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## Chemical Conversion Pathways for Carbohydrates

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### Abstract

Biomass has emerged as a potential alternative feedstock to dwindling fossil fuel reserves. Starting in the 1990's, extensive research has been directed towards the synthesis of useful platform chemicals from cellulosic biomass. Chemical conversion processes of biomass have evolved as a parallel approach to thermochemical and enzymatic synthetic routes. In this review, we summarize the recent developments in liquid phase chemical conversions of monosaccharides, disaccharides, and polysaccharides. The reaction processes explored are hydrolysis, oxidation, reduction, hydrogenation, hydrogenolysis, esterification, etherification, glycosylation, dehydration, as well as the functionalization of the polysaccharide backbone. Our review follows a "process-driven" approach where the existing carbohydrate conversion pathways are classified according to the types of chemical processes involved.

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## 1. Introduction

Currently, a majority of essential commodity chemicals and fuels are produced from fossil fuels, which are non-renewable resources such as coal, petroleum and natural gas. The decrease in fossil fuel reserves and the resulting price hike for petrochemicals<sup>1</sup> have thus mandated the search for alternative, inexpensive, renewable resources: abundant, ever-growing, terrestrial biomass.<sup>2,3</sup> The conversion of waste biomass into fuels, fine chemicals, and commodity materials can potentially reduce national dependence on oil-exporting countries. The use of bio-based technology has also been universally recognized as a means to reduce the emission of CO<sub>2</sub>, a well-known greenhouse gas, which is produced during the combustion of fossil fuels.<sup>4</sup> As a result, during the last 50 years, a significant portion of research has been directed towards the production of biodiesel and biofuel to serve growing energy demands.<sup>4-7</sup> The drive towards bio-based alternatives, which seeks to replace the conventional petrochemical processes with new technologies, must also be financially competitive, if not advantageous, for the fuel/chemical industry. Much emphasis, therefore, has been placed upon an integrated biorefinery approach, which couples the production of inexpensive, high volume biogas and biofuels with the production of low volume, high-value platform chemicals,<sup>3,6,8</sup> to provide the economic incentive for the implementation of this new “bio-based economy.” From both economic and ethical perspectives, abundant and inexpensive carbohydrates are ideal feedstocks for production of both biofuels and platform chemicals. In our review, we define the platform chemicals as sustainable chemical intermediates which can be synthesized in either one or two steps from carbohydrates.

In 2004, the Department of Energy (DOE) of the United States identified a series of valuable platform chemicals and the basic technology required to produce these chemicals from

biorenewable carbohydrates following an integrated biorefinery approach.<sup>9</sup> Further conversion of these platform chemicals provide access to a plethora of “secondary chemicals,” which can be incorporated into surfactants, polymers, fabrics, resins etc. for application in the personal care, textile, transportation, polymer, and packaging industries. Selected platform chemicals produced by chemical conversion of carbohydrate biomass are summarized in Chart 1.

**Chart 1. A summary of platform chemicals produced by chemical conversion.**

Platform Chemicals	Secondary Chemicals	End Use Products
C6, C5 Sugar alcohols (xylitol, arabitol sorbitol, etc.)	Ethylene glycol, propylene glycol, hydroxyfuran, Sugar acids	Green solvent, plastic, cosmetics, detergent, pharmaceuticals,
C3 Acids (propionic, malonic)	Propionyl acrylate, pharmaceutical intermediates and reagents	Water purification, dust control, gas purification, cleaners and detergents, coating, insulation, preservatives, fertilizers, pesticides
3-Hydroxy propanoate	Acrylates, acryl amides	Specialty lubricants, flocculants, chelators, paint, varnish and coating materials, insulation, packaging,
$\alpha,\omega$ -Diacids (succinic, fumaric, malic, aspartic)	Tetrahydrofuran, 1,4-butanediol, esters, diamines, $\gamma$ -butyrolactone, aminosuccinate derivatives	Resin, crosslinker, specialty chemical reagents, chelators, water chemicals, cleaners, detergents, cosmetics, disinfectants
C1 and higher alcohols	Formaldehyde, dimethyl carbonate, linear and branched primary alcohols	Fuel, oxygenates, solvent, gas purification, specialty lubricants, flocculants, detergents, coating
Itaconic acid	Unsaturated esters	Resins, coatings, adhesive, oxygenates, plastic, packaging
Levulinic acid	3-amino levulinate, succinates 2-methyl tetrahydrofuran, 1,4-diols, $\gamma$ -valerolactone	Molded plastics, packaging, fuels, oxygenates, textiles, resin, adhesive, insulator agents, coatings
Furfural	Furan derivatives	Fuels, oxygenates, textiles, resin, adhesive, insulator agents, coatings
C6 acids (gluconic, glucaric)	Gluconolactones, esters,	Cosmetics, pharmaceuticals

A decade after the DOE report, technology development for commercially viable biomass conversion processes still remains the most significant challenge. This problem has been addressed in detail in several recent reviews.<sup>6,10–12</sup> One, however, must also consider that biomass conversion is still in its infancy – petrochemical technologies have been thoroughly researched and developed since the early 1900's, while extensive studies of the conversion of cellulosic biomass began only during the 1990's. Hence, further development of commercially viable synthetic routes for the biomass conversion is necessary for the success of this integrated bio-refinery approach.

The current review is thus focused on the description and critical analysis of the recent developments in the liquid phase chemical conversions of biomass at temperatures of  $\leq 300^{\circ}\text{C}$  for the production of platform chemicals. We will not cover enzymatic or high temperature thermochemical process ( $500\text{--}800^{\circ}\text{C}$ ), which include gasification and pyrolysis. The production of biofuels is also out of the scope of this review. Most biomass conversion reviews are organized around conversion to specific platform chemicals. In contrast, we intend to organize our review around the chemical conversion routes themselves. Herein, we mainly have discussed the recent advances in academic research and we hope to help researchers establish a broader understanding and a more comparative perspective of the existing chemical processes.

## 2. Carbohydrates as Feedstocks

Carbohydrates account for 75 wt% of plant biomass, and can be categorized into four classes: sugar, starch, cellulose, and hemicellulose, as shown in Figure 1.<sup>13</sup> Carbohydrates of

relatively high purity can be harvested from a wide variety of biomass wastes (land, agricultural, aquatic) and crops (corn, switch grass).

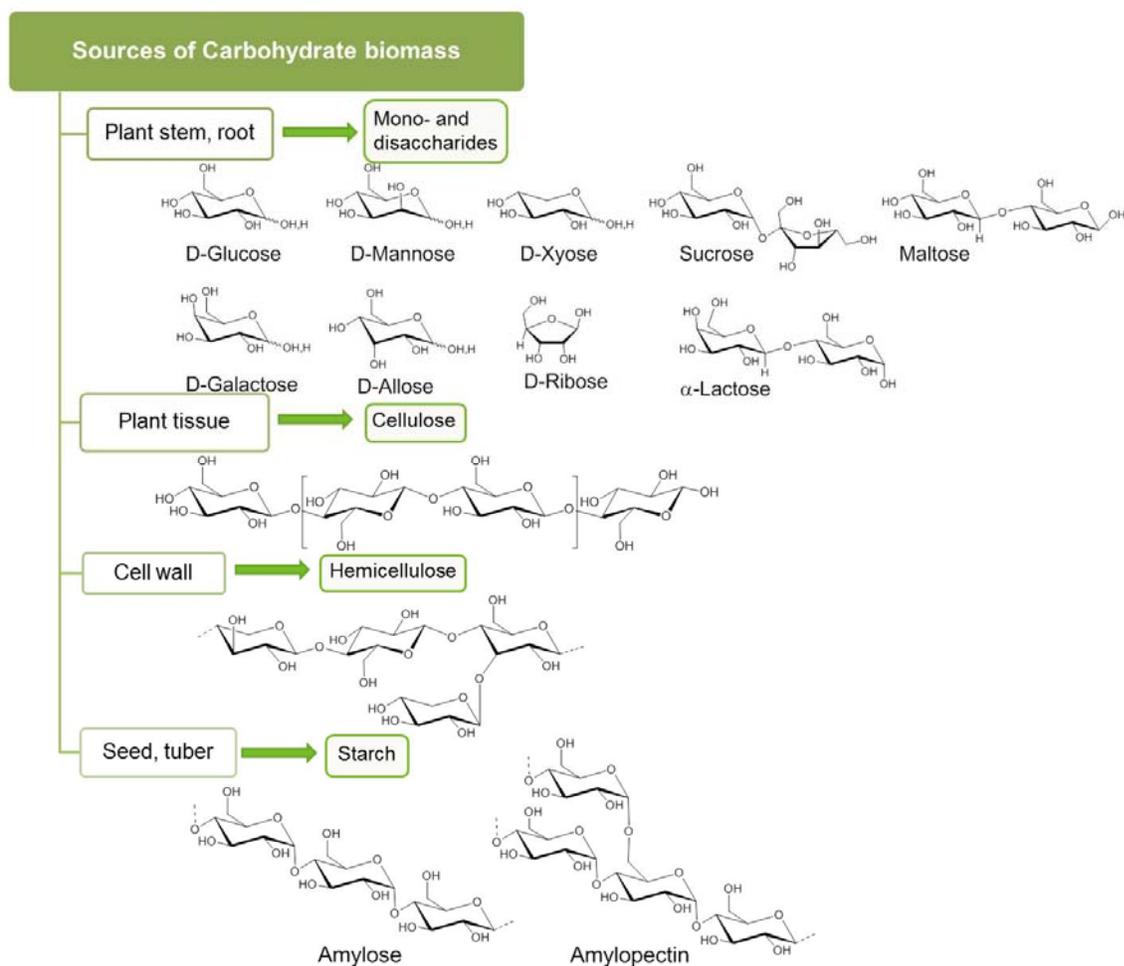


Figure 1. Structural types of carbohydrate.

## 2.a. Sugars

Plants store solar energy in the form of monomeric hexose and pentose sugar units which are commonly referred to as monosaccharides. D-Glucose and D-xylose are the most abundant hexose and pentose sugars. Other rarer hexose and pentose sugars are D-galactose, D-mannose, D-fructose and D-ribose. Two monosaccharides joined together by a glycosidic linkage form a

disaccharide. Common disaccharides include: sucrose, which contains a glucose and a fructose unit; lactose, which contains a glucose and a galactose unit; and maltose, comprised of two glucose units. These mono- and disaccharides serve as the basic building blocks of complex carbohydrate networks.

### 2.b. Starch

Polysaccharide starch is mainly composed of two  $\alpha$ -glucose polymers, amylose with a straight chain structure and amylopectin with a branched chain structure. Typically starch consists of 10–20% amylose and 80–90% amylopectin, and the actual content of these polymers varies, depending on the plant source. The monomeric glucose units in the polysaccharide are joined by  $\alpha$  1,4-glycoside linkages in amylose and both  $\alpha$  1,4-glycoside linkages and  $\alpha$  1,6-glycoside linkages in amylopectin. Due to low crystallinity (crystallinity index 35%), starch can be easily hydrolyzed or degraded by enzymes.

### 2.c. Cellulose

Cellulose is the major structural component in carbohydrate biomass with typically 40–50% content by weight. Linear polymeric strands of unbranched  $\beta$ -glucose form the basic structure of cellulose. These glucose units are joined by  $\beta$  1,4-glycoside linkages, which form both inter- and intra-strand hydrogen-bonded helices. In woody biomass, these cellulose strands are intertwined with hard, water-impermeable matrices of lignin. As a result, cellulose is rigid, crystalline, insoluble in water, and difficult to hydrolyze under mild acidic or enzymatic

conditions. Crystalline cellulose can adopt different polymorphic forms (i.e.  $I_{\alpha}$ ,  $I_{\beta}$ , II, III, IV) where the relative arrangement of the individual cellulose strands are different and the resulting hydrogen bonding also changes. The  $I_{\alpha}$ , (algae and bacterial cellulose) and  $I_{\beta}$  (wood, cotton) polymorphs are found in natural cellulose. These two forms can be present on the same microfibrillar network. Cellulose II is prepared from these natural forms by alkaline treatment, also known as mercerization or by solubilization followed by recrystallization. Liquid ammonia treatment of cellulose I and II produces cellulose III, which upon further pretreatment produces cellulose IV. Only polymorphs I and II are significant for use as large scale biorenewable feedstock.<sup>14</sup>

#### 2.d. Hemicellulose

Hemicellulose is a branched polymer made of both hexoses (D-glucose, D-mannose, D-galactose) and pentoses (D-xylose and L-arabinose). The most abundant monomer present in hemicellulose is xylose. Oxidized sugars, *e.g.* mannuronic acid and galacturonic acids, comprise a small portion of the hemicellulose structure. Hemicellulose forms the linkage between cellulose and lignin fractions and must be hydrolyzed to isolate cellulose from lignin. Hemicellulose is amorphous and can be easily hydrolyzed into its' component monomers, which can then be used for further conversion into fine chemicals.

### 2.e. Pretreatment of Carbohydrate Biomass

Due to their complex structure and the presence of interpenetrating, rigid, hydrophobic lignin layers, ligninocellulosic feedstocks cannot be directly used in further conversion. A pretreatment step is necessary in biomass conversion processes to separate the carbohydrate fractions (cellulose and hemicellulose) from lignin.<sup>15</sup> This step makes the isolated carbohydrates more susceptible towards subsequent steps in chemical conversion. Pretreatment usually involves both physical separation (milling, steam wash) and chemical degradation (acid or base hydrolysis) techniques. The pretreated carbohydrates are then subjected to further conversion (enzymatic, thermochemical, chemical).

Due to the presence of H-bonded, hard crystalline segments, the dissolution of cellulose require the presence of strongly acidic pretreatment, typically using 60-90% H<sub>2</sub>SO<sub>4</sub> at ~ 180°C. Depending on the reaction time and acid concentration, the desired products – glucose and glucose oligomers – may undergo partial degradation. In order to improve product yield, mechanical pretreatments, such as ball milling, are often applied to weaken the H-bonding.<sup>16</sup> This allows for the use of milder acidic and lower temperature conditions, which suppress byproduct formation and improves the overall reaction yield. It has been observed longer ball milling time can increase the rate of cellulose hydrolysis at milder reaction conditions – lower temperature ~ 100°C and dilute acidic hydrolysis.

### 3. Carbohydrate Conversion Processes

A considerable amount of research has been dedicated to developing platform chemicals from cellulosic materials. The primary goal of biomass conversion processes is to deoxygenate the carbohydrate backbone, which generally liberates CO<sub>2</sub> and H<sub>2</sub>O as byproducts.<sup>17,18</sup> Instead of chemical conversion or “cracking” into small molecules, an alternative approach involves the pretreated biopolymer is chemically modified to incorporate useful functional groups and properties while keeping the ligninocellulosic backbone intact.<sup>19</sup> These chemically modified biopolymers are used as the main components of high volume commodities such as lubricants, coatings, resins, papers, packaging materials and surfactants etc. without requiring further purification or characterization.<sup>20</sup> In contrast to the high temperature, gas-phase transformations of petrochemicals, the transformations of carbohydrates are generally carried out in aqueous phase or biphasic systems, at moderate to high temperature (<500°C) due to their hydrophilic nature.<sup>17,21</sup> For better understanding, the basic biomass conversion strategies are classified herein according to the main process or technology involved in the processes. A summary of the advantages and disadvantages of thermochemical conversions, biocatalysis, and chemical conversion are listed in Chart 2.

Chart 2. General advantages and disadvantages of different biomass conversion methods.

PROCESS	Thermochemical	Biocatalysis	Chemical Conversion
<b>PROS</b>	<ul style="list-style-type: none"> <li>Fast</li> <li>Access platform chemicals (hydrogenation, hydrogenolysis) not accessed by biocatalysis</li> <li>Excellent for obtaining fuels (excellent for alkanes and polyols)</li> </ul>	<ul style="list-style-type: none"> <li>Mild synthetic conditions</li> <li>Deprotection/protection of –OH groups of starting material not needed</li> <li>High selectivity towards desired product</li> <li>Whole cell (i.e. bacteria) biocatalytic processes are scalable</li> </ul>	<ul style="list-style-type: none"> <li>Can be conducted under a wide range of temperatures, solvents, and pressure</li> <li>Catalysts can be systematically modified (i.e. changing metal centers, solid catalyst supports)</li> <li>Multistep reactions can take place in a one-pot chamber – help reduce cost</li> <li>Access platform chemicals (hydrogenation, hydrogenolysis) not accessed by biocatalysis</li> </ul>
<b>CONS</b>	<ul style="list-style-type: none"> <li>Energy-intensive</li> <li>Limited choices of catalyst (must be robust enough to withstand extreme heating conditions)</li> <li>Not used frequently for synthesis of platform chemicals</li> <li>For pyrolysis, requires dry starting material &lt;10% water content</li> </ul>	<ul style="list-style-type: none"> <li>High purity of enzymes and starting materials are expensive</li> <li>Narrow operating conditions</li> <li>High volume of waste water produced during product purification</li> </ul>	<ul style="list-style-type: none"> <li>Extensive deprotection/protection processes of starting materials</li> <li>Toxicity of reagents used during synthetic or purification processes</li> <li>Catalyst recyclability</li> </ul>

### 3.a. Thermochemical Processes

Thermochemical methods are comprised of both non-catalytic and catalytic processes, carried out at high temperature (500–800°C). Non-catalytic methods include gasification (syngas production), pyrolysis (to mixture of oxygenates), liquefaction, and supercritical treatment. To convert biomass to hydrocarbons and polyols, heterogeneous Ru/C and Pt/C catalysts are primarily used. Owing to the high solubility of sugars and some portions of polysaccharides in water, aqueous phase reforming of carbohydrates at high temperature (>500°C) with heterogeneous metal catalysts, such as Pt or Ni-Sn on SiO<sub>2</sub> or Al<sub>2</sub>O<sub>3</sub> supports, have also been

applied to the synthesis of bio-alkanes from sorbitol.<sup>22</sup> The byproduct gases (CO<sub>2</sub> and H<sub>2</sub>) formed are further converted to methane. Huber *et al.* observed that product selectivity in these processes can be controlled by tailoring the catalyst (changing the metal center, solid support) and by changing the reaction conditions (temperature, pH of the media, concentration of biomass).<sup>23</sup> The isolation of pure compounds in these processes is often difficult. Thermochemical methods have recently been reviewed by Dumesic *et al.*<sup>5</sup> and a detailed discussion is outside the scope of the present review.

