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# $\beta$ -1,3-Glucan synthesis, novel supramolecular self-assembly, characterization and application†

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$\beta$ -1,3-Glucans are ubiquitously observed in various biological systems with diverse physio-ecological functions, yet their underlying assembly mechanism and multiscale complexation *in vitro* remains poorly understood. Here, we provide for the first-time evidence of unidentified  $\beta$ -1,3-glucan supramolecular complexation into intricate hierarchical architectures over several length scales. We mediated these unique assemblies using a recombinantly produced  $\beta$ -1,3-glucan phosphorylase (Ta1,3BGP) by fine-tuning solution conditions during particle nucleation and growth. We report a synthesis of interconnected parallel hexagonal lamellae composed of 8 nm thick sheets of highly expanded paracrystals. The architecture consists of  $\beta$ -1,3-glucan triple-helices with considerable inter-intra hydrogen bonding within, as well as in between adjacent triple-helices. The results extend our understanding of  $\beta$ -1,3-glucan molecular organization and shed light on different aspects of the crystallization processes of biomolecules into structures unseen by nature. The presented versatile synthesis yields new materials for diverse medical and industrial applications.

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## Introduction

Glucans are one of the most abundant organic polymers on Earth. They are inherently stiff molecules due to the bulkiness and rigidity of the glucose monomers they are composed of.<sup>1</sup> The unique properties of glucans largely revolve around the specific glycosidic linkage connecting adjacent glucose monomers. In nature, glucans are utilized for a wide range of physiological processes.  $\alpha$ -Linked glucans are commonly associated with intracellular energy storage (e.g., starch,  $\alpha$ -1,4-glucan) and  $\beta$ -linked glucans with cell wall structure (e.g., cellulose,  $\beta$ -1,4-glucan). Both  $\alpha$ - and  $\beta$ -linked glucans can also be found in microbial exopolysaccharides where they function in cell protection, cell adhesion to solid surfaces, and cell-to-cell interactions. A  $\beta$ -1,3-linkage introduces repeating twists to the polymer chain because the C1 and C3 carbon atoms of the

glucose ring are not directly opposite each other. As a result of repeating twists,  $\beta$ -1,3-glucan chains have the natural tendency to form helical structures.

In natural systems, linear and unbranched  $\beta$ -1,3-glucans can be found in the forms of callose<sup>2,3</sup> (cell wall component of embryophytes, *i.e.*, land plants), curdlan<sup>4–12</sup> (exopolysaccharide of Gram-negative bacteria), and paramylon<sup>13–17</sup> (intracellular energy storage in some protozoa species, such as euglenids and haptophytes). Extensive studies on extracted and purified naturally occurring  $\beta$ -1,3-glucans have revealed them forming triple-helical associations composed of parallel  $\beta$ -1,3-glucan chains with extensive hydrogen bonding within one triple helix and between adjacent helices in a hexagonal crystalline unit cell.<sup>18,19</sup> Single-helical forms have also been reported.<sup>20</sup>

The regio- and stereoselective construction of glycosidic linkages is challenging due to each monosaccharide unit containing multiple hydroxyl groups with similar reactivity and each linkage acting as a stereogenic center.<sup>21</sup> Despite this, the chemical synthesis of short  $\beta$ -1,3-gluco-oligosaccharides up to six glucose units in length has been reported.<sup>22,23</sup> On the contrary, enzymes offer greater regio- and stereoselectivity and can be used to consistently synthesize linear unbranched  $\beta$ -1,3-glucan. For example, isolated membrane fractions of the oomycete *Saprolegnia monoica* and the plant blackberry (*Rubus fruticosus*) produce weakly crystalline  $\beta$ -1,3-glucan when incubated in the presence of UDP-glucose and cellobiose (activator) *in vitro*.<sup>24</sup> In an alternative enzymatic approach,  $\beta$ -1,3-glucan chains have also been synthesized using mutants of hydrolytic enzymes<sup>25</sup> together

