised impurities and polar
31 optical phonons, contrary to the oft-assumed acoustic-phonon-dominated scattering. Using these data,
32 we further derive ground-state transport descriptors for the carrier mobility and the thermoelectric
33 powerfactor. In addition to low carrier mass, high dielectric constant was found to be an important
34 factor towards high carrier mobility. A quadratic correlation between dielectric constant and transport
35 performance was established and further validated with literature. Looking ahead, dielectric constant
36 can potentially be exploited as an independent criterion towards improved thermoelectric

37 performance. Combined with calculations of thermal conductivity including Peierls and inter-branch
38 coherent contributions, we conclude that the trigonal ABX₂ family has potential as high performance
39 thermoelectrics in the intermediate temperature range for low grade waste heat harvesting.

40

41 **Introduction**

42

43 The advent of machine learning (ML) and high-throughput (HT) density functional theory (DFT)
44 computation has shifted the scientific process from a time consuming Edisonian approach to a more
45 efficient, *in-silico* approach.¹⁻³ The deployment of these tools has led to multiple advancements:
46 prediction of novel compounds, either by HT-DFT⁴ or ML,⁵ that were later on realized experimentally
47 resulting in a knock-on effect of an acceleration of materials diagnosis,⁶ and the fast screening of
48 promising material candidates⁷⁻¹⁰ using materials descriptors, *i.e.* features that are inherent to the
49 material, easily calculated and have a direct relationship with a functional property.¹¹

50 One widely used method for *ab initio* calculation of charge transport properties is the Boltzmann
51 transport equation (BTE).¹² The commonly adopted constant scattering time approximation (CSTA),
52 where a single, constant scattering time (τ_0) is assigned to all charge carriers,¹³ is well-known for its
53 simplicity. However, such an inherent assumption is not always accurate in reality and depends on the
54 arbitrary choice of τ_0 .^{14,15} To bypass CSTA's shortcomings, researchers have employed the
55 deformation potential theory (DPT)¹⁶ that adequately describes the electron-acoustic-phonon.^{15,17-19}
56 More recently, an electron-phonon averaged (EPA) approximation has been introduced as an
57 alternative approximation, which includes both acoustic and optical phonon scattering and was
58 applied to half-Heusler alloys.¹⁴ Nonetheless, the long-range Fröhlich-type scattering by polar optical
59 phonon (POP), which is particularly important for polar compound semiconductors,²⁰ is not included
60 in DPT or EPA approximations. Graziosi *et al.* have shown that inclusion of different electron-phonon
61 and ionized impurity scattering mechanisms could significantly alter the predicted thermoelectric
62 performance.^{21,22} A recently developed approach, Energy-dependent Phonon- and Impurity-limited
63 Carrier Scattering Time Approximation (EPIC STAR), achieves good accuracy for polar materials at
64 lower computational cost and is therefore suitable for HT screening of such materials.²³ The Wannier

65 interpolation technique^{24,25} enables accurate electron-phonon calculations as the state-of-the-art method
66 for phonon-limited charge transport properties predictions.^{4,26-29} Yet it remains computationally
67 expensive due to large number of Brillouin zone sampling points needed for numerical
68 integration,^{26,27} which is even more difficult for polar materials.³⁰⁻³² Therefore, an easy-to-compute
69 descriptor could facilitate rapid initial screening without performing complex computation for
70 materials with lower predicted potential.

71 One of the earliest HT-DFT study using first principles transport calculations was reported in 2008 by
72 Yang *et al.*,¹³ where they proposed LaPdBi as a new *n*-type thermoelectric material after screening
73 potential half-Heusler candidates with thermoelectric properties computed in CSTA. Similar methods
74 were also conducted by Raghuvanshi *et al.* to study the same class of materials.³³ Later on, based on
75 the work reported by Gautier *et al.*,³⁴ Zhou *et al.*⁴ conducted accurate electron-phonon calculations on
76 15 half-Heusler compounds, which led them to explain the large powerfactor in NbFeSb and ZrNiSn.
77 They also concluded that optical phonons are the dominant scattering mechanism for charges in many
78 half-Heuslers, in good agreement with available experimental data.⁴ Zhu *et al.*³⁵ performed stability
79 studies on half-Heuslers and predicted six stable compounds including TaFeSb, which is
80 experimentally synthesized and optimized to achieve a peak zT of ~ 1.52 at 973 K.³⁵ Other material
81 families have also been searched, such as gapped metals, clathrates, Chevrel phases and transition
82 metal dichalcogenides.³⁶⁻³⁸ Xi and co-workers¹⁵ screened the Materials Informatics Platform (MIP)
83 database and computed the electrical transport properties of 161 potential chalcogenides using DPT.
84 One of the candidates, CdIn₂Te₄ and its variations experimentally realized $zT \sim 1$ at approximately 900
85 K.¹⁵ Li *et al.* also screened the MIP for diamond-like ABX₂ compounds, and out of 41 candidates with
86 bandgap of 0.1-2.5 eV they predicted that 12 have high figure of merit (zT). And some of them were
87 experimentally realized, demonstrating the potential of these approaches.³⁹ These studies
88 demonstrated that incorporating relevant scattering mechanism in charge transport calculations is
89 necessary for reliably predicting the electrical transport properties. However, such high-throughput
90 scattering calculations are computationally demanding, and there is a long-standing lack of easy-to-
91 compute, general transport property descriptors as an alternative for materials screening.

92 Herein, we leverage upon the richness of the Materials Project (MP) Database⁴⁰, screening for
93 high symmetry, low band gap⁴¹ chalcogenide compounds. From the MP Database, we focus on the
94 trigonal (space group number 166, $R\bar{3}m$) ABX_2 family where A and B are elements of alkali,
95 transition metals and group III and V, and X are chalcogens(S, Se, Te). ABX_2 compounds were
96 chosen due to their tendency to have low thermal conductivity, with the possibility of lone pair
97 electrons,⁴² which enabled us to narrow down our focus on charge transport properties. Our findings
98 reveal that in this family, polar optical phonon scattering, which has been neglected often in literature,
99 is significant even with heavy doping. We proposed charge transport descriptors based on ground
100 state properties and easy-to-obtain parameters. The descriptors described herein qualify themselves as
101 robust first level thermoelectric screening parameters, which obviate the need for computationally
102 expensive calculations. We expect this strategy to be widely implemented in the quest for high-
103 performance inorganic TE materials.

104 ***Materials informatics and candidate screening***

105 We establish a screening strategy for the identification of unexplored potential TE candidates. To do
106 so, we first make use of appropriate material descriptors for the rapid assessment of key properties
107 directly correlated to the performance.⁴³First, we screen for compounds with bandgaps lower than 4
108 eV, as it relates to the maximum Seebeck coefficient that can be achieved at a particular temperature (
109 $S_{max} \sim \frac{E_g}{2eT_{max}}$).⁴⁴The thermodynamic stability as indicated by the energy above convex Hull is
110 evaluated at 0 K. At finite temperature ($T > 0K$), however, the contribution from configurational
111 entropy has been reported to stabilize compounds with $E_{Hull} > 0$. In other words, a small, non-zero
112 E_{Hull} at 0 K does not necessarily render experimental synthesis impossible ($E_{Hull} < 80meV$).^{45,46}This is
113 confirmed by looking at the most recent entry for trigonal $AgBiS_2$ in the MP database (mp-29678),
114 which now shows $E_{Hull} \sim 20 meV$ and has been experimentally realized.⁴⁷Next, the number of charge
115 carrying valleys, or the valley degeneracy (N_v) is key to achieve high Seebeck coefficient and
116 electrical conductivity simultaneously, and is preferentially found in high symmetry structures.⁴⁸We
117 set a threshold for compounds with more than four symmetry operations, thus increasing the
118 probability of having compounds with high N_v present in the dataset. Finally, to ensure data

