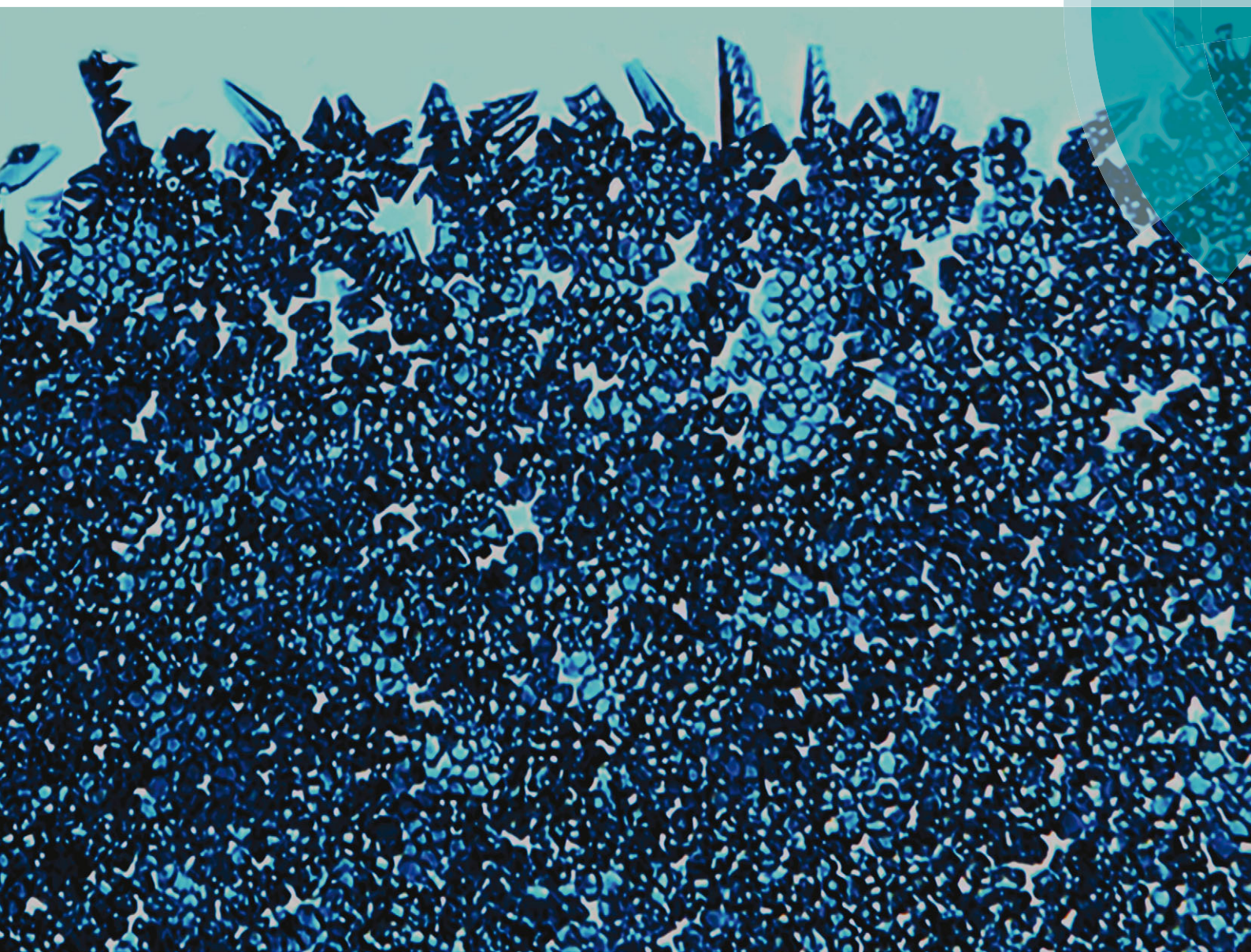


Soft Matter

www.softmatter.org



ISSN 1744-683X



REVIEW ARTICLE

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Durable and scalable icephobic surfaces: similarities and distinctions from superhydrophobic surfaces

175 YEARS



Cite this: *Soft Matter*, 2016, 12, 1938

Durable and scalable icephobic surfaces: similarities and distinctions from superhydrophobic surfaces

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Formation, adhesion, and accumulation of ice, snow, frost, glaze, rime, or their mixtures can cause severe problems for solar panels, wind turbines, aircrafts, heat pumps, power lines, telecommunication equipment, and submarines. These problems can decrease efficiency in power generation, increase energy consumption, result in mechanical and/or electrical failure, and generate safety hazards. To address these issues, the fundamentals of interfaces between liquids and surfaces at low temperatures have been extensively studied. This has led to development of so called "icephobic" surfaces, which possess a number of overlapping, yet distinctive, characteristics from superhydrophobic surfaces. Less attention has been given to distinguishing differences between formation and adhesion of ice, snow, glaze, rime, and frost or to developing a clear definition for icephobic, or more correctly *pagophobic*, surfaces. In this review, we strive to clarify these differences and distinctions, while providing a comprehensive definition of icephobicity. We classify different canonical families of icephobic (*pagophobic*) surfaces providing a review of those with potential for scalable and robust development.

Received 10th September 2015,
Accepted 30th November 2015

DOI: 10.1039/c5sm02295a

www.rsc.org/softmatter

1 Introduction

Some reports define icephobicity as low adhesion strength between ice and a solid surface.^{1–5} Most utilize reduced shear adhesion stress,^{6,7} but some use reduced normal adhesion strength.^{8–11} Some other scholars define icephobicity as the ability to delay and prevent ice nucleation and formation on surfaces induced either by pouring a supercooled water^{12–15} (below the normal freezing temperature of 0 °C) on the substrate or lowering the substrate temperature after a droplet is placed on the surface.^{16–18} (Such abilities depend on whether a droplet of supercooled water freezes at the interface and can be characterized by the time delay of heterogeneous ice nucleation.) An impact test to examine rebounding droplets has also been suggested, implying that icephobic surfaces repel incoming small droplets (*e.g.* of rain or fog) at temperatures below the freezing point. By analogy with the classical Greek origins of the etymology for hydrophobic and oleophobic surfaces, we also note here that such diverse phenomena should be gathered under the broad heading of *pagophobicity*

(*pagos* = ice (Greek)). These different definitions of *pagophobicity* (icephobicity) correspond to different, although related, properties of anti-icing surfaces.

Thus, anti-icing surfaces must display a comprehensive set of characteristics.¹⁹ Water in its solid form should be prevented or delayed in forming on such surfaces, or if formed, the rate of accumulation on the surface should be slowed down. Additionally, the adhesion of ice to the underlying substrate should be reduced, such that it can be easily removed. For engineering applications, these attributes are required for ice in its myriad forms. Despite recent advances,²⁰ anti-icing technologies which can prevent or retard formation, adhesion, and accumulation of frost, rime, glaze, bulk ice, and dry/wet snow or their combination are still missing. Practical embodiments of this technology are desired for a wide range of applications including locks and dams,²¹ solar panels,²² and wind turbines.²³ Therefore, the structural and chemical integrity of icephobic surfaces used in practice must be able to withstand erosion, wear, and other weathering conditions. Furthermore, these surfaces must be scalable, inexpensive, environmentally friendly, and mechanically durable.

In this review, we first seek to clarify the differences between ice, frost, glaze, rime, snow, and other forms of solid water which can form from liquid water and/or water vapour under various conditions. Table 1 provides a summarized definition of each type of solid water. In order to study and comprehend the best strategies for developing icephobic surfaces, we initially

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Table 1 Definition of various water-based solid-phase materials that can be formed from liquid or gaseous water by changes in temperature and/or pressure

Frost	Sparse dendritic crystal structures; nucleates from the vapor phase <i>via</i> desublimation or condensation followed by freezing. ²⁰
Glaze	Clear, dense, and hard ice; forms from freezing rain of large droplets with diameters ranging from 70 μm to even a few millimetres. ²⁰
Rime	White, brittle, and feather-like ice that forms because of freezing of supercooled droplets with diameters in the range of 5–70 μm originating from clouds or fog. ²⁰
Snow	A mixture of ice and water. Snow is 'dry' when the air temperature is below -1 or -2 $^{\circ}\text{C}$, but at temperatures closer to freezing point a thin layer of water covers ice, creating wet ice with properties between ice and water.
Ice	A brittle frozen state of water which can appear transparent or a more or less opaque bluish-white color depending on the presence of impurities such as particles of soil or bubbles of air.

focus on classifying existing literature based on various aspects of icephobicity in order to enable a valid performance comparison of various methods for making icephobic surfaces. While much of this literature uses rough and dry superhydrophobic coatings directly applied on hard substrates (*i.e.* steel, aluminum, silicon),^{15,17,24} other approaches include utilization of liquid-infiltrated porous solids which are wet and smooth^{4,25–27} or application of viscoelastic rubbers which are soft, smooth, and can be dry^{16,28,29} or wet (*i.e.* oil-infused).³⁰

Superhydrophobic surfaces, *i.e.* those characterized by high water contact angle (WCA) and low WCA hysteresis with air pockets trapped between water and the underlying surface texture, have shown promising anti-icing performance.^{1,9,19} Superhydrophobic surfaces have been observed to enhance the rebound of incoming droplets at low substrate temperatures and high relative humidity.³¹ Additionally, such coatings have been reported to provide reduced normal and/or shear adhesion of ice to the underlying surface,⁹ to result in a delay in water freezing on surfaces,¹² and to reduce or even completely inhibit nucleation and accumulation of ice and/or snow on surfaces.^{32,33} However, some other studies challenge the icephobic performance of superhydrophobic surfaces in high relative humidity environments.^{5,14,34} Despite recent advances,²⁰ anti-icing technologies which can prevent or retard nucleation, adhesion, and accumulation of frost, rime, glaze, bulk ice, and dry/wet snow or their combination are still missing. For practical icephobic applications, the surfaces have to withstand erosion, wear, UV radiation, and other weathering conditions in terms of their structural and chemical integrity. Furthermore, for commercial adoption, the engineered surfaces have to be inexpensive, environmentally-friendly, and enable scalable manufacturing. While previous reviews of icephobicity or pagophobicity have been inspired from biology for surface design,¹⁰ or relied on construction of superhydrophobic surfaces for icephobic applications,³⁵ or considered the design of surfaces based upon

thermodynamic and fluid mechanical considerations,³⁶ this paper focuses on elucidating the broad definition of icephobicity while reviewing the available methods for manufacturing of scalable and durable pagophobic surfaces.

2 Definition, classification, and performance comparison of pagophobic surfaces

2.1 Classification of pagophobic surfaces based on various surface attributes

Questions concerning correlation of surface characteristics (*i.e.* roughness, chemistry, porosity) and icephobicity are not clearly answered yet. This is due to different prevailing definitions of icephobicity and proposed test methods to evaluate icephobic performance of a given surface. For example, those scholars who consider a reduction in ice adhesion strength as icephobicity, have primarily identified superhydrophobic surfaces as potential candidates for icephobic applications.^{3,18,24,37} However, depending on the measurement method, the prevailing icing conditions, and surface topography, other researchers have demonstrated contradictory results showing that textured superhydrophobic surfaces can increase the strength of ice adhesion.⁵ Another discrepancy arises between those who consider repelling droplets of supercooled water as a key feature of icephobicity. Based on this definition, a superhydrophobic surface does not ice if a supercooled droplet falls on it from a relatively large distance as the droplet will bounce off very rapidly before freezing. However, such superhydrophobic surfaces can readily be iced by immersion in supercooled water. Therefore it is essential to understand differences in pagophobic test methods and the state of substrates under these test conditions.

In this review, the first important consideration is the relative humidity of the atmosphere when examining icephobicity of surfaces. Farhadi *et al.* have shown that the strength of ice adhesion increases three-fold when water vapor condenses on the surface as occurs for example under conditions of high humidity.²⁴ Additional considerations include the surface topography (whether it is smooth or rough), liquid extent (whether it is dry or wet due to infiltration of a secondary liquid), and elasticity (whether a surface coating is directly applied to a hard substrate such as aluminum and steel or whether an intermediate soft elastomeric layer has been incorporated). Fig. 1a shows a schematic of how we classify icephobic coatings based on three distinctive surface attributes: elasticity (soft *vs.* hard), topography (smooth *vs.* rough), and liquid extent (dry *vs.* wet). Later, we will discuss different aspects of icephobicity using this classification to review recent advancements in the literature. These distinctive characteristics are also related to differences in the formation mechanism of ice, frost, snow, or their combination on smooth *vs.* rough, dry *vs.* wet, or soft *vs.* hard surfaces which can lead to differences in their icephobic performance. For example, Varanasi *et al.* have shown that frost can readily form everywhere on a rough superhydrophobic



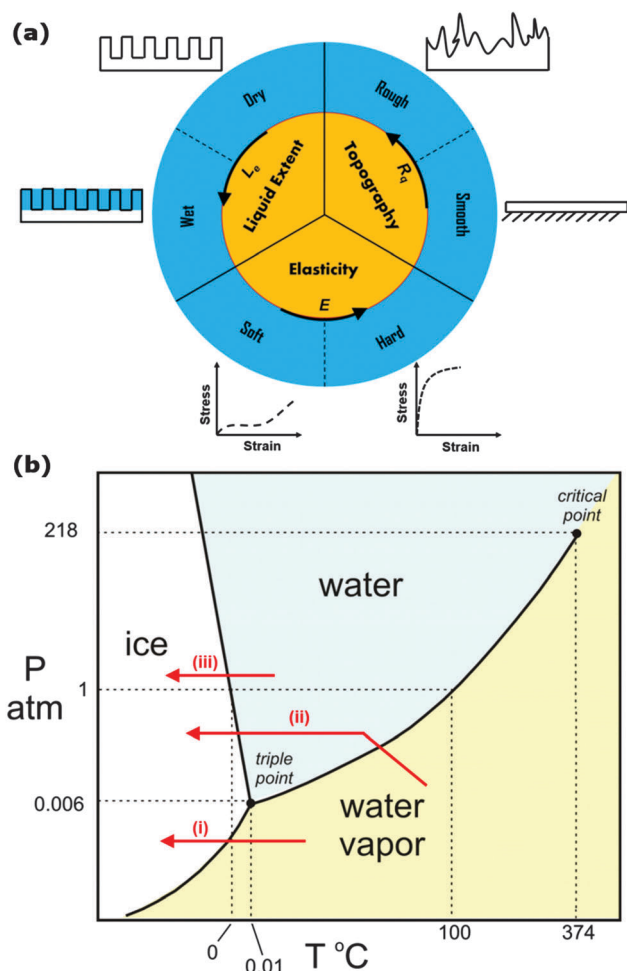


Fig. 1 (a) A framework for classifying recently developed icephobic coatings. (b) Phase diagram for water indicating three different pathways for formation of water-based solid by changes in temperature and/or pressure of water. (i) Vapor–solid desublimation deposition, (ii) vapor–liquid–solid or condensation followed by freezing, and (iii) liquid–solid or freezing.

surface at relatively high humidity and the subsequent adhesion of ice on that surface is substantially higher than on a smooth substrate, suggesting superhydrophobic surfaces are not appropriate for icephobic applications.⁵

Icephobic coatings are mostly developed based on inspiration from traditional superhydrophobic surfaces which are rough and dry. We will discuss this traditional category in detail in Section 2.2. A radically different class of ice-repellent materials have been developed that present a dynamic, molecularly smooth liquid interface which promotes liquid mobility retarding the pinning of freezing water droplets and showing dramatic reduction in ice adhesion and droplet retention size.^{25,26} These surfaces have been inspired by *Nepenthes* pitcher plants and created by infiltrating a micro/nanoporous substrate with a non-freezing lubricating liquid to produce a thin, ultrasoft lubricating layer that enhances surface mobility of liquid drops. The approach is based on a uniform and flat liquid interface that minimizes contact angle hysteresis (*i.e.* the difference between advancing and receding contact angles) and therefore

reduces the occurrence of pinned water droplets and their subsequent freezing on sub-cooled solid substrates. We categorize these slippery liquid-infused porous surfaces (SLIPS)^{26,38} as smooth, wet, and porous coatings developed on hard substrates to create icephobicity. It has also been reported that these SLIPS-coated surfaces show significantly increased supercooling performance and no detrimental effects after 150 freeze–thaw cycles.²⁶ Even in a high humidity environment (*e.g.*, 60% relative humidity), SLIPS-coated substrates remain ice/frost repellent by effectively shedding condensed water droplets. Varanasi and co-workers have found that external forces such as gravity can drain the excess liquid to attain a thermodynamically stable configuration of the surface.^{4,39} However, driven by capillary attraction, lubricant could be gradually depleted during deicing or defrosting cycles and SLIPS-coated surfaces can fail to provide lubrication under extended operation.¹⁰ More recently, Aizenberg and co-workers have reported that the thermodynamic stability of SLIPS can be improved by employing a closed-cell architecture.⁴⁰ In general, the liquid layer is utilized to decrease or eliminate the interaction between the surface and ice so that the ice layer can be easily removed. Stone has highlighted the importance of SLIPS-coated surfaces for icephobic applications in a perspective article and has raised questions about the performance of these surfaces when extreme surface shear stresses are present and under laminar and turbulent flows.²⁷

