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Photodynamic therapy: photosensitizers and nanostructures

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The reactions of some structures to different external stimuli can be used for therapeutic purposes. In particular, in photodynamic therapy (PDT), a light-sensitive compound or structure, commonly named a photosensitizer (PS), is able to produce reactive oxygen species (ROS) after being irradiated with light in the presence of oxygen. Such ROS are effective in destroying cells and can be used as therapeutic agents to treat some skin and eye diseases, as well as certain types of cancer. This review will summarise the current state-of-the-art in PDT, with special focus on the different available photosensitizers, their chemistry, their incorporation into different nanostructures, and some of the current targeting strategies.

1. Introduction: fundamentals of photodynamic therapy and its physical mechanisms

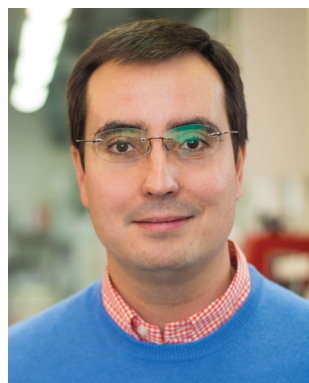
Photodynamic therapy (PDT), along with photothermal therapy (PTT) and magnetic hyperthermia, is one of a collection of techniques in medicine that uses the response of sensitive compounds or nanostructures to external stimuli. Under appropriate circumstances, some structures are able to produce reactive oxygen species (ROS) after being excited with light in

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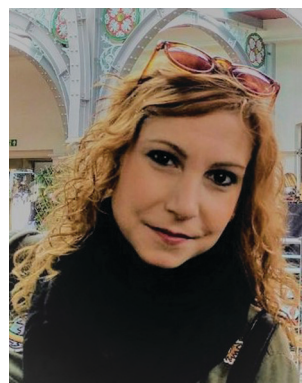
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the presence of oxygen. This can be used for the direct killing of cells, either by vascular damage or by activation of an immune response against them.^{1–3}

The term photosensitizer (PS) is usually used to refer to such species. Traditionally, some organic dyes⁴ and aromatic hydrocarbons such as xanthene – derivative dyes (Rose Bengal⁵ and eosin⁶) as well as methylene blue⁷ – have been used as PSs. The production of ROS is related to the electronic transitions among the different excited states of the dye, followed by an eventual electron or energy transfer to an oxygen species.

The mechanisms of the production of ROS are closely related to those of luminescence, and are represented in Fig. 1. A photosensitive species can have at least two excited states: a singlet and a triplet. Typical electronic transitions in photosensitive species take place between the ground (S_0) and the singlet excited states (such as S_1 or S_2) after the appropriate absorption of radiation, but a further transition to the triplet excited state (T_1), in principle forbidden, may also occur.⁸

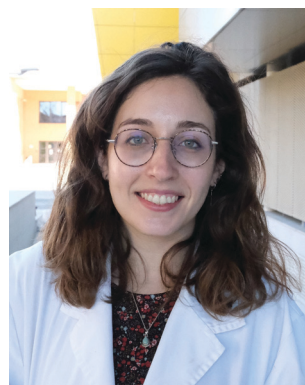
The term intersystem crossing is used to refer to such a phenomenon. An appropriate energy difference between the excited states⁹ and the presence of heavy atoms¹⁰ in the PS favour this intersystem crossing. Once in the triplet state, two mechanisms may take place: (i) the excited electron may be transferred to other species yielding free radicals that can then react with oxygen to form ROS, such as superoxide radical anions ($O_2^{\bullet-}$), H_2O_2 , and hydroxyl radicals ($^{\bullet}OH$), *i.e.*, mechanism type 1; and (ii) this energy can be transferred to triplet oxygen (3O_2) to form singlet oxygen (1O_2), *i.e.*, mechanism type 2.^{11,12} For both mechanisms, the more probable the inter-system crossing, the more efficient the production of ROS.

PSs are usually conjugated unsaturated organic molecules, often containing heavy atoms. Some transition metal complexes as well as some semiconductors¹³ and other inorganic nanoparticles and nanostructures¹⁴ have also been reported. Ideal PSs should possess high light absorption coefficients, ideally at long wavelength radiations (infrared or near-infrared



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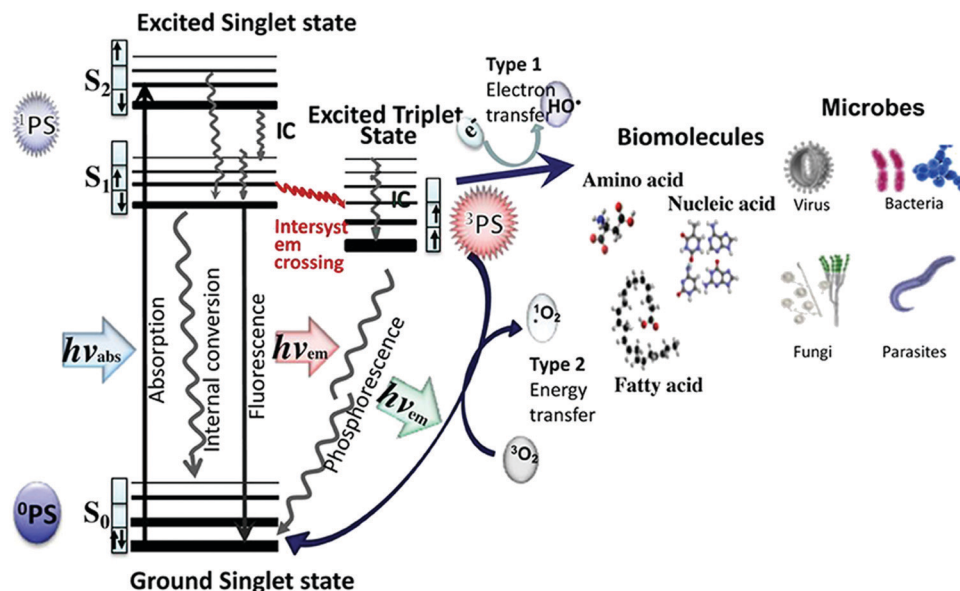


Fig. 1 Electronic states and possible transitions in a photosensitizer, and mechanisms for the formation of radical oxygen species (ROS). Taken from ref. 11, published by Frontiers.

(NIR)), to ensure better tissue penetration,¹⁵ low photobleaching quantum yields, high intersystem crossing efficiencies, as well as low toxicity in the absence of light, and in principle, an appropriate hydrophilic and hydrophobic balance itself to favour selective accumulation in tumours.¹⁶

Different structures have been used as PSs.¹⁴ Porphyrins, with special attention to the hematoporphyrin derivative (HpD), constitute the first-generation PSs, which were started to be used in the 1970s. They are naturally occurring compounds that usually show high quantum yields for the production of ROS, although they might suffer from photobleaching. Other structures such as derivatives of hematoporphyrin and chlorins were designed to overcome some of the limitations of the previously used compounds, such as their lack of solubility, given that most of them are hydrophobic, or to improve their optical properties. They are the second-generation of PSs. As in many other cases, the development of nanotechnology offered new possibilities and implementations. Nanostructures possess the size of 1–100 nm in which most of the biomolecular interactions take place.^{17,18} In particular, the conjugation or incorporation of PSs into nanostructures paved the way for their use in nanomedicine. The possibility of multifunctionality, including targeting to selectively treat tumour tissues, as well as possible improvements in intracellular delivery in terms of efficiency and selectivity are some of the advantages of the so-called third-generation of PSs.¹⁹ Different nanostructures such as inorganic nanoparticles (NPs), micelles, and vesicles, especially liposomes, with already developed commercial formulations, have recently been used for the conjugation with PSs. The fourth-generation PSs are related to the use of porous carriers, which include mesoporous silica and metal–organic frameworks (MOFs). These structures can incorporate a large number of sensitizer molecules. From the pharmaceutical point

of view, the term pharmaceutical formulation of PSs is also used to refer to both third- and fourth-generation PSs.

This review will summarise the different PS structures that have been proposed to be used for PDT, with a description of their chemistry and physical properties, as well as their incorporation into nanostructures and porous nanomaterials.

2. Photosensitizers

2a. Porphyrins, chlorines, purpurins, and phthalocyanines

Porphyrins are compounds based on a ring of four interconnected modified pyrrole subunits, and are usually hydrophobic. Due to their high level of conjugation, they are well known for absorbing visible light. In some cases, they might also have a metallic atom in the center, resulting in a naturally occurring group of chromoproteins, including hemoglobin and chlorophyll. The most important advantage of such compounds is their relatively high quantum yields (Φ) for the production of ROS, and their rich chemistry. In fact, the original porphyrin structure can be modified, affecting its optical properties such as the absorbance spectrum. Photobleaching, low absorption in the IR or NIR spectral window and poor selectivity²⁰ are their main drawbacks.

Hematoporphyrin derivative (HpD, Fig. 2a) and photofrin II (a purified version of HpD) are good examples of these types of PSs, and can be considered as first-generation PSs. In fact, they are nowadays widely used as PSs.²¹ Both structures absorb radiation at around 630 nm, and show a relatively high quantum yield for the production of singlet molecular oxygen ($\Phi = 0.64$ in the case of HpD, in methanol²²). Both compounds have been used to treat brain, laryngeal, lung, skin, gastric, colorectal, and esophageal carcinomas.^{23,24}

Modifications of the structure of porphyrins constitute the so-called second-generation PSs. For example, chlorines are



