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Enhancement of the antimicrobial activity of cinnamon essential oil-loaded electrospun nanofilm by the incorporation of lysozyme†

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Essential oils (EOs) are effective antimicrobial agents against a variety of foodborne pathogens; however, their peculiar flavor limits their applications in food preservation. Smaller amounts of EOs in the packaging material are preferable, and a combination of EOs with other antimicrobial compounds can decrease the required dose of EOs while maintaining the appropriate antimicrobial activity. In this study, a novel antimicrobial electrospun nanofilm, namely polyvinyl alcohol/ β -cyclodextrin/cinnamon essential oil/lysozyme (PVA/ β -CD/CEO/LYS), was fabricated by the combination of CEO and LYS as an antimicrobial agent. The suitable CEO and LYS concentration were determined as 2% (w/w) and 0.25% (w/w), respectively. Attenuated total reflectance-Fourier transform infrared spectroscopy (ATR-FTIR) and thermogravimetric analysis (TGA) indicated the existence of a molecular interaction among PVA, β -CD, CEO, and LYS, which improved the thermal stability of CEO and LYS. Compared to the PVA/LYS and PVA/ β -CD/CEO nanofilm with an individual antimicrobial agent, PVA/ β -CD/CEO/LYS nanofilm exhibited stronger antibacterial activity against *Listeria monocytogenes* and *Salmonella enteritidis*. In addition, it exhibited an excellent antifungal activity against *Aspergillus niger* and *Penicillium*. Its minimum inhibition concentration (MIC) against *L. monocytogenes* and *S. enteritidis* was approximately 0.8–1 mg mL⁻¹ (corresponding CEO concentration 7.6–9.5 μ g mL⁻¹ and LYS concentration 36–45 U mL⁻¹) and minimum bactericidal concentration (MBC) was approximately 6–7 mg mL⁻¹ (corresponding CEO concentration 57–66.5 μ g mL⁻¹ and LYS concentration 270–315 U mL⁻¹). Therefore, the antimicrobial PVA/ β -CD/CEO/LYS electrospun nanofilm has a potential for application in active food packaging.

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1 Introduction

Microbial contamination has been considered as the primary cause of foodborne disease and food quality deterioration.¹ Hence, it is necessary for the food industries to develop a novel technology to inactivate or eliminate spoilage and foodborne pathogens on the surface of food products.² One of the traditional ways to control microbial growth includes the application of antimicrobial dips or sprays on the surface of the product.^{3,4} However, the efficiency of the antimicrobial substances is restricted because of its uncontrolled migration into the food and partial inactivation caused by its interaction with the food components.⁵ A new approach to overcome this problem is the adoption of antimicrobial packaging, which has become one of the most promising active packaging methods. Contrary to the

conventional packaging materials and technologies, which only provide a physical barrier to passively protect the enclosed items from tampering or contamination from physical, chemical, and biological sources,⁶ antimicrobial packaging materials offer several advantages, such as extending the shelf-life under a mild preservation condition, enhancing safety and sensory properties, while maintaining the nutritional quality of food.^{7–9}

Generally, inorganic, organic, and biologically active substances are used for preparing the antimicrobial packaging materials.¹⁰ To meet the health and ecological concerns, natural, efficient, and non-toxic antimicrobial agents are preferred. Essential oils (EOs), a kind of plant-derived secondary metabolites with powerful antimicrobial activity against a variety of foodborne pathogens,^{11,12} are catalogued as GRAS (Generally Recognized as Safe) by the U.S. Food and Drug Administration (FDA, cite: 21CFR582.20);¹³ however, their use in food preservation is limited due to some undesirable properties, such as their volatility, insolubility in water, and peculiar flavor. An encapsulation technique can overcome these limitations.¹⁴ β -Cyclodextrin (β -CD), which consists of a (1,4)-linked glucopyranose unit, has a truncated cone-shaped molecular structure and can entrap hydrophobic molecules into its inner

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a disc diffusion assay. Their minimal inhibition concentration (MIC) and minimum bacterial concentration (MBC) against *L. monocytogenes* and *S. enteritidis* were further evaluated by the broth dilution method. This biodegradable nanofibrous film containing composite natural antimicrobial agents with excellent antimicrobial activity is promising in active food packaging.