The coatings discussed above have been categorized based on their surface attributes (smooth *vs.* rough or dry *vs.* wet) and are typically applied to rigid substrates in order to obtain icephobic characteristics. The third surface attribute for classification of icephobic coatings is the elasticity of the base layer (*i.e.* is the coating directly applied to a hard substrate or is a soft relatively thick layer used as a sacrificial base layer in order to intrinsically enhance icephobicity). In this regard, viscoelastic rubbers primarily formulated from low T_g silicones have been used as soft, tough, and smooth materials to promote icephobicity. Silicones are polymers that include any inert and synthetic compound made up of repeating units of the siloxane moiety (which is a functional group of two silicon atoms and one oxygen atom frequently combined with carbon and/or hydrogen). Functional organic constituent are groups such as methyl, phenyl or trifluoropropyl moieties. Traditionally silicone has been used to make ice cube trays and flexible molds. Silicone films can also be applied to such silica-based substrates as glass to form a covalently bonded hydrophobic coating. Viscoelastic coatings based on polydimethylsiloxane have shown icephobic characteristics due to the combination of their low surface energy and outstanding elasticity. These silicone coatings have provided reduction factors up to 100 in the adhesion strength of ice to aluminum substrates.¹⁶

The use of silicone as an icephobic coating for a range of different surfaces has been promoted by Nusil Silicone Technology[®] (www.nusil.com).²⁸ Susoff *et al.* have used Nusil R-1009[®] to obtain icephobicity with a lowered shear adhesion strength of 37 kPa. Nusil R-1009[®] is a one-component condensation curing silicone system that does not need any adhesion promoter. The coating can be applied by dip-coating from a 50 wt%



solution in toluene followed by curing for two days at ambient temperature in the presence of air humidity. AMES Shield is another pioneering company which develops elastomeric ice-phobic coatings (www.amescorp.com).⁴¹ Their elastomeric coating has been custom formulated for unique application with base elastomers ranging from natural rubber composition, that are resistant to acids and bases, to exotic blends of high performance materials such as fluoroelastomer and fluorosilicone. Fluorosilicone-containing block copolymers have also been utilized as dry and smooth coatings to delay icing time at -15°C temperature and to lower the ice shear adhesion strength to approximately 300 kPa.²⁹ These are all examples of soft, smooth, and dry icephobic coatings. Silicone rubber coatings doped with titania or ceria nanopowders have also been prepared by spin-coating hexane-diluted suspensions onto aluminum substrates. These soft and dry coatings, are given a roughened texture by the nanoparticles, and this results in approximately 12–13 minutes delay in freezing water droplets.⁴²

Elastomeric soft materials have also been used as sacrificial layers to promote icephobicity. Zhu *et al.* have introduced a composite liquid/solid material analogous to SLIPS surfaces based on silicone-oil-infused polydimethylsiloxane (PDMS) for ice-phobic coatings.³⁰ The very large free-volume in the PDMS at ambient temperature acts as void space to accommodate the infused silicone oil. The result is a smooth, wet, and soft hydrophobic surface which has superior icephobic performance in low temperature and high humidity environments (and an ice adhesion strength of 50 kPa, only about 3% of the value on a bare aluminum). Dou *et al.* have also used an aqueous lubricating layer on a porous elastomer as a smooth, wet, and soft anti-icing coating. They have used polyurethane as the porous base due to its adhesiveness to a variety of substrates.⁴³

2.2 From superhydrophobicity to icephobicity

Superhydrophobic surfaces mimicking lotus leaves and water strider legs are commonly designed to have topography on the micro to nanoscale which enables the entrapment of air between the surface texture and the overlying liquid (*i.e.* the Cassie or Cassie–Baxter state,⁴⁴ Fig. 2a). These surfaces naturally offer potential for pagophobic applications, where typically a rough coating is deposited on a hard substrate. During supercooling, trapped air in the surface textures of solid substrates can result in bouncing off or of “trampolining” the impacting and condensed water droplets before freezing. Liquid droplets on a rough substrate can also exist in the Wenzel state,^{45,46} where the liquid droplet displaces the air to fully wet the substrate. While some researchers have shown a transition from a Cassie–Baxter state to a Wenzel state when ice forms (Wenzel ice, Fig. 2a), others have claimed that a lower ice adhesion strength on superhydrophobic surfaces is indicative of water freezing in the Cassie–Baxter state and formation of a ‘Cassie ice’ or frost.⁴⁷ Meuler *et al.* have argued that effective icephobic surfaces must resist transitions to the fully wetted state that may be brought about by the kinetic energy of impinging water droplets or by the condensation of moisture from the ambient atmosphere within the micro and/or nano texture of the substrate.⁴⁷

In a Cassie ice state, the real contact area between ice and the solid substrate is reduced, resulting in reduction in the ice adhesion strength (Fig. 2b) whereas in a Wenzel ice state, the real contact area is increased due to surface topography, leading to an increase in the total ice adhesion strength.

Overall, the thermodynamic stability of surface superhydrophobicity under common engineering applications, which often involve high velocity water droplet impact, high humidity, or variable temperatures, has posed significant challenges in the field of icephobicity. Therefore, the pagophobic performance of a superhydrophobic surface must be discussed carefully based on different definitions of icephobicity and characteristic testing conditions. For example, it has been shown that superhydrophobic surfaces with low surface energy and rough textures can prevent ice formation only in a frost-free environment.²⁵ Under high humidity conditions, these surfaces can induce ice nucleation at an even faster rate than smooth surfaces constructed from the equivalent materials due to their high surface area and increased nucleation site density. An alternative strategy has been proposed to simultaneously utilize superhydrophobic coatings along with de-icing systems in order to enhance shedding of water and removing extant ice/frost from the surfaces. Antonini *et al.* have shown that this combined strategy can reduce the energy required to avoid ice accretion on airplane wing by up to 80%.⁴⁸ In summary, due to the lack of a unique definition for pagophobic surfaces and a plethora of pagophobicity test conditions, superhydrophobic surfaces might or might not be suitable for icephobic applications. In the following sections we will provide examples based on different characteristic measures and definitions of pagophobicity and will elucidate their differences.

2.3 Icephobicity based on ice nucleation mechanism and freezing delay

Researchers who study ice nucleation mechanisms define icephobicity as an ability to prevent or delay ice nucleation and deposition on surfaces. This ability depends on whether a droplet of supercooled water (below the normal freezing temperature of 0°C) freezes at the interface and it can be characterized by a time delay for heterogeneous ice nucleation. Schutzius *et al.* have reviewed the fundamentals of nucleation and freezing delay, concluding that the nucleation temperature is relatively insensitive to surface nanoroughness, when surfaces have only a fraction of the area occupied with nanoscale pits below $10r_c$ (a critical stable radius).³⁶ They also found that an extraordinary delay in heterogeneous nucleation can be theoretically achieved by designing a surface composed of an array of nanoscale pits with small asperities, taking advantage of the presence of the quasi-liquid layer and the freezing-point depression of water.⁴⁹ Keeping the radius of curvature of the rough bumps in contact with water smaller than the smallest stable ice nuclei formed increases the energy barrier for ice nucleus formation (and thus retards icing). Superhydrophobicity and pagophobicity can be linked where ice nucleation delay is the subject of interest by maximizing the solid–air fraction of the surface through enhanced topography. This roughness results



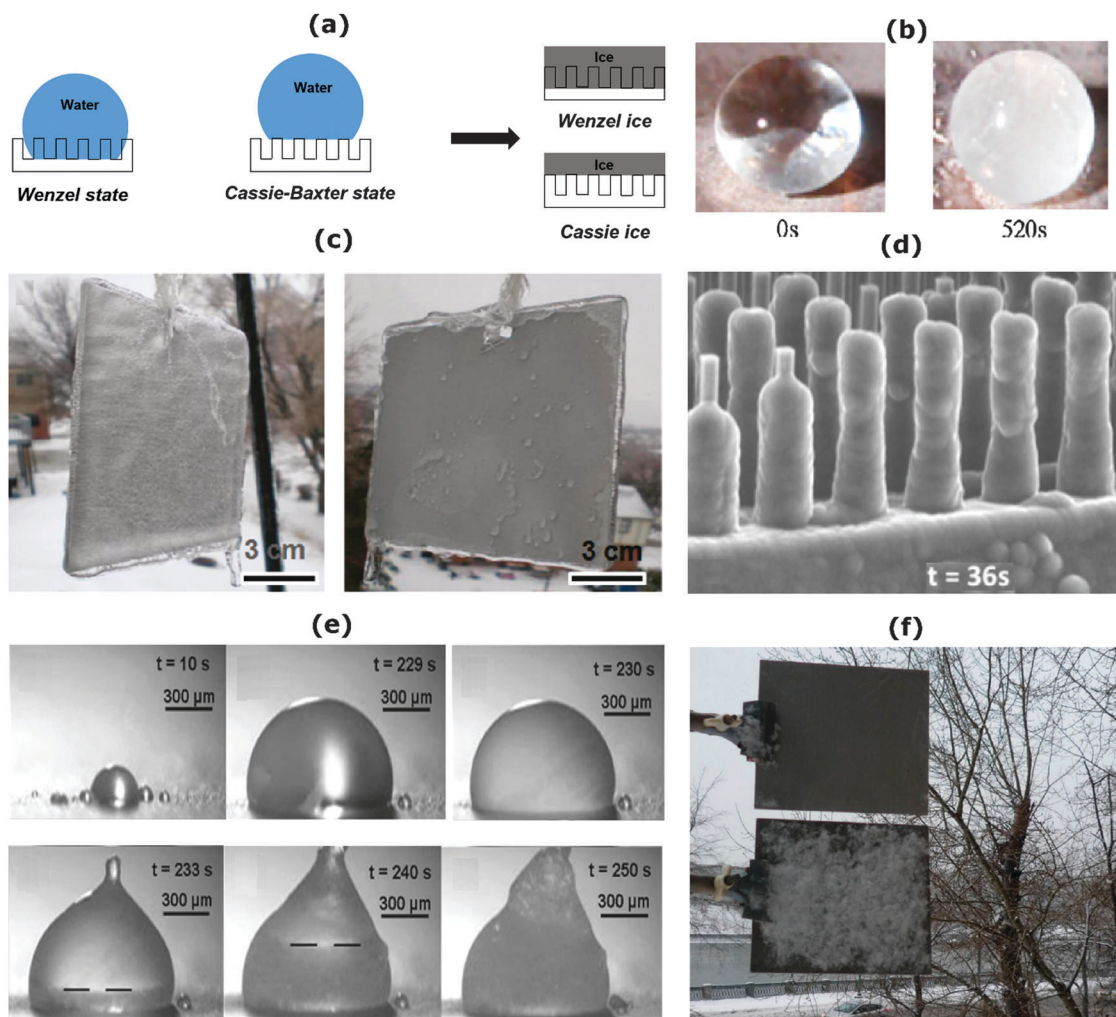


Fig. 2 Various anti-icing technologies. (a) Schematic of a water droplet in the Wenzel vs. Cassie–Baxter state before freezing and possibility for formation of Wenzel ice or Cassie ice during sub cooling. (b) Water droplet in Cassie–Baxter state turns into Cassie ice on a superhydrophobic surface.¹⁷ (c) A bare aluminum substrate (left) and an aluminum substrate covered with a superhydrophobic coating (right) after “freezing rain.” So called “glaze” formation is less pronounced on the coated substrate.¹³ (d) Frost nucleation and growth occurs without any particular spatial preference on a superhydrophobic surface resulting in an increase in the ice adhesion strength.⁵ (e) Drop-by-drop accumulation and freezing of a growing supercooled water on a coated substrate; horizontal dashed line indicates crystallization front which starts at the liquid–solid contact area and gradually advances upward leading to an “ice finger.”¹⁴ (f) Outdoor test of comparative behavior of a coated (top) and bare (bottom) stainless steel in heavy snowfall at $-3\text{ }^{\circ}\text{C}$, relative humidity of 99%, and wind velocity of 2 m s^{-1} .⁶⁴ Figures reproduced from^{5,13,14,17,64} with the permission of AIP, ACS, ACS, Elsevier, and ACS, respectively.

in a reduction of the wetted area fraction which results in a reduction in the heat transfer and the probability of heterogeneous nucleation at the water–solid interface.^{32,35}

Conversely, Wilson *et al.* have suggested that ultra-smooth and chemically homogeneous interfaces of lubricant-based surfaces can also minimize or fully prevent icing by reducing the number of potential sites for nucleation.²⁶ Based on classical nucleation theory-based analysis, Alizadeh and co-workers^{32,35} have estimated various homogeneous and heterogeneous nucleation rates under icing conditions as a function of surface wettability. They have found that the ice nucleation delay due to reduced water–solid contact area is prominent only at moderate degrees of supercooling; at supercooling temperature of closer to $-40\text{ }^{\circ}\text{C}$ (the homogeneous nucleation temperature of water), nucleation effects in bulk and at the air–water interface become

equally important. Considerable freezing delay times, in a temperature range above the heterogeneous ice nucleation temperature ($-40\text{ }^{\circ}\text{C}$), were obtained with an icephobic surface that was designed according to the above mentioned principles. Schutzius *et al.* have also shown that rational design frameworks can be used to systematically develop icephobic surfaces for inhibiting heterogeneous nucleation and promoting a freezing delay.³⁶

2.4 Icephobicity based on freezing rain, supercooled droplet rebound, and supercooling of static droplets

Some naturally-occurring icing events are induced by impact of supercooled water droplets onto surfaces, and are commonly referred to as “freezing rain”, “atmospheric icing,” or “impact ice.”¹³ A clear, dense, and hard ice, known as “glaze”, can be



formed due to freezing rain which consists of large water droplets with diameters ranging from 70 μm to a few millimetres.²⁰ Smaller size supercooled droplets (with diameters in the range of 5–70 μm) which originate from clouds or fog can form a white, brittle, and feather-like ice, called “rime.”²⁰ Some researchers have examined the superhydrophobicity of surfaces under extremely condensing conditions (-10°C and relative humidity of 85–90%) and demonstrated they can also have ice-repellent character.¹¹ The surface is referred to as ice-repellent (or pagophobic) when supercooled droplets of water at -10°C and relative humidity of 90% bounce off the surface easily. Parameters such as droplet size, liquid surface tension, and impact velocity (ϑ_i) are the key factors in determining the droplet dynamics. A dimensionless number, the Weber number (We), can be used to examine the dynamics of droplets:

$$We = \frac{\rho R \vartheta_i^2}{\sigma} \quad (1)$$

Here R is the radius of the liquid droplet (calculated from the droplet volume), ϑ_i is the average impact velocity, ρ is the liquid density, and σ is the liquid surface tension. It was found that beyond a critical Weber number (We_c) impacting droplets could not completely rebound.⁵⁰ Importantly, the value of We_c was found to be higher for superhydrophobic surfaces with hierarchical structures as compared to solely micro- or nanostructured superhydrophobic surfaces. Maitra *et al.* have shown that a hierarchical superhydrophobic surface with minimal surface texture spacing ($\sim 5\ \mu\text{m}$) could resist droplet penetration at $We \approx 227$ (corresponding to an impacting velocity of $2.6\ \text{m s}^{-1}$).⁵⁰ Aizenberg *et al.* have observed a critical transition temperature, above which impacting droplets could rebound, to be -20°C to -25°C . Below this transition temperature, the droplet froze within a time less than the Rayleigh contact time:

$$t_c = 2.65 \left(\frac{\rho R^3}{\sigma} \right)^{1/2} \quad (2)$$

Researchers have also discussed the key role of viscous dissipation of impact kinetic energy of water droplets during the spreading. When the energy dissipation during spreading is not too large, a fraction of the stored surface energy can be converted into kinetic energy and leads to droplet retracting and rebounding. If viscous effects dominate, the droplet remains pinned on the surface instead of fully retracting and rebounds before energy expends. Bird *et al.* have developed superhydrophobic surfaces with a surface topography that redistributes the liquid mass in the drop and thereby alters the drop hydrodynamics to reduce the contact time of a bouncing drop below the theoretical limit based on the Rayleigh time scale.⁵¹ On the other hand, the rate of ice formation speed in a supercooled water droplet on a solid surface depends on the growth rate of crystal nuclei. Ice formation in a supercooled water droplet on a subzero surface can be delayed but not fully prevented. Mishchenko *et al.* have shown that water droplets impinging on superhydrophobic surfaces can exhibit an anti-icing behavior if the time scale for droplet spreading and

retracting from the surface is smaller than the ice nucleation time.³¹ Wang *et al.* have demonstrated a sliding angle of 22° under extremely condensing condition (-10°C , relative humidity 90%), where both single and successive supercooled water droplets could rebound on the surface and roll off the surface at a tilt angle larger than 30° .¹¹ They have also studied the role of droplet velocity, size, and test conditions (temperatures and relative humidity) and have shown that water droplets at Weber numbers as small as $We = 0.8$ can roll off these superhydrophobic surfaces at tilt angles of 10° at -10°C temperatures and 85–90% RH, concluding that these surfaces could be ice-repellent.