### 3.b. Biocatalysis

Biocatalysis of carbohydrate materials mainly entail enzymatic hydrolysis and fermentation.<sup>24-26</sup> Intrinsic merits of these pathways include moderate reaction conditions, efficient conversion and low toxicity. Pretreatment of the biomass, using dilute acid and alkali, helps facilitate subsequent enzymatic hydrolysis by anaerobic microorganisms, enabling the production of useful chemicals such as lactic acid, succinic acid, isoprene and aliphatic primary (C<sub>2</sub>-C<sub>4</sub>) alcohols. However, a significant amount of waste water is produced which needs to be purified and recycled, often posing a limitation for larger scale application of this process.<sup>27</sup> The large scale industrial application of biocatalysis is also precluded by high operational cost and lack of recyclability. In comparison, both chemical and thermochemical approaches are markedly cheaper. The recent development in this emerging field of research has been documented by recent reviewers and will not be discussed further.<sup>25,28</sup>

### 3.c. Chemical Conversion

Starting in the beginning of the 21<sup>st</sup> century, the conversion of carbohydrate biomass became a major, multidisciplinary field of study. Both catalytic and non-catalytic processes have been extensively utilized in the chemical conversion of carbohydrates.

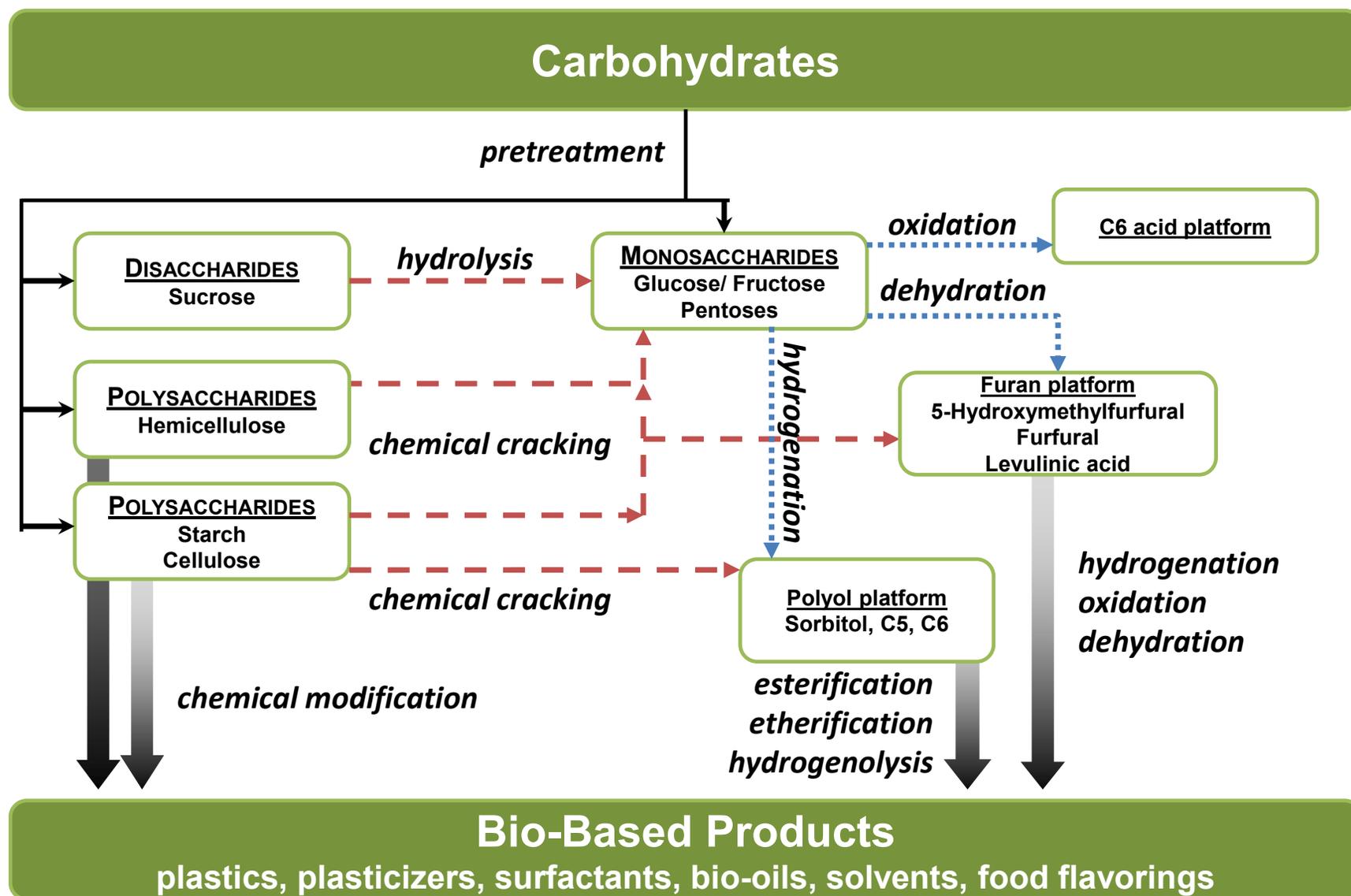
In 1894, Ostwald defined a catalyst as “[a] substance that accelerates the rate of a chemical reaction, without [itself] being consumed in the reaction.” Catalysts are typically classified according to the phases of the reactants and products involved in the chemical reaction. When all reactants, products and catalysts remain in the same phase throughout the reaction, the catalyst is described as a *homogeneous* catalyst. Although wide applications of homogeneous catalysts are limited by the ease of product separation and catalyst recyclability, well-defined homogeneous catalysts such as organometallic or inorganic compounds are often employed for in-depth analysis of product selectivity, reaction kinetics, mechanistic studies and structure-activity relationships. In contrast, *heterogeneous* systems typically consist of a solid catalyst and reactants and products in either liquid or gaseous states. Solid adsorbents, such as metal oxides, zeolites, silica, insoluble polymers and resins, have been used as catalyst solid supports, which enable easier product separation and reuse of the catalyst. As an added bonus, solid-supported heterogeneous systems are often more stable at high reaction temperatures and pressures and provide with high concentration of active sites. For engineers and industrialists, heterogeneous systems provide significant advantages over homogenous systems. However, the characterization of the active sites of heterogeneous catalysts and various intermediates can be challenging and costly. Typically, a wide range of surface characterization techniques is required to elucidate the catalysts’ structure, morphology, and atomic composition, all parameters which influence catalyst reactivity and longevity.

As the untreated polysaccharides are insoluble in aqueous media, even aqueous solutions of simple inorganic catalysts should be considered as heterogeneous systems, containing at least two different phases of reactants and products. To facilitate the conversion of polysaccharides, a non-catalytic acidic or alkaline pretreatment is necessary, as described earlier in Section 2.e., to separate cellulose and hemicellulose fractions from lignin and to obtain cellulose hydrolysates which are more miscible with the catalyst during subsequent reactions. Mineral acids, such as sulfuric acid ( $\text{H}_2\text{SO}_4$ ) and hydrochloric acid ( $\text{HCl}$ ), have been used for non-catalytic conversions of biomass. *Ionic liquids* emerged as an alternative highly polar medium for biomass pretreatment. Chlorides, nitrates, acetates, and other basic anions present in ionic liquids solubilize cellulose by breaking the existing H-bonded structural network of cellulose and forming new, intermolecular hydrogen bonds with the cellulosic hydroxyl groups. The dissolved cellulose can be precipitated with the addition of an anti-solvent (acetone or water) and this precipitate can be retained for further conversion. Although there has been significant progress in using ionic liquids for biomass conversion, there are also considerable problems associated with their separation and reuse.

In addition to carbohydrate “cracking,” it is possible to chemically modify polysaccharides using common one-pot modification processes (oxidation, etherification, esterification) in which the -OH groups are functionalized while the backbone is kept intact. In this context the degree of polymerization, of the polysaccharide is an important factor. The degree of polymerization is representative of the average length of the polysaccharide, i.e. the number of monomers present in the backbone. A decrease in this number during the chemical modification process indicates degradation of the polysaccharide. The extent of functionalization of the OH- groups on present in starch or cellulose is often referred to as the

degree of substitutions or the number of substitutions per monomer unit. A number of review articles describing the recent advances in this field have been published.<sup>29–32</sup> The introduction of functional groups changes the carbohydrates' macroscopic properties such as crystallinity, solubility, hydrophilicity and the ease of biodegradation. This approach is often considered more “sustainable” or “greener” than chemical cracking into fine chemicals, as these modification processes are typically one-step and do not necessitate extensive purification or product isolation steps. These modified biopolymers can be used for high volume applications in industries producing paper, lubricants, resins, coatings, packaging materials, surfactants and textiles.

In this review, the chemical processes have been organized according to the various types of catalysts involved, with particular emphasis on the overall activity, product selectivity and robustness or stability of the catalysts. We believe that fundamental understanding of the existing chemical processes will be extremely beneficial for the development of new synthetic strategies and technologies used to convert carbohydrate biomass into useful platform chemicals. The carbohydrate conversion pathways for the syntheses platform chemicals are outlined in Scheme 1.



Scheme 1. An outline of biomass conversion via chemical means.

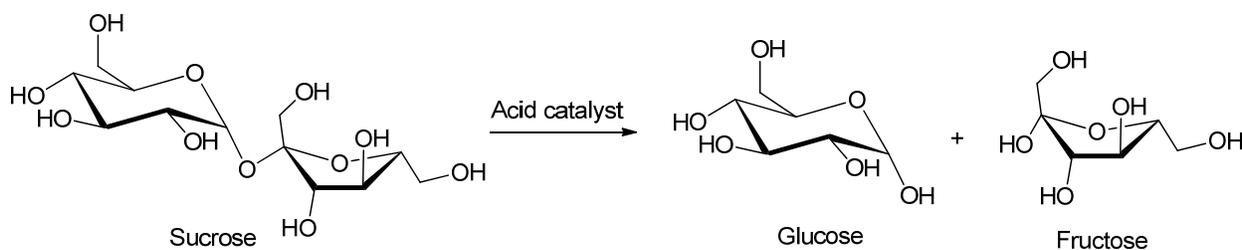
#### 4. Chemical Conversions of Mono- and Disaccharides

Glucose is the most widely used monosaccharide and has applications ranging from food and pharmaceutical industries to packaging and specialty chemicals.<sup>33</sup> Currently, glucose is produced industrially by the enzymatic hydrolysis of corn. Numerous studies have been directed towards the production of glucose from less expensive sources of biomass (*e.g.* wood and agricultural waste). Sucrose is the most abundant disaccharide and is present in high quantities in vegetables, fruits, honey etc. Sucrose provides the major source of energy in human diets and is a common additive in commercial food products. However, the costs synthesizing platform/commodity chemicals directly from sucrose are generally high because of the limited product selectivity of current chemical conversion pathways. As a result, sucrose still primarily serves as a raw material to supply glucose and fructose feedstocks. In this section, we summarize the most common chemical transformations of both mono- and disaccharides.

##### 4.a. Hydrolysis of Sucrose

Sucrose can be hydrolyzed into a mixture of glucose and fructose by employing aqueous acid solutions such as acetic acid, hydrochloric acid, as shown in Scheme 2. Strongly acidic sulfonic resins<sup>34</sup> have been industrially applied for the hydrolysis of sucrose, but this process often produces a mixture of furanic byproducts due to undesired dehydration of the monosaccharides formed, even at relatively low temperatures (25–70°C). The use of ion exchange resins, based on polymer supports (*e.g.* polystyrene cross-linked with divinylbenzene) have also been applied for the conversion of sucrose to the corresponding monosaccharides.<sup>35</sup> Buttershack *et al.* used porous Y zeolites as active catalysts for the hydrolysis of sucrose. Using

a Si/Al ratio of 110, 90% conversion of sucrose was reported with 90% selectivity towards glucose and fructose formation over the furanic byproducts.<sup>36</sup> Other studies performed on HY zeolite catalysts emphasize their high selectivity towards the production of glucose and fructose. The amount of byproduct formed in these processes can be as low as 100 ppm at 70°C.<sup>37</sup> In contrast, heteropolyacids and metallic oxides supported on silica showed poor activity and low conversion (50–60% at 80°C, 3 h).<sup>38,39</sup> The major limitations of this process are the commonly observed byproduct formation, the use of expensive zeolite or ion exchange resins and their stability in acidic media. A few recent processes have been patented in which up to 97% conversion and up to 98% selectivity towards monosaccharide formation were observed, but have not yet been commercialized.<sup>40</sup> Thus, further research has been directed towards the development of inexpensive, robust, as well as selective catalysts.



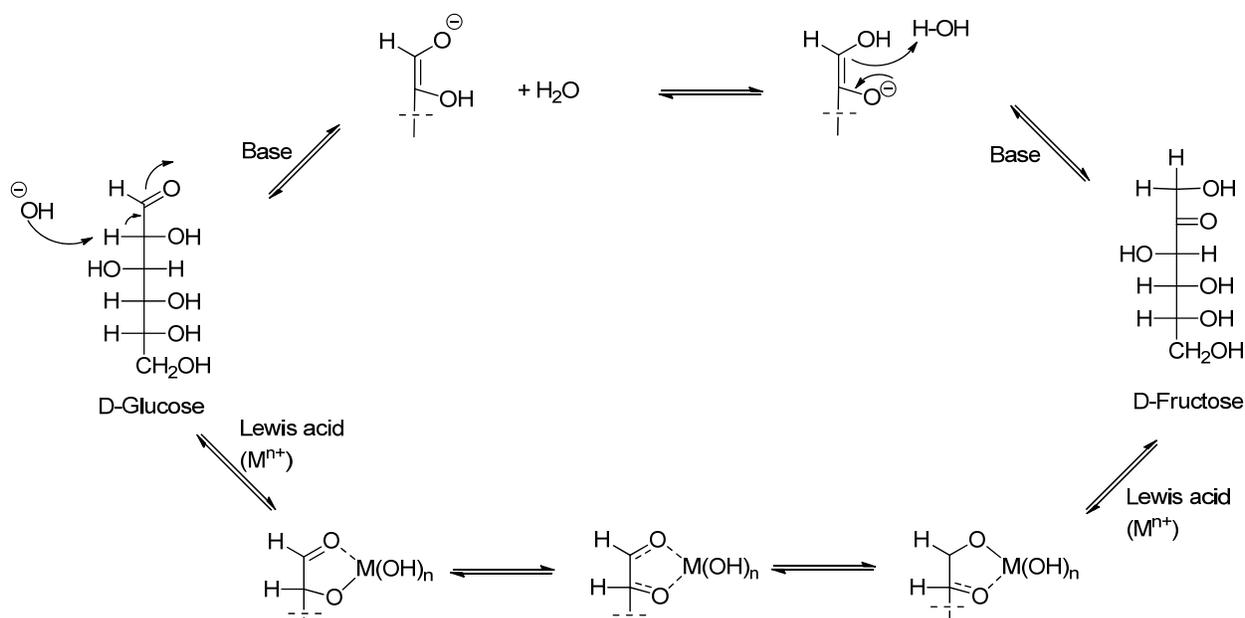
**Scheme 2.** Hydrolysis of sucrose into glucose and fructose.

#### 4.b. Glucose Isomerization

The isomerization of glucose into fructose is an important step in the synthesis of furan platform chemicals, such as HMF, furfural, and food additives such as high fructose corn syrup. The isomerization of the glucose unit present in lactose, a disaccharide composed of galactose and glucose, is widely used in the pharmaceutical industry to convert lactose into its ketose form, lactulose, to obtain a series of sugar based acids and alcohols. Common industrial processes

involve pre-hydrolysis of cellulosic biomass into simple aldoses, followed by enzyme or base catalyzed isomerization process.<sup>41</sup> Further dehydration of fructose provides access to the furan platform. These processes are limited by low equilibrium constants ( $K_{eq} \sim 1$ , at 25°C) and in a typical isomerase-catalyzed process pure glucose is converted into an equilibrium mixture of 42 mol% fructose, 50 mol% glucose and 8 mol% of other sugars. One of the primary focuses of researchers has been to develop robust chemical catalysts for the isomerization of aldoses into the corresponding ketoses. In this section, we have summarized the current developments in both homo and heterogeneous processes.

***Homogeneous Processes.*** Early works describe the isomerization of glucose in alkaline media via proton abstraction and subsequent enolization, as shown in Scheme 3.<sup>42</sup> These processes suffer from base mediated decomposition of glucose, which yields a complex mixture of C6 acids (2-C-methylpentonic, hexametasaccharinic, isosaccharinic etc.) and C2-C4 acids (lactic, glycolic, glyceric, 2-C-methylglyceric etc.).<sup>43</sup> It was also observed that equilibria could be shifted in favor of the ketose product by the addition of Lewis acidic carbonyl complexing agents: either boric acid or boronates, or an excess of cations -  $Ca^{2+}$ ,  $Y^{3+}$ ,  $Yb^{3+}$ . A catalytic amount of arylboronic acid or borax into an alkaline medium at pH 12, showed 40% isomerization of glucose with up to 85% selectivity towards fructose.<sup>44</sup> Alternatively homogeneous catalysts such as  $NaAlO_4$ ,  $AlCl_3$ , or  $CrCl_3$  have been employed for isomerization of glucose and lactose.<sup>45</sup>



Scheme 3. Base-catalyzed and Lewis acid-catalyzed glucose isomerization.

