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Evidence for the encounter complex in frustrated Lewis pair chemistry

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Frustrated Lewis Pairs (FLPs) are combinations of bulky Lewis acids and bases that can carry out small-molecule activation and catalysis. Mechanistically, the reaction of the acid, base and substrate involves the collision of three distinct molecules, and so the pre-association of the acid and base to form an encounter complex has been proposed. This article will examine the evidence for the formation of this encounter complex, focusing on the archetypal main-group combinations $P(tBu)_3/B(C_6F_5)_3$ and $PMes_3/B(C_6F_5)_3$ (Mes = mesityl), and includes quantum chemical calculations, molecular dynamics simulations, NMR spectroscopic measurements and neutron scattering. Furthermore, the recent discovery that the associated acid and base can absorb a photon to promote single-electron transfer has enabled the encounter complex to also be studied by UV-Vis spectroscopy, EPR spectroscopy, transient absorption spectroscopy, and resonance Raman spectroscopy. These data all support the notion that the encounter complex is only weakly held together and in low concentration in solution. The insights that these studies provide underpin the exciting transformations that can be promoted by FLPs. Finally, some observations and unanswered questions are provided to prompt further study in this field.

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Introduction

Combinations of a Lewis acid and a Lewis base typically form adducts *via* a dative bond, as described by Gilbert Lewis in his seminal work almost 100 years ago.¹ Several exceptions to this general rule have been found in the intervening years. In 1942, Brown and co-workers observed that 2,6-lutidine and BMe_3 do not form a Lewis adduct due to “steric interference”,² and there are subsequent examples of non-quenching pairs of acids and bases reacting with unsaturated substrates.^{3,4} However, it was the discovery by Stephan and co-workers in 2006 of a molecule containing discrete Lewis acidic and basic sites that could reversibly activate dihydrogen that demonstrated the true potential of these systems.⁵ The following year, the term “Frustrated Lewis Pair” (FLP) was coined⁶ to describe this general concept of a combination of a bulky Lewis acid and Lewis base that is sterically precluded from forming a Lewis adduct.⁷ The unquenched reactive acidic and basic sites in FLPs have been exploited for a wide range of small-molecule activation and catalysis, and this concept has inspired research groups around the world to explore metal-free approaches to reactions that were once considered the preserve of transition metal complexes.^{8–13} These reactions include the capture of environmentally relevant small molecules such as CO_2 , N_2O , and SO_2 ;^{14–17} the catalytic hydrogenation of unsaturated organic substrates;^{18–20} and C–H activation.²¹

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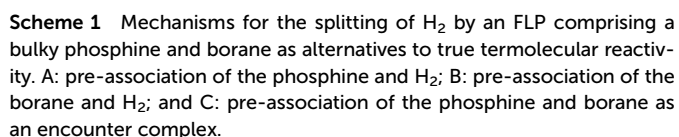
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Andy obtained his Ph.D. (2012–2016) from the University of Oxford under the supervision of Prof. Jose Goicoechea, working on phosphorus analogues of the cyanate anion and urea. He subsequently carried out a Banting Postdoctoral Fellowship with Prof. Doug Stephan at the University of Toronto (2016–2018), working on frustrated Lewis pairs (FLPs) and the functionalisation of CO_2 . In 2018, he became an NWO VENI

laureate at the University of Amsterdam, working with Prof. Chris Slootweg on the formation of main-group radicals in FLP systems. In 2020, he launched his independent career as a Birmingham Fellow at the University of Birmingham (UK), working on small-molecule activation and molecular photo-switches. He started a Royal Society University Research Fellowship in January 2021.