Schutzius *et al.*,³⁶ in their earlier review article, have highlighted the importance of droplet mobility at low temperatures concluding that for droplet impact, minimizing the contact time between substrate–supercooled water reduces the probability of droplet freezing. They also note that at low temperatures, the increased viscosity of water can affect the recoil dynamics of droplets impacting surfaces, and specifically the substrate–water contact time. This effect becomes dramatic when the impact velocity is sufficient to cause the liquid meniscus to partially penetrate the surface texture. By reducing the gap between surface features and moving towards nanotextured topography, one can minimize the potential for partial impalement of the water meniscus during drop impact. Similar to ice nucleation delay, the performance of superhydrophobic surfaces can be severely degraded in an environment where frost can form. In addition, the intervening gas layer between a substrate and an impacting water drop plays a very important role in drop dynamics and whether a drop will cause a transition from Cassie–Baxter to Wenzel state.³¹

Qualitative comparison of water freezing delays on untreated and coated surfaces have been investigated to examine the pagophobicity of surfaces (Fig. 2c).^{13,14} For example, Jung *et al.* have inkjet-deposited supercooled microdroplets allowing coalescence until spontaneous freezing of the accumulated mass occurs.¹⁴ They have shown that while hydrophobic surfaces show higher resistance to icing than rough hydrophilic surfaces, hydrophilic surfaces with roughness values close to the critical nucleus radius display an order of magnitude longer freezing delay times than typical hierarchically rough superhydrophobic surfaces.¹⁴

Freezing has also been studied for room temperature liquid droplets placed on subcooled surfaces. By analogy to the explanation for the ice nucleation delay, Tourkine *et al.* have proposed that the changing dynamics are due to a layer of air in the voids of a rough superhydrophobic coating that creates a thermal barrier which insulates the liquid from the surface, thus delaying freezing of a water droplet.¹² In addition, Alizadeh *et al.* have suggested that the delayed freezing is induced by both a reduction of the water–solid interfacial area and an increase in nucleation activation energy, which are characteristics of high water contact angles on hydrophobic surfaces.³² In a more comprehensive study, Mishchenko *et al.* have studied freezing of static water droplets resting on supercooled surfaces as well as behavior of droplets dynamically impacting supercooled nano- and microstructured surfaces.³¹ They have shown a 16 second time



delay for freezing of static water droplets on a low surface energy nanostructured silicon surface when compared to a bare silicon substrate. They have also shown the ability of these surfaces to remain ice-free down to temperatures of *ca.* -25°C to -30°C , as impacting droplets repel before ice nucleation occurs. The appropriate definition of icephobicity among these researchers therefore refers to surfaces which repel incoming water droplets (*e.g.* those originating from rain or fog) at temperatures below the freezing point or surfaces that delay and/or prevent freezing of static water droplets resting on those surfaces (Fig. 2e).^{14,19}

2.5 Icephobicity based on frost formation or dew condensation

Vapor phase desublimation or condensation followed by freezing leads to formation of sparse dendritic crystal structures that become denser with time, commonly known as “frost.”²⁰ Water vapor condensation is commonly observed in nature and in daily life as well. When the air temperature drops below the dew point, water vapor in the air becomes liquid and condensation occurs. Furuta *et al.* have shown a decrease in the water contact angle with decreasing surface temperature in a humid environment, suggesting a mode transition from Cassie–Baxter to Wenzel on a cold rough superhydrophobic surface.⁵² They observed a change of interfacial free energy of the solid–gas interface by water adsorption. This transition in the wetting state is likely due to the capillary condensation of liquid water in the crevices of the textured surface. For icephobic applications in a humid environment it is crucial to prevent this transition in order to prevent formation of so-called “Wenzel ice.” Wang *et al.* have developed superhydrophobic surfaces which retain water contact angles larger than 150° over a wide temperature range from -10°C to 17.5°C in an artificial climate chamber, suggesting their potential for icephobic applications. However, water vapor can desublimite onto a surface at low temperatures and directly form ice crystals or frost. Varanasi *et al.*, have shown how frost formation can alter the wetting properties of a rough superhydrophobic surface, making it increasingly hydrophilic, causing a Cassie–Baxter to Wenzel wetting transition for impacting drops, with subsequent pinning and formation of “Wenzel” ice on the surface (Fig. 2d).⁵ Similar to the delay in freezing of water droplets, frost formation can be delayed as well. Liu *et al.* have shown a delay of 55 minutes in frost formation on superhydrophobic surfaces when compared to bare copper surfaces. They have also shown that the frost formed on superhydrophobic surfaces is weaker, looser, thinner, and easy to remove.⁵³ Cai *et al.* have also shown a delay in frost formation on hydrophobic-coated surfaces when compared to bare surfaces. They also found that the frost formed on coated surfaces is less thick with sparse distribution and less deposition of ice crystals.⁵⁴

Lv *et al.* have discussed how self-removal of condensed water droplets is important before heterogeneous ice nucleation could occur.¹⁰ On superhydrophobic surfaces, condensed droplets with a size comparable to the capillary length may be removed under gravity *via* tilting the surfaces. However, the

condensed microdroplets usually stay and ultimately freeze on the surfaces. Wang *et al.* have successfully enhanced the self-removal efficiency of condensed water microdroplets under high supersaturation by exploiting the pinning effects of the three phase contact line.⁵⁵ A series of micropore arrays on nanostructured superhydrophobic surfaces are utilized to achieve this unique self-removal of microdroplets. In addition, it has been shown that frost growth can be delayed *via* self-removal of condensed water microdroplets by limiting the formation of interdrop ice bridges.⁵⁶ In this context, icephobicity refers to the ability of surfaces to prevent or delay formation of frost and sometimes to the ability of textured surfaces to prevent the Cassie-to-Wenzel transition during supercooling or during Wenzel ice formation.

2.6 Pagophobicity based on ice adhesion

Lowering the adhesion of previously formed ice is critical as it can then be shed from the surface by action of wind, gravity, or vibration. Menini *et al.* have noted that interactions between ice and substrate is a combination of electrostatic forces, hydrogen bonding, van der Waals forces, and mechanical adhesion.⁵⁷ At the atomic or molecular level, short range interaction forces are present such as covalent, electrostatic, and/or metallic forces (*e.g.* van der Waals forces). Mechanical adhesion may also occur due to mechanical interlocking of microscopic asperities at the interface between two materials.⁵⁷

As with the other aspects of pagophobicity explained earlier, it is also important to consider stability of the Cassie–Baxter wetting state on a superhydrophobic surface in the context of discussing ice adhesion strength. A Cassie–Baxter to Wenzel state transition can happen in a humid environment and if a droplet freezes in this condition, the adhesive strength of the resulting Wenzel ice on this rough substrate can be higher than on a smooth substrate. It is to be noted that only under fully oversaturated conditions would water vapor from the environment heavily condense inside the micro/nanostructure of a superhydrophobic surface, and the condensed water then takes the place of the air cushion. Chen *et al.* have shown that when this happens superhydrophobic surfaces do not reduce ice adhesion.³⁴ Using a set up similar to the one described in Meuler *et al.*,³ they demonstrated that ice adhesion on textured superhydrophobic surfaces was comparable to that on superhydrophilic surfaces partially due to a mechanical interlocking between the ice and the surface topography.³⁴ Li *et al.* have shown that the slipperiness of the ice surface can become important due to a lubricating layer of water on the surface which can originate from pressure-induced melting, frictional heating, and intrinsic premelting.⁵⁸ This can impact the adhesion of previously-formed ice on various surfaces. Among these researchers, pagophobicity means reduced ice adhesion strength to a solid surface in shear or tensile adhesion experiments.

McKinley and co-workers have shown in a series of studies that the strength of ice adhesion on surfaces correlates well with the work of adhesion between a corresponding liquid droplet and underlying substrate.^{3,59} The work of adhesion



between a liquid droplet and the underlying substrate can be calculated from the Young–Dupré equation as suggested by Gao and McCarthy:^{45,60}

$$W_{\text{adh}} = \gamma_{\text{LV}} (1 + \cos \theta_{\text{R}}) \quad (3)$$

where θ_{R} is the receding contact angle of water and γ_{LV} is its surface tension in air. Nosonovsky *et al.* have also verified this relation and additionally considered the role of the initial size of the interfacial cracks. They concluded that even surfaces with very high receding contact angles might have strong adhesion to ice if the size of the cracks is small.⁶¹ Alizadeh *et al.* have reviewed ice adhesion on a broad range of organic and inorganic material compositions, from low surface energy self-assembled monolayers to polyurethanes to siloxanes to lithium grease to the newly developed slippery liquid infused porous surfaces (SLIPS).³⁵ They introduce a normalized ice adhesion strength (defined as the ice adhesion strength on the coated/textured surface over ice adhesion strength on bare surface) to examine the pagophobicity performance. The more hydrophobic coatings showed a lower normalized ice adhesion strength; however, the decrease in ice adhesion with contact angle was rather modest even in the case of superhydrophobic (water contact angles $>150^\circ$) surfaces. In contrast, coatings composed of lubricants and greases which are commonly used in de-icing applications show superior icephobic performance with two orders of magnitude reduction in their adhesion.

Another source of discrepancy in evaluating pagophobicity based on ice adhesion strength might arise from differences in protocols for measuring the ice adhesion strength (in either shear or tension or mixed mode fracture). Some groups form ice on a rotating horizontal beam in a centrifuge apparatus,² while others measure the shear strength for propelling ice off from the substrate,⁶² or measure the shear force for detaching ice formed between a (inner) cylindrical pin and (outer) cylindrical mould.¹⁶ For such researchers, pagophobicity implies a reduction in the normal and/or shear adhesion strength of ice through surface coating and/or texturing.

2.7 Icephobicity based on snow accumulation and adhesion

Snow is generally a mixture of ice and water and its adhesion to surfaces is affected by composition, the roughness/texture of the surface, atmospheric temperature, and wind velocity.²² At temperatures below -1 or -2°C snow is dry but at higher temperatures a thin layer of water covers ice, creating wet ice or snow with intermediate properties between ice and water. The water content (wet *vs.* dry), surface properties (roughness, composition, heat capacity), ambient conditions (atmospheric temperature, wind velocity, wind direction, diurnal duration), and settling conditions (height, direction, angle) affect snow adhesion.⁶³ Nakajima *et al.* have shown accelerated sliding of dry snow on a superhydrophobic surface and effective sliding of wet snow on a hydrophilic surface. They inferred that the sliding characteristics of dry snow and wet snow are governed by solid–solid friction and viscous flow, respectively. They have also demonstrated that the sliding characteristics of wet snow

can be controlled by introducing hydrophilic channels to a superhydrophobic surface, suggesting surfaces with a reversible wettability switching property between superhydrophobicity and superhydrophilicity for anti-snow adhesion applications.⁶³ Fig. 2f shows the comparative behavior of an untreated and treated stainless steel in heavy snowfall at -3°C .⁶⁴ The significant difference in performance is due to the fact that heavy wet snow fall onto untreated steel remains fixed on the surface, followed by accumulation whereas the low adhesion of snow to the superhydrophobic surface contributes to its spontaneous removal under wind load and/or vibration of the test stand.

Table 2 presents a list of the state-of-the-art pagophobic surfaces, which are guided by the aforementioned definitions, performances, and surface attributes of the coatings. Again, it should be noted that ice adhesion data in Table 2 were obtained with widely different testing methodologies. Furthermore, experimental protocols with regard to droplet freezing, frost formation, snow accumulation/adhesion, and ice/frost nucleation are not the same for all studies. Despite these differences, we believe that representing all of the data from literature in this format helps to elucidate the strength and shortcomings of different pagophobic surfaces.

In summary, three pathways to formation of solid ice have been discussed: (i) vapor–solid desublimation or deposition, (i) vapor–liquid–solid or condensation followed by freezing, and (iii) direct liquid–solid freezing. Fig. 1b shows the phase diagram for water indicating these three different paths for ice type solid formation. Due to different mechanisms associated with each of these phase-transformation process, developing a single unifying surface structure or treatment that is able to address all of these simultaneously and universally inhibit icing is still very challenging.

As a consequence, we argue that the application-specific approaches and clear definitions are required when designing pagophobic surfaces. For example, the surface of airplane wings might experience freezing rain (of droplets with varying diameter), desublimation of water vapor or condensation followed by freezing, wet ice, snow, and/or their combination. These conditions can potentially result in the formation of various types of solid water such as ice, snow, glaze, rime, and frost, or their combination. Therefore, an icephobic surface designed for this particular application should be tested under extreme shear stresses, corresponding to laminar and turbulent flows, varying humidity level, and subcooling temperatures of -50°C or higher. Such surfaces should possess various pagophobic attributes such as repelling droplets of supercooled water, preventing frost formation, delaying icing time, and reducing adhesion of solid ice. Design criteria for other applications, for example those in which the surface will always be immersed in cold/freezing water (*e.g.* a submarine surface) should focus on delaying heterogeneous ice nucleation, preventing transitions in the wettability state (from Cassie to Wenzel), and lowering the adhesion strength of solid ice after formation. We suggest a similar application-based approach when examining durability and scalability of pagophobic surfaces as discussed in the following sections.



Table 2 A list of state-of-the-art pagophobic surfaces, which are guided by different definition, performance, and surface attributes of icephobicity/pagophobicity. "✓" means possess "✗" means do not possess described properties (e.g. durability or scalability) and "—" means durability or scalability is not investigated

Ref.	Icephobic property	Icephobicity test	Pagophobic performance	Durability/ scalability	Surface attributes
Wang <i>et al.</i> ¹⁷	Ice nucleation delay	Static droplet at $-8\text{ }^{\circ}\text{C}$	400 s on bare vs. 520 s on coated	—/✗	Rough/dry/hard
Liao <i>et al.</i> ¹⁵		Supercooled droplet at $-7\text{ }^{\circ}\text{C}$	86 s on bare vs. 475 s on coated	✓/✗	Rough/dry/hard
Mishchenko <i>et al.</i> ³¹		Static droplet at $-20\text{ }^{\circ}\text{C}$	16 s delay on coated	—/—	Rough/dry/hard
Guo <i>et al.</i> ¹⁴⁵		Static droplet at $-10\text{ }^{\circ}\text{C}$	~7000 s delay on coated	✓/—	Rough/dry/hard
Jung <i>et al.</i> ¹⁴		Supercooled microdroplets	800 s delay on coated	—/—	Rough/dry/hard
Li <i>et al.</i> ²⁹		Supercooled droplet at $-15\text{ }^{\circ}\text{C}$	186 s delay on coated	—/—	Smooth/dry/soft
Arianpour <i>et al.</i> ⁴²	Preventing snow accumulation	Supercooled droplet at $-15\text{ }^{\circ}\text{C}$	12–13 minutes delay on coated	—/—	Rough/dry/soft
Boinovich <i>et al.</i> ⁶⁴		Outdoor test at $-3\text{ }^{\circ}\text{C}$ and RH of 99%, wind velocity of 2 m s^{-1}	Qualitative prevention	✓/—	Rough/dry/hard
Zhu <i>et al.</i> ³⁰		Shear ice adhesion strength	50 kPa on coated, only about 3% of the value on a bare aluminum	✗/✓	Smooth/wet/soft
Sojoudi <i>et al.</i> ⁵⁹	Ice adhesion reduction	Ice adhesion shear and tensile strength	$158 \pm 76\text{ kPa}$ on coated vs. $1010 \pm 89\text{ kPa}$ on bare	✓/✓	Rough/dry/hard
Susoff <i>et al.</i> ¹⁶			37 kPa on coated, reduction factor up to 100 when compared to bare	✓/—	Smooth/dry/soft
Chen <i>et al.</i> ³⁴			$77 \pm 16\text{ kPa}$ on hydrophobic vs. $913 \pm 138\text{ kPa}$ on superhydrophilic	—/—	Smooth/dry/hard
Susoff <i>et al.</i> ¹⁶	Ice adhesion + frost formation	Frost formation followed by ice adhesion	~10 kPa on coated	✗/—	Rough/dry/hard
Yang <i>et al.</i> ¹⁸			Tensile/shear strength 110/60 kPa on coated and 1540/1210 kPa on bare	—/✓	Smooth/dry/hard
Varanasi <i>et al.</i> ⁵			56 \pm 18 kPa on smooth substrate (2.5 times) increase in ice adhesion strength on rough superhydrophobic surface following frost formation	—/—	Rough/dry/hard
Kim <i>et al.</i> ²⁵	Ice adhesion + droplet mobility	Shear ice adhesion strength + preventing frost formation	15 \pm 3.6 kPa on coated vs. 1360 \pm 210 kPa on bare + 80% reduction in frost formation	✓/✓	Smooth/wet/hard
Wang <i>et al.</i> ¹¹			1700 kPa on superhydrophilic vs. 200 kPa on superhydrophobic	✓/—	Rough/wet/hard
			Droplet bouncing during impact test		

3 Towards durable superhydrophobic and pagophobic surfaces

While the main research drivers for icephobic surfaces remain delaying nucleation, reducing the ice adhesion strength and preventing frost formation *etc.*, prolonged service life time is also desired for the practical use of these pagophobic surfaces, because of the harsh environmental conditions involved in each specific application (e.g. wings of air-craft, wind turbines, solar panels, power lines, automobiles and roofs of any structures).^{27,65} Specifically, two main classes of durabilities that are critical to the outdoor real-life applications of superhydrophobic/icephobic surfaces will be covered in this section,⁶⁶ namely: (1) the resistance to failure of micro/nano surface structures upon mechanical impact (in the form of scratches, abrasions or high speed impacts);^{67,68} (2) the resistance of the chemical structure to decomposition by some portion of the solar spectrum.^{69,70}

In this section we provide; (1) a brief introduction of the common methods employed to create mechanically-durable superhydrophobic/icephobic surfaces, plus a summary of low surface energy compounds employed to achieve superhydrophobicity and/or pagophobicity; (2) unique approaches toward damage-tolerant, protective superhydrophobic surfaces that are self-repairable upon mechanical damage or plasma etching;

(3) liquid infused surfaces with excellent icephobic performances; (4) superhydrophobic surfaces with UV durability; and (5) a summary of various techniques employed to quantify the surface durability. Having highlighting the similarities and distinctions between superhydrophobic and pagophobic surfaces in the previous section, we will only focus on reviewing the durability of superhydrophobic/icephobic surfaces without questioning their definition of icephobicity.