**Heterogeneous Processes.** The aldose to ketose isomerization can be catalyzed by hydrotalcites, zeolites (cation exchanged A, X, Y and beta), and supported metal catalysts such as Ni-W/C.<sup>46-49</sup> The cation exchanged A, X, Y zeolites show up to 25% isomerization of glucose, used as 10-15 wt% aqueous solution, at 100-150°C.<sup>49</sup> At shorter reaction times, and subsequently at lower conversions of glucose (20%), fructose is by far the preferential product (90% selectivity). Davis et al. employed medium pore TS-1, MCM-41 and large pore beta zeolites containing Lewis acidic  $\text{Sn}^{4+}$  and  $\text{Ti}^{4+}$  sites to catalyze the isomerization of a 10 wt% aqueous solution of glucose, as shown in Scheme 3. The large pore Sn-Beta zeolite with a 50:1 mol ratio of glucose to  $\text{Sn}^{4+}$  yielded a mixture of 46 wt% glucose, 31 wt% fructose and 9 wt% mannose within 30 min at 110°C. It was further shown that large pore size and isolated Sn(IV) sites located within the porous network facilitated the isomerization process, whereas sulfonic acid functionalized Bronsted acidic zeolites mainly yielded alkylglucopyranosides. The Sn-beta

catalyst could be recycled up to 5 times and worked even in highly acidic mediums.<sup>48</sup> This catalyst could also be used for the isomerization of lactose to lactulose, with a 93% selectivity, using 1 wt% solution of lactose and a 20 : 1 mol ratio of lactose : Sn at 100°C.<sup>50</sup>

Riisager and coworkers compared the catalytic activities of commercially available Y, beta, ZSM-5 and mordenite zeolites for a two-step isomerization of glucose into fructose in methanol. In the first step is glucose isomerized into fructose; the fructose formed in situ is converted into methyl fructoside to prevent further reactions at the carbonyl center. Then, in the second step, the fructoside is hydrolyzed by water to obtain fructose.<sup>51</sup> A high yield of 55% fructose was observed using large pore H-USY zeolite in methanol, (Si/Al = 6) after 1 h reaction at 120°C. The presence of aqueous impurities lowered the yield of fructose in these processes. Davis et al. explored the effects of solvent on the catalytic activity of large pore beta zeolites containing Ti<sup>4+</sup> ions and found that in methanol that glucose predominantly isomerizes into sorbose was observed via intramolecular C5-C1 hydride shift.<sup>52</sup> In contrast, in aqueous media, fructose was obtained as the major product via a C2-C1 hydride shift. Davis and coworkers also showed that the stereochemistry remains preserved at the non-participating carbon centers, yielding 73% enantiomeric excess of sorbose and 85% enantiomeric excess of D-fructose from optically pure D-glucose of 92% enantiomeric excess.

For large scale chemical conversions, heterogeneous catalysts are often preferred over homogeneous systems, but the development of Lewis acidic zeolite catalysts for the isomerization of sugars in aqueous media is still in its infancy. A few enzymatic isomerization processes have been commercialized, but are hampered by a narrow range of working conditions (pH, temperature) and a need for high substrate specificity, which result in high process costs.<sup>53</sup> For facile synthesis of platform chemicals via isomerization of aldoses, the one-step dehydration

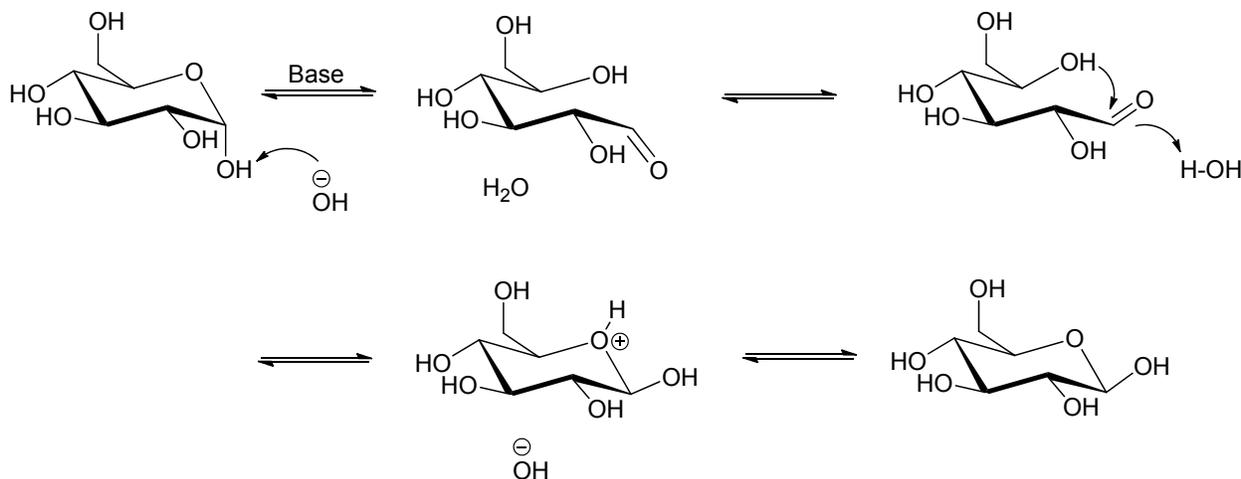
of the resulting ketose is highly desirable. A one-pot reaction, which enables both isomerization and dehydration cannot be achieved with acid-sensitive isomerases. However, more robust chemical catalysts may be able to withstand the necessary acidic conditions for a one-pot approach.

#### 4.c. Glucose Epimerization

Epimerization is the process where chirality of only one of the multiple stereogenic centers in a molecule is changed. Hence, epimers are diastereomers which differ from one another in their configurations at only one chiral center. Epimerization is widely applied for the synthesis of the rare sugars from commonly available epimers, such as L-ribose from L-arabinose, D-lyxose from D-xylose, and D-mannose from D-glucose. These monosaccharides are used as antidiabetics and immunosuppressants in the pharmaceutical industry. Large scale processes are generally catalyzed by epimerases. These microbial and enzymatic processes are equilibrium controlled; in a typical glucose epimerization process a thermodynamic mixture of 2.3: 1 mol ratio of glucose to mannose is produced. The enzymatic processes are, however, expensive and require precise reaction conditions. In effect, researchers are developing processes with chemical catalysts that can operate under a wider range of conditions and allow easier recycling, in order to address the issue of cost.

***Homogeneous Processes.*** Lobry de Bruyn-Alberda rearrangements epimerization processes catalyzed by simple inorganic bases (NaOH, Ca(OH)<sub>2</sub>), as shown in Scheme 4. These processes are limited by the formation of numerous undesired aldose and ketose byproducts and overall poor selectivity.<sup>43</sup> In contrast, an equilibrium mixture of the two epimers can be obtained

using the molybdate mediated Bilik reaction in acidic media.<sup>54</sup> Complexation by  $\text{Ca}^{2+}$ ,  $\text{Ni}^{2+}$  and rare earth cations at the carbonyl center also produce an equilibrium mixture of the two epimers.<sup>55,56</sup>



Scheme 4. Base-catalyzed epimerization of glucose.

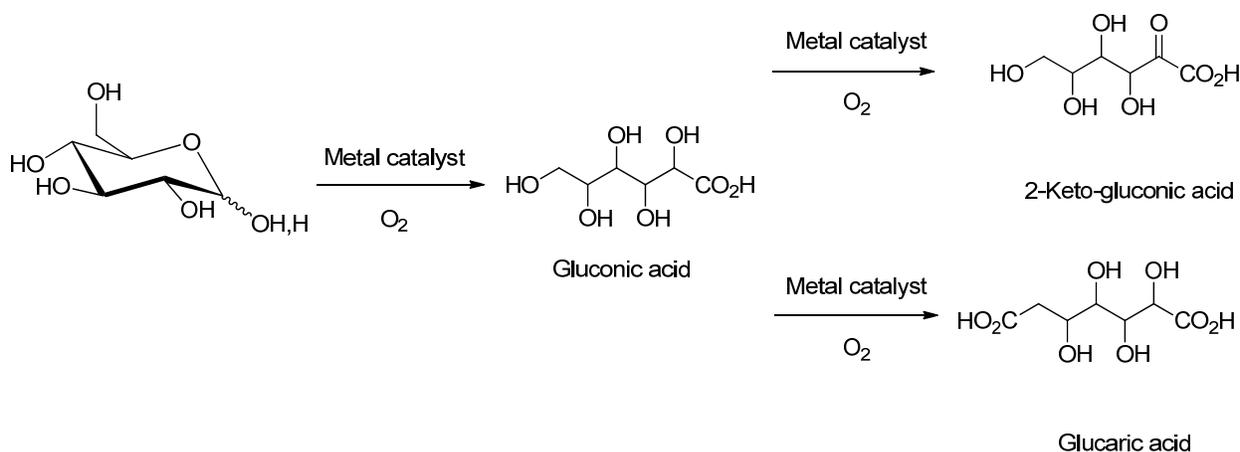
**Heterogeneous Processes.** Davis and coworkers showed that the Lewis acidic Sn-Beta zeolites in methanol epimerize glucose into mannose, following a mechanism similar to the Bilik reaction, according to  $^2\text{H}$  and  $^{13}\text{C}$  labeling studies.<sup>57</sup> Upon switching to aqueous solution of glucose, they observed only isomerization into fructose via Lewis acid mediated intramolecular hydride shift. They used  $^{119}\text{Sn}$  NMR to identify the framework Sn sites (tetrahedral, -425 to -445 ppm) and the extra-framework  $\text{SnO}_2$  (octahedral, -604 ppm) in dehydrated zeolite samples. The reactivity of the framework tin sites was dependent on solvent choice – in methanol, epimerization occurred; in water, isomerization did. The extra-framework sites showed isomerization of glucose in either solvent, following a base catalyzed proton transfer mechanism.

Gunther et al. showed that upon the addition of a Lewis acid, such as sodium borate, an aqueous solution of glucose could be epimerized into a mixture of mannose and glucose with a mole ratio of 15:84, within 1 h, at 85°C.<sup>58</sup> Molybdenum-based polyoxometalate catalysts of the molecular formula  $\text{Ag}_3\text{PMo}_{12}\text{O}_{40}$ ,  $\text{H}_3\text{PMo}_{12}\text{O}_{40}$ ,  $\text{Sn}_{0.75}\text{PMo}_{12}\text{O}_{40}$ , have recently been reported by Nikolla and coworkers for the epimerization of aldoses, with high reactivity and selectivity in aqueous media.<sup>59</sup> Using  $\text{H}_3\text{PMo}_{12}\text{O}_{40}$  and a 10 wt% aqueous solution of the aldoses with a mol ratio of 1:50 for Mo: aldose, the observed conversions were 31% for glucose, 73% for mannose with ~90% selectivity to the corresponding monomer, after 1 h of reaction at 100°C.

Due to the overall high production cost of the biochemical processes, more research has been directed to the development of highly active chemical catalyst and the use of biocompatible metal centers instead of  $\text{Sn}^{4+}$  will also improve the chances of wide scale application of these catalysts in pharmaceutical industry.

#### 4.d. Oxidation of Mono- and Disaccharides

Oxidation of the mono/disaccharide aldehyde functionality to a carboxylic acid produces useful chemical intermediates (see Scheme 5). The biodegradable C6 acid platform chemicals such as gluconic acid, glucaric acid and 2-keto-gluconic acids, are routinely used in the food, pharmaceutical, and paper industries. Due to the hydrophilic nature of sugars these transformations are generally carried out in aqueous media using a continuous flow of air as the oxidant.



Scheme 5. Oxidation of glucose into C6 acids.

**Homogeneous Processes.** Early work on the oxidation of monosaccharides was conducted using transition metal compounds, organometallic complexes, and mineral acids at moderate pH conditions (pH 6–9) and moderate temperatures (<100°C).<sup>60,61</sup> Conversion generally ranges from 50 to 90%. The major limitations of these homogeneous catalysts are the difficulty of separating the aldonic acids formed and catalyst deactivation. Detailed mechanistic analyses and kinetic studies of the homogeneous oxidation processes are being performed to more effectively engineer catalysts for carbohydrate oxidation.<sup>62–67</sup>

Oxidation of biomass-derived disaccharides, such as sucrose, has been well-known since early 1980s.<sup>68</sup> In an early report, Van Bekkum *et al.* used NaIO<sub>4</sub>, KIO<sub>4</sub>, and NaOBr as oxidants to convert sucrose into sucrose carboxylate salts. These carboxylates could be potentially used to sequester group 2 metal cations from aqueous solutions. Selective electrocatalytic oxidation at the C-1 or C-6 centers of sucrose has also been reported<sup>69,70</sup> and will not be discussed in the current review. The disaccharide unit remains intact in during electrocatalytic oxidation.

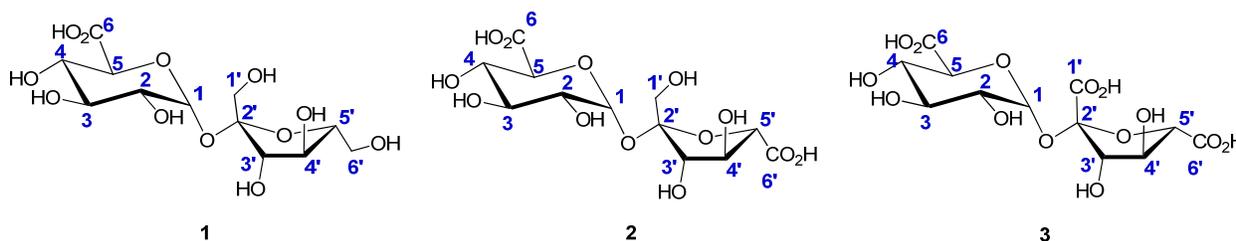
A more recent development in this field is the use of sodium hypochlorite and 2,2,6,6-tetramethylpiperidine-1-oxyl (TEMPO) to generate the oxidant nitrosonium ion *in situ*.<sup>71</sup> In this process, both mono- and disaccharides can be oxidized with 60–90% yield at relatively low temperature (<5–15°C) and low catalyst loading (0.5 mol% TEMPO, 2 mol% peracid). The oxidant used in this process, however, must be regenerated, using secondary oxidizing agents such as NaOCl/NaBr, NaOCl, peracetic acid, or oxone (potassium monoperoxydisulfate).<sup>72</sup> The use of strong secondary oxidants and halogenated reagents limits the practical application of this system. A wide range of studies have been directed towards finding environment friendly method to regenerate the oxidant.<sup>73,74</sup>

**Heterogeneous Processes.** In the pharmaceutical and cosmetic industries, heterogeneous catalysts are typically used to convert mono/disaccharides into aldonic acids. Most common oxidation pathways use relatively inexpensive glucose as the starting material. The biodegradability of the oxidization products is another benefit of this approach. Depending on the catalyst and the reaction conditions used (the metal center, pH), different carbon centers in glucose can be selectively oxidized to obtain ketone and/or carboxylic acid functionalities.<sup>75–78</sup> Therefore, controlling both regioselectivity and functional group selectivity is crucial in this process. The development in carbohydrate oxidation catalyst systems has recently been reviewed by Murzin and coworkers.<sup>79</sup> Due to the high solubility of sugars in water, these heterogeneous reactions are carried out in an aqueous phase system, using a solid supported metal catalyst (*e.g.* Pt or Pd, on activated carbon<sup>80</sup> or alumina<sup>81</sup>) and an oxidant, most commonly molecular oxygen or air, in both continuous and batch processes. The formation of selected C6 acids by metal-catalyzed heterogeneous oxidation of glucose is summarized in Table 1.

Several parameters, which affect the conversion of glucose to gluconic acid, have been studied. These include: catalyst deposition pathways, catalyst particle sizes, various types of catalyst supports, the rate of oxygen diffusion, pH of the solution, and glucose to catalyst ratios.<sup>19,76</sup> Gallezot et al. showed that the use of an oxophilic Bi promoter with supported Pt and Pd catalysts prevents catalyst deactivation due to O<sub>2</sub> binding and over oxidation of the metal center, thereby enhancing the overall catalytic activity.<sup>82</sup> Following this approach a wide variety of heterogeneous catalysts<sup>83–87</sup> with Bi, Te, and Tl promoters, *i.e.* Pd-Pt-Bi/C,<sup>83</sup> Ru-Pd-Bi/C<sup>84</sup>, Pd-Te/SiO<sub>2</sub> and Pd-Te/Al<sub>2</sub>O<sub>3</sub>,<sup>85</sup> have been employed for the selective oxidation of glucose into gluconic acid. Au catalysts supported on activated carbon, silica, metal oxides, polymers, cellulose also have shown potential towards large scale commercial application.<sup>88–97</sup> The Au systems are often more active at low catalyst loadings but have an increased propensity towards catalyst leaching during the successive recycling steps. Further studies have been directed to improve catalyst longevity and recyclability.<sup>98–100</sup>

The heterogeneous oxidation of glucose to 2-ketogluconic acid<sup>101,102</sup> and glucaric acid<sup>103–105</sup> is often limited by side reactions such as C-C bond cleavage, which leads to a mixture of degradation products. The catalyst systems generally employed are Pt/C, Pt-Pb/C and Pt-Bi/C for the synthesis of 2-ketogluconic acid, while Pt/C, Pt/SiO<sub>2</sub>, and Pt-Au/SiO<sub>2</sub> are used for the synthesis of glucaric acid. The main limitations of this process are the lower yields of the oxidized products and their separation from the byproducts generated by the degradation of glucose. In an alternative approach, Baiker and coworkers investigated the oxidation of L-sorbose into 2-ketogluconic acid. The use of tributylphosphine or hexamethylenetetramine promoters, in combination with Pt/C catalysts showed up to 95% selectivity towards 2-ketogluconic acid formation, at 30% conversion of L-sorbose.<sup>106,107</sup>

The oxidation of other pentose and hexose sugars also provides access to the aldonic acid platforms; aldonic acids are ubiquitous in the food, personal care, and pharmaceutical industries. Among the series of heterogeneous catalysts investigated for the selective production of aldonic acids, solid supported monometallic Au and bimetallic Au-Pd catalysts showed highest reactivity, with selectivity towards aldonic acids formation reaching nearly 100%.<sup>108–110</sup> The oxidation of disaccharides, such as lactose, maltose and sucrose, by heterogeneous catalysts has also been thoroughly investigated.<sup>19,71,111</sup> Under the reaction conditions commonly followed, the oxidation selectively occurs at the primary hydroxyl groups. The mono-, di-, and tricarboxylated products (**1**, **2**, **3**) commonly generated in this process are shown in Figure 2. The selective monocarboxylation of sucrose over di<sup>112</sup>- or tricarboxylation<sup>73,113</sup> has also been achieved by suitable modifications of the heterogeneous catalyst systems employed.



