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## Maximizing the impact of microphysiological systems with *in vitro*–*in vivo* translation

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Microphysiological systems (MPS) hold promise for improving therapeutic drug approval rates by providing more physiological, human-based, *in vitro* assays for preclinical drug development activities compared to traditional *in vitro* and animal models. Here, we first summarize why MPSs are needed in pharmaceutical development, and examine how MPS technologies can be utilized to improve preclinical efforts. We then provide the perspective that the full impact of MPS technologies will be realized only when robust approaches for *in vitro*–*in vivo* (MPS-to-human) translation are developed and utilized, and explain how the burgeoning field of quantitative systems pharmacology (QSP) can fill that need.

### 1. Why MPSs are needed in pharmaceutical development

The field of Microphysiological Systems (MPS)—also called tissue chips or physiome-, organ-, or human-on-a-chip—is developing quickly, fueled by strong support from federal sources, the pharmaceutical industry's continuing need for more predictive preclinical models, and promising early results from academic laboratories. Functional MPSs representing tissues from numerous organs including liver, lung, gut, skin, muscle, bone, brain, and more have been reported in the last decade.<sup>1–3</sup> These engineered, biomimetic constructs are typically micro- or meso-fluidic scale devices that are designed to better emulate *in vitro* the biology of human tissues and organs by utilizing human cells, especially primary or induced pluripotent stem cell (iPSC)-derived cells; three-dimensional micro-architectures and biologically based substrates and compatible materials; multiple cell co-culture; long-term (weeks+) tissue functionality; and more physiological mechanical and chemical cues. In a sign the field is maturing, transferability to and reproducibility by second parties are being systematically tested, *e.g.*, via the Tissue Chip Testing Centers in the US,<sup>4,5</sup> and offerings of commercial MPS products and services have begun.<sup>1</sup>

While basic research on biological mechanisms is already benefiting, a major motivation for the field is the continuing challenge of translating preclinical knowledge to human clinical outcomes in the pharmaceutical industry. That approximately 9 of 10 drug candidates entering clinical trials fail to

gain approval is well-documented.<sup>6,7</sup> Clearly, new approaches to improve these outcomes are sorely needed.

Understanding why drug candidates fail and how other developments have impacted success rates can be instructive for maximizing the impact of new technologies such as MPSs. An analysis of the BioMedTracker database from 2003–2011 for 835 companies and 4451 drugs found that the root causes for suspended programs at phase 3 were 54% efficacy, 9% safety, 18% commercial and 19% unknown, and at NDA/BLA (new drug application/biologics license application) were 58% efficacy, 31% safety, 8% commercial and 13% unknown.<sup>7</sup> In a separate analysis for 2011–2012 of data from Thomson Reuters, *Drugs of Today*, Arrowsmith found that failures from phase II through submission were attributed 56% to efficacy, 28% safety, 7% strategic, 5% commercial and 5% operations.<sup>6</sup> More granularly, phase II failures were attributed to 59% efficacy, 22% safety, 16% strategic, and 3% other, and phase III and submission failures were attributed 52% to efficacy, 35% safety and 13% other. With failure rates in phase I of ~35% (ref. 7) being presumably mainly from safety, cumulatively from phase I to submission about 50% of all failures are from safety. A majority of the most expensive failures (in phases II and III), however, are associated with efficacy. Nonetheless, it should be recognized that toxicity in early phases often limits dosing levels in later phases, with subsequent failures then attributed to lack of efficacy rather than toxicity. Regardless, a significant need for improved prediction clearly remains on both fronts. Interestingly, a substantial portion of failures in phases II and III are attributed to strategy, financial, and commercial reasons, so obtaining better information to rationalize decisions at the earliest stages could also reduce late, expensive program cancellations.

Despite the overall statistics, improvements in certain areas have been made. The attrition from phase 1 entrance

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While failures due directly to PK and bioavailability have been greatly reduced, drug safety and efficacy are directly related to dose, exposure, biotransformation to active metabolites, and uptake at sites of action, so improved early evaluation of PK and drug disposition can still impact preclinical-to-clinical translation. For example, for drug metabolism modes that utilize ubiquitously expressed enzymes instead of CYP or UGT (which are adequately modeled in liver-derived cultures),<sup>24,25</sup> a grouping of MPSs representing liver, kidney, gut, lung, heart, fat, *etc.*, could be used to assess drug disappearance in many tissues.<sup>26</sup> Further, MPSs utilizing primary human cell sources may enable more relevant assessment than cell lines, for example, BBB or kidney MPSs<sup>27,28</sup> utilizing

primary or iPSC-derived cells compared to existing *in vitro* models using MDCK-MDR1 or HK-2 cell lines, respectively.