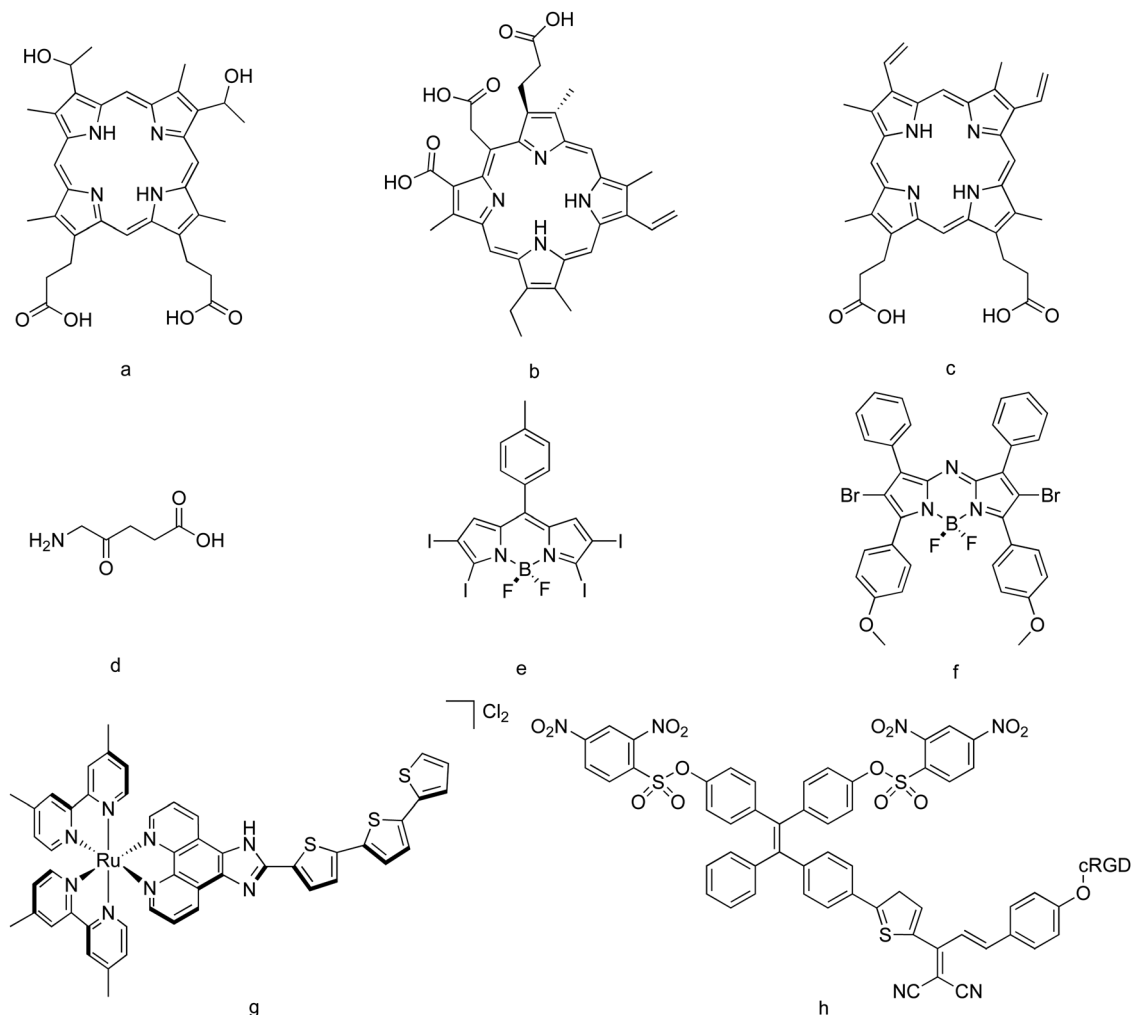


Fig. 2 Examples of some compounds used as photosensitizers for PDT: (a) hematoporphyrin, (b) chlorin e6, (c) protoporphyrin IX, (d) 5-aminolevulinic acid (ALA), (e) iodine-substituted BODIPY, (f) aza-BODIPY derivative, (g) $\text{Ru}[(\text{dmb})_2(\text{IP-3T})]\text{Cl}_2$, and (h) AIEgen-based photosensitizer containing a tetraphenylethylene moiety.

formed after the saturation of one or two double bonds of the porphyrin structure. This shifts the absorbance spectra to longer wavelengths and thus toward the therapeutic window.²⁵ Chlorin e6 (Ce6) and its derivatives (hydrophobic, Fig. 2b)²⁶ have been used for the treatment of bile duct carcinoma,²⁷ as well as breast and hypopharyngeal cancer cells.²⁸ A chlorin-based compound, termoporphin (m-THPC, hydrophobic, with an absorption maximum at 650 nm), is in fact a clinically used PS,²⁹ some formulations of which are commercially available. Protoporphyrin IX (PpIX, hydrophobic, Fig. 2c)³⁰ and the 5-aminolevulinic acid (ALA, hydrophilic, the biological precursor of PpIX)³¹ (Fig. 2d) are also examples of this generation of PSs, and have been applied, for example, in malignant glioma surgery.^{32,33} In fact, the use of ALA to drive the production of intracellular PpIX is in common clinical use.³⁴ Some studies regarding both dark cytotoxicity and toxicity under light irradiation of different PSs can be found in the literature. For example, for human epidermoid carcinoma cells, ALA-induced PpIX showed both dark toxicity and phototoxicity of 2–3 orders of magnitude lower than those of

photofrin, and a higher dark toxicity:phototoxicity ratio, whereas formulations based on m-THPC showed much more toxicity under light irradiation and a much higher dark toxicity:phototoxicity ratio.³⁵

Within this PS generation, naphthalocyanines, which bear a second benzene ring,³⁶ and purpurins,³⁷ based on a chlorophyll derivative, have also been proposed. Most of these PSs are hydrophobic, even when in some cases chemical modifications can be designed to yield hydrophilic derivatives. In general terms, even when their commercial formulations are used to treat different cancers, the synthesis of these PSs as well as the required structural modifications are difficult. For this reason, non-porphyrin photosensitizers that can be made more easily, thus becoming more synthetically accessible, have started to receive research interest.

2b. BODIPY and derivatives

BODIPY dyes, based on the 4,4-difluoro-4-bora-3a,4a-diaza-s-indacene core, have also been suggested to be used as PSs for PDT. In general terms, such compounds show high absorption



coefficients, low photobleaching, relatively low toxicity in the absence of light, and low influence of the chemical environment on their properties.¹⁶ However, they possess high luminescence efficiency, meaning that the intersystem crossing transitions generally have a low probability. The consequence of this is that they produce a low level of ROS. This can be improved by modifying their structure, in most of the cases by incorporating heavy atoms. For example, the ROS quantum yield improves up to 50 times when two iodine atoms are introduced in the core structure.³⁸ Further modifications of the BODIPY core with the introduction of up to four iodine atoms and a *p*-tolyl hydrocarbon substituent give rise to structures with high absorption coefficients. These species can be excited from 548 to 581 nm and show quantum yields higher than 0.83 for the production of ROS³⁹ (Fig. 2e). Absorptions shifted to the NIR region can be obtained by using aza-BODIPY derivatives, as is the case of dibromo-aza-BODIPY (known as ADPM06, Fig. 2f), at expenses of slight changes in their absorption coefficients and the ROS quantum yield generation, as well as some aqueous solubility issues.^{8,40} Aza-BODIPY derivatives have been suggested to be closer to clinical development than any other subcategory in their category, and although they are less synthetically accessible,¹⁶ they currently attract wide research attention.⁴¹ In general terms, BODIPY-based PSs are hydrophobic, but as in the previously commented species, hydrophilic derivatives can be obtained after appropriate chemical modifications.⁴²

2c. Transition metal complexes, aggregation-induced emission species, nanoparticles, and other structures

Similar quantum yields for the production of ROS than those shown by traditionally used organic dyes have also been reported for some transition metal complexes, as occurs with [Ru(bpy)₃]²⁺ (excitable at 452 nm)⁴³ and rac-Ru[(dmb)₂(IP-3T)]Cl₂ (known as TLD1433, Fig. 2g, excitable at 525 nm, and used for the treatment of nonmuscle-invasive bladder cancer). In general terms, transition metal complexes show rich photochemistry, given their variety of excited-state electronic configurations accessible with visible and near-infrared light, which can be designed to enhance both energy- and electron-transfer processes. Other metal complexes of Pt(IV), Ru(II), Rh(III), Ir(III) and Os(II) have also been proposed.⁴⁴ Rhenium(I) carbonyl complexes with ligands such as bpy, phen, pyridazine derivatives and BODIPY have also been reported and used, reaching high quantum yields for the production of ROS.⁴⁵

Aggregation-induced emission (AIE) species, also known as AIE luminogens or AIEgens, have recently emerged as a new class of luminescent materials with applications in biosensing. Such species show an enhanced luminescence upon aggregate formation, contrary to what usually happens with conventional organic dyes, in which the fluorescence is weakened or quenched in concentrated solution or in the aggregated state,⁴⁶ and might suffer from attenuated fluorescence and insufficient ROS generation.⁴⁷ This phenomenon was first observed in molecules with twisted structures and freely rotatable peripheral aromatic moieties, as is the case of hexaphenylsilole,⁴⁸

tetraphenylethene, diphenylfumaronitrile and distyreneanthracene,⁴⁹ and it has been associated with the restriction of intramolecular motions, rotations and vibrations within the molecule when they are in an aggregated state.⁵⁰ AIEgens are used in the development of fluorescent biosensors with superior sensitivity, but in some cases, their molecular structures can be designed to show both high fluorescence and efficient ROS generation upon aggregation.⁵¹ Taking into account that most of the PSs show a hydrophobic character, and they thus tend to aggregate in aqueous media, AIEgens are currently attractive PSs, receiving research attention and having been proposed for image-guided PDT.^{52–54} An AIEgen-based PS containing a tetraphenylethylene moiety is shown in Fig. 2h.