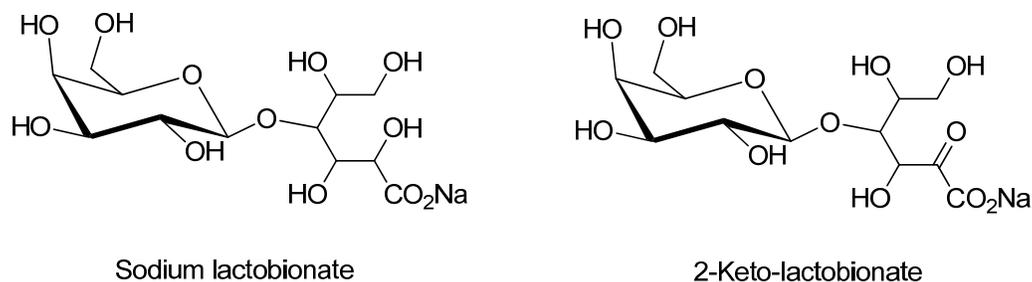
**Figure 2.** Observed mono-, di- and tricarboxylation of sucrose.

The selective oxidation of lactose into lactobionic acid and 2-ketolactobionic acid, as shown in Figure 3, has garnered significant attention. Reactivity of a wide range of monometallic (Ru, Ni, Pd, Pt, Au) and bimetallic (Pd-Bi, Pt-Bi and Au-Pd) catalysts on various catalyst supports (activated carbon, Al<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub> and CeO<sub>2</sub>) have been investigated for lactose

oxidation.<sup>19,76,79</sup> The two-step oxidation of lactose, on supported Pd and Pd-Bi/C catalysts at pH 7 can produce 2-ketolactobionic acid via lactobionic acid formation.<sup>114,115</sup> However, lack of selectivity ( $\leq 80\%$ ) and limited conversion (50–70%) are often encountered in this reaction. The Au catalysts supported on SiO<sub>2</sub> and CeO<sub>2</sub> show nearly 100% selectivity towards lactobionic acid (at 65°C, pH 9), with highest percent conversion of lactose.<sup>116,117</sup> In contrast, the second oxidation step in which lactobionic acid is converted into 2-ketolactobionic acid is often less selective.<sup>118</sup>

**Table 1. Selected examples of glucose oxidation.**

Oxidized product	Catalyst	Reaction condition	Conversion (%)	Selectivity (%)	References
Gluconic acid	Pd/C, 2 wt%	60°C, pH 9, 7 h	95	96	80
Gluconic acid	Pt/C, 5 wt%	60°C, pH 9, 7 h	85	77	80
Gluconic acid	Pd/Al <sub>2</sub> O <sub>3</sub>	50°C, pH 9	100	95	81
Gluconic acid	Pd-Bi/C, 5 wt%	40°C, pH 9	99	99.8	82
Gluconic acid	Ru/C, 5 wt%	50°C, pH 9.5	28.6	26	84
Gluconic acid	Ru-Pd-Bi/C, 5 wt%	50°C, pH 9.5	44	36	84
Gluconic acid	Au/activated C, 0.9%	100°C, pH 9.5	99	95	88
Gluconic acid	Au/Al <sub>2</sub> O <sub>3</sub>	50°C, pH 9.5, 5-10 d,	71	100	94
Gluconic acid	Au/Al <sub>2</sub> O <sub>3</sub> , 0.3 wt%	50°C, pH 9.5, 1 equiv. H <sub>2</sub> O <sub>2</sub>	99	99	94
Glucaric acid	Pt/C	4-65°C, pH < 11.0	55	57	104
Glucaric acid	Pt-Au/TiO <sub>2</sub> 4 wt%	119°C, 28 bar O <sub>2</sub>	71	45	105



**Figure 3.** Lactobionate derivatives obtained after the oxidation of lactose.

In an alternative approach various types of carbohydrate-based biomass were converted to formic acid, under mild conditions using a Keggin-type polyoxometalate ( $\text{H}_5\text{PV}_2\text{Mo}_{10}\text{O}_{40}$ ) catalyst. The oxidation processes were carried out in aqueous media, using 30 bar  $\text{O}_2$ , at  $80^\circ\text{C}$ . For simple hexoses, almost 98% conversion was observed; isolated yields of formic acid were approximately 50%.<sup>119–122</sup> Significant breakthroughs have been reported although both the product selectivity and reaction rate need to be improved for wide scale application of this process.

#### 4.e. Furan Oxidation

Furans can easily be oxidized to obtain a wide range of useful chemicals and chemical intermediates. The production of furans is achieved by sugar dehydration and is described in detail in section 4.f.

One of the most important platform chemicals which is produced by oxidation of furans is 2,5-furandicarboxylic acid (FDCA), as shown in Scheme 6. FDCA is being targeted as a biorenewable replacement of terephthalic acid, a monomer used for the synthesis of plastic polyethylene terephthalate (PET). Experts believe that replacement of terephthalic acid with

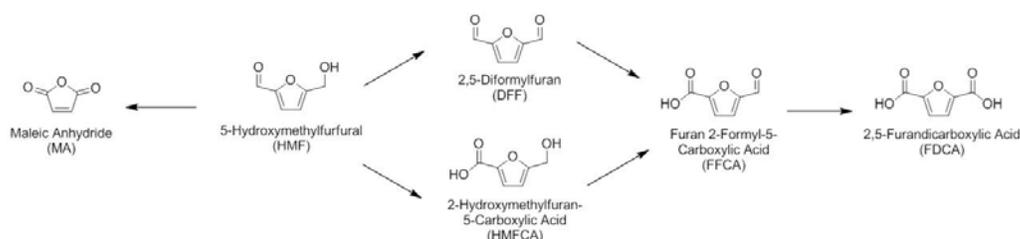
FDCA can reduce carbon emissions significantly.<sup>123</sup> Direct synthesis of FDCA from sugars or biomass is difficult, and FDCA is most commonly obtained, in excellent yields, by oxidizing 5-hydroxymethylfurfural. However, it is important to note that HMF is also quite expensive to produce industrially. As shown in

Table 2 2, catalytic systems used for furan oxidation often include solid-supported metals with sodium hydroxide under moderate to high oxygen pressure.

Corma et al. employed ceria-supported gold nanoparticles to convert HMF into FDCA with great success; yields of FDCA were achieved at >99%, although the catalyst recyclability was relatively poor (20% drop in yield over 4 cycles). By changing the catalyst support (iron oxide, titanium oxide, carbon) and reaction times, Corma et al. were also able to tune the gold catalysts to produce either HMFCA or FDCA.<sup>124</sup> In a later study, Cavini and co-workers synthesized a bimetallic Au-Cu catalyst on titanium oxide, and was able to improve catalyst robustness.<sup>125</sup> Product yield did not decrease after 6 cycles, unlike the monometallic gold catalyst. However, it should be noted that the oxidative ability of the catalyst was reduced significantly as the preferential product produced was HMFCA, not FDCA. Abu-Omar et al. also used Au-TiO<sub>2</sub> particles, but also added a homogeneous co-catalyst, Co(OAc)<sub>2</sub>/Zn(OAc)<sub>2</sub>/Br<sup>-</sup> and trifluoroacetic acid.<sup>126</sup> Using this combination of catalysts and acids, Abu-Omar and coworkers were able to tune the reaction to produce different amounts of DFF, FFCA (furan formylidicarboxylic acid) and FDCA by changing the amounts of Zn(OAc)<sub>2</sub>, bromide, and trifluoroacetic acid added to the solution.

Researchers have also employed other precious metals, besides gold, as catalysts for furan oxidation. Dumesic and coworkers studied Ru, Pd, and Pt on carbon in conjunction with MgO and Al<sub>2</sub>O<sub>3</sub> co-catalysts and their effects on the oxidation of HMF.<sup>127</sup> When MgO and Al<sub>2</sub>O<sub>3</sub> were added to the reaction, the selectivity of the reaction improved preferentially towards 2,5-dihydromethyltetrahydrofuran (DHMTHF) in both cases (the yield increased from 45%, when no co-catalyst was present, to 85-90% in the presence of co-catalyst). Cho et al. also employed Ru/ $\gamma$ -alumina catalysts to convert HMF to DFF, with high selectivity and yield.<sup>128</sup>

There are fewer examples of solely homogeneous catalysis used for furan oxidation. Du et al. studied a series of homogeneous metal catalysts ( $\text{FeSO}_4$ ,  $\text{CuSO}_4$ ,  $\text{V}(\text{acac})_2$ ) and found that the vanadium catalyst was capable of converting HMF into maleic anhydride at 52% yield, although with a large amount of byproducts such as  $\text{CO}_2$ , formic acid, and smaller amounts of oxidized furans such as DFF.<sup>129</sup>



**Scheme 6. Furan oxidation of HMF.**

Table 2. Selected examples of furan oxidation.

Starting Material	Product	Catalyst	Yield(%)	Conditions/Notes	References
HMF	FDCA	Au-CeO <sub>2</sub> or Au-TiO <sub>2</sub>	>99	65°C, water, NaOH, 10 bar O <sub>2</sub>	124
HMF	FDCA	Co(OAc) <sub>2</sub> /Zn(OAc) <sub>2</sub> /Br <sup>-</sup> with Au-TiO <sub>2</sub>	60	90°C, 4.5 h, acetic acid, 1 bar O <sub>2</sub>	126
HMF	HMFCFA	Au-Cu on TiO <sub>2</sub> support	69	60°C, 4 h, NaOH, 10 bar O <sub>2</sub>	125
HMF	FDCA	Au-Cu on TiO <sub>2</sub> support	31	60°C, 4 h, NaOH, 10 bar O <sub>2</sub>	125
HMF	DHMTFH	Ru/solid support	91	130°C, 12 h, NaOH, 27.6 bar O <sub>2</sub> , 1-butanol/water	127
HMF	DFP	Ru/C	84	110°C, water, 20 bar O <sub>2</sub>	128
HMF	MA	VO(acac) <sub>2</sub>	52	Conv: 99%, 90°C, 4 h, CH <sub>3</sub> CN, 10 bar O <sub>2</sub>	129

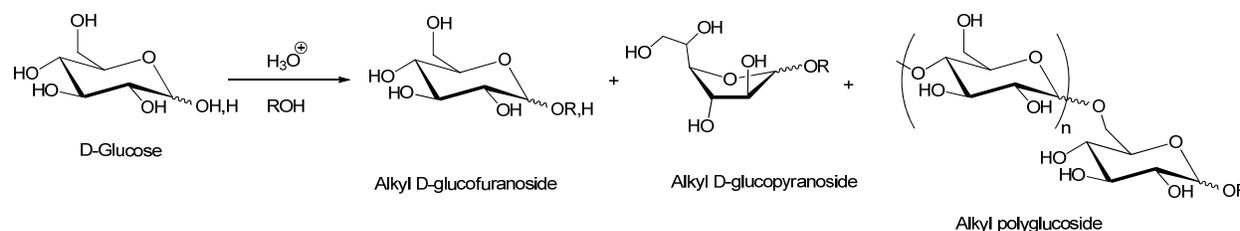
#### 4.f. Glycosylation of Sugars

Glycosylation refers to the formation of an acetal linkage when the carbonyl group (glycosyl donor) present in a sugar unit reacts with an alcoholic -OH moiety (glycosyl acceptor). Glycosylation of fatty alcohols, which are obtained from fatty acids, is a common synthetic strategy used to produce biorenewable alkylpolyglucosides (APGs), one of the most popular surfactants in personal care products and detergents since 1980s.<sup>33,130,131</sup> The glycosylation process can be catalyzed by both acid or base. The acid-catalyzed reaction, often used in industrial level, is also known as the Fischer glycosylation,<sup>132</sup> which produces an equilibrium mixture of alkyl furanosides (kinetic product), alkyl pyranosides (thermodynamic product), and the desired oligomerized alkyl polyglycosides (see Scheme 7). In this two-step process butanol participates as both solvent and reactant and aids the miscibility of the long chain alcohols.

Base-catalyzed Koenigs-Knorr glycosylation processes generally involve the activation of monosaccharides at the anomeric carbon center, while rest of the hydroxyl groups present in

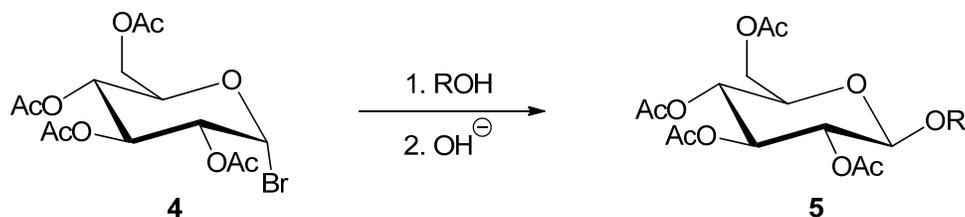
the sugar molecule are completely or partially protected (see compound **4**, Scheme 8).<sup>19,133,134</sup>

The base-catalyzed processes are highly selective towards conversion at the anomeric carbon, to yield the corresponding alkyl D-glycofuranoside **5**.



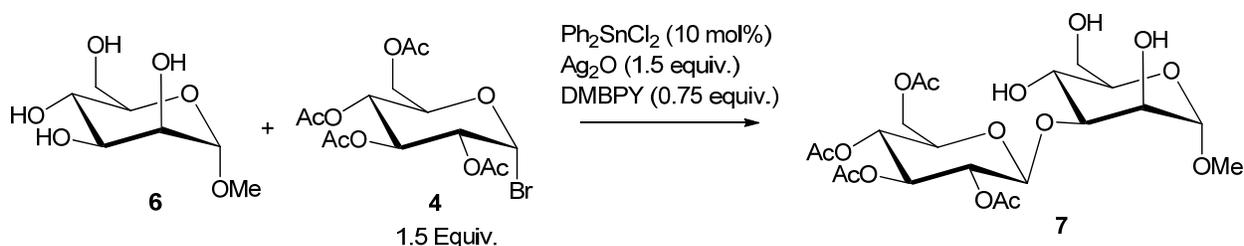
Scheme 7. Commonly observed products in Fischer glycosylation reactions.

**Homogeneous Processes.** The most commonly used homogeneous catalysts are commercial mineral acids such as HCl, HF, HBr, and H<sub>2</sub>SO<sub>4</sub>.<sup>135,136</sup> The glycosylation process can also be carried out by *p*-toluenesulfonic and sulfosuccinic acids; the presence of hydrophobic alkylbenzenesulfonic acids suppresses the oligomerization of monosaccharides. In a recent approach, Marinkovic and coworkers reported an acidic biphasic decanol-sulfolane reaction medium to convert cellulose into decyl-D-xylosides. The reported yield in this solvent-mediated non-catalytic process was 83% after 2h, at a reaction temperature of 150°C.<sup>137</sup> The homogeneous, base-catalyzed glycosylation reactions, especially when directed by leaving groups (halides, sulfonium, etc.), lead to stereoselective substitution reactions at the anomeric carbon. In contrast to the acid-catalyzed process, no oligomerization of alkyl glycosides is observed in this reaction.



Scheme 8. Stereoselective synthesis of glycosides by Koenigs-Knorr glycosylation (R=alkyl group).<sup>19</sup>

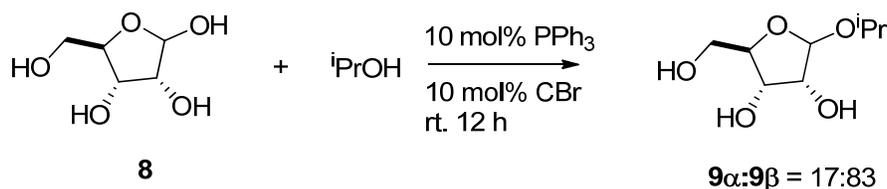
Boronic acid or metal catalyzed glycosylation<sup>138,139</sup> of protected monosaccharides has been further extended to catalytic stereo- and regioselective monoglycosylation of C-6 polyols, to obtain oligosaccharides, as shown in Scheme 9.<sup>140–143</sup> Using  $\text{Ph}_2\text{SnCl}_2$  (10 mol% relative to sorbitol) catalyst, 99% conversion of sorbitol to the glucoside **7** was observed.<sup>140</sup>



Scheme 9. Monoglycosylation of C-6 polyols, using Sn(IV) catalysts.

An “open glycosylation” process which allows regio-controlled substitution without extensive protection-deprotection steps is a highly attractive alternative route for commercial production of the glycosides. Moitessier and coworkers have prepared a H-bonding protecting group, which influences the overall rate of conversion of glucose into the glycosides.<sup>142,144</sup>

In a recent study, an organocatalyzed direct glycosylation of unprotected and unactivated monosaccharides was reported by Mahrwald and coworkers (see Scheme 10).<sup>145</sup> These reactions were carried out in isopropanol, which is also the glycoside acceptor, while using a catalyst system comprising of 10 mol% of PPh<sub>3</sub> and 10 mol% of carbon tetrabromide. The yield observed in these reactions depends on the monosaccharide used. The authors report 77% conversion of ribose and 75% conversion of deoxyribose, whereas the percent conversion of arabinose and xylose were very low. Additionally, because the products are difficult to purify and these homogenous catalytic systems are hard to recycle, it is challenge it scale up these processes for practical applications.