The Lewis base can theoretically interact with the substrate (typified by H_2 in Scheme 1A), and phosphine... H_2 interactions have been postulated in an argon matrix.²⁶ However, for the case of $\text{P}^t(\text{Bu})_3$ with H_2 , the interaction is computed to be repulsive in the chemically relevant range.²⁷ For certain small-molecule substrates like CO_2 , the initial base...substrate interaction is more of a possibility, and strong Lewis bases such as N-heterocyclic carbenes and imidazolin-2-ylidenamino-phosphines have been shown to bind CO_2 .^{28–31} Scheme 1B shows the next alternative, where the substrate is initially bound to the Lewis acid. This pathway is well established for certain substrates; for example, the $\text{B}(\text{C}_6\text{F}_5)_3$ -catalysed hydrosilylation of aromatic ketones, aldehydes and esters by Piers and co-workers,³² where the borane activates the silane moiety,³³ is regarded as an early example of FLP chemistry.³⁴ Furthermore, an alkene...borane adduct has been observed at low temperature as an intermediate in FLP activation,³⁵ and the zwitterionic adduct of $\text{B}(\text{C}_6\text{F}_5)_3$ with an alkyne was structurally characterised recently.³⁶ Regarding dihydrogen as the substrate, H_2 has been shown to bind to BH_3 in an argon matrix;³⁷ $\text{HB}(\text{C}_6\text{F}_5)_2$ can undergo σ -bond metathesis with H_2 ;³⁸ and antiaro-

However, this article will focus on the computational and experimental evidence for the presence of the encounter complex between discrete Lewis acids and bases to explain the termolecular reactivity of FLPs. There are a very large number of possible Lewis acids and bases; there have been interesting studies looking at N-heterocyclic carbene/borane combinations, although a large proportion of these systems either form a normal Lewis adduct or undergo a range of decomposition pathways *via* C–H or C–F activation, which limits the possibility of studying the encounter complexes in these systems experimentally.^{53–55} Recently, the trioxatriangulenium ion was explored as a carbon-centred Lewis acid in FLP chem-



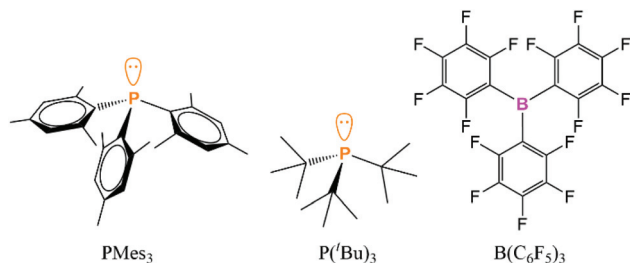


Fig. 1 The phosphines and borane in the prototypical encounter complexes that are the focus of this article.

istry, and the association with different phosphines was probed.⁵⁶ To focus the discussion and explore the evidence for the encounter complexes in more detail, the FLPs in this article will be limited to $P(tBu)_3/B(C_6F_5)_3$ or $PMes_3/B(C_6F_5)_3$ (Fig. 1), as these are the most commonly used FLPs and have significance in a wide range of catalytic applications.

Computational models of the encounter complex

The original research into the encounter complex was computational in nature. A seminal report from Pápai and co-workers first suggested the formation of the encounter complex in FLP chemistry.²⁷ They identified a weakly associated $[P(tBu)_3] \cdots [B(C_6F_5)_3]$ adduct as a minimum on the potential energy surface, using both B3LYP and SCS-MP2 methods. There was no evidence of charge transfer from the phosphine lone pair into the vacant orbital on boron, as shown by the planarity of the central BC_3 unit in $B(C_6F_5)_3$. Furthermore, the $P \cdots B$ distance at the energetic minimum was 4.2 Å (for the SCS-MP2 calculation); for comparison, the sum of the covalent radii would predict a P–B bond length of 1.96 Å in a Lewis adduct.⁵⁷ Instead, the adduct is stabilised by a combination of multiple C–H \cdots F non-covalent interactions, and in the absence of any solvent modelling the association energy was calculated as $\Delta E_{\text{assoc}} = -11.5 \text{ kcal mol}^{-1}$. The nature of the encounter complex being stabilised by many weak dispersion interactions means that there is a degree of structural flexibility, and it was shown that large changes in the $P \cdots B$ distance can be achieved at a relatively small energetic cost.