119 sufficiency, we screened for binary, ternary and quaternary chalcogenides. Domain knowledge
120 motivates the choice of chalcogenide materials: traditionally, chalcogenides are good TE materials.^{49–}
121 ⁵⁵Our screening resulted in nearly 600 compounds (combining binary, ternary and quaternary), from
122 which we focused on ternary chalcogenides, as they represent the majority fraction in the mined data.
123 Among all potentially stable, high symmetry chalcogenide compounds, we focus on a subset of the
124 screened compounds, while keeping the space group fixed. In the end, the initial dataset was
125 comprised by 146 ternary chalcogenides with chemical formula ABX_2 and trigonal structure (space
126 group $R\bar{3}m$). This initial dataset was reduced to 12 compounds after filtering out the low performing
127 candidates based on previously calculated CSTA powerfactors from Ricci *et al.*,⁵⁶ to compare CSTA
128 with our detailed scattering time calculations. In addition, it is noteworthy to mention that TE
129 properties have only been experimentally reported for 3 out of 12 compounds from our dataset (trigonal
130 $TlBiTe_2$, trigonal $TlSbTe_2$ and a different symmetry, cubic $AgBiS_2$), leaving an unexplored chemical
131 space.^{57–61} While $AgBiS_2$ with space group $R\bar{3}m$ has not been demonstrated, this intermediate phase
132 has been experimentally realized in $AgBiSe_2$.^{62,63} Hence, it could be possible to achieve trigonal
133 $AgBiS_2$ in a similar fashion. *Ab initio* transport property simulations were then performed for these
134 12 compounds, and a pictorial representation of the computational framework deployed in this work
135 can be found in Figure 1. It is to be noted that while we use these 12 compounds to analyse and
136 produce transport descriptors, the methodology is expected to be generally applied to a larger class of
137 inorganic semiconductors; we restricted it only for the purposes of this work.

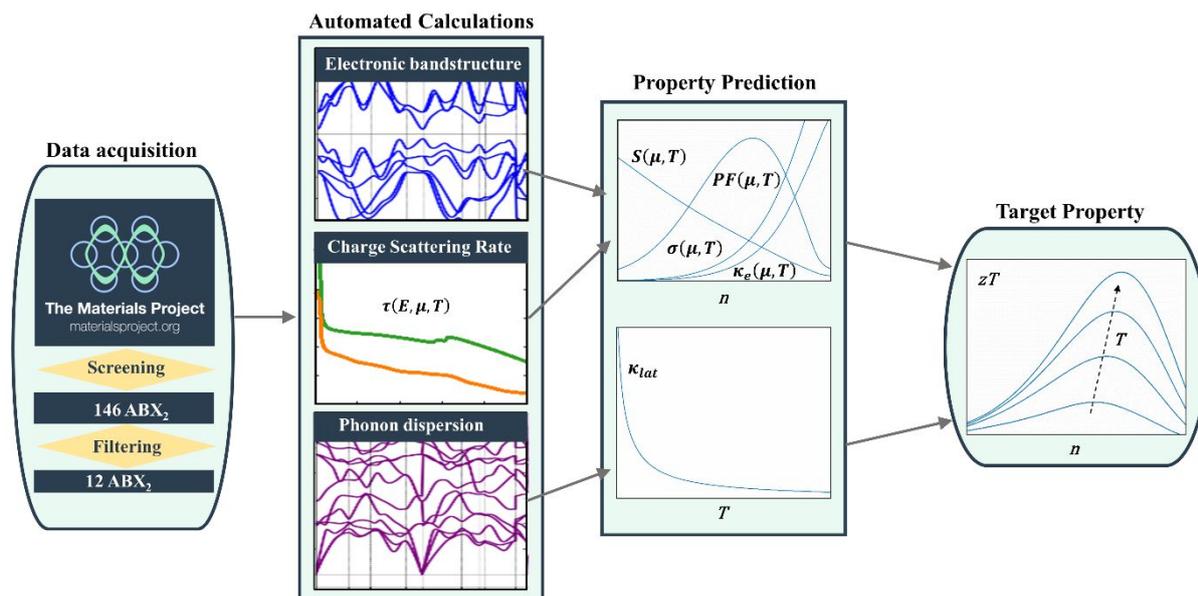


Figure 1. Computational framework deployed in this work. The electron band structure and DFPT phonon calculations of the 12 candidate compounds were carried out using QUANTUM ESPRESSO. Afterwards, charge transport properties were simulated using the EPIC STAR method, taking into consideration scattering events produced by both acoustic and optical phonons, ionized impurities and Thomas Fermi screening of free carriers.²³ Lattice thermal conductivities were calculated using phonon BTE and a unified theory implemented in ALAMODE, including 3-phonon scattering events and interbranch tunneling coherent contribution.⁶⁴

138

139 Results and Discussion

140 Figure 2(a) shows the crystal structure for ABX_2 compounds, with space group $R\bar{3}m$ (No. 166). The
 141 layered structure is comprised by [B-X] slabs in the b - c plane with A cations orthogonal to this plane
 142 (along the c -axis). The atoms A and B occupy octahedral positions and interact with X with
 143 dissimilar strength, depending on the ion charge and specific position they occupy in the slab. On the
 144 other hand, the X atoms are octahedrally coordinated with respect to AB. To illustrate the bonding
 145 nature and crystal symmetry, Figure 2(b) shows the DFT band structure for $AgBiS_2$, which has an
 146 indirect bandgap of ~ 0.65 eV, where the valence band maxima is between the Γ and X high
 147 symmetry points and the conduction band minima is centred at the Z point. According to the partial
 148 density of states (PDOS), the valence band maxima is mainly comprised of sulphur p-states with

149 modest contribution from silver d-states, whilst the conduction band minima is mainly bismuth p-
150 states, with minor contributions from silver and sulphur. The phonon dispersion shown in Figure 2(c)
151 is comprised of three acoustic branches and nine optical branches, with the lowest optical phonon
152 located at *ca.*50cm⁻¹. The proximity of the optical branches to the acoustic branches is expected to
153 lead to a decreased lattice thermal conductivity near room temperature, due to increased scattering
154 phase space and higher likelihood of phonon-phonon scattering. The phonon density of states in
155 Figure 2(c) shows the optical branches at low energy mainly comprised of vibrations of Ag atoms,
156 followed by vibrations of Bi atoms. Additional verification is given by the atomic participation ratio
157 (APR), which quantifies the degree of participation of different atoms in a specific phonon mode.⁶⁵
158 We observe that Ag and Bi have a large participation ratio (red colour) in the phonon modes of the
159 lowest optical branches. In addition, these low-lying optical branches are flat and avoid crossing the
160 acoustic branches at certain high symmetry points of the Brillouin zone (for instance at the Γ and L
161 points). The combination of high participation ratio, flat low energy optical branches and avoided
162 crossing with acoustic branches hints at localized phonon vibration which would potentially decrease
163 the lattice thermal conductivity.⁶⁶Further, ABX₂ compounds have attracted interest due to the
164 presence of lone-pair electrons, which are expected to result in softened phonon modes. Note that
165 experimentally synthesized AgBiS₂ results in the rock-salt disordered cubic Fm3-m space group,
166 different from our study of the R3-m space group. In rock-salt compounds with the ABX₂ formula,
167 the presence of ns²-orbitals⁶⁷ induces structural instabilities that translate into an increase of
168 anharmonicity in the bonding, ultimately resulting in strong phonon-phonon interactions that can
169 potentially reduce the lattice thermal conductivity as low as the amorphous limit.⁴²Figure 2(d) shows a
170 bar plot representing the theoretical thermoelectric performance for a range of temperatures, from
171 300K to 900K, for six out of the twelve *n*- and *p*-type ABX₂ chalcogenides, (note that thermal
172 conductivity calculations are more computationally expensive, hence were not performed on all 12
173 compounds). The thermoelectric figure-of-merit zT_{\max} was calculated using lattice thermal
174 conductivity in the amorphous limit, while zT_{cryst} was calculated using lattice thermal conductivity
175 corresponding to perfectly crystalline samples (Figure S1(a)). In addition, we also calculated zT using
176 the lattice thermal conductivity for polycrystalline samples with grain size of 1 μm (Figure S1(a)) and