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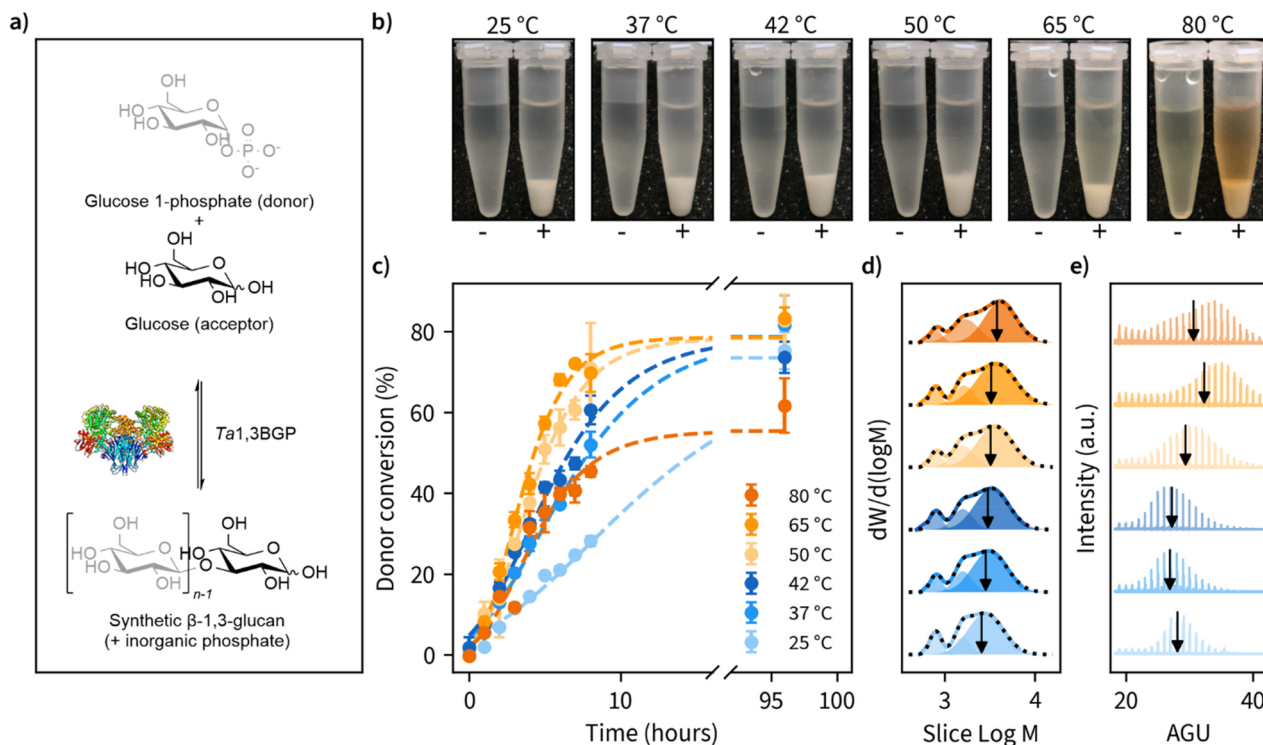
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**Fig. 1** Effect of reaction temperature on the enzymatic  $\beta$ -1,3-glucan synthesis reaction rates, product molar mass distributions and morphology. (a) Photographs of the  $\beta$ -1,3-glucans synthesized at different reaction temperatures illustrating the formation of insoluble precipitate when the glycosyl donor ( $\alpha$ -D-glucose 1-phosphate) and glycosyl acceptor (glucose) are incubated in the presence *Thermosipho africanus*  $\beta$ -1,3-glucan phosphorylase (+) and lack of insoluble product when the enzyme is omitted (–). (b) Progression of glycosyl donor conversion from  $\alpha$ -D-glucose 1-phosphate measured using a colorimetric assay to quantify the green complex formed between malachite green, molybdate and free orthophosphate. (c) Molar mass distributions of the reaction products measured using size-exclusion chromatography (SEC) showing the obtained multimodal distributions as lines and three individual fitted peaks as transparent fills. The cumulative sum of the fitted peaks is shown as a black dashed line. The calculated number average degree of polymerization ( $\bar{M}_n$ ) for the largest peak is indicated as a black vertical arrow.  $M$  is the molecular weight corresponding to averaged slice retention time and  $W$  is the normalized slice height used for molecular weight distribution calculation. (d) MALDI-ToF-MS spectra of the  $\beta$ -1,3-glucans synthesized at different temperatures with a peak-to-peak difference of one anhydroglucose unit (AGU, 162 Da) and the calculated  $\bar{M}_n$  is indicated as a vertical line. (e) Representative SEM images of  $\beta$ -1,3-glucan morphology for when synthesis is performed at reaction temperatures up to 37 °C and (f) 42 °C and above.