Nanoparticles (NPs) can also be used in PDT, not only as a functional carrier, as will be discussed in the following section, but also as a ROS source or for the modulation of the production of other species.^{55,56} In general terms, they offer a wider possibility of excitation, ranging from ultraviolet to visible, infra-red and even X-rays, as well as higher chemical stability, when compared to that of their molecular counterparts. Nanoparticles with uses in PDT can be classified into three categories, as follows: (1) NPs capable of producing ROS by themselves. This is the case of fullerenes and their derivatives. Such structures have an extended π -conjugation which allows them to absorb visible light, and also show a high triplet yield that can thus generate reactive oxygen species upon illumination.⁵⁷ Their main drawback is that they are highly hydrophobic and consequently are prone to aggregates, thus requiring appropriate functionalization or encapsulation strategies for their use.⁵⁸ (2) NPs consisting of an absorbing semiconducting polymer, which can act as a PS, as is the case of PCPDTBT,⁵⁹ and other polymer-based structures.⁶⁰ (3) Nanostructures composed of well-known photoactive materials such as titanium dioxide (TiO₂) have also been suggested,⁶¹ although the fact that they absorb in the UV region of the spectra limits their use in the treatment of superficial tumours.⁶² Core-shell nanostructures based on titania-coated upconversion nanoparticles (UCNPs) have been recently proposed to overcome the limitation of the UV excitation requirements of TiO₂-based structures.^{63,64} UCNPs are able to absorb NIR radiations and emit visible and UV light, which excite TiO₂. A similar strategy has been used for graphene quantum dots decorated with UCNPs.⁶⁵ This concept introduces the second group of NP-based PSs, in which the inorganic NPs absorb radiations and transfer them to the active PS species, including possible FRET processes.^{66,67} CdSe/CdS/ZnS quantum dots conjugated with organic dyes such as Rose Bengal or chlorin e6⁶⁸ are representative examples of nanostructures also belonging to this category. Some inorganic silicate-based NPs have also been proposed as X-ray excitable nanosensitizers, combining the advantages of both radiotherapy and PDT.⁶⁹ Finally, some nanostructures can also act as quenchers of the luminescence of active PSs, thus enhancing the possibilities of non-radiative relaxation and then the efficiency of the production of ROS. This is the case, for example, of carbon nanotubes, which have been used to improve the ROS efficiency production in chlorin by quenching its luminescence.⁷⁰



3. Incorporation into nanostructures

The third- and fourth-generation PSs are those in which the active optical species is incorporated into a nano or porous structure. This is a key point for the eventual biomedical applications of such structures, given that nanostructures possess the size in which most of the biological interactions take place.¹⁷ Specifically, to prevent fast renal clearance from the circulation and non-specific permeation across fenestrated sinusoids of the lymphoid, liver, bone marrow or spleen, the size of the NP carriers must be >20 nm.⁷¹ However, NPs of about 100–200 nm may pass through the pores of the vessels in the tumor microenvironment.⁷² Thus, NPs within a size range of 20–50 nm would be a better choice. Moreover, in terms of delivery, it should be noted that many drugs suffer from poor solubility and stability,⁷³ especially most of the PSs. Thus, their incorporation into nanostructured carriers usually improves the delivery efficiency,^{74,75} and can also allow a spatiotemporally controlled release of the drug in response to specific stimuli.⁷⁶

Gold, silica, iron oxide and upconverting nanoparticles (UCNPs) are inorganic NPs widely used in biomedicine, and many examples of the incorporation of PSs into such structures can be found in the literature. Polymer-based NPs have also been described, with a special interest in natural biodegradable polymers such as alginate and chitosan, and other carbohydrate and protein derivatives. Amphiphilic structures forming micelles and vesicles are also widely used as carriers for PDT, as well as nanosized metal–organic frameworks (MOFs), which have been proposed to carry diverse PSs, either by encapsulation of the PSs into the pores of the MOFs or by the integration of the PSs as building units of the framework structure.

Depending on the PS nature, different strategies for the attachment/conjugation to the carriers have been reported, including encapsulation, covalent conjugation, physical adsorption, and hydrophobic interactions, among others.⁵⁵

The advantages and disadvantages of the incorporation of PSs into both inorganic and organic nanostructures are related to the properties or characteristics of the nanostructures themselves. On one hand, inorganic nanostructures can provide the systems with additional functionalities, as is the case of magnetism and luminescence. Moreover, their physico-chemical properties are more dependent on the nature and size of the material, which are easily modified in most of the NP types, providing a better control of their properties.⁷⁷ On the other hand, organic self-assembled nanostructures such as liposomes are especially appropriate for the encapsulation of hydrophobic cargos, and thus for drug delivery applications.⁷⁸ The upscaling and the large-scale production of organic nanostructures are much more developed, which also explain the commercial availability of many liposome-based formulations. Another important point to consider is the toxicity. In general terms, inorganic nanostructures usually show higher toxicity levels than their organic counterparts, which in many cases can also be biodegradable. This can somehow limit their uses for *in vivo* applications.⁷⁹

3a. Inorganic nanostructures

Gold nanoparticles have been widely studied in the last few decades, and are probably the most widely used inorganic nanoparticles in nanomedicine, being of special interest for delivery applications, among others.⁸⁰ This is in fact the most important role played by Au NPs within PDT, which in most of the cases act as a passive carrier and are used to deliver photosensitizer agents for the PDT of cancer. In some cases, the production of ROS with gold nanostructures themselves has been reported, even when the mechanisms are not fully understood and are currently an open topic.^{81–83}

PSs can be incorporated into gold nanostructures either by non-covalent interactions (based on ionic, electrostatic or hydrophobic forces) or by forming covalent bonds with the Au surface, usually using thiolated linkers. The formation of N-bonds with the Au surface has also been described.⁸⁴ One of the strategies reported in the literature consists of a previous modification of the PS by adding thiol groups to its structure. Given the high affinity of sulfur for metallic gold, Au NPs can be easily functionalised with such species. This is the case of Au NPs functionalised with Zn(II)-phthalocyanine, in which the Au NPs are synthesised by following a relatively standard strategy consisting of the reduction of gold precursor in THF in the presence of Zn(II)-phthalocyanine disulfide (C11Pc), which is eventually linked to the gold surface NPs by forming an Au–S bond. The presence of PEG-containing thiol groups, which also link to the Au NPs, has been shown to enhance the solubility of the nanostructure and also to improve the efficiency of the PDT⁸⁵ (Fig. 3a). This strategy has also been reported for PSs such as thiolated palladium tetrapyrrole complexes.⁸⁶ Although much weaker, the incorporation of PSs through the formation of an Au–N bond has also been reported. As an example, the terminal amine of a silicon phthalocyanine 4 (Pc4) can be entrapped into PEG-functionalised Au NPs, giving rise to a kind of entrapped structure which the authors define as a “cage-type structure”, that can be used to encapsulate hydrophobic PSs.⁸⁷ However, given the weak Au–N bond, other stabilising ligands, such as PEG derivatives, are usually demanded.

Typical functionalization of the already synthesised Au NPs has also been reported, as is the case of the conjugation of thiolated PEG–Au nanostars with chlorin e6 through the widely used EDC chemistry.⁸⁸ The same strategy can be used with other linkers or gold nanoparticle shapes, as occurs with glutathione-functionalised Au nanostars further linked with chlorin e6, by using a similar chemistry.⁸⁹ Other PSs such as indocyanine green (ICG) can be electrostatically bonded to gold-bearing nanostructures, for example, Au nanorods/MoS₂ nanoagents,⁹⁰ or porous Au@Rh nanostructures. The latter constitutes one example of the fourth-generation PSs⁹¹ (Fig. 3b). Zn porphyrins can also be electrostatically attached to Au NPs functionalised with amphiphile pyridinium salts by following a similar strategy.⁹²

Under appropriate circumstances, Au NPs can play a relatively active role in PDT, even when in some cases, as previously commented, they have been claimed to produce ROS without a PS. Au NPs themselves can, in some cases, modify the ROS



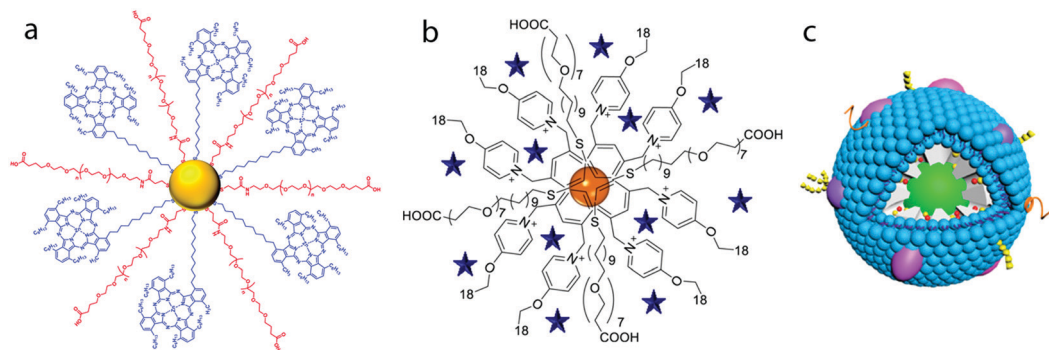


Fig. 3 (a) A phthalocyanine derivative (blue ligand) incorporated into PEG-functionalised Au NPs. Taken from ref. 85. Reproduced with permission from the Royal Society of Chemistry, Copyright©2016; (b) pyridinium-stabilised gold nanoparticles with an incorporated anionic Zn(II) porphyrin (blue stars). Taken from ref. 92. Reproduced with permission from Elsevier, Copyright © 2017; (c) silica-coated UCNP. The PSs zinc phthalocyanine (ZnPC) and merocyanine 540 are incorporated into the mesoporous silica coating. An additional coating with a stem-cell membrane provides the nanostructure with long circulation and tumour-targeting capability. Taken from ref. 105. Reproduced with permission from the American Chemical Society, Copyright©2016.

production efficiency of active species, given that their fluorescence can be enhanced or quenched due to their proximity to the Au NP surface. The metal-enhanced fluorescence (MEF) usually occurs for fluorophores located at *ca.* 5–30 nm from the metal surface, while quenching effects in PS-functionalised Au NPs in which the fluorophore is on or very close to the metal surface give rise to metal-enhanced singlet oxygen production (ME^1O_2).⁹³ The latter leads to an enhanced PDT effect. This is, for example, the case of Au NPs functionalised with short carbon chain zinc phthalocyanines, which showed higher ROS efficiency production when compared with that of their long carbon chain counterparts.⁹⁴ Another advantage of the use of PS-functionalised Au NPs is their possible applications in multimodal therapies, given that under appropriate conditions, Au NPs themselves can also be employed for PTT, and thus produce heat for killing cancer cells. This has been reported, for example, for an Au nanoechinus structure, which can be used to treat tumours in mice by both PDT and PTT under NIR irradiation,⁸¹ and in porphyrin-coated gold nanoshells deposited on silica spheres.⁹⁵ A double chemo- and photodynamic therapy has also been proposed for doxorubicin- and chlorin e6-functionalised gold nanoclusters.⁹⁶

The use of other inorganic nanostructures has also been reported in the literature. Silica derivative-based nanostructures are also used for PDT.⁹⁷ In general terms, silica nanostructures are well known for their biocompatibility and the possibility of subsequent functionalization, as well as for the usually high colloidal stability of the final products.⁹⁸ Two main groups of nanostructures can be mostly found in the literature. On one hand, the incorporation of PSs into mesoporous silica-based materials is of special interest, and this constitutes one type of the so-called fourth-generation PSs. On the other hand, silica-derived structures are often used as a coating material of other nanostructures. One example of the first case is silica particles loaded with the photosensitizer Ce6. The most important advantage of such structures is the possibility to incorporate other active species, such as radionuclides, to activate the PS.⁹⁹ The rich chemistry of these materials, including the possibility

of layer-by-layer deposition of different compounds, can also be used to design multifunctional carriers that can be used for both PDT and chemotherapy. These structures can also show luminescence properties due to the incorporation of rare-earth cations¹⁰⁰ or lanthanide upconversion nanoparticles.¹⁰¹ Examples of silica particles functionalised with polymers containing the active PS can also be found in the literature.¹⁰² Examples of the use of silica as a coating material of other nanostructures are the porphyrin-containing silica coating of Au nanorods,¹⁰³ and upconverting nanoparticles coated with mesoporous silica, where PSs can be loaded in the porous matrix, as well as targeting moieties and other functional inorganic NPs can be attached to the silica surface^{104,105} (Fig. 3c). Other mesoporous nanostructures with uses in PDT have also been reported. Some recent examples include X-ray induced deep-penetrating PDT mesoporous silicate nanosensitizers,⁶⁹ mesoporous Ag-embedded ZnO nanocomposites,¹⁰⁶ mesoporous ruthenium¹⁰⁷ and gadolinium orthoniobate¹⁰⁸ NPs, and mesoporous cerium oxide-coated upconversion nanoparticles.¹⁰⁹ These nanoplatforms are often used for both chemo- and photodynamic therapies, and in some cases also for bioimaging.