3.1 General approaches toward mechanically-durable superhydrophobic and pagophobic surfaces

The durability of icephobic surfaces with micro/nanostructured textures can be defined as the ability for the surface to maintain its surface topography and low surface energy under practical working conditions.⁶⁶ Fig. 3 shows examples of the structures created in recent years that are durable (each column shows one type of structure: micro, nano, micro/nano (hybrid or multiscale)). These structures were constructed by three different methods (each row shows one type of method): 'top-down' (Fig. 3a to c), 'bottom-up' (Fig. 3d to f) and the combination of 'top-down' and 'bottom-up' (Fig. 3g to i). In addition to the surface structure, low surface energy chemicals are commonly applied to coat the designed topography and render the desired superhydrophobic/icephobic properties. A summary of commonly-employed chemicals is shown in Fig. 4. Among them, the



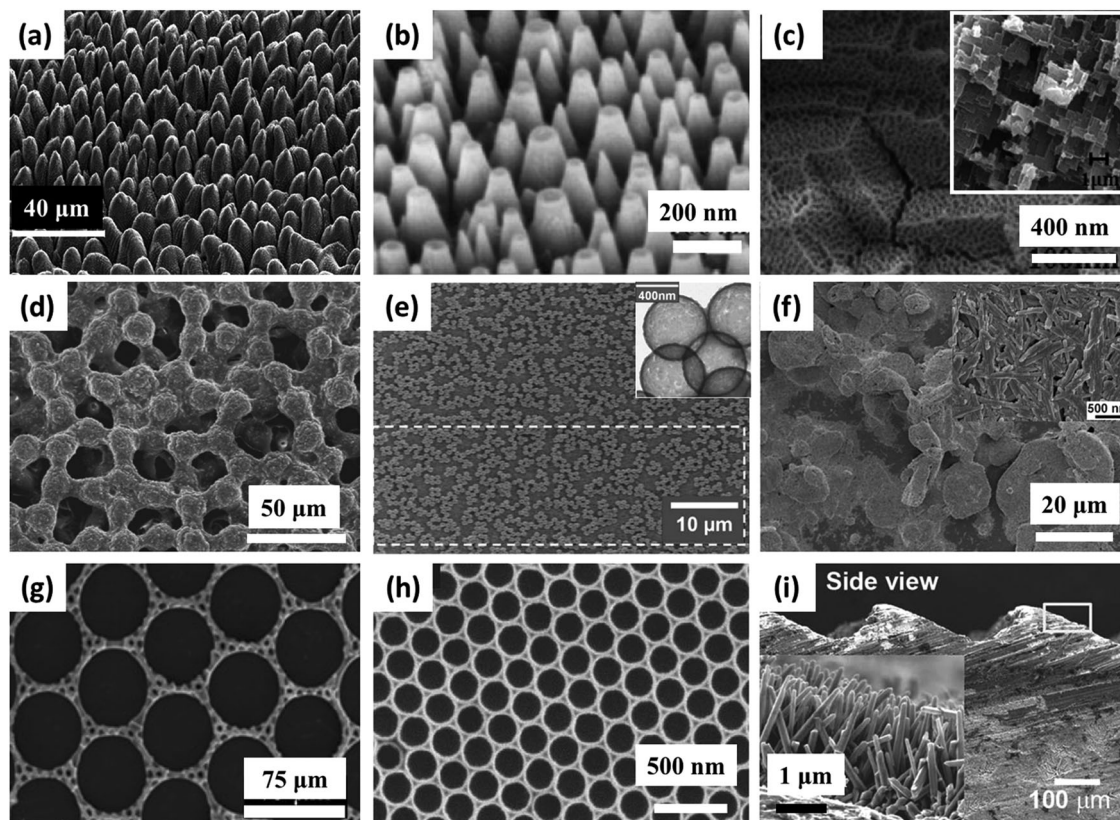


Fig. 3 Examples of some surface textures investigated. First row, fabrication by top down methods: (a) micro scale structure fabricated by laser ablation,⁷⁴ (b) glass surface with nanostructures after dry etching⁷³ and (c) micro (inset) and nano structured Al surface by etching and anodizing.⁷² Second row fabrication by bottom up methods: (d) multilayer of spin-coated micro size PMMA spheres, crosslinked by silica,⁸⁰ (e) glass slide with dip-coated silica nanoparticles sintered with silica bridges, inset shows the size of the individual hollow silica nanoparticles,⁸¹ and (f) hierarchical structure obtained from spray coated organosilane/attapulgite nanocomposite.⁵⁵ Third row, fabrication by combined methods: (g) micro scale dual hole patterned CNT composite,⁸⁸ (h) inverse monolayer nanostructure from colloidal assembly template and (i) machined steel surface with micro structures, inset shows ZnO nanohair grown on the surface.¹⁴⁵ Figures reproduced from ref. 55, 72–74, 80, 81, 88 and 145 with permission from RSC, ACS, Springer, IOP, ACS, Wiley, Springer, and Wiley, respectively.

most popular chemicals are long chain perfluorosilanes, as shown in Fig. 4a–h.

3.1.1 Top-down approaches to achieve mechanical durability.

Top down methods (wet chemical etching,^{68,71,72} dry etching,⁷³ laser irradiation^{74,75} etc.) directly generate surface roughness on a material, thus the final micro/nano textures are an integral part of the substrate, with no boundary or interfacial adhesion issues.⁶⁶ Steele *et al.*⁷⁴ have fabricated titanium-based superhydrophobic surfaces (with random microtexture) by ultrafast laser irradiation, followed by fluorination (Fig. 3a). These surfaces were then subjected to linear abrasion tests at a pressure of 108.4 kPa. The results showed that such surfaces can maintain a static water contact angle above 150° after three abrasion cycles. The main failure mechanism after three cycles is the removal of the surface fluoro-coating. Other substrates, such as glass were also used to fabricate similar superhydrophobic surfaces. Fig. 3b shows the nanostructured superhydrophobic fluorinated glass surface created by Infante *et al.*⁷³ using dry etching. The surface survived 5000 wipe tests at 45 kPa, without significant changes in the water contact angle. A durable superhydrophobic surface with a reduced ice adhesion strength was

also created by chemical etching followed by fluorinating the aluminum surface.¹¹ The icephobicity of the surface was maintained after 20 repeated ice formation/ice removal cycles.

It is well recognized that multiscale micro/nano hierarchical structures typically offer superior performance. Barthwal *et al.*⁷² prepared hybrid structures on an aluminum substrate by employing chemical etching and aluminum surface anodization (Fig. 3c). Subsequent fluorination rendered the surface both superhydrophobic and superoleophobic. Tape adhesion tests (following the ASTM D3359-02 standard) were also carried out to quantify the mechanical stability of the as-prepared aluminum surface; the water and olive oil contact angles measured on these surfaces did not change after 10 repeated peel tests. Microhardness tests also showed that the micro/nano structured surface did not lose its superhydrophobicity or superoleophobicity after experiencing loads up to 80 kPa.

Similar approaches have been employed by Liao *et al.* to fabricate aluminum based superhydrophobic surfaces with micro/nanostructures.¹⁵ As previously noted (Table 1), these surfaces significantly delay ice nucleation and/or formation (by up to a factor of seven). Moreover, the surfaces maintain



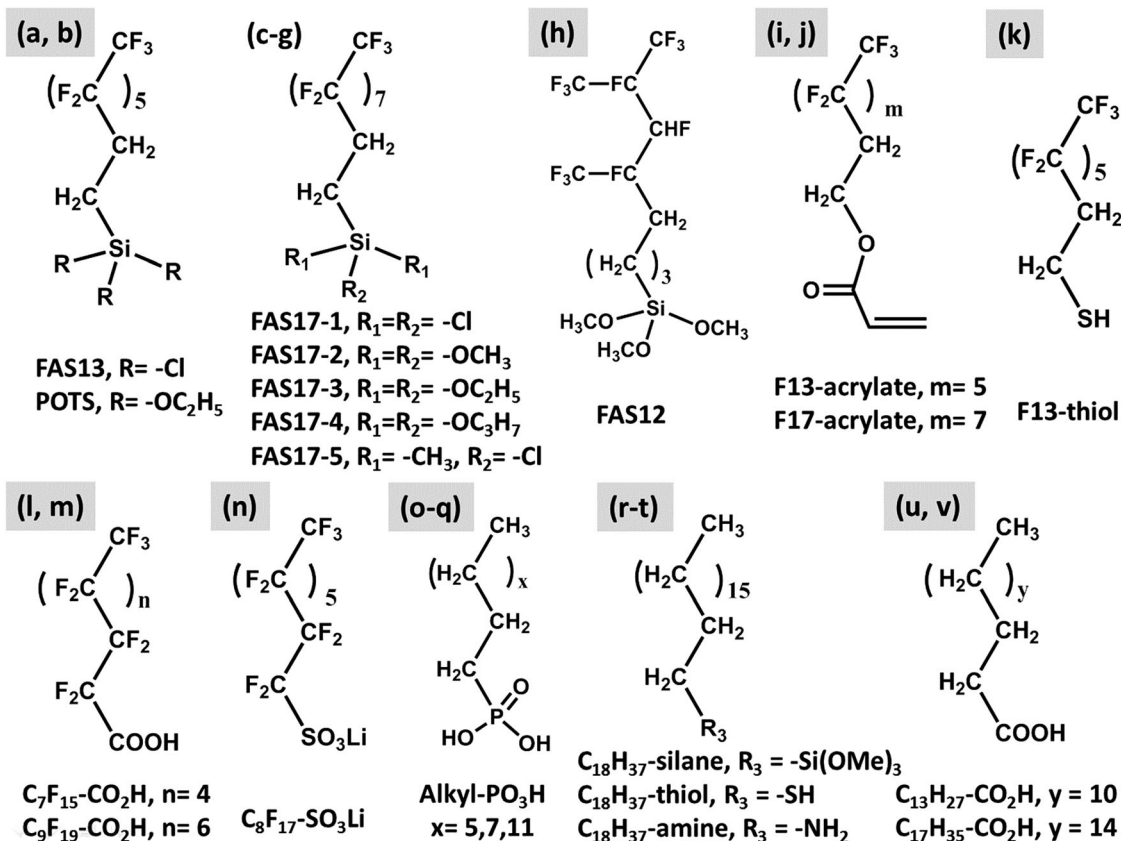


Fig. 4 Representative compounds used in icephobic surface modification research. (a) (tridecafluoro-1,1,2,2-tetrahydrooctyl)-trichlorosilane (FAS13-Cl), (b) (tridecafluoro-1,1,2,2-tetrahydrooctyl)-triethoxysilane (POTS),^{92,94,96,97,101,102,104,109} (c) (heptadecafluoro-1,1,2,2-tetrahydrodeceyl)-trichlorosilane (FAS17-1),^{80,106,124} (d) (heptadecafluoro-1,1,2,2-tetrahydrodeceyl)-trimethoxysilane (FAS17-2),^{108,127} (e) (heptadecafluoro-1,1,2,2-tetrahydrodeceyl)-triethoxysilane (FAS17-3),^{68,104,120,129,179} (f) (heptadecafluoro-1,1,2,2-tetrahydrodeceyl)-triisopropoxysilane (FAS17-4),¹⁴⁵ (g) (heptadecafluoro-1,1,2,2-tetrahydrodeceyl)-dimethylchlorosilane (FAS17-5),⁷⁶ (h) dodecafluoroheptyl-propyl-trimethoxysilane (FAS12),^{105,108} (i) 2-(perfluorooctyl) ethyl acrylate (F13-acrylate),¹²³ (j) 2-(perfluorodecyl) ethyl acrylate (F17-acrylate),¹²³ (k) tridecafluoro-1,1,2,2-tetrahydrooctylthiol (F13-thiol),⁷⁹ (l) perfluorooctyl acid (C₇F₁₅-CO₂H),⁹³ (m) nonadecafluorodecanoic acid (C₉F₁₉-CO₂H),¹⁸⁰ (n) perfluorooctanesulfonic acid lithium salt (C₈F₁₇SO₃Li),⁹⁴ (o-q) alkyl-PO₃H,¹⁸¹ (r) *n*-octadecyltrimethoxysilane (C₁₈H₃₇-silane),¹²⁶ (s) *n*-octadecylthiol (C₁₈H₃₇-thiol),¹²⁵ (t) *n*-octadecylamine (C₁₈H₃₇-amine),⁹⁵ (u) *n*-tetradecyl acid (C₁₃H₂₇-CO₂H),¹⁸⁰ and (v) *n*-octadecyl acid (C₁₇H₃₅-CO₂H).^{182–184}

their superhydrophobicity after mechanical abrasion: (1) by water-drop impact, 5000 droplets; and (2) a sand impact test, 20 g, 210–350 μm in diameter, height up to 40 cm. Recent results from Wang *et al.*⁶⁸ have shown that steel surfaces can also form micro/nanostructures by simple H₂O₂/acid etching treatment. The final fluorinated superhydrophobic surfaces maintain their superhydrophobicity after tape adhesion test (31.2 kPa) and sandpaper abrasion test (sandpaper as an abrading surface moved in one direction for 1.1 meter under 16 kPa gravity pressure). Moreover, water-dripping tests (with the substrate temperature held at -20°C) demonstrated the ability for the superhydrophobic surfaces to quickly shed water droplets before freezing. A steam-freezing test (from 50°C lowered to -20°C at 90% humidity) further demonstrated the ability for the surfaces to reduce ice formation under very humid conditions.

3.1.2 Enhancing mechanical durability by grafting and/or crosslinking. As we noted in the last subsection, top-down methods are typically substrate-dependent, which limits their wider applications. In contrast, bottom-up methods (spray

coating,⁷⁶ electrospinning,⁷⁷ spin-coating,⁷⁸ dip-coating⁷⁹ *etc.*) are generally substrate independent; however they typically have mechanical durability issues, such as the possibility of delamination. Grafting and/or crosslinking methods are generally employed to enhance the mechanical durability of super-repellent surfaces prepared by bottom up methods.^{59,80}

Fig. 3d shows the microstructure of spin-coated poly(methyl methacrylate) (PMMA) microparticles covered with a crosslinked silica shell.⁸⁰ After fluorination, the surface achieved superhydrophobicity with a static water contact angle of 167° . Lee *et al.*⁸⁰ compared the hardness of the coating before and after silica crosslinking by employing a pencil hardness test (ASTM D3663). The electron irradiated (crosslinked) film passed a pencil hardness of 2H, indicating reasonable hardness of the film. In contrast, the pencil hardness of pristine PMMA/silicone grease film was substantially lower than 6B. Additional results from tape adhesion tests (ASTM D3359-02) and ultra-sonication confirmed the robustness of the surface after crosslinking.