The significance of dispersion interactions to stabilise the encounter complex was also supported by a number of other studies.^{55,58,59} Note that there are contrasting theories for the mechanism of the activation of dihydrogen by the FLP.⁶⁰ Pápai proposed an electron transfer mechanism based on synergistic interactions of the donor and acceptor orbitals on the base and acid with the acceptor and donor orbitals on dihydrogen, respectively,^{27,61} whereas Grimme has proposed an electric field model, where the H–H bond is polarised by a strong electric field generated between the donor/acceptor atoms.^{58,62} A more recent publication has sought to unify these two mechanisms,⁶³ and very recently, Fernández and co-workers have

explored the activation strain model-energy decomposition analysis as a tool to probe reactivity in FLPs.^{64,65} Crucial to these theories is the formation of the pre-organised encounter complex stabilised by weak dispersion interactions with a reactive “pocket” available for the small-molecule substrate to be activated.

A further computational study by Vankova and co-workers corroborated the formation of the encounter complex is energetically favourable, with an average association energy across a range of systems of $\Delta E_{\text{assoc}} = -10 \text{ kcal mol}^{-1}$.⁶⁶ Incorporating solvent effects (toluene) using a polarisable continuum model led to only small changes in the association energy (less than 1 kcal mol^{-1}). However, the favourable electronic interactions in the encounter complex are counterbalanced by the entropic cost of adduct formation. Entropy is the dominant factor at room temperature, and the formation of the encounter complex is endergonic ($\Delta G_{\text{assoc}} = +5 \pm 2 \text{ kcal mol}^{-1}$), which is consistent with the difficulty in observing these species in the laboratory.⁶⁶

To move beyond the static computational models used in quantum chemical calculations, Pápai and co-workers used molecular dynamics (MD) simulations to probe the encounter complex of $P(tBu)_3/B(C_6F_5)_3$ in toluene.⁶⁷ The model system comprised one borane, one phosphine, and 1011 toluene molecules in a periodically repeated cell, which represents a relatively dilute solution compared to a typical experimental set-up. The Helmholtz free energy curve (see $F(r)$ in Fig. 2) showed that the formation of the encounter complex is disfavoured; the structures with a $P \cdots B$ distance in the chemically useful range of 4.2–5.6 Å were approximately 1.2 kcal mol^{-1} higher in energy than the dissociation limit. The probability of finding a configuration with a $P \cdots B$ distance of less than 6 Å (see $C(r)$ curve in Fig. 2 for cumulative probability of $P(r)$) was calculated to be roughly 2%, and those configurations featuring the optimal $P \cdots B$ distance of 4.5 Å were only present 0.5% of the time.

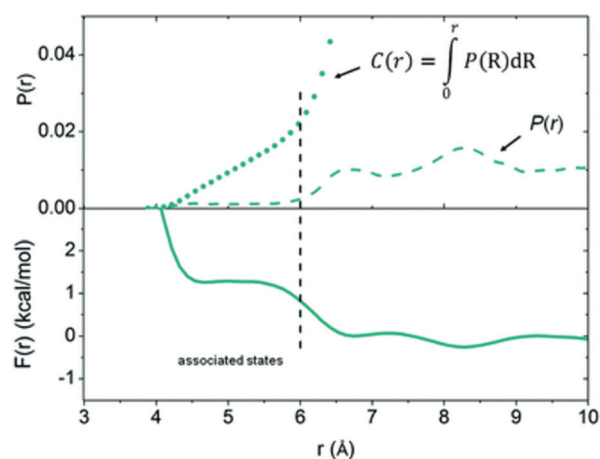


Fig. 2 Free energy curve, $F(r)$, and probability distribution, $P(r)$, computed from MD simulations of $P(tBu)_3/B(C_6F_5)_3$ in toluene. Reproduced from ref. 67 with permission from the Royal Society of Chemistry.



Experimental evidence for the encounter complex

Initial observation attempts

The weak stabilisation of the encounter complex in solution hampered early attempts to observe this species experimentally. It was reported that equimolar benzene or toluene solutions of $P(tBu)_3/B(C_6F_5)_3$ or $PMes_3/B(C_6F_5)_3$ gave no indication of any interactions by 1H , ^{11}B , ^{19}F , or ^{31}P NMR spectroscopy, and in each case the NMR spectra for the FLP looked the same as those of the individual components.^{7,68} Furthermore, isothermal reaction calorimetry performed by Houghton and Autrey revealed that no appreciable heat was produced on mixing $PMes_3$ and $B(C_6F_5)_3$ in dichloromethane.⁶⁹ This detailed calorimetric study concluded that the activation of H_2 by this FLP is best modelled as a termolecular reaction with a rate-determining step of assembling the reactants into the solvent cage in the correct configuration.