177 the resulting zT border the values of the single crystal samples (zT_{cryst}). This is because the phonon
 178 mean free path is much smaller than $1 \mu\text{m}$ (see Figure S1(b)), so the impact of grain boundaries
 179 becomes less relevant. In many cases, p -type ABX_2 compounds show higher thermoelectric
 180 performance than their n -type counterpart, due to a high band degeneracy which is attributed to their
 181 complex valence band structure.⁹

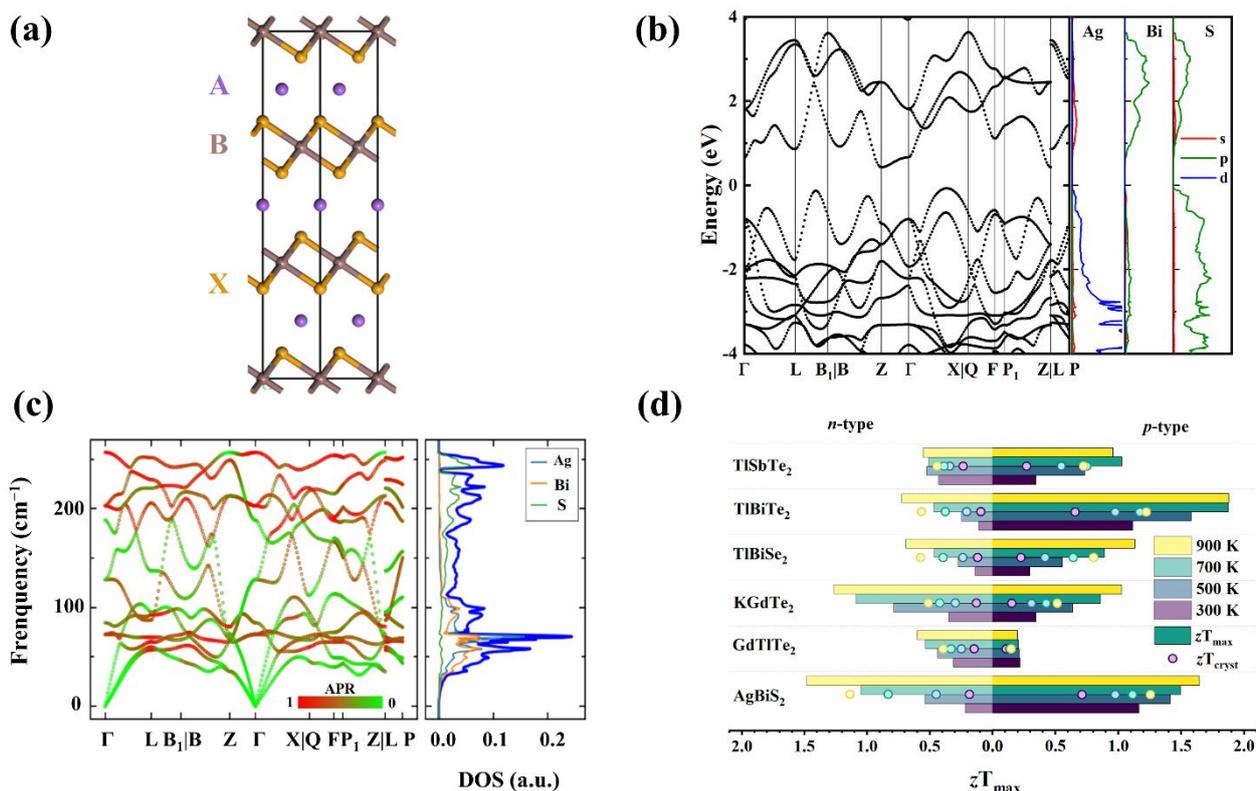


Figure 2. (a) Atomic structure of ABX_2 chalcogenides, (b) Calculated electronic band structure for AgBiS_2 , (c) Calculated phonon dispersion for AgBiS_2 . The colour of the bands denotes atomic participation ratio (APR), ranging from green (zero, no participation in the phonon mode) to red (one, large participation in the phonon mode). (d) Maximum zT (zT_{max} , bar graph) and zT for the single crystal sample (zT_{cryst} , circles) as a function of temperature for selected n - and p -type ABX_2 chalcogenides. zT_{max} has been calculated employing the minimum lattice thermal conductivity (amorphous limit) while zT_{cryst} has been calculated for a single crystal by including three-phonon scattering.

182

183 Among these, the p -type AgBiS_2 and TlBiTe_2 compounds could reach a zT above 1 at room
 184 temperature. Especially, the predicted value for n -type AgBiS_2 is higher than the experimental results
 185 obtained by Rathore *et al.*⁴⁷ This mismatch is likely because the optimal carrier concentration ($1.48 \times$

186 10^{19} cm^{-3} for n -type AgBiS_2 at room temperature) was not experimentally realized, and their synthesis
187 resulted in the cation-disordered cubic rock salt structure.⁴⁷ Their analysis also concluded that further
188 optimization of the carrier concentration through doping in n -type AgBiS_2 was required to achieve
189 better performance. Interestingly, our calculations show the p -type TlBiTe_2 compound is expected to
190 achieve a maximum zT of ~ 1.9 at 900K, which is much larger than previously reported experimental
191 values for the material (0.15 at 760K), signifying the potential for further optimization.⁵⁷ Within the
192 ABX_2 family, the best performance is attained experimentally by the cubic p -type alloy
193 $\text{AgSbTe}_{1.85}\text{Se}_{0.15}$, with $zT \sim 2$ in the temperature range 550-600 K, mainly due to further reduction in
194 the thermal conductivity from point defects and stacking faults.⁶⁸ Recently, Roy Chowdhury *et al.*
195 have developed a strategy based on atomic disorder engineering in Cd-doped AgSbTe_2 , reporting an
196 ultrahigh zT of ~ 1.5 near-room temperature.⁶⁹ In fact, this material is also cubic (space group $\overline{\text{F}}\overline{3}\text{m}$)
197 as opposed to the trigonal (space group $\overline{\text{R}}\overline{3}\text{m}$) ABX_2 compounds studied here, and it is not currently
198 contained in the Materials Project database, which explains its absence from the potential candidate
199 dataset resultant from the screening.⁶⁸