A similar approach was adopted by Deng *et al.* to prepare transparent and mechanically-durable superhydrophobic surfaces



using porous silica capsules (Fig. 3e).⁸¹ Chemical vapor deposition (CVD) of Tetraethyl orthosilicate (TEOS) in an environment of ammonia was employed to chemically crosslink dip-coated polystyrene (PS) nanoparticles. Superhydrophobicity was retained after a tape adhesion test (10 kPa) and a sand impacting test (sand size 100 to 300 μm , with an impact height of up to 30 cm).

Aside from fluorinated structures, long chain multifunctional organosilane (hexadecyltriethoxysilane) together with TEOS has also been employed to create crosslinked hybrid micro/nanostructures, as shown in Fig. 3f.⁵⁵ The polymerized organosilane/attapulgite (a magnesium aluminium phyllosilicate) nanocomposite spray surface had a static contact angle of 161° and a sliding angle of 2° . Sand impact tests carried out using 100–300 μm sand grains at a height of 40 cm revealed that the surface can maintain its superhydrophobicity after undergoing sand tests with grain masses of 30 g or less (with static contact angle $>150^\circ$ and the sliding angle closes to 10°).

Instead of relying on a highly crosslinked silica network to enhance the mechanical durability, grafted and crosslinked polymer coatings showing durable anti-icing performances have been created in the Gleason group,⁵⁹ using a versatile technique called initiated chemical vapor deposition (iCVD).^{37,82} Sojoudi *et al.*⁵⁹ created divinyl benzene (DVB) and 1H, 1H, 2H, 2H-perfluorodecyl acrylate (PFDA) based smooth bilayer structures, with the crosslinked DVB network grafted directly to the silicon substrate. Repeated ice formation and ice removal steps did not alter the reduced ice adhesion strength measured on these fluoro-veneer surfaces. Furthermore, nanoscratch tests showed that the *in situ* grafting greatly reduced the possibility of coating delamination (Fig. 5a to d). Subsequent studies demonstrated that such grafted bilayer coatings can also significantly reduce the adhesion strength of clathrate hydrates, a subject related, but not identical, to icephobicity.^{83,84} It is worth noting that, this enhancement in the resistance to delamination as a result of direct surface grafting has been observed in multiple coatings

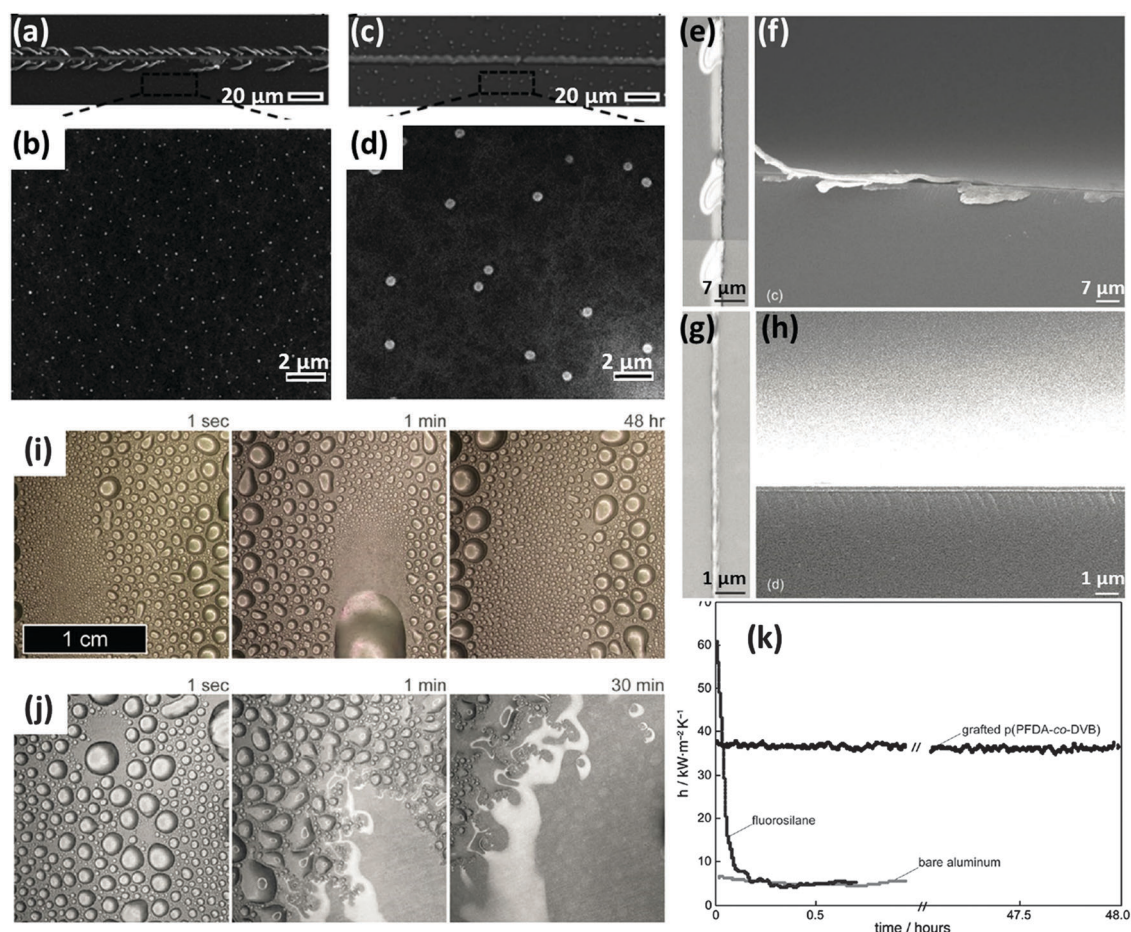


Fig. 5 Enhanced durability of initiated chemical vapor deposited (iCVD) coatings by grafting. (a) and (b), SEM images of an ungrafted bilayer of poly (divinylbenzene)/poly(perfluorodecyl acrylate) (pDVB/pPFDA) showing delamination during nanoscratch tests,⁵⁹ (c) and (d), SEM images of an grafted bilayer of pDVB/pPFDA showing no sign of delamination during nanoscratch tests;⁵⁹ (e to h), iCVD deposited poly (ethylene glycol diacrylate) (pEGDA) with the grafted layer of 1,9-decadiene showing no delamination during nanoscratch tests (g, h), while same iCVD coating without grafted layer showing serious delamination (e, f);⁸⁶ (i) prolonged dropwise condensation on grafted coating of pPFDA-co-DVB over a period of 48 h, at 100°C , with no degradation;⁸⁵ (j) grafted fluorosilane coating degraded over a period of 30 min;⁸⁵ (k) heat transfer coefficient of aluminum substrates plotted vs. time, with no coating, with grafted iCVD coating, and grafted fluorosilane coating.⁸⁵ Figures reproduced from ref. 59, 86 and 85 with the permissions from RSC, Wiley, and Wiley, respectively.



created in the Gleason group.^{85,86} A passivation coating for silicon wafer constructed by iCVD deposited poly(ethylene glycol diacrylate) (PEGDA) with a grafted layer of 1,9-decadiene (DD) also exhibited no delamination during nanoscratch tests, whereas the same coating without the interstitial grafted layer showed serious delamination (Fig. 5e to h).⁸⁶ Another grafted iCVD coating made of pPFDA-co-pDVB demonstrated excellent durability with no delamination during prolonged water vapor condensation tests carried out at 100 °C (Fig. 5i).⁸⁵ In contrast, a fluorosilane-based grafted layer delaminated in only 30 min (Fig. 5j). Comparison of the heat transfer coefficient (HTC) vs. time for the different coatings further confirmed the durability of the grafted iCVD coating (Fig. 5k). In a separate study, a poly(trivinyltrimethylcyclotrisiloxane) based crosslinked iCVD coating demonstrated sustained electrical properties under physiological soak conditions for more than 2 years.⁸⁷

3.1.3 Durable superhydrophobic/icephobic surfaces from combined methods. Methods combining top-down and bottom-up approaches to develop superhydrophobic/icephobic surfaces have gained popularity in the recent years because of their versatility. The ability to construct closed-cell structures is one important aspect. Park *et al.*⁸⁸ fabricated a dual-hole patterned superhydrophobic surface made of a non-fluorinated composite of PDMS and carbon nanotubes (CNTs) using a pre-structured nickel stamp. The honeycomb-like structure was durable after 600 runs of a rubber tip sliding test at a load of 1.5 N. In contrast, a surface with micropillars composed of the same materials was severely damaged by the same process, and lost its superhydrophobicity. Vogel *et al.* have fabricated similar honeycomb-like surface structures with smaller hole sizes (Fig. 3h).⁴⁰ The fluorinated silica-based pattern was the inverse replica of the original colloidal particle assembly on the surface. The closed-cell structure withstood harsh conditions such as touching, wiping, tape peeling, and scratching by a razor blade and sandpaper.

Combined top-down and bottom-up methods have also been extended to hard steel surfaces (Fig. 3i) by: (1) machine abrading the steel surface to create robust microstructures; (2) zinc oxide nanohairs planting on top of the microstructures through adding seed crystals followed by synthesis inside a furnace; (3) fluorinating the micro/nanostructures. These surfaces retained their anti-icing properties after the ice formation/melting process was repeated for at least 20 times, indicating the durability of the surfaces. In a separate study, Boinovich *et al.*⁶⁴ created durable superhydrophobic/icephobic surfaces by depositing hydrophobically-modified silicon nanoparticles on a chemically etched steel surfaces. It was found that the water contact angles and rolling angles for the superhydrophobic surfaces did not change after both tape adhesion test (130 kPa) and ultra-sonicating test (35 kHz, 55 W, 10 min). Furthermore, the surfaces maintained their superhydrophobicity after 100 ice formation/ice removal cycles.

3.2 Unique approaches toward damage-tolerant, protective or self-repairable superhydrophobic/icephobic surfaces

Three common characteristics shared by the aforementioned surface structures include: (1) the low surface energy materials and/or rough surface textures; (2) the surface topography is in

direct contact with the imposed mechanical forces in the ice; (3) the icephobic surfaces fail once the surface chemistry and/or texture is damaged. As a result, a number of strategies aiming to solve these issues have been developed in the recent years.

3.2.1 Damage-tolerant superhydrophobic surfaces. As shown in Fig. 6a, damage-tolerant superhydrophobic surfaces typically have low surface energy and textural features that extend throughout the bulk materials. Zhang *et al.*⁸⁹ fabricated TiO₂ nanorods, hydrophobic SiO₂ nanoparticles and polypropylene (PP) based superhydrophobic hybrid materials by mixing, followed by pressure injection molding under pressure. The surfaces were then intentionally damaged by UV or oil fouling, however, upon mechanical abrasion, the materials regained their superhydrophobicity with a water contact angle of 158° and sliding angle of less than 5°. The inherent roughness from the TiO₂ nanorods and SiO₂ nanoparticles combined with the low surface energy from PP and the modified hydrophobic SiO₂ nanoparticles were considered to be the reason behind such behavior.

Deng *et al.*⁶⁷ followed a different approach to create a fluorinated silica-based nanoporous structure (Fig. 6b). The candle soot was taken as the template followed by CVD deposition of TEOS in an ammonium vapor environment. Superamphiphobic character (*i.e.* strongly repellent towards both water and oil) was obtained after calcination and fluorination. The contact angles and sliding angles of both water and hexadecane droplets were almost identical before and after sand impacting test (using 20 g of 100–300 µm sands, at a height of 40 cm), although the silica shells were partially damaged by the process. The self-similarity of the fractal soot coating was deemed to be the major reason for maintaining the superamphiphobicity.

To further improve the robustness of such rough structures, Aytug *et al.* fabricated a nanostructured superhydrophobic surface from a spinodally phase-separated glass thin film (Fig. 6c).⁹⁰ After fluorination, the monolithic nanoporous structure exhibited a static water contact angle of 163° and sliding angle of less than 5°. The surfaces were subjected to sand impact test (100 g of 100–300 µm highly abrasive Al₂O₃ particles at a height of 35 cm in 15 min) and simulated dust storm conditions (same amount of Al₂O₃ blasted at the same speed towards a superhydrophobic surface). The superhydrophobic performance of the surface was retained after these harsh tests.

3.2.2 Superhydrophobic surfaces with protective pillars. Superhydrophobic surfaces designed with protective pillars that aim to isolate hierarchical structures from compression or abrasion forces, is a unique direction that has not been commonly explored. As shown in Fig. 6d, Huovinen *et al.* fabricated a polypropylene (PP) based surface micro/nanostructures with protective pillars through a template method.⁹¹ The protected hierarchical structures could withstand a compression pressure up to 20 MPa and an abrasion test at loads up to 120 kPa. In contrast, the unprotected structures collapsed at a compression pressure of 10 MPa or at an abrasion test of 40 kPa. The static water contact angle (150°) and sliding angle (10°) remained unchanged after 30 cycles of compression tests and 10 wear cycles at a pressure of 120 kPa. It is worth noting that both the protective pillars and the hierarchical surface



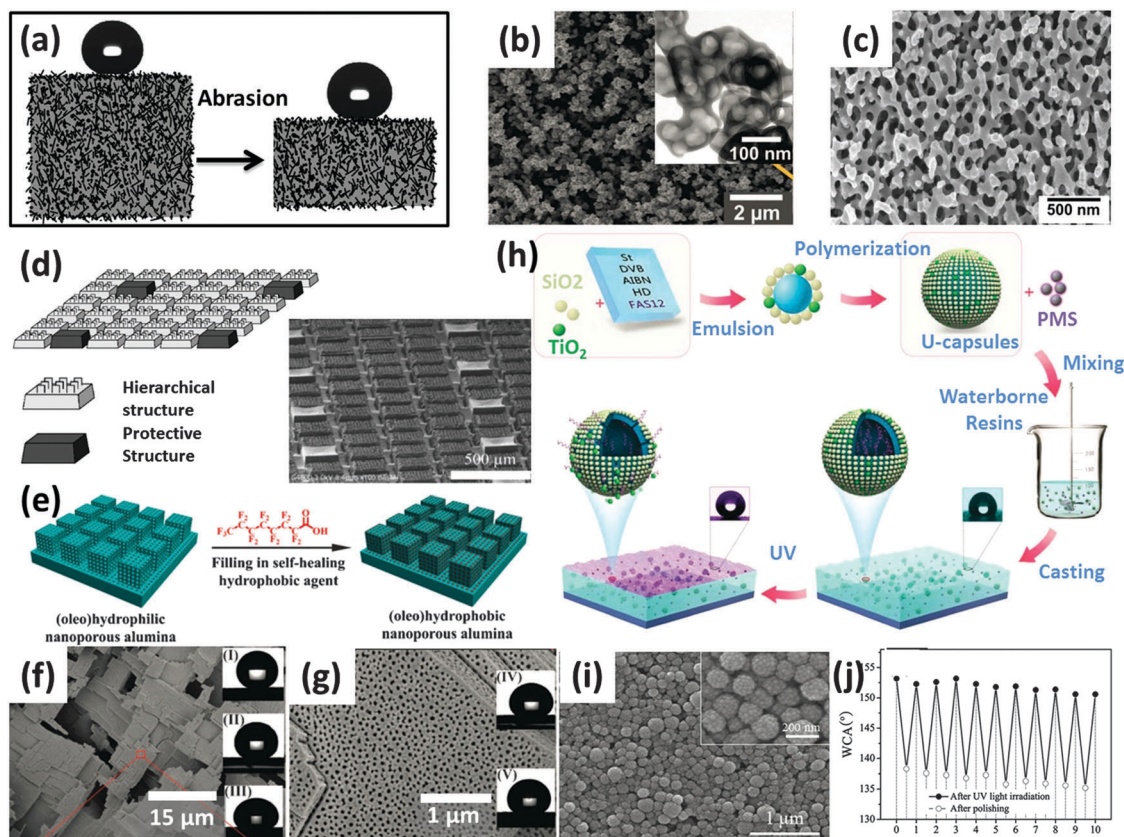


Fig. 6 Representative strategies to enhance the durability of superhydrophobic/icephobic surfaces. (a) schematic illustration of a bulk material with low-surface-energy microstructures extending through its whole volume, sustains superhydrophobicity after mechanical abrasion;⁸⁹ (b) candle soot template nanostructures, with fluorosilane (derived from tridecafluoro-1,1,2,2-tetrahydrooctyl-trichlorosilane, FAS13-Cl) and nanostructures extended throughout the coating body;⁶⁷ (c) silica rich glass nano structure from phase separation, with fluorosilane (derived from FAS13-Cl) and nanostructures extended throughout the coating body;⁹⁰ (d) hierarchical micro and nano structures with protective pillars;⁹¹ (e), schematic depiction of filling a nanoporous alumina substrate with hydrophobic perfluorooctyl acid, (f) and (g) SEM images of anodized alumina with high density nanopores, representing the structure depicted in the scheme (e). (60% are pores, mean pore diameter is 40 nm and mean depth is 300 μm.) The insets are representative liquid droplets on perfluorooctyl acid loaded nanoporous alumina: (I) water, (II) glycerol, (III) CH₂I₂, (IV) hexadecane, (V) rapeseed oil;⁹³ (h) schematics showing the procedure of fabricating UV responsive superhydrophobic coatings, (i) SEM images of UV responsive capsules before mixing with polysiloxane latex and (j) change of water contact angles as a function of repeated polishing and accelerated UV irradiation cycles.⁶⁹ Figures reproduced from ref. 67, 69, 89–91, 93 with the permissions from Science, Wiley, RSC, IOP, ACS, and RSC, respectively.

structures were made from PP, a common thermoplastic. Better performance may be expected if the protective pillars were replaced with harder materials such as metals or inorganic oxides *etc.*

3.2.3 Self-repairable superhydrophobic surfaces. Despite superior durabilities exhibited by these aforementioned structures, the progressive loss of superhydrophobicity and potential icephobicity upon prolonged wear conditions is unavoidable. It is therefore desirable to design superhydrophobic surfaces with self-repairing (or self-healing) capabilities, such as commonly observed in biological systems.⁹² The primary approach adopted to design self-repairable superhydrophobic surfaces is by releasing encapsulated low surface energy chemicals and allowing them to migrate to the surface (Fig. 6e–g),⁹³ however, embedding colloidal particles in the hydrophobic crosslinked networks shows similar self-repairing capability.