Iron oxide nanoparticles (IONPs) are also often used in nanomedicine given their ability to reach the desired site of treatment upon application of an external magnetic field, also known as magnetic targeting, and their relatively good biocompatibility. Moreover, their possibility of generating heat upon alternating magnetic field stimulation makes them suitable for multiple therapies of tumours, including magnetic hyperthermia.¹¹⁰ Different examples of IONPs functionalised with PSs can be found in the literature.^{111–114} Iron oxide NPs can also be incorporated into different nanostructures to couple photodynamic therapy (PDT) to magnetic hyperthermia (MHT) and thus to obtain multifunctionality. One example is liposomes that combine both such magnetic nanoparticles and a photosensitizer, in which the IONPs are introduced into the liposome cavity *via* a water-in-oil emulsion strategy, and the hydrophobic photosensitizer m-THPC is eventually enclosed in the lipid bilayer.¹¹⁵ Combination with other materials such as



SiO₂ and rare earth-based NPs to create more complex nanostructures has also been reported in the literature.¹¹⁶

3b. Organic nanostructures

Capsules, micelles and vesicles are structures widely used for the delivery of many drugs and molecules of biomedical interest, and also have applications in PDT.

Polyelectrolyte-based capsules have also been used for the encapsulation of PSs. These structures are usually synthesised by following the layer-by-layer (LbL) strategy, which involves the alternate deposition of layers of polyelectrolytes of opposite charge on an inorganic core, which is eventually dissolved.¹¹⁷ Given that in most of the cases the PSs are relatively small molecules, they are usually incorporated by incubation with previously synthesised polyelectrolyte-based capsules (post-loading strategy), as in the case of a water-soluble PS zinc phthalocyanine choline derivative, which can be incorporated into polyelectrolyte capsules by incubation at both room temperature and at 80 °C.¹¹⁸ Hydrophilic PSs can also be incorporated into the capsule wall during the layer-by-layer process, as in the case of silicon(IV) phthalocyanine.¹¹⁹ However, hydrophobic PSs are preferably incorporated into polyelectrolyte-based capsules during the inorganic core precipitation (preloading strategy). One example of this is Hypocrellin B (HB)-loaded polyelectrolyte capsules.¹²⁰

Micelles are spherical, ellipsoid, cylindrical, or unilamellar nanostructures, mostly formed by amphiphilic molecules in an aqueous solution that self-assemble into a structure containing a hydrophobic core and a hydrophilic corona. The size of a typical micelle ranges from 5 to 100 nm.¹²¹ Polymeric micelles are emerging as attractive drug delivery systems¹²² and are usually used to carry hydrophobic drugs, which are contained in their hydrophobic inner core. Micelles and other self-assembled structures based on low molecular weight amphiphiles are also attracting research interest due to the possibility of a more controlled supramolecular structural design,^{123–125} at the cost of possible stability issues,¹²⁶ for example, toward dilution.¹²⁷ Additional polymerization processes¹²⁸ on such self-assembled nanosystems provide them with enhanced stability, thus making them suitable for delivery applications.^{129,130} Given that many PSs are hydrophobic, micelles are ideal carriers to be used for PDT.^{131–133}

Micelles can also be functionalised with additional moieties providing them with new functionalities, especially with targeting molecules. However, the biggest disadvantage of these nanostructures is their lack of stability in the physiological environment, given that they may dissociate and thus provoke premature drug release, thus limiting their efficacy as a drug carrier.¹³⁴ Several strategies such as the use of cross-linking structures, production of polymeric prodrug micelles, and design of micelles with lower critical micelle concentration (CMC) or even unimolecular micelles have been proposed to overcome such limitations.¹³⁰

Two main strategies to introduce the PS into micelles can be found in the literature. On one hand, the PS can be incorporated into the amphiphile, and eventually form the micelles

after self-assembly. On the other hand, the micelles can be first prepared by following standard methods, and the PS can be later incorporated or loaded into the structure. Regarding the first group of examples, a common protocol is to use a hydrophobic PS such as porphyrin as the hydrophobic block of the amphiphile, and conjugate it with hydrophilic polymers, yielding PS-containing micelles after their self-assembly in water.¹³³ This strategy can be used with other PSs, as in the case of hydrophobic iridium(III) complexes that are conjugated to hydrophilic PEG, giving rise to an amphiphilic block copolymer, and eventually to micelles.¹³⁵ A slight variation of this strategy is the conjugation of the hydrophobic PS with large dendritic wedges that are supposed to sterically prevent or weaken the aggregation of the center dye molecules, and a subsequent conjugation with hydrophilic polymers such as PEG to eventually form micelles after self-assembly.¹³⁶

Hydrophobic PSs can also be linked to the hydrophobic tail of amphiphilic block copolymers. This has been reported for a porphyrin, which was linked to the polycaprolactone (PCL) part of a PCL-PEG copolymer *via* an acetal group. This type of porphyrin-based micelles can also be loaded with anti-cancer drugs such as hydrophobic doxorubicin (DOX) (Fig. 4). For such nanostructures, PDT reinforces the delivery of anticancer drugs, given that the formed ROS under irradiation induces the breakdown of endosome and lysosome membranes, and this improves the drug release and facilitates the drug translocation into the cell nucleus.¹³⁷ These multifunctional systems combine chemotherapy with PDT and are proposed as a potential vehicle for overcoming drug resistance in chemotherapy. Examples for loading with more than one anticancer drug can also be found in the literature.¹³⁸

In some cases, the PSs can also be linked to the hydrophilic part of an amphiphilic molecule by following the appropriate chemistry. For example, the porphyrin derivative monoaminoporphyrin can be linked to the hydrophilic segment of the poly(2-aminoethyl methacrylate) (PAEMA)-PCL diblock copolymer. In this case, given that the porphyrin moiety was connected to the hydrophilic part of the polymer, its aggregation in aqueous media could be avoided, and thus the quantum yield and effective energy absorption could be improved, which resulted in the improved photodynamic efficacy.¹³⁹ In other

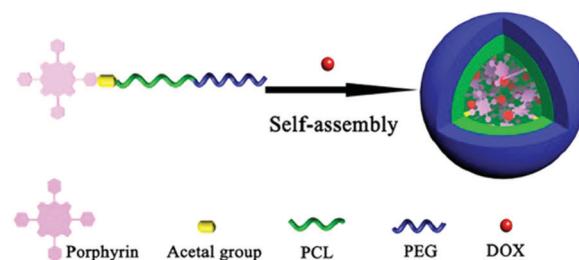


Fig. 4 Example of a micelle formed from an amphiphilic molecule, in which a porphyrin has been linked to the hydrophobic tail (PCL) of an amphiphilic block copolymer. The anticancer drug DOX has also been incorporated. Taken from ref. 137. Reproduced with permission from the American Chemical Society, Copyright©2017.



cases, porphyrins can act as a crosslinker within an amphiphilic block copolymer, increasing the stability of the formed micelles.¹⁴⁰

Structures related to micelles and based on the self-assembly of hydrophobic polymers with hydrophilic carbohydrate derivatives have also been reported, as in the case of poly(3-hexylthiophene-2,5-diyl) (P3HT)–poly(styrene-*co*-maleic anhydride) (PSMA) core glyco-shell nanodots decorated with poly(styrene-*co*-maleic anhydride) (PSMA), in which the sugars are used as targeting moieties, and the P3HT is responsible for producing ROS species under irradiation.¹⁴¹

The second group of strategies consists of the incorporation of the PS into already formed micelles. In these cases, the result is the photosensitizer is encapsulated and stabilised in aqueous media inside the micelle.^{142,143} In other studies, the photosensitizer can be encapsulated in the micelles during the same micelle formation process.¹⁴⁴

Liposomes are quite biocompatible round bubbles consisting of an aqueous core encapsulated by natural or synthetic phospholipids. They are one of the most advanced delivery vehicles currently used in nanomedicine, with several formulations approved by the U.S. Food and Drug Administration (FDA).¹⁴⁵ This is reflected in the high number of studies and formulations that can be found in the literature. Their structure makes them suitable for the encapsulation of both hydrophilic and hydrophobic drugs, which can be incorporated into the core or within the lipid bilayers, respectively. Liposomes are quite advantageous in terms of biocompatibility and biodegradability, although the first-generation liposomes usually suffered from high-cost production, stability in different media, relatively low encapsulation efficiency, and short plasma half-life.¹⁴⁶ Physico-chemical parameters such as the liposome size, surface charge, steric stabilization, membrane lipid packing or route administration can play a key role in the pharmacokinetics of the liposomes.¹⁴⁷