A further complication for exploring the encounter complex experimentally is that for one of the FLP combinations that we are discussing, $P(tBu)_3/B(C_6F_5)_3$, there is a competing side-reaction between the two components to form the ion pair $[HP(tBu)_3][B(C_6F_5)_3]$ and the intramolecular species $(tBu)_2P(C_6F_5)B(C_6F_5)_2$ with elimination of isobutylene (Scheme 2).⁷⁰ However, despite these hurdles, there have been some recent breakthroughs in the characterisation of the encounter complex that will be explored below.

NMR spectroscopy

The first report with unequivocal experimental evidence in support of the encounter complex was a comprehensive NMR spectroscopic study by Rocchigiani *et al.*⁶⁸ They performed ^{19}F , 1H HOESY (Heteronuclear Overhauser Enhancement Spectroscopy) experiments on concentrated (220–230 mM) samples of $P(tBu)_3/B(C_6F_5)_3$ or $PMes_3/B(C_6F_5)_3$ in benzene or toluene, and clear cross-peaks corresponding to H/F interactions could be observed (Fig. 3). Furthermore, addition of a substoichiometric amount of $B(C_6F_5)_3$ to a concentrated solution of $PMes_3$ (relative ratio phosphine:borane of 57:1) resulted in marginal shifts of the ^{19}F resonances relative to the free borane, and substantial line-broadening of the *para*-F. The temperature-dependent broadening of the ^{19}F NMR resonances of $B(C_6F_5)_3$ in the presence of excess $PMes_3$ at low temperature but not as the free borane is also consistent with aggregation of the phosphine and borane in solution.

The study also explored the relative orientation of the phosphine and borane in this aggregate to ascertain if there was

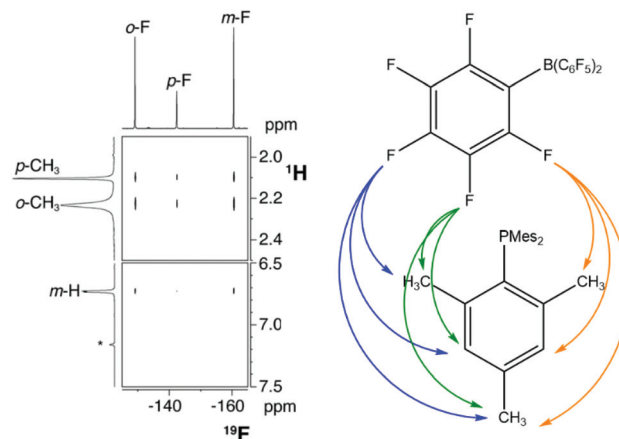


Fig. 3 ^{19}F , 1H HOESY NMR spectrum of $PMes_3/B(C_6F_5)_3$ in benzene- d_6 , showing cross-peaks arising from all fluorine and proton environments. Adapted with permission from ref. 68. Copyright 2014 American Chemical Society.

any preferred directionality. The kinetics of NOE build-up and a comparison of the relative strengths of the NOEs within $PMes_3/B(C_6F_5)_3$ with computational predictions strongly indicate that the two components have a random relative orientation. This result suggests that the aggregation of the acid and base in solution is dominated by intramolecular H/F interactions, and not due to donation of the phosphine lone pair into the vacant p orbital on the borane. This hypothesis was corroborated with computations that revealed there was only 1 kcal mol⁻¹ between the two limiting structures of $PMes_3/B(C_6F_5)_3$ shown in Fig. 4, and the two extremes were roughly equally likely to exist in solution. Grimme and co-workers carried out a comprehensive computational investigation to explore this further using state-of-the-art quantum chemistry methods, building on the NMR spectroscopic data, and extended the study to $P(tBu)_3/B(C_6F_5)_3$.⁷¹ The authors highlighted the importance of accurately modelling the dispersion interactions, and showed that for $PMes_3/B(C_6F_5)_3$ there is little energetic difference between the two extreme orientations depicted in Fig. 4 across a range of different methods, in agreement with experiment. However, for $P(tBu)_3/B(C_6F_5)_3$, the orientation labelled geometry a in Fig. 4 is energetically favoured by 3–5 kcal mol⁻¹ (or 1–2 kcal mol⁻¹ in free energies) compared to geometry b, indicating that the association is less