An essential requirement for pharmacologic applications of MPSs is the quantitative evaluation of drug behavior and tissue responses with markers and endpoints as relevant to the clinic as possible, and subsequent *in vitro-in vivo* translation of these findings to human. The utility of computational models to design MPS technologies and analyze MPS data is well-demonstrated,<sup>26,30–34</sup> however, there is still a scientific gap on how to correlate, extrapolate or otherwise translate quantitative MPS data to human clinical outcomes. In the following sections, we describe how quantitative systems pharmacology (QSP) could and should be utilized to fill that gap.

### 3. Quantitative systems pharmacology (QSP) is coming of age

Despite MPSs better representing human physiology, some level of post-experimental analysis is needed because MPSs are still *in vitro* systems that lack true systemic blood flow, waste removal, hormonal and immune systems, a microbiome, and many other defining *in vivo* contextual systems and cues. While some measures from MPSs may scale easily from *in vitro* to *in vivo*, for example based on relative cell numbers, many have no direct analog *in vivo* and will require more sophisticated analysis to determine meaning for the *in vivo* context. We believe QSP is a powerful, integrative framework for such interpretation and translation efforts (Fig. 1).

QSP can be defined as the “quantitative analysis of the dynamic interactions between drug(s) and a biological system that aims to understand the behavior of the system as a whole, as opposed to the behavior of its individual constituents”.<sup>35</sup> As such, QSP thinking goes beyond traditional pharmacokinetic/pharmacodynamics (PKPD) modeling to integrate more mechanistic information from a broader range of sources, such as mechanistic *in vitro* data (e.g., from MPSs), target characteristics, drug properties, *in vivo* data, human physiology, genetic information, human pathology and prior clinical data.<sup>36</sup>

The transition from standard PK models to physiologically-based PK (PBPK) models is a major example of moving towards “systems thinking,” by placing preclinical data into a more complete human context. Use of PBPK models in pharmaceutical development is increasing rapidly.<sup>37,38</sup> Integrating PBPK modeling with mechanistic models of relevant biology and PD will enable the interrogation of mechanisms of action and response to drugs for diverse dosing regimens, while accounting for interspecies, population, and disease state differences.

Several examples demonstrate how QSP modeling can incorporate numerous data sources into a quantitative mechanistic framework to test hypotheses, explore the relative importance of uncertainties, and extend understanding to multiple patient populations. While these examples do not utilize data from MPSs, the possibility to do so is clear.

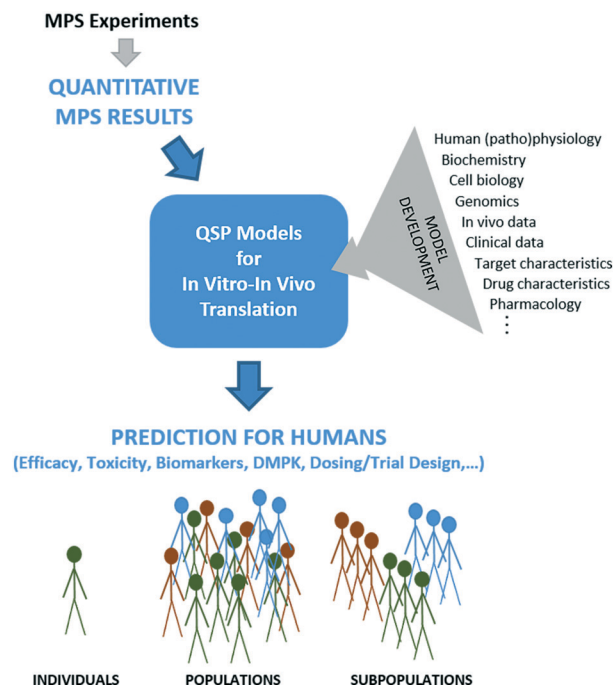


Fig. 1 Quantitative systems pharmacology models of the biology and pharmacology for specific applications can be used to translate *in vitro* results from MPSs into the *in vivo* human context. QSP models are initially developed based on an array of biological and (patho) physiological data as well as information about target and drug characteristics (upper right). Then, quantitative information derived from MPS experimentation provides values or ranges of specific parameters to the models (top). MPS results might relate specifically to drug activity or might provide biology-specific parameters with which to define virtual patient biology for simulations of drug activity derived from other sources. Simulation and analysis using MPS inputs are then possible to predict aspects of drug efficacy, toxicity, DMPK, etc., for virtual patients (individuals, populations, subpopulations) accounting for knowledge gained from MPSs (bottom).

In one of the most far reaching, integrative QSP efforts within the pharmaceutical industry to date, Kirouac *et al.* developed a mechanism-based model of the MAPK signaling network in a BRAFV600-mutant colorectal cancer to investigate the potential benefit of combining three oncology drugs with targets on the same biochemical pathway.<sup>39</sup> The QSP model integrates information from multiple sources on multiple scales (cellular biochemistry, genetics, *in vitro* cell growth, *in vivo* tumor kinetics in patients and xenograft models, and clinical tumor responses). With it, they identified mechanisms of differential activity of MEK and ERK inhibitors as well as differential effects of supra-physiological MEK activity on resistance to MEK, ERK, and RAF inhibitors. They simulated combination dose-response matrices for the three drugs to inform combination studies for multiple cancer indications, and identified alternate dosing regimens that might have better tolerability. They report they are using the results to strategize indications and drug combination regimens for clinical trials.