Given that liposomes are especially suitable for the encapsulation of hydrophobic drugs and that porphyrin-related PSs are usually highly lipophilic,¹⁴⁸ examples of the encapsulation of PSs for PDT in liposomes have been reported since the last few decades of the last century.^{149–151} Some of these formulations are even currently available as commercial products. For the loading of liposomes, two main loading strategies can be found in the literature. In most of the cases, the typical general synthesis consists of the mixing of the desired phospholipids in the presence of the hydrophobic cargo or PS (passive loading), and a subsequent drying step to form a lipid film. The hydrophobic PS is then entrapped into the lipid bilayer. Liposomes are eventually formed after treatments with aqueous suspensions, which may also contain hydrophilic cargos.¹⁵² One example of this kind of strategy is the encapsulation of the protoporphyrin IX. In this case, an oleylamine-conjugated protoporphyrin is dissolved in chloroform in the presence of a phospholipid, obtaining the liposomes with the PS entrapped into the lipid bilayer after drying and hydration (Fig. 5A). For this system, the conjugation of the PS with oleylamine moieties enhanced the cell membrane interactions of the porphyrin macrocycles, caused more apoptotic

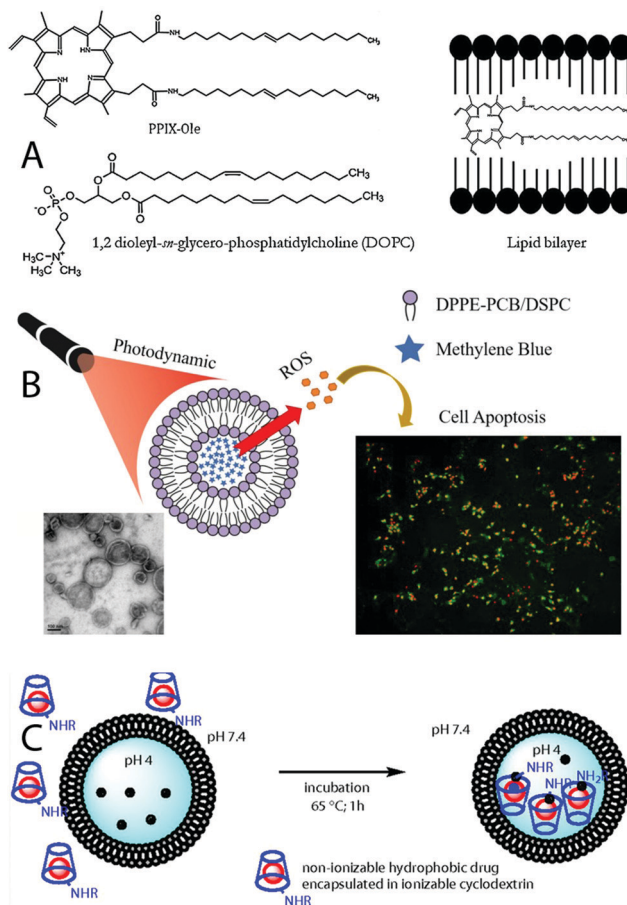


Fig. 5 (A) Encapsulation of a hydrophobic protoporphyrin IX derivative into the lipid bilayer of a liposome. Taken from ref. 153. Reproduced with permission from Elsevier, Copyright©2014; (B) encapsulation of the hydrophilic methylene blue into a liposome core. Taken from ref. 156. Published by MDPI; (C) previous encapsulation of a hydrophobic cargo into cyclodextrins, and incorporation into a liposome. Taken from ref. 157. Reproduced with permission from the National Academic of Sciences, Copyright©2014.

effects, and helped load the porphyrin into the liposome lipid bilayer.¹⁵³ Similar strategies have been used to encapsulate BODIPY-based PSs in lipidic structures.¹⁵⁴ However, the PSs can also be incorporated after incubation with already formed liposomes, as it happens with the hydrophilic 5-aminolevulinic acid (ALA),¹⁵⁵ and also with methylene blue (Fig. 5B).¹⁵⁶ This second strategy is known as active loading. In some cases, highly hydrophobic and nonionizable cargos can be previously encapsulated into cyclodextrins, and later be incorporated into the liposomes (Fig. 5C).^{157,158}

Liposomes are well-known drug carriers, and in fact, some PSs are commercially available as liposomal formulations. Visudyne[®] was one of the first nanoformulations of photosensitizers. It consists of a liposomal formulation of verteporfin, a semisynthetic porphyrin derived from protoporphyrin, and it was approved by the FDA in 2000 for the treatment of age-related macular degeneration (AMD), and in 2001, for the treatment of pathological myopia.¹⁵⁹ Foslip[®] is a more recently developed third-generation PS based on a liposomal formulation of



With the objective of multifunctionality, some PSs such as the hydrophobic pyropheophorbide acid, a chlorophyll derivative which can be used either as a PS or as a fluorescent reagent, are encapsulated into a liposome structure and can be employed for imaging-guided photodynamic therapy.¹⁶⁷ As previously commented, another advantage of liposomes is the possible incorporation of both hydrophilic and hydrophobic cargos, and this allows the design of multi therapy systems. This is, for example, explored in the incorporation of the hydrophilic metformin, a compound that improves tumour oxygenation,

As in many other cases, within drug delivery, a controlled release of the cargo is one of the research topics that attracts wide attention. This is, for example, considered in the encapsulation of aggregation-induced emission PSs, that allows controlled photosensitization. Such PSs are hydrophobic and can be entrapped into the liposomal lipid bilayer, where they lose their photosensitivity. Once the liposomes have reached the tumour tissue and decompose, the released PSs are able to reaggregate and recover their properties.¹⁷⁰

In the particular case of PDT, the main advantages of MOFs can be summarized as follows:^{180,181} (i) their exceptional structural and compositional tunability allows the incorporation of a myriad of PSs with a high PS loading capacity thanks to their remarkable high surface area; (ii) their structural regularity (*i.e.*, well-defined porosity) allows confined PSs to isolate from each other, which is quite important for avoiding self-quenching that would diminish their therapeutic efficiency; (iii) rather than encapsulating the PS molecules into the pores, photosensitizing organic ligands (*e.g.*, porphyrins¹⁸² and BODIPY^{183,184}) can also be incorporated as linkers within the MOF structure; (iv) their high porosity facilitates the diffusion of ROSS, allowing $^3\text{O}_2$ and $^1\text{O}_2$ to diffuse freely in and out of the framework; (v) their intrinsic biodegradability¹⁸⁵ and minimal toxicity^{171,179} by judicious choice of their composition (*i.e.* metal ions and linkers), as well as the possibility to be synthesized at the nanoscale (*i.e.*, nanoscale MOFs (nMOFs)),^{171,178,186} provide MOFs with key requirements for bioapplications; and (vi) nMOFs can be designed to be degraded/decomposed upon endogenous

chemical triggers (e.g., pH, GSH^{187,188}) or even to be activated by external energy stimuli (i.e. light¹⁸⁹), which is highly desirable for the development of activable PS nanoplatforms.

While the above-mentioned nanoparticle-based PSs have met limited success in PDT due to the difficulty in simultaneously optimizing ROS generation and targeted delivery to intracellular organelles to exert cytotoxic effects, nMOFs can be rationally designed to play multiple synergistic functions/roles without relying on cytotoxic agents, which often results in severe general toxicity. Therefore, the multifunctionality potential of nMOFs is the strong distinctive point to make the difference. By combining the advantageous features of MOFs, a plethora of PS-based MOF nanoplatforms for PDT have been designed, and many of them yielded quite promising results as it will be illustrated in the following by means of some selected examples.

In 2014, Lin and co-workers reported for the first time the use of porphyrin-based MOFs to address some of the limitations of PDT in difficult-to-treat cancers, such as the low accumulation of PSs in tumours and the low PDT efficiency because of PS aggregation and limited ¹O₂ diffusion.¹⁹⁰ They prepared Hf-porphyrin nMOFs, consisting of Hf-oxo clusters and 5,15-di(*p*-benzoato)porphyrin (H₂DBP) as ligands, which efficiently generated ¹O₂ due to site isolation of porphyrin ligands, enhanced intersystem crossing by heavy Hf centers, and facilitated ¹O₂ diffusion through the porous structure. Consequently, these nMOFs presented a good PDT efficacy both *in vitro* and *in vivo* by using murine head and neck squamous cell SQ20B, leading to complete tumour eradication in half of the mice receiving a single nMOF dose and a single light exposure. Although this approach of incorporating the PS as a linker within the MOF framework is only applicable to a limited number of PSs, whichever PS can, in principle, be encapsulated during the formation of the MOF or further introduced by following post-synthetic modifications. In this way, different kinds of PSs (e.g., Ce6, TPEDC, and TPETCF) were successfully encapsulated into MIL-100(Fe) and proposed as a general strategy to yield activatable PSs.¹⁹¹ While the PSs were encapsulated into the MIL-100, their photosensitization capability was suppressed due to their isolation from O₂. Upon reaction between iron(III) in MIL-100 and intracellular H₂O₂ (Fenton reaction), the framework of MIL-100(Fe) collapsed, releasing the PSs, and thereby activating photosensitivity by regaining contact with O₂. Particularly, the TPETCF@MIL-100 system was tested both *in vitro* and *in vivo* on 4T1 cells and 4T1 tumour-bearing mouse models, which displayed an enhanced PDT efficiency compared to that of the free TPETCF upon white light irradiation. Similarly, phthalocyanine PS (ZnPc) was encapsulated into the micropores of ZIF-8.¹⁹² Under weak acidic conditions (pH 5.0) found in tumour microenvironments, ZIF-8 was decomposed to release ZnPc, which induced the production of ¹O₂ in cells after 650 nm light irradiation, resulting in the PDT effect. Despite the fact that these last examples seem effective, since the nMOF pores are filled with the PS, the potential use of these nanosystems for combinatorial therapy, for example, by introducing chemotherapeutics, is compromised. In this sense, and with the goal of developing multifunctional nanoplatforms that integrate different therapeutic modalities, such as chemotherapy and PDT, in one

single nanoparticle to pursue high therapy effectiveness, the integration of the PS as a building unit of the nMOF is a more beneficial approach.