**Scheme 10.** Organocatalyzed direct glycosylation of unprotected and unactivated monosaccharides.

**Heterogeneous Processes.** Heterogeneous acidic sulfonic resins are known to catalyze the formation of alkyl glycosides.<sup>146,147</sup> Van Bekkum *et al.* reported the use of Dowex MSC-1, a macroporous sulfonic acid resin to perform the glycosylation between isopropylidene-protected glucose and a range of alcohols.<sup>148,149</sup> The protected glucose was found to be more soluble in the alcohol phase and this process also reduced the oligomerization reactions. Overall 84% yield of butyl D-glucopyranoside was observed at 80°C, using butanol as the glycoside acceptor. Porous acidic zeolites (ZSM-5, Beta Y, HY) also limits the formation of oligomeric products, possibly

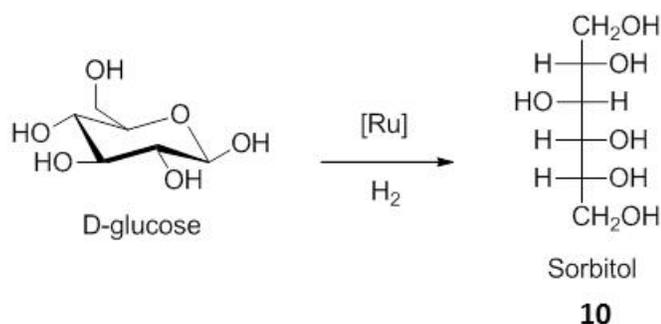
due to their small pore sizes.<sup>150-154</sup> Yields of butyl D-glucosides up to 98% have been reported at moderate temperatures (<110°C). Brønsted acidity, Si/Al ratio, pore and crystallite size of zeolites of the zeolites are main factors which control the conversion and product selectivity of this process. Using tridimensional larger pore H-beta and moderate to highly Brønsted acidic HY and HBEA zeolites with crystallite size <0.35µm, high conversion into butyl D-glucosides is observed. In comparison, crystallite size >0.35µm improved the selectivity towards 6-membered furanoside product in these zeolite catalyzed glycosylations.<sup>150</sup> Other solid catalysts used for glycosylation of glucose include structurally ordered materials such as mesoporous aluminosilicate (MCM-41) and montmorillonites (KSF10, K10).<sup>139,155-161</sup> When long chain alcohols (*e.g.* dodecanol, octanol) are used, the rate of glycosylation and percent conversion both decrease significantly; this is a common limitation of using solid-supported acidic catalysts for glycosylation. Hence, transglycosidation of butyl D-glucosides with long chain alcohols is often the preferred route to obtain the long-chain alkyl glucosides.<sup>162</sup> In comparison to D-glucose, the glycosylation of D-fructose, is often limited by poor conversion.<sup>163</sup>

Enzymatic glycosylation of alcohols using glycosyltransferases have been widely applied and these processes offer the advantages of ambient reaction conditions, regio or stereoselective glycosylation without extensive protection and deprotection steps.<sup>141</sup> However, the need to use highly pure substrates, and waste water treatment add up to the cost of enzyme catalyzed glycosylation. More research is thus addressed to the limitations observed in chemical conversion processes- the use of large excess of alcohols and the need to carry out the reactions at high temperature in the presence of mineral acids, and the stability and recyclability of zeolites in aqueous media. Although significant research has been focused on the chemical synthesis of

alkyl-glycosides, due to these inherent limitations, enzymatic glycosylation still remains the preferred method of synthesis for these materials.<sup>164</sup>

#### 4.g. Reduction of Sugars: Catalytic Hydrogenation

Reduction of carbohydrate carbonyl groups by metal catalyzed hydrogenation provides access to the polyol platform (see Scheme 11). Polyols, such as sorbitol and mannitol, are widely used as additives in various food and personal care products. Every year approximately  $8 \times 10^5$  metric tons of sorbitol is produced for commercial applications.<sup>165</sup>



Scheme 11. Conversion of D-glucose to sorbitol via hydrogenation.

**Homogeneous Processes.** There are relatively few examples of converting hexoses into their corresponding C6 polyols using homogeneous systems.<sup>166,167</sup> Šunjić and coworkers, reported a homogeneous  $\text{RuCl}_2/(\text{TPPTS})_3$  catalyst which almost quantitatively converted mannose into mannitol at 50 bar  $\text{H}_2$  pressure and  $100^\circ\text{C}$  using NaI as a promoter.<sup>168</sup> Under the same reaction condition, the observed conversion of glucose to sorbitol was 65%. The

$\text{RuCl}_2/(\text{TPPTS})_3$  catalyst was also able to convert mannose and glucose to mannitol (86% yield & 93% selectivity) and sorbitol (51% yield & 64% selectivity), respectively, by hydrogen transfer from the reducing agent  $\text{HCO}_2\text{H}/\text{Et}_3\text{N}$ . Another homogeneous catalyst ( $\text{RuCl}_2(\text{PPh}_3)_3$ ), was employed by Rajagopal and coworkers for the reduction of glucose and fructose by transfer hydrogenation from isopropanol.<sup>169</sup> These ruthenium catalysts have also been used to convert the sucrose into C6 polyols. In strong acidic media (0.5 mol/L  $\text{H}_2\text{SO}_4$ ), containing the reducing agent (50 bar  $\text{H}_2$ ) and the catalyst ( $\text{RuCl}_2/(\text{TPPTS})_3$ ), sucrose can be converted into a mixture of D-sorbitol and D-mannitol.<sup>168</sup>

In another study, Yang also used a ruthenium catalyst,  $\text{RuCl}_3$ , in the presence of hydriodic acid and hydrogen gas, to convert sugars (xylose, glucose, fructose) into tetrahydrofuran derivatives (2,5-dimethyltetrahydrofuran and 2-methyltetrahydrofuran), which can be used as biofuels.<sup>170–172</sup> In an in-depth study of the mechanism, Yang and Grochowski found that both the acid (HI) and the catalyst (rhodium chloride) play important roles in the dehydration and hydrogenation of fructose.<sup>173</sup> Additional processes which yield biofuels from sugars and carbohydrates have been covered in a recent publication by Dutta *et al.*<sup>174</sup>

**Heterogeneous Processes.** The industrial sugar reduction processes are generally carried out by using heterogeneous metal catalysts such as Raney Ni, activated with promoters such as Pd, Pt, or Ru, in both batch and continuous reactors.<sup>175,176</sup> These processes generally require high pressure of  $\text{H}_2$  (30–50 bar) and moderate temperatures (<150°C). Up to 98% yields of xylitol and sorbitol from xylose and glucose, respectively, have been observed while using Raney Ni or supported cobalt catalysts.<sup>177</sup> However, the Raney Ni system suffers from a few drawbacks, such as catalyst deactivation due to leaching of the promoters and poor desorption of products from the catalyst surface. In contrast, Ru catalysts supported on titania, silica or

zirconia, produced high yields of polyols (approximately 98%) and were also highly stable. Ru catalysts supported on activated carbon were also very effective for production of sorbitol from glucose; both excellent conversion (99.7%) and selectivity (99.5%) were obtained.<sup>178–180</sup> No metal leaching was observed from this system even after continuous use for 30 days. Ru catalysts supported on activated carbon cloth, was easier to separate from products and recycle.<sup>181</sup> The syntheses of commercially important products by catalytic hydrogenation are summarized in Table 3. Disaccharides (lactose, maltose, sucrose) can also be reduced by Raney Ni under similar conditions to obtain pure C12 polyols.<sup>182,183</sup>

**Table 3.** Selected examples of sugar reduction by catalytic hydrogenation.

Starting Material	Product	Catalyst	Yield(%)	Conditions	References
Fructose	5-Methylfurfural	RhCl <sub>3</sub> in hydriodic acid (HI)	64	90°C, 1 h, toluene/water biphasic system, 20.6 bar H <sub>2</sub>	184
Xylose	Xylitol	Ru on TiO <sub>2</sub> -NiO <sub>2</sub> support	99.8	120°C, 120 min, deoxygenated water, 55 bar O <sub>2</sub>	185
Glucose	Sorbitol	Pt nanoparticles on activated carbon cloth	99.5	100°C, water, 80 bar H <sub>2</sub>	181
Fructose	Mannitol	Ru/C	40	100°C, 20 h, water, 100 bar H <sub>2</sub>	186
Glucose	Sorbitol	Ru/MWNT	62.5	100°C, 120 min, water, 40 bar H <sub>2</sub>	187

#### 4.h. Furan Reduction: Catalytic Hydrogenation

Furans can also be hydrogenated to yield a wide range of useful chemicals and chemical intermediates. Synthesis of furans is described in the following section. A recent review, by Nakagawa *et al.*, summarizes the various hydrogenation techniques used for furan reduction.<sup>188</sup> Catalytic systems for furan hydrogenation typically involve heterogeneous catalysts (Cu-Cr,

Ni/O/SiO<sub>2</sub>, Pd/SiO<sub>2</sub>, etc.); conversions are high (generally >99%) although product identity and yields vary (10-80%), depending on the catalytic system and the furan starting material used.

#### 4.i. Dehydration of Sugars

The dehydration of monosaccharides can produce a number of highly desirable platform chemicals; among them furfural (FUR), 5-hydroxymethylfurfuryl (HMF), levulinic acid, and lactic acid. A scheme outlining the furan platform, which includes the compounds FUR, HMF, and LA, is included (Scheme 12). These chemicals and/or their immediate derivatives are highly a huge potential for use in a wide array of applications. However, product selectivity and product purity are the primary barriers to using biomass as a source for these chemicals; in effect, researchers are very actively addressing these issues by using a variety of different catalytic systems to combat these issues.

***Homogeneous Processes.*** Mineral acid catalysts have been successfully used to convert sugars into 5-hydroxymethylfurfural (HMF) and furfural (FUR), although there are fewer examples in current literature (see Table 4). Commonly, glucose/fructose is used as a starting material for HMF and xylose for FUR; researchers commonly use the same catalysts to convert these starting sugars into their respective furans. While fructose is far easier to convert into HMF than glucose (in very high yields), glucose is a preferred starting material because it is much cheaper than fructose. Also, while it is common for researchers to employ xylose in model studies of furfural, furfural is already synthesized at a commercial scale from pentose-rich raw biomasses using mineral acids. Although conversion of sugar to furfural or 5-HMF using homogeneous acid catalysts is high, both the presence of acid and water results in a lower yield

of either furan derivatives because they continue to react to form side products, such as polyketones or insoluble humins.

Researchers have found that by using biphasic acidic systems (another homogeneous catalytic system), they are able to improve the yield of both FUR and HMF dramatically.<sup>189</sup> Biphasic systems commonly include an acidic aqueous layer, and an organic layer (MIBK, THF, DMSO, etc.). The furan dissolves into the organic layer, reducing the contact time of the acid-sensitive furan with the acid, leading to a reduction in byproduct formation and helps maintain overall reaction yield/selectivity. Metal salts, such as  $\text{CrCl}_3$  and  $\text{AlCl}_3 \cdot 6\text{H}_2\text{O}$ , in biphasic systems also serve as effective catalysts.

Levulinic acid (LA) is a C5 compound that can be synthesized from glucose, fructose, or 5-hydroxymethylfurfural (5-HMF), as shown in Scheme 9. Because of its abundance and lower cost, glucose is the preferred starting material, which is a similar case for HMF. LA can also be synthesized from cellulosic biomass, which will be discussed in Section 6.a. Levulinic acid is an extremely versatile platform chemical that can be further modified to produce organic solvents ( $\gamma$ -valerolactone (GVL)),<sup>190–197</sup> fuels (2-methyltetrahydrofuran (2-MTHF)),<sup>198</sup> food flavorings and fragrances (ethyl levulinate (EL)),<sup>199–203</sup> chemical intermediates (succinic acid),<sup>204</sup> bisphenol acids,<sup>205–207</sup> and plasticizers (diols).<sup>208–210</sup> Generally, the open-chain form of glucose undergoes dehydration, losing two waters to form HMF. The HMF intermediate is ultimately hydrolyzed and eliminates formic acid to form levulinic acid. Fructose yields the same products, although the reaction takes place via a cyclic route. A detailed reaction mechanism for the synthesis of levulinic acid has been provided in an excellent review written by Rackemann and Doherty.<sup>211</sup>

Levulinic acid has been synthesized in good yields (up to 83%) using mineral acid catalysts, such as sulfuric acid and hydrochloric acid, using relatively mild temperatures ranging from 95-240°C.<sup>211</sup> These mineral acids can then be removed from the product via vacuum distillation and steam stripping. Mineral acids, however, are highly corrosive and require special processing equipment.<sup>212</sup> The toxicity of mineral acids has prompted some investigation into heterogeneous catalysts as possible replacements – however, both conversion and yields obtained thus far are poor.

Lactic acid is another product of glucose/fructose dehydration. Lactic acid serves as the building block of poly(lactic acid), the second largest volume bio-plastic.<sup>213,214</sup> Currently, fermentation is the primary industrial process used to synthesize lactic acid.<sup>214</sup> While the fermentation process can produce calcium-lactate salt (a precursor of lactic acid) in high yield and selectivity (95%), the multi-step workup is complex and expensive. In the past few years, a handful of new chemical routes have been explored although a majority of research is still focused on fermentation. Metal salts, including Al<sup>3+</sup> and Cr<sup>3+</sup>, and lanthanide triflates have also been used to convert glucose and sucrose, a disaccharide, to lactic acid, albeit with lower yields (20 – 50%)<sup>215,216</sup>

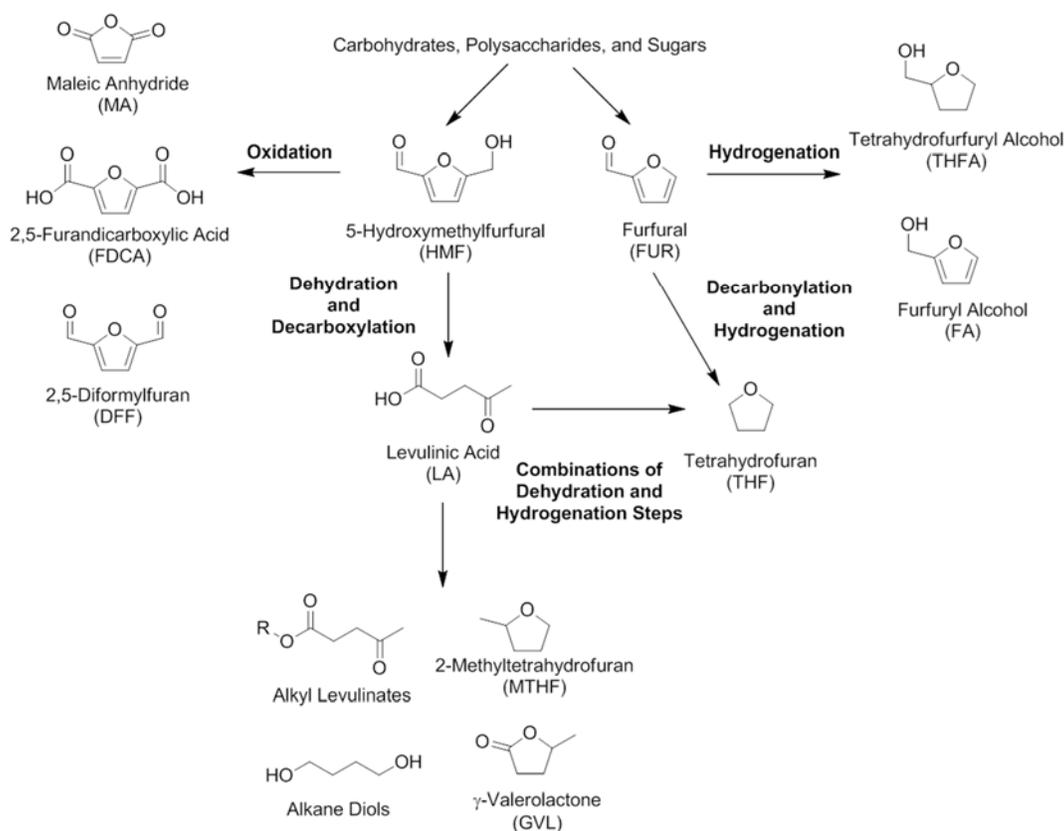
***Heterogeneous Processes.*** Most current literature regarding synthesis of HMF and FUR from sugars focuses on the application of heterogeneous catalytic systems. Of the sugars available, xylose is the most commonly used for the production of furfural (FUR), while glucose is employed in the synthesis of HMF. Fructose – an isomer of glucose – can also be used to as an HMF starting material; while it is easier to achieve better yields of HMF from fructose than from glucose, fructose is more expensive. Aluminosilicate catalysts can be used to isomerize glucose into fructose to improve reaction yields.<sup>217</sup>

Heterogeneous catalysts which have been extensively studied for HMF and FUR production include solid-supported metals, aluminosilicates, zeolites, and amberlyst acidic resins.<sup>218</sup> These solid acid catalysts are capable of converting 50–99% of sugar dehydration, and yields up to 82% product; reaction temperatures are mild, and generally fall under 200°C, as summarized in Table 5. Biphasic systems are commonly used to ease separation of the product from the catalytic system.<sup>184</sup> Solvent choice varies; while there is a “green” impetus to use water, the reactivity of HMF and FUR with water usually requires the dimethylsulfoxide or poly(vinylpyrrolidone) additives to suppress the byproduct formation of polyketones and insoluble humins.<sup>17</sup>

Heterogeneous Sn-zeolites have been used to convert sucrose to methyl lactate in methanol with a 60% yield.<sup>219</sup> Another heterogeneous catalyst, a polymer composed of imidazole and epichlorohydrin units, has been used to convert glucose into lactic acid at 63% yield and 99% conversion. It is not, however, possible to extract the polymer catalyst from the reaction, and subsequent recycling of the polymer eventually reduces its activity; a decrease from 60 to 50% yield of lactic acid is observed over six cycles.<sup>220</sup>

One major problem often encountered in using heterogeneous catalysts is a lack in robustness. Furan yields typically fall after the catalysts are reused, although recent studies have shown improvements in catalyst recyclability.<sup>221</sup> Post-reaction SEM images and XRD analyses of the catalysts, post reaction, typically show a change in pore size for acidic resins or nanoparticle growth for solid supported metal catalysts.<sup>200</sup> As such, a significant portion of biomass conversion studies are dedicated to improving the robustness and to lengthen the lifetime of these catalysts.