200 We also study in detail the representative charge scattering mechanism that makes these compounds
201 good prospects for mid-temperature thermoelectric applications. Figure 3 shows the energy
202 dependence of the scattering rate for both n - and p -type AgBiS_2 and TlBiTe_2 at 300 K for the optimal
203 doping condition (determined from the peak of the powerfactor, $S^2\sigma$ as a function of carrier
204 concentration). In general, the same scattering phenomena are dominant, from the energy
205 dependencies, for both n -type and p -type materials at 300 K. For these optimally doped materials,
206 ionised impurities dominate the charge scattering around the Fermi level (blue dash-dotted lines in
207 Figure 3). Interestingly, even in such a heavily doped regime where charge carrier scattering arising
208 from polar optical phonons is partially screened by the free carriers, we still observed a strong
209 contribution from optical phonons, that surpasses the acoustic phonon contribution. The contribution
210 from optical phonons is especially significant for n -type TlBiTe_2 [Figure 3(c)], comparable to that
211 from ionised impurities. Moreover, for high-energy carriers the optical phonon scattering even
212 dominates over ionized impurities. Similar trends are observed for the p -type materials, as shown in

213 Figure 3(b) and 3(d). This emphasizes the importance of polar optical phonon scattering, even in the
 214 heavily doped case where free-carrier screening is strong. In fact, in both *n*-type and *p*-type AgBiS_2 and
 215 TlBiTe_2 , the overall scattering rate has a higher contribution from the optical phonons as compared to
 216 the acoustic phonons, which is in stark contrast to the widely used assumption of acoustic-phonon-
 217 dominated scattering in the literatures.^{70,71} This signifies the importance of including the polar optical
 218 phonon (POP) scattering contribution for polar materials, as this could potentially indicate the
 219 dominance of POP despite high temperature and doping. Consequently, using acoustic phonon limited
 220 assumption in analyzing the charge transport properties can result in substantial error, particularly in
 221 ABX_2 as well as half Heusler class of compounds.⁴

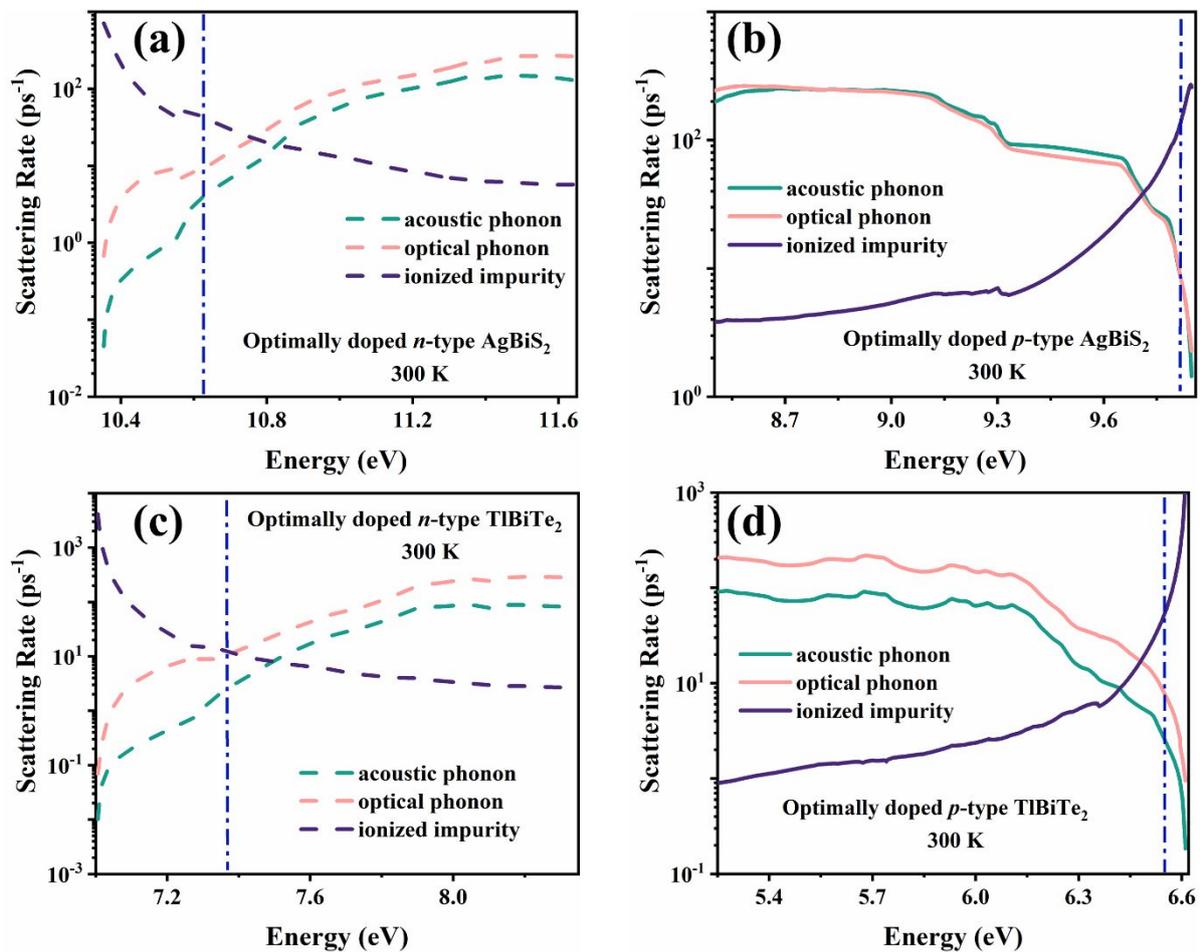


Figure 3. Room temperature scattering rate for optimally doped (a) *n*-type and (b) *p*-type AgBiS_2 and (c) *n*-type and (d) *p*-type TlBiTe_2 . The vertical blue line indicates the Fermi level at optimal doping level.

222

223 In light of this understanding, and to facilitate the rapid screening and identification of potential high-
 224 performance thermoelectric materials, key descriptors which account for these scattering mechanisms
 225 can be deduced. Previously the quality factor B proposed by Wang *et al.* has been adopted in the
 226 screening for high performance thermoelectrics.⁷² Nevertheless, this requires one key assumption: the
 227 scattering is dominated by acoustic phonons throughout the doping and temperature range under
 228 study. However, in our case of ABX_2 compounds, and possibly in other potential thermoelectric
 229 materials, the B -factor would not qualify as a reasonable descriptor, as from Figure 3 we observed that
 230 the charge scattering events are controlled by polar optical phonons and ionized impurities.

231 To derive such descriptors, we first observe that the POP scattering rate is proportional to the Fröhlich
 232 coupling strength $\tau_{POP}^{-1}(E) \propto |C_{POP}|^2$, which in turn can be written as a function of Born effective
 233 charge, phonon displacement and dielectric constant. Importantly, it is inversely proportional to the
 234 dielectric constant via $C_{POP} \propto \frac{1}{\hat{q} \cdot \epsilon \cdot \hat{q}}$.^{20,73} Therefore, the POP scattering time should also be
 235 proportional to dielectric constant squared $\tau_{POP}(E) \propto \epsilon^2$. Similarly, the ionized impurity scattering
 236 strength also depends on the dielectric constant as the charges also experience electrostatic
 237 screening.^{23,74} Therefore in the Brooks-Herring model, the scattering rate from ionized impurity is also
 238 inversely proportional to dielectric constant squared $\tau_{IIS}(E) \propto \epsilon^2$. Since the dielectric constant
 239 determines the overall electrostatic interaction, we conjecture that the interaction strength for other
 240 phonons may also be inversely correlated to ϵ . Furthermore, this assumption is advantageous, since a
 241 small reduction in accuracy is traded for a simpler, easy-to-calculate descriptor. Hence, we focus on
 242 the role of dielectric constant and hypothesize that the overall scattering rate should be strongly
 243 correlated to ϵ^2 (further details in Supplementary Section 1). Thus, we propose a general transport
 244 descriptor by considering mixed scattering contribution from ionized impurities and polar optical
 245 phonons. The descriptor for the carrier mobility is obtained by applying the relation $\mu = \frac{e\tau}{m_C^*}$
 246 considering that the total scattering time is proportional to ϵ^2 :

$$247 \quad \mu \propto \epsilon^2 m_C^{-1} \quad (1)$$

248 where μ is the direction averaged mobility at optimal carrier concentration, m_c is the conductivity
 249 effective mass, ε is the dielectric constant and T is the absolute temperature in K.