2 Materials and methods

PVA (97–98% hydrolysis) was purchased from Sinopharm Chemical Reagent Co., Ltd. (Beijing, China). β -CD was obtained from Boao Biotechnology Co., Ltd. (Guangzhou, China). CEO (containing 75% *trans*-cinnamaldehyde), star anise essential oil (SEO, containing 85% anethole), *Zanthoxylum bungeanum* Maxim essential oil (ZEO, containing 99% limonene, citronellol, terpene, eugenol, and so on), ginger essential oil (GEO, containing 99% gingerol, zingiberone), garlic essential oil (GAEO, containing 50% allicin, 40% allyl propyl disulfide), and cumin essential oil (CUEO, containing 99% *p*-cymene, dipentene, limonene, phellandrene, pinene, and so on) were purchased from Hengcheng natural flavor Co., Ltd. (Jiangxi, China), and all the EOs were obtained by steam-distillation. LYS (25 000 U mg⁻¹) was purchased from Feibo Biotechnology Co., Ltd. (Guangzhou, China). Water was obtained using a Millipore Milli-Q ultrapure water system. The microorganism strains of *Listeria monocytogenes* (ATCC19111), *Salmonella enteritidis* (ATCC14028), *A. niger* (ATCC1015), and *Penicillium* (CICC41489) were kept in our laboratory. Other materials and chemicals were purchased from the commercial sources and were of the highest purity available. The strawberries were obtained from a local market.

The antimicrobial activities of six EOs, including CEO, SEO, ZEO, GEO, GAEO, and CUEO, against common foodborne microorganisms (*L. monocytogenes*, *S. enteritidis*, *A. niger*, and *Penicillium*) were evaluated by the disc diffusion method.^{37,38} First, 100 μL of broth culture containing approximately 10^8 CFU mL^{-1} of the tested bacterium was individually spread onto the sterilized nutrient agar and 100 μL of broth culture containing approximately 10^6 CFU mL^{-1} of the tested mold was individually spread on the sterilized Czapek–Dox medium. For the disc diffusion method, both sides of the 6 mm filter discs were sterilized under UV irradiation for 2 h (each side for 1 h), followed by adding 5 μL of EO, and then the discs containing EO were placed on the abovementioned plates. The Petri dishes were sealed using parafilm to prevent the leakage of EO vapor, and were then incubated at 37 °C for 24 h for bacteria and 28 °C for 3 d for mold. The diameter of the inhibition zone was measured using calipers. All the tests were carried out in triplicate for each EO.

A PVA solution (5–10%, w/w) was prepared by dissolving 5–10 g PVA in a 100 g Milli-Q water under constant stirring using

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each slant medium, containing the fungus culture, three times to allow the spores to be suspended in water, and the concentration of the collected spore suspension was then adjusted to 10^6 spore per mL. The spore suspension (100 μ L) of the fungus was evenly spreading on the surface of the sterile Czapek's medium.

After preparation of the microorganism suspension, different films were cut into 6 mm discs and sterilized under UV irradiation for 1 h (each side for 30 min), and then placed on the abovementioned inoculated nutritional agar or Czapek's medium. The inhibition zone diameter of each inoculated plate was measured after incubation at 37 °C for 24 h for the bacteria and at 28 °C for 3 d for the fungi. For the same mass of antimicrobial film, the larger the inhibition zone diameter, the stronger the antimicrobial activity. The PVA nanofilm was used as a negative control and the tests were carried out in triplicate for each film.