Although the examples discussed so far led to improved PDT effects, the therapeutic efficiency of PDT in a real scenario is severely limited by the low concentration of O₂ in solid tumours (i.e. tumour hypoxia), which occurs because the oxygen supply is reduced by the uncontrolled proliferation of cancer cells.^{193,194} Moreover, the vascular damage produced during the PDT process further worsens hypoxia, and in turn limits the PDT efficiency.¹⁹⁵ As a result, tumour hypoxia is known as the “Achilles’ heel” of traditional PDT.¹⁹⁶ Various nMOFs relying on different strategies have been explored to address the limitation of tumour hypoxia, which can be grouped into two types: oxygen self-supplement systems to promote *in situ* oxygen generation, and “oxygen shuttles” to carry and deliver oxygen into tumours. As an example of the first strategy, Fe-based MOFs able to decompose intracellular hydrogen peroxide (H₂O₂) for the *in situ* generation of O₂ were reported.¹⁹⁷ Fe-TBP MOFs, assembled from Fe₃O clusters and 5,10,15,20-tetra(*p*-benzoato)porphyrin (TBP) ligands, catalysed a cascade reaction when irradiated under hypoxic conditions, in which intracellular H₂O₂ was decomposed by the Fe₃O clusters to produce O₂ through a Fenton-like reaction, whereas the generated O₂ was converted to cytotoxic singlet oxygen (¹O₂) by photoexcited porphyrins. After PDT treatment, Fe-TBP effectively regressed locally irradiated tumours of hypoxic CT26 colorectal adenocarcinoma. Another reported approach for generating O₂ *in situ* inside the tumour is based on the immobilization of platinum nanozymes (i.e. Pt NPs with catalase-like activity) on photosensitizer-integrated MOFs, particularly porphyrinic Zr-MOF nanoparticles (PCN-224).¹⁹⁸ *In vivo* PDT *via* intratumoural and intravenous injection of these PCN-224-Pt nanocomposites showed enhanced PDT efficiency *via* H₂O₂-activated evolution of O₂ and light-irradiated formation of ¹O₂ (Fig. 6A) at the tumour site. In this regard, ZIFs (a subfamily of MOFs) and Cu-doped ZIFs can serve as an O₂ reservoir to quickly release O₂ once reaching the tumour by exploiting their pH-triggered decomposition at the acidic tumour microenvironment (Fig. 6B).^{199,200}

Unfortunately, due to the severe hypoxia existing in malignant tumours, O₂ supply is still less effective for type II PDT. Different from the type II route, some recent approaches are focused on the development of nMOFs with a potential to promote type I PDT, which consists of the directly activated reactions between PS and substrates *via* a hydrogen- or electron-transfer process and usually produces hydroxyl radicals (•OH) or superoxide radicals (O₂•⁻). For example, Lin and co-workers recently designed a Ti-TBP nMOF, composed of Ti-oxo chain secondary building units and photosensitizing porphyrin ligands (particularly 5,10,15,20-tetra(*p*-benzoato)porphyrin (TBP)), which enabled hypoxia-tolerant type I PDT (Fig. 7).²⁰¹ Upon light irradiation, in addition to sensitizing ¹O₂ production, Ti-TBP generated superoxide (O₂•⁻), hydrogen peroxide (H₂O₂), and hydroxyl radicals (•OH) *via* transferring electrons from excited TBP* species to Ti⁴⁺ units to form TBP^{•+} ligands and Ti³⁺ centers. It is worth noting that such an



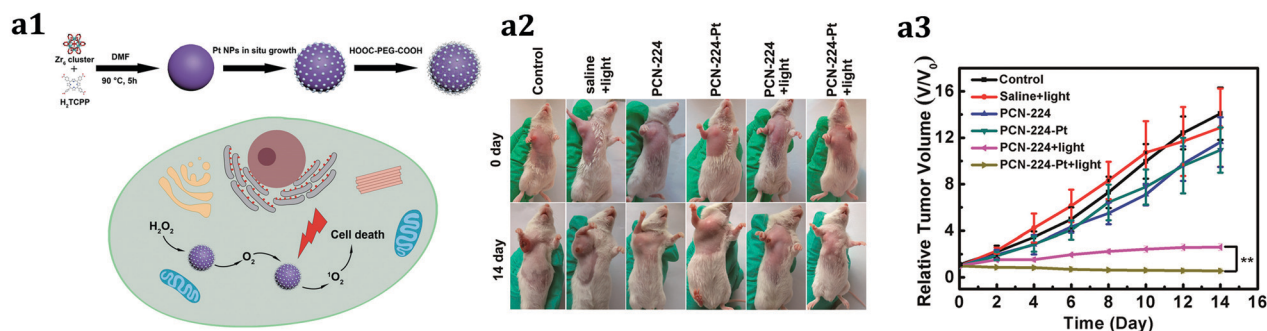
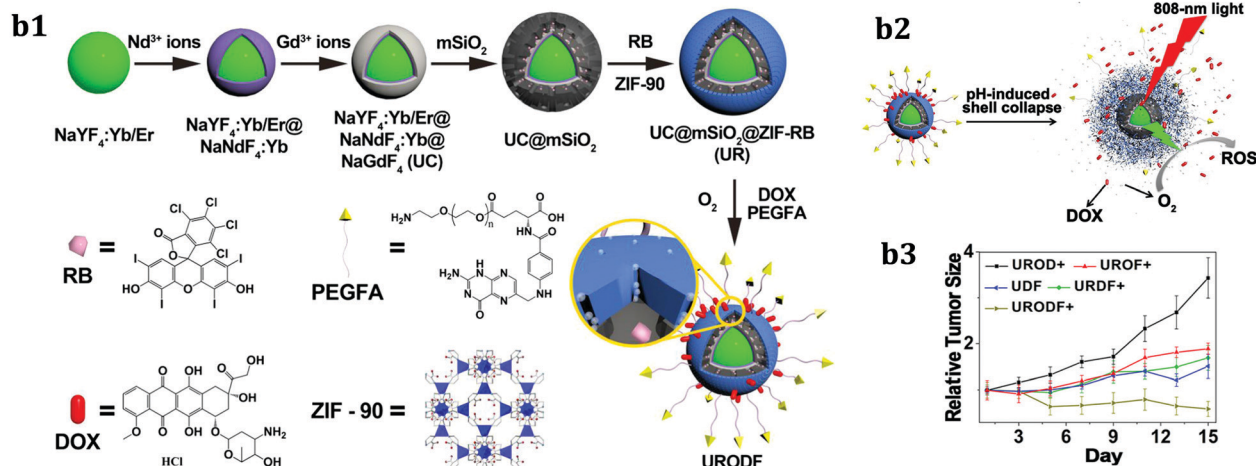
(A) *in situ* O₂ generation(B) O₂-loaded nanoplatform

Fig. 6 Two examples of MOF-based approaches for overcoming tumour hypoxia in PDT type II. (A) *In situ* O₂ generation at the tumour: (a1) scheme of the preparation of porphyrinic Zr-MOF with immobilised Pt NPs as nanoenzymes (PCN-224-Pt); (a2) schematic illustration of PCN-224-Pt performance for enhanced PDT; (a3) photographs of the H22 tumour-bearing mice before treatment and on day 14 after various treatments; and (a4) relative tumour volume after various treatments. Adapted from ref. 198 with permission from the American Chemical Society, Copyright© 2017. (B) O₂-loaded nanoplatform to carry and deliver O₂ at the tumour: (b1) schematic illustration of the preparation of UC@mSiO₂-RB@ZIF-O₂-DOX/PEGFA (URODF) nanoparticles; (b2) scheme of URODF nanoparticle performance as a pH-sensitive double-drug-loaded nanodrug carrier for O₂-enhanced PDT and chemotherapy; (b3) tumour volume growth curves; and (d) dynamic body change of mice in UROD+, UROF+, UDF, URDF+, and URODF+ treated groups during a period of 14 days. Adapted from ref. 200 with permission from the American Chemical Society, Copyright© 2018.

electron transfer (ET) process was facilitated by the proximity of Ti-oxo chains to TBP ligands (~1.1 nm). As a result, four distinct ROSs were generated, thus leading to outstanding anticancer efficacy with >98% tumour regression and 60% cure rate.

Another key issue that limits the PDT efficiency is the overexpression of glutathione (GSH) in cancer cells, which significantly reduces the cytotoxicity of ROS during the treatment. A straightforward strategy to solve this involves using nanomaterials for intracellular oxidation reactions to reduce intracellular GSH levels. Following this idea, Tang and co-workers reported for the first time a Cu(II)-based porphyrinic MOF where the Cu²⁺ were able to adsorb intracellular GSH.²⁰¹ Interestingly, those Cu²⁺ active centers had a dual function: reducing the GSH levels, and simultaneously serving as a PDT photosensitizer, resulting in increased levels of ROS and consequently enhancing the effects of PDT. More recently, Lin and co-workers developed a copper-based MOF as a PDT nanosystem for overcoming

hypoxia and reducing intracellular GSH at the same time.²⁰² They designed a biocompatible and biodegradable O₂-loaded CuTz-1@F127 MOF that could act as a light-activated photosensitizer (PS) to generate hydroxyl radicals (•OH) and O₂ in the presence of hydrogen peroxide (H₂O₂), *i.e.*, type I PDT, under NIR irradiation. In addition, MOF nanoparticles could release adsorbed O₂ to alleviate intracellular hypoxia, and also react with intracellular GSH by means of the Cu⁺ within the framework. *In vitro* and *in vivo* studies demonstrated the high efficacy of PDT of cancers by using this MOF-based system. Importantly, the coating with the amphiphilic polymer F127 enhanced the biocompatibility of the MOF, as confirmed by *in vivo* long-term toxicity, biodistribution and excretion experiments.