**Table 1** Molar mass distributions determined by SEC and MALDI-ToF-MS for the insoluble  $\beta$ -1,3-D-glucans synthesized at different temperatures

$T$ (°C)	SEC, cumulative sum of all peaks				SEC, largest peak				MALDI-ToF-MS			
	$\bar{M}_n$	$\bar{M}_w$	$DP_n$	PDI	$\bar{M}_n$	$\bar{M}_w$	$DP_n$	PDI	$\bar{M}_n$	$\bar{M}_w$	$DP_n$	PDI
25	1839	2560	11.2	1.39	2568	3132	15.7	1.22	4625	4649	28.4	1.01
37	1992	2760	12.2	1.39	2852	3457	17.5	1.21	4387	4422	27.0	1.01
42	1998	2860	12.2	1.43	3013	3625	18.5	1.20	4298	4363	26.4	1.02
50	2134	3135	13.1	1.47	3234	3959	19.9	1.22	4832	4882	29.7	1.01
65	2175	3287	13.3	1.51	3273	4134	20.1	1.26	5492	5526	33.8	1.01
80	2244	3393	13.7	1.51	3806	4491	23.4	1.18	5216	5254	32.1	1.01

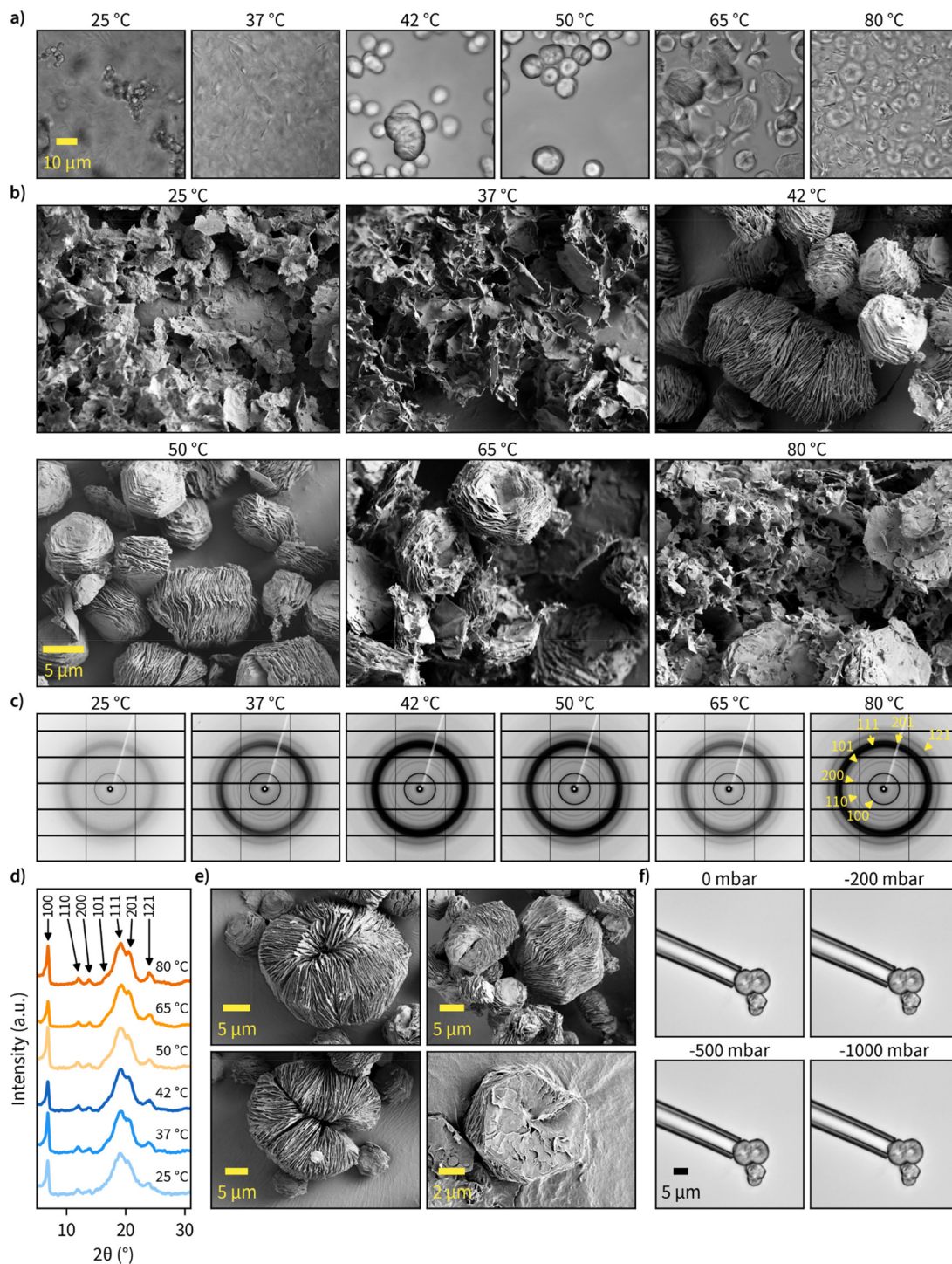
can be found in Tables S2, S3 and Fig. S3, S4.† The summary of MMD measurements is given in Table 1.