The broth dilution method was applied to further investigate the antimicrobial activity of the nanofilm containing both CEO and LYS. Three types of nanofilms (PVA/ β -CD/CEO, PVA/LYS, and PVA/ β -CD/CEO/LYS) with different masses were added to a 3 mL co-solvent (ethanol : water = 1 : 1) and the CEO and/or LYS incorporated in the film were extracted into the solution by ultrasonic shaking for 1 h to prepare a series of solutions with various concentrations (0.1–30 mg mL⁻¹). Pre-cultured microorganisms with a concentration of approximately 10^8 CFU mL⁻¹ were adjusted to approximately 10^6 CFU mL⁻¹ with the nutrient broth. Subsequently, 100 μ L of the abovementioned inoculum was added to a tube containing 0.5 mL of each dilution and 4.4 mL nutrient broth, and a blank control was carried out by adding 100 μ L of the abovementioned inoculum to the tube containing 4.9 mL nutrient broth. After this, all the tubes were incubated at 37 °C for 24 h, and then 100 μ L of the abovementioned culture from the tubes without visible turbidity was evenly spread on the sterile nutrient agar, followed by incubating at 37 °C for 24 h. Finally, the colonies in different plates were counted to determine the minimum inhibitory concentration (MIC) and minimum bactericidal concentration (MBC) of the different nanofilms. MIC is defined as the lowest concentration (mg mL⁻¹) of the nanofilm at which the growth of the microorganism is inhibited, and MBC is defined as the lowest concentration (mg mL⁻¹) of the nanofilm at which 99% of the incubated microorganism is killed.⁴³ To investigate the sustained release characteristics and antimicrobial efficacy of the PVA/ β -CD/CEO/LYS nanofilm, it was applied in the preservation of strawberry. Nine groups of strawberries (3 treatments, 3 replicates, and 5 fruits in each replicate) were stored at 20 °C. For the three treatments, one was packed with fresh-keeping film, another was packed with a PVA/ β -CD/CEO/LYS nanofilm, and the last one contained the unpacked strawberries, which served as the control. All tests were performed in triplicate.

3 Results and discussion

3.1 Screening of the effective EO

Spices are used for flavor and aroma in food industry.⁴⁴ EOs extracted from some spices have antimicrobial and antioxidant

activity.⁴⁵ Cinnamon, star anise, *Zanthoxylum bungeanum* Maxim, ginger, garlic, and cumin are frequently used in food industry. The antimicrobial activity of the EOs from these six spices (CEO, SEO, ZEO, GEO, GAEO, and CUEO) against different bacteria and fungi have been demonstrated.^{46,47} Herein, to screen the most effective EO, disc diffusion method was applied to assess the antimicrobial activity of these six EOs against the common foodborne bacteria (*L. monocytogenes*, *S. enteritidis*) and molds (*A. niger* and *Penicillium*). As shown in Fig. 1, CEO exhibited the largest inhibition zone against the tested bacteria compared to the other five EOs, which had no obvious inhibition zones. As for mold, it was obvious that CEO, SEO, and ZEO presented good antifungal activity against both *A. niger* and *Penicillium*, whereas GEO only showed antifungal activity against *Penicillium*. For GAEO and CUEO, no inhibition zone was observed towards the two tested molds. Apparently, CEO had a broad antimicrobial spectrum, and its antimicrobial activity against molds was better than that against bacteria, which was in agreement with the results of a previous report.⁴⁸ On the other hand, CEO had stronger antimicrobial activity against *L. monocytogenes* than that against *S. enteritidis* (22.17 ± 0.23 vs. 21.19 ± 0.17 mm), suggesting that the Gram-positive bacterium was more sensitive than the Gram-negative bacterium. The possible reason was the presence of an additional layer of lipid in the cell wall of the Gram-negative strain, which acts as a permeability barrier.⁴⁹ Based on the abovementioned results, CEO was selected as an appropriate candidate for preparing the antimicrobial packaging materials.

3.2 Fabrication of the electrospun nanofibrous film

It is well known that a proper balance between the viscosity and conductivity of a solution is decisive for achieving the electrospun nanofibers with a good morphology.⁵⁰ Moreover, the concentration of the solution would significantly influence its viscosity and conductivity. To select a suitable concentration of PVA for preparing the nanofilms, effects of different PVA concentrations (6%, 8%, and 10%, w/w) on the morphology of

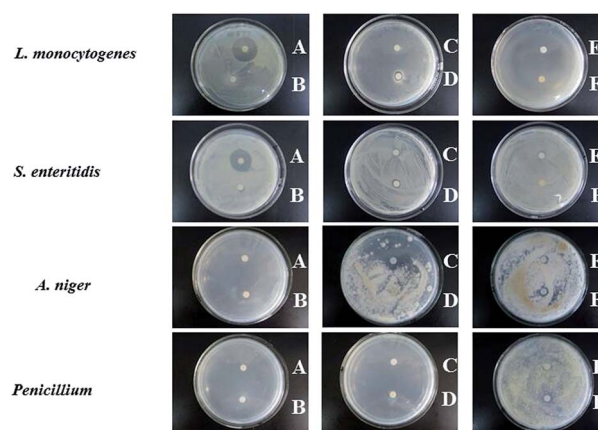


Fig. 1 Inhibition zones of the various essential oils against the common foodborne microorganisms. (A: CEO; B: SEO; C: ZEO; D: GEO; E: GAEO; and F: CUEO).