Light penetration is another major obstacle to improving the efficacy of PDT, and makes PDT unsuitable for deep-seated tumours. To solve this issue, several research groups have made significant contributions to the development of nMOFs



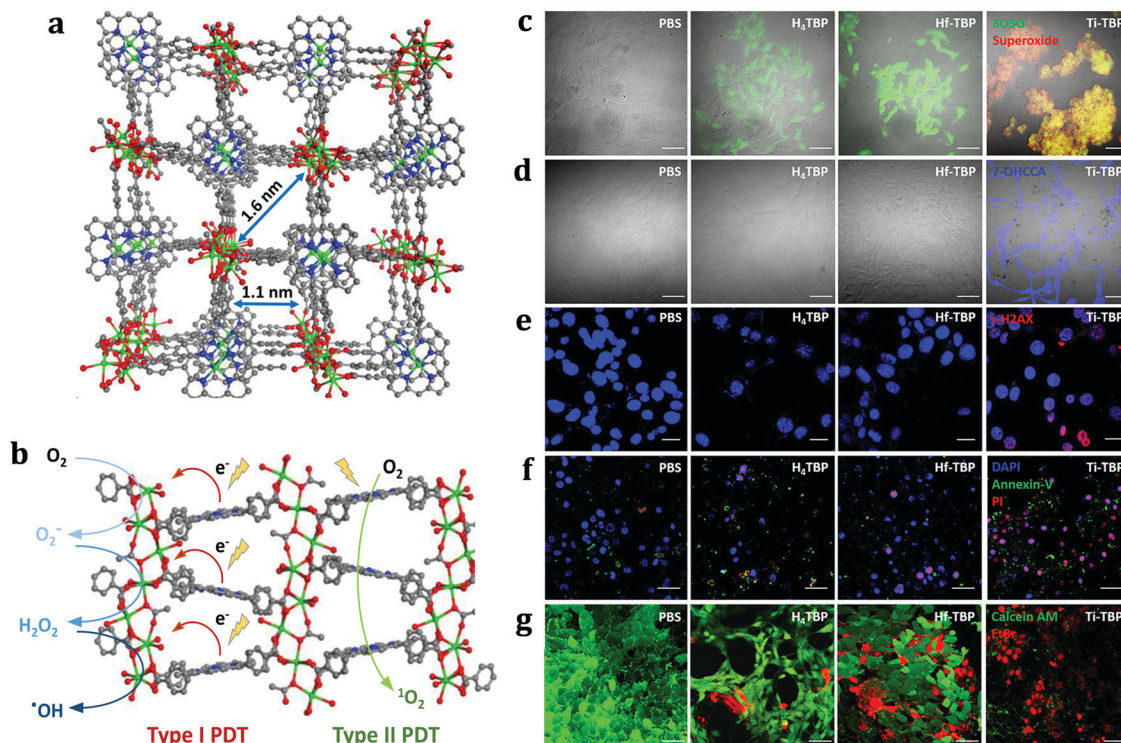


Fig. 7 MOF-based nanoplatform based on PDT type I. (a) Perspective view of Ti- (Ti-TBP) structure along the (010) direction. (b) Scheme showing both type I and type II PDT enabled by Ti-TBP. (c) Detection of $^1\text{O}_2$ (green) and O_2^- (red) generation by SOSG and a superoxide kit. Green and red fluorescence merged as yellow fluorescence. (d) Coumarin-3-carboxylic acid assay showed $^*\text{OH}$ generation via fluorescence of generated 7-OH coumarin-3-carboxylic acid (blue). (e) $\gamma\text{-H}_2\text{AX}$ assays showed DNA DSBs. Scale bar = 20 μm . (f) Annexin-V assay probed apoptotic cell death process. DAPI (blue), FITC-Annexin-V (green), and PI (red) indicate nucleus, apoptotic, and dead cells, respectively. (g) Live and dead cell assay demonstrated the cell-killing effect. Calcein AM (green) and ethidium bromide (EtBr, red) dye indicated live and dead cells, respectively. Scale bar = 50 μm except in part c. (h) MTS assay showed an anticancer effect in CT26 cells. (i) *In vivo* anticancer effect on CT26 tumour-bearing BALB/c mice. $N = 5$. Black and red arrows refer to intratumoural injection and light irradiation, respectively. Adapted from ref. 201 with permission from the American Chemical Society, Copyright©2019.

containing lanthanide nanoparticles to realize near-infrared (NIR)-excited PDT via an upconversion process. One interesting example reported the synthesis of heterodimers through the selective anisotropic growth of Zr-based porphyrinic nMOFs onto UCNP (UCMOFs).²⁰³ Such asymmetric nanostructures could harvest photons beyond the absorption spectra of the native porphyrinic MOFs via the resonance energy transfer from the UCNP to nMOFs, resulting in the production of $^1\text{O}_2$ under NIR light irradiation. Furthermore, by loading the anticancer drug doxorubicin (DOX) into the porous channels of the nMOF, this nanoplatform enabled the combination of chemotherapy and NIR-induced PDT. *In vivo* studies indicated that the tumour growth of mice treated with UCMOFs + NIR was efficiently delayed due to the PDT effect, while in the DOX/UCMOFs + NIR treated group, the tumour growth was completely suppressed, which clearly pointed out that the combination of chemotherapy and PDT is more effective than either modality alone. Similarly, an O_2 -loaded pH-responsive multifunctional nanodrug carrier UCNP@mSiO₂-RB@ZIF- O_2 -DOX-PEGFA (URODF) with enhanced chemo-photodynamic therapeutic effect was fabricated (Fig. 6B).²⁰⁰ NaYF₄:Yb/Er@NaYbF₄:Nd@NaGdF₄ UCNP were employed for dual-modal upconversion/magnetic resonance (MR) imaging, whereas the mesoporous silica (mSiO₂) shell served to encapsulate the photosensitizer Rose Bengal (RB).

This core-shell structure allowed UCNP to harvest 808 nm photons and achieve green emission through the multiphoton process, thereby activating the PS in the therapeutic window. Under acidic conditions, the outmost O_2 reservoir ZIF-90 shell could decompose, allowing quick release of O_2 and DOX at low pH in the tumour microenvironment, and therefore achieving improved synergetic therapy and alleviating tumour hypoxia. *In vitro* cytotoxicity against 4T1 and HeLa cells, and *in vivo* tumour inhibition studies against H22 cancer cells, demonstrated a remarkably enhanced tumour inhibition effect by URODF NPs.

Despite the progress made in the field of nMOFs for PDT, toxicity remains a major concern before nMOFs can be tested on humans. However, the fact that a nMOF formulated for radio-enhancement is already under clinical trial (NCT 03444714)²⁰⁴ may set the stage for other promising nMOFs to be carefully tested for their therapeutic efficacy with the ultimate goal of entering clinical trials. In conclusion, even if many more efforts are still needed to unlock the full potential of nMOFs in PDT, on the one hand, and to solve the toxicity issues, on the other hand, the future of nMOFs in PDT as well as in other cancer therapies is bright.

3d. Targeting moieties

As in many other nanostructured systems, the incorporation of PSs paves the way for additional and improved features of the



resulting material, offering the possibility of multifunctionality and active targeting, among other features.¹⁹

Nanostructures themselves take advantage of the enhanced permeability and retention effect (EPR), and tend to accumulate in tumour and damaged tissues. Such effect is known as passive targeting, which usually helps direct nanostructures to tumour cells. However, in most of the cases, this gives rise to drug concentrations that are not sufficient for the therapy of most cancers.²⁰⁵ The active targeting consists of the incorporation of specific molecules or species, named targeting moieties, which enhance the internalization of the nanostructures with certain types of cells. This effect is based on the interactions of the moieties with receptors overexpressed on the cell surface, especially on tumour cells. Such moieties are specific for certain types of tumour cells, and are mostly based on antibodies, carbohydrates, lectins, peptides, folic acid and different aptamers.^{206–208} Carbohydrates are involved in many cell–cell recognition processes.^{209–211} For example, mannose-6-phosphate has been used to target prostate tumour cells.²¹² Some sugar such as galactose and lactose can also be used as targeting ligands for hepatic tumour cells,^{213–215} while folic acid is used to target ovarian cancer.²¹⁶ Other biomolecule-related targeting moieties can also be found in the literature, as in the case, for example, of peptides for the targeting of prostate cancer.²¹⁷ Different targeting moieties have been incorporated into nanostructures designed to be used in PDT, and some of the recent examples that can be found in the literature will be discussed in this section.

A porphyrinic metal–organic framework, in which NPs are functionalised with folic acid, has been designed to target ovarian tumours. In this nanostructure, the folic acid was conjugated *via* its carboxylate end with the MOF cluster, which possesses available binding sites (Fig. 8a).¹⁸² Sugar-based moieties such as galactose derivatives, showing a great affinity for receptors overexpressed in liver cancer cells,²¹⁵ can be incorporated into nanostructures. The general strategy here consists of the prior incorporation of the sugar moieties into the block copolymers forming the micelle, prior to self-assembly.^{139,218} Integrin $\alpha_v\beta_3$ monoclonal antibodies can be incorporated into nano graphene oxide *via* EDC chemistry, and are used to target $\alpha_v\beta_3$ positive tumour cells. In this system, the PS is the pyropheophorbide-a, which is conjugated with PEG, and is later used to cover the graphene oxide surface.²¹⁹ Similar strategies were reported for a porphyrin derivative–PEG-coated nanographene oxide functionalized with folic acid.²²⁰

Targeting peptides can also be found in the literature. For example, gold nanoparticles functionalised with a thiol-ending PEG conjugated with an epidermal growth factor peptide have been synthesised for the therapy of brain cancer. In this case, a hydrophobic phthalocyanine-based PS is non-covalently adsorbed onto the Au NP surface with the help of PEG (Fig. 8b).²²¹

The coating of UCNPs with SiO₂ also opens up the way to many subsequent functionalization possibilities. For example, the PS Rose Bengal can be incorporated into the silica shell, and the bioconjugation of a monoclonal antibody for epithelial

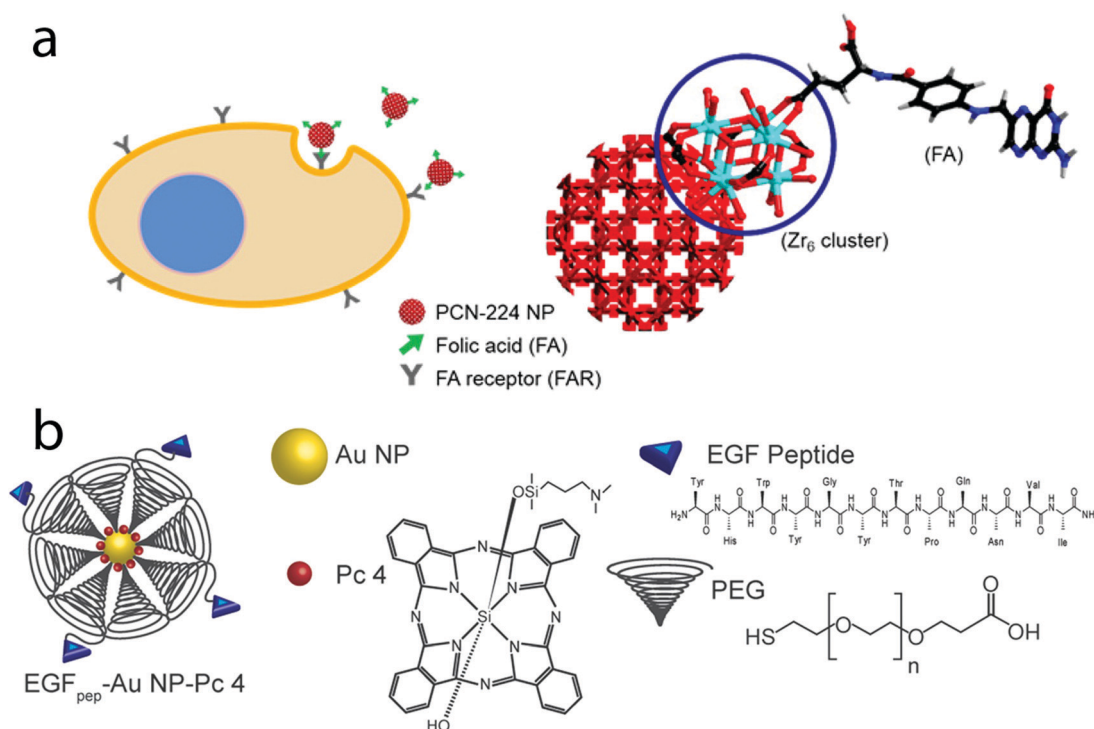


Fig. 8 (a) MOF NPs containing a Zr porphyrin and functionalised with folic acid (FA). Taken from ref. 182. Reproduced with permission from the American Chemical Society, Copyright©2016; (b) Au NPs functionalised with a targeting peptide (EGF)-ending PEG, and containing the phthalocyanine-based PS Pc 4. Taken from ref. 221. Reproduced with permission from John Wiley & Sons, Copyright©2015.