### Morphological characterization

Next, we examined the morphological characteristics of the produced insoluble  $\beta$ -1,3-glucans. We started with confocal microscopy, which enabled high-throughput investigation of

products synthesized in different solution conditions in hydrated state. These examinations revealed a significant difference between non-ordered structures synthesized at temperatures up to 37 °C and ordered particles with approximately 10  $\mu$ m diameter that were synthesized at 42 °C and above (Fig. 2a). Interestingly, at reaction temperatures of 65 °C and above, the ordered particles showed signs of disinte-





**Fig. 2** Effect of reaction temperature on hexagonal  $\beta$ -1,3-glucan microparticle assembly and crystallinity. (a) Transmitted light images obtained with a confocal microscope of never-dried  $\beta$ -1,3-glucan particles synthesized at different temperatures. (b) SEM images of dried  $\beta$ -1,3-glucan particles synthesized at different reaction temperatures. (c) 2D synchrotron wide-angle X-ray scattering (WAXS) patterns of dried  $\beta$ -1,3-D-glucans prepared at different temperatures ( $\lambda = 0.82656$  Å). Yellow arrows annotate the major diffraction rings corresponding to triple-helical  $\beta$ -1,3-glucan, and the corresponding Miller indices. (d) 1D WAXS profiles with major diffraction peaks indicated with arrows ( $\lambda = 1.5418$  Å). (e) SEM images of different types of hexagonal assemblies observed for  $\beta$ -1,3-D-glucans synthesized at 50 °C. (f) Micropipette aspiration of hexagonal particles synthesized at 50 °C performed with a micropipette with a tip diameter of 10  $\mu$ m and pressure ranging from 0 to -1000 mbar.



gration. Given that the resolution of the confocal images was not sufficient to accurately describe particle morphology, we also employed high-resolution SEM for imaging (Fig. 2b). To avoid ambient drying artifacts and retain the morphological features of insoluble particles for imaging, we prepared the specimens by cryo-vitrification followed by rapid lyophilization. According to the images obtained *via* SEM, glucans synthesized in lower temperatures formed less-organized sheet-like structures, while higher reaction temperatures yielded well-ordered particles composed of stacked lamellar sheets with 10  $\mu\text{m}$  diameter and a faceted appearance with hexagonal geometry. Furthermore, disruption of particle morphology at reaction temperatures above 65  $^{\circ}\text{C}$  was also evident. All these observations are in good agreement with our confocal microscopy images of particle morphology in wet state. More confocal microscope images of hydrated specimens can be found in Fig. S5–S8† and more SEM images at different magnifications in Fig. S9–S14.†

Since the chain length of the particles (>20 glucose units) was sufficient for helix formation<sup>46</sup> and the hexagonal shape suggested a hexagonal crystalline unit cell, we next measured the wide-angle X-ray scattering (WAXS) patterns for dry  $\beta$ -1,3-glucan particles (Fig. 2c and d). All major diffraction rings corresponding to triple-helical  $\beta$ -1,3-glucan were clearly visible, and, interestingly, reaction temperature did not seem to have a major contribution towards crystallinity or crystal form of the produced glucans. This suggests even the less ordered sheet-like structures synthesized at lower temperatures had triple-helical conformation. The unprocessed and processed 1D WAXS data can be found in Fig. S15, S16,† and the assignment of peaks to the corresponding Miller indices in Fig. S17.† It should be noted the broad 111 diffraction ring could have some contribution from the 120 and 201 diffraction rings. However, the contribution of these peaks remains unclear as they cannot be resolved well enough.