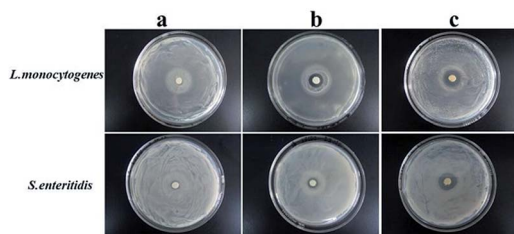


Fig. 4 Inhibition zones of the PVA/β-CD/CEO film with different CEO concentrations (a: 1% CEO; b: 2% CEO; and c: 3% CEO).

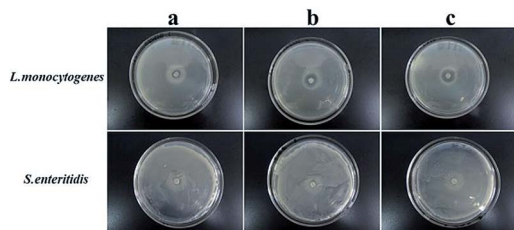


Fig. 5 Inhibition zones of the PVA/LYS film with different LYS concentrations (a: 0.05% LYS; b: 0.25% LYS; and c: 0.5% LYS).

To determine the suitable CEO and LYS concentration, the disc diffusion assay was applied to investigate the antimicrobial effects of the PVA/β-CD/CEO nanofilms with three different concentrations of CEO (1%, 2%, and 3%, w/w) and the PVA/LYS nanofilms with three different concentrations of LYS (0.05%, 0.25%, and 0.5%, w/w) against *L. monocytogenes* and *S. enteritidis*. The inhibition zones of PVA/β-CD/CEO nanofilms are presented in Fig. 4, and the inhibition zones of PVA/LYS nanofilms are presented in Fig. 5. Table 3 lists the corresponding diameters of the different inhibition zones. It was obvious that greater inhibition zones were achieved against *L. monocytogenes* compared to those against *S. enteritidis*, suggesting that CEO and LYS both have better antimicrobial activity against the Gram-positive bacterium than the Gram-negative bacterium, and the inhibition effect increased with the increasing CEO and LYS concentrations. However, for PVA/β-CD/CEO nanofilms, there was no inhibition for 1% CEO and the inhibition zone of 3% CEO was larger than that of 2% CEO. For 0.25% and 0.5% LYS, the inhibition zones were 14.97 ± 0.41 mm and 15.16 ± 0.18 mm, respectively, which did not show an

Table 3 Inhibition zone diameter of the different antimicrobial films against *L. monocytogenes* and *S. enteritidis*

Sample	Inhibition zone diameter (mm)	
	<i>L. monocytogenes</i>	<i>S. enteritidis</i>
PVA/β-CD/1% CEO	8.82 ± 0.60	7.05 ± 0.60
PVA/β-CD/2% CEO	11.53 ± 0.60	10.11 ± 0.11
PVA/β-CD/3% CEO	13.59 ± 0.22	11.42 ± 0.33
PVA/0.05% LYS	9.27 ± 0.10	6.62 ± 0.15
PVA/0.25% LYS	14.97 ± 0.41	7.33 ± 0.03
PVA/0.5% LYS	15.16 ± 0.18	7.86 ± 0.54

apparent difference. To decrease the dose of CEO while maintaining the appropriate antimicrobial activity, 2% CEO and 0.25% (62 500 U) LYS were adopted to prepare PVA/β-CD/CEO/LYS nanofibrous film.