**Ionic liquids.** Homogeneous systems involving the use of ionic liquids can also be paired with metal salts, such as chromium chloride ( $\text{CrCl}_3$ )<sup>222</sup> or aluminum oxides ( $\text{Al}_2\text{O}_3$ ),<sup>184</sup> to give high yields of FUR and HMF products. A detailed list of reaction conditions for ionic liquid conversions is given by Lima and co-workers in their 2013 review.<sup>223</sup> It is important to note that high concentrations of starting materials can lead to the degradation of water-stabilized, homogeneous metal catalysts.<sup>224</sup>



Scheme 12. Furan platform from carbohydrates.

Table 4. Commonly used catalysts involving furan production or furan upgrading.

Category	Catalytic systems	Common Examples	Ref.
Heterogeneous	Sulfonated acid catalysts	HZM, SBA-15 (propylsulfonic acids), Nafion catalysts	187, 194, 195, 204, 225–232
	Metal salts on solid supports	Pd, Ru, Rh, Cu on Carbon, TiO <sub>2</sub> , or in sol-gel systems	124, 127–129, 196, 210, 230, 231, 233–238
	Zeolites	Mordenites	200, 239–241
	Aluminosilicate clays	HT (hydrotalcite)	42, 243
Homogeneous	Mineral acids or Bronsted Acids	HCl, H <sub>2</sub> SO <sub>4</sub> , HI, HBr, HNO <sub>3</sub>	203, 224, 244–246
	Water-soluble Lewis acids	Al <sub>2</sub> O <sub>3</sub> , CrCl <sub>3</sub>	126, 129, 173, 184, 224, 247–251
Ionic Liquids	Ionic liquids only	[BMIM][Cl], [EMIM][Cl]	252–256
	Ionic liquids and homogeneous catalysts	IL and IL-soluble metal salts	229, 257–260
	Ionic liquids and heterogeneous catalysts	IL and sulfonated acid catalysts, metal salts on solid supports, zeolites, clays	234, 261

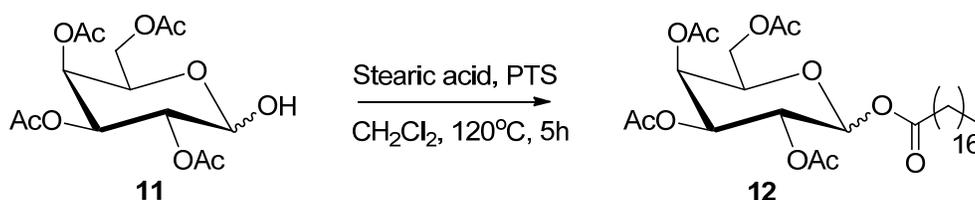
Table 5. Selected examples of the dehydration of sugars.

Starting Material	Product	Catalyst	Yield (%)	Conditions/Reaction	Ref.
Xylose	FUR	AlCl <sub>3</sub> /[BMIM][Cl]	82	160°C, microwave	262
Fructose	HMF	CSS/[BMIM][Cl]	83	80°C, 10 min, microwave, CSS: carbonaceous sulfonated carbon	261
Glucose	HMF	AlCl <sub>3</sub>	68	Conv: 91%, 170°C, 40 min, NaCl saturated water, HCl	263
Glucose	HMF	[EMIM][Cl]	41	Conv: 95%, 120°C, 3 h, boric acid	260
Fructose	HMF	[EMIM][Cl]	78	Conv: 95%, 120°C, 3 h, boric acid	260
Sucrose	HMF	[EMIM][Cl]	66	Conv: 95%, 120°C, 3 h, boric acid	260
Fructose	HMF	DVB-SO <sub>3</sub> H/[BMIM][Cl]	93	75°C, 20 min, DVB-SO <sub>3</sub> H: sulfonated divinylbenzene macroporous strong acid gel	261
Glucose	HMF	PTA/MIL-101/[EMIM][Cl]	2	Conv: 21%, 100°C, 3 h, PTA/MIL-101: phosphotungstic acid H <sub>3</sub> PW <sub>12</sub> O <sub>40</sub> /chromium-carboxylate cubic structure (MOF)	264
Fructose	HMF	PTA/MIL-101/[EMIM][Cl]	63	Conv: 84%, 80°C, 60 min	264
Fructose	HMF	Sn-β	71	Conv: 89%, 130°C, 9 min, GVL/water, microwave, Sn-β: zeolite	265
Glucose	HMF	Sn-β, Amb-70	63	Conv: 90%, 30 min, GVL/water, microwave	265
Fructose	HMF	GTS	99	Conv: 99%, 160°C, 60 min, THF/DMSO, GTS: sulfonated carbonaceous material	266
Fructose	HMF	FSIL	81	100°C, 60 min, H <sub>2</sub> O/MIBK, FSIL: fiber supported ionic liquid, [PPFFy][HSO <sub>4</sub> <sup>-</sup> ]	267

#### 4.j. Esterification of Sugars

Fatty acid esters of mono- and disaccharides are an important class of biodegradable emulsifiers, surfactants and low calorie substitutes for edible fat.<sup>268</sup> The sorbitan esters find application as non-toxic, non-irritating emulsifiers in the food, pharmaceutical, and cosmetic

industries. Reduced monosaccharides, such as sorbitol can also undergo esterification reaction with fatty acids under and base or acid-catalyzed condensation conditions.<sup>269</sup> An example of this process is depicted in Scheme 13, where acetyl-protected sorbitol **11** is converted to the corresponding stearate ester **12**, under acid catalyzed condition. Alternatively, transesterification of fatty acid esters with sugars or reduced sugars can also produce the corresponding sugar esters.



**Scheme 13.** Acid catalyzed esterification of protected sorbitol.

At high temperatures, sorbitol undergoes acid-catalyzed dehydration reaction to produce a mixture of anhydrides, 1,4-sorbitan, 2,5-sorbitan, and isosorbide. Consequently, acid catalyzed esterification reaction produces an equilibrium mixture of mono-, di-, and triesters of various anhydrides of sorbitol. To avoid the complex product distribution, a two-step esterification has often been adopted. In the first step sorbitol is dehydrated to sorbitan by concentrated phosphoric acid, at 180°C, followed by the esterification of sorbitan with fatty acid at a higher temperature.<sup>270</sup>

**Homogeneous Processes.** Examples of homogeneous catalysts to obtain sorbitol fatty acid esters include boronic acids mineral acids, *p*-toluenesulfonic acids,<sup>271–273</sup> as well as organic bases and alkali metal hydroxides.<sup>274,275</sup> A direct synthesis from C-6 sugars was reported by

Zhenyuan and coworkers, using acetyl protection strategy, followed by selective deprotection at the anomeric C-2 position. The resulting acetals were then reacted with stearic acid at 120°C, using catalytic amount of *p*-toluenesulfonate to obtain the corresponding fatty acid esters with 57–66% yield.<sup>273</sup>

Esterification of sucrose can also be carried out using base catalysis, although high temperatures (150–200°C) and highly polar solvents, such as DMF or DMSO, are required to dissolve sucrose.<sup>276,277</sup> Esterification with acyl chlorides following Mitsunobu reaction condition has also been reported by Tate *et al.*<sup>278,279</sup> Selective esterifications at 6,6', 2,2' or 3,3' positions have also been reported using *N*-acylthiazolidine thiones or metal complex reagents.<sup>280</sup>

**Heterogeneous Processes.** Few examples of heterogeneous catalysis have been reported for the esterification of reduced monosaccharides with fatty acids.<sup>281</sup> These processes generally involve acidic solid catalysts ( *e.g.* sulfonic acid functionalized on mesoporous silica (MCM-41), Amberlyst-15, and acidic zeolites (Beta, ITQ etc.)) with conversion percentages of sorbitol ranging from 50–99%. This approach faces two major challenges: the poor miscibility of the hydrophilic sugars in hydrophobic fatty acids and the poor control of the degree of esterification. To increase the selectivity towards monoester formation, the hydroxyl groups in sorbitol can be protected by ketal formation with acetone. To enhance sugar and fatty acid miscibility, highly polar organic solvents such as DMF and DMSO are regularly used.

Transesterification of fatty acid esters with sucrose has been observed by employing cyclic guanidine 4,5,7-triazabicyclo[4.4.0]dec-5-ene (TBD) supported on mesoporous silica as catalyst.<sup>282,283</sup> By using an excess amount of fatty acid methyl ester (4 equiv.) with respect to

glucose, a 92% conversion of glucose with a preferential formation of the monoesters was observed. At 110°C in DMSO, the ratio of mono- and diesters obtained was 69:14.

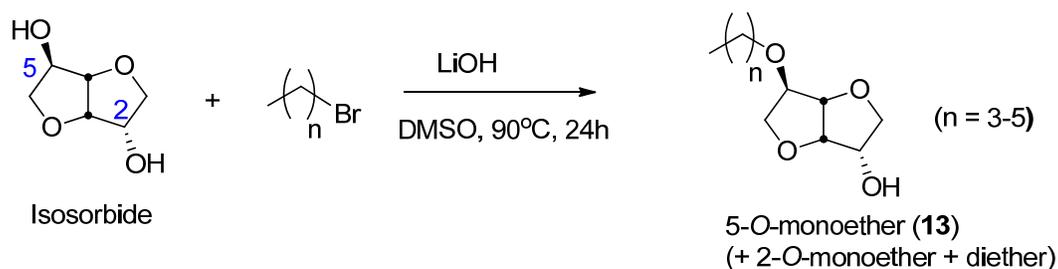
In most cases, the enzymatic synthesis of sugars esters is preferred over chemical synthesis because of the higher regio- and stereoselectivity of the former approach. Additionally, the chemical route often produces requires the use of organic solvents and produces colored products. The resulting product must go through an additional bleaching or purification step before it is ready for further use. Thus, the development of new chemical processes involving less toxic chemical reagents is necessary for sugar ester synthesis.

#### 4.k. Etherification of Sugars

Amphiphilic ethers of mono- and disaccharides, containing long hydrophobic alkyl chains, are an emerging class of biodegradable, biorenewable, non-ionic surfactants.<sup>284,285</sup> Amphiphilic short chain mono- and dialkyl ethers of isosorbide have also found application in waterborne paints.<sup>285</sup> Sodium salt of dodecyl isosorbide sulfate is used as a new class of biorenewable anionic surfactants.<sup>286</sup> The sugar-based C6-polyol platforms are commonly obtained by the reduction of hexoses (*e.g.* isosorbide, isomannide, isoidide) and disaccharides (*e.g.* sucrose), as discussed in 4.e. of this review. These polyols are further converted to their corresponding ethers by using both homogeneous and heterogeneous catalytic techniques.

***Homogeneous Processes.*** The etherification reactions are generally carried out under base catalyzed condition in water or highly polar organic solvents (DMF or DMSO), to facilitate the mixing of hydrophilic sugars, at moderate temperature (100–110°C).<sup>284,286,287</sup> As shown in

Scheme 14, the reaction of isosorbide with alkyl bromide (C4-C16 alkyl groups) in the presence of LiOH produces 5-O-monoalkyl isosorbide (**13**) as the major product with a 32% yield.<sup>288</sup>



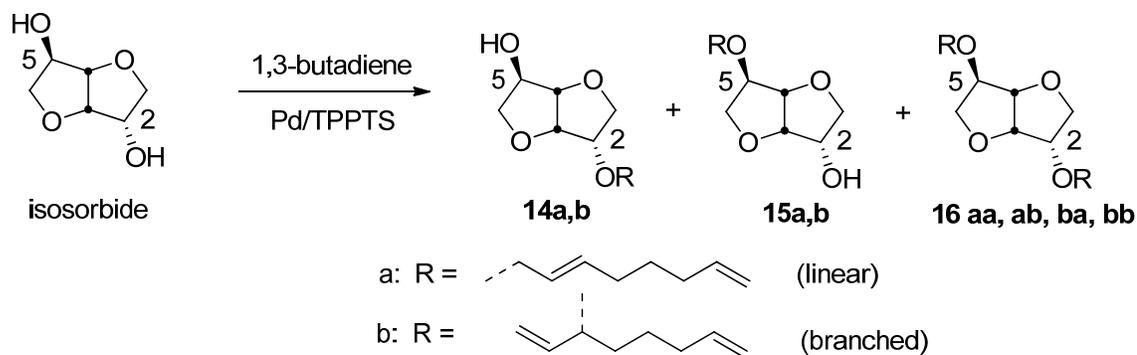
**Scheme 14.** Conversion of isosorbide into amphiphilic ethers.

Isosorbide has also been further functionalized to obtain O-alkylated or O-arylated  $\beta$ -iodoethers by Bernini and coworkers.<sup>289</sup> This approach follows iodoetherification of isosorbide derived glycols with a series of O-nucleophiles, in the presence of *n*-iodosuccinimide. Iodine is then removed from the resulting *trans*-iodo ethers and acetates to obtain the isosorbide-ethers with moderate to good yield. Additionally, aryl and fluorinated enol ethers of sorbitol and pentaerythritol have recently been synthesized.<sup>290,291</sup> Hexose sugar and isosorbide based crown ethers, containing the monosaccharide unit in conjugation with a macrocyclic ring, have been studied by Rapi and coworkers.<sup>292</sup> These materials have been applied as chiral ligands and auxiliary in various asymmetric transformations.

The *homogeneous* etherification of sucrose is generally carried out in water or highly polar organic solvents such as DMF or DMSO, at moderate temperature (100–110°C). Ring opening of epoxides can be carried out by sucrose using tertiary amines or N-methyl imidazole as base, in the presence of a surfactant such as cetyltrimethylammonium bromide (CTAB) at

100°C.<sup>293,294</sup> The surfactant promotes miscibility of hydrophobic long chain epoxides and hydrophilic sucrose. Drawbacks of this approach are poor the miscibility of hydrophobic and hydrophilic reactants and the resulting low to moderate yields (20–60%).

Hill and coworkers carried out etherification of sucrose by telomerization with conjugated dienes such as butadiene, using Pd(acac)<sub>2</sub> catalyst at 70°C.<sup>295</sup> The Pd(II) catalyzed telomerization process has been extended to various carbohydrate building blocks (sorbitol, sorbitan, isosorbide, isomannide) and typically produces a mixture of mono- and diethers, (**14**, **15**, **16**) as shown in Scheme 15, using isosorbide as the sugar-derivative. It was shown that the addition of 1 M NaOH to the catalyst decreases the amount of byproduct formation. In similar conditions Pd/TPPTS catalyst led to 73% conversion of sucrose, with a 2:1 ratio of mono- and dioctadienyl ethers.<sup>296</sup> The effect of reaction conditions, such as temperature, base, concentrations of catalysts and substrates, on the extent of conversion and product selectivity have been studied in details.<sup>297–301</sup> In a recent report Molinier *et al.* carried out 99% conversion of isosorbide into a mixture of monoethers while using 0.2 mol% aqueous Pd(II) catalyst with 0.8% TPPTS ligand, at 80°C. The observed ratio of 2-O substituted monoether, **14** and the 5-O substituted monoether, **15** was 51:37, with 12 % diether formation.<sup>298</sup>



Scheme 15. Telomerization of isosorbide with butadiene.

**Heterogeneous Processes.** Basic anionic exchange resins, silica supported mineral acid and molecular sieves have been employed as heterogeneous catalysts for the etherification of sucrose.<sup>302,303</sup>

Lipophilic bio-based ethers have found numerous applications in paints, inks, de-icers, etc. The use of expensive Pd catalysts and toxic organic solvents used in their preparation pose a severe limitation for further commercialization processes.