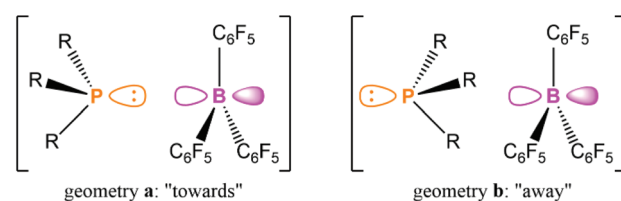
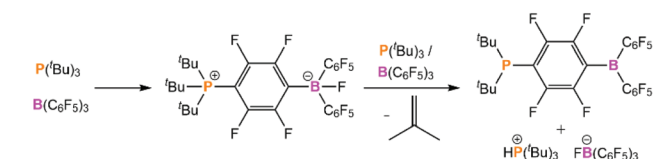


Fig. 4 The two limiting geometries for the association of a phosphine with $B(C_6F_5)_3$, with the P lone pair oriented "towards" or "away" from the p orbital on B.



Scheme 2 Possible side-reaction between $P(tBu)_3$ and $B(C_6F_5)_3$.



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D = electron-rich donor = Lewis base
A = electron-poor acceptor = Lewis acid

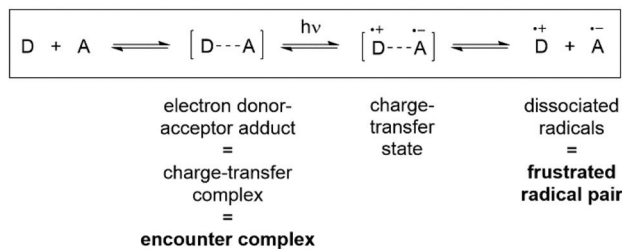


Fig. 6 Mulliken theory for electron transfer in electron donor–acceptor adducts, with analogous terms relevant to FLP chemistry highlighted in bold.

SET and afford the charge-transfer state $[\text{D}^{\bullet+}, \text{A}^{\bullet-}]$ (Fig. 6).^{85–87} Relating these concepts to FLPs, Lewis acids are acceptors, and Lewis bases are electron donors, and therefore the encounter complex that we have been discussing is simply another name for the electron donor–acceptor complex.

A toluene solution of $\text{PMes}_3/\text{B}(\text{C}_6\text{F}_5)_3$ is violet in colour, despite the individual components each being colourless in solution. This was noted in 2007 by Stephan, where they postulated that the colour arose from π -stacking of the aryl rings on the phosphine and borane.⁷ Then in 2017, it was postulated that the colour is due to a low concentration of the $[\text{PMes}_3]^{\bullet+}$ radical cation in equilibrium with the FLP.⁷⁶ In 2020, Slootweg and co-workers proposed the violet colour is actually due to a charge-transfer band, where the electron donor–acceptor complex (*i.e.* encounter complex) can absorb a photon in the visible region to promote SET from the phosphine to the borane.⁸³ This theory was supported computationally, as time-dependent density functional theory on the $\text{PMes}_3/\text{B}(\text{C}_6\text{F}_5)_3$ encounter complex revealed a band corresponding to this electronic transition. UV-Vis spectroscopy confirmed the presence of an absorption band at $\lambda = 534$ nm (Fig. 7a). Experimental verification that absorption of this band led to radical for-