250 Figure 4(a) shows the correlation between the transport descriptor and the direction averaged mobility
 251 for our calculated ABX₂ compounds. We benchmarked our data together with experimental values
 252 from the literature, in order to validate the descriptor.^{49–53,55,75} The temperature dependent effective
 253 masses, conductivity effective masses and the dielectric constant were used when reported^{50,52,53},
 254 whereas for other compounds we used values reported in the Landolt–Börnstein database, e.g. for
 255 effective mass for PbTe⁷⁶, or other literature e.g. for the dielectric constant of PbTe.⁷⁷ Interestingly,
 256 materials of different crystal systems (e.g. cubic PbTe and orthorhombic SnSe) follow the same trend,
 257 hinting that the descriptor could be generally applicable.

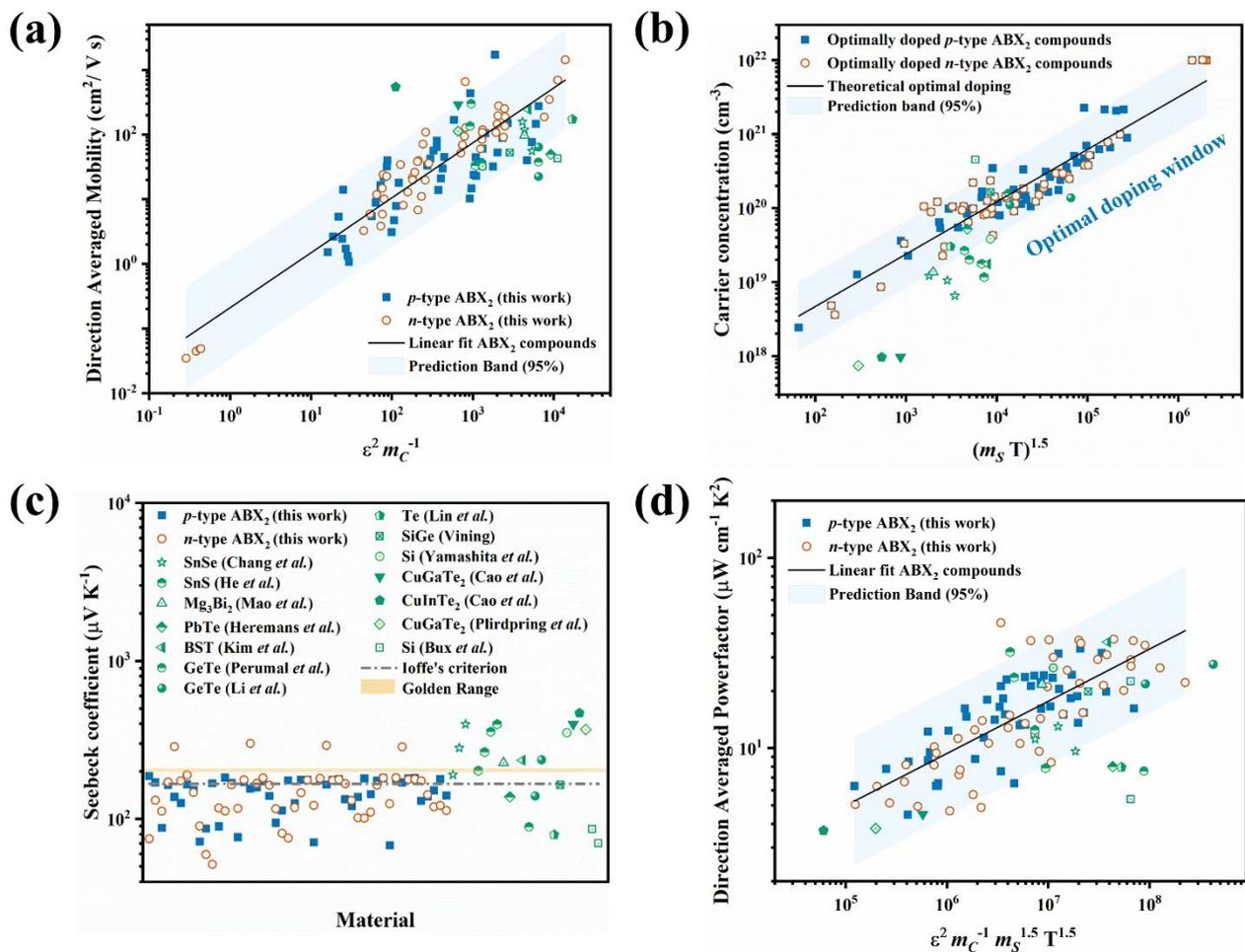


Figure 4. Transport descriptors for ABX₂ materials. (a) Direction averaged mobility transport descriptor at

optimal doping. (b) Optimal carrier concentration (n_{opt}) descriptor.⁷⁸ (c) Seebeck coefficient for each material (x-axis, ABX₂ materials are listed in alphabetical order) versus theoretical criteria (Ioffe's criterion⁷⁹ and golden range⁸⁰). (d) Direction averaged powerfactor transport descriptor at optimal doping. The benchmark corresponds to experimental data.^{49–53,55,75,81–83,84,85,86} The data was taken from the respective references and averaged using the method described by Parker *et al.*⁸⁷ The blue area in (a), (b) and (d) corresponds to the prediction band calculated for ABX₂ compounds. It corresponds to the range of values that are likely to contain the value of a new observation, with a 95% confidence.

258

259 In addition to the carrier mobility, another key quantity in optimizing the thermoelectric
260 performance is the carrier concentration. Assuming a single carrier type, the optimal carrier
261 concentration (n_{opt}) should be proportional to the Seebeck effective mass and the temperature, $n_{opt} \propto$
262 $(m_S T)^{1.5}$.⁷⁸ In Figure 4(b), this trend is plotted for *n*- and *p*-type ABX₂ compounds as well as for the
263 literature values used for benchmark, at their corresponding carrier concentration. We observe that, as
264 expected, our compounds follow the trend whilst some literature values deviate from this ideal
265 relationship, indicating that the reported carrier concentration may not be at the optimal level.