As shown in Fig. 6e–g, Wang *et al.*⁹³ used nanoporous anodized alumina to encapsulate the low surface energy

chemical perfluorooctyl acid. The resulting surfaces exhibited superamphiphobicity towards both water and oils. Oxygen plasma treatment turned the superamphiphobic surfaces to superamphiphilic surfaces. However, the surfaces regained their superamphiphobicity after aging at room temperature for 48 h (or at 70 °C for 6 h). The release of the perfluorooctyl acid from nanopores and subsequent migration to the surface are the main driving forces behind this behavior. The surfaces were found to regain their superamphiphobicity after up to eight plasma treatment cycles. Aside from using nanoporous alumina as the reservoir, various structured materials have been selected to contain the low surface energy chemicals. Polyelectrolyte complex multilayers,^{92,94} polydopamine encapsulated mesoporous silica,⁹⁵ electrochemically deposited porous poly(3,4-ethylenedioxythiophene) (PEDOT)⁹⁶ and poly(urea-formaldehyde) capsules⁹⁷ are some of the most recent choices and all of the resulting surfaces displayed self-repairing capabilities. The rate at which a plasma-damaged surface recovers its superhydrophobicity

depends primarily on the temperature and humidity. In general, higher temperature and⁹³ higher humidity^{92,95} allow a damaged surface to recover faster.

Specific trigger-based self-repairing surfaces have also been created. Chen *et al.*⁶⁹ fabricated superhydrophobic coatings based on UV-responsive microcapsules (U-capsules) (Fig. 6h–j). The resulting surfaces were initially only hydrophobic, but turned superhydrophobic once irradiated by UV, and mechanically-damaged surface showed repeatable recovery of superhydrophobicity by UV irradiation (Fig. 6j). The UV responsive nature is based on the photocatalytic capability of TiO₂ nanoparticles. The recovery time reported was at least 36 h, but the authors claimed that by carefully adjusting the TiO₂/SiO₂ ratio they could control the release speed under UV irradiation. Considering UV as a trigger might be beneficial for outdoor applications (*e.g.*, anti-icing). In addition, instead of encapsulating the low surface energy chemicals inside the pores or capsules, Xue *et al.*⁹⁸ embedded the polystyrene/SiO₂ core-shell particles into a crosslinked hydrophobic PDMS network. The resulting superhydrophobic surfaces showed reasonable self-repairing performance. In addition to the expected thermal dependence, it was found that the recovery speed also depended on the self-repairing history of that surface (*i.e.*, the number of cycles experienced). More cycles lead to a longer recovery time, indicating the PDMS chains needed longer time to re-arrange and move to the surface. The composite structure also displayed reasonable durability under the sand (300 to 1000 μm grains) impact tests from a height of 40 cm.

While all the aforementioned systems demonstrated self-repairing capabilities, one should realize that it usually takes days for a plasma- or mechanically-damaged superhydrophobic surface to recover, indicating that the self-repairing process is relatively slow.^{69,93,95} Although temperature and humidity can serve as external triggers to facilitate the process, these triggers might not be present in real applications, or might even be inimical (*e.g.* in anti-icing applications). A second concern is that the system will ultimately fail once the low surface energy chemicals depleted.⁹³ In addition, it is desired to have mechanically durable self-repairing surfaces, but very limited mechanical durability characterizations have been reported.^{92,94,98} These practical concerns require further research.

3.3 Liquid infused surfaces for durable anti-icing applications

In Section 2.1 we have discussed the range of attributes that control the pagophobicity of engineered surfaces (hard *vs.* soft, dry *vs.* wet, smooth *vs.* rough). However, most of the pagophobic surfaces created to date possess hard, dry, and rough attributes.^{13,64,65,68} One can infer that in this category, the trapped air serves as the “lubricant” and reduces the contact area (and adhesion strength) between the water droplet and the underlying solid substrate. Hard, wet, and smooth icephobic surfaces have also been developed based on the liquid infused surface technology. As shown in Fig. 7a, Wong *et al.*³⁸ fabricated slippery surfaces (SLIPS) by infusing textured surfaces with low surface energy liquids (3 M Fluorinert FC-70 or DuPont Krytox 100 and 103). These SLIPS surfaces demonstrated

very small sliding angles towards both water and oils due to the presence of the lubricating liquid layer between the textured structure and the droplets. Further experiments from the same group revealed extreme anti-icing and anti-frosting performance of these slippery surfaces (Fig. 7b and c).²⁵ The ice adhesion strength obtained on a SLIP coated aluminum surface was only *ca.* 1.2% of the one obtained on uncoated aluminum surface. Similar results were observed for lubricant-impregnated textured surfaces by Subramanyam *et al.*⁴ employing different approaches. In these studies, the liquid layer physically isolated the substrate texture from directly contacting the ice, and also prevented damage to the surface micro/nanostructures under action of the mechanical stresses during ice formation/ice removal cycles. In addition, the presence of low surface energy liquids inside the surface micro/nanostructure (which are retained due to the capillary force) help preventing frost/ice formation inside the nanoporous structures enhancing their performance, even under relatively high humid environment.

One concern over the performance of oil-based liquid infused surfaces is that the liquid will eventually be depleted by evaporation at elevated temperature or at reduced pressure, which can happen in outdoor conditions. To address this concern, Chen *et al.*⁶² created self-lubricating surfaces specifically for anti-icing applications (Fig. 7e–g). The lubrication was realized by employing hydrophilic polymers to lock a thin layer of water on the surface and act as the lubricant. Since the water can be supplied by ice continuously, there will be no concern over the depletion of the lubricant. The freezing point depression due to the interaction between hydrophilic polymer chains and the ice is the basis for the formation of the liquid water layer at a temperature well below 0 °C. The most recent results from the same group demonstrated maintenance of ice adhesion strength of *ca.* 27 kPa at temperatures down to *ca.* –53 °C.⁴³ A second concern is the durability of the liquid lubricated surfaces upon mechanical contact, which can potentially damage the substrate and deplete the imbibed liquid and it is important to monitor the mechanical integrity of the surfaces.

3.4 Durability of superhydrophobic/icephobic surfaces toward UV irradiation

Another important concern not addressed yet is the durability of superhydrophobic/icephobic surfaces under UV irradiation, which is important in outdoor applications, because the high photon energy associated with UV light (*e.g.*, solar UVA 320–400 nm, UVB 280 to 320 nm⁹⁹) can potentially damage the organic materials contained in surface coatings and treatments.¹⁰⁰ Inorganic nanoparticles/organic polymer based superhydrophobic structures are usually not stable under UV irradiation,^{89,101} because the presence of large quantities of organic components lead to oxidation and formation of hydrophilic groups.¹⁰⁰ At the same time, photooxidative inorganic materials such as ZnO or TiO₂ should also be avoided, since they can facilitate the degradation of the organic components.^{89,102–104} Most recent studies of superhydrophobic/icephobic surfaces with resistance to UV irradiation have been primarily based on



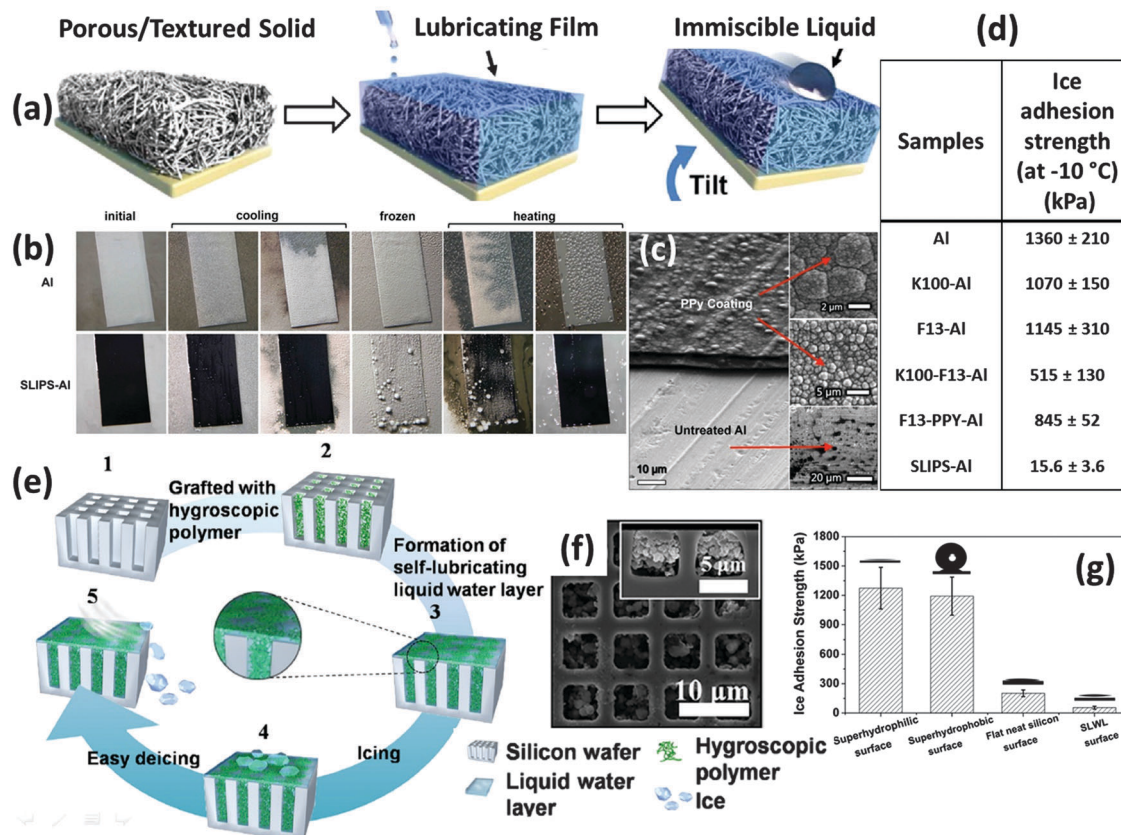


Fig. 7 Liquid infused surfaces. (a) schematic showing the construction of a slippery liquid-infused porous surface (SLIPS),³⁸ (b) the anti-ice performance of the SLIPS,²⁵ (c) the structure of the SLIPS before liquid infusion,²⁵ (d) the SLIPS on aluminum showed dramatic decrease in ice-adhesion strength.²⁵ aluminum surface; K100-Al, perfluoroalkylether Krytox 100 (K100) lubricated aluminum surface; F13-Al, (tridecafluoro-1,1,2,2-tetrahydrooctyl)-trichlorosilane (F13) treated aluminum surface; K100-F13-Al, Krytox 100 lubricated F13-Al; F13-PPY-Al, polypyrrole electrodeposition coated aluminum surface, treated with F13; SLIPS-Al, Krytox 100 lubricated F13-PPY-Al. (e) schematic showing the construction of aqueous liquid lubricated anti-ice surface,⁶² (f) SEM image of the structure depicted in scheme (e),⁶² (g) the ice adhesion strength is significantly reduced due to the self-lubricating effect.⁶² Figures reproduced from ref. 25, 38, 62 with the permission from ACS, NPG, and ACS, respectively.

inert inorganic micro/nano structures covered with perfluoro-silanes,^{68,100,105} since inert fluorocarbon structures are generally more resistant to UV irradiation than hydrocarbons.^{68,100}

Fig. 8a and b show the durabilities of three types of superhydrophobic surface along with UV irradiation time (following ASTM D 4329).¹⁰⁰ It is clear that the perfluorosilane treated inert silica demonstrated the best UV durability, isobutylsilane treated silica was less durable, and fluorinated polybutadiene was the most vulnerable film under action of UV irradiation. In addition, it is worth pointing out that the “U-capsule” based self-repairing system discussed above also displayed good durability toward UV irradiation (Fig. 8c and d); this is due to the excess perfluorosilane molecules constantly released from the capsules that help recover the superhydrophobicity.

It should be pointed out that the UV irradiation employed by most groups was in the UVA range,^{68,69,100–104,106,107} while the UVB range (with higher photon energies) has been less studied.^{89,105} To ensure that these superhydrophobic/icephobic surfaces can tolerate long term environmental UVB exposure, this spectral components should also be tested, since typical exposure conditions include both UVA and UVB ranges.⁹⁹

3.5 Summary of techniques employed to quantify the surface durability

To better understand the durability of the aforementioned superhydrophobic/icephobic surfaces, a summary of most techniques are given in this section. It should be noted that, as a newly developed area, there are no standard procedures to quantify the durability of superhydrophobic/icephobic surfaces. Fig. 9 shows the most common characterization techniques employed to quantify mechanical and UV durability of superhydrophobic/icephobic surfaces, including UV irradiation (Fig. 9a),^{68,89,100–108} tape adhesion test (Fig. 9b),^{64,68,72} sand impact/abrasion test (Fig. 9c),^{89,92} ice formation/ice removal cycles (Fig. 9d),⁵⁹ water jet/dripping test (Fig. 9e)^{15,68,109–112} and sandpaper abrasion test (Fig. 9f).^{89,92} A more complete summary of the common characterization techniques is listed in Table 3. In addition to the abovementioned tests, pencil hardness test,^{80,110,111,113–116} wipe test,^{73,106,117,118} ultra-sonication,^{64,80,107,119–122} solution/solvent immersion^{15,69,89,105–107,113,114,119,120,122–129} and thermal tests^{15,67,81,90,100,106,107,130} have also commonly been employed to characterize the durability of superhydrophobic/icephobic