#### 4.1. Hydrogenolysis of Sugars and Sugar Alcohols

Monosaccharides and the polyols obtained after hydrogenation can undergo both C-C and further C-O bond cleavage processes to produce C2 and C3 polyols. Catalytic hydrogenolysis of hexoses produces a range of useful chemical intermediates such as ethylene glycol, glycerol, 1,2-propanediol, ethanol, and propanol. The hydrogenolysis of sugars has recently been reviewed by Palkovits *et al.*<sup>12</sup> and Gallezot *et al.*<sup>76</sup> These reactions are generally carried out in aqueous conditions, often at moderately high temperature (300–350°C) and high pressure of H<sub>2</sub> (7–20 MPa), in both continuous and batch reactors. A basic promoter (NaOH or Ca(OH)<sub>2</sub>) is often added to enhance catalytic activity by suppressing catalyst deactivation. Supported Ru, Ni, and Pd catalysts favor C-C bond cleavage, a mechanism which produces a mixture of C2 and C3 polyols.<sup>304–309</sup> Extensive research has been directed towards optimizing the reaction conditions for hydrogenolysis. This includes further study of the metal catalysts, the use of aqueous and organic solvents, and the investigation of the effects of pH on the product selectivity of the reaction. In a recent study, a series of Al<sub>2</sub>O<sub>3</sub> supported metal catalysts were used for the

hydrogenolysis of xylitol. The rate of this reaction, at 200°C, followed the order Ru>Co>Pt>Ni>Pd.<sup>210</sup> The use of multimetallic Pt-ReO<sub>x</sub>/C, Ir-ReO<sub>x</sub>/SiO<sub>2</sub> catalysts for aqueous phase reduction of sorbitol offers a wide range of product selectivity by producing a complex mixture of gaseous CO<sub>2</sub> (40-67%) and reduced products containing C1-C6 alkanes, C2-C6 polyols, mannitol, cyclic ethers (isosorbide, sorbitan), organic carbonyl compounds, and acids.<sup>310</sup> Further modifications on the catalyst system and the reaction conditions led to selective formation of cyclic ethers or polyols over gaseous alkanes. Recently the multimetallic Pt-ReO<sub>x</sub>/C catalyst has also been applied for the dehydroxylation of biorenewable angelica lactone to obtain C7-C10 hydrocarbons with 72% yield.<sup>311</sup> Heterogeneous dual CuO-ZnO catalysts are more selective towards dehydroxylation over C-C bond cleavage,<sup>312</sup> leading to the formation of C4 and higher molecular weight polyols (*e.g.* C4-C6 alcohols such as butanol, butanediol, hexanediol, hexanetriol, and hexane tetrols) from mono and disaccharides and reduced sugar alcohols. In contrast the Cu/SiO<sub>2</sub> catalyst favors hydrogenolysis of xylitol with 100% conversion and 37% selectivity towards the C2-C3 diol.<sup>313</sup>

The observed product selectivity thus, depends on the reaction conditions and often remains a challenge. In these processes, tailoring of the catalyst system leads to a mixture of compound, instead of a pure product. Further application of the resulting products is thus often limited to usage as bulk feedstocks. The mixtures of C4-C6 polyols have found application in the synthesis of polyurethanes and resins. (See Table 6)

**Table 6. Conversion of polysaccharides and cellulose to sugars and sugar derivatives.**

Starting Material	Product	Catalyst	Reaction Conditions	Conversion (%)	Selectivity (%)	Ref.
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Xylitol	C2-C3 glycol	Ni/C	0.06-1g Ni/C, 10.5% catalyst loading 0.26 g Ca(OH) <sub>2</sub> , 200°C, 40 bar H <sub>2</sub> , 40 g 10 wt% xylitol aqueous solution, 1 h	20	33	309
Xylitol	C2-C3 glycol	Cu/MgO	0.2 g reduced catalyst, 0.3 g Ca(OH) <sub>2</sub> , 200°C, 40 bar H <sub>2</sub> , 40 g 10 wt% xylitol aqueous solution, 2 h	41	32	313
Xylitol	C2-C3 glycol	Cu/SiO <sub>2</sub> ,	0.2 g reduced catalyst, 0.3 g Ca(OH) <sub>2</sub> , 200°C, 40 bar H <sub>2</sub> , 40 g 10 wt% xylitol aqueous solution, 2 h	29	37	313
Sorbitol	C6 polyols	CuO-ZnO	CuO-ZnO (33:65), 180°C, 130 bar H <sub>2</sub> pH 7, 21 wt% sorbitol	92	63	312

## 5. Chemical Conversions of Polysaccharides: Starch and Cellulose

### 5.a. Chemical Cracking

Chemical conversions of polysaccharides involve an initial deconstruction of the ligninocellulosic backbone, followed by further chemical transformations of the resulting hydrolysates. In some cases, the chemical cracking of polysaccharides, starch, and cellulose can directly yield useful secondary chemicals; for example, it is possible to generate FUR, HMF, and LA – all key chemicals in the furan platform – directly from polysaccharides.<sup>314</sup> Chemical cracking is primarily achieved using heterogeneous systems or by using ionic liquids in conjunction with either homogeneous or heterogeneous catalysts.<sup>315</sup>

**Homogeneous Processes.** Recently, Yang and Sen utilized a homogeneous RhCl<sub>3</sub>/hydriodic acid system capable of synthesizing 5-methylfurfural from a wide range of biomass substrates. Under mild temperature (<115°C) and moderate hydrogen pressure (300 psi

H<sub>2</sub>), product yields of 61% and 41% were retained from inulin and corn stover, respectively.<sup>170–</sup>

172

Mineral acids – non-catalytic conversion media – have also very effectively been used to directly convert raw biomass into levulinic acid in commercialized processes. Researchers have had significant success as yields range between 50 – 80%.<sup>211</sup> By-product formation, however, makes purification of the resulting levulinic acid expensive. Also, a recent publication has improved the yields of levulinic acid dramatically by coupling the use of mineral acids with microwave heating – authors were able to convert 100% of the starting material (cellulose) with a 90% yield of levulinic acid. No additional purification was needed to obtain pure levulinic acid.<sup>316</sup> It is also possible to synthesize alkyl levulinates, used for as fragrances, directly from biomass by simply including linear, primary alcohols in the reaction medium.<sup>317</sup> Although safety concerns regarding the use of strong acids has prompted researchers to study alternative, heterogeneous systems for the conversion of biomass to levulinic acid, heterogeneous alternatives, thus far, have yielded poorer results.

Commercial production of furfural uses a combination of mineral acids and steam stripping;<sup>212</sup> however, a primary drawback of this system is that in the presence of water and strong acid, the furfural can potentially continue to react to form byproducts such as humin.<sup>218</sup> As such, researchers are actively investigating how to improve the yield of furfural – and other acid-sensitive furans (HMF) – by using alternative systems (heterogeneous and catalytic ionic liquid systems, see Table 7 for examples of IL systems). Abu-Omar and co-workers used a biphasic system to convert raw biomasses (corn stover, pinewood poplar, cellulose) to other furfural in 29 – 66% yields often with HMF as a major side product (yield 6 – 42%).<sup>318</sup>

Cellulose can also be converted into lactic acid, using metal chloride salts ( $\text{AlCl}_3$ ,  $\text{CrCl}_3$ ),<sup>215</sup> vanadyl cations ( $\text{VO}_2^+$ ),<sup>319</sup> and lanthanide triflates ( $\text{Er}(\text{OTf})_3$ ).<sup>320</sup> In a 2013 study, Wan and co-workers discovered that  $\text{Pb}^{2+}$  ions were particularly effective (when compared to other metal cations) at converting ball-milled cellulose into lactic acid.<sup>321</sup> However, it is important to note that besides the toxicity of the catalyst, the cellulose loading was low ( $\sim 1$  wt%), and the temperature was relatively high ( $290^\circ\text{C}$ ).

**Heterogeneous Processes.** More examples of heterogeneous systems are present in the literature. Complex carbohydrate-based biomass such as xylan, wood dust can be converted to formic acid, using the polyoxometalate catalysts in aqueous media.<sup>322,319</sup> In one example, Bujanovic and coworkers employed the polyoxometalate  $\text{H}_5\text{PV}_2\text{Mo}_{10}\text{O}_{40}$  catalyst, in the presence of 30 Bar  $\text{O}_2$ , at  $80^\circ\text{C}$ . The observed yields of formic acid were between 11–33%.<sup>121</sup>

Few examples of the direct conversion of cellulose to HMF, without the aid of IL, exist. Wang and co-workers employed a micellar, solid heteropolyacid catalyst perforated with surfactant ( $\text{Cr}[(\text{DS})\text{H}_2\text{PW}_{12}\text{O}_{40}]_3$ ) to hydrolyze 77% of cellulose with a 52.7% yield of HMF. This is an excellent result and comparable to yields obtained by IL systems.<sup>323</sup> The presence of the surfactant, dodecyl sulfate (DS), improves both the cellulose hydrolysis and HMF yield significantly – if only the HPA is used ( $\text{Cr}[\text{H}_2\text{PW}_{12}\text{O}_{40}]_3$ ) conversion and yield drop about 15%. It appears that the presence of the DS solubilizes the cellulose, and draws it into the micelle, enabling better adsorption and consequently, better interaction of the cellulose and the HPA catalyst. By-products of the reaction included formic acid, acetic acid, and levulinic acid, enabling an efficient separation (90% HMF extracted) of the less polar and less water-soluble HMF using methyl isobutyl ketone (MiBK) as the extracting solvent. The catalyst was also easily extracted and could be recycled with only a slight drop in activity over 6 cycles.

Researchers have dedicated a significant amount of time to finding heterogeneous catalysts to synthesize levulinic acid from biomass substrates, although these alternatives have yet to match mineral acid systems in terms of yield and selectivity. Heterogeneous catalysts, with sulfonic-acid groups, have been applied to the synthesis of levulinic acid with moderate success. While the selectivity/yield of these catalysts can be quite impressive, reaching a high of yield/selectivity of 76%, it is important to keep in mind that to achieve these yields, the substrate concentration must be kept quite low (i.e. 40 mg of substrate in 2 mL of water, 0.2% wt).<sup>336,324,325</sup>

Heterogeneous hydrogenation and hydrogenolysis of starch and cellulose to obtain polyols and other sugar derivatives have been widely studied by various research groups. These reactions are preceded by a hydrolysis process, to depolymerize the polysaccharide into the corresponding monosaccharides.<sup>326</sup> This often is a two stage process – an initial acidic or enzymatic hydrolysis, followed by hydrogenation and hydrogenolysis of the resulting monosaccharides (as described in the conversions of monosaccharides in sections 4.e. and 4.j.). A one-pot combined approach has also been developed where the monosaccharides generated *in situ*, by acidic hydrolysis of polysaccharides are converted into polyols and other sugar derivatives such as hexitan and isohexide. The one-pot approach is typically carried out by using “bifunctional” metal catalysts, supported on Brønsted acidic zeolites (H-USY-3% Ru). These reactions generally take place at higher temperature (190-200°C) to promote hydrolysis of polysaccharides. In these processes, starch is generally converted into C6 polyols such as sorbitol and mannitol or a mixture of both. In contrast the chemical cracking of cellulose offers access to a diverse group of sugars derivatives including the C4-C6 polyols, ethylene and propylene glycol, isosorbide, sorbitan and the HMF platform. The one pot chemical cracking of cellulose and starch has recently been reviewed by Gallezot Palkovits, Sels and their respective

coworkers.<sup>12,76,327,328</sup> Therefore, this section is dedicated to a comparative description of the existing processes. For the conversion of both starch and cellulose into C6 polyols Ru, Pt, Ni catalysts supported on Brønsted acidic zeolites (H-USY, H-ZSM-5, H mordenite) have been applied.<sup>329–332</sup> A Ni catalyst supported on ZSM-5 zeolite converted microcrystalline cellulose into sorbitol, with 91% selectivity at 49% conversion.<sup>330</sup> A nickel catalyst supported on carbon nanofiber (Ni-CNF) recently reported by Sels and coworkers showed a total of 76% yield of C6-polyols, including with 69% sorbitol and 7% mannitol, at 93% conversion of cellulose.<sup>333</sup> Further studies confirmed that the surface density of metal catalyst versus the Brønsted acid sites, prepared by HNO<sub>3</sub> mediated oxidation of CNF, is crucial to control the product selectivity. The relatively high density of Ni atom on the surface of CNF and low density of Brønsted acid sites are optimal for the observed high selectivity towards polyol platform. Additionally, solid acid,<sup>334–339</sup> Lewis acids (Al<sub>2</sub>O<sub>3</sub>, ZnO)<sup>231,340–344</sup> and heteropolyacids (H<sub>4</sub>SiW<sub>12</sub>O<sub>40</sub>, H<sub>2</sub>WO<sub>4</sub>)<sup>345–348</sup> have also been employed as catalyst for the conversion of starch and cellulose. The product selectivity between the polyols or the sorbitan and isosorbide platforms, is dependent on the nature of metal catalyst, the acidic support and the reaction conditions, (*i.e.* temperature and the ratio of catalyst to substrate). The Ru, Pt, and Ni catalysts supported on zeolite, alumina or activated carbon, produce mainly C6 polyols, whereas, the Ni-doped tungsten catalysts and the heteropolyacid supports favor the formation of C2-C3 diols as the major product. Upon the addition of a solid acid catalyst with Ru/C catalyst, further dehydration of the C6 polyols is observed. In this system in situ generated glucose and sorbitol showed partial degradation to produce insoluble condensation byproducts, at temperature >200°C. Increasing the concentration of Ru/C, cellulose and the solid acid suppressed the amount of byproduct

formation and produced a mixture of hexitans and isohexides with 52% selectivity towards isosorbide.<sup>340,347,349</sup>

Sulfonated resins,<sup>350</sup> heteropoly acids<sup>351,352</sup> or activated carbon catalysts functionalized with sulfonic acid groups have successfully been applied for one pot hydrolysis of cellulose into glucose and its subsequent conversion into alkyl glucosides, by using C1-C4 alcohols as the glucoside acceptor.<sup>353</sup> The catalyst system showed partial degradation after consecutive reuse.

Direct conversion of cellulose and starch (2<sup>nd</sup> generation bio-feedstock) can provide access to a diverse range of chemical platforms: C6 polyols, the corresponding dehydration products (sorbitan, other hexitan isomers, isohexides), C2-C3 polyols. The product selectivity thus plays a crucial role for useful implementation of cellulose as feedstock. Further mechanistic analysis of the combined cellulose hydrolysis-hydrogenation/hydrogenolysis processes is required to understand the difference in product selectivity and to develop catalysts suitable for commercial applications of these processes. Further mechanistic analysis of the combined cellulose hydrolysis-hydrogenation/hydrogenolysis processes is required to understand the difference in product selectivity and to develop catalysts suitable for commercial applications of these processes.

***Processes Involving Ionic liquids.*** Ionic liquid facilitates the rupture of the hydrogen bonded 3-D network in cellulose. In contrast to enzymatic processes, the initial reports on chemical hydrolysis led to only moderate yields or a mixture of small molecular weight oligomers.<sup>354</sup> The role of water in this reaction media has been studied by several research groups<sup>355</sup> and it was observed that the addition of water into 1-ethyl-3-methylimidazolium chloride ([EMIM]Cl), produced ~90% glucose from cellulose at 105°C after 12 h. The reaction

mixture contained 43 wt% H<sub>2</sub>O and 20 wt% HCl.<sup>356</sup> Following this strategy untreated corn stover was also hydrolyzed into a mixture of monosaccharides. The products obtained were separated from the ionic liquid medium by ion-exchange chromatography and the ionic liquid was reused.

***Ionic Liquids and Homogeneous Catalysts.*** For a dual HCl/IL system, using ionic liquids containing chloride anions are most effective for one pot dissolutions and hydrolyses of cellulose.<sup>357,358</sup> ILs with either acetate or sulfonate anions undergo ion exchange with HCl to produce weaker acidic media ([EMIM]OAc + HCl = [EMIM]Cl + AcOH). Dialkylimidazolium chlorides can dissolve cellulose in high concentrations and have shown potential application in cellulose conversion processes. CuCl<sub>2</sub> with 10–20% of CrCl<sub>2</sub>, CrCl<sub>3</sub>, FeCl<sub>3</sub>, or PdCl<sub>2</sub> in 1-ethyl-3-methylimidazolium chloride ([EMIM]Cl) showed much higher rate of cellulose hydrolysis than similar amounts of Cu(II), Cr(III) or aq. H<sub>2</sub>SO<sub>4</sub> catalysts.<sup>358–360</sup> A mixed metal catalyst containing 10–20 mol% PdCl<sub>2</sub> in CuCl<sub>2</sub>, with the total catalyst loading of 37 mmol per gram of [EMIM]Cl yielded a 56% conversion of cellulose into 5-hexamethylfurfural at 120°C.<sup>358</sup> 1-Ethyl-3-methylimidazolium chloride ([EMIM]Cl) facilitated the conversion of lignocellulose into 5-hexamethylfurfural, by using 6 mol% CrCl<sub>2</sub>, with overall conversion of 65% at 120°C for several hours. Whereas a 6 mol% mixed metal catalyst (total 37 mmol per gram of [EMIM]Cl) containing both CrCl<sub>2</sub> and CuCl<sub>2</sub> ( $\chi_{\text{CuCl}_2} = 0.91$ ) showed 62% conversion of cellulose into 5-hexamethylfurfural in 10 min, at 120°C.<sup>357</sup> Dutta *et al.* managed to achieve a 43% yield of HMF using a dual catalyst system (a mix of Zr(O)Cl<sub>2</sub>/CrCl<sub>3</sub>) in [EMIM]Cl in a very short time (5 min) using microwave heating.<sup>361</sup>

Many researchers are currently using ionic liquids to directly convert cellulose into HMF. Generally, it is necessary to marry the use of ionic liquids with a metal complex and microwave

irradiation in order to achieve respectable conversion, selectivity, and yields of HMF from cellulosic biomass.