266 Next, a descriptor for the powerfactor ($PF = S^2\sigma$; $PF \propto S^2n\mu$) is derived, where the mobility
267 descriptor is given by Equation 1 and a descriptor for optimal carrier concentration is given by $n_{opt} \propto$
268 $(m_S T)^{1.5}$.⁷⁸ However, a descriptor for the Seebeck coefficient is not as readily available. The
269 underlying difficulty of finding a descriptor for the Seebeck coefficient resides in the fact that
270 theoretically, the Seebeck coefficient at optimal doping should be a constant.⁸⁸ This originates from
271 the steady-state solution to the BTE, that states that the optimal Seebeck coefficient only depends on
272 reduced Fermi potential and scattering exponent and thus, is independent of effective masses, the
273 valley degeneracy and scattering strength.⁷⁴ Hence, under the parabolic band approximation, the
274 powerfactor will be maximized at a single value of Seebeck coefficient, S_{opt} . This was first proposed
275 by Ioffe, who reported that the optimized value for Seebeck coefficient is 172 $\mu\text{V K}^{-1}$.⁷⁹ Later,
276 Pichanusakorn and Bandaru showed that S_{opt} can be found in a range of 130-187 $\mu\text{V K}^{-1}$ and that the
277 most frequent value was 167 $\mu\text{V K}^{-1}$.⁸⁹ Recently, Hong *et al.* expanded on this issue by reporting that

278 the optimized Seebeck coefficient is not a single value but a range that changes depending on whether
 279 we are optimizing zT or the powerfactor. The authors reported that to achieve maximum powerfactor,
 280 the Seebeck values range from $195 \mu\text{V K}^{-1}$ to $202 \mu\text{V K}^{-1}$.⁸⁰ The Seebeck tendency to accumulate
 281 around a range of values has also been noted by Zhang *et al.*⁹⁰ Figure 4(c) shows the Seebeck
 282 coefficient of our ABX_2 compounds along with the Seebeck coefficient of the literatures. Deviations
 283 from the optimal value may be indicative of non-optimal doping. However, we observe that while the
 284 majority of theoretical and experimental values of S approach the theoretically predicted limits, they
 285 still span a wider range.

286 Nevertheless, we introduce a transport descriptor for the direction averaged powerfactor at optimal
 287 doping (PF), shown in Equation 3. The key assumption is that the carrier concentration is given by
 288 its optimal value ($n = n_{\text{opt}} \propto (m_S T)^{1.5}$), while the Seebeck coefficient is around an optimal constant (S
 289 $\sim S_{\text{opt}} \sim \text{constant}$):

$$290 \quad PF \propto \varepsilon^2 m_c^{-1} m_S^{1.5} T^{1.5} \quad (3)$$

291 The comparison between PF and the descriptor are shown in Figure 4(d). We indeed observe an
 292 increasing trend of PF with respect to the transport descriptor for both simulated n - and p -type ABX_2
 293 compounds as well as for the literature, with some literature values deviating from the general trend.
 294 This is a consequence of assuming optimal doping during the derivation of the PF descriptor: the
 295 powerfactor of materials with non-optimal doping will be lower than the maximal power factor that
 296 can be achieved. This also provides a theoretical guidance for experimental optimization of
 297 thermoelectric performance via tuning the carrier concentration towards optimal doping level. The
 298 good correlation between the transport descriptors and the transport properties potentially enable a
 299 facile method for first-level screening of potential TE candidates from easy to calculate parameters.
 300 In order to compare to the CSTA derived descriptors in Ricci *et al.*, we also observed that another
 301 descriptor for the powerfactor, the Fermi surface complexity factor ($N_V^* K^*$, Figure S2)⁹ indeed also
 302 captures the trend. Importantly, our descriptor is related to $N_V^* K^*$, as it captures the band features, but
 303 contains more information regarding the scattering rate, which is absent in $N_V^* K^*$ due to the CSTA
 304 assumption.

305 In addition, we also analyze phonon properties of these ABX₂ compounds to gain insights into
 306 their phonon anharmonicity and instability, which lead to low thermal conductivities (Figure S3(a)).
 307 In order to explore anharmonicity, Nielsen *et. al.*⁴² used an applied electric field and displacement of
 308 atoms, that significantly deform the lone-pair charge density of the group V element, resulting in the
 309 structural instability and strong phonon anharmonicity of ABX₂ compounds. For materials composed
 310 of guest atoms and a framework such as skutterudites^{91,92} and clathrates⁹³, effects of phonon
 311 anharmonicity can be analyzed by comparing phonon properties of the pristine structure and structure
 312 excluding the guest atoms. One, however, cannot employ this approach for ABX₂ compounds because
 313 removing the group V elements breaks the structure. In this analysis, we have applied hydrostatic
 314 strains to ABX₂ compounds to tune its phonon anharmonicity.

315 Because the three-phonon scattering is a complicated process, we have carefully analyzed
 316 change of harmonic and anharmonic terms on phonon relaxation times when such a strain was applied
 317 to the system. Here, we analyzed AgBiS₂, which exhibits promising electronic powerfactor. As shown
 318 in Figures 2(c) and 5(a), ABX₂ compounds have flat bands at low frequency. The most intuitive effect
 319 of the flat band may be enhancement of Scatting Phase Space (SPS). SPSs of absorption (+) and
 320 emission (-) processes, $P_3^\pm(q) = \left(\frac{1}{N}\right) \sum_{q_1 q_2} \delta(\omega \pm \omega_1 - \omega_2) \delta(\mathbf{q} \pm \mathbf{q}_1 - \mathbf{q}_2 - \mathbf{G})$, have been computed
 321 with and without including the effect of the lowest optical branch, one of the flat bands around 60-80
 322 cm⁻¹ shown as a bold line in Figure 5(a). This flat band increases SPS of absorption (emission)
 323 process at frequencies lower (higher) than its frequency as shown in Figure 5(b). It is worth noting
 324 that while the lone-pair electrons result in whole features of atomic vibrations rather than only in flat
 325 bands, the flattening is one of the representative features of the lone-pairs resulting in weak bonding.

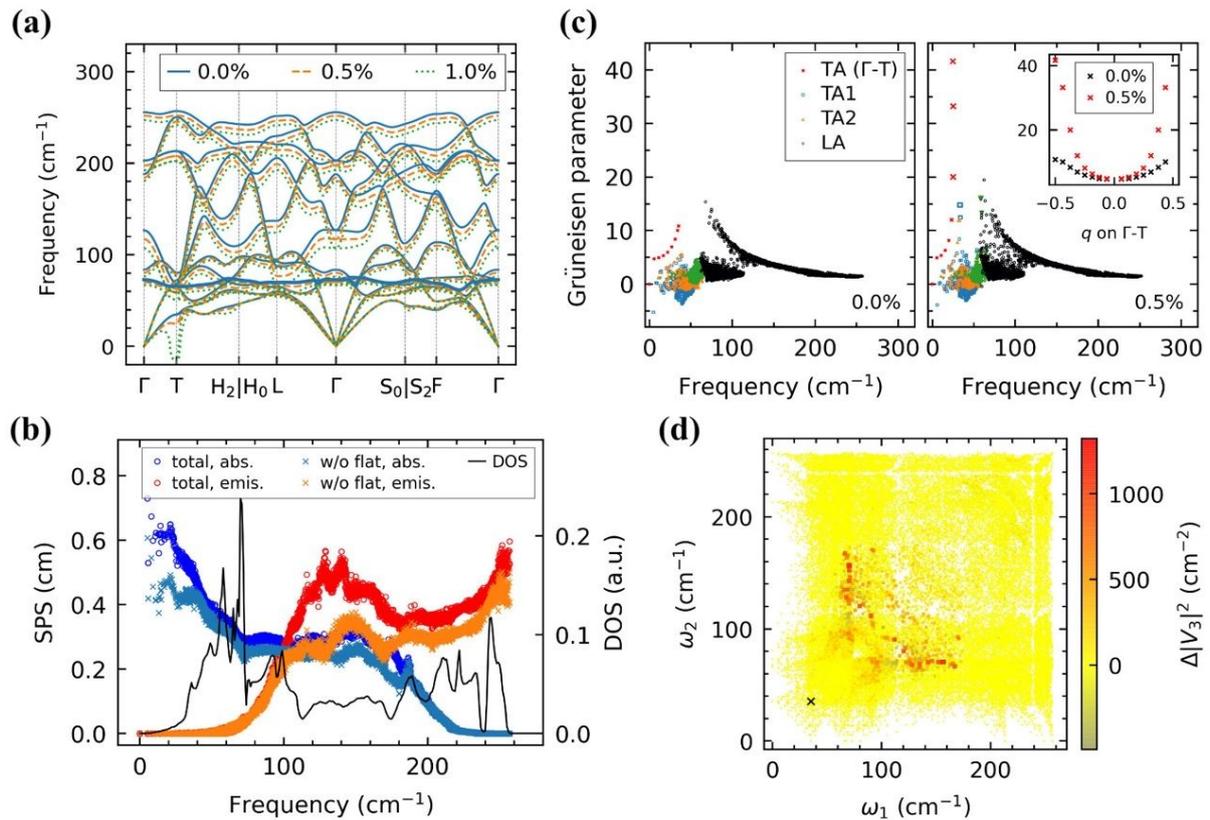
326 While the presence of flat bands may be related to the phonon anharmonicity and local
 327 distortions, SPS is a harmonic phonon property. We have, therefore, applied a hydrostatic strain, a
 328 uniform expansion, to the compound to explore its phonon anharmonicity. As shown in Figure 5(a),
 329 transverse acoustic (TA) modes around T point, $\mathbf{q} = (0.5, 0.5, 0.5)$, are significantly modified by the
 330 applied strain; their frequencies decrease and finally approaches imaginary values, which are
 331 represented as negative values in Figure 5(a). The instability of TA modes on Γ -X line can be clearly