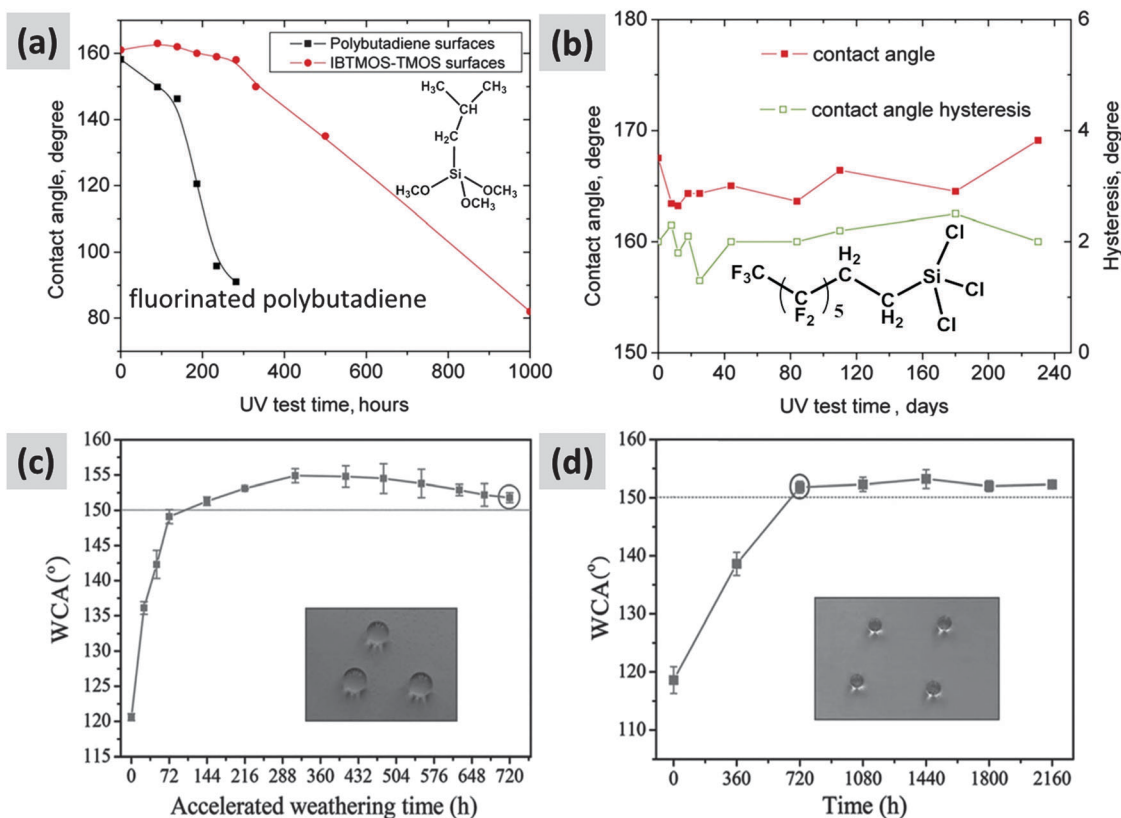


Fig. 8 UV durability test. (a) Black curve, fluorinated polybutadiene superhydrophobic surface, red curve, isobutylsilane modified silica superhydrophobic surface, (isobutyltrimethoxysilane/tetramethoxysilane composite, IBTMS-TMOS);¹⁰⁰ (b) perfluorosilane covered silica superhydrophobic surface;¹⁰⁰ (c) U-capsule based self-repairable superhydrophobic surface under accelerated weather condition (UV irradiation);⁶⁹ (d) U-capsule based self-repairable superhydrophobic surface under outdoor exposure condition.⁶⁹ (Insets are the water droplets on the coating panel after surfaces gained superhydrophobicity.) Figures reproduced from ref. 69 and 100 with permission from Wiley and Elsevier, respectively.

surfaces. As is apparent, most research groups have adopted individual and non-standardized conditions for their durability tests. Thus, care must be taken when judging and comparing the durability of the superhydrophobic/icephobic surfaces reported by different groups.

4 Approaches towards preparation of robust and scalable superhydrophobic/pagophobic surfaces

The previous sections have highlighted the multiplicity of distinct behaviors that are described as icephobicity in the literature and reported the proposed routes towards the preparation of durable superhydrophobic and/or pagophobic surfaces. The present section focuses on a discussion of the most scalable approaches towards the formation of durable superhydrophobic and/or icephobic surfaces. The first subsection reports various subtractive approaches towards the preparation of robust microstructures and/or nanostructures on large scale substrates. As discussed previously, a patterned rough topography is not appropriate for all kinds of pagophobicity and has been reported to increase the ice adhesion strength when frost formation occurs in high humidity test environments. Under these

conditions, smooth surfaces will be preferred. The second subsection, focuses on hydrophobization of both smooth and rough surfaces by an up-scalable chemical vapor deposition method.

4.1 Subtractive approaches towards the preparation of robust microstructures and/or nanostructures on large scale substrates

Among the different routes reported for the preparation of micro- and/or nano-structured surfaces, subtractive approaches can lead to the formation of robust features which are monolithic to the substrate. A number of subtractive methods have been developed for imparting texture to various surfaces to confer on them superhydrophobic and/or icephobic properties, *e.g.* laser ablation¹³¹ or plasma etching.¹³² Some of the developed processes are particularly suitable for texturing large scale surfaces.

4.1.1 Chemical etching. Amongst the up-scalable subtractive texturing processes, chemical etching, including electrochemical etching, is the most widely cited method. The immersion of metallic materials (*e.g.* iron, aluminum, copper and their alloys) into strongly oxidizing aqueous solutions can readily lead to formation of robust micro-rough or hierarchically micro/nano-rough surface morphologies without the need of a mask. Stainless steel, notably, can be immersed for tens of minutes in aqueous solutions of FeCl_3 to generate hierarchical



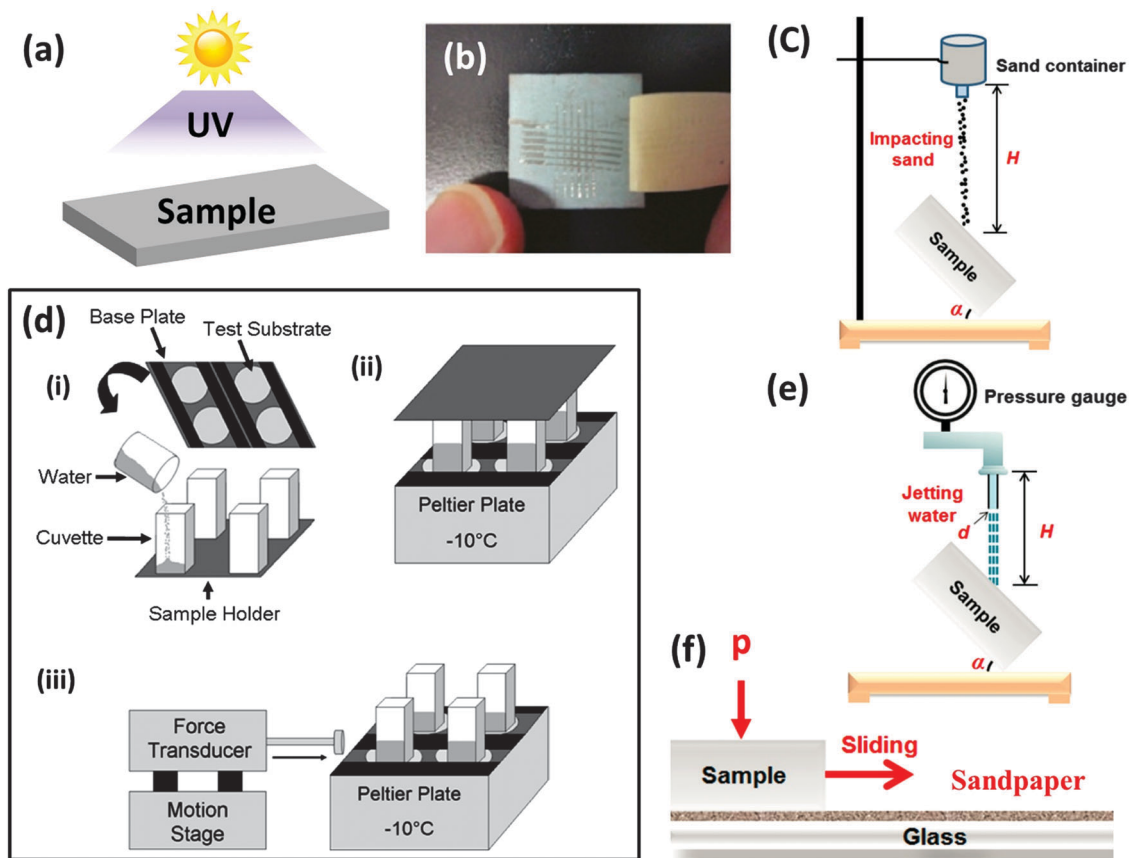


Fig. 9 Common methods in use to quantify the durability of the superhydrophobic/icephobic surfaces. (a) UV irradiation, (b) tape adhesion test,¹²⁷ (c) sand impact test,¹¹² (d) ice formation/ice removal cycles³ (e) water jet/dripping test¹¹² and (f) sandpaper abrasion measurement.¹¹² Other common durability tests are immersion in salt solution or organic solvent, ultra-sonication, pencil hardness test, wipe test, and thermal treatment. (Not shown in the figure) Figures reproduced from ref. 3, 112 and 127 with permission from ACS, Elsevier, and ACS, respectively.

micro/nano-roughnesses that can be further hydrophobized to obtain superhydrophobic surfaces that can efficiently prevent snow accumulation (Fig. 10a and d).⁶⁴ Boinovich *et al.* highlighted the durability of the superhydrophobic state of such surfaces and they can sustain up to a hundred icing/deicing cycles.⁶⁴ In addition, Wang *et al.*,¹³³ who prepared rough steel surfaces using HCl or HNO₃ combined with H₂O₂, showed that abrasion by 400 grid SiC sandpaper that was translated 110 cm across the surfaces under 16 kPa gravity-induced pressure has almost no impact on the WCA. Aluminum alloys, which are also widely used in outdoors applications, have been roughened in aqueous solutions of CuCl₂¹⁵ or HF and HCl.^{8,11} The resulting superhydrophobic and pagophobic surfaces (where the ice-phobicity is based on freezing rain, supercooled droplet rebound, supercooling of static droplets and reduced ice adhesion) show good stability against the stresses induced by water-drop impact and sand-impact abrasion. Other common metallic surfaces can be similarly roughened to render them superhydrophobic, *e.g.* copper etched by a solution of NaClO and NaOH for 20 minutes.¹³⁴

Electrochemical etching for durations in excess of one hour in H₂SO₄, C₂H₂O₄ and C₃H₈O₃ solution⁸ for aluminum or in H₃PO₄ for aluminum¹³⁵ and brass^{136,137} have also been

reported to produce robust structures that give rise to pagophobic properties after their hydrophobization. Silicon has also been electrochemically etched in solution of AgNO₃ and HF to form densely distributed vertical silicon pillars that led to superhydrophobicity after hydrophobization.¹³⁸

The chemical etching approach is not specific to metals and has also been impressively transposed to textiles in order to make them superhydrophobic.¹⁵ Poly(ethylene terephthalate) (PET) textiles dipped for 10 minutes in a NaOH solution did exhibit WCA above 160° after hydrophobization. Interestingly, superhydrophobicity was retained even after the conventional transfer printing step used to color the textiles. More importantly, the superhydrophobicity was not affected by subsequent laundering and UV-irradiation. Severe abrasion tests using a friction instrument for textiles,¹³⁹ even when leading to the rupture of several textile fibers, barely influenced the WCA. However, after 500 abrasion cycles, the change of the WCA and CAH to 60° and 25°, respectively, indicated a progressive switch from a Cassie–Baxter state to Wenzel-state.¹⁴⁰

4.1.2 Mechanical abrasion. While mechanical abrasion, *e.g.* sand blasting, mechanical working or machining, rarely produces hierarchical micro/nano binary morphologies, it can rapidly lead to the formation of robust primary micro-structures



Table 3 A summary of common durability characterization techniques

Techniques	Adopted non-standard operation conditions	Standards	Ref.
UV irradiation	Wavelength: typically 320 nm to 400 nm in the UVA range (e.g., 365 nm, 340 nm and 325 nm), but 254 nm (UVC) also used Intensity: several mW cm^{-2} to <i>ca.</i> 100 mW cm^{-2} Irradiation time: several hours to thousands hours Working temperature: room temperature to 60°C	ASTM D4329	68, 89, 100–108
Tape adhesion test	Tapes: Scotch 810 Magic Tape, Scotch 600 tape Applied pressure: typical pressure 10 kPa (up to 130 kPa)	ASTM D3359-02, ASEM D3359-09, EN ISO 2409	64, 68, 72
Sandpaper abrasion test	Sandpaper grade: from M20 (14 to $20 \mu\text{m}$ in particle diameter) to 400 grit (<i>ca.</i> $35 \mu\text{m}$ in particle diameter) Applied pressure: typically 10 kPa or less (up to 20 kPa) Speed: typically 5 cm s^{-1} or less (up to 20 cm s^{-1}) Distance: typically 1 m	N/A	40, 68
Sand impacting/abrasion test	Sand particle size: typically 100 to $300 \mu\text{m}$ Height: typically 25 to 40 cm. Amount: typically 10 g to 100 g Sample angle: 45 degree. Duration: typically 1 min to 15 min	N/A	15, 67, 81, 89, 90, 94, 98, 111, 112, 114, 117
Water jet/dripping test	Droplet size: $22 \mu\text{L}$ or $100 \mu\text{L}$ per drop Height: 30 cm to 50 cm Duration: 3 h to 90 h at a dripping speed of <i>ca.</i> 1 drop per s	N/A	15, 68, 109–112
Pencil hardness test	N/A	ASTM D3363ISO 15184	80, 110, 111, 113–116
Wipe test	Wipe materials: fiber cloth or ITW texwipe TX 1112 Pressure: 4.5 kPa or 3.5 kPa	N/A	73, 106, 117, 118
Ultra-sonication	Frequency: typically 35 kHz; Power: typically 55 W Time: 10 min to 14 h; Solvent: water or ethanol Temperature: room temperature or 50°C	N/A	64, 80, 107, 119–122
Solution/solvent immersion test	Aqueous solutions: pure water, 3.5 wt% or 5 wt% NaCl in water, pH 0 to 14, 5.0 wt% KMnO_4 , hot or cold Organic solvents: THF, DMF, ethanol, acetone, isopropanol, toluene, hexane and CHCl_3	N/A	15, 69, 89, 105–107, 113, 114, 119, 120, 122–129
Ice formation/ice removal	By mechanical removal, or by melting	N/A	59
Thermal test	Environment: air Temperature: superhydrophobicity sustained up to <i>ca.</i> 400°C	N/A	15, 67, 81, 90, 100, 106, 107, 130

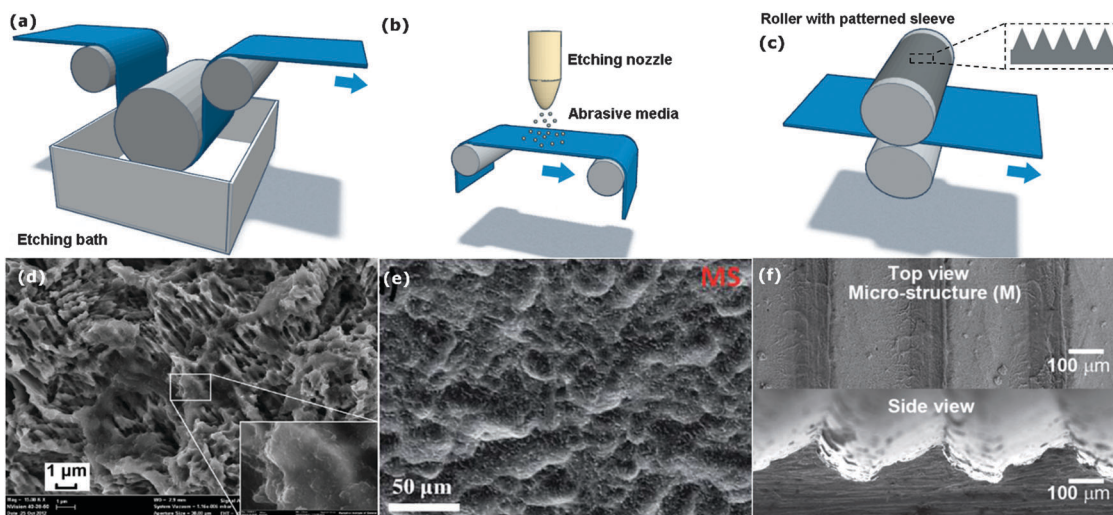


Fig. 10 Schematic diagrams of roll-to-roll (a) chemical etching, (b) sandblasting or abrasive etching and (c) mechanical machining processes. SEM images of the resulting microstructured topographies of icephobic surfaces prepared by (d) chemical etching of stainless steel using FeCl_3 for preventing snow accumulation⁶⁴ (e) sand-blasting of titanium alloy for delayed icing, reduction of ice adhesion strength, and bouncing of supercooled water droplets¹⁴⁴ and (f) mechanical machining of stainless steel for delayed icing and bouncing of supercooled water droplets.¹⁴⁵ Figures reproduced from ref. 64, 144 and 145 with permission from ACS, RSC, and Wiley, respectively.