3.3 Characterization of the electrospun nanofibrous film

The FTIR spectra of CEO, β-CD, LYS, PVA/β-CD/CEO/LYS mixture, PVA nanofilm, and PVA/β-CD/CEO/LYS nanofilm are depicted in Fig. 6. As shown in the PVA spectrum, its characteristic absorption peak was at around 3332 cm^{-1} , which was assigned to the O–H stretching formed between the PVA and water. Other absorption bands at around 2940, 1430, and 1090 cm^{-1} correspond to the stretching vibration of C–H, the bending stretching of C–H, and the stretching vibration of C–O and C–O–C groups in PVA, respectively. For β-CD spectrum, the absorption band at 3395, 2925, 1158, and 1028 cm^{-1} represent the stretching of O–H, the stretching of C–H, the stretching of C–O, and the coupling stretching of C–C and C–O, respectively. From the spectrum of LYS, two common bands of LYS at 1656 cm^{-1} and 1535 cm^{-1} belong to the amide I and amide II peaks, respectively, which corresponds to the stretching vibrations of the C=O bond and the coupling of bending of N–H bond and stretching of the C–N bonds, respectively.⁵² It was obvious that a blue shift occurred for the O–H stretching peak in the spectrum of PVA/β-CD/CEO/LYS film compared to the spectrum of PVA, β-CD, and LYS, suggesting that more hydrogen bonds were formed between PVA, β-CD, and LYS. The FTIR spectrum of pure CEO exhibited characteristic peaks at 1625 cm^{-1} and 1678 cm^{-1} assigned to the C–O–C, C–H, and O–H stretching vibration, respectively. These characteristic absorption peaks also existed in the spectrum of the mixture of PVA, β-CD, CEO, and LYS; however, these were not observed in the spectrum of PVA/β-CD/CEO/LYS film, suggesting that CEO was efficiently encapsulated into the hydrophobic cavity of β-CD. Moreover, for the amide I band, different regions were contributed by different secondary structural elements: $1600\text{--}1639 \text{ cm}^{-1}$ by the β-sheet, $1640\text{--}1650 \text{ cm}^{-1}$ by the γ-random coil, $1651\text{--}1660 \text{ cm}^{-1}$ by the α-helix, and $1661\text{--}1700 \text{ cm}^{-1}$ by the T-turns. In addition, the characteristic peak of LYS at 1656 cm^{-1} was shifted to 1676 cm^{-1} for the PVA/β-CD/CEO/LYS nanofilm, indicating that

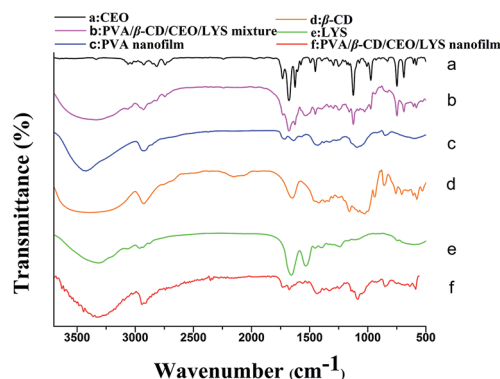


Fig. 6 ATR-FTIR spectra of different samples.



a more α -helix structure transferred to β -sheet and turned the structure.⁵³

The thermal properties of different samples were investigated by TGA and their thermograms are presented in Fig. 7. In the TGA thermogram of pure CEO, the weight loss started at around 75 °C, showing an onset point at around 100 °C, indicating that CEO has a volatile nature. Two main weight losses were observed in the curve of β -CD. The initial weight loss below 100 °C was due to water loss, the second weight loss between 275 °C and 350 °C was due to the degradation of β -CD. For the TGA thermogram of the PVA nanofilm, the initial weight loss was attributed to the loss of water and the major weight loss at above 250 °C was due to the dehydration of water and thermal degradation of PVA. Similarly, the initial weight loss of LYS was due to water loss, and the main weight loss starting at around 200 °C was assigned to the degradation of LYS. Note that the main degradation temperature of PVA shifted to slightly higher temperature with the presence of β -CD, which may be because of the hydrogen bonding interaction between the hydroxyl groups of PVA and β -CD. Compared to the TGA thermograms of the pure CEO and the mixture of PVA, β -CD, CEO, and LYS, the weight loss of CEO in the PVA/ β -CD/CEO/LYS nanofibers started at around 100 °C, showing that the thermal stability of CEO was significantly improved. The higher thermal stability of CEO in the PVA/ β -CD/CEO/LYS nanofilm suggested an existence of the interactions between CEO and the β -CD cavity and similar phenomenon had been previously demonstrated.^{54,55} In addition, the thermal stability of LYS in the PVA/ β -CD/CEO/LYS nanofibers was also enhanced, as indicated by the weight loss of LYS shifting to higher temperature (250 °C), which was probably due to the change in its secondary structure.