332 confirmed by the Grüneisen parameter, defined as the change in the frequency with the crystal volume,
333 as shown in Figure 5(c). Red markers in Figure 5(c) show values for TA modes on $\mathbf{q} = (q, q, q)$, where
334 q is an arbitrary number, and the inset clearly shows that the value increases steeply as the T point is
335 approached. Furthermore, the instability becomes stronger at the accelerating rate under the strain as
336 shown in the right panel of Figure 5(c). It is also intriguing to see the eigenvector of the TA mode at T
337 point. In the TA mode at T point, bismuth atoms whose $6s^2$ orbitals form lone-pair electrons largely
338 move along the in-plane direction while silver atoms do not move and sulfur atoms move only slightly
339 (see Figure S3), which may result in strong distortion of charge distribution.

340 Because the changes in phonon dispersion and Grüneisen parameter clearly show that the TA
341 mode at T point is unstable and should have a strong anharmonicity, we analyze its three-phonon
342 interaction in detail. The detailed observation of the $|V_3|^2$ term described in the *Methods* section
343 clearly shows that this unstable phonon mode mainly interacts with phonon modes from the flat bands
344 around $60\text{-}80\text{ cm}^{-1}$ (see left panel in Figure S3(a)), and this interaction is enhanced by the applied
345 strain as shown in Figure 5(d). Although SPS of the TA mode is also changed because of the change
346 in its frequency with applied strain, its change is superseded by the change in the $|V_3|^2$ term as shown
347 in Figure S3. Enhancement of $|V_3|^2$ terms of low frequency modes due to flat bands has been also
348 observed in clathrate compounds.

349 These analyses reveal complicated but intriguing effects of the flat band; it enhances not only SPS but
350 also the three-phonon matrix elements, $|V_3|^2$, and furthermore is related to the phonon instability at
351 low frequency. Overall, the class of compounds has many intriguing possibilities with the low-lying
352 optical modes providing enhanced phonon anharmonic scattering, with polar optical phonon
353 scattering being dominant for majority carriers, therefore resulting in higher electronic powerfactor.
354 Thus, the expected zT for optimally doped ABX_2 compounds is >1 at intermediate to high
355 temperatures.

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370 Conclusions

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Figure 5. Phonon instability of AgBiS₂. (a) Phonon dispersions under hydrostatic strains (0.0, 0.5, and 1.0%). The transverse acoustic (TA) mode at T point ($\mathbf{q} = (0.5, 0.5, 0.5)$) becomes unstable under the applied strain. The bold line around 60-80 cm⁻¹ shows the lowest optical mode that significantly enhances phonon scattering. (b) Contribution of the flat band to scattering phase space (SPS). Blue and red markers show data for absorption and emission processes while circles and crosses show, respectively, the total value and the value excluding the effect of the lowest optical mode. Black line shows phonon density of states (DOS). (c) Grüneisen parameters of the pristine structure (left) and the structure under 0.5% strain (right). Red crosses show data for the TA modes along $\mathbf{q} = (q, q, q)$, where q is an arbitrary number, corresponding to the Γ -T line and their maximum value corresponds to the T point. Inset shows data for the TA mode on Γ -T line with respect to q . (d) Change in the $|V_3|^2$ term with the 0.5% strain for the TA mode at T point, which is marked with a cross (x) in the bottom panel.

Two novel transport descriptors for the rapid screening of potential thermoelectric materials with high mobility and powerfactor have been introduced. Pre-existing information in Materials Project

373 database was used to filter out 12 potential candidates, and their charge transport properties have been
374 calculated and used to derive the descriptors. Inspection of the charge carrier scattering rates for this
375 family of compounds reveals that in heavily doped regime, while ionised impurities have a dominant
376 scattering contribution, polar optical phonon scattering is also important and non-negligible, and must
377 be considered for screening of new thermoelectric materials. Transport descriptors for carrier mobility
378 and powerfactor are proposed by including this new insight and validated with the literature. In
379 addition to effective mass, we proposed that dielectric constant plays an important role in determining
380 the carrier mobility and the maximum power factor. Excellent agreement with theoretical and
381 experimental data is observed, hence validating its use as first-level screening parameter in the search
382 of novel materials. In addition, the anharmonic scattering terms have been explicitly considered to
383 study the phononic thermal conductivity and the ABX_2 class of compounds are observed to be
384 promising candidates for intermediate temperature thermoelectrics.

385

386 **Methods**

387 The *ab initio* charge transport calculations were carried out using an Energy-dependent Phonon- and
388 Impurity-limited Carrier Scattering Time AppRoximation (EPIC STAR), a fast and reliable first
389 principles method based on density functional perturbation theory (DFPT) phonon calculation.²³
390 QUANTUM ESPRESSO was used for DFPT calculation and the electron density of states and group
391 velocities were computed using BoltzTraP.⁹⁴ The charge transport properties were simulated by
392 considering the following effects in carrier scattering: the electron-phonon scattering from both
393 acoustic and optical, polar and nonpolar phonons, the electron-impurity scattering by ionized
394 impurities, and Thomas Fermi screening by free carriers.²³ Generalized gradient approximation with
395 exchange-correlation functional introduced by Perdew, Burke and Ernzerhof (PBE)⁹⁵ was used.
396 Pseudopotentials provided by Standard solid-state pseudopotentials (SSSP)⁹⁶ with their recommended
397 cut-off energies. $6\times 6\times 6$ \mathbf{k} - and \mathbf{q} -point samplings are used for all compounds in their primitive cell
398 calculations.

399 For lattice thermal conductivities, contributions of diagonal and off-diagonal terms of group velocity
 400 operator⁹⁷ were computed by Peierls BTE and the so-called unified theory⁹⁸ implemented in
 401 ALAMODE⁶⁴, where three-phonon scattering mechanisms were included in the phonon relaxation
 402 time calculation.