that can be further coated by hydrophobic and nano-rough thin films. In addition to significantly shorter processing times, (*i.e.* seconds instead of the tens of minutes required in

chemical etching), mechanical abrasion does not involve large quantities of potentially toxic chemicals. Even in the case where mechanical abrasion is subsequently followed by a nano-roughening



method such as chemical etching,^{141,142} there is still a benefit as the time and volume of chemicals required to develop just the nanostructures by chemical etching is significantly reduced when compared to the time required for developing both micro- and nano-structures. Indeed, Ohkubo *et al.* perfectly illustrated the benefit of a multi-process approach as they used sand-blasting to create micro-roughness on the surface of aluminum substrates followed by a 60 second electrochemical etching treatment to produce nano-texture.¹⁴³ This contrasts with chemical etching exclusively, which typically requires tens of minutes. Shen *et al.* also employed the sand-blasting technique to produce micro-texture on the surface of a titanium alloy commonly used in aircraft (Fig. 10b and e).¹⁴⁴ The addition of appropriate nano-structures and hydrophobization of the microrough titanium alloy surface increased the freezing time from 12 seconds to 750 seconds and reduced the ice adhesion strength measured at $-10\text{ }^{\circ}\text{C}$ from 760 kPa to 80 kPa. Mechanical working (*e.g.* abrasion) is also suitable to enable rapid formation of microstructures such as those illustrated by Guo *et al.*¹⁴⁵ They roughened a stainless steel surface by mechanical machining followed by zinc oxide nanohair implantation (Fig. 10c and f). Similar to the previous example, longer delay times (*i.e.* up to 2 hours) were observed before ice formation at $-10\text{ }^{\circ}\text{C}$.¹⁴⁵

4.1.3 Topography transfer. Another approach to simply produce rough surfaces on a large scale is the transfer of topography. Polymer embossing or lamination, which is already widely used in industrial production lines, is a very convenient method for micro- and nano-structuring.^{146,147} In addition, it does not involve the use of solvents or unfriendly chemicals, providing an environmentally-friendly and economically viable route towards the preparation of large textured surfaces. Often combined with a second method that generates finer scale nanostructures,^{148–151} it can also be used on its own to create hierarchical micro/nano-roughness. As an example, low density polyethylene (PE) foils can be laminated against woven wire mesh templates at $105\text{ }^{\circ}\text{C}$.¹⁵² Due to the hydrophobic chemistry of PE, subsequent hydrophobization of the highly textured surface is not even required. The resulting surfaces, with WCA of 160° and WSA of 5° , exhibited outstanding chemical and mechanical stability. Notably, the surfaces were shown to remain superhydrophobic after more than 5500 abrasion cycles at a pressure of 32 kPa. Similarly, cold-rolled metallic foils are used to transfer a topography from the rolls to substrates. This transfer is also influenced by the processing speed (up to hundreds of meters per minute), as well as the lubrication and tribological conditions, but can be easily controlled to obtain a robust primary structure.¹⁵³ After hydrophobization with a low surface energy polymer coating that can also prevent corrosion,¹⁵⁴ the cold-rolled aluminum foils exhibited WCA above 150° over wide pH range.¹⁵⁵

4.1.4 Other approaches. Cold-rolled lamination is not the only industrial forming process during which the surface morphology can be tuned from the perspective of superhydrophobic and icephobic properties. Notably, the surface topography of electrodeposited metals can be controlled through the deposition parameters and the bath composition loaded with organic additives.¹⁵⁶ The resulting metallic surfaces with asperities that are monolithic to the bulk material can be further hydrophobized.

Following such a strategy, copper, which is widely used for outdoor decorative applications,¹⁵⁶ was found to exhibit WCA up to 170° and CAH of 5° .¹⁵³

Another common industrial process through which the targeted surface topography can be directly produced during the forming step is the electrospinning of fabrics. Ma *et al.* have prepared poly(caprolactone) (PCL) mats with the desired morphology by selecting an appropriate polymeric fluid composition and operating parameters during electrospinning.¹⁵⁷ The inherent surface roughness of the PCL electrospun mats coated with a low surface energy polymer produce stable superhydrophobic nonwoven fabrics with WCA as high as 175° .

4.2 iCVD hydrophobization of smooth and rough surfaces

Unless the substrate materials that are deposited or patterned intrinsically possess a hydrophobic chemistry (*e.g.* laminated PE), hydrophobization is a subsequent process that is required, and it can be achieved by many different methods.^{158,159} Among them, chemical vapor deposition (CVD) methods provide all-dry and up-scalable routes for the deposition of both smooth or nanostructured low surface energy materials. Most of the CVD methods, *e.g.* atmospheric^{41,160} and low-pressure¹⁶¹ CVD involving vapors or aerosol¹⁶² of the monomer compounds and possibly assisted by plasma,^{135,138,153,155} flame¹⁶³ or hot filaments,^{59,164} have been successfully investigated for the hydrophobization of various substrate materials. However, the present section will solely focus on the initiated CVD (iCVD) approach that is compatible with roll-to-roll processes¹⁶⁵ and fabrication of large scale surfaces.³⁷

iCVD is a free-radical polymerization method that, similarly to solution-based approaches, begins with the decomposition of an initiator agent into radical species.³⁷ In iCVD, the initiator's labile bond, *e.g.* the O–O bond in peroxides, is thermally cleaved in the gas phase thanks to heating filaments. The most common initiators used in iCVD include *tert*-butyl peroxide (TBPO),¹⁶⁶ *tert*-butyl peroxybenzoate (TBPOB),¹⁶⁷ *tert*-amyl peroxide (TAPO),¹⁶⁸ perfluoro-1-butanefluoronyl fluoride (PBSF)^{169,170} and perfluorooctanesulfonyl fluoride (PFOS).¹⁷¹ The radicals formed subsequently initiate chain-growth polymerization of the monomers adsorbed at the surface of the substrate. Among the large number of monomers investigated, perfluorodecylacrylate (PFDA),^{166,172–174} heptadecafluorodecylmethacrylate (HFDMA),¹⁷⁵ perfluoroalkylethylmethacrylate (PFEMA)¹⁵⁷ and hexafluoropropylene oxide (HFPO)^{169,170} are particularly important for development of low surface energy polymers.

4.2.1 Substrate independence and conformality. Since the initiator agents are cleaved by heating filaments that are located remotely from the surface to be coated, iCVD does not require heating of the substrate material. Additionally, iCVD does not require the use of solvent, making iCVD a substrate-independent and solvent-less process.³⁷ Another significant asset of iCVD is its ability to form conformal coatings, which precisely follow the topography of the underlying substrates. This conformal behavior, often hard to ensure through solution-based approaches, is particularly attractive for the hydrophobization of textured



substrate materials. Indeed, planarization of the underlying micro- and/or nano-structured surfaces has to be prevented in order to achieve superhydrophobic and pagophobic properties. An illustration of the conformal behavior of iCVD is given in Fig. 11 where a carbon nanotube (CNT) forest is coated with hydrophobic poly(tetrafluoroethylene) (PTFE).¹⁶⁹ Hydrophobization of the CNT forest induces a WCA increase from 84° to 161° , while the only noticeable CNT morphology change was an increase in diameter along their $2\ \mu\text{m}$ height. The iCVD of fluorocarbon polymers has also been successfully applied to various porous substrates, including nonwoven fabrics^{157,174} or mesh and filter paper,¹⁷⁵ to confer on them superhydrophobic properties with no alteration of their porosity. More impressively, iCVD of PFDA has also been reported to uniformly coat the nanometer size pores of a $10\ \mu\text{m}$ thick membrane.¹⁷²

4.2.2 Nanoscale texturing. While iCVD is a convenient method to preserve the hierarchical structure of micro- and nanostructured surfaces, it can also be used to confer a hydrophobic secondary nanostructure to a microrough substrate. Laird *et al.* have highlighted the possibility to control polymer chain orientation and its nanoscale roughness through substrate-induced crystallization.¹⁷⁰ Notably, CNTs have been demonstrated to serve as nucleation sites onto which PTFE crystallizes and forms $40\ \text{nm}$ diameter lamellae. Im *et al.* have further reported that the crystallinity of iCVD deposited fluorocarbon polymers is also influenced by the substrate temperature; they have grown nanoscale crystalline aggregates of poly(perfluorodecylacrylate) (PPFDA) on micro-structured fabric, as evidenced by SEM and AFM images (Fig. 12).¹⁷⁴ The resulting hierarchical structure was shown to repel water and exhibited a WCA of 154° and CAH of 2.5° . To ensure the substrate independence of this enhancement in crystallinity order, Coclite *et al.* have investigated a grafting approach using vinyl groups covalently bonded to the substrate.¹⁷³ Grafted iCVD PPFDA coatings showed a fiber-like texturing with a preference for a lamellar structure oriented parallel to the substrate with the fluorinated groups oriented perpendicular to the surface. In the case where very smooth and low surface energy surfaces are of interest, such as in high relative humidity environments where frost can form, the copolymerization of two monomers can be employed to disrupt crystallization. PFDA has notably been copolymerized with hydroxyethylmethacrylate (HEMA)¹⁷⁶ and divinylbenzene (DVB)⁸⁵ in order to form smoother surfaces.

4.2.3 Robustness and durability. Another highly desirable aspect of iCVD lies in its potential to enhance the chemical and mechanical stability of deposited hydrophobic coatings thanks to a grafting step, multilayer stacking or copolymerization with a crosslinking monomer. O'Shaughnessy *et al.* have shown that the iCVD of 1,3,5-trivinyl-1,3,5-trimethyl-cyclotrisiloxane (V3D3) readily leads to deposition of a densely crosslinked PV3D3 matrix with hydrophobic pendant methyl groups. The chemical stability the PV3D3 coatings in a simulated biological environment was further demonstrated for a period in excess of 3 years.^{177,178} Im *et al.* combined the stability of highly crosslinked poly(1,3,5,7-tetravinyl-1,3,5,7-tetramethylcyclotetrasiloxane) (PV4D4) and the hydrophobicity of PPFDA layers to form robust superhydrophobic

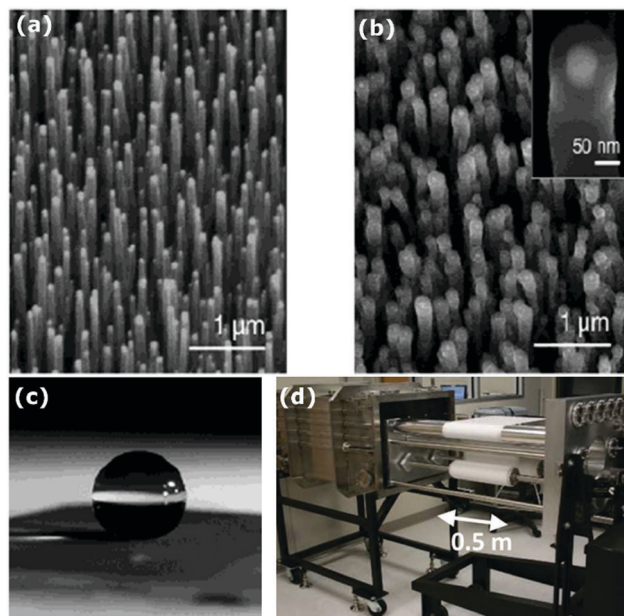


Fig. 11 SEM images of (a) as-grown carbon nanotube forest, (b) a carbon nanotube forest conformally coated by a $50\ \text{nm}$ thick PTFE layer deposited by iCVD, and (c) a spherical water droplet on the PTFE-coated carbon nanotube forest.¹⁶⁹ (d) Schematic of a roll-to-roll iCVD system for the hydrophobization of large scale substrates. Figures are reproduced from ref. 169 with permission from ACS.

fabrics.¹⁷⁴ Fabrics coated with stacked PV4D4 and PPFDA layers exhibited a WCA greater than 150° , even after 41 days immersion in various organic solvents, *i.e.* acetone, ethanol, toluene, tetrahydrofuran and isopropyl alcohol. Exposure to strong acid (H_2SO_4 water solution, $\text{pH} = 2$), strong base (KOH water solution, $\text{pH} = 12$) and strong oxidant (H_2O_2) overnight did not affect the WCA or the CAH, which remained greater than 150° and lower than 10° , respectively. In addition, the water repellency of the iCVD coated fabrics remained unchanged after prolonged sonication (for 41 days), exposure to UV and thermal tests (in the range of -16°C and $+120^\circ\text{C}$). The iCVD coated fabric also maintained its superhydrophobic character after 20 000 abrasion cycles and 75 laundry cycles.

The bilayer approach employed by Sojoudi *et al.* has built on these studies to develop durable surfaces for ice adhesion reduction.⁵⁹ In the first step of their iCVD process, radicals were formed on the surface of the substrate in order to provide anchoring points for a divinyl benzene (DVB) monomer that covalently binds to the substrate. The highly crosslinked poly(divinyl benzene) (PDVB) layer exhibits pendant vinyl groups to which a second layer made of PPFDA covalently bonded. The benefit of such an approach was highlighted by nanomechanical measurements that revealed an enhancement in both the elastic modulus and the hardness of the films composed of the bilayer structure. In addition, the grafted bilayer coatings did not undergo delamination upon scratching. Finally, the strength of ice adhesion to the substrates was reduced more than six-fold when coated with PDVB/PPFDA grafted layers, highlighting the potential of iCVD for pagophobic applications.



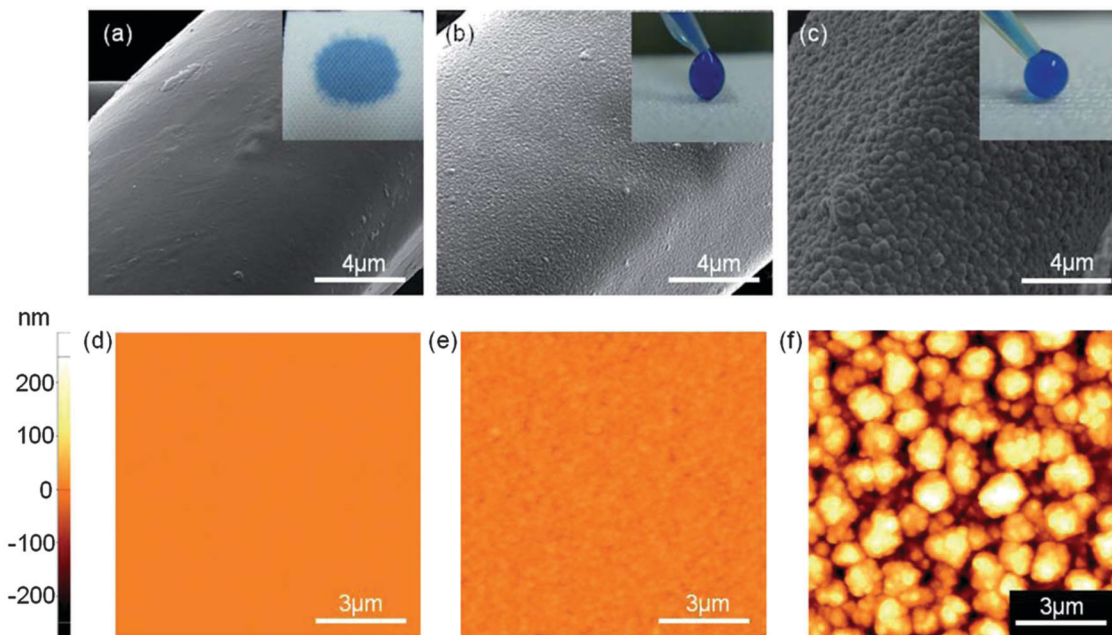


Fig. 12 SEM and AFM images of (a & d) a non-coated polyester fabric surface, (b & e) a slightly rough PV4D4/PPFDA stacked polymers iCVD-coated fabric surface and (c & f) a rougher surface of the PV4D4/PPFDA stacked polymer iCVD-coated fabric surface. Inset images show water droplets on the respective surfaces.¹⁷⁴ Figures are reproduced from ref. 174 with permission from RSC.

5 Conclusions

Many operability, durability, and scalability challenges need to be addressed in order to enable the practical use of pagophobic coatings. Icephobic surfaces must perform well in various operating conditions including high humidity, very low temperatures, high velocity, severe mechanical/chemical/radiation conditions, and during impact with high speed water droplets. Ice formation mechanisms vary widely and the differing constraints of each mode must be factored in while designing and characterizing various coatings. We have categorized and critically discussed recent development on pagophobic surfaces highlighting those which have also shown promise in their durability and scalability. Additional design challenges for icephobic materials include preservation of the initial characteristics in terms of surface hydrophobicity, despite prolonged exposure to severe wear mechanisms such as erosion and corrosion. In addition for aeronautic and wind turbine applications, the surfaces must also be tested in a realistic and dynamic environment such as inside an icing tunnel at high enough velocities, analogous to the conditions encountered during operation.

Acknowledgements

This work was supported by Kuwait-MIT Center for Natural Resources and the Environment (CNRE), which was funded by Kuwait Foundation for the Advancement of Sciences (KFAS). The authors acknowledge support from the MIT-Chevron university partnership program. This work was partially supported by the MIT Institute for Solider Nanotechnologies under Contract DAAD-19-02D-002 with the U.S. Army Research Office.

NDB is particularly grateful to the “Fonds National de la Recherche” (FNR) of Luxembourg for supporting his visiting scientist position within the Gleason group (SENSi project).

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