cell adhesion molecules (EpCAM, also known as CD326) on a silica-specific solid-binding peptide facilitates the incorporation of tumour-targeting antibodies onto the silica-coated surfaces. This is used to target and treat human colorectal adenocarcinoma HT-29 cells. As in the previous example, such UCNP allow the production of ROS by excitation with NIR radiation, and also show luminescence properties.²²²

Not only tumour cells but also cellular organelles can be targeted. The special role played by the mitochondria²²³ has recently paved the way for the development of new cancer therapies. In the same direction, the cellular nucleus is one of the most common biological targets for many anticancer therapies.²²⁴ In this context, it is known that some peptides are used as vectors for the mitochondria targeting delivery in cancer stem cells, in which its mitochondrial activity plays a key role, and its regulation has thus been suggested as a new therapeutic strategy to activate cell death.²²⁵ Based on this, the conjugation of both the protoporphyrin IX and PEG with an amphiphilic mitochondria-penetrating peptide, forming micelles after self-assembly, is employed for the PDT in mitochondria.²²⁶ Analogously, the conjugation of an Ir(III) PS complex with human serum albumin (HSA) nanoparticles *via* its histidine and cysteine residues has been reported to target the nucleus of living cancer cells.²²⁷

4. Perspectives and possible evolutions

Despite the considerable progress made over the last ten years in this field, and the significant advantages of PDT to treat certain types of cancers and pre-cancers compared to conventional chemotherapy and radiation therapy, PDT has not become a mainstream cancer therapy. This is due to a number of drawbacks that have to be overcome before the translation of these PDT-based nanostructures into clinical practice in the future. These issues are mainly the following: (i) PDT is not suitable to treat large or

deep-seated tumors since light cannot penetrate deeply through tissues. In this sense, NIR light penetrates deeper into tissues than UV and visible light, and thus, PSs excited with NIR light are desirable; (ii) the fact that PDT is a local treatment makes it not useful for treating metastatic cancers; and (iii) patients treated with PDT typically report high sensitivity to light over a period of time, requiring special precautions after PDT treatment. Current efforts to address these limitations can be grouped mainly into one of the following two approaches: the search for more effective PSs, or the development of new or improved nanostructures capable of improving the localization of PSs in tumors.

Within the first approach, intensive research is devoted to improving the properties of PSs, either by synthesizing new compounds or through modifications of the core of already existing ones, with the aim of obtaining PSs with higher absorption in the optical window, larger extinction coefficients, more efficient singlet oxygen production, excitable with NIR light, and better chemical and physical properties, especially appropriate solubility. It should also be considered that the key property related to the nanostructured system for controlling the location of the PS is its targeting ability. Carbohydrates, proteins, peptides, and antibodies are the most common targeting molecules attached to the surface of the nanostructured carrier system to realize active targeting of PSs.

Multifunctionality is another promising evolution of such systems, which already in some cases, and depending on the complexity and components of the nanostructure, can be used for different therapies (chemotherapy, PDT, and PTT), and also as luminescent probes. Examples of this are micelles loaded with both PSs and drugs, aiming to overcome drug resistance (Fig. 9).²²⁸

Other multifunctional nanostructures can already be found in the recent literature. For example, the functionalization of lanthanide-based UCNP with a hydrophobic polymer and the hydrophilic PEG creates a micelle into which the hydrophobic PS Rose Bengal, as well as other hydrophobic drugs, can be incorporated. In this case, a pansomatostatin synthetic

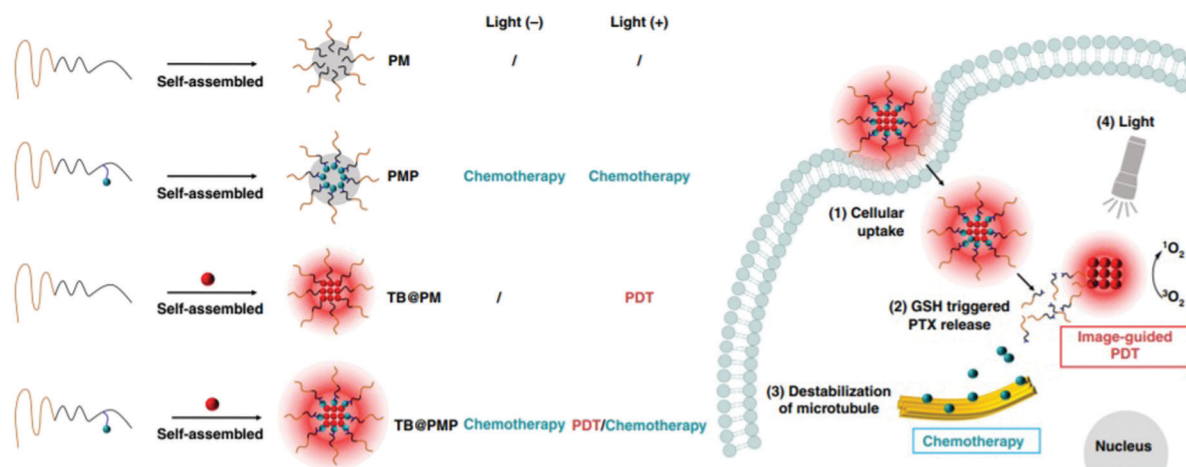


Fig. 9 Micelles designed for both PDT and chemotherapy, depending on the structure and loading. Taken from ref. 228. Published by Springer Nature, 2018.



nonapeptide is conjugated with PEG, which serves as a tumour-targeting ligand for medullary thyroid cancers. Such nanostructures combine chemotherapy, NIR-activated PDT and fluorescence imaging, and also allow controlled drug release, based on a hydrophobic to hydrophilic transition in the polymer shell under irradiation (Fig. 10).²²⁹

Another interesting example of multimodal therapeutic nanoplatforms based on nMOFs has been discussed above (Fig. 6B),²⁰⁰ where chemotherapy and PDT are combined. Similar nanoplatforms have also been designed for the combination of PDT and chemodynamic therapy (CDT), which is an emerging nanocatalyst-based therapeutic modality based on Fenton or Fenton-like reactions. For example, Lin and co-workers have recently constructed a core-shell nanostructure, O₂-Cu/ZIF-8@Ce6/ZIF-8@F127 (OCZCF), to enhance the PDT and CDT efficacy by relieving hypoxia and consuming GSH.¹⁹⁹ ZIF-8 can be decomposed in the acidic tumour microenvironment and release O₂, Ce6, and Cu²⁺ simultaneously. The released O₂ could be converted into ¹O₂ by Ce6 under irradiation of a 650 nm laser. Moreover, the released Cu²⁺ can act as a smart reactive oxygen species protector by consuming intracellular GSH *via* oxidation, and thereby producing GSSG and Cu⁺. The byproduct Cu⁺ can further catalyse the decomposition of H₂O₂ to generate •OH Fenton-like reactions for enhancing CDT. The *in vitro* and *in vivo* experimental data indicated that OCZCF could cause remarkable tumour inhibition through enhanced synergetic PDT and CDT.

These works clearly highlight that multifunctional nanoplatforms may open up a new way for engineering highly efficient multimodal therapeutic approaches for clinical tumour treatment.

Last but not least, biosafety is a major concern for the clinical applications of nanostructures. The possible dark toxicity (toxicity before irradiating with light) of the nanostructure for PDT is a key point that has still to be better understood; therefore, more systematic *in vitro* and *in vivo* studies for investigating the toxicity of PDT-based nanostructures are mandatory. One critical issue is the difficulty to compare the performance (both toxicity and efficiency) of the reported nanosystems because studies are performed under quite different conditions. In this direction, the development of standardized protocols for characterizing the

relevant features of the nanosystems for PDT would mean a huge step toward their further successful clinical translation. Additionally, to minimize side effects, the nanostructures should be removed from the body after completing their therapeutic functions. Unfortunately, most current NPs used in PDT are non-biodegradable and they may accumulate in the body if their excretion is not completed, which limits their clinical applications. Future research should be directed toward developing biodegradable nanostructures with high phototherapeutic properties.

Conflicts of interest

There are no conflicts to declare.

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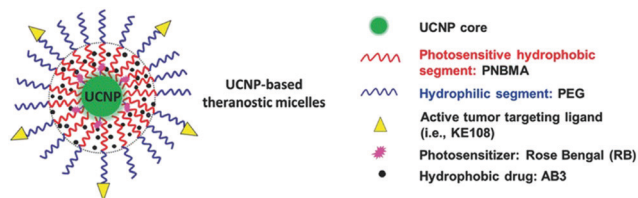


Fig. 10 Multifunctional lanthanide-based UCNPs functionalised with hydrophobic and hydrophilic polymers. The hydrophobic tail contains the PS Rose Bengal and an hydrophobic drug. A targeting ligand is conjugated with the hydrophilic PEG. Under irradiation, both the PS and the drug can be released in a controlled fashion. UCNPs themselves are also used as imaging probes. Taken from ref. 229. Reproduced with permission from John Wiley & Sons, Copyright©2015 and Copyright©2017.



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