Regarding the uniformity of the particles, we observed several different well-ordered hexagonal microparticle assemblies, and some of these observations are highlighted in Fig. 2e. The most common structure consisted of stacked sheets with similar dimensions, but some other interesting shapes were also present. This includes self-enclosed circular assemblies of stacked sheets with a diameter of approximately 20  $\mu\text{m}$ , which suggests a flexible architecture. To examine the flexural properties of individual particles, we used micropipettes with 10 and 25  $\mu\text{m}$  diameter to perform aspiration with pressure ranging from 0 to  $-1000$  mbar (Fig. 2f). Particle deformation or buckling could not be observed, suggesting the particles to be relatively stiff. However, the separation of sheets from hexagonal stacks was possible in some cases. Additional micropipette aspiration experiments on multiple particles with different geometries can be found in ESI Video 1.†

### Microparticle assembly

Examination of the particles' top layer at highest magnifications revealed each particle being composed of nanometer-thick stacked sheets with hexagonal geometry as shown by the

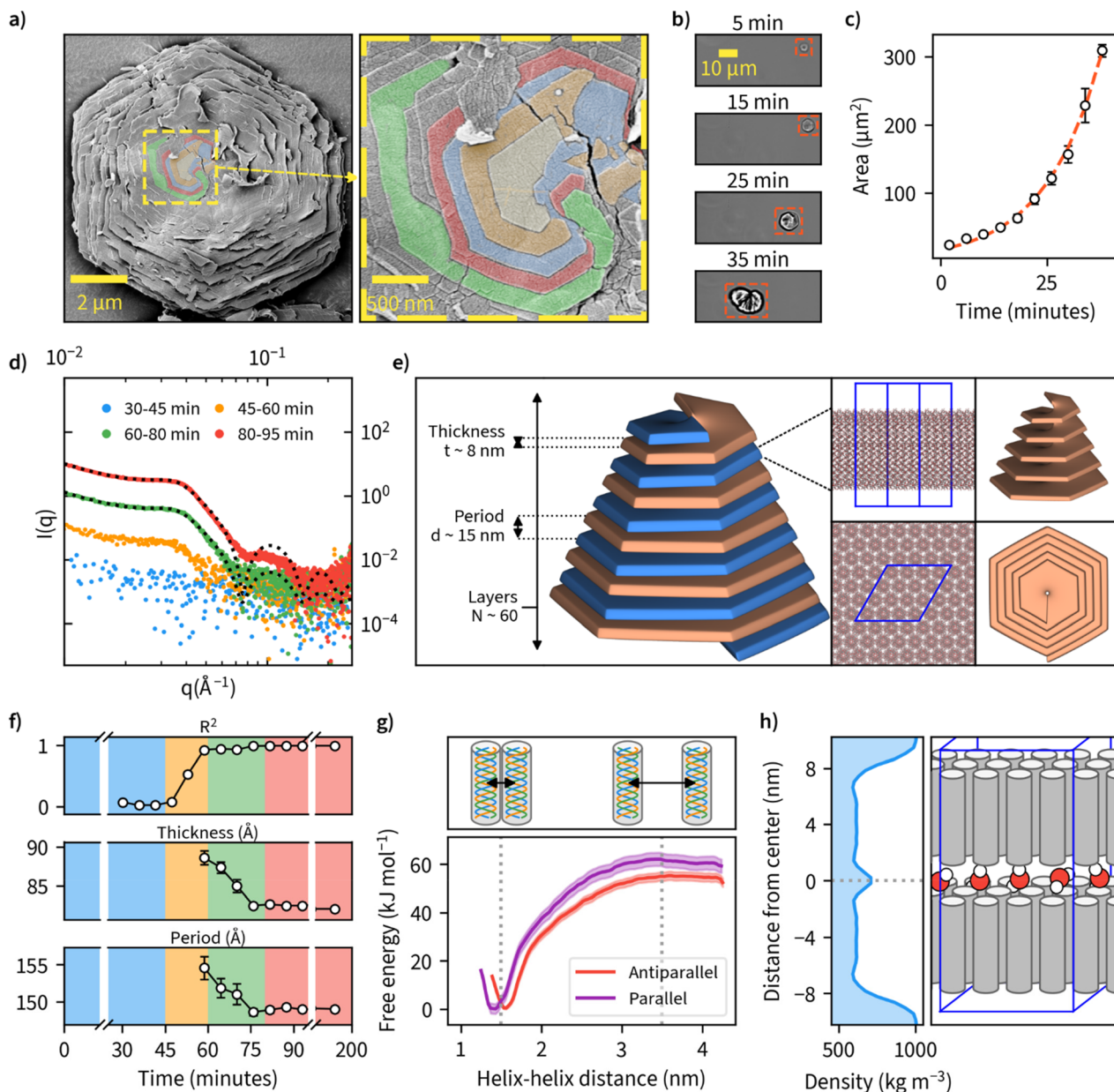
sharp contour line (Fig. 3a). We also noted that each nanosheet is in a different stage of maturity, with bottom layers more expanded than the ones on top. A closer look at the center point suggests nucleation and growth is mediated through spiral growth by screw-dislocation with a minimum of two continuous paracrystal domains (Fig. 3a, higher resolution images, and an additional specimen can be found in Fig. S18†). Screw-dislocations have a dislocation line perpendicular to the growing lamellae and have been proven as the growth mechanism for a variety of faceted crystalline systems.<sup>48,49</sup> Sharp domain boundaries remain present even as the lamellae grow large indicating that the growth process is energetically favorable. This observation is also consistent with the general understanding that 1D particles (here triple-helices) with attractive interaction would optimally arrange in a hexagonal 2D lattice which has the highest packing fraction. A steady growth of a 2D hexagonal lattice would hence yield hexagonal particles.