***Ionic Liquids and Heterogeneous Catalysts.*** Many IL catalytic systems also involve the use of heterogeneous catalysts. A one pot conversion of cellulose into biodegradable alkyl-glycoside surfactants was carried out using macroporous Amberlyst-15, Amberlyst-35 (Dow chemical), Nafion® (Dupont), or HY zeolite catalyst in [BMIM]Cl.<sup>362–364</sup> The hydrolysis of dissolved starch produced the monosaccharides, which were further reacted with a series of C4-C8 alcohols to obtain both alkyl- $\alpha,\beta$ -glucosides and alkyl- $\alpha,\beta$ -xylosides with up to 90% yield.<sup>353</sup> In another example, cellulose was directly converted into sorbitol by using a one-pot hydrolysis and hydrogenation reaction; Pt or Rh catalyst was used in combination with Ru in [BMIM]Cl, yielding 51-74% of cellulose.<sup>365</sup>

The primary drawback of ionic liquids is their high cost, part of which is driven by the difficulty in purifying the ionic layer for reuse. The process is long and tedious.<sup>366,367</sup> The aqueous IL layer must be extracted, dried under heat and vacuum for several days, and then filtered to remove solids, such as unreacted biomass or humin, before being recycled.<sup>256,262,361</sup> To improve recyclability, researchers are currently chemically modifying ionic liquids in order to facilitate IL separation and reuse. In a recent study, fluorinated ionic liquid 1-(2',2',3',3',3'-pentafluoropropyl)-imidazolium chloride has shown improved recyclability as a potential reaction medium for cellulose dissolution and chemical hydrolysis.<sup>367</sup>

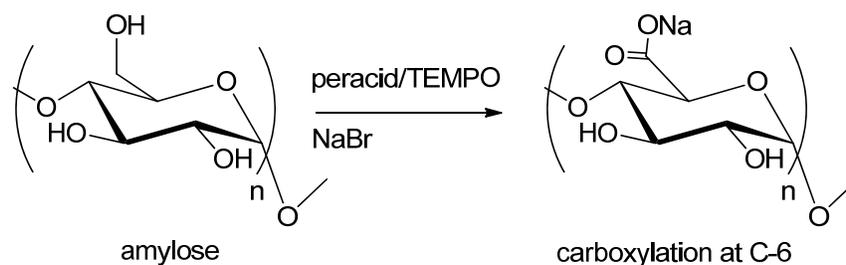
**Table 7. Selected examples of hemicellulose/polysaccharide/cellulose conversions.**

Starting Material	Product	Catalyst	Yield(%)	Conditions/Notes	Ref.
Cellulosic	FUR	AlCl <sub>3</sub> ·6H <sub>2</sub> O	51	Conv: 61% (includes	184

biomass (corn stover)				sugar/furan byproducts), 140°C, 60 min, NaCl, H <sub>2</sub> O/THF, microwave	
Inulin	HMF	Ionic-liquid based polyoxometalate salt (solid catalyst)	76	DMSO, 20°C, 2 h, trace water, microwave	368
Cellulose	Furans and reduced sugars	CrCl <sub>3</sub>	Furans: 58 Sugars: 11	Conversion: 88%, 160°C, 10 min, trace water, microwave	258
Cellulose	Ethylene glycol and polyols	Ru/MC	EG: 59 Polyols: 41	Conv: 100%, 30 min, 60 bar H <sub>2</sub> , MC: mesoporous carbon support	238
Starch	Levulinic Acid	[MIMPS]H <sub>2</sub> PW <sub>12</sub> O <sub>40</sub>	49	Conv: 100%, water, MIBK, [MIMPS]H <sub>2</sub> PW <sub>12</sub> O <sub>40</sub> is a heteropolyacid ionic liquid	369
Cellulose	HMF	Zr(O)Cl <sub>3</sub> /CrCl <sub>3</sub> in [BMIM][Cl]	47	Conv: ~82%, DMA-LiCl, 120°C, 5 min	222

### 5.b. Chemical Modification

Chemical modification pathways of starch and cellulose are comprised of three common mechanistic processes: oxidation, esterification, and etherification of the abundant OH-groups while the carbohydrate backbone is kept intact. The early reports on starch oxidation employed inexpensive transition metals salts (Cu<sup>2+</sup>, Fe<sup>2+</sup>) and common oxidizing agents (perchlorates or iodates).<sup>370</sup> Although a few processes have been commercialized, the main drawback of this approach is the accumulation of high volumes of hazardous waste and the oxidative degradation of carbohydrates producing carbon dioxide as the byproduct. Radicals such as 2,2,6,6-tetramethylpiperidine-1-oxyl (TEMPO) has also been used as catalyst, in combination with oxidant such as NaOCl/NaBr, NaOCl, peracids and oxone to regenerate the radical catalyst. In these processes, a selective oxidation of both starch<sup>71,371,372</sup> and cellulose<sup>373–375</sup> can be obtained at the C6 position (shown in Scheme 16) with 60-85% conversion. No degradation of starch or cellulose was reported.



**Scheme 16.** TEMPO catalyzed oxidation of starch to introduce carboxylic acid functional groups.

In search of more environmentally friendly and low-cost oxidation methods of starch and cellulose,  $\text{H}_2\text{O}_2$  and  $\text{O}_2$  have also been employed as oxidizing agents, often in combination with transition metal complexes (Cu, Fe, W).<sup>376–379</sup> In similar reaction conditions, typically incorporation of 1.4 carboxyl and 6.6 carbonyl functions per 100 units of glucose, in starch is observed. A  $\text{CH}_3\text{ReO}_3/\text{H}_2\text{O}_2/\text{Br}^-$  system<sup>380</sup> was also used for oxidation of starch in acetic acid-water media, however, limited catalyst recyclability is an inherent limitation of this catalyst system. In a recent invention, native starch was converted to dialdehyde starch while using hypervalent bisacetoxiodobenzene as the oxidant.<sup>381</sup>

The commonly followed methods to introduce hydrophobicity in starch and cellulose are esterification with fatty acid chlorides,<sup>30,382</sup> transesterification with glycerides,<sup>383</sup> and etherification by telomerization with butadiene, or silylation,<sup>384,385</sup> as discussed in detail in sections 4.h. and 4.i. of this review.

The common disadvantages of the chemical modification processes is the poor mixing of hydrophilic biopolymers with organic catalysts or hydrophobic long chain fatty acids and the selectivity of the oxidation process, to avoid the degradation of the carbohydrate backbone.

These reactions need to be carried out in highly polar organic solvents or in aqueous media, in the presence of a cationic surfactant, to aid the miscibility of the biopolymers with the catalyst and reagents. The oxidized, more hydrophilic polysaccharides show, enhanced solubility, adsorbent properties and extensively used for high volume applications in paper, packaging and textile industries. The hydrophobic ether and ester derivatives find application in water repellent coatings, packaging and high volume hydrophobic polymer blends.

## 6. Chemical Conversions Of Hemicellulose

### 6.a. Chemical Cracking

Hemicelluloses typically contain a large amount of xylose, a pentose sugar which is commonly used as a starting material for furans and their subsequent products. (See Section 4.g) Hemicelluloses also serve as a cheap and abundant starting material for the furan platform<sup>386</sup> – most of the methods used for the conversion of cellulose/polysaccharides to furans have already been discussed in the previous section (5.a).

***Homogeneous Processes.*** The first step involved in the chemical conversion of hemicellulose is a depolymerization in dilute mineral acid media, under moderate temperature (90-100°C), resulting in a mixture of pentoses. Instead of extensive isolation steps, the crude mixtures of pentoses can be directly reacted with C4-C10 alcohols in dilute acid medium to obtain a mixture of alkyl-pentoside surfactants.<sup>136</sup> Following this approach, a mixture of decyl-pentosides can be produced with 63% yield from xylan, a very common hemicellulose found in agricultural wastes. The unreacted residues contain glucan, cellulose in addition to small amounts of proteins, and lignin. Butadiene telomerisation of an unpurified mixture of pentose

sugars (mainly D-xylose and L-arabinose) is also a common strategy to obtain higher yields of octadienyl pentosides. This process utilizes a homogeneous Pd catalyst, and generally produces a mixture of both mono and di-octadienyl pentosides.<sup>300,301,387</sup> A one-pot oxidation of hemicellulose, using H<sub>2</sub>O<sub>2</sub> and 0.1% FeSO<sub>4</sub> to produce a mixture of carboxylic acids, was also reported.<sup>388</sup> The removal of metal residue is often challenging and limits the wide scale application of these materials. Hemicellulose, as mentioned in the previous section, has also been used as a starting material for furfural. Methods for converting hemicellulose to furfural are similar to those used for conversion of cellulose to HMF.

**Heterogeneous Processes.** In comparison to “chemical cracking” of cellulose, only a few examples of heterogeneous catalysts are known for conversion of hemicellulose. In a recent study, Fukuoka and coworkers reported a combined hydrolysis and hydrogenation approach to convert hemicellulose into arabitol. A Ru/C catalyst (2 wt% Ru) yielded 83% arabitol, at 115°C and 50 bar H<sub>2</sub>.<sup>389</sup> The observed product selectivity (*i.e.* the formation of pentoses versus the formation of FUR and HMF), depends on the acidity and the structure of the carbon support used in the Ru/C catalysts. Another example of hemicellulose depolymerization and conversion was reported by Zhang *et al.*<sup>390</sup>. In this process, raw woody biomass, a mixture of hemicellulose and cellulose, was converted to a mixture of ethylene glycol and other diols using a Ni-W<sub>2</sub>C catalyst, supported on carbon (4 wt% Ni, 30 wt% W<sub>2</sub>C). The total yield observed was 76%, at 235°C and 60 bar H<sub>2</sub>. Because of poor product selectivity, studies of heterogeneous catalysts for hemicellulose conversion remain few.

## 6.b. Chemical Modification

The most commonly employed chemical modification techniques for hemicellulose are oxidation, etherification, and esterification. Hemicellulose is susceptible to hydrolysis in strong acidic or basic media, hence the common functionalization reactions are carried out under relatively mild conditions to avoid fragmentation of the hemicellulose backbone. The TEMPO/NaOCl/NaBr system has been widely used to oxidize the carbonyl groups present on hemicellulose to carboxylic acid functionalities.<sup>391</sup> Oxidation, followed by esterification or cationization<sup>235,392,393</sup> decreases the crystallinity of native hemicellulose and the resulting material becomes more soluble in water. Esterification of hemicellulose has also been carried out using ionic liquids<sup>394–397</sup> or highly polar organic solvents.<sup>398–400</sup> Commonly employed etherification processes of hemicellulose include alkylation, sulfoalkylation, and benzylation.<sup>131,401–404</sup> The etherification of hemicellulose increases its solubility in water, film forming capability, and anti-fouling properties. Chemically modified hemicellulose has found extensive use as additives in the paper industry as sizing and strengthening agents.

## 7. Chemical Conversion Overview

As we have detailed in this review, chemical conversion processes are very versatile because of the wide selection of reaction conditions and catalysts that can be utilized to access a multitude of different platform chemicals. Because these platform chemicals are also used in downstream applications (polymers and pharmaceuticals) they must have relatively high purity. As a result, it behooves the researcher to control the process in such a way that the reaction is

selective towards a single product and limits excessive by-product formation in order to prevent the need for extensive and costly purification/separation steps.

Hence, reaction conditions are very important when considering the product selectivity of the reaction. A majority of studies are still primarily focused on: (1) product distribution, (2) catalyst selectivity/optimization/recyclability; (3) reduction of reaction hazards, (4) reduction of number of steps. For aqueous phase conversions of biomass, researchers commonly focus on varying (1) the temperature, (2) reaction time, (3) substrate concentration and (4) solvent. Each of these parameters is discussed in the following paragraphs. Because of the great variety of catalysts, techniques, and processing conditions involved in biomass conversions, it is extremely difficult to compare even the same substrates undergoing the same conversion process (e.g. hydrogenation, dehydration, oxidation) from one publication to the next.

Temperature optimization always plays an important role in product selectivity; generally, temperatures that are too high cause an undesired formation of byproducts, while temperatures that are too low lengthen the reaction time/reduce catalyst activity considerably. Prube et al. found in an oxidation of glucose by Au/TiO<sub>2</sub>, that temperatures above 60°C –with and without the presence of the gold catalyst – resulted in the degradation of glucose into carboxylic acids and aldehydes.<sup>94,95</sup>

Sels and co-workers studied the effects of substrate concentration when converting glucose to ethylene glycol. This group observed that in using higher concentrations of the starting material, glucose, the by-product formation of C<sub>3</sub> polyols was significantly reduced. In contrast, researchers studying systems for conversion of sugar/cellulose to furans and related products, have observed the opposite trend.<sup>334</sup> Excessive amounts of starting material, be it

sugars or polysaccharides, can result in lower catalyst activity and formation of polyketones and humins via self-condensation of the furans.<sup>223</sup>

It is also extremely important to optimize the reaction time. The contact times of the starting material and its surrounding environment (solvent, catalyst) alters the product distribution profile. For example, it is consistently observed that lengthened reactions which produce HMF result in the formation of unwanted polyketone humins. Current studies often include time dependent profiles of product distribution.<sup>361</sup>

Solvent choice is also extremely important; each type of solvent used has a unique set of advantages (polarity, hydrophilicity) and disadvantages (cost, recyclability, hazard). Ionic liquids are extremely useful for polysaccharide deconstruction, and allow researchers to perform multistep reactions in one pot. Solvent choice is also extremely important in biphasic reactions and can influence the overall yield of product. The presence of an immiscible layer can keep the product and catalytic layer apart, preventing further reaction of the product.<sup>184</sup> DMSO is also commonly used to suppress byproduct formation of polyketones in furanic reactions.<sup>17</sup>

We hope for the reader to take away a few messages from this review. There are many process-dependent variables that influence the outcome of any biomass reaction. These variables influence each reaction differently, and they should be investigated carefully. However, all of these studies appear to have these two primary goals in common: Firstly, the aim to improve the conversion and selectivity of the reaction, precluding the need for additional cost-adding purification steps; and secondly, the focus on improving the recyclability of a catalytic system. Both initiatives are cost-saving and less energy-intensive approaches that will enable biomass platform chemicals to become a more viable and attractive industrial option to pursue.

## 8. Conclusion

Carbohydrate biomass is primarily harvested for food and animal feed. Only a fraction of biomass is used for energy conversion, and an even smaller percentage is used to generate material goods such as clothing and chemicals. Although less than 10% of chemicals available on the market today are bio-based, both the market and the demand for bio-based chemicals have continued to grow rapidly. The United States has set a goal to have 25% of its' chemical commodities based on biomass materials; this in turn will provide new economic opportunities, particularly for rural workers. Despite the growing demands of the chemical market, industrialists also must be aware that with an increasing global population, which is expected to reach 9 billion by 2050, that the demand for biomass-based food and animal feed will also increase. It is therefore, crucial, that use of arable land and biomass is carefully regulated because improper harvesting or over-exploitation of these resources can have profound negative impact on both society and the environment. Hence the horizon 2020 proposal aims at *“the promotion of low carbon, resource efficient sustainable and competitive”* biobased economy. Dusselier et al. discussed an alternative way of evaluating the practical viability of the existing platform chemicals using the atom economy and conservation of functionality as main criteria.<sup>405</sup>

A range of bio-based chemicals have been targeted for large scale production or have already been commercialized; these chemicals are generated from 1<sup>st</sup> generation feedstocks such as sugar, starch, natural rubber, and plant oil. Although many of these processes rely on fermentation or thermochemical routes, a significant amount of research has also been directed towards chemical catalytic synthesis. To obtain technologically simple, low cost chemical

conversion and purification processes, fermented feedstocks such as succinic acid, ethanol and isobutanol are often used as starting materials instead of more complex cellulosic biomass.<sup>406</sup> A few notable examples of chemically converted bio-based platform chemicals, which have already been commercialized or are in commission, are: butanediol by hydrogenation of succinic acid (commercialized by BASF, technology developed by Genomatica); bioethylene via dehydration of bioethanol (commercialized by Braskem); gluconic, glucaric and adipic acids by oxidation of glucose (pilot scale production by Rennovia, Rivertop renewables); isobutylene by the dehydration of isobutanol (in commission 2014, by Global bioenergies); and isosorbide based polycarbonates by Mitsubishi chemicals. In addition, platform chemicals such as lactic acid, acrylic acid, isosorbide, ethylene glycol and furandicarboxylic (FDCA) have also been targeted as an emerging class of bio-based platform chemicals. The role of chemical catalysis for commercial production of these targeted platforms is directed by competitive technology development and economic feasibility.

As we have discussed in our review, in comparison to chemical catalysis, thermochemical methods are very energy intensive and tend to be less selective; enzymatic methods are less toxic, but are in many cases prohibitively expensive. While many chemical processes are scalable, they may not be economically feasible. In order to make these processes cost-competitive, the number of conversion and purification steps must be reduced while product selectivity must be improved significantly. Biomass proponents have also suggested that expanding biofuel manufacturing plants to include facilities for bio-based chemical commodity production will enable manufacturers to utilize the heat expended by the production of biofuels in the processing of bio-based chemicals. Ultimately, this will drive the cost of both chemicals and fuels downward. A sizable portion of ongoing research concerns the implementation of

inedible biomass, namely, second generation (lignocellulosic materials: wood waste, agricultural waste, highly cellulosic rotation crops such as miscanthus, willow) and third generation (algae) bio-based feedstocks. The feasible technology development for the commercialization of these feedstocks is expected to lower any possible impact on food security.

As the body of research for carbohydrate conversion continues to expand, researchers are dedicating more of their efforts to finding safer, simpler and more effective chemical conversion technologies. These studies are bringing us closer to the goal of sustainable, bio-based technologies, which are expected to reduce our dependence on fossil fuels and also foster a better environment and society for generations to come.

## 9. Acknowledgement

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## 10. Abbreviations

FUR	Furfural
HMF	5-Hydroxymethylfurfural
THF	Tetrahydrofuran
MTHF	2-Methyltetrahydrofuran
FDCA	2,5-Furandicarboxylic acid
SA	Succinic Acid
FA	Formic Acid
LA	Levulinic Acid
MCC	Microcrystalline Cellulose
GVL	$\gamma$ -Valerolactone
DFP	2,5-Diformylfuran
MA	Maleic anhydride
HMFCFA	5-Hydroxymethyl-2-carboxylfuraldehyde
DHMF	2,5-Dihydroxymethylfuran
DHMTHF	2,5-Dihydroxymethyltetrahydrofuran
[BMIM][Cl]	1,3-Butylmethylimidazolium chloride
[EMIM][Cl]	1,3-Ethylmethylimidazolium chloride
PTA	Phosphotungstic acid
FSIL	Fiber-supported Ionic Liquids
TPPTS	3,3',3''-Phosphanetriyltris trisodium salt
TEMPO	2,2,6,6-Tetramethylpiperidine-1-oxyl
MWNT	Multiwalled nanotubes
PTS	<i>p</i> -Toluenesulfonate
APG	Alkylpolyglycoside
MCM	Mesostructured silica
MIBK	Methyl isobutyl ketone
CSS	Carbonaceous sulfonated carbon
DVB	Divinylbenzene
GTS	Sulfonated carbonaceous material
DS	Dodecyl sulfate

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## TOC

This review covers general chemical routes used to convert cellulosic biomass into useful platform chemicals with specific focus on aqueous-based processing.