mation was obtained by EPR spectroscopy and transient absorption spectroscopy (Fig. 7b and c). Irradiation of the sample at the appropriate wavelength gave characteristic signals of the radical pair, while the same signals were not present in the analogous experiments performed in the dark. The back-electron transfer to regenerate the neutral phosphine and borane was rapid, and the lifetime of this radical pair was only 237 ps. These same analyses were also carried out on the FLP $\text{P}^t(\text{Bu})_3/\text{B}(\text{C}_6\text{F}_5)_3$, which is pale yellow in toluene, and showed the presence of a new absorption band at $\lambda = 372$ nm. The $\text{P}^t(\text{Bu})_3/\text{B}(\text{C}_6\text{F}_5)_3$ samples were always freshly prepared and quickly analysed to mitigate the complication in this particular FLP system of the previously discussed side-reaction that occurs between the acid and base as much as possible (Scheme 2); Piers and co-workers have shown that the $(^t\text{Bu})_2\text{P}(\text{C}_6\text{F}_4)\text{B}(\text{C}_6\text{F}_5)_2$ product is also yellow.⁷⁰ Irradiation of this new absorption band also showed diagnostic signals corresponding to the radical pair in the EPR spectrum, and transient absorption spectroscopy revealed a lifetime of only 6 ps.

The charge transfer in $\text{PMes}_3/\text{B}(\text{C}_6\text{F}_5)_3$ was further studied by resonance Raman spectroscopy by Ando and co-workers.⁸⁸ Resonance Raman spectroscopy can provide information on vibrational modes that are associated with a particular electronic transition. The authors showed that the Raman spectrum of the FLP was the same as the superposition of the spectra of the individual components, consistent with the previous spectroscopic evidence that there is very limited interaction between the acid and base in solution. The resonance Raman spectrum of the FLP in CH_2Cl_2 (irradiated at $\lambda = 457$ nm) did show some enhancement of certain bands compared to the normal Raman spectrum, and as these vibrational modes were associated with both the borane and the phosphine, it was concluded that there must be some association of the two components in solution.

These spectroscopic measurements, namely UV-Vis, EPR, transient absorption, and resonance Raman spectroscopy, all arise from the charge transfer between the Lewis base and the