3.4 Antimicrobial activity of the electrospun nanofibrous film

The antimicrobial activity of the PVA/ β -CD/CEO/LYS nanofilm was compared to that of the PVA/LYS, PVA/ β -CD/CEO and PVA/CEO/LYS nanofilms using a disc diffusion assay. The inhibition zones of different antimicrobial nanofilms against *L. monocytogenes*, *S. enteritidis*, *A. niger*, and *Penicillium* are shown in Fig. 8a, and the corresponding diameters of the inhibition zones are displayed in Fig. 8b. For the two tested foodborne bacteria,

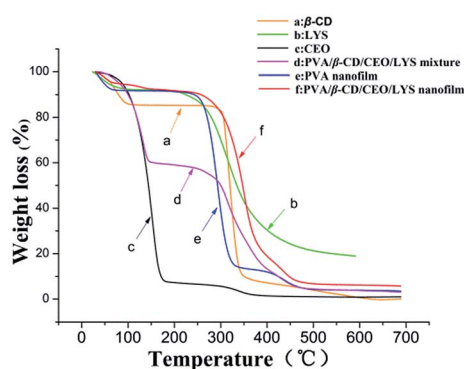


Fig. 7 TGA spectra of different samples.

the PVA/ β -CD/CEO/LYS nanofilm exhibited stronger antimicrobial activity than that of the PVA/LYS and PVA/ β -CD/CEO nanofilms. It was reported that LYS showed antimicrobial activity mainly against the Gram-positive bacteria by splitting the bonds between *N*-acetylmuramic acid and *N*-acetylglucosamine of the peptidoglycan in the cell wall. However, lysozyme can be effective against the Gram-negative bacteria in the presence of membrane-destabilizing agents, such as detergents and chelators.⁵⁶ The CEO has good antibacterial (both Gram-positive bacteria and Gram-negative bacteria) and antifungal properties (Fig. 1). In addition, CEO could destroy the structure of the cell wall and increase the permeability of the cell membrane causing cellular leakage.⁵⁷ Therefore, the synergism between the two antimicrobials give the PVA/ β -CD/CEO/LYS nanofilm a better antibacterial effect. Unfortunately, there was no inhibition zone against the two molds for the PVA/LYS nanofilm. However, the inhibition zones of the PVA/ β -CD/CEO/LYS nanofilm against *A. niger* and *Penicillium* were similar to those of the PVA/ β -CD/CEO nanofilm (19.18 ± 0.07 vs. 19.10 ± 1.27 mm; 40.71 ± 1.37 vs. 40.60 ± 1.56 mm). Note that the inhibition zones of the PVA/ β -CD/CEO/LYS nanofilm against the four tested microorganisms were obviously greater than those of PVA/CEO/LYS nanofilm. One possible reason was that the entrapment of CEO into the hydrophobic cavity of β -CD decreased the loss of CEO and improved the solubility of CEO. A similar phenomenon was also reported previously.⁵⁸ The MICs and MBCs of these nanofilms against the two tested bacteria were further determined by the broth-dilution