To our knowledge, this is the first observation of  $\beta$ -1,3-glucans undergoing supramolecular complexation into microparticles. We investigated the mechanism of how these thin sheets assemble into well-ordered microparticles through a time-lapse image series of microparticle growth under the microscope at a reaction temperature of 50  $^{\circ}\text{C}$  in sealed capillary tubes (Fig. 3b). We observed multiple individual hexagonal particles forming after a reaction time of approximately 1 hour, but not during later stages of the reaction. This could be an indication that the growth of existing particles is preferred over the nucleation of new particles and is supported by the general notion that systems with screw-dislocation favor spiral growth over nucleation due to energetic reasons.<sup>50</sup> From the individual frames of the timelapse, we quantified particle area over time and found this value to increase from an initial approximate value of 20  $\mu\text{m}^2$  to over 300  $\mu\text{m}^2$  over a time span of 40 minutes since nucleation was observed (Fig. 3c and ESI Video 2†).

To further examine the detailed particle structure, we carried out an *in situ* small-angle X-ray scattering (SAXS) measurement where data was collected during  $\beta$ -1,3-glucan synthesis at 50  $^{\circ}\text{C}$  (Fig. 3d). During the initial phase from 0 to 45 minutes, we couldn't detect any signal that could be discerned from the background. From 45 minutes onward, however, we could observe the formation of insoluble  $\beta$ -1,3-glucan inside the capillaries, and this corresponded with an increase in signal intensity detected using SAXS. After a reaction time of 80 minutes, no major differences in SAXS intensity could be observed anymore. To quantify the formed structures, we employed a lamellar paracrystal model<sup>51</sup> to fit the averaged data obtained between 80 and 200 minutes. The obtained fit suggested that the aggregates consist of stacked sheets with a thickness of approximately 8 nm and average period of 15 nm between them (Fig. 3e). This is in good agreement with earlier reports of hexagonal  $\beta$ -1,3-glucan sheets<sup>25,52–54</sup> where the sheet thickness has been reported to be approximately 8 nm despite these studies lacking the assembly of such sheets into larger microparticles. The acquired sheet thickness corresponds to the length of triple-