403 Considering the second-order perturbation within the single-mode relaxation approximation, the
 404 linewidth due to the three-phonon scattering for phonon mode q , where $q = (\mathbf{q}, s)$ with \mathbf{q} being the
 405 wavevector and ω being the branch index is derived as:

$$406 \quad \Gamma(\omega) = \frac{\pi}{16} \sum_{q_1, q_2} |V_3(-\mathbf{q}, q_1, q_2)|^2 \times [(n_1 + n_2 + 1)\delta(\omega - \omega_1 - \omega_2) - 2(n_1 - n_2)\delta(\omega - \omega_1 + \omega_2)] \quad (4)$$

407 where the subscripts ($i = 1, 2$) denote phonon modes contributing to the scattering of the target mode
 408 q , ω is the phonon frequency, $n_i = 1/\{\exp(\beta\hbar\omega_i) - 1\}$ is the Bose-Einstein distribution, $\beta = k_B T$
 409 with the Boltzmann constant k_B , \hbar is the reduced Planck constant, N is the number of \mathbf{q} points, and
 410 $-\mathbf{q} = (-\mathbf{q}, s)$. The three-phonon matrix element V_3 is given by:

$$411 \quad V_3(\mathbf{q}, q_1, q_2) = \left(\frac{\hbar}{N\omega\omega_1\omega_2} \right)^{1/2} \times \\
 412 \quad \sum_{\mathbf{R}_i, l_i, p_i} \Psi_{0l_0, \mathbf{R}_1 l_1, \mathbf{R}_2 l_2}^{p_0 p_1 p_2} \times \frac{e_{l_0}^{p_0}(\mathbf{q}) e_{l_1}^{p_1}(\mathbf{q}_1) e_{l_2}^{p_2}(\mathbf{q}_2)}{\sqrt{M_{l_0} M_{l_1} M_{l_2}}} \times \exp [i(\mathbf{q} \cdot \mathbf{R}_0 + \mathbf{q}_1 \cdot \mathbf{R}_1 + \mathbf{q}_2 \cdot \mathbf{R}_2)] \quad (5)$$

413 where \mathbf{R}_i is the position of the primitive cell, l_i is the atom site, p_i is the direction of the displacement
 414 of atom l_i , M is the atomic mass, Ψ is the cubic IFCs, and $e(q)$ is the eigenvector of the mode q . The
 415 phonon relaxation time due to three-phonon scattering τ_{pp} is given by $\tau_{pp}(\mathbf{q}) = 1/(2\Gamma(\omega))$. The total
 416 phonon relaxation time is calculated with Matthiessen's rule: $\tau_{ph}^{-1} = \tau_{pp}^{-1} + \tau_{iso}^{-1} + \tau_b^{-1}$, where τ_{iso}^{-1} is
 417 the scattering rate due to natural isotopes, obtained with Tamura model⁹⁹, and $\tau_b^{-1} = 2|v|/L_g$ due to
 418 boundaries of grains with an effective diameter L_g . Peierls contribution is calculated as $\kappa_p^{\alpha\beta}(T) =$
 419 $(NV)^{-1} \sum_q c_q(T) v_q^\alpha(T) v_q^\beta(T) \tau_q(T)$, where V is the volume of the primitive unit cell, c is the mode
 420 specific heat, v is the group velocity, and α and β are the Cartesian directions. In this study, the
 421 averaged value of $\kappa_p^{\alpha\alpha}(T)$ ($\alpha = x, y, z$) was used as the Peierls contribution. Note that ABX₂ compounds

422 have slightly anisotropic lattice thermal conductivity. For example, $\kappa_{\text{P}}^{\text{ZZ}}$ was 17% smaller than $\kappa_{\text{P}}^{\text{XX/YY}}$
 423 for AgBiS₂ at 300 K.

424 The contribution of the wavelike coherent transport, which is associated with the off-diagonal term of
 425 the group velocity operator, was calculated with the unified theory^{97,98} as:

$$426 \quad \kappa_{\text{c}}^{\alpha\beta} = \frac{\hbar}{k_{\text{B}}^2 T V N} \sum_{\mathbf{q}} \sum_{s_1 \neq s_2} \frac{\omega_1 + \omega_2}{4} V_{12}^{\alpha}(\mathbf{q}) V_{21}^{\beta}(\mathbf{q}) \frac{\omega_1 n_1 (n_1 + 1) + \omega_2 n_2 (n_2 + 1)}{(\omega_1 - \omega_2)^2 + (\Gamma_1 + \Gamma_2)^2} (\Gamma_1 + \Gamma_2) \quad (6)$$

427 where V_{ij} is the generalized group velocity operator between eigenvector e_i and e_j . The detailed
 428 documentation can be found also in elsewhere.¹⁰⁰

429 The structural optimization and computation of interatomic force constants (IFCs) were conducted
 430 with first-principles calculations using Vienna Ab initio Simulation Package (VASP).¹⁰¹ PBEsol
 431 exchange-correlation functional¹⁰², which reproduced the lattice constant well, was employed for the
 432 phonon transport analysis. Because of strong structural instability of materials¹⁰³, the structural
 433 optimization of the primitive cell needed to be carefully performed with $40 \times 40 \times 40 \mathbf{k}$ -points
 434 including Γ point; (a) the structural optimization was performed for structures with slightly different
 435 volumes, (b) the crystal volume was determined by a parabolic fitting with respect to the volume and
 436 minimized energy, and (c) the structural optimization was again performed for the structure with the
 437 optimal volume. The error of the finally-obtained minimum energy and the maximum force on the
 438 atoms were confirmed to be less than 0.01 meV and 0.01 meV/Å, respectively. IFCs were computed
 439 with a $4 \times 4 \times 1$ supercell of rectangular conventional cell which contains 192 atoms with a finite-
 440 displacement method. We have confirmed that the phonon frequency took a positive value in the
 441 whole reciprocal space. Using the obtained IFCs, we have calculated phonon properties such as
 442 scattering phase space (SPS), relaxation time, and lattice thermal conductivities (κ_{lat}). Thermal
 443 conductivity was calculated with $16 \times 16 \times 16 \mathbf{q}$ -points.

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447 Author Contributions

448 K. H. and S.-W.Y conceived the idea. T.D. performed the charge transport calculations. J.R.-G.
449 performed data mining. T.D. and J.R.-G. drafted the manuscript. M.O. and J.S. computed the lattice
450 thermal conductivities. D.V.M.R. conducted the data analysis. T.D., J.R.-G., D.V.M.R., P.K. and A.S.
451 derived the transport descriptors. All authors contributed to the discussions and manuscript revisions.

452

453 * Denotes equal contribution

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455

456 Conflict of Interest

457 The authors declare no competing interests.

458

459 Acknowledgements

460 T.D., M.B.S., G.W., and S.-W.Y. acknowledge the support of Agency for Science, Technology and
461 Research (A*STAR) of Singapore (Grant No. 1527200024) and the use of computing resources at the
462 A*STAR Computational Resource Centre and National Supercomputer Centre, Singapore. J.R.-G.,
463 D.V.M.R., P.K., A.A., and K.H. would like to acknowledge the Accelerated Materials Development
464 for Manufacturing Program at A*STAR via the AME Programmatic Fund by the Agency for Science,
465 Technology and Research under Grant No. A1898b0043. KH would like to acknowledge funding via
466 the NRF Fellowship NRF-NRFF13-2021. J.R.-G. and I.N. would like to thank A*STAR Graduate
467 Academy's ARAP programme for funding J.R.-G.'s graduate studies in IMRE, A*STAR. M.O. and
468 J.S. would like to acknowledge JSPS KAKENHI (19H00744, 20K14661) and JST CREST
469 (JPMJCR20Q3).

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