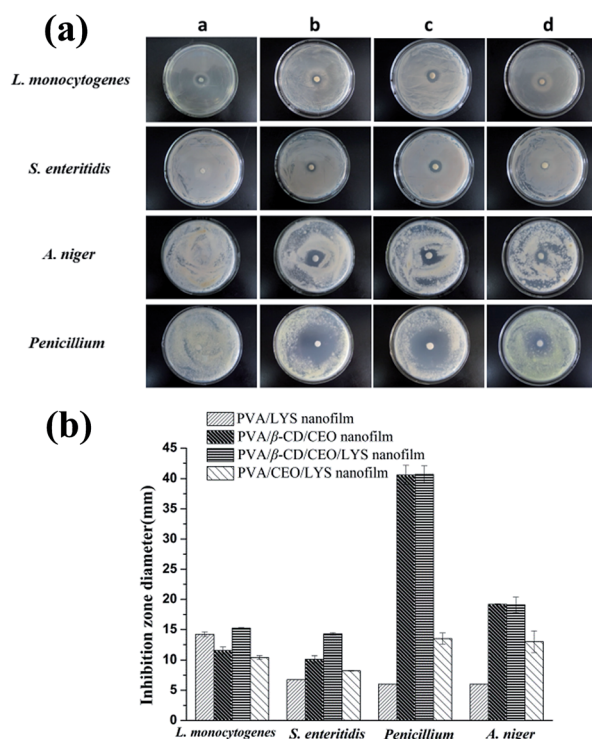


Fig. 8 Inhibition zones (a) and the corresponding diameter (b) of different electrospun nanofilms against *L. monocytogenes*, *S. enteritidis*, *A. niger* and *Penicillium*. (a: PVA/LYS nanofilm; b: PVA/ β -CD/CEO nanofilm; c: PVA/ β -CD/CEO/LYS nanofilm; and d: PVA/CEO/LYS nanofilm).



Table 4 MICs and MBCs of different antimicrobial electrospun nanofilms against *L. monocytogenes* and *S. enteritidis*

Sample	<i>L. monocytogenes</i>		<i>S. enteritidis</i>	
	MIC (mg mL ⁻¹)	MBC (mg mL ⁻¹)	MIC (mg mL ⁻¹)	MBC (mg mL ⁻¹)
PVA/β-CD/CEO	1	7	2	8
PVA/β-CD/CEO/LYS	0.8	6	1	7
PVA/LYS	0.9	7	3	>30

method. As shown in Table 4, the PVA/β-CD/CEO/LYS nanofilm had the highest antimicrobial activity among the three samples, and its MIC against *L. monocytogenes* and *S. enteritidis* was approximately 0.8–1 mg mL⁻¹ (corresponding CEO concentration 7.6–9.5 μg mL⁻¹ and LYS concentration 36–45 U mL⁻¹) and MBC was approximately 6–7 mg mL⁻¹ (corresponding CEO concentration 57–66.5 μg mL⁻¹ and LYS concentration 270–315 U mL⁻¹). A previous study showed that when allyl isothiocyanate, which is also highly volatile and hydrophobic, was encapsulated in the electrospun PVA/ATC/β-CD nanofilm, it was possible to sustainably release it from the nanofilm.⁵⁹ To further testify the sustained release characteristics and antimicrobial efficacy of the PVA/β-CD/CEO/LYS nanofilm, it was applied in the preservation of strawberries. As shown in Fig. S1,† the strawberries packed with the fresh-keeping film had decayed on the 4th day, the unpacked strawberries were rotten on the 6th day, whereas the strawberries packed with the PVA/β-CD/CEO/LYS nanofilm showed no signs of decay even at day 6. The results indicate that the strawberries packed with the PVA/β-CD/CEO/LYS nanofilm had a longer shelf-life than those of the control and those packed with the fresh-keeping film, which showed that the CEO can be sustainably released to inactivate or eliminate spoilage and foodborne pathogens on the surface of food product. These results suggested that the as-obtained composite nanofilm is an appropriate candidate for active food packaging. It can minimize the required dose of CEO while maintaining suitable antimicrobial activity and broaden the application space of LYS in the food packaging area.

4 Conclusions

CEO was found to be the most effective antimicrobial agent against bacteria (*L. monocytogenes* and *S. enteritidis*) and molds (*A. niger* and *Penicillium*) among all the tested six EOs. To decrease the CEO dosage in the packaging material while maintaining the appropriate antimicrobial activity, the combination of CEO with LYS was applied to fabricate the PVA/β-CD/CEO/LYS nanofilm by electrospinning. The proper concentration of composite antimicrobial agent was 2% CEO and 0.25% LYS (w/w). The results of the ATR-FTIR and TGA analyses indicated that there existed a molecular interaction among PVA, β-CD, CEO, and LYS, which enhanced the thermal stability of CEO and LYS. Compared to the PVA/LYS and PVA/β-CD/CEO nanofilms, which contained only one microbial agent, the PVA/β-CD/CEO/LYS nanofilm showed stronger antibacterial activity

against *L. monocytogenes* and *S. enteritidis* and prominent antifungal activity against *A. niger* and *Penicillium*. Therefore, the antimicrobial PVA/β-CD/CEO/LYS electrospun nanofilm can serve as a good candidate for active food packaging. The combination of CEO and LYS for the preparation of antimicrobial electrospun nanofilms can not only decrease the dosage of CEO but also broaden the applications of LYS-derived packaging material in the food industry.

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