**Fig. 3** The detailed structure and mechanisms of  $\beta$ -1,3-D-glucan sheet and microparticle assembly. (a) SEM image of sheet-like lamellar structures observed on the surface of faceted hexagonal  $\beta$ -1,3-D-glucan particles when viewed under high magnification. Domain boundaries between the topmost layers at the center point of the crystal are colored in yellow, orange, blue, red, and green, indicating the spiral growth by screw-dislocation. (b) Timelapse of microparticle formation imaged using an optical microscope. Timescale corresponds to time since nucleation was first observed. (c) Particle area growth rate derived from microscopic observations in (b). A red dashed line indicates a fit to the exponential Gompertz function to illustrate exponential growth of particle area. (d) Small-angle X-ray scattering (SAXS) data collected and averaged at different time points of enzymatic synthesis carried out at 50  $^{\circ}\text{C}$ . Exemplary model fittings for 60–80 min and 80–95 min data are shown with a black dotted line with  $R^2$  values of 0.986 and 0.996, respectively. (e) A schematic illustration of the key structural parameters of a single lamellae layer and their final values obtained by a fitting a theoretical lamellar model on the SAXS-data collected in (d). (f) Evolution of the key parameters obtained from the SAXS-model fitting as the reactions proceed over time. Background color corresponds to the color scheme in (d). (g) Molecular dynamics (MD) simulation result for free-energy profiles for parallel and antiparallel triple-helix orientations in helix-helix complexes forming lamellar sheets consisting of  $\beta$ -1,3-D-glucan triple-helices. (h) MD simulation result for water mass density in a  $\beta$ -1,3-glucan bilayer, and an illustration of the corresponding lamellar sheet structure with water molecules between the layers.

helical  $\beta$ -1,3-glucan with a chain length of approximately 28 glucose units based on a pitch 0.2835 nm per glucose unit,<sup>18,19</sup> which is in line with the MMDs we measured using

MALDI-ToF-MS. The collected SAXS data for all measured time points is presented with and without background subtraction in Fig. S19.†

Since we had measured SAXS for the duration of the whole reaction with 1-minute intervals, we tried to fit this model for each individual point of measurement to quantify any changes in  $\beta$ -1,3-glucan particle structure over time (Fig. 3f). We could obtain good fits from 60-minute reaction time onwards, finding decreasing sheet thickness from  $8.9 \pm 0.1$  to  $8.2 \pm 0.0$  nm, and decreasing lamellar spacing from  $15.5 \pm 0.2$  to  $14.9 \pm 0.0$  nm between 60 and 80 minutes. For the number of layers, we could not obtain a reliable estimate because for large aggregates (number of lamellae larger than  $\sim 100$ ) the employed model is insensitive to variation in the number of lamellae per stack. Intriguingly, the obtained lamellar spacing suggested quite a large spacing between the layers. Our SAXS data does not cover more details or the ultimate cause of the spacing, but it could be related to topological defects. The corresponding data and fits are shown in Fig. S20,<sup>†</sup> and the evolution of the parameters of the lamellar paracrystal model during the time course of the reaction in Fig. S21.<sup>†</sup>

We were also interested in the enthalpic stabilization of hydrophilic and hydrophobic associations leading to  $\beta$ -1,3-glucan assemblies such as individual chains associating into helical entities, the demixing of solvent water and polysaccharide chains and the formation of stable inter-molecular associations between triple-helices. First, to examine the effect of triple-helix orientation in lamellar  $\beta$ -1,3-glucan sheets, we calculated the free-energy profiles for parallel and antiparallel helix-helix complexes using data obtained from molecular

dynamics (MD) simulations (Fig. 3g). These results indicate a highly energetically favorable association of the two triple helices characterized by free energy change of a magnitude of approximately  $55\text{--}60$  kJ mol<sup>-1</sup>. The parallel helix-helix association is slightly more favorable than antiparallel (by approximately 5 kJ mol<sup>-1</sup>). The formation of helix-helix complexes is not correlated with crossing any significant free energy barriers and the only minimum of the free energy is the global one, corresponding to fully associated helices, maintaining contact with each other along their longest dimension. Furthermore, the free energy change associated with removing a single triple helix from a fully formed quasi-infinite layer composed of uniform triple helices is equal to  $1490 \pm 132$  kJ mol<sup>-1</sup>. This indicates that free energy changes resulting from the formation of a layer are not additive with respect to those corresponding to the helix-helix association. However, such a large magnitude of free energy change suggests that the formation of a fully packed layer is a highly energetically favorable process resulting in a very stable final structure. The detailed information of several different modelled systems and their conformational characteristics are given in Fig. S22 and S23.<sup>†</sup> Two exemplary videos showing a single layer of 16 helices and the formation hexagonal-like clusters can be found in ESI Videos 3 and 4,<sup>†</sup> respectively. Calculation of water density between a  $\beta$ -1,3-glucan bilayer from one of these systems is shown in Fig. 3h, as a possible explanation for empty space between layers. Additionally, inherent irregularities in layer