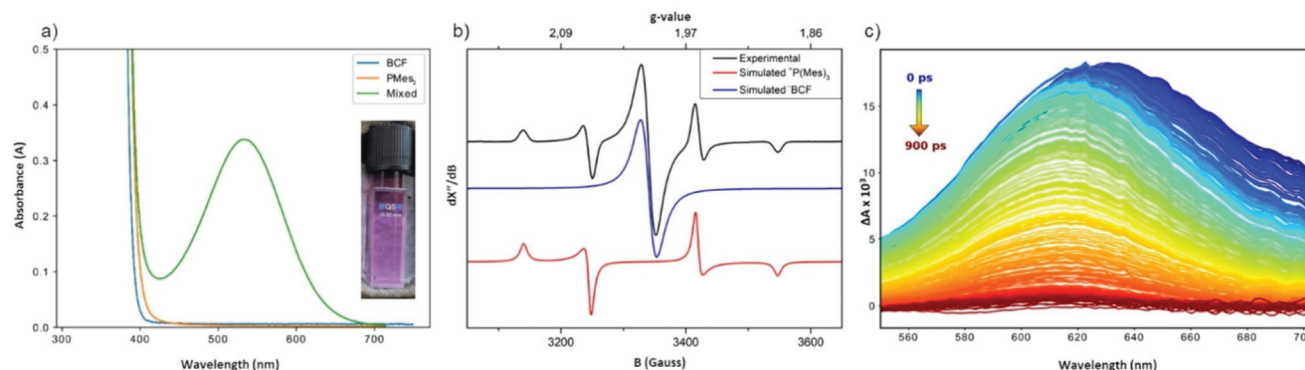


Fig. 7 Evidence for encounter complex of $\text{PMes}_3/\text{B}(\text{C}_6\text{F}_5)_3$ due to SET processes ($\text{B}(\text{C}_6\text{F}_5)_3$ is abbreviated as BCF in the figure above, as this is how it appears in the original article): (a) UV-Vis spectrum of toluene solution of $\text{PMes}_3/\text{B}(\text{C}_6\text{F}_5)_3$ compared to spectra of individual components; (b) experimental EPR spectrum of toluene solution of $\text{PMes}_3/\text{B}(\text{C}_6\text{F}_5)_3$ measured at 30 K during irradiation with visible light (390–500 nm) and simulated spectra of $[\text{PMes}_3]^{\bullet+}$ and $[\text{B}(\text{C}_6\text{F}_5)_3]^{\bullet-}$; (c) transient absorption spectra measured after pulsed excitation of $\text{PMes}_3/\text{B}(\text{C}_6\text{F}_5)_3$ with 530 nm light. Figure from ref. 83 used with permission from John Wiley and Sons. Copyright 2020 Wiley.



Lewis acid. These analytical methods all provide direct evidence of the encounter complex in solution, as SET is only possible when the acid and base are in close proximity with a suitable orbital arrangement. This finding could unlock more ways for researchers to probe and understand the encounter complex in the future.

Conclusions and outlook

The encounter complex is a key concept for rationalising the three-component reactivity of FLPs with substrates such as dihydrogen. The initial studies were limited to computational models,^{27,58,60,66,67,71,72} which showed that the association of the bulky Lewis acid and base is driven by dispersion interactions between the large substituents on each molecule. For example, the encounter complexes of $\text{PMes}_3/\text{B}(\text{C}_6\text{F}_5)_3$ and $\text{P}^t\text{Bu}_3/\text{B}(\text{C}_6\text{F}_5)_3$ are stabilised by multiple C–H...F non-covalent interactions, although some studies have also shown a small P...B interaction in the latter. The electronic stabilisation of the encounter complex is opposed by the decrease in entropy, leading to the formation of this adduct being unfavourable according to free energy calculations. The encounter complex therefore has a low concentration in solution, which hampered early attempts to characterise this species experimentally.

The first unambiguous evidence for the encounter complex in solution came from NMR measurements,⁶⁸ and showed that the association of $\text{PMes}_3/\text{B}(\text{C}_6\text{F}_5)_3$ had no preferred orientation, which is consistent with the association being driven by dispersion interactions. An experimental value for the association constant of this FLP combination was obtained from the data ($K = 0.5 \pm 0.2 \text{ M}^{-1}$), which supported the endergonic nature of the encounter complex. The concept of using ionic liquids as the solvent to better stabilise the encounter complex has been explored, which could open up new avenues for aiding characterisation of the encounter complex and promoting FLP reactivity.⁷³ Neutron scattering measurements have also provided direct evidence for the association of $\text{P}^t\text{Bu}_3/\text{B}(\text{C}_6\text{F}_5)_3$ in benzene that is consistent with previous studies.⁷³

More recently, it was discovered that the encounter complex of $\text{PMes}_3/\text{B}(\text{C}_6\text{F}_5)_3$ can absorb a photon to promote SET and afford a short-lived frustrated radical pair.^{83,84} This charge-transfer process was confirmed by EPR spectroscopy and transient absorption spectroscopy, and has enabled the encounter complex to be directly studied using UV-Vis spectroscopy and resonance Raman spectroscopy.⁸⁸

The studies discussed in this article have provided evidence for the encounter complex in FLP chemistry. However, there are still questions to be answered to expand knowledge and application in this area of chemistry:

- Can the encounter complex be observed crystallographically?
- Can we experimentally determine the effects of concentration and temperature on encounter complex formation?

- Can we find an experimental probe to measure the concentration of “active” encounter complex in solution, *i.e.* those combinations that are oriented for small-molecule activation, instead of including the non-directional and non-productive orientations?

- Can the substituents around the Lewis acidic and Lewis basic centres be tuned to increase the concentration of the encounter complex in solution?

- Can we correlate concentration of encounter complex with catalytic activity for different FLP combinations, and therefore design FLP systems that are more active?

FLPs have unlocked reactivity and catalysis that was unthinkable by main-group compounds only twenty years ago, and will undoubtedly continue to provide new and sustainable routes to fundamental transformations. A thorough understanding of how the Lewis acids and bases associate and interact with small molecules in solution will be essential to driving this area of chemistry forward.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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