An example of the success of a QSP framework for toxicity applications is DILIsym®, a mathematical model for



As these examples illustrate, QSP models that account for human biology and (patho)physiology as well as drug characteristics can provide actionable insights at multiple stages of drug development. Other reviews and publications provide additional examples.<sup>35,40,46–50</sup>

This points out that a key area of MPS-QSP benefit is in the evaluation and understanding of multi-drug situations, related to either efficacy<sup>39,46</sup> or the complications of drug-drug interactions. An example of the latter is Long *et al.*, in which the effect of tocilizumab, an IL-6 receptor antagonist, on simvastatin PK in rheumatoid arthritis patients<sup>58</sup> could be recapitulated over two weeks in an immune-competent liver MPS under inflammatory conditions.<sup>59</sup> Further experimentation to investigate stimulus-response relationships for both drugs and inflammatory levels would benefit from a QSP model encompassing mechanisms of inflammatory modulation of drug metabolism as well as tocilizumab and simvastatin PK to extrapolate the *in vitro* findings to the *in vivo* patient setting.

Numerous other applications in which QSP translation will be needed to maximally utilize MPS-based data can be envisioned. A promising use of MPSs is to understand tissue site of action PD and toxicodynamics as well as evaluate potential efficacy and toxicity biomarkers. Tissue accumulation and therapeutic effects could be quantitatively evaluated in MPSs, and QSP models could extrapolate to *in vivo* organ accumulation and predict PK/PD and toxicokinetic/toxicodynamic relationships at sites of action. Given that patient selection biomarkers result in a more than three-fold increase in likelihood of drug approval,<sup>12</sup> disease MPSs could provide a major new tool for discovery and/or evaluation of disease biomarkers. In concert, QSP models could put such information in human context to understand relationships to disease mechanism and drug mechanism-of-action, possibly helping speed new biomarkers into the clinic. Further, MPS-QSP studies can be used to evaluate the kinetics of biomarkers, which could then inform the timing of biomarker sampling in clinical trials.

MPS technologies have the potential to provide an experimental framework to accurately assess drug responses for patient sub-populations and even individuals, and thus, integrative MPS-QSP approaches should better enable precision and personalized medicine applications to find proper treatment options for individuals. For example, adverse drug effects for populations with renal or hepatic impairment can be experimentally evaluated in appropriate MPSs and then, dose adjustments for these patients can be calculated with QSP models. Moreover, therapeutic windows of combination treatments could be assessed using MPS data-driven QSP models. MPSs from various organ systems can provide testbeds for preclinical evaluation of therapeutic and adverse effects of combination therapies, while QSP models adjust the dosing regimen for the clinic.

A final hurdle for realizing the potential of MPS technologies, QSP models, and their integration for drug development is the acceptance of information derived from them by regulatory bodies.<sup>60</sup> It is promising that results from computational models, including mechanistic QSP models, are increasingly part of successful regulatory filings.<sup>46,47,61,62</sup> Modeling contributions in recent years in INDs, NDAs and BLAs include estimating first in human dose,<sup>63</sup> predicting drug–drug interactions,<sup>64,65</sup> extensions of drug PK/PD to special patient populations (e.g., hepatic and renal impairment, and pediatrics<sup>38,63</sup>), and understanding disease progression as well as dose–exposure–response relationships,<sup>46,66</sup> among other things. Favorably, a major goal of the US Food and Drug Administration in 2018–2022 is to update its regulatory science and review expertise to facilitate the development and application of “model-informed drug development”.<sup>67</sup> While use of MPS technologies to directly influence regulatory decisions is not yet demonstrated, it is our expectation that mechanistic systems methods, involving both MPSs and QSP models, will continue to gain acceptance for regulatory decisions.

## 5. Conclusion

In conclusion, the perspective that MPS technologies hold great promise for impacting preclinical-to-clinical translation in the pharmaceutical arena is supported from multiple directions: an understanding of the unaddressed needs in the drug R&D pipeline; the demonstration that MPSs better recapitulate human physiology than more traditional *in vitro* models; recognition of the value of mechanistic QSP models within biomedical and pharmaceutical R&D for integrating and interpreting data from MPSs alongside other diverse sources; and the support from regulatory agencies to include and accept computational modeling in support of regulatory applications. The MPS field will arguably have its greatest impact going forward by utilizing quantitative model-based approaches, *i.e.*, quantitative systems pharmacology, for MPS design, data analysis and *in vitro*–*in vivo* translation.

## Author contributions

CLS and MC conceptualized the research and wrote and edited the manuscript.

## Conflicts of interest

None to declare.

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