**Fig. 4** Application of hexagonal  $\beta$ -1,3-glucan microparticles synthesized at 50 °C as drug encapsulation tablets. (a) Tablets compressed from freeze-dried  $\beta$ -1,3-D-glucan (80 mg dry weight). (b) Flexural stress and strain properties of the compressed tablets. (c) Release profiles of tablets containing 5 mg acetaminophen or acetylsalicylic acid measured during incubation of tablets in phosphate buffer (pH 7) at 37 °C, with a dashed line indicating a fit for the drug release obtained using Weibull function and a dotted line indicating the cumulative amount of drug released at infinite time corresponding to the fit. (d) SEM images at different magnifications of the cross-sectional view of fractured specimens after bending test.



packing such as deviations from the ideal hexagonal structure and unaligned chains could also contribute towards the spacing between layers.

Finally, we carried out further characterization to evaluate structural integrity of the assemblies by direct mechanical agitation (Fig. S24†). For that, we performed tip sonication with amplitude ranging from 20–40% (selected based on the equipment's lowest and highest setting). Almost in all cases, we noted complete deconstruction. Only, tip sonicated samples with 20% amplitude exhibit the presence of small quantities of intact assemblies.

### Application

Due to the large available surface area, well-defined structure, and spacing between the layers, we finally investigated the potential of  $\beta$ -1,3-glucan microparticles for drug encapsulation applications. For this purpose, we freeze-dried an amount corresponding to 80 mg of the dry weight of 1,3-glucan and compressed it into tablets with an approximate diameter of 13 mm and thickness of 0.55 mm (Fig. 4a). The compressed material has suitable properties for handling in tablet form as indicated by a flexural stress-strain measurement (Fig. 4b). Furthermore, the tablets remained fully intact in a hydrated environment. A cross-sectional view of the fractured tablet after the flexural measurement is shown in Fig. 4d.

As ligands for the drug release measurements, we opted for acetaminophen and acetylsalicylic acid due to both being well-characterized and having high water solubility. We performed encapsulation by incubating the drugs in an aqueous solution of  $\beta$ -1,3-glucan overnight, after which the samples were freeze-dried and compressed into tablets. Drug release measurements were performed in phosphate buffer, pH 7, at 37 °C and the obtained data was fitted using the Weibull function to discern the possible drug release mechanism (Fig. 4c). A maximum drug release of approximately 33 and 75% was obtained for acetylsalicylic acid and acetaminophen, respectively. In both cases, maximum drug release was obtained after a period of 50 minutes, which is in line with other fast-release matrices<sup>55</sup> without using any other excipients than  $\beta$ -1,3-glucan. The value  $b$  of the fitted Weibull function, which is an indicator of the mechanism of drug transport through the polymer matrix, was found to be 1.8 for acetylsalicylic acid, suggesting a complex release mechanism and 0.8 for acetaminophen, suggesting a combined mechanism (Fickian diffusion and case II transport).<sup>56</sup>

### Conclusions

In summary, we present a novel self-assembly mechanism for hexagonal  $\beta$ -1,3-glucan microparticles with defined lamellar nanostructure and potential application as a drug encapsulation matrix. The phosphorylase catalyzed enzymatic synthesis can be successfully carried out at temperatures ranging from 25 to 80 °C. At optimized temperatures (above 37 °C),  $\beta$ -1,3-glucans are assembled into well-ordered stacked hexagonal

lamellar sheets with 8 nm thickness and triple-helical crystal structure. Optimally, the stacks form faceted paracrystalline particles with a particle diameter of approximately 10  $\mu$ m. This type of assembly has not been previously reported, and it further highlights the untapped potential of using phosphorylases for glucan synthesis.

We believe the produced microparticles to have many use cases in a wide range of different applications due to their large surface area, well-defined structure, and a large amount of space between the layers. Furthermore, the materials composed of these well-ordered  $\beta$ -1,3-glucan microparticles are chiral which could facilitate their use in separation of small molecules based on their chirality.

### Author contributions

R. P., P. M., V. L., W. P., G. B., J. V. I. T., and M. P. have contributed to this research by designing the experiments and interpreting the results. All authors read and approved the final version of the manuscript.

### Conflicts of interest

There are no conflicts to declare.

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